

# ON NON-COMMUTATIVE REGULAR LOCAL RINGS

by P. F. SMITH

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Let  $R$  be a ring (with identity). We shall call  $R$  a *local ring* if  $R$  is a right noetherian ring such that the Jacobson radical  $M$  is a maximal ideal (and so is the only maximal ideal),  $\bigcap_{n=1}^{\infty} M^n = 0$  and  $R/M$  is a simple artinian ring. A local ring  $R$  with maximal ideal  $M$  is called *regular* if there exists a chain

$$M = M_0 \supset M_1 \supset M_2 \supset \dots \supset M_n = 0$$

of ideals  $M_i$  of  $R$  such that  $M_{i-1}/M_i$  is generated by a central regular element of  $R/M_i$  ( $1 \leq i \leq n$ ). For such a ring  $R$ , Walker [6, Theorem 2.7] proved that  $R$  is prime and  $n$  is the right global dimension of  $R$ , the Krull dimension of  $R$ , the homological dimension of the  $R$ -module  $R/M$  and the supremum of the lengths of chains of prime ideals of  $R$ . Such regular local rings will be called *n-dimensional*. The aim of this note is to give examples of regular local rings. These arise as localizations of universal enveloping algebras of nilpotent Lie algebras over fields and localizations of group algebras of certain finitely generated finite-by-nilpotent groups.

An ideal  $I$  of a ring  $R$  is called a *central ideal* if  $I$  can be generated by central elements of  $R$ . More generally an ideal  $I$  is *polycentral* if there exists a chain

$$0 = I_0 \subseteq I_1 \subseteq \dots \subseteq I_m = I$$

of ideals  $I_j$  of  $R$  such that  $I_j/I_{j-1}$  is a central ideal of  $R/I_{j-1}$  ( $1 \leq j \leq m$ ). The ring  $R$  is *polycentral* if every ideal of  $R$  is polycentral. If  $P$  is a prime ideal of a right noetherian polycentral ring  $R$ , and

$$\mathcal{C}(P) = \{c \in R : c+P \text{ is a regular element of } R/P\},$$

then  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(P)$  [5, Theorem 2.2]. It follows that if

$$K = \{r \in R : rc = 0 \text{ for some } c \in \mathcal{C}(P)\}$$

then  $K$  is an ideal of  $R$  and  $c+K$  is a regular element of  $R/K$  for all  $c$  in  $\mathcal{C}(P)$ . Moreover the partial right quotient ring of  $R/K$  with respect to  $\{c+K : c \in \mathcal{C}(P)\}$  is a local ring and will be denoted by  $R_P$ . A right noetherian polycentral ring  $R$  will be called *super-regular* (after [2, p. 120]) if  $R_P$  is a regular local ring for all prime ideals  $P$  of  $R$ . We shall prove the following result.

**THEOREM A.** *Let  $U$  be the universal enveloping algebra of a finite dimensional nilpotent Lie algebra  $g$  over a field  $k$ . Then  $U$  is a right and left noetherian super-regular polycentral integral domain. Moreover for any prime ideal  $P$  of  $U$  the dimension of  $U_P$  is at most  $\dim_k g$ .*

The result we shall prove for group rings is similar. If we make the convention that a simple artinian ring is a 0-dimensional regular local ring then it is well known that any semi-

simple artinian ring is a super-regular polycentral ring. In particular if  $k$  is a field and  $G$  is a finite group whose order is a unit in  $k$  then the group algebra  $kG$  is super-regular. Our second theorem is the following one.

**THEOREM B.** *Let  $R$  be a commutative noetherian super-regular ring and  $G$  be a finitely generated group with a normal subgroup  $H$  such that  $G/H$  is torsion-free nilpotent and the order of  $H$  is a unit in  $R$ . Then the group ring  $S = RG$  is a right and left noetherian super-regular polycentral ring.*

**1. Proof of Theorem A.** Let  $U$  be the universal enveloping algebra of a finite dimensional nilpotent Lie algebra  $g$  over a field  $k$ . Then  $U$  is isomorphic to the ring  $k[X_1, X_2, \dots, X_n]$  of polynomials in non-commuting indeterminates  $X_1, X_2, \dots, X_n$  where for all  $1 \leq i < j \leq n$ ,

$$[X_i, X_j] = X_iX_j - X_jX_i \in k[X_1, X_2, \dots, X_{i-1}]. \tag{1}$$

We are concerned with the following subrings of  $k[X_1, X_2, \dots, X_n]$ :

$$S_0 = k, S_i = k[X_1, X_2, \dots, X_i] \quad (1 \leq i \leq n).$$

That is, for each  $1 \leq i \leq n$ ,  $S_i$  is an Ore extension of  $S_{i-1}$  by  $X_i$  and hence every element of  $S$  can be written uniquely as a polynomial in  $X_i$  over  $S_{i-1}$ .

Let  $1 \leq i \leq n$ . If  $a \in S_i$  and  $1 \leq j \leq n$  then it follows immediately from (1) that  $[X_j, a] \in S_{i-1} \subseteq S_i$ . An ideal  $I$  of  $S_i$  is called a  $g$ -ideal if  $[X_j, a] \in I$  for all  $a \in I, 1 \leq j \leq n$ . The ring  $S_i$  is called  $g$ -hypercentral if whenever  $I_1 \supset I_2$  are  $g$ -ideals of  $S_i$  there exists an element  $c \in I_1 \setminus I_2$  such that  $[X_j, c] \in I_2$  ( $1 \leq j \leq n$ ).

**LEMMA 1.1.** *If  $i \geq 1$  and  $S_{i-1}$  is  $g$ -hypercentral then  $S_i$  is  $g$ -hypercentral.*

*Proof.* Let  $I_1 \supset I_2$  be  $g$ -ideals of  $S_i$ . For convenience let  $T$  denote  $S_{i-1}$  and  $X$  denote  $X_i$ . Let  $m$  be the least positive integer such that

$$I_1 \cap (T + XT + X^2T + \dots + X^mT) \supset I_2 \cap (T + XT + X^2T + \dots + X^mT).$$

For  $j = 1, 2$  let

$$K_j = \{t \in T : X^m t \in T + XT + \dots + X^{m-1}T + I_j\}.$$

Then it can easily be checked that  $K_1 \supset K_2$  are  $g$ -ideals of  $T$ . By hypothesis there exists  $c$  in  $K_1 \setminus K_2$  such that  $[X_j, c] \in K_2$  ( $1 \leq j \leq n$ ). There exist elements  $t_0, t_1, \dots, t_{m-1}$  of  $T$  such that if

$$d = t_0 + Xt_1 + X^2t_2 + \dots + X^{m-1}t_{m-1} + X^m c$$

then  $d \in I_1$ . Clearly  $d \notin I_2$ . If  $1 \leq j \leq n$  then

$$[X_j, d] \in T + XT + \dots + X^{m-1}T + X^m[X_j, c]$$

and so  $[X_j, d] \in I_2$ . The result follows.

**COROLLARY 1.2** (see [3, 2.6 and 2.7]).  *$U$  is a right and left noetherian polycentral domain.*

*Proof.* That  $U$  is a right and left noetherian domain is well known. By the lemma and induction on  $i$ ,  $U$  is  $g$ -hypercentral. Clearly it follows that  $U$  is polycentral.

LEMMA 1.3. Let  $P$  be a prime ideal of  $U$ ,  $P_1 = P \cap S_i$  and  $P_2 = P \cap S_{i-1}$  for some  $1 \leq i \leq n$ . Then  $P_1$  and  $S_i P_2$  are  $g$ -ideals of  $S_i$ . Moreover either  $P_1 = S_i P_2$  or there exists an element  $p$  in  $P_1 \setminus S_i P_2$  such that

- (i)  $[X_j, p] \in S_i P_2$  ( $1 \leq j \leq n$ ),
- (ii) if  $s \in S_i$  and  $ps \in S_i P_2$  then  $s \in S_i P_2$ , and
- (iii) for all  $q \in P_1$  there exists  $c \in \mathcal{C}(P)$  such that  $qc \in S_i P_2 + S_i p$ .

*Proof.* That  $P_1$  and  $S_i P_2$  are  $g$ -ideals of  $S_i$  follows from (1) above. Clearly  $P_1 \supseteq S_i P_2$ . For convenience let  $T$  denote  $S_{i-1}$  and  $X$  denote  $X_i$ . If  $P_1 \supset S_i P_2$  then let  $m \geq 1$  be the least positive integer such that

$$P_1 \cap (T + XT + \dots + X^m T) \supset (S_i P_2) \cap (T + XT + \dots + X^m T).$$

By the proof of Lemma 1.1 there exist elements  $a_0, a_1, a_2, \dots, a_m$  of  $T$  such that the element  $p = \sum_{i=0}^m X^i a_i$  belongs to  $P_1 \setminus S_i P_2$  and satisfies  $[X_j, p] \in S_i P_2 = \sum_{i=0}^{\infty} X^i P_2$  ( $1 \leq j \leq n$ ).

By (1),  $[X_j, a_m] \in P_2 \subseteq P$  ( $1 \leq i \leq n$ ) and since  $a_m \notin P$  it follows that  $a_m \in \mathcal{C}(P)$ . Suppose that (ii) is false and let  $s = \sum_{i=0}^u X^i s_i \in S_i$ , with  $s_i \in T$  ( $0 \leq i \leq u$ ), be of least degree in  $X$  over  $T$  such that  $ps \in S_i P_2$  and  $s \notin S_i P_2$ . Then  $a_m s_u \in P_2$  by (1) and hence  $s_u \in P_2$ , contradicting the choice of  $s$ . Thus (ii) holds.

To establish (iii) let  $q = \sum_{i=0}^v X^i q_i \in P_1$ . If  $q \notin S_i P_2$  then  $v \geq m$ . It is clear that

$$q a_m - X^{v-m} q_v p \in \sum_{i=0}^{v-1} X^i T.$$

By induction on  $v$  there exists  $f \in S_i$  such that

$$q a_m^{v-m+1} - f p \in \sum_{i=0}^{m-1} X^i T.$$

By the choice of  $m$ ,  $q a_m^{v-m+1} \in S_i P_2 + S_i p$ . Since  $a_m \in \mathcal{C}(P)$ , (iii) holds and the element  $p$  has the properties (i), (ii), (iii).

A prime ideal  $P$  of a ring  $R$  is *regularly localizable* if there is a chain

$$P = P_0 \supseteq P_1 \supseteq \dots \supseteq P_m = 0 \tag{2}$$

of ideals of  $R$  such that if  $0 \leq i \leq m-1$  and  $P_i/P_{i+1}$  is not generated by a central regular element of  $R/P_{i+1}$  then for all  $p \in P_i$  there exists  $c \in \mathcal{C}(P)$  such that  $pc \in P_{i+1}$ . The proof of [5, Theorem 2.2] can easily be adapted to show that if  $P$  is a regularly localizable prime ideal of a right noetherian ring  $R$  then  $R$  has the right Ore condition with respect to  $\mathcal{C}(P)$ .

LEMMA 1.4. If a prime ideal  $P$  of a prime right noetherian ring  $R$  is regularly localizable then  $R_p$  is a regular local ring.

*Proof.* With the above notation the chain (2) gives rise to the chain

$$P R_p = P_0 R_p \supseteq P_1 R_p \supseteq \dots \supseteq P_m R_p = 0$$

of ideals of  $R_p$  such that for all  $0 \leq i \leq m-1$ ,  $P_i R_p = P_{i+1} R_p$  or  $P_i R_p / P_{i+1} R_p$  is generated by a central regular element of  $R_p$ . It follows that  $R_p$  is a regular local ring.

*Proof of Theorem A.* Let  $P$  be a prime ideal of  $U$  and consider the chain

$$P = P_0 \supseteq P_1 \supseteq \dots \supseteq P_n = 0$$

of ideals of  $U$  where  $P_i = U(P \cap S_{n-i})$ . Suppose  $0 \leq i \leq n-1$  and  $P_i \supseteq P_{i+1}$ . Then  $P \cap S_{n-i} \supseteq S_{n-i}(P \cap S_{n-i-1})$ . Since  $U$  is a free  $S_{n-i}$ -module we infer from Lemma 1.3 (i), (ii) that there exists  $p \in (P \cap S_{n-i}) \setminus S_{n-i}(P \cap S_{n-i-1})$  such that  $p + P_{i+1}$  is a central regular element of  $U/P_{i+1}$ . It follows by Lemma 1.3 (iii) that  $P$  is regularly localizable. By Lemma 1.4,  $U_p$  is a regular local ring and it is clear that the dimension of  $U_p$  is at most  $n$ .

In our consideration of the ring  $k[X_1, X_2, \dots, X_n]$  the fact that  $k$  is a field has not played a prominent role. Indeed the above methods give the following generalization of [2, Theorem 171].

**THEOREM 1.5.** *Let  $R$  be a right noetherian super-regular polycentral ring and  $S$  be the ring  $R[X_1, X_2, \dots, X_n]$  of polynomials in the non-commuting indeterminates  $X_1, X_2, \dots, X_n$  where  $[X_i, X_j] \in R[X_1, X_2, \dots, X_{i-1}]$  ( $1 \leq i < j \leq n$ ). Then  $S$  is a right noetherian super-regular polycentral ring.*

**2. Proof of Theorem B.** Let  $R$  be a commutative noetherian super-regular ring,  $G$  be a group and  $S$  be the group ring  $RG$ . If  $P$  is a prime ideal of  $S$  then  $Q = P \cap R$  is a prime ideal of  $R$ . By passing to the group ring  $R_Q G$  it is clear that we can suppose that  $R$  is a regular local ring with maximal ideal  $Q = P \cap R$ . It is also clear that, by passing to the group ring  $(R/Q)G$ , we can suppose in Theorem B that  $R$  is a field which we shall denote by  $k$ .

Let  $k$  be a field and  $G$  be a finitely generated group which has a finite normal subgroup  $H$  such that  $G/H$  is a torsion-free nilpotent group and the order of  $H$  is a unit in  $k$ . The group ring  $S = kG$  is right and left noetherian by methods of P. Hall [1, Theorem 1] and is polycentral by [4].

Since  $G/H$  is a finitely generated torsion-free nilpotent group, there exists a chain

$$H = F_0 \subset F_1 \subset F_2 \subset \dots \subset F_n = G$$

of normal subgroups  $F_i$  of  $G$ , such that for each  $1 \leq i \leq n$ ,  $[F_i, G] \subseteq F_{i-1}$  and  $F_i/F_{i-1}$  is an infinite cyclic group. Let  $S_i$  denote the group ring  $kF_i$  ( $0 \leq i \leq n$ ). An ideal  $I$  of  $S_i$  is a  $G$ -ideal if  $I^x = x^{-1}Ix = I$  for all  $x$  in  $G$ . The following analogue of Lemma 1.3 can be proved by adapting and combining the proofs of Lemma 1.3 and [4, Lemma 7].

**LEMMA 2.1.** *Let  $P$  be a prime ideal of  $S$ ,  $P_1 = P \cap S_i$  and  $P_2 = P \cap S_{i-1}$  for some  $1 \leq i \leq n$ . Then  $P_1$  and  $S_i P_2$  are  $G$ -ideals of  $S_i$ . Moreover either  $P_1 = S_i P_2$  or there exists an element  $p$  in  $P_1 \setminus S_i P_2$  such that*

- (i)  $p^y - p \in S_i P_2$  for all  $y$  in  $G$ ,
- (ii) if  $s \in S_i$  and  $ps \in S_i P_2$  then  $s \in S_i P_2$ , and
- (iii) for all  $q \in P_1$  there exists  $c \in \mathcal{C}(P)$  such that  $qc \in S_i P_2 + S_i p$ .

If  $A$  is an ideal of  $S$  then we denote by  $\mathcal{C}(A)$  the set of elements  $s$  of  $S$  such that  $s + A$  is

a regular element of  $S/A$ . If  $P$  is a prime ideal of  $S$  and  $A = \{s \in S : sc = 0 \text{ for some } c \in \mathcal{C}(P)\}$  then, as we remarked above,  $\mathcal{C}(P) \subseteq \mathcal{C}(A)$ . It follows that  $A = \{s \in S : cs = 0 \text{ for some } c \in \mathcal{C}(P)\}$ . Since  $kH$  is a semisimple artinian ring there exists a unique central idempotent element  $e$  of  $kH$  which generates  $P \cap kH$ . From the uniqueness of  $e$  it follows that  $e^x = e$  for all  $x$  in  $G$  and hence  $e$  is a central element of  $S$ . Thus  $B = S(P \cap kH)$  is an ideal of  $S$  and  $B(1-e) = 0$ . We have the following lemma.

LEMMA 2.2. *With the above notation  $B \subseteq A$  and  $\mathcal{C}(B) \subseteq \mathcal{C}(A)$ .*

*Proof.* It is clear that  $B \subseteq A$ . If  $c \in \mathcal{C}(B)$  and  $x \in S$  satisfy  $cx \in A$  then there exists  $d \in \mathcal{C}(P)$  such that  $cx d = 0$ . Therefore  $x d \in B \subseteq A$  and hence  $x \in A$ . The result follows.

Theorem B follows from Lemma 2.1 in roughly the same way as Theorem A followed from Lemma 1.3. Let  $P$  be a prime ideal of  $S$ . If  $P$  is generated by the element  $e$  introduced above then certainly  $P$  is regularly localizable. Otherwise, since in the above notation  $S$  is a free  $S_i$ -module ( $0 \leq i \leq n$ ) it follows by Lemmas 2.1 and 2.2 that there exist  $i \geq 1$  and  $c \in P \cap S_i$  such that  $c + A$  is a central regular element of  $S/A$ , where by  $A$  we mean the set defined above. It follows by Lemma 2.1 that  $P$  is regularly localizable. By Lemma 1.4  $S_P$  is a regular local ring. Thus  $S$  is a right and left noetherian super-regular polycentral ring. This completes the proof of Theorem B.

Let  $R$  be a commutative noetherian super-regular ring,  $G$  be a finitely generated group which has a finite normal subgroup  $H$  such that the order of  $H$  is a unit in  $R$  and  $G/H$  is torsion-free nilpotent. If  $S$  is the group ring  $RG$ , let  $P$  be a prime ideal of  $S$  and  $Q = P \cap R$ . Then the proof of Theorem B makes it clear that the dimension of  $S_P$  does not exceed the sum of the dimension of  $R_Q$  and the Hirsch number of  $G$ .

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UNIVERSITY OF GLASGOW  
DEPARTMENT OF MATHEMATICS  
UNIVERSITY GARDENS  
GLASGOW  
G12 8QW