On embeddings of spaces into ANR and shapes

By Yukihiro KODAMA

(Received Sept. 18, 1974)

§1. Introduction.

Shapes of compact metric spaces were introduced by K. Borsuk [3]. He generalized in [2] and [4] this concept to general metric spaces by defining weak shapes and positions. The notions of shapes or weak shapes of spaces give classifications of spaces coarser than homotopy type and they are determined by circumstance under which the space is embedded into an AR as a closed set. In this paper we shall show that a given metric space X is embedded into an AR with a convenient structure for investigating shape theoretical properties of X. By making use of this embedding, for a locally compact metric space X, it is shown that there is a locally compact \mathcal{A} -space whose weak shape is equal to X's. In case X is compact this fact has been proved in [12] by Mardešić-Segal approach to shape [13]. However the compactness of a space is essential in Mardešić-Segal approach and we can not use it for our case. The concept of fundamental skeletons of a space is introduced. Every \varDelta -space has fundamental skeletons, but it is known that there is an AR which does not have fundamental skeletons. Finally a partial answer to a problem concerning position raised by Borsuk [4] is given.

Throughout this paper all of spaces are metric and maps are continuous. By an AR and an ANR we mean always an AR for metric spaces and an ANR for metric spaces, and by dimension we imply the covering dimension.

§2. Embedding of spaces into ANR.

Let X and Y be metric spaces and let $f: X \to Y$ be a continuous map. We define a metrizable mapping cylinder M(X, Y, f) as follows. It is obtained by identifying points $(x, 1) \in X \times \{1\} \subset X \times I$ and $f(x) \in Y$ for $x \in X$ in a topological sum $X \times I \cup Y$, where I = [0, 1]. Let $p: X \times I \cup Y \to M(X, Y, f)$ be a quotient map. We denote p(x, t) for $(x, t) \in X \times I$ by (x, t) and p(y) for $y \in Y$ by y simply. We consider X and Y as subsets of M(X, Y, f) (X is identified with the set $\{(x, 0): x \in X\}$). We give M(X, Y, f) the following topology. A point $(x, t), x \in X$ and $0 \le t < 1$, has a neighborhood system consisting of all sets of the form $U \times V$, where U and V range over neighborhoods of x and t in X and $[0, 1] = \{t: 0 \le t < 1\}$ respectively. For a point $y \in Y$, the collection $\{W \cup f^{-1}(W) \times \{t: 1/n < t < 1\}: W$ is a neighborhood of y in Y and $n=1, 2, \cdots\}$ forms a neighborhood base at y in M(X, Y, f). Obviously M(X, Y, f) is metrizable and it contains X and Y as closed sets. If Y consists of one point, then we obtain a *metrizable cone* C(X) over X. The following theorem is essentially due to Bothe [5].

THEOREM 1. Let X be a finite dimensional metric space. Then there is an ANR M(X) satisfying the following conditions.

- (1) M(X) contains X as a closed set.
- (2) w(M(X)) = w(X), where w(X) is the weight of X.
- (3) dim $M(X) = \dim X + 1$.
- (4) If X is complete, then M(X) is complete.
- (5) If X is locally compact, then M(X) is locally compact.

PROOF. Choose a sequence of locally finite open covers $\{\mathfrak{U}_n : n = 1, 2, \dots\}$ of X such that order of $\mathfrak{U}_n \leq \dim X+1$, $\mathfrak{U}_{n+1} > \mathfrak{U}_n$ for each n and mesh $\mathfrak{U}_n \to 0$ $(n \to \infty)$. Here we mean by $\mathfrak{U} > \mathfrak{V}$ (resp. $\mathfrak{U} > \mathfrak{V}$) that \mathfrak{U} is a refinement (resp. star refinement) of \mathfrak{B} . By K_n we denote the nerve of \mathfrak{U}_n with metric topology. Take a vertex v of K_{n+1} and let V be the element of \mathfrak{U}_{n+1} corresponding to v. Let $\sigma(v)$ be the closed simplex of K_n which is spanned by vertices corresponding to all elements of \mathfrak{U}_n containing V. Map v to the barycenter of $\sigma(v)$. By extending linearly this map we obtain a map $\pi_{nn+1}: K_{n+1} \rightarrow K_n$ which is a simplicial map from K_{n+1} into the barycentric subdivision of K_n . The inverse sequence $\{K_n, \pi_{n+1}\}$ is called a *barycentric system* on the sequence $\{\mathfrak{U}_n\}$ by Isbell [8]. The limit space $\lim \{K_n\}$ is equal to a completion X^* of X (cf. [14, Theorem 14.4] and [8, Lemma 33]). Let $\mu_n: X^* \to K_n$ be the projection and put $\pi_n = \mu_n | X, n = 1, 2, \dots$. By $M(K_{n+1}, K_n, \pi_{n+1})$ denote a metrizable mapping cylinder. Consider a topological sum $N = \bigcup_{n=1}^{\infty} M(K_{n+1}, K_n, \pi_{n+1})$. For each n, by identifying $K_{n+1} \times \{0\}$ of $M(K_{n+1}, K_n, \pi_{n+1})$ and K_{n+1} of $M(K_{n+2}, K_{n+1}, \pi_{n+1,n+2})$ in N we obtain a metrizable space M in which each $M(K_{n+1}, K_n, \pi_{n+1})$ has a proper topology as a closed set. Since π_{nn+1} is piecewise linear, M is a cell complex. Put $M(X) = M \cup X$. Give M(X) the following topology: M is open in M(X) and has its proper topology. Take $x \in X$. For $n = 1, 2, \dots$, let V be an open star containing $\pi_n(x)$ in K_n . For m > n, consider an open set $(\pi_{n,m})^{-1}V$ ×[0, 1) of $M(K_{m+1}, K_m, \pi_{m,m+1})$, where $\pi_{n,m} = \pi_{n,n+1} \cdots \pi_{m-1,m}$. The collection of the sets of the form $(\pi_n^{-1}(V) \cap X) \cup \bigcup_{m=n+1}^{\infty} (\pi_{nm})^{-1}V \times [0, 1)$, where V ranges over open stars containing $\pi_n(x)$ in K_n , $n=1, 2, \dots$, forms a neighborhood base of x in M(X). Obviously M(X) is a metrizable space with dim $M(X) = \dim X + 1$ and contains X as a closed set. For each n, let M_n be the subspace

 $\bigcup_{m=1}^{n+1} M(K_{m+1}, K_m, \pi_{m,m+1}) \text{ of } M(X), \text{ where } M_1 = K_1. \text{ Define } \nu_n : M(X) \to M_n \text{ by putting}$

$$\nu_n(x) = \pi_n(x), \quad x \in X,$$

$$\nu_n(x, t) = \pi_{n \, m+1}(x), \quad (x, t) \in M(K_{m+1}, K_m, \pi_{m \, m+1}), \quad m \ge n,$$

$$\nu_n(x, t) = (x, t), \quad (x, t) \in M(K_{m+1}, K_m, \pi_{m \, m+1}), \quad m < n.$$

Obviously ν_n is continuous. Let U be an open set of M_n and let $W = \nu_n^{-1}(U)$. It is easy to show that U is a strong deformation retract of W. Thus we can know that M(X) is locally contractible. Since M(X) is finite dimensional, M(X) is an ANR by [9, Theorem 1]. If X is complete, then we can choose a sequece of covers $\{\mathfrak{U}_n\}$ used in the construction of M(X) such that X is equal to X^* $= \lim_{\leftarrow} \{K_n\}$. It is easy to know that M(X) has a complete \aleph_0 system of open coverings in the sense of Frolik. From [6, Theorem 2.4] follows the complete ness of M(X). Finally, let X be locally compact. If we choose a locally finite open cover \mathfrak{U}_n , $n=1, 2, \cdots$, such that each member of \mathfrak{U}_n has a compact closure, then $X = \lim_{\leftarrow} \{K_n\}$ and M(X) is locally compact. By the construction of M(X)it is obvious that w(M(X)) = w(X). This completes the proof.

If we construct a metrizable cone $C(K_1)$ over the subset K_1 of M(X), then the union $M(X) \cup C(K_1)$ is an AR. Hence we have

COROLLARY 1. For every finite dimensional metric space X there is an AR M(X) satisfying the conditions (1)-(4) in Theorem 1.

Let Y be a discrete space consisting of uncountable points. Then there does not exist a locally compact AR containing Y. Hence we can not strengthen Corollary 1 by replacing (1)-(4) by (1)-(5).

COROLLARY 2. Let τ be an infinite cardinal number. For each $n=0, 1, 2, \cdots$, there is an AR $A(\tau, n)$ with $w(A(\tau, n)) = \tau$ and dim $A(\tau, n) = n+1$ such that if X is a metric space with $w(X) \leq \tau$ and dim $X \leq n$ then X is embedded into $A(\tau, n)$.

This is a consequence of Nagata [15] and Corollary 1.

§ 3. Fundamental skeletons and Δ -spaces.

Let X be a space and $n = 0, 1, 2, \dots$. K. Borsuk [1] introduced the concept of homological and homotopical *n*-skeletons of X. As a shape theoretical modification of it we introduce the following concept (see [10, p. 44]).

DEFINITION 1. Let X be a space and $n=0, 1, 2, \dots$. By a fundamental nskeleton X^n of X we mean a subset of X satisfying the following conditions:

(i) X^n is a closed subset of X with dim $X^n \leq n$.

(ii) If $x_0 \in X^n$ and $i: (X^n, x_0) \to (X, x_0)$ is the inclusion map, then the induced

Y. Kodama

homomorphisms i_* of $\check{H}_k^c(X^n; G)$ into $\check{H}_k^c(X; G)$ and of $\underline{\pi}_k(X^n, x_0)$ into $\underline{\pi}_k(X, x_0)$ are isomorphisms for $0 \leq k < n$ and epimorphisms for k = n respectively. Here \check{H}_*^c is the Čech homology group with compact carriers, G is an arbitrary abelian group and $\underline{\pi}_*$ is the fundamental group defined in [3, § 32].

The *n*-skeleton of a simplicial complex is a fundamental *n*-skeleton of it. For every continuum X, every closed 0-dimensional subset of X is its fundamental 0-skeleton. If X is totally disconnected and dim X>0, then there is no fundamental 0-skeleton of X.

EXAMPLE 1. Let Y be a solenoid of Van Dantzig. Then Y has a fundamental 0-skeleton Y° such that Y° is homeomorphic to a Cantor discontinuum and the quotient space Y/Y° is arcwise connected.

EXAMPLE 2. It is known that every compact ANR has a fundamental k-skeleton for k=0, 1. However there is a compact ANR which has no fundamental k-skeleton for each $k=2, 3, \cdots$. Such an ANR X is given by a modification of the example constructed by Borsuk [1]. Consider a 2-sphere S^2 . Let A be an arc in S^2 . Take a map f from A onto the Hilbert cube Q. Let X be the adjunction space obtained by S^2 , Q and f. Obviously X does not have any fundamental k-skeletons for $k \ge 2$.

EXAMPLE 3. Consider the continua M_R , M_{Rp} , M_{Zp} and M_{Qp} constructed in [14, Appendix, pp. 228-230]. Each of them does not have any fundamental 1-skeleton, because any open set in it contains a 1-sphere which represents non homologous cycle.

DEFINITION 2. A metric space X is said to be a Δ -space if there is an inverse sequence $\{K_n, \pi_{n\,n+1}\}$ consisting of simplicial complexes K_n with metric topology and simplicial maps $\pi_{n\,n+1}: K_{n+1} \rightarrow K_n$ whose limit space is homeomorphic to X (cf. [10] and [12]).

Every polytope is a Δ -space. As known in [12, Theorem 1] there is a 1dimensional compact AR which is not a Δ -space. For examples given above, it is known that any solenoid of Van Dantzig is a Δ -space but each of continua M_R , M_{R_p} , M_{Z_p} and M_{Q_p} and the AR in Example 2 are not.

THEOREM 2. Let X be a finite dimensional locally compact metric space. Then there is a locally compact \varDelta -space Y such that $Sh_{W}(X) = Sh_{W}(Y)$ and $\dim X = \dim Y$.

Here $Sh_W(X)$ is the weak shape of X defined by K. Borsuk (see for definition [2, p. 79] and [4, §5]). In case X is compact, the theorem has been proved in [12] by using Mardešić-Segal approach to shape [13]. In this approach by means of ANR sequences, note that the compactness of a space is essential.

PROOF OF THEOREM 2. We shall make use of an AR M(X) constructed in the proofs of Theorem 1 and Corollary 1. Let \mathfrak{U}_n , $n=1, 2, \cdots$, be a sequence of locally finite open covers of X such that each member of \mathfrak{U}_n has a compact closure, order of $\mathfrak{U}_n \leq \dim X+1$, $\mathfrak{U}_{n+1} > \mathfrak{U}_n$ for each *n* and mesh of $\mathfrak{U}_n \to 0$ $(n \to \infty)$. Let K_n be the nerve of \mathfrak{U}_n and let $\pi_{n\,n+1}$ be a simplicial map of K_{n+1} into the barycentric subdivision of K_n such that $\{K_n, \pi_{nn+1}\}$ forms an inverse sequence whose limit is X (see the proof of Theorem 1). Then M(X) is a union of X, metrizable mapping cylinders $M(K_{n+1}, K_n, \pi_{n+1})$, $n=1, 2, \dots$, and a metrizable cone $C(K_1)$ over K_1 . Construct an inverse sequence $\{K'_n, \mu_{n\,n+1}\}$ as follows. Put $K'_n = K_n, n = 1, 2, \dots$ Let $\mu_{n n+1} \colon K_{n+1} \to K_n$ be a natural simplicial projection, that is, a vertex v of K_{n+1} corresponding to an element $V \in \mathfrak{U}_{n+1}$ is mapped by $\mu_{n\,n+1}$ to a vertex w corresponding to an element $W \in \mathfrak{U}_n$ containing V. Then two maps $\pi_{n\,n+1}$ and $\mu_{n\,n+1}$ of $K_{n+1} = K'_{n+1}$ into $K_n = K'_n$ are contiguous. Consider an inverse sequence $\{K'_n, \mu_{n n+1}\}$ and put $Y = \lim \{K'_n\}$. It is easy to know that Y is a locally compact \varDelta -space and dim $Y = \dim X$. By the same argument as in the construction of M(X) we can construct an AR M(Y) which is a union of Y, metrizable mapping cylinders $M(K'_{n+1}, K'_n, \mu_{nn+1})$, $n=1, 2, \cdots$, and a metrizable cone $C(K'_1)$. For each $n=1, 2, \dots$, let $M_n^X = \bigcup_{m=1}^{n-1} M(K_{m+1}, K_m, \pi_{m,m+1})$ $\cup C(K_1)$ and $M_n^Y = \bigcup_{m=1}^{n-1} M(K'_{m+1}, K'_m, \mu_{m,m+1}) \cup C(K'_1)$. By $\nu_n^X : M(X) \to M_n^X$ and ν_n^Y : $M(Y) \rightarrow M_n^Y$ denote the strong deformation retractions constructed in the proof of Theorem 1. By local compactness of X and Y each of ν_n^X and ν_n^Y is a perfect map. We define maps $f_n: M(X) \to M(Y)$ and $g_n: M(Y) \to M(X)$, $n=1, 2, \dots$, as follows. Let $f'_n: C(K_1) \cup \bigcup_{k=2}^n K_2 \to C(K'_1) \cup \bigcup_{k=2}^n K'_k \subset M(Y)$ be the identity map. For $k=1, 2, \dots, n-1$, let us extend f'_n over $M(K_{k+1}, K_k, \pi_{k,k+1})$. Since maps $\pi_{k\,k+1}$ and $\mu_{k\,k+1}$ are contiguous, there is a homotopy $H: K_{k+1} \times I \to K'_k$ defined by $H(x, t) = t \cdot \pi_{k + 1}(x) + (1 - t) \cdot \mu_{k + 1}(x)$ for $(x, t) \in K_{k+1} \times I$. Define f'_n on $M(K_{k+1}, K_k, \pi_{k,k+1}), k = 1, \dots, n-1$, by

$$f'_n(x, t) = (x, 2t), \quad x \in K_{k+1} \text{ and } 0 \leq t \leq 1/2,$$

 $f'_n(x, t) = H(x, 2t-1), \quad x \in K_{k+1} \text{ and } 1/2 \leq t \leq 1$

We obtain a continuous map $f'_n: M^X_n \to M(Y)$. Let $f_n = f'_n \nu^X_n: M(X) \to M(Y)$. Similarly let us define $g'_n: M^Y_n \to M(X)$ by

$$g'_{n}|C(K'_{1}) \cup \bigcup_{k=2}^{n} K'_{k} = \text{the identity},$$

$$g'_{n}(x, t) = (x, 2t), \quad x \in K'_{n+1}, \quad 0 \leq t \leq 1/2, \ k = 1, \dots, n-1,$$

$$g'_{n}(x, t) = (2t-1) \cdot \mu_{k \ k+1}(x) + (2-2t) \cdot \pi_{k \ k+1}(x), \quad x \in K'_{k+1},$$

$$1/2 \leq t \leq 1, \ k = 1, \dots, n-1,$$

and let $g_n = g'_n v_n^Y : M(Y) \to M(X)$. We obtain sequences of maps $f = \{f_n\} : M(X)$

Y. Kodama

 $\rightarrow M(Y)$ and $\underline{g} = \{g_n\}: M(Y) \rightarrow M(X)$. Let F be a compact set of X. Let H_n be a finite subcomplex of K_n consisting of all closed simplexes intersecting $\nu_n^X(F)$ and put $F' = Y \cap (\nu_n^Y)^{-1} f_n(H_n)$, where n is any positive integer. Then it is easy to see that F' is a compact set of Y which is \underline{f} -assigned to F (see [4, p. 142]). Similarly, for a compact set F' of Y, if H'_n is a finite subcomplex of K'_n consisting of closed simplexes intersecting $\nu_n^Y(F')$ and we put $F = X \cap (\nu_n^X)^{-1}g_n(H'_n)$, then F is a compact set of X being \underline{g} -assigned to F'. By the definitions of \underline{f} and \underline{g} , since $g_n f_n \cong \nu_n^X$ and $f_n g_n \cong \nu_n^Y$ for each n, it is easy to see that $\underline{g} \cdot \underline{f} \cong \underline{i}_{X,M(X)}$ and $\underline{f} \cdot \underline{g} \cong \underline{i}_{Y,M(Y)}$, where $\underline{i}_{X,M(X)}$ and $\underline{i}_{Y,M(Y)}$ are the identity W-sequences for X in M(X) and for Y in M(Y) respectively (see [4, §2]). This completes the proof.

REMARK. If we use the same argument as in the proof of [11, Theorem 2], then it is known that Sh(X) = Sh(Y) for the \mathcal{A} -space Y constructed in Theorem 2. Here Sh(X) means the shape of X defined by Fox [7].

COROLLARY 3. Let X be an n-dimensional locally compact metric space. For every m < n, there is an n-dimensional Δ -space Z such that $\check{H}_k^c(X;G) \cong \check{H}_k^c(Z;G)$ for k > m+1 and $\check{H}_k^c(Z;G) = 0$ for $k \le m$, where G is an arbitrary abelian group and \check{H}_k^c is the reduced Čech homology group with compact carriers.

PROOF. Let Y be an n-dimensional \varDelta -space such that $Sh_W(X) = Sh_W(Y)$. Let $\{K_k, \pi_{k\,k+1}\}$ be an inverse sequence consisting of simplicial complexes and simplicial bonding maps whose limit space is Y. Let m < n. Consider the inverse sequence $\{K_k^m, \pi_{k\,k+1} | K_{k+1}^m\}$, where K_k^m is the *m*-skeleton of K_k , and put $Y^m = \lim_{\leftarrow} \{K_k^m\}$. Then it is easy to see that Y^m is a fundamental *k*-skeleton of Y. Let N_k be the union of K_k and a metrizable cone over K_k^m . Extend $\pi_{k\,k+1}: K_{k+1} \rightarrow K_k$ naturally to a simplicial map $\mu_{k\,k+1}: N_{k+1} \rightarrow N_k$. Consider the inverse sequence $\{N_k, \mu_{k\,k+1}\}$ and put $Z = \lim_{\leftarrow} \{N_k\}$. Obviously Z is an *n*dimensional \varDelta -space satisfying the conditions of the corollary.

EXAMPLE 4. We can not remove the local compactness of X in Theorem 2. Let X be the set of all rational numbers in a real line. If Y is a 0-dimensional metric space such that $Sh_W(Y) = Sh_W(X)$, then X and Y are homeomorphic by [11, Theorem 1]. Therefore such a space Y is not completely metrizable. Since every finite dimensional \varDelta -space is completely metrizable, Y is not a \varDelta -space.

Finally, we shall give a partial answer to a problem [4, (8.8)] raised by K. Borsuk.

THEOREM 3. Let X and Y be finite dimensional metric spaces. Suppose that there exist sequences of compact sets $\{A_k\}$ and $\{B_k\}$ of X and Y and a sequence of onto homeomorphisms $\{f_k\}, f_k: X \to Y$, satisfying the conditions;

(1) $A_{k+1} \subset \operatorname{Int} A_k \text{ and } B_{k+1} \subset \operatorname{Int} B_k, \ k=1, 2, \cdots,$

- (2) $f_k(A_k) = B_k, k = 1, 2, \cdots,$
- (3) $f_k | A_k \cong f_{k'} | A_k$ rel. Bd A_k in B_k , for every $k \leq k'$,
- (4) $f_k|(X \setminus A_k) = f_{k'}|(X \setminus A_k)$ for every $k \leq k'$,

where Int A is the interior of A and Bd A is the boundary of A. Then $\operatorname{Pos}(X, \bigcap_{k=1}^{\infty} A_k) = \operatorname{Pos}(Y, \bigcap_{k=1}^{\infty} B_k).$

For the proof we need the following lemma.

LEMMA 4. Let X, Y be finite dimensional metric spaces and let $\{\mathfrak{U}_n^x\}$ and $\{\mathfrak{W}_n^Y\}$ be sequences consisting of locally finite open covers of X and Y respectively. By K_n^x and K_n^y denote the nerves of \mathfrak{U}_n^x and \mathfrak{U}_n^y . Let $\pi_{n+1}^x \colon K_{n+1}^x \to K_n^x$ and $\pi_{n+1}^x \colon K_{n+1}^y \to K_n^y$ be piecewise linear maps constructed in the proof of Theorem 1 for $n=1, 2, \cdots$. Denote by M(X) and M(Y) ANR's constructed for the inverse sequences $\{K_n^x, \pi_{n+1}^x\}$ and $\{K_n^y, \pi_{n+1}^y\}$ and put $X_n = X \cup \bigcup_{k=n}^{\infty} M(K_{k+1}^x, K_k^x, \pi_{k+1}^x)$ and $Y_n = Y \cup \bigcup_{k=n}^{\infty} M(K_{k+1}^y, \pi_k^y, \pi_{k+1}^y)$, where $X_1 = M(X)$ and $Y_1 = M(Y)$. Let $f \colon X \to Y$ be a map such that $\mathfrak{U}_n^x > f^{-1}\mathfrak{U}_n^y$, $n=1, 2, \cdots$. Then f has an extension $\tilde{f} \colon M(X) \to M(Y)$ such that $\tilde{f}(X_n) \to Y_n$ for each n. Let f and g be homotopic maps and let $\xi \colon X \times I \to Y$ be a homotopy connecting f and g. Suppose that for each n there is an open cover \mathfrak{W}_n of I such that $\mathfrak{I}_n^x \times \mathfrak{W}_n > \xi^{-1}\mathfrak{U}_n^y$. Then there is a homotopy $\tilde{\xi} \colon M(X) \times I \to M(Y)$ such that $\tilde{\xi}(x, 0) = \tilde{f}(x)$ and $\tilde{\xi}(x, 1) = \tilde{g}(x)$ for $x \in M(X)$ and $\tilde{\xi}(X_n \times I) \subset Y_n$ for each n.

PROOF. Since $\mathfrak{U}_n^X > f^{-1}\mathfrak{U}_n^Y$ for each *n*, there is a natural simplicial projection $\varphi_n : K_n^X \to K_n^Y$. Note that $\pi_{n\,n+1}^Y \varphi_{n+1}$ and $\varphi_n \pi_{n\,n+1}^X$ are contiguous. Hence we can define the map $\phi_n : M(K_{n+1}^X, K_n^X, \pi_{n\,n+1}^X) \to M(K_{n+1}^Y, K_n^Y, \pi_{n\,n+1}^Y)$ as follows:

$$\begin{split} \varphi_n(x,t) &= (\varphi_{n+1}(x), 2t), \quad x \in K_{n+1}^X \quad \text{and} \quad 0 \leq t \leq 1/2, \\ \varphi_n(x,t) &= (2t-1) \cdot \varphi_n \pi_{n+1}^X(x) + (2-2t) \cdot \pi_{n+1}^Y \varphi_{n+1}(x), \quad x \in K_{n+1}^X \\ & \text{and} \quad 1/2 \leq t \leq 1, \\ \varphi_n(x) &= \varphi_n(x), \quad x \in K_n^X. \end{split}$$

Let us define $\tilde{f}: M(X) \to M(Y)$ by $\tilde{f}|X=f$ and $\tilde{f}|M(K_{n+1}^X, K_n^X, \pi_{n+1}^X) = \psi_n$, $n = 1, 2, \cdots$. Obviously \tilde{f} is a continuous extension of f and $\tilde{f}(X_n) \subset Y_n$ for each n. The second assertion is proved by the same argument as in the first part and we omit it.

PROOF OF THEOREM 3. By (3) and (4), for k < k' there is a homotopy $\xi_{kk'}^{X}: X \times I \to Y$ such that

$$\begin{aligned} &\xi_{kk'}^{X}(x,0) = f_k(x) \quad \text{and} \quad \xi_{kk'}^{X}(x,1) = f_{k'}(x) \quad \text{for } x \in X, \\ &\xi_{kk'}^{X}(x,t) = f_k(x) = f_{k'}(x) \quad \text{for } x \in X \setminus A_k. \end{aligned}$$

Since $f_k^{-1}|B_k \cong f_{k'}^{-1}|B_k$ rel. Bd B_k in A_k , there is a homotopy $\xi_{kk'}^Y: Y \times I \to X$ such

Y. Kodama

that

$$\begin{aligned} &\xi_{kk'}^{Y}(y,0) = f_{k}^{-1}(y) \quad \text{and} \quad \xi_{kk'}^{Y}(y,1) = f_{k'}^{-1}(y) \quad \text{for} \quad y \in Y, \\ &\xi_{kk'}^{Y}(y,t) = f_{k}^{-1}(y) = f_{k'}^{-1}(y) \quad \text{for} \quad y \in Y \setminus B_{k}, \ k < k'. \end{aligned}$$

Let \mathfrak{U}_1^r and \mathfrak{U}_1^x be locally finite open covers of Y and X such that mesh $\mathfrak{U}_1^r < 1$, mesh $\mathfrak{U}_1^x < 1$, order of $\mathfrak{U}_1^r =$ order of $\mathfrak{U}_1^x \le \dim X + 1$ and $\mathfrak{U}_1^x > f_1^{-1}\mathfrak{U}_1^r$. For n = 2, choose locally finite open covers \mathfrak{U}_2^x and \mathfrak{U}_2^r of X and Y as follows;

(5)
$$\mathfrak{U}_{2}^{\mathbf{y}} \stackrel{*}{>} \mathfrak{U}_{1}^{\mathbf{y}} \wedge f_{1}\mathfrak{U}_{1}^{\mathbf{x}}, \quad \mathfrak{U}_{2}^{\mathbf{x}} \stackrel{*}{>} \mathfrak{U}_{1}^{\mathbf{x}} \wedge f_{1}^{-1}\mathfrak{U}_{2}^{\mathbf{y}} \wedge f_{2}^{-1}\mathfrak{U}_{2}^{\mathbf{y}} \text{ and for some}$$

open cover \mathfrak{W}_{2} of $I \, \mathfrak{U}_{2}^{\mathbf{x}} \times \mathfrak{W}_{2} \stackrel{*}{>} (\xi_{12}^{\mathbf{x}})^{-1}\mathfrak{U}_{2}^{\mathbf{y}}.$

By the compactness of A_1 we can find \mathfrak{U}_2^X and \mathfrak{W}_2 in (5). By repeating this process we can find inductively sequences of locally open covers $\{\mathfrak{U}_n^X\}$ and $\{\mathfrak{U}_n^Y\}$ of X and Y satisfying the following conditions for $n=1, 2, \cdots$;

(6) order of
$$\mathfrak{U}_n^X$$
, order of $\mathfrak{U}_n^Y \leq \dim X + 1$,

(7) mesh \mathfrak{U}_n^X , mesh $\mathfrak{U}_n^Y \to 0 \quad (n \to \infty)$,

(8)

$$\begin{aligned}
\mathfrak{U}_{n+1}^{\mathbf{y}} \stackrel{*}{\stackrel{\wedge}{\underset{i=1}{\wedge}}} f_{i}\mathfrak{U}_{n}^{\mathbf{x}} \wedge \mathfrak{U}_{n}^{\mathbf{y}} \text{ and for some open cover } \mathfrak{W}_{n+1}^{\prime} \text{ of } I \\
\mathfrak{U}_{n+1}^{\mathbf{y}} \times \mathfrak{W}_{n+1}^{\prime} \stackrel{*}{\stackrel{\wedge}{\underset{i=1}{\wedge}}} (\xi_{i\,i+1}^{\mathbf{y}})^{-1}\mathfrak{U}_{n}^{\mathbf{x}}, \\
\mathfrak{U}_{n+1}^{\mathbf{x}} \stackrel{*}{\stackrel{\wedge}{\underset{i=1}{\wedge}}} f_{i}^{-1}\mathfrak{U}_{n+1}^{\mathbf{y}} \wedge \mathfrak{U}_{n}^{\mathbf{x}} \text{ and for some open cover } \mathfrak{W}_{n+1} \text{ of } I
\end{aligned}$$

(9)

$$\mathfrak{U}_{n+1}^{X} \times \mathfrak{W}_{n+1} \stackrel{*}{>} \bigwedge_{i=1}^{n} (\xi_{i\,i+1}^{X})^{-1} \mathfrak{U}_{n+1}^{Y}.$$

Let K_n^X and K_n^Y , $n=1, 2, \cdots$, be the nerves of \mathfrak{U}_n^X and \mathfrak{U}_n^Y , and let $\pi_{n,n+1}^X$: $K_{n+1}^X \to K_n^X$ be a piecewise linear map constructed in the proof of Theorem 1. Similarly, define a piecewise linear map $\pi_{n,n+1}^Y \colon K_{n+1}^Y \to K_n^Y$. Then $\{K_n^X, \pi_{n,n+1}^X\}$ and $\{K_n^Y, \pi_{n,n+1}^Y\}$ are barycentric systems on $\{\mathfrak{U}_n^X\}$ and $\{\mathfrak{U}_n^Y\}$ respectively. As in the proof of Theorem 1, construct AR's M(X) and M(Y) for $\{K_n^X\}$ and $\{K_n^Y\}$, namely, $M(X) = X \cup C(K_1^X) \cup \bigcup_{n=1}^{\infty} M(K_{n+1}^X, K_n^X, \pi_{n,n+1}^X)$ and $M(Y) = Y \cup C(K_1^Y)$ $\cup \bigcup_{n=1}^{\infty} M(K_{n+1}^Y, K_n^Y, \pi_{n,n+1}^Y)$. For each $k=1, 2, \cdots$, let $C^X(n, k)$ and $D^X(n, k)$ be the subcomplexes of K_n^X spanned by vertices corresponding to elements of \mathfrak{U}_n^X intersecting A_k and $X \setminus A_k$ respectively. Similarly let $C^Y(n, k)$ and $D^Y(n, k)$ be the subcomplexes of K_n^Y for B_k and $Y \setminus B_k$. Put $E^X(n, k) = C^X(n, k) \cap D^X(n, k)$ and $E^Y(n, k) = C^Y(n, k) \cap D^Y(n, k)$. Then for each i and $k \pi_{i,i+1}^X(C^X(i+1, k)) \subset C^X(i, k)$ and $\pi_{i,i+1}^Y(C^Y(i+1, k)) \subset C^Y(i, k)$. For each n and k we put

540

Embeddings of spaces into ANR and shapes

$$F^{\mathbf{X}}(n, k) = A_{\mathbf{k}} \cup \bigcup_{i=n}^{\infty} M(C^{\mathbf{X}}(i+1, k), C^{\mathbf{X}}(i, k), \pi^{\mathbf{X}}_{ii+1}),$$

$$G^{\mathbf{X}}(n, k) = \overline{X \setminus A_{\mathbf{k}}} \cup \bigcup_{i=n}^{\infty} M(D^{\mathbf{X}}(i+1, k), D^{\mathbf{X}}(i, k), \pi^{\mathbf{X}}_{ii+1}),$$

$$F^{\mathbf{Y}}(n, k) = B_{\mathbf{k}} \cup \bigcup_{i=n}^{\infty} M(C^{\mathbf{Y}}(i+1, k), C^{\mathbf{Y}}(i, k), \pi^{\mathbf{Y}}_{ii+1}),$$

$$G^{\mathbf{Y}}(n, k) = \overline{Y \setminus B_{\mathbf{k}}} \cup \bigcup_{i=n}^{\infty} M(D^{\mathbf{Y}}(i+1, k), D^{\mathbf{Y}}(i, k), \pi^{\mathbf{Y}}_{ii+1}).$$

Let $X_1 = M(X)$, $Y_1 = M(Y)$, $X_n = X \cup \bigcup_{i=n}^{\infty} M(K_{i+1}^x, K_i^x, \pi_{i+1}^x)$ and $Y_n = Y \cup \bigcup_{i=n}^{\infty} M(K_{i+1}^y, K_i^y, \pi_{i+1}^y)$ for n > 1. Then $F^x(n, k)$ and $G^x(n, k)$ are closed sets of X_n and $F^y(n, k)$ and $G^y(n, k)$ are closed sets of Y_n for each n and k. For $m \ge n \ge 1$, since $\mathfrak{U}_m^x > f_n^{-1}\mathfrak{U}_m^y$ by (9), there is an extension $\varphi_n^x : X_n \to Y_n$ of $f_n : X \to Y$ which is given in the proof of Lemma 4. Also, for $m \ge n > 1$, since $\mathfrak{U}_{m+1}^y > f_n \mathfrak{U}_m^x$ by (8), there is an extension $\varphi_n^y : Y_n \to X_{n-1}$ of $f_{n-1}^{-1} : Y_n \to X$. From the definition of φ_n^x and φ_n^y it follows that

$$\begin{aligned} \varphi_n^{\mathbf{X}}(K_m^{\mathbf{X}}) \subset K_m^{\mathbf{Y}}, & \varphi_n^{\mathbf{Y}}(K_m^{\mathbf{Y}}) \subset K_{m-1}^{\mathbf{X}}, \\ \varphi_n^{\mathbf{X}}(M(K_{m+1}^{\mathbf{X}}, K_m^{\mathbf{X}}, \pi_{m-1}^{\mathbf{X}})) \subset M(K_{m+1}^{\mathbf{Y}}, K_m^{\mathbf{Y}}, \pi_{m-1}^{\mathbf{Y}}) & \text{for } m \ge n, \\ \varphi_n^{\mathbf{Y}}(M(K_{m+1}^{\mathbf{Y}}, K_m^{\mathbf{Y}}, \pi_{m-1}^{\mathbf{Y}})) \subset M(K_m^{\mathbf{X}}, K_{m+1}^{\mathbf{X}}, \pi_{m-1-m}^{\mathbf{X}}). \end{aligned}$$

For each k < n we have

(10)

$$\begin{aligned}
\varphi_n^X(F^X(n, k)) \subset F^Y(n, k), \\
\varphi_n^X(G^X(n, k)) \subset G^Y(n, k), \\
\varphi_n^Y(F^Y(n, k)) \subset F^X(n-1, k), \\
\varphi_n^Y(G^Y(n, k)) \subset G^X(n-1, k).
\end{aligned}$$

From (8), (9) and Lemma 4 it follows that there is a homotopy $\mu_{nn+1}^X \colon X_{n+1} \times I \to Y_{n+1}$ connecting $\varphi_n^X \mid X_{n+1}$ and φ_{n+1}^X which extends $\xi_{nn+1}^X \colon X \times I \to Y$. Similarly we know that there is a homotopy $\mu_{nn+1}^Y \colon Y_{n+1} \times I \to X_n$ connecting $\varphi_n^Y \mid Y_{n+1}$ and φ_{n+1}^Y which extends $\xi_{nn+1}^Y \colon X \times I \to Y$ and from their definitions (cf. the proof of Lemma 4) the following relations hold:

Y. KODAMA

Consider the maps φ_{n+1}^{X} and $\varphi_{n}^{X}|X_{n+1}$ of X_{n+1} into Y_{n+1} , and φ_{n+1}^{Y} and $\varphi_{n}^{Y}|Y_{n+1}$ of Y_{n+1} into X_{n} . From (4), if necessary, by replacing $\{\mathfrak{U}_{n}^{X}\}$ and $\{\mathfrak{U}_{n}^{Y}\}$ by refinements, we can assume that

(12)
$$\begin{aligned} \varphi_{n+1}^{X}(x) &= \varphi_{n}^{X}(x) = \mu_{n+1}^{X}(x,t), \quad x \in D^{X}(n+1,n) \quad \text{and} \quad t \in I, \\ \varphi_{n+1}^{Y}(y) &= \varphi_{n}^{Y}(y) = \mu_{n+1}^{Y}(y,t), \quad y \in D^{Y}(n+1,n-1) \quad \text{and} \quad t \in I. \end{aligned}$$

Let $A = \bigcap_{k=1}^{\infty} A_k$ and $B = \bigcap_{k=1}^{\infty} B_k$. Now, to prove Pos(X, A) = Pos(Y, B), we have to find sequences of maps $\underline{a} = \{a_k, X, Y\}_{M(X), M(Y)}$ and $\underline{b} = \{b_k, Y, X\}_{M(Y), M(X)}$ such that

(13)
$$\underline{a}' = \{a_k, A, B\}_{M(X), M(Y)}, \qquad \underline{a}'' = \{a_k, X \setminus A, Y \setminus B\}_{M(X), M(Y)}, \\ \underline{b}' = \{b_k, B, A\}_{M(Y), M(X)}, \qquad \underline{b}'' = \{b_k, Y \setminus B, X \setminus A\}_{M(Y), M(X)}$$

are W-sequences and

(14)
$$\underline{b}'\underline{a}' \cong i_{A,M(X)}, \qquad \underline{b}''\underline{a}'' \cong \underline{i}_{(X,A),M(X)},$$
$$a'b' \cong i_{B,M(Y)}, \qquad \underline{a}''\underline{b}'' \cong \underline{i}_{(Y,B),M(Y)}.$$

(See for notations [4, pp. 146, 147].) For k=1, let $a_1 = \varphi_1^X$ and let b_1 be an arbitrary map of M(Y) into M(X). For k=2, we define a_2 and b_2 as follows. Consider $\varphi_2^{\mathbf{y}}: Y_2 \to X_1$. Since $X_1 = M(X)$ is an AR, there is an extension b_2 : $M(Y) \to M(X)$ of φ_2^Y . To construct $a_2: M(X) \to M(Y)$, put $a_2 = \varphi_2^X$ on the set X_2 . Consider the sets $D^X(2, 1) \subset K_2^X$, $D^X(1, 1) \subset K_1^X$ and the mapping cylinder $M(D^{X}(2, 1), D^{X}(1, 1), \pi^{X}_{12}) \subset G^{X}(1, 1).$ Since $\varphi_{2}^{X}(x) = \varphi_{1}^{X}(x) = a_{1}(x)$ for $x \in D^{X}(2, 1)$ by (12), we can put $a_2 = a_1$ on $M(D^X(2, 1), D^X(1, 1), \pi_{12}^X)$. Consider the sets $T = C^{X}(2, 1) \cup M(E^{X}(2, 1), E^{X}(1, 1), \pi^{X}_{12}) \subset M(C^{X}(2, 1), C^{X}(1, 1), \pi^{X}_{12})$ and S = $M(C^{Y}(2, 1), C^{Y}(1, 1), \pi_{12}^{Y})$. By (12) we know $a_{2}|T \cong a_{1}|T$ rel. $M(E^{X}(2, 1), E^{X}(1, 1), T)$ π_{12}^X in S. Since $a_1 | T$ has an extension a_1 over $M(C^X(2, 1), C^X(1, 1), \pi_{12}^X)$ and S is an ANR, by homotopy extension theorem $a_2 | T$ has an extension over $M(C^{X}(2, 1), C^{X}(1, 1), \pi_{12}^{X})$. Finally, since M(Y) is an AR, we can extend a_{2} to a map from M(X) into M(Y) which we denote by a_2 again. This completes the definition of a_2 . Note that $a_2 \mid M(C^X(2, 1), C^X(1, 1), \pi_{12}^X) \cong a_1 \mid M(C^X(2, 1), \pi_{12}^X) \cong a_1 \mid M(C^X(2,$ $C^{X}(1, 1), \pi^{X}_{12}$ rel. $M(E^{X}(2, 1), E^{X}(1, 1), \pi^{X}_{12})$ in $M(C^{Y}(2, 1), C^{Y}(1, 1), \pi^{Y}_{12})$ and as a consequence

(15)
$$\begin{aligned} a_2 | F^X(n, 1) &\cong a_1 | F^X(n, 1) \quad \text{in} \quad F^Y(n, 1) \quad \text{for each } n \,, \\ a_2 | G^X(1, 1) &= a_1 | G^X(1, 1) \,. \end{aligned}$$

By repeating this process we can construct maps $a_k: M(X) \to M(Y)$ and $b_k: M(Y) \to M(X)$, $k=3, 4, \cdots$, satisfying the following conditions for n < k;

(16)
$$a_k | X_k = \varphi_k^X \text{ and } b_k | Y_k = \varphi_k^Y,$$

- (17) $a_k | G^X(n, n) = a_n | G^X(n, n),$
- (18) $b_k | G^{\mathbf{Y}}(n, n) = b_n | G^{\mathbf{Y}}(n, n),$
- (19) $a_k | F^X(n, n) \cong a_n | F^X(n, n)$ in $F^Y(n, n)$,
- (20) $b_k | F^{Y}(n, n) \cong b_n | F^{Y}(n, n)$ in $F^{X}(n-1, n-1)$.

 $(a_k \text{ is defined as follows; on the set } X \cup \bigcup_{n=1}^k G^X(n, n) \ a_k \text{ is defined by (16) and}$ (17), and on the set $\bigcup_{n=1}^{k-1} M(C^X(n+1, n), C^X(n, n), \pi_{nn+1}^X) \ a_k \text{ is obtained from } a_{k-1}$ by homotopy extension theorem; the definition of b_k is similar.)

Now it is immediate that $\underline{a} = \{a_k\}$ and $\underline{b} = \{b_k\}$ satisfy (13) and (14). To show that \underline{a}' is a *W*-sequence, note that $\{F^X(n, n) : n = 1, 2, \cdots\}$ and $\{F^Y(n, n) : n = 1, 2, \cdots\}$ form neighborhood bases of *A* and *B* in M(X) and in M(Y) respectively. Then (19) shows that \underline{a}' is a *W*-sequence. Also, that \underline{a}'' is a *W*-sequence follows from (17). Next, let us show that $\underline{a}'\underline{b}' \cong \underline{i}_{B,M(Y)}$. Consider the map $a_{n-1}b_n|F^Y(n, n) : F^Y(n, n) \rightarrow F^Y(n-1, n-1)$. For k > n, note two maps $a_{n-1}b_n|K_k^Y$ and π_{k-1k}^Y of K_k^Y into K_{k-1}^Y are contiguous. Let us define $\eta : Y_n \rightarrow Y_{n-1}$ by $\eta | B_n =$ the identity and $\eta(y, t) = (\pi_k^Y + i(y), t)$ for $(y, t) \in M(K_{k+1}^Y, K_k^Y, \pi_{k+1}^Y)$, $k = n, n+1, \cdots$. Obviously $\eta | F^Y(n, n) \cong i$ in $F^Y(n-1, n-1)$, where *i* is the inclusion map of $F^Y(n, n)$ into $F^Y(n-1, n-1)$. Since $\eta | F^Y(n, n) \cong a_{n-1}b_n | F^Y(n, n)$ in $F^Y(n-1, n-1)$ by the contiguity of π_{k+1}^Y and $a_{n-1}b_n | K_k^Y$ for $n \le k$, we know that $a_{n-1}b_n | F^Y(n, n) \cong i$ in $F^Y(n-1, n-1)$. By this relation, (19) and (20), we can conclude $\underline{a'}\underline{b'} \cong \underline{i}_{B,M(Y)}$. The other assertions in (13) and (14) are proved similarly. This completes the proof.

References

- K. Borsuk, Some remarks concerning the position of sets in a space, Bull. Acad. Polon. Sci. Sér. Sci. Astronom. Phys., 8 (1960), 609-613.
- [2] K. Borsuk, Some remarks concerning the theory of shape in arbitrary metrizable spaces, Proc. Prague Symposium, 1971, 77-81.
- [8] K. Borsuk, Theory of shape, Lecture Note Series No. 28, Math. Inst., Aarhus Univ., 1971.
- [4] K. Borsuk, On positions of sets in spaces, Fund. Math., 79 (1973), 141-158.
- [5] H.G. Bothe, Einbettung m-dimensionaler Mengen in einen (m+1)-dimensionalen absoluten Retrakt, Fund. Math., 52 (1963), 209-224.
- [6] Z. Frolik, Generalizations of the G_{δ} -property of complete metric spaces, Czechoslovak Math. J., 10(85) (1960), 359-378.
- [7] R.H. Fox, On shape, Fund. Math., 74 (1972), 47-71.
- [8] J.R. Isbell, Uniform spaces, Amer. Math. Soc., 1964.
- [9] Y. Kodama, On LCⁿ metric spaces, Proc. Japan Acad., 33 (1957), 79-83.
- [10] Y. Kodama, Note on shape theory, Kokyuroku 194 (1973), RIMS, Kyoto Univ.
- [11] Y. Kodama, On the shape of decomposition spaces, J. Math. Soc. Japan, 26 (1974), 635-645.

Y. KODAMA

- [12] Y. Kodama, On *A*-spaces and fundamental dimension in the sense of Borsuk, to appear in Fund. Math.
- [13] S. Mardešić and J. Segal, Shapes of compacta and ANR-systems, Fund. Math., 72 (1971), 41-59.
- [14] K. Nagami, Dimension theory, Academic Press, 1970.
- [15] J. Nagata, On a universal n-dimensional set for metric spaces, J. Reine Angew. Math., 204 (1960), 132-138.

Yukihiro KODADA Department of Mathematics Faculty of Science University of Tsukuba Ibaraki, Japan