## **ON MAXIMAL GROUPS OF ISOMETRIES**

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ABSTRACT. The purpose of this note is to introduce the concept of "Optimal Metrization" for metrizable topological spaces. Let X be such a space,  $\rho$  a metric on X and  $K(\rho)$  the group of all those homeomorphisms of X onto itself which preserve  $\rho$ . The metric  $\rho$  is said to be "optimal" provided there is no  $\rho^*$  with  $K(\rho^*)$  properly containing  $K(\rho)$ . A space having at least one optimal metric is called "optimally metrizable." Examples of spaces which are and which are not optimally metrizable are given; it is shown that the real line R is, and that the usual metric is optimal.

1. Introduction and notation. Let X be a metrizable topological space. We denote by G(X) the group of all homeomorphisms of X onto itself and by M(X) the set of all metrics on X compatible with the topology of X. We observe that with each  $\rho \in M(X)$  there is associated the subgroup  $K(\rho) \subseteq G(X)$  (group of all isometries for  $\rho$ ) defined by  $K(\rho) = \{h \mid h \in G(X) \text{ and } \rho(x, y) = \rho(h(x), h(y)) \text{ for all } x, y \in X\}$ . The basic idea motivating our investigations is the classification of a metric  $\rho \in M(X)$  according to the size of the corresponding group  $K(\rho)$ .

CONVENTION. In this paper the set-theoretical inclusion is denoted by  $\supseteq$ , reserving  $\supset$  for the *proper* inclusion.

DEFINITION 1.1. A metric  $\rho \in M(X)$  is said to be optimal iff there is no  $\rho^* \in M(X)$  with  $K(\rho^*) \supset K(\rho)$ . A space X is said to be optimally metrizable iff there is at least one optimal metric in M(X).

Denoting by L(X) the lattice of all subgroups of G(X) we have the mapping  $K: M(X) \rightarrow L(X)$  defined by  $K(\rho) \in L(X)$  for  $\rho \in M(X)$ .

DEFINITION 1.2. The image of M(X) under K is the subset  $P(X) \subseteq L(X)$  partially ordered by inclusion. Its elements are groups of isometries and its maximal element (if it exists) is called a *maximal* group of isometry.

It is obvious that X is optimally metrizable if and only if P(X) has a maximal element.

If  $A \in L(X)$  and  $h \in G(X)$  we denote by (A, h) the subgroup generated by A and h.

REMARK 1.1. It is obvious that a space X is not optimally metrizable iff to each  $A \in P(X)$  there exists  $h \in G(X)$  such that  $h \notin A$  and  $(A, h) \in P(X)$ .

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2. General properties of the set P(X). We observe that if  $\rho \in M(X)$  then for each  $g \in G(X)$  the function  $g\rho$  defined by  $g\rho(x, y) = \rho(g(x), g(y))$  for all  $x, y \in X$  is again a metric  $\in M(X)$ ; thus G(X) acts on M(X) in this natural way.

THEOREM 2.1. For each  $\rho \in M(X)$  and  $g \in G(X)$  we have  $K(g\rho) = g^{-1}K(\rho)g$ . Thus P(X) contains with each  $A \in P(X)$  all its conjugates  $g^{-1}Ag \in P(X)$ .

PROOF. By straightforward verification.

COROLLARY. If  $\rho \in M(X)$  is optimal then  $g\rho$  is also optimal for every  $g \in G(X)$ .

In the case X is compact we topologize G(X) by the uniform convergence topology and it is a well-known fact (see for example [1] and [2]) that any compact subgroup K of G(X) lies inside  $K(\rho)$  for some  $\rho$ . Hence we obtain this obvious statement.

THEOREM 2.2. If X is compact then X is optimally metrizable iff G(X) has a maximal compact subgroup.

3. Optimal metrization property of some well-known spaces. We first observe that any set X with the discrete topology is optimally metrizable and the optimal metric  $\rho$  is the most trivial one defined by  $\rho(x, y) = 1$  for  $x \neq y$ . In this case we have  $K(\rho) = G(X)$  and P(X) = L(X). On the other hand we now show that the one-point compactification  $N^*$  of the set of positive integers N has not this property. Under  $N^*$  we understand the set  $N \cup \{\infty\}$  metrized for example by:  $\rho(n, m) = \lfloor 1/n - 1/m \rfloor$  for  $n, m \in N$  and  $\rho(n, \infty) = 1/n$  for  $n \in N$ .

THEOREM 3.1. The space  $N^*$  is not optimally metrizable.

PROOF. Suppose that K were a maximal compact subgroup of  $G(N^*)$ . Any orbit  $K(n) = \{g(n) | g \in K\}$  is compact; so if it were infinite, then it would include  $\infty$ . But no member of  $G(N^*)$  moves  $\infty$ , so K(n) is finite. Thus there are  $n, m \in N$  with disjoint orbits K(n), K(m). Taking for h the simple transposition of m and n, we observe that the action of (K, h) differs from that of K only on the finite set  $K(n) \cup K(m)$ , and (K, h) is therefore again compact, which is impossible. Hence,  $N^*$  is not optimally metrizable.

THEOREM 3.2. The compact interval [a, b] is optimally metrizable and the usual metric |y-x| is optimal.

PROOF. We prove this showing that the group  $K = \{e, r\}$  consisting of the identity e and the reflexion r (r(x) = a + b - x for  $x \in [a, b])$  is maximal compact in G([a, b]). If this were not the case then there

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would exist a larger compact group  $K' \supset K$ . If  $h \in K' \setminus K$  we may assume h is increasing since  $r \cdot h$  also belongs to  $K' \setminus K$ . Since  $h \neq e$ there is  $p \in [a, b]$  such that  $h(p) \neq p$ , and we know that there is an interval  $[c, d] \subseteq [a, b]$  with p in the interior and the only points fixed by h are c and d. Now  $\{h^n \mid n \ge 1\}$  has a limit element g in K', so g(c), g(p), g(d) are limit points of  $\{h^n(c)\}, \{h^n(p)\}, \{h^n(d)\}$  respectively. Thus g(c) = c, g(d) = d and g(p) = c or d which is impossible. Hence  $K' = K = \{e, r\} = K(\rho)$  where  $\rho(x, y) = |y-x|$  showing that this metric is optimal.

**THEOREM 3.3.** The circle  $S_1$  is optimally metrizable.

PROOF. Representing the circle  $S_1$  in the form  $S_1 = \{e^{i\pi x} | x \in [-1, 1]\}$ we shall prove that the group  $G \subseteq G(S_1)$  consisting of all rotations  $e^{i\pi x} \rightarrow e^{i\pi x}(x+a)$  and the reflexion  $e^{i\pi x} \rightarrow e^{-i\pi x}$  is a maximal compact subgroup. To this end we observe that the set  $G(S_1; -1) \subseteq G(S_1)$  of all those elements of  $G(S_1)$  which leave invariant the point  $-1 = e^{-i\pi}$  is a *closed* subgroup of  $G(S_1)$  which is homeomorphic and isomorphic to G[-1, 1]. If  $G^*$  were a compact group containing G, then according to Theorem 3.2  $G^* \cap G(S_1; -1) = G \cap G(S_1; -1)$ . Now if g belongs to  $G^*$ , then we can find a rotation f such that  $f(e^{-i\pi}) = g(e^{-i\pi})$ . Thus  $h = f^{-1}g$  belongs to  $G^* \cap G(S_1; -1)$ , h belongs to G, g = fh belong to Gand  $G^* = G$  which proves our assertion.

THEOREM 3.4. The real line R is optimally metrizable and the usual metric |y-x| is optimal.

PROOF. The metric |y-x| is preserved by the group of all translations and reflexions. Denoting this group by K, assume that there is  $\rho \in M(R)$  with  $K(\rho) \supset K$ . Let  $f \in K(\rho) \setminus K$ . Without loss of generality we may assume f increasing and having at least one fixed point since otherwise we would apply on f suitable operations in K. Let F be the set of all fixed points of f. From  $F \neq \emptyset$  we know that  $R \setminus F$  has a connected component C that is an interval with at least one endpoint a. If we choose b in C, then  $\{f^n(b)\}$  or  $\{f^{-n}(b)\}$  will approach a, but  $\rho(f^{n+1}(b), f^n(b)) = \rho(f(b), b) = \rho(f^{-n-1}(b), f^{-n}(b))$  will not approach 0, which is impossible. Thus K is the maximal group of isometry corresponding to the metric |y-x| which completes our proof.

## References

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