## ON IMPRIMITIVE SOLVABLE RANK 3 PERMUTATION GROUPS

BY

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We remind the reader that a permutation group G transitive on a set  $\Omega$ is said to be of rank m, if the subgroup  $G_{\alpha}$  fixing  $\alpha \in \Omega$  has m orbits on  $\Omega$ . Thus, rank 2 groups are doubly transitive groups. D. A. Foulser and the present author have independently classified primitive solvable rank 3 groups (Foulser's paper has appeared in the Transactions of the American Mathematical Society). Among finite solvable rank 3 groups, many imprimitive groups occur. This paper is a classification of those imprimitive solvable rank 3 permutation groups G with a regular normal subgroup N.

If G is such a permutation group on a set  $\Omega$  and  $\alpha \in \Omega$ , then we have  $G_{\alpha} N = G$ ,  $G_{\alpha} \cap N = 1$ . By Theorem 11.2 of [6],  $G_{\alpha}$  is then an automorphism group of N acting with only two orbits on  $N^{\#} = N - \{1\}$ . Conversely, if N is any group with a solvable automorphism group A having only two orbits on  $N^{\#}$ , then the semidirect product G = AN is a solvable rank 3 permutation group with regular normal subgroup N; G will be imprimitive if and only if A fixes some proper subgroup of N. Thus our problem is to classify those groups N with a solvable automorphism group having only two orbits on  $N^{\#}$  (such an N is clearly solvable). Our main theorem is the following.

**THEOREM.** Let N be a finite group, A a solvable automorphism group of N acting with only two orbits on  $N^{\#} = N - \{1\}$ . Then we have one of the following:

(i) N is an elementary abelian p-group for some prime p.

(ii) For some prime p, N is a direct product of cyclic groups of order  $p^2$ .

(iii) For primes p and q, the polynomial  $(X^q - 1)/(X - 1)$  is irreducible over GF(p), and N is a Frobenius group of order  $qp^{m(q-1)}$  (m an integer). Here N has an elementary abelian Frobenius kernel of order  $p^{m(q-1)}$ .

(iv) For some integer n > 2 which is not a power of 2, and some automorphism  $\theta \neq 1$  of  $GF(2^n)$  of odd order,

$$N = A(n, \theta)$$

 $= \{ (\alpha, \zeta) \in GF(2^n) \times GF(2^n) | (\alpha, \zeta)(\beta, \eta) = (\alpha + \beta, \zeta + \eta + \alpha\beta^{\theta}) \}.$ Thus  $|N| = 2^{2n}.$ 

(v) For some integer  $n \geq 1$ ,

N = B(n)= { (\alpha, \zeta) \epsilon GF(2^{2n}) \times GF(2^n) | (\alpha, \zeta) (\beta, \epsilon) = (\alpha + \beta, \zeta + \epsilon + \alpha \beta^{2^n}\mu + \alpha^{2^n}\beta^{-1}) },

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where  $\mu \in GF(2^{2n})$  has order  $2^n + 1$ . Here  $|N| = 2^{3n}$ , and N does not depend on  $\mu$ .

(vi) For some odd prime p and integer  $n \ge 1$ , choose  $\varepsilon \in GF(p^{2n})$  such that  $\varepsilon + \varepsilon^{p^n} = 0$ . Then

$$N = C(p, n)$$
  
= { $\pi(\alpha, \zeta) \in GF(p^{2n}) \times GF(p^n) \mid (\alpha, \zeta)(\beta, \eta)$   
=  $(\alpha + \beta, \zeta + \eta + \frac{1}{2}(\alpha\beta^{p^n} - \alpha^{p^n}\beta)\varepsilon)$ }.

Here  $|N| = p^{3n}$ , and N does not depend on  $\varepsilon$ .

(vii) N is an extra special 3-group of order  $3^{5}$  and exponent 3.

(viii)  $N = P(\varepsilon)$ , where  $|P(\varepsilon)| = 2^{9}$ ,  $\varepsilon$  is a multiplicative generator in  $GF(2^{6})$ , and

$$P(\varepsilon) = \{ (\alpha, \zeta) \in GF(2^{6}) \times GF(2^{3}) \mid (\alpha, \zeta)(\beta, \eta) \\ = (\alpha + \beta, \zeta + \eta + \alpha\beta^{2}\varepsilon + \alpha^{8}\beta^{16}\varepsilon^{8}) \}.$$

Furthermore, all these groups except |N| = 2 have such solvable automorphism groups A; in case (i), one orbit of A can be  $H^{*}$ , any proper subgroup H of N.

We have thus determined the subdegrees (lengths of orbits of  $G_{\alpha}$ ) in each solvable imprimitive rank 3 permutation group G with regular normal subgroup N. If N is elementary abelian,  $|N| = p^n$ , then all possibilities  $p^t - 1$  $p^n - p^t$  for 0 < t < n occur as subdegrees. If N is not elementary abelian, then N has an obvious unique characteristic proper subgroup K, and the subdegrees are |K| - 1, |N| - |K|.

We remark that the groups (iv) and (v) will be identified as among the Suzuki 2-groups of G. Higman [2]. The proof of our Theorem uses the methods of [2] quite heavily, and will begin after three number-theoretic Lemmas.

LEMMA 1. Let p be a prime, n > 1 an integer. Then one of the following holds. (i) There exists a prime  $q, q \mid (p^n - 1), q \not\mid (p^t - 1)$  for any t < n. (ii) n = 2 and  $p = 2^a - 1$  is a Mersenne prime.

(iii) p = 2, n = 6.

Proof. See [1].

LEMMA 2. Let p be a prime,  $n \ge 4$  an integer. Suppose that integers  $e_2$ ,  $e_3$ ,  $e_4$ ,  $a_1$ ,  $a_2$ ,  $a_3$  exist, satisfying  $e_i = \pm 1$  and  $n > a_1 > a_2 > a_3 > 0$ , such that

$$(p^{n}-1) | n(p^{a_{1}}+e_{2}p^{a_{2}}+e_{3}p^{a_{3}}+e_{4}).$$

Then we have one of the following:

 $(5^4 - 1) | 4(5^3 + 5^2 + 5 + 1).$ (i)  $(3^8 - 1) | 8(3^6 + 3^4 + 3^2 + 1).$ (ii)  $(3^4 - 1) | 4(3^3 + 3^2 + 3 + 1).$ (iiia)  $(3^4 - 1) | 4(3^3 - 3^2 + 3 - 1).$  $(2^6 - 1) | 6(2^5 - 2^4 + 2^2 + 1).$ (iiib) (iva)  $(2^{6}-1)|6(2^{5}-2^{3}-2-1).$  $(2^{6}-1)|6(2^{5}-2^{8}-2^{2}+1).$ (ivb) (ivc)  $(2^6 - 1) | 6(2^4 + 2^3 - 2 - 1).$ (ivd)  $\begin{array}{c} (2^{6}-1) \mid 6(2^{4}+2^{3}-2^{2}+1),\\ (2^{6}-1) \mid 6(2^{4}+2^{2}+2-1). \end{array}$ (ive) (ivf)

*Proof.* Denote  $k = (n, p^n - 1)$ . Then we have an equation

$$t(p^{n}-1) = k(p^{a_{1}} + e_{2}p^{a_{2}} + e_{3}p^{a_{3}} + e_{4})$$

for some integer 0 < t < k. Therefore  $t + e_4 k \equiv 0 \pmod{p^{a_3}}$ , which implies  $p^{a_3} < 2k$ . Now set  $t + e_4 k = p^{a_3} t_1$ , where we see  $0 < |t_1| < k$ ; substituting into the equation, we get

$$-p^{a_3}t_1 \equiv k \left(p^{a_1} + e_2 p^{a_2} + e_3 p^{a_3}\right) \pmod{p^n}.$$

This implies  $t_1 + e_3 k \equiv 0 \pmod{p^{a_2-a_3}}$ , and therefore  $p^{a_2-a_3} < 2k$ . We now set  $t_1 + e_3 k = p^{a_2-a_3}t_2$ , and see that  $0 < |t_2| < k$ ; continuing this substitution process also gives us  $p^{a_1-a_2} < 2k$  and  $p^{n-a_1} < 2k$ . We have now proved that  $p^n < 16 (n, p^n - 1)^4$ . The only solutions of this inequality are  $p^n = 2^6, 3^4, 3^8, 5^4, 5^6$  or  $7^4$ . It is now easy to verify that (i)-(ivf) are the only cases actually occurring. (Repeat the argument of the proof, with specific values of p and n.)

LEMMA 3. Let p be a prime, n > 2 an integer. If integers i, j, k,  $l \ge 0$  satisfy the congruence

 $p^{i} + p^{j} \equiv p^{k} + p^{l} \pmod{(p^{n} - 1)/(n, p^{n} - 1)},$ 

then we have  $i - j \equiv \pm (k - l) \pmod{n}$ .

*Proof.* This congruence is equivalent to the relation

$$(p^{n}-1) | n(p^{i}+p^{j}-p^{k}-p^{l}) |$$

If some exponent t is  $\geq n$ , then since  $np^t = np^{t-n}(p^n - 1) + p^{t-n} \equiv np^{t-n} \pmod{p^n - 1}$ , we can replace  $p^t$  by  $p^{t-n}$ . Therefore we may assume  $0 \leq i, j, k, l < n$ .

If *i*, *j*, *k*, *l* are all different, then inspection of Lemma 2 shows that the present lemma holds. If one of the relations i = k, j = k, i = l, j = l holds, then two terms drop out and we are left with a relation  $(p^n - 1) | n(p^u - 1)$ , some u < n. u = 0 means the conclusion of the Lemma holds, so we may take 0 < u < n. This now contradicts Lemma 1, unless  $p^n = 2^6$ . The relation

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tion  $(2^6 - 1) | 6(2^u - 1)$  is impossible for 0 < u < 6. We conclude that we may assume  $i \neq k, j \neq k, i \neq l, j \neq l$  in any counterexample to Lemma 3.

Therefore either i = j or k = l; by symmetry we may assume that i = j,  $k \neq l$ , in any counterexample to Lemma 3. We thus have

$$2p^i \equiv p^k + p^l \pmod{(p^n - 1)/(n, p^n - 1)}.$$

If k < i or l < i, we replace  $p^k$  by  $p^{k+n}$  or  $p^l$  by  $p^{l+n}$ , not destroying the congruence, and then divide by  $p^i$ . Hence if Lemma 3 has a counterexample, we have a relation

(\*) 
$$(p^n - 1) | n(p^k + p^l - 2), \qquad 0 < k < l < n.$$

Let  $s = (n, p^n - 1)$ ; we have an equation  $t(p^n - 1) = s(p^k + p^l - 2)$ ,  $0 < t < s, 2s - t \equiv 0 \pmod{p^k}$ . Therefore  $p^k < 2s$ . We set  $2s - t = p^k u$ , where 0 < u < s; substituting for t in the equation, we get

$$p^k u \equiv sp^k + sp^l \pmod{p^n}.$$

Therefore  $u \equiv s \pmod{p^{l-k}}$ , which implies  $p^{l-k} < s$ . Setting  $s - u = p^{l-k}v$ we see 0 < v < s; substituting for u in the last congruence mod  $p^n$ , we get  $s + v \equiv 0 \pmod{p^{n-l}}$ , implying  $p^{n-l} < 2s$ . We have proved that  $p^n < 4(n, p^n - 1)^s$ . The only solutions of this inequality are  $p^n = 3^4$  or  $2^6$ , and we easily see that they provide no example of (\*), Q.E.D. for Lemma 3.

Proof of the theorem. Clearly, if a group N has an automorphism group with only two orbits on  $N^{\#}$ , then N has at most one proper characteristic subgroup and has nonidentity elements of at most two different orders. If N is abelian, this means that N is a p-group, either elementary or a direct product of cyclic groups of order  $p^2$ . If N is nonabelian, N may be either a p-group with  $\Phi(N) = Z(N) = N'$ , or N may be a p, q-group for primes p and q. These four possibilities will be studied separately.

First, let N be elementary abelian of order  $p^n$ . If |N| = 2, then  $|\operatorname{Aut}(N)| = 1$ , so Aut (N) has only one orbit on  $N^{\#}$ . If |N| = p and p > 2, then Aut (N) has a subgroup A of order  $\frac{1}{2}(p-1)$  having only two orbits on  $N^{\#}$ . If  $|N| = p^n$  and n > 1, choose a proper subgroup H of N,  $|H| = p^t$ . Automorphisms of N fixing H may be represented by block matrices

$$\begin{pmatrix} A & 0 \\ B & C \end{pmatrix},$$

where A is  $t \times t$ , B is  $(n-t) \times t$ , 0 is a  $t \times (n-t)$  zero matrix, and C is  $(n-t) \times (n-t)$ . Such matrices multiply by the rule

$$\begin{pmatrix} A & 0 \\ B & C \end{pmatrix} \begin{pmatrix} D & 0 \\ E & F \end{pmatrix} = \begin{pmatrix} AD & 0 \\ BD + CE & CF \end{pmatrix}.$$

Let  $N = H \times K$  for some subgroup K of H, and let  $G_1$ ,  $G_2$  be solvable groups of

matrices on H and K transitive on  $H^{\#}$  and  $K^{\#}$ , respectively. (Such groups always exist, and are classified in [4]). Define

$$J = \left\{ \begin{pmatrix} A & 0 \\ B & C \end{pmatrix} \middle| A \in G_1, C \in G_2, B \text{ any } (n-t) \times t \text{ matrix} \right\}.$$

Then J is certainly solvable, and transitive on  $H^{\#}$  and N - H. This shows that the group (i) of our theorem exists.

Now suppose  $N = H_1 \times H_2 \times \cdots \times H_m$ , each  $H_i$  cyclic of order  $p^2$ . Let  $T = \{a \in \text{Aut } (N) \mid a \text{ is trivial on } N/\Phi(N)\}$ . Then easy counting arguments show that  $\mid T \mid = p^{m^2}$ ,  $\mid \text{Aut } (N) \mid = p^{m^2} \mid GL(m, p) \mid$ . This implies that Aut (N) has an element  $\psi$  of order  $p^m - 1$ , by Theorem II.7.3 of [3]. T is transitive on  $x\Phi(N)$  for any  $x \in N - \Phi(N)$ , so we conclude that  $T\langle \psi \rangle$  is a solvable automorphism group of N, transitive on  $N - \Phi(N)$  and  $\Phi(N)^{\#}$ . N is case (ii) of our theorem.

We next suppose that N is nonabelian, and that two primes p and q divide |N|. Fit (N) is the unique proper characteristic subgroup of N (obviously N is not nilpotent), so let P = Fit (N), an elementary abelian normal Sylow p-subgroup. N has no element of order pq, so N is a Frobenius group. A Sylow q-subgroup Q must be an abelian Frobenius complement of exponent q, so |Q| = q by Theorem V.8.7 (a) of [3]. Let A be a solvable automorphism group of N, transitive on N - P and  $P^{\#}$ . AN/P is transitive on  $P^{\#}$  and so certainly primitive as linear group on  $P^{\#}$ .  $Q \cong QP/P \triangleleft AN/P$ , so P is a direct sum of some number m of isomorphic irreducible Q-modules. Since A is transitive on N - P, it follows that  $N_A(Q)$  is transitive on  $Q^{\#}$ . It now follows from Lemma II.3.11 of [3] that the irreducible Q-submodules of P must have order  $p^{q-1}$ ; this means that  $|P| = p^{m(q-1)}$ ,  $|N| = qp^{m(q-1)}$ , and the polynomial  $(X^q - 1)/(X - 1)$  is irreducible over GF(p).

Conversely, let p and q be primes such that  $(X^q - 1)/(X - 1)$  is irreducible over GF(p), m a positive integer. In the field  $GF(p^{m(q-1)})$ , let  $\mu$  be a multiplicative generator  $(|\langle \mu \rangle| = p^{m(q-1)} - 1)$ , and set  $\lambda \in \langle \mu \rangle, |\lambda| = q$ .  $GF(p^{m(q-1)})$ may be considered an m(q - 1)-dimensional vector space over GF(p), and the automorphism  $a: x \to \mu x$  is transitive on  $GF(p^{m(q-1)})^{\#}$ . If  $b: x \to x^p$ , then  $|\langle b \rangle| = m(q - 1)$ ; also,  $\langle b, a \rangle = N_{GL(m(q-1),p)}(\langle a \rangle)$  by Lemma II.3.11 of [3], with  $\langle b \rangle \cap \langle a \rangle = 1$ . Let c be the power of a given by  $c: x \to \lambda x$ ;  $|\langle c \rangle| = q$  and  $\langle b \rangle \subseteq N(\langle c \rangle)$ . If  $b^i c = cb^i$ , then we see  $xb^i c = \lambda x^{p^i}$  must equal  $xcb^i = \lambda^{p^i} x^{p^i}$ , so  $\lambda = \lambda^{p^i}$ . By hypothesis  $GF(p)[\lambda] = GF(p^{q-1})$ , so  $\lambda = \lambda^{p^i}$ only if (q - 1) | i. We conclude that  $|\langle b \rangle : C_{\langle b \rangle}(c)| = q - 1$ . If we denote  $P = GF(p^{m(q-1)})$ , then  $\langle b, a \rangle$  has the normal subgroup  $\langle c \rangle = Q$ ;  $\langle b, a \rangle$  is transitive on  $P^{\#}$  and on  $(QP/P)^{\#}$ . The group I of inner automorphisms of N = QPis transitive on xP, any  $x \in N - P$ , so we conclude that  $\langle b, a \rangle I$  is transitive on N - P and  $P^{\#}$ . Therefore the group N satisfies the hypotheses of our theorem.

There remains the case when N = P is a nonabelian *p*-group. Of course, since P has a solvable automorphism group A with only two orbits on  $P^{\$}$ , we

must have  $Z(P) = \Phi(P) = P'$ , and P is special. P is nonabelian. so if p = 2 then all elements of P - P' must have order 4. If p is odd, on the other hand, then the main result of [5] implies that P has exponent p. Denote  $|P/P'| = p^m$ ,  $|P'| = p^n$ . By Theorem VI.2.3 of [3], we can find a Hall p'-subgroup H of A and a Sylow p-subgroup Q of A such that A = HQ = QH.

Let V be either P/P' or P'.  $p \not\mid |V^{*}|$ , so there is a  $v \in V^{*}$  such that  $Q \subseteq A_v$ . Then  $A = HA_v$  must contain exactly  $|H| \cdot |A_v|/|H \cap A_v| = |H:H_v| \cdot |A_v|$  elements, and we see  $|A:A_v| = |H:H_v|$ . Since A is transitive on  $V^{*}$ , then, so is H. We have proved that H is transitive on  $(P/P')^{*}$  and  $P'^{*}$ ; of course, by Theorem III.3.18 of [3] we know that H is faithful on P/P'.

We first consider the case m = 2, so that  $|P/P'| = p^2$ ; clearly m = 2 implies that n = 1, so P is extra special. If P is the quaternion group of order 8, of course Aut (P) is solvable and has only two orbits on  $P^{\#}$ ; this is the case (v), n = 1, of our main theorem. If P is odd and

$$P = \langle x, y, z \, | \, x^p = y^p = z^p = 1, [x, y] = z, \, xz = zx, \, yz = zy \rangle,$$

choose a matrix

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \epsilon \ GL(2, p)$$

of order  $p^2 - 1$ ; such a matrix exists by Theorem II.7.3 of [3]. Then we find that  $x^{\alpha} = x^{\alpha}y^{b}$ ,  $y^{\alpha} = x^{c}y^{d}$ ,  $z^{\alpha} = z^{ad-bc}$  defines an automorphism  $\alpha$  of P which is transitive on  $(P/P')^{\#}$  and  $P'^{\#}$ . If I is the inner automorphism group of P, then  $\langle \alpha \rangle I$  is transitive on P - P' and  $P'^{\#}$ , so P is one of the groups of our theorem. P is the case (vi) of our main theorem, n = 1.

We may now assume m > 2. Since *H* is transitive on  $(P/P')^{\#}$ , we know by [4] that either *H* is a subgroup of the group of semilinear transformations of P/P', or else  $|P/P'| = 3^4$  and *H* is one of the three specific exceptional groups described in [4]. In particular, we know  $|H||2^7 \cdot 5$ . *H* is also transitive on  $P'^{\#}$  and  $|P'| = 3^n$ , so certainly n = 1, 2, or 4. We shall discuss these three possibilities, and afterward study the general case when *H* is a subgroup of the group of semilinear transformations on P/P'.

If  $|P/P'| = 3^4$ , *H* is an exceptional group of [4], and n = 2 or n = 4, denote  $N = \{h \in H \mid h \text{ is trivial on } P'^{\$}\}$ . We see  $N \triangleleft H$ , and *H/N* is transitive on  $P'^{\$}$ . By [4], |Z(H)| = 2; let  $Z(H) = \langle w \rangle$ . If  $x, y \in P - P'$  satisfy  $[x, y] \neq 1$ , then  $(xP')^w = x^2P', (yP')^w = y^2P'$ , so  $[x, y]^w = [x^w, y^w] = [x^2, y^2] = [x, y]^4 = [x, y]$ ; this proves that Z(H) is trivial on  $P', Z(H) \subseteq N$ . We then see that in the case n = 4, H/N cannot be transitive on P' by [4], so this case does not occur. In the case n = 2, all 5-elements of H are in N, and we see by [4] that  $|H/N| \leq 4$ . Thus H/N cannot be transitive on  $P'^{\$}$ , and this case n = 2 does not occur either.

If  $|P/P'| = 3^4$ , n = 1, H an exceptional group of [4], then P is extra special. This case does occur, and is case (vii) of the main Theorem. To see this, we can use the matrices given by Huppert on page 127 of [4]. Let P be extra special of order 3<sup>5</sup> and exponent 3, with generators x, y, u, v, z and relations  $\langle z \rangle = Z(P), xy = yx, xv = vx, yu = uy, uv = vu, [x, u] = [y, v] = z$ . Then we can define automorphisms A, B, C, D, F, G of P as follows:  $x^{A} = y, y^{A} = x^{2},$  $u^{A} = v, v^{A} = u^{2}, z^{A} = z; x^{B} = x, y^{B} = y^{2}, u^{B} = u, v^{B} = v^{2}, z^{B} = z; x^{C} = xu,$  $y^{C} = yv, u^{C} = xu^{2}, v^{C} = yv^{2}, z^{C} = z; x^{D} = u^{2}, y^{D} = v^{2}, u^{D} = x, v^{D} = y, z^{D} = z;$  $x^{F} = x^{2}yuv, y^{F} = x^{2}y^{2}uv^{2}, u^{F} = yu, v^{F} = y^{2}u, z^{F} = z; x^{C} = xu, y^{Q} = v^{2}, u^{Q} = x^{2}u,$  $v^{O} = y^{2}, z^{C} = z^{2}$ . Denote  $H = \langle A, B, C, D, F, G \rangle$ , I = group of inner automorphisms of P. Then we see from [4] that H is solvable, transitive on  $(P/P')^{\#}$  and  $P'^{\#}$ . I is certainly transitive on wP' for any  $w \in P - P'$ , so we conclude that HI is transitive on P - P' and  $P'^{\#}$ .

Now returning to the general case, we have  $p^m = |P/P'| > p^2$ , where H is a subgroup of the group of semilinear transformations on P/P'. This means that |H| divides  $m(p^m - 1)$ , and H has a cyclic normal subgroup  $\langle \xi \rangle$  such that  $|H:\langle \xi \rangle || m$ . Since H is transitive on  $(P/P')^{\#}$ , we see that  $|\langle \xi \rangle|$  is divisible by  $(p^m - 1)/(m, p^m - 1)$ . H is certainly a primitive linear group on (P/P'). Therefore, by Clifford's Theorem, P/P' is a direct sum of faithful isomorphic irreducible  $\langle \xi \rangle$ -modules. If P/P' is not irreducible as a  $\langle \xi \rangle$ -module, then we see that  $|\langle \xi \rangle|$  divides  $p^k - 1$ , some k < m. Therefore |H| divides  $m(p^k - 1)$ . By Lemma 1, this is a contradiction, except possibly when  $p^m = 2^6$ . If  $p^m = 2^6$ , we find that 63 divides  $6(2^k - 1), k < 6$ ; this is also impossible. We have proved that P/P' is in all cases an irreducible  $\langle \xi \rangle$ -module.

Let  $\lambda$  be an eigenvalue of  $\xi$  on P/P'. Then  $\xi$  has the *m* distinct eigenvalues  $\lambda, \lambda^{p}, \dots, \lambda^{p^{m-1}}$ ; and  $|\langle \lambda \rangle| = |\langle \xi \rangle|$ . Here we see  $GF(p)[\lambda] = GF(p^{m})$ . Following [2], we now choose a conjugate basis  $u_0, u_1, \dots, u_{m-1}$  for P/P' adapted to  $\xi$ . This means that  $u_0, u_1, \dots, u_{m-1}$  are a basis for  $P/P' \otimes GF(p^m)$  over  $GF(p^m)$ , satisfying  $u_i \xi = \lambda^{p^i} u_i$ ; and that if  $\langle \sigma \rangle, \sigma : x \to x^p$ , is the Galois group of  $GF(p^m)$ , then  $u_0^{\sigma} = u_1, \dots, u_{m-2}^{\sigma} = u_{m-1}, u_{m-1}^{\sigma} = u_0$ .

This implies that the elements of P/P' in  $P/P' \otimes GF(p^m)$  are precisely the elements  $\sum_{i=0}^{m-1} \alpha^{p^i} u_i$ ,  $\alpha \in GF(p^m)$ . Denote  $\bar{\alpha} = \sum_{i=0}^{m-1} \alpha^{p^i} u_i$ . We see that

$$\bar{\alpha}\xi = (\sum_{i=0}^{m-1} \alpha^{p^{i}} u_{i})\xi = \sum_{i=0}^{m-1} \alpha^{p^{i}} \lambda^{p^{i}} u_{i} = \sum_{i=0}^{m-1} (\alpha \lambda)^{p^{i}} u_{i} = \overline{\lambda \alpha_{i}}$$

so  $\xi$  acts on P/P' as a multiplication by  $\lambda$ .

Let L be the Lie ring of P,  $L \otimes GF(p^m)$  its extension to  $GF(p^m)$ , so that  $L \otimes GF(p^m) = (P/P' \otimes GF(p^m)) \oplus (P' \otimes GF(p^m))$ . The map

 $[\ ,\ ]: (P/P' \otimes GF(p^m)) \times (P/P' \otimes GF(p^m)) \to P' \otimes GF(p^m)$ 

obtained by extending the commutator map is bilinear. We have

$$[u_i, u_j]\xi = [u_i\xi, u_j\xi] = [\lambda^{p^i}u_i, \lambda^{p^j}u_j] = \lambda^{p^i+p^j}[u_i, u_j],$$

so either  $[u_i, u_j] = 0$  or  $\lambda^{p^i + p^j}$  is an eigenvalue of  $\xi$  on P'. Of course, for any i, j we have  $[u_j, u_i] = -[u_i, u_j]$  (which equals  $[u_i, u_j]$  if p = 2).

*H* is transitive on  $P'^{\sharp}$ , so *H* is certainly a primitive (not necessarily faithful) linear group on *P'*. Hence *P'* is a direct sum of isomorphic, irreducible (not necessarily faithful)  $\langle \xi \rangle$ -modules.

 $[u_0, u_r]\xi = \lambda^{1+p^r}[u_0, u_r]$ ; applying  $\sigma^i$ , this equation implies that  $[u_i, u_{i+r}]\xi = \lambda^{p^i(1+p^r)}[u_i, u_{i+r}]$ ; here the subscripts are taken modulo m. P is not abelian, so some  $[u_i, u_j]$  is not 0, and some  $[u_0, u_r] \neq 0$ ; we choose r > 0 minimal such that  $[u_0, u_r] \neq 0$ . Thus  $\lambda^{1+p^r}$  is an eigenvalue of  $\xi$  on P', and all eigenvalues of  $\xi$  on P' have form  $\lambda^{p^s(1+p^r)}, 0 \leq s < n$ . Since  $[u_r, u_0] \neq 0$ , we can apply  $\sigma^{m-r}$  and see that  $[u_0, u_{m-r}] \neq 0$ ; this proves that  $m - r \geq r$ , so  $0 < r \leq \frac{1}{2}m$ . In any case,  $(\lambda^{1+p^r})^{(p^n-1)} = 1$ , which implies that  $(p^m - 1)/(m, p^m - 1)$ 

In any case,  $(\lambda^{1+p^r})^{(p^{r-1})} = 1$ , which implies that  $(p^m - 1)/(m, p^m - 1)$ divides  $(1 + p^r)(p^n - 1)$ . If  $p^m = 2^6$ , then this asserts that 21 divides  $(1 + 2^r)(2^n - 1)$ , where r = 1, 2 or 3. Any of these imply  $7 \mid (2^n - 1)$ , so n = 3 or n = 6; for n = 3, we have r = 1 or 3. If  $p^m \neq 2^6$ , let q be the prime of Lemma 1; q > m, so  $q \not\mid (m, p^m - 1)$ , and we see that  $q \mid (p^n - 1)$  or  $q \mid (1 + p^r)$ . If  $q \mid (p^n - 1)$ , then  $m \mid n$ ; since  $\mid H \mid$  divides  $m(p^m - 1)$  and H is transitive on  $P'^{\#}$ , we see that n = m.

Now suppose that  $p^{n} \neq 2^{6}$  and  $q \mid (1 + p^{r})$ . Then  $q \mid (p^{2r} - 1)$ , so we must have  $m \mid 2r$ ; but  $r \leq \frac{1}{2}m$ , so we see 2r = m. Corresponding to the three cases of Lemma 1, we consider the three possibilities for  $p^{r}$ . If  $p^{r} = 2^{6}$ , then m = 12, and we see that 1365 divides  $65(2^{n} - 1)$ . In particular,  $21 \mid (2^{n} - 1)$ , so n = 6 or n = 12. If  $p^{r} = p^{2}$  for a Mersenne prime p, then we see that  $(p^{4} - 1)/4$  divides  $(p^{2} + 1)(p^{n} - 1)$ .  $\mid H \mid$  divides  $4(p^{4} - 1)$  and His transitive on  $P'^{\#}$ , so  $(p^{n} - 1) \mid 4(p^{4} - 1)$ . These two relations imply that n = 2 or n = 4, or n = 1 with p = 3. The group with p = 3, m = 4, n = 1is unique and has been shown to be case (vii) of the theorem, so we can assume n = 2 = r or n = 4 = m. Finally, suppose that a prime  $q_{0}$  divides  $p^{r} - 1, q_{0} \not\prec (p^{t} - 1)$  for t < r. In particular,  $q_{0} \not\prec 2r$ , 2r = m, and  $q_{0} \not\prec (p^{r} + 1)$ , so we must have  $q_{0} \mid (p^{n} - 1)$ . Therefore  $r \mid n$ , so r = n or n = m.

We have shown that three cases must be studied: (1)  $n = r = \frac{1}{2}m$ ; (2) m = n; (3) p = 2, m = 6, n = 3, r = 1.

Case 1. Here, the only  $[u_i, u_j] \neq 0$  must be  $[u_0, u_n]$ ,  $[u_1, u_{n+1}]$ ,  $\cdots$ ,  $[u_{n-1}, u_{2n-1}]$  and their negatives  $[u_n, u_0]$ ,  $[u_{n+1}, u_1]$ ,  $\cdots$ ,  $[u_{2n-1}, u_{n-1}]$ . If  $\xi$  were reducible on P', then for some  $t < n, t \mid n$ , we have  $\lambda^{(1+p^r)(p^t-1)} = 0$ .  $(p^{2n} - 1)/(2n, p^{2n} - 1)$  divides  $(1 + p^n)(p^t - 1)$ , or in other words

$$(p^{n}-1) \mid (2n, p^{2n}-1)(p^{t}-1).$$

This relation is impossible if  $p^n = 2^6$ , and so must contradict Lemma 1 unless n = 2. When n = 2 we have t = 1, and the relation implies p = 3. Thus, except for the possibility p = 3, n = 2, t = 1, we have  $\xi$  irreducible on P'.

We shall show that this possibility is not really an exception. If it occurs, then  $|P/P'| = 3^4$ ,  $|P'| = 3^2$ , and  $\xi$  fixes two 1-dimensional subspaces of P'. Here,  $|H:\langle\xi\rangle|$  divides 4. If  $\lambda$  is an eigenvalue of  $\xi$  on P/P', then  $|\langle\lambda\rangle| = |\langle\xi\rangle|$ ;  $\lambda^{1+3^2} = \lambda^{10}$  is an eigenvalue of  $\xi$  on P', so  $\lambda^{10} = \pm 1$ , and we see  $|\langle\xi\rangle| |20$ . We must therefore have |H| = 80,  $|\langle \xi \rangle| = 20$ ,  $|H: \langle \xi \rangle| = 4$ , and  $\xi^2$  trivial on P'. This forces  $H/\langle \xi^2 \rangle$  to be regular on  $P'^{\#}$ , so  $H/\langle \xi^2 \rangle$  is cyclic or quaternion.  $\xi\langle \xi^2 \rangle = -1 \epsilon Z (H/\langle \xi^2 \rangle)$ , so  $(H/\langle \xi^2 \rangle)/\langle \langle \xi \rangle/\langle \xi^2 \rangle)$  is cyclic of order 4. We conclude that  $H/\langle \xi^2 \rangle$  is cyclic; this forces H to be cyclic, say  $H = \langle \xi_0 \rangle$ . Replacing  $\xi$  by  $\xi_0$ , we see that since P' is an irreducible  $\langle \xi_0 \rangle$ -module, P satisfies Case 1 where P' is an irreducible  $\langle \xi \rangle$ -module.

Returning to the general Case 1, we have seen that  $\lambda^{1+p^n}$  is an eigenvalue of  $\xi$  on P'. Let  $v_0, v_1, \dots, v_{n-1}$  be a conjugate basis for P' adapted to  $\xi$ , so that  $v_i \xi = \lambda^{(1+p^n)p^i} v_i$ .  $[u_0, u_n]$  and  $v_0$  are both in the one-dimensional subspace

$$\{v \in P' \otimes GF(p^m) \mid v\xi = \lambda^{1+p^n}v\},\$$

so we may choose  $\varepsilon \epsilon GF(p^{2n})$  such that  $[u_0, u_n] = \varepsilon v_0$ . Applying  $\sigma$  to this equation repeatedly, we get equations

$$[u_1, u_{n+1}] = \varepsilon^p v_1, \cdots, [u_{n-1}, u_{2n-1}] = \varepsilon^{p^{n-1}} v_{n-1},$$

 $[u_n, u_0] = \varepsilon^{p^n} v_0, \quad [u_{n+1}, u_1] = \varepsilon^{p^{n+1}} v_1, \cdots, \quad [u_{2n-1}, u_{n-1}] = \varepsilon^{p^{2n-1}} v_{n-1}.$ Since  $[u_0, u_n] = -[u_n, u_0]$ , we see that  $0 = (\varepsilon^{p^n} + \varepsilon) v_0$ . Therefore  $\varepsilon^{p^n} + \varepsilon = 0$ , and  $\varepsilon$  must be an element of  $GF(p^{2n})$  with trace 0 over  $GF(p^n)$ . If p = 2, such elements are found in  $GF(p^n)$ ; if  $p \neq 2$ , such elements are always available outside  $GF(p^n)$ .

If  $\alpha \in GF(p^n)$ , denote  $\{\alpha\} = \sum_{i=0}^{n-1} \alpha^{p^i} v_i \in P'$ . We can now compute the commutator  $[\bar{\alpha}, \bar{\beta}]$  of any two elements  $\bar{\alpha} = \sum_{i=0}^{2n-1} \alpha^{p^i} u_i, \bar{\beta} = \sum_{i=0}^{2n-1} \beta^{p^i} u_i$  of P/P'.

$$\begin{split} [\bar{\alpha}, \bar{\beta}] &= \sum_{i=0}^{2n-1} \sum_{j=0}^{2n-1} \alpha^{p^i} \beta^{p^j} [u_i, u_j] \\ &= \sum_{i=0}^{n-1} \alpha^{p^i} \beta^{p^{n+i}} [u_i, u_{n+i}] + \sum_{j=0}^{n-1} \alpha^{p^{j+n}} \beta^{p^j} [u_{j+n}, u_j] \\ &= \sum_{i=0}^{n-1} (\alpha^{p^i} \beta^{p^{n+i}} - \alpha^{p^{n+i}} \beta^{p^i}) [u_i, u_{n+i}] \\ &= \sum_{i=0}^{n-1} (\alpha \beta^{p^n} - \alpha^{p^n} \beta)^{p^i} \varepsilon^{p^i} v_i = \{ (\alpha \beta^{p^n} - \alpha^{p^n} \beta) \varepsilon \} \in P'. \end{split}$$

Let  $\theta: x \to x^{p^n}$  be the Galois automorphism of  $GF(p^{2n})$  over  $GF(p^n)$ . We have shown that  $[\bar{\alpha}, \bar{\beta}] = \{ (\alpha \beta^{\theta} - \alpha^{\theta} \beta) \varepsilon \}.$ 

Assume now, here in Case 1, that p is odd, so that P has exponent p. Let  $x_1, x_2, \dots, x_{2n}$  generate  $P, z_1, \dots, z_n$  generate P'. We can then choose  $\alpha_i \in GF(p^{2n}), \beta_i \in GF(p^n)$  such that  $x_i = \sum_{j=0}^{2n-1} \alpha_i^{p^j} u_j, z_i = \sum_{j=0}^{n-1} \beta_i^{p^j} v_j$ . We see that  $\{\alpha_i\}$  is a basis of  $GF(p^{2n}), \{\beta_i\}$  a basis of  $GF(p^n)$  as additive vector spaces over GF(p). Every element of P has a unique expression  $x_1^{i_1} x_2^{i_2} \cdots x_2^{i_2n} z_1^{j_1} \cdots z_n^{j_n}$ , where all  $0 \leq i_k, j_l \leq p-1$ . We can multiply two such expressions if we can identify  $x_k x_l, l < k$ . But  $x_k x_l = x_l x_k [x_k, x_l]$ , and  $[x_k, x_l] = [\overline{\alpha}_k, \overline{\alpha}_l] = \{(\alpha_k \alpha_l^{\theta} - \alpha_l \alpha_k^{\theta})\}$  is well defined in P', using the basis  $\{\beta_i\}$ . This shows that the isomorphism class of P is given by our knowledge of commutators, and for given  $\varepsilon, p, n$  there is at most one P.

For any odd p,  $\varepsilon$ , and  $n \ge 1$ , we now claim that P does exist and have such

an automorphism group. Choose  $\varepsilon \in GF(p^{2n})$  with  $\varepsilon + \varepsilon^{p^n} = 0$ , let  $\theta : x \to x^{p^n}$ , and define P by

$$P = \{ (\alpha, \zeta) \in GF(p^{2n}) \times GF(p^n) \mid (\alpha, \zeta)(\beta, \eta)$$
  
=  $(\alpha + \beta, \zeta + \eta + \frac{1}{2}(\alpha\beta^{\theta} - \alpha^{\theta}\beta)\varepsilon) \}.$ 

One easily verifies that P is a group of exponent p, and satisfies

$$[(\alpha, \zeta), (\beta, \eta)] = (0, (\alpha\beta^{\theta} - \alpha^{\theta}\beta)\varepsilon).$$

Choose  $\lambda \in GF(p^{2n})$  such that  $|\langle \lambda \rangle| = p^{2n} - 1$ . Then  $\lambda^{1+\theta} = \lambda^{1+p^n} \in GF(p^n)$  has order  $p^n - 1$ . We define  $\psi: P \to P$  by  $(\alpha, \zeta)\psi = (\lambda \alpha, \lambda^{1+\theta}\zeta)$ .  $\psi$  is an automorphism of P, because

$$\{ (\alpha, \zeta) (\beta, \eta) \} \psi = (\alpha + \beta, \zeta + \eta + \frac{1}{2} (\alpha \beta^{\theta} - \alpha^{\theta} \beta) \varepsilon) \psi$$
  
=  $(\lambda \alpha + \lambda \beta, \lambda^{1+\theta} \zeta + \lambda^{1+\theta} \eta + \frac{1}{2} \lambda^{1+\theta} (\alpha \beta^{\theta} - \alpha^{\theta} \beta) \varepsilon)$   
=  $(\lambda \alpha, \lambda^{1+\theta} \zeta) (\lambda \beta, \lambda^{1+\theta} \eta) = \{ (\alpha, \zeta) \psi \} \{ (\beta, \eta) \psi \}.$ 

It is clear that  $\psi$  is transitive on  $(P/P')^{\#}$  and  $P'^{\#}$ .

We see that

$$C_{P}((\alpha, \zeta)) = \{ (\beta, \eta) \mid \alpha\beta^{\theta} - \alpha^{\theta}\beta = 0 \} = \{ (\beta, \eta) \mid \alpha\beta^{\theta} \in GF(p^{n}) \},$$

which implies that  $|C_P((\alpha, \zeta))| = p^{2n}$  for any  $(\alpha, \zeta) \in P - P'$ . Therefore the group I of inner automorphisms of P is transitive on each coset  $(\alpha, \zeta)P' \neq P'$ . We conclude that  $I\langle\psi\rangle$  is a solvable group of automorphisms of P, transitive on P - P' and  $P'^{*}$ .

We finally remark that P does not depend on the choice of  $\varepsilon$ . For if  $\varepsilon_1$ ,  $\varepsilon_2$  are two nonzero solutions of the equation  $X^{p^n} + X = 0$  in  $GF(p^{2^n})$ , then we must have  $\varepsilon_2 = \gamma \varepsilon_1$ , where  $\gamma \in GF(p^n)$  and  $\gamma^{\theta} = \gamma$ . Let

$$P_{1} = \{ (\alpha, \zeta) \mid (\alpha, \zeta)(\beta, \eta) = (\alpha + \beta, \zeta + \eta + \frac{1}{2}(\alpha\beta^{\theta} - \alpha^{\theta}\beta)\varepsilon_{1}) \},$$
  

$$P_{2} = \{ (\alpha, \zeta) \mid (\alpha, \zeta)(\beta, \eta) = (\alpha + \beta, \zeta + \eta + \frac{1}{2}(\alpha\beta^{\theta} - \alpha^{\theta}\beta)\gamma\varepsilon_{1}) \}.$$

We may choose  $\tau \in GF(p^{2n})$  such that  $\tau^{1+\theta} = \gamma$ . If we define  $\psi: P_2 \to P_1$ by  $(\alpha, \zeta)\psi = (\tau \alpha, \zeta)$ , it is easy to verify that  $\psi$  is an isomorphism. The group P is the group C(p, n), case (vi) of our theorem.

We still must study p = 2 in Case 1. Here we know that  $\xi$  is irreducible on P', and all elements of P - P' have order 4. If  $u_0, u_1, \dots, u_{2n-1}$  is our conjugate basis for P/P' adapted to  $\xi$ , we see that  $[u_0, u_n]^{\sigma^n} = [u_n, u_0] = [u_0, u_n]$ . Therefore  $v_0 = [u_0, u_n], v_1 = [u_1, u_{n+1}], \dots, v_{n-1} = [u_{n-1}, u_{2n-1}]$  is a conjugate basis for P' adapted to  $\xi$ . These bases satisfy  $u_i \xi = \lambda^{2^i} u_i, v_i \xi = \lambda^{(1+2^n)2^i} v_i$ . We again denote  $\bar{\alpha} = \sum_{i=0}^{2^{n-1}} \alpha^{2^i} u_i \epsilon P/P', \{\gamma\} = \sum_{i=0}^{n-1} \gamma^{2^i} v_i \epsilon P'$ . Our calculation of  $[\bar{\alpha}, \bar{\beta}]$  shows that  $[\bar{\alpha}, \bar{\beta}] = \{\alpha\beta^{\theta} + \alpha^{\theta}\beta\}$ . This relation is not

sufficient to provide defining relations for P, since we need to know  $x^2$  for any

 $x \in P - P'$ . In *P*, we have the relations  $(x\xi)^2 = (x^2)\xi$  and  $(xy)^2 = x^2y^2[x, y]$ . Let  $\varphi: P/P' \to P'$  be the map  $\varphi: xP' \to x^2$ .  $\varphi$  satisfies the relations  $(\bar{\alpha}\varphi)\xi = (\bar{\alpha}\xi)\varphi$  and  $(\bar{\alpha} + \bar{\beta})\varphi = \bar{\alpha}\varphi + \bar{\beta}\varphi + [\bar{\alpha}, \bar{\beta}]$ . Following [2], we shall show these relations completely determine  $\varphi$ . For if  $\psi: P/P' \to P' \otimes GF(2^{2n})$  also satisfies these relations, we see by subtraction that  $\varphi - \psi$  is a  $\xi$ -homomorphism. By irreducibility of P/P', this implies that either  $(\varphi - \psi)(P/P')$  is  $\xi$ -isomorphic to P/P', or else  $\varphi = \psi$ .  $\xi$ -isomorphism is impossible since the eigenvalues  $\lambda^{2^i(1+2n)}$  of  $\xi$  on  $P' \otimes GF(2^{2n})$  are different from the eigenvalues  $\lambda^{2^i}$  of  $\xi$  on P/P'. Therefore  $\varphi$  is unique.

We now claim that  $\varphi$  is the map  $\bar{\alpha}\varphi = \{\alpha^{1+\theta}\}$ . Consider any  $\gamma \in GF(2^n)$ , and choose  $\alpha$ ,  $\beta \in GF(2^{2^n})$  with  $\alpha\beta^{\theta} + \alpha^{\theta}\beta = \gamma$  (this must be possible, since every element in P' is a commutator). Then

$$\{\gamma\}\xi = [\bar{\alpha}, \bar{\beta}]\xi = [\bar{\alpha}\xi, \bar{\beta}\xi] = [\bar{\lambda}\alpha, \bar{\lambda}\beta]$$
$$= \{(\lambda\alpha)(\lambda\beta)^{\theta} + (\lambda\alpha)^{\theta}(\lambda\beta)\} = \{\lambda^{1+\theta}\gamma\}.$$

For any  $\alpha$ ,  $\beta \in GF(2^{2n})$ , we now see

$$(\bar{\alpha}\varphi)\xi = \{\alpha^{1+\theta}\}\xi = \{\lambda^{1+\theta}\alpha^{1+\theta}\} = \{(\lambda\alpha)^{1+\theta}\} = (\bar{\lambda}\alpha)\varphi = (\bar{\alpha}\xi)\varphi.$$

Also

$$\begin{aligned} (\bar{\alpha} + \bar{\beta})\varphi &= (\alpha + \beta)^{-}\varphi = \{ (\alpha + \beta)^{1+\theta} \} = \{ \alpha \alpha^{\theta} + \alpha \beta^{\theta} + \alpha^{\theta} \beta + \beta \beta^{\theta} \} \\ &= \{ \alpha^{1+\theta} \} + \{ \beta^{1+\theta} \} + \{ \alpha \beta^{\theta} + \alpha^{\theta} \beta \} = \bar{\alpha}\varphi + \bar{\beta}\varphi + [\bar{\alpha}, \bar{\beta}]. \end{aligned}$$

We have shown that for any  $xP' = \bar{\alpha} \epsilon P/P'$ , we have  $x^2 = \{\alpha^{1+\theta}\} \epsilon P'$ . Therefore P is completely determined, and for any n, p = 2, Case 1 provides at most one group P.

We do obtain such a group P. For any  $n \ge 1$ , choose  $\mu \in GF(2^{2n})$  of order  $2^n + 1$ , and define

$$P = \{ (\alpha, \zeta) \in GF(2^{2n}) \times GF(2^n) \mid (\alpha, \zeta)(\beta, \eta)$$
  
=  $(\alpha + \beta, \zeta + \eta + \alpha\beta^{2n}\mu + \alpha^{2n}\beta\mu^{-1}) \}.$ 

Let  $\theta: x \to x^{2^n}$ , so  $\mu + \mu^{-1} = \mu + \mu^{\theta} = \varepsilon \epsilon GF(2^n)$ . It is easy to verify that P is a group and satisfies the relations

$$(\alpha, \zeta)^2 = (0, \alpha \alpha^{\theta} \varepsilon), \qquad [(\alpha, \zeta), (\beta, \eta)] = (0, (\alpha \beta^{\theta} + \alpha^{\theta} \beta) \varepsilon).$$

Choose  $\lambda \in GF(2^{2n})$  such that  $|\langle \lambda \rangle| = 2^{2n} - 1$ ; then  $\lambda^{1+\theta}$  satisfies  $|\langle \lambda^{1+\theta} \rangle| = 2^n - 1$ . If we define  $\psi : P \to P$  by  $(\alpha, \zeta)\psi = (\lambda\alpha, \lambda^{1+\theta}\zeta)$ , it is easy to verify that  $\psi$  is an automorphism of P, transitive on  $(P/P')^{\#}$  and  $P'^{\#}$ . Just as in the case p odd, the group I of inner automorphisms of P is transitive on each coset  $(\alpha, \zeta)P' \neq P'$ . Therefore  $\langle \psi \rangle I$  is a solvable group of automorphisms of P, transitive on P - P' and  $P'^{\#}$ ; P is the group of case  $(\mathbf{v})$  in the main theorem.

Case 2. In this case, m = n > 2, and the integer r is unknown except for the relation  $0 < r \leq \frac{1}{2}n$ . Again we know that |H| divides  $n(p^n - 1)$ ,

 $|H:\langle\xi\rangle|$  divides n, and  $\langle\xi\rangle \triangleleft H$ , where  $(p^n - 1)/(n, p^n - 1)$  divides  $|\langle\xi\rangle|$ . H is transitive on  $P'^{\#}$ , so is certainly a primitive linear group, and P' is a direct sum of isomorphic irreducible  $\langle\xi\rangle$ -modules. Let

 $K = \{h \in H \mid h \text{ is trivial on } P'\}.$ 

 $|P'^{\sharp}| = p^n - 1$ , so  $(p^n - 1) ||H/K|$ ; if P' were not  $\langle \xi \rangle$ -irreducible, we would obtain a relation  $|H/K| | n(p^t - 1), t < n$ . This contradicts Lemma 1 if  $p^n \neq 2^6$  and is also impossible when  $p^n = 2^6$ . We conclude that throughout Case 2, P' is an irreducible  $\langle \xi \rangle$ -module.

r is the smallest positive integer such that  $[u_0, u_r] \neq 0$ . We have relations

$$|\langle \lambda \rangle| = |\langle \xi \rangle|, \quad u_i \xi = \lambda^{p^*} u_i, \quad [u_0, u_r] \xi = \lambda^{1+p^r} [u_0, u_r].$$

If we have 2r = n, then  $\lambda^{1+p^r} \epsilon GF(2^r)$  has only r distinct algebraic conjugates. But P' is an irreducible  $\langle \xi \rangle$ -module and  $\xi$  must have 2r = n distinct conjugate eigenvalues on P', so the case 2r = n cannot occur, and  $0 < r < \frac{1}{2}n$ .

For any *i*, *j*, suppose that  $[u_i, u_j] \neq 0$ . Then

$$[u_i, u_j] = \lambda^{p^i + p^j} [u_i, u_j]$$

so  $\lambda^{p^i+p^j}$  must be one of the eigenvalues  $\lambda^{p^{s(1+p^r)}}$  of  $\xi$  on P'. We therefore have a congruence

$$p^{i} + p^{j} \equiv p^{s}(1 + p^{r}) \pmod{(p^{n} - 1)/(n, p^{n} - 1)}.$$

Lemma 3 now implies that without exception,  $i - j \equiv \pm r \pmod{n}$ . The only  $[u_i, u_j]$  which are not 0 are  $[u_0, u_r]$ ,  $[u_1, u_{r+1}]$ ,  $\cdots$ ,  $[u_{n-r-1}, u_{n-1}]$ ,  $[u_{n-r}, u_0]$ ,  $[u_{n-r+1}, u_1]$ ,  $\cdots$ ,  $[u_{n-1}, u_{r-1}]$  and their negatives. We denote  $[u_0, u_r] = v_0$ ,  $[u_1, u_{r+1}] = v_1$ ,  $\cdots$ ,  $[u_{n-1}, u_{r-1}] = v_{n-1}$ .  $\{v_0, v_1, \cdots, v_{n-1}\}$  must be a conjugate basis for P' adapted to  $\xi$ , satisfying  $v_i \xi = \lambda^{p^i(1+p^r)}v_i$ . The elements of P' are denoted, as before, by  $\{\gamma\} = \sum_{i=0}^{n-1} \gamma^{p^i}v_i$ ; let  $\theta$  denote the automorphism  $\theta : x \to x^{p^r}$  of  $GF(p^n)$ .

We can now compute  $[\bar{\alpha}, \bar{\beta}]$ , for any pair of elements

$$\bar{\alpha} = \sum_{i=0}^{n-1} \alpha^{p^i} u_i, \qquad \bar{\beta} = \sum_{i=0}^{n-1} \beta^{p^i} u_i$$

of P/P'.

$$\begin{split} [\bar{\alpha}, \bar{\beta}] &= \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} \alpha^{p^{i}} \beta^{p^{j}} [u_{i}, u_{j}] \\ &= \sum_{i=0}^{n-1} \alpha^{p^{i}} \beta^{p^{i+r}} v_{i} - \sum_{j=0}^{n-1} \alpha^{p^{j+r}} \beta^{p^{j}} v_{j} \\ &= \sum_{i=0}^{n-1} (\alpha \beta^{\theta} - \alpha^{\theta} \beta)^{p^{i}} v_{i} = \{ \alpha \beta^{\theta} - \alpha^{\theta} \beta \}. \end{split}$$

Assume first that p is odd. Then P is completely determined by the given commutator relation. We know that H is acting on P/P' as a subgroup of the group of semilinear transformations on P/P'. For any  $\bar{\alpha} \in P/P'$ , we know that  $\bar{\alpha}\xi = (\lambda \alpha)^-$ , so  $\xi$  acts on P/P' as a multiplication by  $\lambda$ . P/P' is an irreducible  $\langle \xi \rangle$ -module. We see, as in the proof of Theorem II.3.11 of [3], that if  $h \in H$ , then there exist  $\tau \in GF(p^n)$  and  $\sigma \in Aut (GF(p^n))$  satisfying  $\bar{\alpha}h =$   $(\tau \alpha^{\sigma})^{-}$ , all  $\bar{\alpha} \in P/P'$ . We can now compute the action of H on P'. For any element  $\{\alpha \beta^{\theta} - \alpha^{\theta} \beta\} \in P'$  and such  $h \in H$ , we have

$$\begin{aligned} \{\alpha\beta^{\theta} - \alpha^{\theta}\beta\}h &= [\bar{\alpha}, \bar{\beta}]h = [\bar{\alpha}h, \bar{\beta}h] = [(\tau\alpha^{\sigma})^{-}, (\tau\beta^{\sigma})^{-}] \\ &= \{(\tau\alpha^{\sigma})(\tau\beta^{\sigma})^{\theta} - (\tau\beta^{\sigma})(\tau\alpha^{\sigma})^{\theta}\} = \{\tau^{1+\theta}(\alpha\beta^{\theta} - \alpha^{\theta}\beta)^{\sigma}\}.\end{aligned}$$

This shows that for any  $\{\gamma\} \in P'$  and any  $h \in H$ ,  $\{\gamma\}h$  has form  $\{\tau^{1+p^r}\gamma^{\sigma}\}$ , some  $\tau \in GF(p^n)$ , some  $\sigma \in Aut (GF(p^n))$ . Define

$$K = \{ \gamma \in GF(p^{n}) \mid \gamma^{(p^{n}-1)/2} = 1 \}.$$

Then  $\tau \in GF(p^n)$  implies  $\tau^{1+p^r} \in K$ ; therefore,  $\gamma \in K$  implies  $\tau^{1+p^r} \gamma^{\sigma} \in K$ . This means H cannot be transitive on  $P'^{\$}$ ; we get no group satisfying our main theorem in Case 2 when p is odd.

Finally, assume p = 2. The above methods again show that we get no group unless  $\lambda^{1+p^r}$  is a primitive  $(2^n - 1)$ -st root of unity. This occurs if and only if  $\lambda$  is a primitive  $(2^n - 1)$ -st root of unity, and the automorphism  $\theta: x \to x^{2^r}$  has odd order (see [2, p. 82]). We know  $[\bar{\alpha}, \bar{\beta}] = \{\alpha\beta^{\theta} + \alpha^{\theta}\beta\}$ , and just as in Case 1 we obtain the square mapping. We find that if  $xP' = \bar{\alpha} \epsilon P/P'$ , then  $x^2 = \{\alpha^{1+\theta}\} \epsilon P'$ .

We have obtained the Suzuki 2-groups  $P = A(n, \theta)$  of [2]. If  $|\langle \lambda \rangle| = 2^n - 1$ and  $\psi$  is the automorphism  $\psi : (\alpha, \zeta) \to (\lambda \alpha, \lambda^{1+\theta} \zeta)$  of [2], then  $\psi$  is clearly transitive on  $(P/P')^{\sharp}$  and  $P'^{\sharp}$ . Let

 $T = \{a \in Aut (P) \mid a \text{ is trivial on } P' \text{ and } P/P' \}.$ 

Then the p-group T is transitive on every coset  $xP' \neq P'$ . To see this, choose any  $x \in P - P'$ ,  $z \in P'$ , and let

$$P = \langle x = x_1, x_2, \cdots, x_n \rangle.$$

Then also  $P = \langle \bar{x}_1 = xz, \bar{x}_2 = x_2, \dots, \bar{x}_n = x_n \rangle$ . The sets  $\{x_i\}$  and  $\{\bar{x}_i\}$  satisfy the same defining relations, so there exists  $a \in T$  defined by  $x_i^a = \bar{x}_i$ , all *i*. We conclude that the solvable automorphism group  $T\langle \psi \rangle$  is transitive on P - P' and  $P'^{\#}$ , so  $P = A(n, \theta)$  is case (iv) of our theorem.

Case 3. We still have this possibility  $|P/P'| = 2^6$ ,  $|P'| = 2^3$ ,  $[u_0, u_1] \neq 0$ .  $|H:\langle \xi \rangle |$  divides 6, and P' is a sum of isomorphic faithful irreducible  $\langle \xi \rangle$ -modules. If they were one-dimensional,  $\xi$  would be trivial on P', an impossibility; therefore  $\xi$  is irreducible on P'. Let  $\lambda$  be an eigenvalue of  $\xi$  on P/P'. Then  $\lambda^3$  is an eigenvalue of  $\xi$  on P', so  $(\lambda^3)^7 = \lambda^{21} = 1$ , and irreducibility of  $\xi$  on P' shows that indeed  $|\langle \xi \rangle| = |\langle \lambda \rangle| = 21$ . The eigenvalues of  $\xi$  on P' must be  $\lambda^8$ ,  $(\lambda^3)^2 = \lambda^6$ , and  $(\lambda^3)^4 = \lambda^{12}$ . Using the fact  $u_i \xi = \lambda^{2^i} u_i$ , we see that the only  $[u_i, u_j] \neq 0$  are  $[u_0, u_1]$ ,  $[u_1, u_2]$ ,  $[u_2, u_3]$ ,  $[u_8, u_4]$ ,  $[u_4, u_5]$  and  $[u_0, u_5]$  (here  $[u_i, u_j] = [u_j, u_i]$ ).

Let  $\{v_0, v_1, v_2\}$  be a conjugate basis for P' adapted to  $\xi$ , so that  $v_i \xi = (\lambda^3)^{2^i} v_i$ , and choose  $\varepsilon \in GF(2^6)$  such that  $[u_0, u_1] = \varepsilon v_0$ . Applying the automorphism  $\sigma : x \to x^2$  of  $GF(2^6)$  repeatedly, we find that  $[u_1, u_2] = \varepsilon^2 v_1$ ,  $[u_2, u_3] = \varepsilon^4 v_2$ ,  $[u_3, u_4] = \varepsilon^8 v_0, [u_4, u_5] = \varepsilon^{16} v_1, [u_5, u_o] = \varepsilon^{32} v_2$ . We can now compute  $[\bar{\alpha}, \bar{\beta}]$ , for any  $\bar{\alpha}, \bar{\beta} \in P/P'$ .

$$\begin{split} [\bar{\alpha}, \bar{\beta}] &= [\sum_{i=0}^{5} \alpha^{2^{i}} u_{i}, \sum_{j=0}^{5} \beta^{2^{j}} u_{j}] \\ &= (\alpha \beta^{2} \varepsilon + \alpha^{2} \beta \varepsilon + \alpha^{8} \beta^{16} \varepsilon^{8} + \alpha^{16} \beta^{8} \varepsilon^{5}) v_{0} \\ &+ (\alpha^{2} \beta^{4} \varepsilon^{2} + \alpha^{4} \beta^{2} \varepsilon^{2} + \alpha^{16} \beta^{32} \varepsilon^{16} + \alpha^{32} \beta^{16} \varepsilon^{16}) v_{1} \\ &+ (\alpha \beta^{32} \varepsilon^{32} + \alpha^{4} \beta^{8} \varepsilon^{4} + \alpha^{8} \beta^{4} \varepsilon^{4} + \alpha^{32} \beta \varepsilon^{32}) v_{2} \,. \end{split}$$

If we let  $\theta$  denote the automorphism  $\theta : x \to x^8$  of  $GF(2^6)$ , and  $\{\gamma\}$  the element  $\sum_{i=0}^2 \gamma^{2^i} v_i$  of P', then this means

$$[\bar{\alpha},\bar{\beta}] = \{ (\alpha\beta^2 + \alpha^2\beta)\varepsilon + (\alpha\beta^2 + \alpha^2\beta)^{\theta}\varepsilon^{\theta} \}.$$

Just as in Case 1, we can show that the square mapping  $\varphi : P/P' \to P'$  is the unique mapping satisfying  $(\bar{\alpha}\varphi)\xi = (\bar{\alpha}\xi)\varphi$  and  $(\bar{\alpha} + \bar{\beta})\varphi = \bar{\alpha}\varphi + \bar{\beta}\varphi + [\bar{\alpha}, \bar{\beta}]$ , all  $\bar{\alpha}, \bar{\beta} \in P/P'$ . If we define  $\bar{\alpha}\varphi = \alpha^3 \varepsilon + \alpha^{3\theta} \varepsilon^{\theta}$ , and use the facts  $\bar{\alpha}\xi = (\lambda\alpha)^-$ ,  $\{\gamma\}\xi = \{\lambda^3\gamma\}$ , and  $(\lambda^3)^{\theta} = \lambda^3$ , we find that  $xP' = \bar{\alpha}$  implies  $x^2 = \{\alpha^3 \varepsilon + \alpha^{3\theta} \varepsilon^{\theta}\}$ .

The group P is now completely determined by knowledge of the square map; for each  $\varepsilon$  there is at most one group P. P does exist; if we define

$$P(\varepsilon) = \{ (\alpha, \zeta) \in GF(2^{6}) \times GF(2^{3}) \mid (\alpha, \zeta)(\beta, \eta) \\ = (\alpha + \beta, \zeta + \eta + \alpha\beta^{2}\varepsilon + \alpha^{\theta}\beta^{2\theta}\varepsilon^{\theta}) \},$$

we see that  $P(\varepsilon)$  is a group and satisfies the relations

$$\begin{aligned} (\alpha, \zeta)^2 &= (0, \, \alpha^3 \varepsilon + \alpha^{3\theta} \varepsilon^{\theta}), \, [(\alpha, \zeta), \, (\beta, \eta)] \\ &= (0, \, (\alpha \beta^2 + \alpha^2 \beta) \varepsilon + \, (\alpha \beta^2 + \alpha^2 \beta)^{\theta} \varepsilon^{\theta}). \end{aligned}$$

If  $\varepsilon^{21} = 1$ , then we can choose  $\alpha \in GF(2^6)$  with  $\alpha^3 = \varepsilon^2$ . We then have  $\alpha^3 \varepsilon + \alpha^{3\theta} \varepsilon^{\theta} = \varepsilon^3 + \varepsilon^{3\theta} = 0$  (since  $\varepsilon^3 \in GF(2^3)$ ). Thus some elements of  $P(\varepsilon) - P(\varepsilon)'$  have order 2, eliminating this case  $\varepsilon^{21} = 1$ . Also, suppose  $0 \neq \gamma \in GF(2^3)$ . We can then choose  $\tau \in GF(2^6)$  such that  $\tau^3 = \gamma$ , and see that the map  $\psi : P(\varepsilon\gamma) \to P(\varepsilon)$  given by  $(\alpha, \zeta)\psi = (\tau\alpha, \zeta)$  is an isomorphism. Therefore the remaining  $\varepsilon \in GF(2^6)$  such that  $\varepsilon^9 = 1$  can be replaced by some  $\varepsilon\gamma, |\langle \varepsilon\gamma \rangle| = 63$ . We may assume henceforth that  $|\langle \varepsilon \rangle| = 63$ .

When  $|\langle \varepsilon \rangle| = 63$ , define the mappings

$$\psi: P(\varepsilon) \to P(\varepsilon) \text{ and } \Phi: P(\varepsilon) \to P(\varepsilon)$$

by  $(\alpha, \zeta)\psi = (\lambda \alpha, \lambda^{3}\zeta)$ ,  $(\alpha, \zeta)\Phi = (\varepsilon \alpha^{4}, \zeta^{4})$ , where  $\lambda$  is any element of  $GF(2^{6})$  with  $|\langle \lambda \rangle| = 21$ . We find that

$$\{ (\alpha, \zeta) (\beta, \eta) \} \psi = \{ (\alpha, \zeta) \psi \} \{ (\beta, \eta) \psi \}$$

and

$$\{ (\alpha, \zeta) (\beta, \eta) \} \Phi = \{ (\alpha, \zeta) \Phi \} \{ (\beta, \eta) \Phi \}$$

so  $\psi$  and  $\Phi$  are automorphisms, obviously inducing a subgroup of the group of semilinear transformations on P/P'. (Abbreviate  $P = P(\varepsilon)$ .) On P/P', the orbits of the map  $\alpha \to \lambda \alpha$  induced by  $\psi$  are

 $\{1, \varepsilon^3, \varepsilon^6, \cdots\}, \{\varepsilon, \varepsilon^4, \varepsilon^7, \cdots\} \text{ and } \{\varepsilon^2, \varepsilon^5, \varepsilon^8, \cdots\}.$ 

Since the map  $\alpha \to \varepsilon \alpha^4$  induced by  $\Phi$  sends  $1 \to \varepsilon, \varepsilon \to \varepsilon^5$ , we see that  $\langle \Phi, \psi \rangle$  is transitive on  $(P/P')^{\#}$ .  $\langle \psi \rangle$  is in fact transitive on  $P'^{\#}$ .

 $(1, 0) \epsilon P - P'$ , and

$$C_P((1,0)) = \{ (\beta,\eta) \in P \mid (\beta+\beta^2)\varepsilon + (\beta+\beta^2)^{\theta}\varepsilon^{\theta} = 0 \}$$
  
=  $\{ (\beta,\eta) \in P \mid (\beta+\beta^2)\varepsilon \in GF(2^3) \}.$ 

By looking at  $GF(2^8)$ , there are  $2^3$  possibilities for  $\beta$ . Therefore  $|C_P((1, 0))| = 2^6$ .  $\langle \Phi, \psi \rangle$  is transitive on  $(P/P')^{\$}$ , so for any  $\alpha \neq 0$ ,  $|C_P((\alpha, \zeta))| = 2^6$ . This means that the inner automorphism group *I* of *P* is indeed transitive on any  $(\alpha, \zeta)P' \neq P'$ . We conclude that  $\langle \Phi, \psi \rangle I$  is transitive on P - P' and  $P'^{\$}; P = P(\varepsilon)$  is the group of case (viii) in our theorem.

This completes the proof of our main Theorem.

Remark. We shall finally show that the group B(n) in case (v) of our main theorem is isomorphic to certain of the Suzuki 2-groups in [2]. We refer to the groups  $B(n, 1, \varepsilon)$ , for certain  $\varepsilon$ , in [2]. Choose an element  $\chi \in GF(2^{2n})$ such that  $|\langle \chi \rangle| = 2^{2n} - 1$ , and set  $\lambda = \chi^{2^{n+1}}$ ,  $\mu = \chi^{2^{n-1}}$ . Then  $\lambda \in GF(2^n)$ . The automorphism  $\theta: x \to x^{2^n}$  of  $GF(2^{2n})$  satisfies  $\mu^{\theta} = \mu^{-1}$ , so  $\mu + \mu^{-1} \in GF(2^n)$ ; let  $\varepsilon = \mu + \mu^{-1}$ . Then  $\varepsilon \mu = \mu^2 + 1$ , so  $X^2 + \varepsilon X + 1 = 0$  is the irreducible polynomial for  $\mu$  over  $GF(2^n)$ . If  $\varepsilon$  were equal to  $\tau + \tau^{-1}$  for some  $\tau \in GF(2^n)$ , we would have  $\tau \varepsilon = \tau^2 + 1$ , contradicting the irreducibility of the polynomial. Therefore  $\varepsilon \neq \tau + \tau^{-1}$ , any  $\tau$ , and  $B(n, 1, \varepsilon)$  exists.

It is shown in [2] that if we find linear transformations

 $\sigma: GF(2^n) \to GF(2^n) \text{ and } \rho: GF(2^n) \times GF(2^n) \to GF(2^n) \times GF(2^n)$ satisfying the condition  $(u_\rho)^{(2)} = u^{(2)}\sigma$ , then  $P = B(n, 1, \varepsilon)$  will have an automorphism  $\xi$  inducing  $\rho$  on P/P' and  $\sigma$  on P'. Here <sup>(2)</sup> is the square mapping and satisfies  $(\alpha, \beta)^{(2)} = \alpha^2 + \varepsilon \alpha \beta + \beta^2$ . We define  $\sigma$  by  $\sigma: \zeta \to \lambda^2 \zeta$ .

To define  $\rho$ , we identify  $(\alpha, \beta) \in GF(2^n) \times GF(2^n)$  with  $\alpha + \beta \mu \in GF(2^{2n})$ and define  $\rho : (\alpha, \beta) \to \lambda \mu(\alpha, \beta)$ . We see that

$$(\alpha, \beta)_{\rho} = \lambda \mu (\alpha + \beta \mu) = \lambda \alpha \mu + \lambda \beta \mu^{2}$$
$$= \lambda \alpha \mu + \lambda \beta (1 + \varepsilon \mu) = \lambda \beta + (\lambda \alpha + \varepsilon \lambda \beta) \mu$$

Therefore  $(\alpha, \beta)_{\rho} = (\lambda \beta, \lambda \alpha + \varepsilon \lambda \beta)$ . We find that

$$((\alpha, \beta)_{\rho})^{(2)} = \lambda^{2}\beta^{2} + \varepsilon\lambda\beta(\lambda\alpha + \varepsilon\lambda\beta) + \lambda^{2}\alpha^{2} + \varepsilon^{2}\lambda^{2}\beta^{2}$$
$$= \lambda^{2}(\alpha^{2} + \varepsilon\alpha\beta + \beta^{2}) = ((\alpha, \beta)^{(2)})\sigma.$$

Therefore the automorphism  $\xi$  inducing  $\rho$  on P/P' and  $\sigma$  on P' exists. Since

 $|\langle \lambda \mu \rangle| = 2^{2n} - 1$  and  $|\langle \lambda^2 \rangle| = 2^n - 1$ ,  $\xi$  is transitive on  $(P/P')^{\#}$  and  $P'^{\#}$ . Suppose now that  $(\alpha, \beta, \zeta) \in P - P'$ . Then  $(\gamma, \delta, \eta) \in C_P((\alpha, \beta, \zeta))$  if and only if  $\alpha \gamma + \epsilon \alpha \delta + \beta \delta = \gamma \alpha + \epsilon \gamma \beta + \delta \beta$ , which holds if and only if  $\alpha \delta = \beta \gamma$ . Since  $\alpha \neq 0$  or  $\beta \neq 0$ , this holds if and only if for some  $\tau \in GF(2^n)$ ,  $\gamma = \tau \alpha$ ,  $\delta = \tau \beta$ . Therefore  $|C_P((\alpha, \beta, \zeta))| = 2^{2n}$ , which forces the inner automorphism group I of P to be transitive on  $(\alpha, \beta, \zeta)P'$ .

We conclude that the solvable group  $\langle \psi \rangle I$  is transitive on P - P' and  $P'^{\sharp}$ . This forces  $B(n, 1, \varepsilon)$  to be one of the groups of our main Theorem; at least for  $n \neq 3$  the only possibility is the group B(n) in case (v), so  $B(n, 1, \varepsilon) \cong$ B(n).

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