#### On Optimal Replication of Data Object at Hierarchical and Transparent Web Proxies

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# Outline

- Introduction
- Problem Formulation
- Computing the Induced Tree of Proxy Nodes
- Proxies with Unlimited Storage Capacities
- Proxies with Limited Storage Capacities
- Simulations

### **Overview**

- This paper investigates the optimal replication of data objects at hierarchical and transparent web proxies.
- Two cases of data replication at proxies are studied: 1) proxies having unlimited storage capacities and 2) proxies having limited storage capacities.
- For the former case, an efficient algorithm for computing the optimal result is proposed.
- For the latter case, they prove the problem is NP-hard, and propose two heuristic algorithms.

## **Proxy and Data Replication**

- Typical web caching techniques
- In this paper, they address the problem of data replication at proxies.
- Data replication proactively places a copy of data and anticipates many clients to make use of the copy at a proxy.
- By transparent, they mean the proxies are capable of intercepting users' requests and forwarding the requests to a higher level proxy if the requested data are not present in their local cache.

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#### **Problem Formulation**

- The network is modeled by a connected graph G(V, E).
- For a link  $(u, v) \in E$ , d(u, v) is the distance of the link.
- Let s be the origin server. Suppose there are k proxies that can be used by s to host its contents, denoted by P = {p<sub>1</sub>, p<sub>2</sub>, ..., p<sub>k</sub>}.
- The locations of the k proxies are given in prior.
- Each proxy, p<sub>i</sub>, has a limited storage allocation to this server s, denoted by c<sub>i</sub>.
- The origin server has a set of m data objects, denoted by  $O = \{o_1, o_2, \dots, p_m\}$ . Each data object,  $o_i$ , has a size  $z_i$ .
- Every node, u ∈ V, has a read frequencey to data object o<sub>i</sub>, denoted by r(u, o<sub>i</sub>), i ≤ i ≤ m. Each data object, o<sub>i</sub>, has an update frequency w(o<sub>i</sub>).

- The distance function to path  $\pi(u, v)$  between nodes u and v is  $d(u, v) = \sum_{(x,y)\in\pi(u,v)} d(x, y).$
- Let  $d(v, o_i)$  denote the distance from node v to object  $o_i$ .
- d(v, o<sub>i</sub>) is d(v, p<sub>j</sub>) if a request for retrieving o<sub>i</sub> is served by proxy p<sub>j</sub> and it becomes d(v, s) if the request is missed by all the proxies and is finally served by the origin server s.
- The cost of the user at node v to retrieve  $o_i$  is  $r(v, o_i) \times d(v, o_i) \times z_i$ .
- The total retrieval cost of  $o_i$  by all cilents in the network is  $readCost(o_i) = \sum_{v \in V} r(v, o_i) \times d(v, o_i) \times z_i$ .

- The replicas of a data object at proxies need to be updated when the original copy is modified.
- When data object o<sub>i</sub> is updated, the new version of o<sub>i</sub> needs to be transmitted to the proxies that hold o<sub>i</sub>.
- They assume the multicast model is used for the server to transmit updated data to proxies. That is, the route for multicasting from server *s* to proxies is a multicast tree (*MT*).
- Let P(o<sub>i</sub>) denote a set of proxies where o<sub>i</sub> is replicated (including the server s) and MT(s, P(o<sub>i</sub>)) the multicast tree rooted from s to reach all proxies in P(o<sub>i</sub>).
- The updating cost (write cost) of  $o_i$  is

 $writeCost(o_i) = w(o_i) \times z_i \times \sum$ 

 $(x,y) \in MT(s,P(o_i))$ 

d(x,y).

readCost(o<sub>i</sub>) + writeCost(o<sub>i</sub>) is the total access cost to o<sub>i</sub> by all clients in the network:

$$Cost(o_i) = \sum_{v \in V} r(v, o_i) \times d(v, o_i) \times z_i + w(o_i) \times z_i \times \sum_{(x, y) \in MT(s, P(o_i))} d(x, y)$$

• The overall cost for all clients to access all m objects in the network is  $C_{net} = \sum_{m=0}^{m} C_{net}(a)$ 

$$Cost = \sum_{i=1}^{n} Cost(o_i).$$

 Since each proxy has a limited storage capacity for s, the total size of s's data objects replicated at this proxy should not exceed this capacity. The constraint can be represented as:

$$\forall i : \sum_{j=1}^{m} \delta_{ij} \times z_j \le c_i,$$

where 
$$|\delta_{ij} = \begin{cases} 1, & \text{if } p_i \in P(o_j) \\ 0, & \text{otherwise} \end{cases}$$
,  $1 \le i \le k$ .

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# **Stable Routing**

- If the routing in the network is stable, requests would always take the same route to the origin server.
- In this case, the routes from all clients to access the origin server s form a tree, where the root of the tree is s and all the leaf nodes are clients. Some (not all) nonleaf nodes are proxy nodes.
- Let  $T_s$  denote such a routing tree from all clients to the server s.
- Since we only concern about data replication at proxies and the proxy nodes are given in prior, we can focus on the induced tree that contains only proxy nodes.
- Let  $T_s(P)$  denote the induced tree of  $T_s$ .

#### Induced Tree



### **Aggreate Read Frequency**

- Let  $T_p$  denote a subtree of  $T_s$  and the root of  $T_p$  is p.
- p' is said to be a *direct child proxy* of p if p' is a proxy node in the subtree of T<sub>p</sub> and there is no other proxy nodes in the path between p and p' along the tree.
- For any p ∈ T<sub>s</sub>(P), let C(p) denote the set of *direct child proxies* of p in T<sub>s</sub>.
- Fro any  $p \in T_s(P)$ , the aggregate read frequency of  $o_j$  is:

$$r^+(p, o_j) = \sum_{v \in T_p - \bigcup_{p' \in C(p)} T_{p'}} r(v, o_j)$$

# Another Representation of $Cost(o_i)$

Let 
$$p(v), v \in V$$
, be the first proxy node from  $v$  to root  $s$  along tree  $T_s$ . The distance  $d(v, o_i)$  consists of two parts:  $d(v, p(v))$  and  $d(p(v), o_i)$ . Notice that  $d(p(v), o_i) = 0$  if  $p(v)$  holds  $o_i$ ,  
 $Cost(o_i) = \sum_{v \in V} r(v, o_i) \times (d(v, p(v))) + d(p(v), o_i)) \times z_i + writeCost(o_i)$   
 $= \sum_{v \in V} r(v, o_i) \times d(v, p(v)) \times z_i +$   
 $\sum_{v \in V} r(v, o_i) \times d(p(v), o_i) \times z_i + writeCost(o_i)$   
 $= \sum_{v \in V} r(v, o_i) \times d(v, p(v)) \times z_i +$   
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 $\sum_{v \in V} r^+(p, o_i) \times d(p, o_i) \times z_i + writeCost(o_i)$   
 $\sum_{p \in T_s(P)} r^+(p, o_i) \times d(p, o_i) \times z_i + writeCost(o_i)$   
 $\sum_{p \in T_s(P)} r^+(p, o_i) \times d(p, o_i) \times z_i + writeCost(o_i)$ 

#### An Example



#### Saving Gain by Replicating $o_i$ at $p_1$

$$\left(\sum_{u \in T_{p1}} r(u, o_i)\right) \times d(p_1, s) \times z_i - w(o_i) \times d(p_1, s) \times z_i$$
$$= \left(\sum_{u \in T_{p1}} r(u, o_i)\right) \times d(p_1, p_2) \times z_i + \left(\sum_{u \in T_{p1}} r(u, o_i)\right)$$
$$\times d(p_2, s) \times z_i - w(o_i) \times d(p_1, p_2) \times z_i - w(o_i) \times d(p_2, s) \times z_i$$

# Saving Gain by Replicating $o_i$ also at

- $p_2$ 
  - When o<sub>i</sub> is also replicated at p<sub>2</sub>, all nodes in (T<sub>p2</sub> T<sub>p1</sub>) will come to p<sub>2</sub> to read o<sub>i</sub>.
- The distance saved for reading  $o_i$  at  $p_2$  is  $d(p_2, s)$ .

$$\left(\sum_{u \in (T_{p2} - T_{p1})} r(u, o_i)\right) \times d(p_2, s) \times z_i$$
$$= \left(\sum_{u \in T_{p2}} r(u, o_i)\right) \times d(p_2, s) \times z_i - \left(\sum_{u \in T_{p1}} r(u, o_i)\right) \times d(p_2, s) \times z_i$$

# Saving Gain by Replicating $o_i$ also at

 $p_3$ 

• Considering also replicating  $o_i$  at  $p_3$ , which is similar to the case at  $p_1$ , the net gain by replicating  $o_i$  at  $p_3$  is:

 $\left(\sum_{u \in T_{p3}} r(u, o_i)\right) \times d(p_3, s) \times z_i - w(o_i) \times d(p_3, s) \times z_i$ 

# Total Gain

- The net gain by replicating  $o_i$  at  $p_1$ ,  $p_2$ , and  $p_3$ :  $\left(\sum_{u \in T_{p1}} r(u, o_i) - w(o_i)\right) \times d(p_1, p_2) \times z_i + \left(\sum_{u \in T_{p2}} r(u, o_i) - w(o_i)\right) \times d(p_2, s) \times z_i + \left(\sum_{u \in T_{p3}} r(u, o_i) - w(o_i)\right) \times d(p_3, s) \times z_i$
- Notice that the distances d(p<sub>1</sub>, p<sub>2</sub>), d(p<sub>2</sub>, s), and d(p<sub>3</sub>, s) above are the distances from a proxy that has o<sub>i</sub> to its first ancestor that also holds o<sub>i</sub> in T<sub>s</sub>(P).
- Let d(p, o<sub>i</sub>), p ∈ T<sub>s</sub>(P), denote the distance from a proxy p to its first ancestor holding o<sub>i</sub> in T<sub>s</sub>(P). For example, d(p<sub>1</sub>, o<sub>i</sub>) is d(p<sub>1</sub>, p<sub>2</sub>).
- The total net gain of replicating o<sub>i</sub> at all proxies in P(o<sub>i</sub>) against the case of no replication of o<sub>i</sub> is

 $\sum_{p \in P(o_i)} \left( \left( \sum_{u \in T_p} r(u, o_i) - w(o_i) \right) \times d(p, o_i) \times z_i \right).$ 

# Cost

- Assuming there is no replica of  $o_i$  placed at any proxies, i.e., only the server holds  $o_i$ , the total cost for accessing  $o_i$  is:  $Cost^0(o_i) = \sum_{v \in V} r(v, o_i) \times d(v, p(v)) \times z_i + \sum_{p \in T_s(P)} r^+(p, o_i) \times d(p, s) \times z_i$ .
- The cost of accessing  $o_i$ :  $Cost(o_i) = Cost^0(o_i) \sum_{p \in P(o_i)} \left( \left( \sum_{u \in T_p} r(u, o_i) w(o_i) \right) \times d(p, o_i) \times z_i \right).$
- The overall cost for the accesses to all m objects is:

$$Cost = \sum_{i=1}^{m} Cost(o_i) = \sum_{i=1}^{m} Cost^0(o_i) - \sum_{i=1}^{m} \sum_{p \in P(o_i)} \left( \left( \sum_{u \in T_p} r(u, o_i) - w(o_i) \right) \times d(p, o_i) \times z_i \right)$$

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### Independent between Each Other

 If proxies have unlimited storage, the optimal replication of all objects at proxies consists of the optimal replication of each object at proxies. The optimal replication of each object is independent from the others.

#### Lemma 1

**Lemma 1** If  $P(o_i)$  is the optimal replication of  $o_i$  in  $T_s(P)$ , for any path  $\pi(p_1, p_2)$  in  $T_s(P)$ , where  $p_1, p_2 \in P(o_i)$ , then  $P(o_i) \cup \{p | p \in \pi(p_1, p_2)\}$  is still an optimal replication.

# **Minimal Number of Replicas**

- Notice that Lemma 1 states that if o<sub>i</sub> is replicated at p<sub>1</sub> and p<sub>2</sub> and they are in a path in T<sub>s</sub>(P), then o<sub>i</sub> can be replicated at any proxy node in between p<sub>1</sub> and p<sub>2</sub>, because it does not incur any extra cost for updating the object but save the cost for reading the object.
- However, if there is no read access to o<sub>i</sub> at a proxy node, there is no need to replicate it.
- So an optimal set of replicas also contains only the minimal number of replicas.

#### Lemma 2

Lemma 2 Let  $P(o_i) = \left\{ p | \sum_{u \in T_p} r(u, o_i) > w(o_i), p \in T_s(P) \right\}$ . Then,  $P(o_i)$  is the optimal replication of  $o_i$  in  $T_s(p)$ . Proof: Prove it by contradiction. Assume  $P(o_i)$  is not optimal and  $P_i^{opt}$ is the optimal replication of  $o_i$ . Then, there must exist a proxy  $p' \in P_i^{opt}$ , but  $p' \notin P(o_i)$ . Since  $p' \notin P(o_i)$ , we have  $\sum_{u \in T_{p'}} r(u, o_i) \le w(o_i)$ . Consider the two cases: Case  $\sum_{u \in T_{p'}} r(u, o_i) < w(o_i)$ : Case  $\sum_{u \in T_{p'}} r(u, o_i) = w(o_i)$ :

The two case contradict to the assumption. So the lemma follows.

### **Opt-replic Algorithm**

 $\begin{array}{l} \textbf{Opt-replic} \\ P(o_i) \leftarrow s; \\ C \leftarrow \{s' \text{s children}\}; \\ \textbf{while } C \neq \emptyset \ \textbf{do} \\ \text{ pick any node } p \in C \ \text{and remove } p \ \text{from } C; \\ \textbf{if } \sum_{u \in T_p} r^+(u, o_i) > w(o_i), \ \textbf{then} \\ P(o_i) \leftarrow P(o_i) \cup p; \\ C \leftarrow C \cup \{p' \text{s children}\}; \\ \textbf{end-while} \\ \end{array}$ 

#### **Theorem 1**

**Theorem 1**  $P(o_i)$  produced by Opt-replic algorithm is the set of optimal replication of  $o_i$ 

**Proof:** According to Lemma 2,  $P(o_i)$  is the optimal replication of  $o_i$ .

# Theorem 2

**Theorem 2** Opt-replic algorithm can produce the optimal replication of  $o_i$  in time  $O(|P|^2)$ , and the optimal replication of m objects in time  $O(m|P|^2)$ , where |P| is the number of nodes in  $T_s(P)$ . **Proof:** 

- The algorithm searches nodes in  $T_s(P)$  at most once.
- At each node p, it computes  $\sum_{u \in T_p} r(u, o_i) > w(o_i)$ , which takes time  $O(|T_p|).$
- Thus, Opt-replic has complexity of  $\sum_{p \in T_s(P)} |T_p| = O(|P|^2)$ .
- Because the optimal replication of objects is independent from each other, by using Opt-replic algorithm to compute the optimal replication of m objects, it takes time  $O(m|P|^2)$ .

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#### Theorem 3

They refer the problem of optimal replication of data objects at proxies that have limited storage capacities as ORLS.

**Theorem 3** The ORLS problem is NP-hard.

# A Greedy Heuristic

- The greedy algorithm traverses the nodes in  $T_s(P)$  in a breadth-first fashion, starting from root *s*.
- At each node p it traverses, it evaluates the gain of replicating  $o_i$  at p defined as:  $gain(p, o_i) = (\sum_{v \in T_n} r(u, o_i) w(o_i)) \times d(p, o_i) \times z_i$ .
- The objects are sorted in descending order according to their gains (the objects with 0 or negative gains are dropped out).
- Then, the algorithm simply replicates at p the first k objects that have the largest gains and can be accommodated at p.
- For the rest of the storage at *p*, the objects from the rest of the list are chosen to fill it up.

# A Greedy Heuristic (Cont.)

```
Greedy
   append s's children to a list L in the order from left to
   right;
   p = \text{get-head}(L);
   greedy-call(p);
greedy-call(p) {
   if p = mil then return;
   sort all objects o_i in descending order of gain(p, o_i) > 0;
   // denote the sorted object list as o_{i_1}, o_{i_2}, \ldots, o_{i_\ell}
   if z_{i_1} + z_{i_2} + \ldots + z_{i_k} \le c_p but z_{i_1} + z_{i_2} + \ldots + z_{i_k} + z_{i_{k+1}} > c_p
   then
      replicate o_{i_1}, o_{i_2}, \ldots, o_{i_k} at p;
      search the rest of objects in the list to fill up the rest of
      space in p;
   append p's children to L in the order from left to right;
   p' = \text{get-head}(L);
   greedy-call(p');
```

# Theorem 4

**Theorem 4** The time complexity of Greedy algorithm is  $O(|P|^2m + |P|mlgm)$ . **Proof:** 

- Greedy algorithm computes the replication at each node in  $T_s(P)$  by calling subroutine greedy-call(p).
- At each node p, it computes  $gain(p, o_i)$  for all objects, which take time  $O(|T_p|m)$ .
- The sorting of  $gain(p, o_i)$  for  $1 \le i \le m$  takes time O(mlgm).
- Therefore, computing the replication at all nodes in  $T_s(P)$  takes time:

$$O\left(\sum_{p\in T_s(P)} (|T_p|m+mlgm)\right) = O(|P|^2m+|P|mlgm).$$

### A Knapsack-Based Heuristic

• The overall gain of replicating all possible objects is:

$$\sum_{i=1}^{m} \left( \left( \sum_{u \in T_{p}} r(u, o_{i}) - w(o_{i}) \right) \times d(p, o_{i}) \times z_{i} \right) x_{i}$$

$$where x_{i} = \begin{cases} 1, & \text{if } o_{i} \text{ is replicated;} \\ 0, & \text{otherwise.} \end{cases}$$
(1)

# A Knapsack-Based Heuristic (Cont.)

- Let  $\mu_i = \left(\sum_{u \in T_p} r(u, o_i) w(o_i)\right) \times d(p, o_i) \times z_i$ , which is a constant for a given  $o_i$  at p.
- The problem of finding the optimal replication of objects at p can be formulated as

$$\max \sum_{i=1}^{m} \mu_{i} x_{i} \\
s.t. \begin{cases} z_{1} x_{1} + z_{2} x_{2} + \dots + z_{m} x_{m} \leq c_{p} \\
x_{i} = 0 \text{ or } 1, \text{ for } i = 1, 2, \dots, m. \end{cases}$$
(2)

• This is a typical knapsack problem. They use an auxiliary direct graph  $G_A = (V_A, E_A)$  to solve this problem.

# The Auxiliary Graph



# Knapsack-h Algorithm

```
Knapsack-h{
   append s's children to a list L in the order from left to
   right;
   p = \text{get-head}(L);
   knapsack-call(p);
knapsack-call(p){
   if p = nil then return;
   construct G_A = (V_A, E_A) for p with capacity c_p;
   compute the largest path from s to d and obtain values of
   x_i, 1 \leq i \leq m;
   append p's children to L in the order from left to right;
   p' = \text{get-head}(L);
   knapsack-call(p');
```

#### Theorem 5

**Theorem 5** The time complexity of Knapsack-h algorithm is  $O(m^2 \sum_{p \in T_s(P)} c_p^2)$ . Proof:

- At node p, the construction of  $G_A = (V_A, E_A)$  and the computing of the longest path takes time  $O(|V_A||E_A|) = O(m^2 c_p^2)$ . Because  $|V_A|$  is  $m \times (c_p + 1) + 2$ , and  $|E_A|$  is at most  $2|V_A|$ .
- The algorithm traverses every node p in  $T_s(P)$ . It takes time  $O(\sum_{p \in T_s(P)} m^2 c_p^2 = O(m^2 \sum_{p \in T_s P} c_p^2).$

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#### **Simulation Parameters**

- Network topologies used in the simulations are generated using the Inet topology generator.
- The size of the networks used in the simulations is of 5,000 nodes.
- Server node, *s*, is randomly picked up from the graph.
- A set of proxy nodes are also randomly picked from the graph nodes. The default number of proxies in the simulations is 50.
- The total number of data objects stored at the Web server is 10,000.
- The distribution of object sizes follows a heavy-tailed characterization, which consists of a body and tail.
- The cut-off point of the body and the tail is approximately at 133K. By using this setting, more than 93 percent of objects fall into the body distribution. The mean size of objects is about 11K.

# Simulation Parameters (Cont.)

- The read frequency to data object o<sub>i</sub> follows the Zipf-like distribution.
- Let p(i) be the probability of accessing the *i*th most popular object. The Zipf-like distribution is:  $p(i) = \frac{1}{i^{\alpha}}$ , where  $\alpha$  is typically between 0.6 and 0.8.
- During the simulations, the parameter  $\alpha$  in the Zipf distribution is set to 0.75.
- They simply assume all objects have the same level of read-write ratio. Let  $\alpha$  be the read-write ratio. The update frequency to  $o_i$  is  $w(o_i) = \alpha \sum_{v \in T_s} r(v, o_i)$ .











Fig. 7. Relative cost versus read-write ratio.

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Fig. 8. Relative cost versus number of proxies. On Optimal Replication of Data Object at Hierarchical and Transparent Web Proxies – p. 46/46