

## On ordinal diagrams

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G. Takeuti developed the theory of ordinal diagrams of order  $n$  (where  $n$  is a positive integer) in [2], and generalized it to the theory of ordinal diagrams constructed from well-ordered sets  $I$ ,  $A$ , and  $S$  in [3]. It was necessary to consider  $S$  in order to prove the accessibility for  $\text{Od}(I, A, S)$  (the system of ordinal diagrams constructed from  $I$ ,  $A$  and  $S$ ) given in [3]. But  $S$  did not serve to extend the system of ordinal diagrams. In fact, if we denote  $\text{Od}(I, A, S)$  and  $\text{O}(I, A, S)$  with empty  $S$  by  $\text{Od}(I, A)$  and  $\text{O}(I, A)$  respectively, we can embed  $\text{Od}(I, A, S)$  (or  $\text{O}(I, A, S)$ ) into  $\text{Od}(\{*\} \cup I, A \cup S)$  (or  $\text{O}(\{*\} \cup I, A \cup S)$ ), where  $*$  is distinct from any element of  $I$ ,  $A$  and  $S$ ; the notation  $A \cup S$  means the well-ordered set obtained from  $A$  and  $S$  by keeping the orders in themselves and setting the elements of  $A$  before the elements of  $S$ . The embedding is defined as follows:

1. If  $\alpha \in A$ , then  $\alpha^*$  is  $\alpha$ .
2. If  $\alpha$  is of the form  $(\alpha_0, s)$ , then  $\alpha^*$  is  $(*, \alpha_0^*, s)$ .
3. If  $\alpha$  is of the form  $(i, \alpha_1, \alpha_2)$ , then  $\alpha^*$  is  $(i, \alpha_1^*, \alpha_2^*)$ .
4. If  $\alpha$  is of the form  $\alpha_1 \# \alpha_2$ , then  $\alpha^*$  is  $\alpha_1^* \# \alpha_2^*$ .

Now we can simplify the proof of the accessibility of  $\text{Od}(I, A, S)$  in a similar way as in § 2 of [2], whether  $S$  is empty or not (cf. § 2 of this paper). In this paper, we shall construct a system  $\text{Od}(I)$ , namely "the system of ordinal diagrams constructed from a well-ordered set  $I$ " (in § 1), and prove that the system is well-ordered for the given orderings in a similar way as in [2] (in § 2). Then we shall show that the present system is a generalization of previous systems. In fact,  $\text{Od}(I, A)$  is embedded into  $\text{Od}(I \cup A)$  in § 3. By the way, we shall show that a formal theory of  $\text{Od}(I, A)$  can be formalized in the system developed in [5] and is consistent.

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### § 1. Ordinal diagrams constructed from $I$ .

Let  $I$  be a well-ordered set with the order  $<^*$  and  $o$  be the first element of  $I$ . In this section, we shall construct a kind of system of ordinal diagrams, called *ordinal diagrams constructed from  $I$*  and denoted by  $\text{Od}(I)$ . Though

the word o.d. is used in [2] and in [3] to denote an element of ordinal diagrams developed there, we use it instead of 'an element of  $\text{Od}(I)$ ' for simplification throughout this and the next sections.

1.  $\text{Od}(I)$  is defined recursively as follows:
  - 1.1. If  $i \in I$ , then  $i$  is an o.d.
  - 1.2. If  $\alpha$  and  $\beta$  are o.d.'s, then  $(\alpha, \beta)$  is an o.d.
  - 1.3. If  $\alpha$  and  $\beta$  are o.d.'s, then  $\alpha \# \beta$  is an o.d.
2. An o.d.  $\alpha$  is called a *c.o.d.* (connected ordinal diagram constructed from  $I$ ), if and only if the operation used in the final step of construction of  $\alpha$  is not  $\#$ .
3. Let  $\alpha$  be an o.d. We define *components* of  $\alpha$  recursively as follows:
  - 3.1. If  $\alpha$  is a c.o.d., then  $\alpha$  has exactly one component which is  $\alpha$  itself.
  - 3.2. If  $\alpha$  is an o.d. of the form  $\alpha_1 \# \alpha_2$ , then the components of  $\alpha$  are the components of  $\alpha_1$  and of  $\alpha_2$ .
4. Let  $\alpha$  and  $\beta$  be o.d.'s. We define  $\alpha = \beta$  recursively as follows:
  - 4.1. Let  $\alpha \in I$ . Then  $\alpha = \beta$ , if  $\beta$  is an element of  $I$  and equal to  $\alpha$  in  $I$ .
  - 4.2. Let  $\alpha$  be of the form  $(\alpha_0, \alpha_1)$ . Then  $\alpha = \beta$  if  $\beta$  is of the form  $(\beta_0, \beta_1)$  and  $\alpha_0 = \beta_0$  and  $\alpha_1 = \beta_1$ .
  - 4.3. Let  $\alpha$  have  $k$  components  $\alpha_1, \dots, \alpha_k$  ( $k > 1$ ). Then  $\alpha = \beta$ , if  $\beta$  has  $k$ -components, and  $\beta_1, \dots, \beta_k$  being these components, there exists a permutation  $(m_1, \dots, m_k)$  of  $(1, \dots, k)$  such that  $\alpha_n = \beta_{m_n}$  for  $n = 1, \dots, k$ .
  - 4.4.  $\beta = \alpha$  if  $\alpha = \beta$ .
5. Let  $\alpha$  be an o.d. The *rank* of  $\alpha$  means the sum of the number of  $(, )$  and  $\#$  in  $\alpha$ .
6. Let  $\alpha, \beta$  and  $\xi$  be o.d.'s. We define the relations  $\beta \sqsubset_{\xi} \alpha$  (to read:  $\beta$  is a  $\xi$ -section of  $\alpha$ ) and  $\beta <_{\xi} \alpha$ ,  $\beta <_{\infty} \alpha$  and 'index of  $\alpha$ ' simultaneously as follows:
  - 6.1. If  $\alpha, \beta \in I$ , then  $\beta <_{\xi} \alpha$  and  $\beta <_{\infty} \alpha$  means  $\beta <^* \alpha$ .
  - 6.2. Let one (or both) of  $\alpha$  and  $\beta$  be not a c.o.d., and the components of  $\alpha$  and  $\beta$  be  $\alpha_1, \dots, \alpha_h$  and  $\beta_1, \dots, \beta_k$  respectively.  $\beta <_{\xi} \alpha$  holds if one of the following conditions is satisfied:
    - 6.2.1. There exists an  $\alpha_m$  ( $1 \leq m \leq h$ ) such that  $\beta_n <_{\xi} \alpha_m$  holds for every  $n$  ( $1 \leq n \leq k$ ).
    - 6.2.2.  $h > 1$ ,  $k = 1$  and  $\beta_1 = \alpha_m$  for some  $m$  ( $1 \leq m \leq h$ ).
    - 6.2.3.  $h > 1$ ,  $k > 1$  and there exist an  $\alpha_m$  ( $1 \leq m \leq h$ ) and a  $\beta_n$  ( $1 \leq n \leq k$ ) such that  $\alpha_m = \beta_n$  and
 
$$\beta_1 \# \dots \# \beta_{n-1} \# \beta_{n+1} \# \dots \# \beta_k <_{\xi} \alpha_1 \# \dots \# \alpha_{m-1} \# \alpha_{m+1} \# \dots \# \alpha_h.$$

$\beta <_{\infty} \alpha$  holds if one of 6.2.1-6.2.3 with  $\infty$  in place of  $\xi$  is fulfilled.

- 6.3. If  $\alpha \in I$ , then  $\beta \subset_{\xi} \alpha$  never holds.  
 6.4. Let  $\alpha$  be of the form  $(\alpha_0, \alpha_1)$ .  
 6.4.1. If  $\xi <_o \alpha_0$ , then  $\beta \subset_{\xi} \alpha$  if and only if  $\beta \subset_{\xi} \alpha_1$ .  
 6.4.2. If  $\xi = \alpha_0$ , then  $\beta \subset_{\xi} \alpha$  if and only if  $\beta$  is  $\alpha_1$ .  
 6.4.3. If  $\alpha_0 <_o \xi$ , then  $\beta \subset_{\xi} \alpha$  never holds.  
 6.5. Let  $\alpha$  be of the form  $\alpha_1 \# \alpha_2$ . Then  $\beta \subset_{\xi} \alpha$  if and only if either  $\beta \subset_{\xi} \alpha_1$  or  $\beta \subset_{\xi} \alpha_2$  holds.

6.6.  $\xi$  is called an *index* of  $\alpha$ , if  $\alpha$  has a  $\xi$ -section.

In the following we shall simply say ' $\xi$  is less (or greater) than  $\eta$ ' and ' $\xi$  is the minimum (or maximum)' in place of ' $\xi$  is less (or greater) than  $\eta$  in the sense of  $<_o$ ' and ' $\xi$  is the minimum (or maximum) in the sense of  $<_o$ ', respectively.

6.7. Let  $\alpha$  and  $\beta$  be c.o.d.'s. If there exists an index  $\eta$  of  $\alpha$  and/or  $\beta$  such that  $\xi <_o \eta$ , then  $\xi^+$  is defined to be the minimum of such indices; otherwise,  $\xi^+$  is defined to be  $\infty$ . Then  $\beta <_{\xi} \alpha$ , if and only if one of the following conditions is fulfilled:

6.7.1. There exists a  $\xi$ -section  $\alpha_0$  of  $\alpha$  such that  $\beta \leq_{\xi} \alpha_0$ .

6.7.2.  $\beta_0 <_{\xi} \alpha$  for every  $\xi$ -section  $\beta_0$  of  $\beta$  and  $\beta <_{\xi^+} \alpha$ .

6.8. Let  $\alpha$  and  $\beta$  be c.o.d.'s of the form  $(\alpha_0, \alpha_1)$  and  $(\beta_0, \beta_1)$  respectively.  $\beta <_{\infty} \alpha$  if and only if one of the following conditions is fulfilled:

6.8.1.  $\beta_0 <_o \alpha_0$ .

6.8.2.  $\beta_0 = \alpha_0$  and  $\beta_1 <_{\alpha_0} \alpha_1$ .

6.9. Let  $\alpha \in I$  and  $\beta$  be a c.o.d. of the form  $(\beta_0, \beta_1)$ .  $\alpha <_{\infty} \beta$  if  $\alpha \leq_o \beta_0$ ,  $\beta <_{\infty} \alpha$  if  $\beta_0 <_o \alpha$ .

Under these definitions the following propositions are easily proved.

PROPOSITION 1.  $=$  is an equivalence relation between o.d.'s.

PROPOSITION 2. Let  $\alpha_1, \alpha_2, \beta_1, \beta_2$  be o.d.'s.  $\alpha_1 = \beta_1$  and  $\alpha_2 = \beta_2$  imply  $\alpha_1 \# \alpha_2 = \beta_1 \# \beta_2$ .

PROPOSITION 3. Let  $\alpha_1, \alpha_2, \beta_1, \beta_2$  be o.d.'s and  $\gamma$  be an o.d. or  $\infty$ . Then  $\alpha_1 = \beta_1$ ,  $\alpha_2 = \beta_2$  and  $\alpha_1 <_{\gamma} \alpha_2$  imply  $\beta_1 <_{\gamma} \beta_2$ .

PROPOSITION 4. Each of the relations  $<_{\xi}$ , where  $\xi$  is an o.d. or  $\infty$ , defines a linear order between o.d.'s.

PROPOSITION 5. Let  $\alpha$  and  $\beta$  be o.d.'s. Then  $\beta <_{\xi} (\alpha, \beta)$  for every  $\gamma$  such that  $\gamma \leq_o \alpha$ .

## §2. Accessibility of $\text{Od}(I)$ .

Let  $S$  be a system with a linear order  $<$ . An element  $s$  of  $S$  is called 'accessible in  $S$  (or accessible for  $<$ )', if the subsystem of  $S$  consisting of elements, which are not greater than  $s$  in the sense of  $<$ , is well-ordered.  $S$  is called accessible, if the whole system is well-ordered by  $<$ .

1. Let  $\alpha$  and  $\beta$  be o.d.'s. We define a relation  $\beta \ll \alpha$  (to read;  $\beta$  is a *value* of  $\alpha$ ) as follows:

1.1. If  $\alpha \in I$ , then  $\alpha$  has no value, that is,  $\beta \ll \alpha$  never holds.

1.2. Let  $\alpha$  be not a c.o.d. and have components  $\alpha_1, \dots, \alpha_k$ . Then  $\beta \ll \alpha$ , if  $\beta \ll \alpha_m$  for some  $m$  ( $1 \leq m \leq k$ ).

1.3. Let  $\alpha$  be of the form  $(\alpha_0, \alpha_1)$ . Then  $\beta \ll \alpha$ , if  $\beta$  is  $\alpha_0$  or  $\beta \ll \alpha_0$  or  $\beta \ll \alpha_1$ .

2. Let  $\alpha$  and  $\beta$  be o.d.'s.  $\beta$  is called a  $(\xi_1, \dots, \xi_n)$ -*section* of  $\alpha$ , if the following conditions are fulfilled:

2.1.  $\xi_1 \leq_o \xi_2 \leq_o \dots \leq_o \xi_n$ .

2.2. There exists a series of o.d.'s  $\alpha = \alpha_0, \alpha_1, \dots, \alpha_n = \beta$  such that  $\alpha_k$  is the maximal component of a  $\xi_k$ -section of  $\alpha_{k-1}$  in the sense of  $<_{\xi_k}$  for every  $k$  ( $k=1, 2, \dots, n$ ).

3. Let  $\xi$  be an o.d.  $\xi \# o$  is called the *successor* of  $\xi$  and sometimes denoted as  $\xi'$ . (It is clearly seen that no o.d. lies between  $\xi$  and  $\xi \# o$  for  $<_{\eta}$  where  $\eta$  is an o.d. or  $\infty$ ). An o.d.  $\xi$  is called a *l.o.d.* (limit ordinal diagram constructed from  $I$ ), if every component of  $\xi$  is different from  $o$ .

4. Let  $\alpha$  be an o.d. and  $\xi$  be an o.d. accessible for  $<_o$ . We define ' $\alpha$  is a  $\xi$ -fan' and ' $\alpha$  is  $\xi$ -accessible' by transfinite induction on  $\xi$  for  $<_o$  as follows:

4.1. An o.d., every value of which is accessible for  $<_o$ , is an  $o$ -fan.

4.2.  $\alpha$  is  $\xi$ -accessible, if and only if  $\alpha$  is a  $\xi$ -fan and accessible for  $<_o$  in the system of  $\xi$ -fans.

4.3.  $\alpha$  is  $\xi \# o$ -fan, if and only if  $\alpha$  is a  $\xi$ -fan and every  $\xi$ -section of  $\alpha$  is  $\xi$ -accessible.

4.4. Let  $\xi$  be a l.o.d.  $\alpha$  is a  $\xi$ -fan, if and only if  $\alpha$  is an  $\eta$ -fan for every  $\eta$  satisfying  $\eta <_o \xi$ .

Let  $\alpha$  be an o.d.  $\alpha$  is called an  $\infty$ -fan, if  $\alpha$  is a  $\xi$ -fan for every o.d.  $\xi$  accessible for  $<_o$ , and is called to be  $\infty$ -accessible, if  $\alpha$  is an  $\infty$ -fan and accessible for  $<_{\infty}$  in the system of  $\infty$ -fans.

The following propositions are easily proved.

PROPOSITION 1. Let  $\alpha$  and  $\xi$  be o.d.'s. If every o.d. less than  $\alpha$  in the sense of  $<_{\xi}$  is accessible for  $<_{\xi}$ , then  $\alpha$  is accessible for  $<_{\xi}$ .

PROPOSITION 2. Let  $\alpha$  and  $\xi$  be o.d.'s. If  $\alpha$  is accessible for  $<_{\xi}$ , then every o.d. less than  $\alpha$  in the sense of  $<_{\xi}$  is accessible for  $<_{\xi}$ .

PROPOSITION 3. Let  $\alpha_1, \dots, \alpha_n$  and  $\xi$  be o.d.'s. If  $\alpha_1, \dots, \alpha_n$  are accessible for  $<_{\xi}$ , then  $\alpha_1 \# \dots \# \alpha_n$  is accessible for  $<_{\xi}$ .

These propositions remain correct, if we replace 'o.d.  $\xi$ ', 'o.d.'s  $\alpha, \alpha_1, \dots, \alpha_n$ ' and 'accessible for  $<_{\xi}$ ' by 'o.d.  $\xi$  accessible for  $<_o$ ', ' $\xi$ -fans  $\alpha, \alpha_1, \dots, \alpha_n$ ' and ' $\xi$ -accessible', respectively. We refer to thus replaced propositions as Propo-

sitions 1\*-3\*.

PROPOSITION 4. *Let  $\xi$  be an o.d. accessible for  $<_o$ . If  $\alpha$  is  $\xi\#o$ -accessible, then  $\alpha$  is  $\xi$ -accessible.*

PROOF.  $\alpha$  is a  $\xi$ -fan by the definition. We may assume that every  $\xi'$ -fan  $\beta$  satisfying  $\beta <_{\xi'} \alpha$  is  $\xi$ -accessible. We shall prove that every  $\xi$ -fan  $\beta$  such that  $\beta <_{\xi} \alpha$  is  $\xi'$ -fan and  $\xi$ -accessible by induction on the rank of  $\beta$ . Let  $\beta$  be a  $\xi$ -fan such that  $\beta <_{\xi} \alpha$ . If  $\beta$  has a  $\xi$ -section  $\beta_0$ ,  $\beta_0$  is a  $\xi$ -fan and  $\beta_0 <_{\xi} \alpha$ . Then  $\beta_0$  is  $\xi$ -accessible by the hypothesis of induction. We see that  $\beta$  is a  $\xi'$ -fan, whether  $\beta$  has a  $\xi$ -section or not. Then one of the following conditions holds:

- (1)  $\beta <_{\xi'} \alpha$ .
- (2) There exists a  $\xi$ -section  $\alpha_0$  of  $\alpha$  such that  $\beta \leq_{\xi} \alpha_0$ .

In the former case,  $\beta$  is  $\xi$ -accessible by our assumption. In the latter case, since  $\alpha_0$  is  $\xi$ -accessible,  $\xi$ -accessibility of  $\beta$  follows from Proposition 1\*, q. e. d.

PROPOSITION 5. *Let  $\xi$  be a l.o.d. accessible for  $<_o$ , and the following condition (C) be satisfied:*

(C) *For any  $\eta, \zeta$  such that  $\eta <_o \zeta <_o \xi$ , every  $\zeta$ -accessible  $\xi$ -fan is  $\eta$ -accessible. Then ' $\alpha$  is  $\xi$ -accessible' implies ' $\alpha$  is  $\eta$ -accessible' for every  $\eta$  less than  $\xi$ .*

PROOF. Let the condition (C) be satisfied and  $\alpha$  be  $\xi$ -accessible. Let  $\xi_0$  be the successor of the greatest index less than  $\xi$ . We have only to prove that  $\alpha$  is  $\eta$ -accessible for every  $\eta$  such that  $\xi_0 \leq_o \eta \leq_o \xi$ . We shall prove this by transfinite induction for  $<_{\xi}$  on  $\alpha$ . We may assume that every  $\xi$ -fan such that  $\beta <_{\xi} \alpha$  is  $\zeta$ -accessible for every  $\zeta$  less than  $\xi$ . For the proof we define an auxiliary notion ' $\gamma$  is the  $n$ -th  $\eta$ -branch of  $\beta$  with respect to  $\zeta_0$  and  $\zeta_1$ ' recursively as follows:

5.1. If  $\zeta_0 \leq_o \eta <_o \zeta_1$  and  $\gamma \subset_{\eta} \beta$ ,  $\gamma$  is the 1st  $\eta$ -branch of  $\beta$  with respect to  $\zeta_0$  and  $\zeta_1$ .

5.2. Let  $\gamma \subset_{\eta} \delta$  and  $\delta$  be the  $n$ -th  $\zeta$ -branch of  $\beta$  with respect to  $\zeta_0$  and  $\zeta_1$ . If  $\zeta_0 \leq_o \eta <_o \zeta$ , then  $\gamma$  is the  $n$ -th  $\eta$ -branch of  $\beta$ . If  $\zeta \leq_o \eta <_o \zeta_1$  then  $\gamma$  is the  $n+1$ -st  $\eta$ -branch of  $\beta$  with respect to  $\zeta_0$  and  $\zeta_1$ .

Let  $\eta$  satisfy  $\xi_0 \leq_o \eta <_o \xi$ , and  $\beta$  be an  $\eta$ -fan and  $\beta <_{\eta} \alpha$ . We shall prove that  $\beta$  is a  $\xi$ -fan and  $\zeta$ -accessible of every  $\zeta$  such that  $\xi_0 \leq_o \zeta <_o \xi$  by induction on the number of branches of  $\beta$  with respect to  $\xi_0$  and  $\xi$ . Let  $\beta_0$  be an arbitrary  $\zeta_0$ -branch of  $\beta$  ( $\xi_0 \leq_o \zeta_0 <_o \xi$ ). Using the hypothesis of induction, we see that  $\beta_0$  is a  $\xi$ -fan.  $\beta_0 <_{\xi} \alpha$  holds by means of  $\beta <_{\eta} \alpha$ . Then  $\beta_0$  is  $\zeta_0$ -accessible by the hypothesis of transfinite induction for  $<_{\xi}$ . Thus we may consider  $\beta$  as a  $\xi$ -fan.  $\beta <_{\xi} \alpha$  holds by means of  $\beta <_{\eta} \alpha$ . Then  $\beta$  is  $\zeta$ -accessible for every  $\zeta$  less than  $\xi$  by the hypothesis of transfinite induction. From this our proposition follows by Proposition 1\*. q. e. d.

By Propositions 4 and 5, we see easily

PROPOSITION 6. *Let  $\xi$  be an o.d. accessible for  $<_o$  and the condition (C) hold. Then for every  $\eta$  less than  $\xi$ , ' $\alpha$  is  $\xi$ -accessible' implies ' $\alpha$  is  $\eta$ -accessible'.*

PROPOSITION 7. *The condition (C) holds for an arbitrary o.d.  $\xi$  accessible for  $<_o$ .*

PROOF. We prove this by transfinite induction on  $\xi$ . Suppose now the proposition holds for every  $\xi_0$  less than  $\xi$ . If  $\xi$  is a l.o.d., our assertion is clear by the definition of  $\xi$ -fan. If  $\xi = \zeta_0 \#_o$ , our assertion holds for  $\zeta$  less than  $\zeta_0$  by the hypothesis of induction and for  $\zeta = \zeta_0$  by Proposition 6.

From Propositions 6 and 7 follows

PROPOSITION 8. *Let  $\xi$  be an o.d. accessible for  $<_o$ ,  $\alpha$  be  $\xi$ -accessible and  $\eta <_o \xi$ . Then  $\alpha$  is  $\eta$ -accessible.*

From Proposition 8 follows

PROPOSITION 9. *For any o.d.'s  $\eta, \zeta$  accessible for  $<_o$  and  $\eta <_o \zeta$  every  $\zeta$ -accessible  $\infty$ -fan is  $\eta$ -accessible.*

PROPOSITION 10. *If  $\alpha$  is  $\infty$ -accessible, then  $\alpha$  is  $\xi$ -accessible for every o.d.  $\xi$  accessible for  $<_o$ .*

PROOF. Following the proof of Proposition 5, we can prove this by the help of Proposition 9.

By transfinite induction over  $I$ , we have

PROPOSITION 11. *Every  $\infty$ -fan is  $\infty$ -accessible.*

From Propositions 10 and 11, we see easily

PROPOSITION 12. *Every  $\infty$ -fan is  $\xi$ -accessible for every  $\xi$  accessible for  $<_o$ .*

PROPOSITION 13. *Every o-fan is  $\xi$ -accessible where  $\xi$  is an arbitrary o.d. accessible for  $<_o$  or  $\xi$  is  $\infty$ .*

We see easily the following proposition.

PROPOSITION 14. *Let  $\alpha$  and  $\beta$  be c.o.d.'s and  $\xi$  an o.d. If  $\alpha <_\xi \beta$ , then  $\alpha <_\infty \beta$  or there exists a  $(\xi_1, \dots, \xi_n)$ -section  $\beta_0$  of  $\beta$  such that  $\xi \leq_o \xi_1$  and  $\alpha \leq_\infty \beta_0$ .*

Then we have

PROPOSITION 15. *Every value of an o.d.  $\alpha$  is less than  $\alpha$ .*

PROPOSITION 16. *Let  $\alpha$  be an o.d. and not an o-fan. Then there exists an o-fan  $\beta$  such that  $\beta <_o \alpha$  and  $\beta$  is not accessible for  $<_o$ .*

PROOF. We prove this by induction on the rank of  $\alpha$ . By the hypothesis of the proposition, there exists a value  $\alpha_0$  of  $\alpha$  not accessible for  $<_o$ . We have  $\alpha_0 <_o \alpha$  by Proposition 15. If  $\alpha_0$  is an o-fan, we can take  $\alpha_0$  as  $\beta$ . If  $\alpha_0$  is not an o-fan, there exists an o-fan  $\beta$  such that  $\beta <_o \alpha_0$  and  $\beta$  is not accessible for  $<_o$  by the hypothesis of induction. Then  $\beta$  has the required property.

q. e. d.

PROPOSITION 17. *Every o-fan is accessible for  $<_o$ .*

PROOF. We prove this by transfinite induction for  $<_o$  on the system of o-fans (cf. Proposition 13). Let  $\alpha$  be an o-fan. We may assume that every

$o$ -fan  $\beta$  less than  $\alpha$  is accessible for  $<_o$ . Under this hypothesis and Proposition 16, we see easily that, if  $\gamma <_o \alpha$  then  $\gamma$  is an  $o$ -fan. Then we have the proposition by Proposition 1.

PROPOSITION 18. *Every o.d. is an o-fan.*

PROPOSITION 19. *Every o.d. is accessible for  $<_o$ .*

THEOREM. *Every o.d. is accessible for  $<_\xi$ , where  $\xi$  is an arbitrary o.d. or  $\infty$ .*

PROOF. It follows from Propositions 18, 19 and 13.

### § 3. Relations between $\text{Od}(I, A)$ and $\text{Od}(I)$ .

In this section we shall show that  $\text{Od}(I, I)$  is embedded into  $\text{Od}(J)$ , where  $J$  is a union of two sets isomorphic to  $I$ .

1. Let  $I$  be well-ordered,  $<$  be the well-ordering of  $I$ , and the first element of  $I$  be denoted by  $o$ .

We define  $\tilde{I}$  to be a set consisting of all the  $i$  and  $\tilde{i}$  where  $i \in I$ .  $\tilde{<}$  is a well-ordering of  $\tilde{I}$ , which is defined as follows:

- 1.1. If  $i < j$ , then  $i \tilde{<} j$ .
- 1.2. If  $i \in I$  and  $j \in I$ , then  $i \tilde{<} \tilde{j}$ .
- 1.3. If  $i < j$ , then  $\tilde{i} \tilde{<} \tilde{j}$ .

2. In the following some notations (e. g.  $\#$ ,  $\infty$ ) are used in both  $\text{Od}(I, I)$  and  $\text{Od}(\tilde{I})$ .

Let  $\alpha$  be an element of  $\text{Od}(I, I)$ .  $\alpha^*$  is defined recursively as follows:

- 2.1. If  $\alpha \in I$ , then  $\alpha^*$  is  $\tilde{\alpha}$ .
- 2.2. If  $\alpha$  is of the form  $(i, \alpha_0, \alpha_1)$ , then  $\alpha^*$  is  $(\alpha_0^*, (i, \alpha_1^*))$ .
- 2.3. If  $\alpha$  is of the form  $\alpha_1 \# \alpha_2$ , then  $\alpha^*$  is  $\alpha_1^* \# \alpha_2^*$ .

We see easily the following propositions.

PROPOSITION 1. *If  $\alpha$  is an element of  $\text{Od}(I, I)$ , then  $\alpha^*$  is an element of  $\text{Od}(\tilde{I})$ .*

PROPOSITION 2. *Let  $\alpha$  and  $\beta$  be elements of  $\text{Od}(I, I)$ ,  $\alpha^* = \beta^*$  if and only if  $\alpha = \beta$*

PROPOSITION 3. *If  $i$  and  $\alpha$  belong to  $I$  and  $\text{Od}(I, I)$  respectively, then  $i <_\xi \alpha^*$  where  $\xi$  is an arbitrary element of  $\text{Od}(\tilde{I})$  or  $\infty$ .*

PROOF. We prove this by induction on the rank of  $\alpha$ . If  $\alpha \in I$ , then it is clear by 1.2. If  $\alpha$  is of the form  $(j, \alpha_1, \alpha_2)$  then  $\alpha^*$  is  $(\alpha_1^*, (j, \alpha_2^*))$ . By the hypothesis of induction  $i <_o \alpha_1^*$ , whence follows  $i <_\infty \alpha^*$ . Then  $i <_\xi \alpha^*$  for every  $\xi \geq_o \alpha_1^*$ . Since  $\alpha^*$  contains no  $\xi$ -section such that  $j <_o \xi <_o \alpha_1^*$ , this implies  $i <_\xi \alpha^*$  for  $j <_o \xi <_o \alpha_1^*$ . Since  $i <_j \alpha_2^*$  holds by the hypothesis of induction,  $i <_j \alpha^*$  holds. From this we see easily the proposition.

PROPOSITION 4. *Let  $\alpha$  and  $\beta$  be elements of  $\text{Od}(I, I)$  and  $i \in I$ .  $\beta^*$  is an  $i$ -section of  $\alpha^*$ , if and only if  $\beta$  is an  $i$ -section of  $\alpha$ .*

PROOF. We see easily the proposition by induction on the rank of  $\alpha$  and Proposition 3.

PROPOSITION 5. *Let  $\alpha$  and  $\beta$  be elements of  $\text{Od}(I, I)$ . If  $\alpha <_i \beta$ , then  $\alpha^* <_i \beta^*$  where  $i \in I$  or  $i$  is  $\infty$ .*

PROOF. We shall prove this by double induction on the sum of ranks of  $\alpha$  and  $\beta$  and the number of indices greater than  $i$  in  $\alpha$  and/or  $\beta$ .

First we shall prove the case  $i = \infty$ . We have only to prove  $\alpha <_\infty \beta$  implies  $\alpha^* <_\infty \beta^*$  under the following hypothesis of induction:

(H1) Let  $\gamma$  and  $\delta$  be any elements of  $\text{Od}(I, I)$ , and the sum of the ranks of  $\gamma, \delta$  be less than the sum of the ranks of  $\alpha$  and  $\beta$ . Then  $\gamma <_j \delta$  implies  $\gamma^* <_j \delta^*$  where  $j \in I$  or  $j$  is  $\infty$ .

To show this we separate the cases according to the forms of  $\alpha$  and  $\beta$ . Since other cases are easily treated, we treat here only the case that  $\alpha$  and  $\beta$  are of the form  $(i, \alpha_0, \alpha_1)$  and  $(j, \beta_0, \beta_1)$  respectively. If  $\alpha_0 <_o \beta_0$ , then  $\alpha_0^* <_o \beta_0^*$  by (H1), which implies  $\alpha^* <_\infty \beta^*$ . If  $\alpha_0 = \beta_0$ , then we have only to prove  $(i, \alpha_1^*) <_{\alpha_0^*} (j, \beta_1^*)$  (by Proposition 2), which follows from  $(i, \alpha_1^*) <_\infty (j, \beta_1^*)$  (by Proposition 3).  $(i, \alpha_1^*) <_\infty (j, \beta_1^*)$  follows from  $i < j$ , or  $i = j$  and  $\alpha_1^* <_i \beta_1^*$  according as  $i < j$ , or  $i = j$  and  $\alpha_1 <_i \beta_1$ .

Then we prove that  $\alpha <_i \beta$  implies  $\alpha^* <_i \beta^*$  for  $i \in I$  under (H1) and the following hypothesis of induction:

(H2)  $\alpha <_j \beta$  implies  $\alpha^* <_j \beta^*$  for every  $j$  such that the number of indices greater than  $j$  in  $\alpha$  and/or  $\beta$  is less than the number of indices greater than  $i$  in  $\alpha$  and/or  $\beta$ .

If there exists an  $i$ -section  $\beta_0$  of  $\beta$  such that  $\alpha \leq_i \beta_0$ , then  $\beta_0^*$  is an  $i$ -section of  $\beta^*$  and  $\alpha^* \leq_i \beta_0^*$  by Proposition 4 and (H1). Let  $\alpha_0 <_i \beta$  for every  $i$ -section  $\alpha_0$  of  $\alpha$  and  $\alpha <_j \beta$  where  $j$  is defined as follows: If there exists an index of  $\alpha$  and/or  $\beta$  greater than  $i$ , then  $j$  is defined to be the minimum of such indices; otherwise,  $j$  is defined to be  $\infty$ . Then  $\alpha_0^* <_i \beta^*$  for every  $i$ -section  $\alpha_0^*$  of  $\alpha^*$  and  $\alpha^* <_j \beta^*$  by Proposition 4 and (H2). From this follows  $\alpha^* <_i \beta^*$  by Proposition 4.

From these propositions follows

THEOREM 1.  *$\text{Od}(I, I)$  is embedded into  $\text{Od}(\tilde{I})$ .*

We define a subsystem  $O(I)$  of  $\text{Od}(I)$  recursively as follows:

- 3.1. If  $i \in I$  then  $i \in O(I)$ .
- 3.2. If  $i \in I$  and  $\alpha \in O(I)$ , then  $(i, \alpha) \in O(I)$ .
- 3.3. If  $\alpha \in O(I)$  and  $\beta \in O(I)$ , then  $\alpha \# \beta \in O(I)$ .

Then we have

COROLLARY 1.  *$O(I, I)$  is embedded into  $O(\tilde{I})$ .*

Let  $I$  and  $A$  be well-ordered. We have the following theorem in the same way as above.



**THEOREM 2.** *If  $I$  and  $A$  have no element in common,  $\text{Od}(I, A)$  is embedded into  $\text{Od}(I \cup A)$ .*

**COROLLARY 2.** *If  $I$  and  $A$  have no element in common,  $\text{O}(I, A)$  is embedded into  $\text{O}(I \cup A)$ .*

#### § 4. On a formal theory of $\text{Od}(I, A)$ .

In [5], G. Takeuti proved the consistency of a logical system. We shall consider the following slight modification of this system: Let  $I(a)$ ,  $A(a)$ ,  $a <^* b$  and  $a \dot{<} b$  be primitive recursive predicates, and  $<^*$  and  $\dot{<}$  well-orderings of  $I$  and  $A$ , where  $I$  and  $A$  are  $\{a \mid I(a)\}$  and  $\{a \mid A(a)\}$  respectively.

1. Every beginning sequence is of the form  $D \rightarrow D$  or of the form  $a = b$ ,  $F(a) \rightarrow F(b)$  or a 'mathematische Grundsequenz' in Gentzen [1], or one of the following forms:

$$\begin{aligned} I(a), A_m(a, b) &\rightarrow G_m(a, b, \{x, y\}(A_m(x, y) \wedge x <^* a)); \\ I(a), G_m(a, b, \{x, y\}(A_m(x, y) \wedge x <^* a)) &\rightarrow A_m(a, b); \\ A(a), B_n(a, b) &\rightarrow H_n(a, b, \{x, y\}(B_n(x, y) \wedge x \dot{<} a)); \\ A(a), H_n(a, b, \{x, y\}(B_n(x, y) \wedge x \dot{<} a)) &\rightarrow B_n(a, b); \end{aligned}$$

where  $m, n = 0, 1, 2, \dots$ ,  $A_0, A_1, \dots$ ,  $B_0, B_1, \dots$  are symbols for predicate and  $G_m$  and  $H_n$  are arbitrary formulas satisfying the following conditions:

(a)  $G_m(a, b, \alpha)$  and  $H_n(a, b, \alpha)$  do not contain  $A_m, A_{m+1}, A_{m+2}, \dots, B_0, B_1, B_2, \dots$  and  $B_n, B_{n+1}, B_{n+2}, \dots$  respectively.

(b) If  $G_m(a, b, \alpha)$  or  $H_n(a, b, \alpha)$  contains a formula of the form  $\forall \varphi F(\varphi)$ , then  $F(\beta)$  contains no bound  $f$ -variable.

2. The following inference 'induction' is added:

$$\frac{F(a), \Gamma \rightarrow \Delta, F(a+1)}{F(0), \Gamma \rightarrow \Delta, F(t)}$$

where  $a$  is contained in none of  $F(0)$ ,  $\Gamma$  and  $\Delta$ , and  $t$  is an arbitrary term.

3. The inference  $\forall$  left on  $f$ -variable

$$\frac{F(V), \Gamma \rightarrow \Delta}{\forall \varphi F(\varphi), \Gamma \rightarrow \Delta}$$

is restricted by the condition that  $F(\beta)$  contains no bound  $f$ -variable.

Then we have the following

**THEOREM.** *This system is consistent.*

**PROOF.** Let  $J$  be  $I \cup A$ ,  $<$  be a well-ordering of  $J$  defined as follows:

1. If  $i <^* j$ , then  $i < j$ .
2. If  $i \in I$  and  $a \in A$ , then  $i < a$ .
3. If  $a \dot{<} b$ , then  $a < b$ .

Then the proof is performed as in [5] considering  $J$  as  $I$ .

We see easily from the proof of §2, that the proof for accessibility of  $\text{Od}(I, A)$  can be given in a similar way as in §2 of [2]. We can develop a formal theory of  $\text{Od}(I, A)$  in a subsystem of the above system such that  $m=0, 1$  and  $n=0$ . It is noticed that for the consistency-proof for this subsystem, we have only to use  $\{\infty\} \cup J_0 \cup J_1$  instead of  $J_\infty$ . We shall not give an exact treatment of the formal theory here, but show how to develop it. First we give all the necessary concepts concerning the construction of  $\text{Od}(I, A)$  as the mathematische Grundsequenzen in the same way as in [4]. Let  $I(a), A(a), a <^* b, a \dot{<} b, O(a), <(i, a, b), \sqsubset(i, a, b)$  and  $\ll(a, b)$  be the formal counterparts of ' $a \in I$ ', ' $a \in A$ ', ' $a$  is less than  $b$  in  $I$ ', ' $a$  is less than  $b$  in  $A$ ', ' $a \in \text{Od}(I, A)$ ', ' $a <_i b$ ', ' $a \sqsubset_i b$ ' and ' $a \ll b$ ', respectively. We use further the following abbreviations:

$$\begin{aligned}
J^*(a) & \text{ for } \forall \varphi (\forall x (I(x) \wedge \forall y (y <^* x \vdash \varphi[y]) \vdash \varphi[x]) \vdash \varphi[a]); \\
D^*(a, \alpha) & \text{ for } \forall x (x <^* a \vdash \alpha[x]) \vdash J^*(a); \\
\check{J}(a) & \text{ for } \forall \varphi (\forall x (A(x) \wedge \forall y (y \dot{<} x \vdash \varphi[y]) \vdash \varphi[x]) \vdash \varphi[a]); \\
\check{D}(a, \alpha) & \text{ for } \forall x (x \dot{<} a \vdash \alpha[x]) \vdash \check{J}(a); \\
A(i, \alpha, a) & \text{ for } \forall \varphi (\forall x (\alpha[x] \wedge \forall y (\alpha[y] \wedge <(i; y, x) \vdash \varphi[y]) \vdash \varphi[x]) \vdash \varphi[a]); \\
A(i, a) & \text{ for } A(i, \{x\} O(x), a); \\
\tilde{O}(a) & \text{ for } O(a) \wedge \forall x (\ll(x, a) \vdash A(1, x)), \text{ where } 1 \text{ stands for the formal} \\
& \text{counterpart of the first element of } I; \\
B(i, a, \alpha) & \text{ for} \\
I(i) \wedge \tilde{O}(a) \wedge \forall x (x <^* i \vdash \alpha[x, a] \wedge \forall y (\sqsubset(x; y, a) \vdash A(x, \{u\} \alpha[x, u], y))) & ; \\
\tilde{I}(i) & \text{ for } I(i) \wedge i = 0, \text{ where } 0 \text{ stands for the formal counterpart of } \infty.
\end{aligned}$$

Then the following sequences are also used as beginning sequences of our system:

- 1.1.  $I(i), C^*(i) \rightarrow D^*(i, \{x\} (C^*(x) \wedge x <^* i))$ .
- 1.2.  $I(i), D^*(i, \{x\} (C^*(x) \wedge x <^* i)) \rightarrow C^*(i)$ .
- 1.3.  $A(a), \check{C}(a) \rightarrow \check{D}(a, \{x\} (\check{C}(x) \wedge x \dot{<} a))$ .
- 1.4.  $A(a), \check{D}(a, \{x\} (\check{C}(x) \wedge x \dot{<} a)) \rightarrow \check{C}(a)$ .
- 1.5.  $I(i), F(i, a) \rightarrow B(i, a, \{x, y\} (F(x, y) \wedge x <^* i))$ .
- 1.6.  $I(i), B(i, a, \{x, y\} (F(x, y) \wedge x <^* i)) \rightarrow F(i, a)$ .

We can prove that the sequence  $O(a), \tilde{I}(i) \rightarrow A(i, a)$  is provable in our system. This is done similarly as in [4], using the above proof of accessibility.

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