

## On $\pi$ -uniform Vector Bundles

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In this paper, we define a notion of " $\pi$ -uniform vector bundle" over a  $P^1$ -bundle  $\pi: V \rightarrow W$ , where  $V, W$  are algebraic varieties. First, generalizing a result of E. Sato ([5], Proposition 3), we give a necessary and sufficient condition in order that a vector bundle over a  $P^1$ -bundle is  $\pi$ -uniform (Lemma). By virtue of the Lemma, we give a cohomological condition in order that a vector bundle over the trivial ruled surface  $P^1 \times P^1$  is decomposable (Theorem 1). Also we generalize a result of S. Shatz in [6] (Corollary, p. 106) (Theorem 2).

In [2], Schwarzenberger defined the notion of 'uniform vector bundle' on a projective space  $P^n$ . Our ' $\pi$ -uniform vector bundle' is an analogue of his, and is suitable for our situation of  $P^1$ -bundle  $\pi: V \rightarrow W$ . In his paper on uniform vector bundles [5], E. Sato developed some methods for treating such bundles. This paper is inspired by [5].

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### §1. A criterion for $\pi$ -uniform vector bundles.

Let  $k$  be an algebraically closed field of arbitrary characteristic and  $\pi: V \rightarrow W$  a  $P^1$ -bundle, where  $V, W$  are algebraic varieties over  $k$ . By a vector bundle  $E$  on  $V$ , we mean a locally free  $\mathcal{O}_V$ -sheaf module of finite rank, where  $\mathcal{O}_V$  is the structure sheaf of  $V$ . We use the following notation;  $h^i(V, E) := \dim_k H^i(V, E)$ .

**DEFINITION 1.** We say that a vector bundle  $E$  on  $V$  is  $\pi$ -uniform, if the restriction  $E|_{\pi^{-1}(p)}$  of  $E$  to  $\pi^{-1}(p)$  is mutually isomorphic for any point  $p$  of  $W$ .

First the following proposition is an immediate consequence of Definition 1.

- PROPOSITION 1. (1) Any line bundle on  $V$  is  $\pi$ -uniform.  
 (2) A direct sum of line bundles is  $\pi$ -uniform.  
 (3) The dual of  $\pi$ -uniform vector bundle is  $\pi$ -uniform.  
 (4) If  $E$  is a  $\pi$ -uniform vector bundle on  $V$ , then so is  $E \otimes L$  for any line bundle  $L$  on  $V$ .

Now, the following is a key lemma.

LEMMA. Let  $E$  be a vector bundle of rank  $r$  on  $V$ . Then  $E$  is  $\pi$ -uniform if and only if one of the following conditions (A), (B) holds.

(A)  $E$  is an extension of  $\pi$ -uniform vector bundles,

$$0 \longrightarrow E_1 \longrightarrow E \longrightarrow E_2 \longrightarrow 0,$$

where  $E_1, E_2$  satisfy  $h^1(P^1, (E_2|_{\pi^{-1}(p)})^* \otimes (E_1|_{\pi^{-1}(p)})) = 0$  for any point  $p$  of  $W$ . Here  $(E_2|_{\pi^{-1}(p)})^*$  is the dual of  $E_2|_{\pi^{-1}(p)}$ .

(B)  $E \cong \pi^*(F) \otimes L$ , where  $F$  is a vector bundle on  $W$ , and  $L$  is a line bundle on  $V$ .

The proof of Lemma is essentially due to E. Sato ([5], where Sato treats the case of  $\pi: \text{Proj}(\mathcal{O}_{P^n} \oplus \mathcal{O}_{P^n}(1)) \rightarrow P^n$ ; the general case can be handled similarly).

REMARK 1. If  $W \cong P^1$ , then a  $\pi$ -uniform vector bundle which satisfies the condition (B) is a direct sum of line bundles.

REMARK 2. If  $W$  is an affine variety and  $V \cong P^1_W$ , then a  $\pi$ -uniform vector bundle of rank 2 which satisfies the condition (A) on  $V$  is decomposable.

## §2. $\pi$ -uniform vector bundles on rational ruled surfaces.

Let  $\pi: F_n = \text{Proj}(\mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1}(n)) \rightarrow P^1$  be a rational ruled surface over  $k$ , where  $n$  is a non-negative integer. We summarize some well-known facts on  $F_n$  from M. Maruyama in ([3], Chapter IV, 3). There is a minimal section  $M$  on  $F_n$  with  $(M, M) = -n$ . Let  $F$  be a fibre of  $F_n$ . Every divisor  $D$  on  $F_n$  is linearly equivalent to  $aM + bN$ , where  $a = (D, N)$  and  $b = (D, M) + an$ . Also we use the notions of decomposable vector bundle and of simple vector bundle in the usual sense (cf. [3]). Note that every simple vector bundle is indecomposable.

2.1. Let  $E(a, b)$  be the set of vector bundles which are obtained by the following extension;

$$0 \longrightarrow \mathcal{O}_{F_n} \longrightarrow E \longrightarrow \mathcal{O}_{F_n}(aM + bN) \longrightarrow 0.$$

Note that, by Proposition 1, if a vector bundle  $E$  of rank 2 is decomposable, then  $E$  is  $\pi$ -uniform. We show here the existence of elements of  $E(a, b)$ , which are  $\pi$ -uniform but indecomposable. More precisely, let  $U(a, b)$  be the subset of  $\pi$ -uniform vector bundles in  $E(a, b)$ . By Proposition 1,  $\mathcal{O}_{F_n} \oplus \mathcal{O}_{F_n}(aM + bN)$  is contained in  $U(a, b)$  and we put  $N(a, b) = U(a, b) - \{\mathcal{O}_{F_n} \oplus \mathcal{O}_{F_n}(aM + bN)\}$ . By virtue of the Oda's lemma [4], then we have;

PROPOSITION 2. (1) *The case  $n > 0$ ;*

(i) *If  $-an + b - 2 \geq 0$  and  $b \leq 0$ , then  $E(a, b) = U(a, b)$  and every element of  $N(a, b)$  is indecomposable and not simple.*

(ii) *If  $a \leq -1$  and  $b \geq 1$ , then  $E(a, b) = U(a, b)$  and every element of  $N(a, b)$  is simple.*

(2) *The case  $n = 0$ : If  $a \leq -1$  and  $b \geq 2$ , then  $E(a, b) = U(a, b)$  and every element of  $N(a, b)$  is simple.*

COROLLARY. *There are indecomposable and  $\pi$ -uniform vector bundles on  $F_n (n \geq 0)$ .*

EXAMPLE. The tangent bundle  $T_{F_n}$  of  $F_n$  has the following exact sequence;

$$0 \longrightarrow \mathcal{O}_{F_n}(2M + nN) \longrightarrow T_{F_n} \longrightarrow \mathcal{O}_{F_n}(2N) \longrightarrow 0.$$

By Lemma, we see that  $T_{F_n}$  is  $\pi$ -uniform. When  $n = 0$ ,  $T_{F_0} = \mathcal{O}_{F_0}(2M) \oplus \mathcal{O}_{F_0}(2N)$ . But when  $n > 0$ , the above sequence does not split. Therefore by Proposition 2, we see that  $T_{F_1}$  is simple, and  $T_{F_n} (n \geq 2)$  is indecomposable and not simple.

2.2. Here, we give a cohomological criterion in order that a vector bundle of rank 2 on  $P^1 \times P^1$  is decomposable. Let  $E$  be a vector bundle of rank  $r$  on  $F_n$ , and let  $E(\ell)$  denote  $E \otimes \mathcal{O}_{F_n}(\ell M + \ell(n+1)N)$ , where  $\ell$  is an integer.

PROPOSITION 3. *If  $h^1(F_n, E(\ell)) = 0$  for any integer  $\ell$ , then  $E$  is  $\pi$ -uniform.*

PROOF. For simplicity, we give the proof for  $r = 2$ . We consider the following exact sequence;

$$0 \longrightarrow \mathcal{O}_{F_n}(-N) \longrightarrow \mathcal{O}_{F_n} \longrightarrow \mathcal{O}_N \longrightarrow 0.$$

Tensoring with  $E(\ell M + (\ell n + \ell + 1)N)$ , we have

$$0 \longrightarrow E(\ell) \longrightarrow E(\ell M + (\ell n + \ell + 1)N) \longrightarrow E(\ell M)|_N \longrightarrow 0 .$$

From the assumption, we see that

$$(1) \quad 0 \longrightarrow H^0(F_0, E(\ell)) \longrightarrow H^0(F_0, E(\ell M + (\ell n + \ell + 1)N)) \\ \longrightarrow H^0(P^1, E(\ell M)|_N) \longrightarrow 0 .$$

Now we suppose that  $E$  is not  $\pi$ -uniform. Then we may assume that for two fibres  $N_1, N_2$  of  $\pi, E|_{N_i} \cong \mathcal{O}_{P^1}(a_1^i) \oplus \mathcal{O}_{P^1}(a_2^i)$  with  $a_1^i \geq a_2^i (i=1, 2)$  and  $a_1^1 \neq a_2^2$ . Therefore, it is clear that  $h^0(P^1, E(\ell M)|_{N_1}) \neq h^0(P^1, E(\ell M)|_{N_2})$ . But this contradicts to the exact sequence (1). q.e.d.

**THEOREM 1.** *Let  $E$  be a vector bundle of rank 2 on  $F_0$ . If  $h^1(F_0, E(\ell))=0$  for any integer  $\ell$ , then  $E$  is decomposable.*

**PROOF.** We put  $c_1(E)=aM+bN$  and  $c_2(E)=c$ . By virtue of the Riemann-Roch Theorem,

$$\chi(E(\ell)) = h^0(F_0, E(\ell)) - h^1(F_0, E(\ell)) + h^2(F_0, E(\ell)) \\ = 2\ell^2 + (a+b+4)\ell + (ab+a+b+2-c) .$$

From the assumption, we have  $\chi(E(\ell)) \geq 0$  for any integer  $\ell$ , and  $(a+b+4)^2 - 8(ab+a+b-c+2) = (a-b)^2 + 2(4c-2ab) \leq 0$ . Therefore we get  $c_1^2(E) - 4c_2(E) = 2ab - 4c \geq 0$ . From Proposition 3,  $E$  is  $\pi$ -uniform, and we may put  $E|_N \cong \mathcal{O}_{P^1}(a_1) \oplus \mathcal{O}_{P^1}(a_2)$  with  $a_1 \geq a_2$  and  $a_1 + a_2 = a$ . By Lemma and Remark 1, it is enough to prove the case of the condition (A) of Lemma. We may assume that  $E$  is obtained by the extension of line bundles  $L_1, L_2$  on  $F_0$ ;

$$0 \longrightarrow L_1 \longrightarrow E \longrightarrow L_2 \longrightarrow 0$$

where  $L_i = \mathcal{O}_{F_0}(a_i M + b_i N)$ . Also we may assume  $h^1(P^1, (L_{2|N})^* \otimes (L_{1|N})) = h^0(P^1, \mathcal{O}_{P^1}(a - 2a_1 - 2)) = 0$  (i.e.,  $a - 2a_1 \leq 1$ ). Now using the above, we show  $h^1(F_0, L_2^* \otimes L_1) = 0 \dots (2)$ . By K nneth formula we have  $h^1(F_0, L_2^* \otimes L_1) = h^0(P^1, \mathcal{O}_{P^1}(\alpha)) \cdot h^1(P^1, \mathcal{O}_{P^1}(\beta)) + h^1(P^1, \mathcal{O}_{P^1}(\alpha)) \cdot h^0(P^1, \mathcal{O}_{P^1}(\beta))$ , where  $\alpha = 2a_1 - a$ , and  $\beta = 2b_1 - b$ . If  $\alpha = -1$ , then  $h^0(P^1, \mathcal{O}_{P^1}(\alpha)) = h^1(P^1, \mathcal{O}_{P^1}(\alpha)) = 0$ , and we have (2). If  $\alpha = 0$ , then  $E$  is an inverse image of vector bundle of rank 2 on  $P^1$ , and (2) holds. Finally if  $\alpha > 0$ , then we have  $\beta \geq 0$  since  $2ab - 4c = \alpha\beta \geq 0$ . By  $\alpha > 0, \beta \geq 0$ , we have  $h^1(P^1, \mathcal{O}_{P^1}(\alpha)) = h^1(P^1, \mathcal{O}_{P^1}(\beta)) = 0$ , and we have (2). But (2) implies that  $E$  is decomposable. q.e.d.

**REMARK 3.** By virtue of Maruyama's Theorem ([3], Theorem 4.6), the inequality  $c_1^2(E) - 4c_2(E) \geq 0$  in the proof of Theorem 1 implies that

$E$  is not simple. By the way, from Proposition 2, there are many indecomposable, not simple and  $\pi$ -uniform vector bundles on  $F_*(n \geq 1)$ . Hence it is impossible to generalize the above result to general rational ruled surfaces.

### §3. The direct image under a finite flat covering map of ruled surfaces.

In [6], Shatz showed; let  $\pi: X \rightarrow C$  be a ruled surface,  $\phi: D \rightarrow C$  a finite flat covering of degree  $s$ . If  $\theta: Y \rightarrow X$  is the induced covering of  $X$  by base extension, and if  $L$  is any line bundle on  $Y$ , then the direct image  $\theta_*L$  is a  $\pi$ -uniform vector bundle of rank  $s$  on  $X$ . Moreover, under the same hypothesis as in the above result, the conclusion is still valid when  $L$  is replaced by a  $\pi_1$ -uniform vector bundle  $V$  on  $Y$  whose restriction to the fibre of  $Y$  has the special form  $\mathcal{O}_{P^1}(a)^{\oplus r}$ , where  $\pi_1$  is a morphism  $\pi_1: Y \rightarrow D$  (cf. [6] Proposition 7 and its Corollary). But, using Lemma, these results can be generalized to arbitrary  $\pi_1$ -uniform vector bundles of rank 2 on  $Y$ .

**THEOREM 2.** *If  $E$  is a  $\pi_1$ -uniform vector bundle of rank 2 on  $Y$ , then the direct image  $\theta_*E$  is a  $\pi$ -uniform vector bundle on  $X$ .*

**PROOF.** We have only to prove the case (A) in Lemma, because the case of (B) is contained in Shatz's result. We consider the extension;  $0 \rightarrow L_1 \rightarrow E \rightarrow L_2 \rightarrow 0$ . Since  $\theta$  is a finite flat covering, the direct image of the above extension is a short exact sequence;

$$0 \longrightarrow \theta_*L_1 \longrightarrow \theta_*E \longrightarrow \theta_*L_2 \longrightarrow 0 .$$

Shatz proved that for any line bundle  $L$  on  $Y$ , the restriction of the direct image  $\theta_*L$  to the fibres of  $\pi$  has the form  $L_{|\pi^{-1}(d)}^{\oplus s}$  for any  $d$  of  $D$  (cf. [6] Proposition 7). Hence, we have  $\theta_*L_{|\pi^{-1}(c)} \cong L_{|\pi_1^{-1}(d)}^{\oplus s}$ , where  $c = \phi(d)$ , and there is an isomorphism  $H^1(P^1, (\theta_*L_{2|\pi^{-1}(c)}})^* \otimes (\theta_*L_{1|\pi^{-1}(c)})) \cong \bigoplus H^1(P^1, (L_{2|\pi_1^{-1}(d)}})^* \otimes (L_{1|\pi_1^{-1}(d)}))$ . By Lemma,  $h^1(P^1, (L_{2|\pi_1^{-1}(d)}})^* \otimes (L_{1|\pi_1^{-1}(d)})) = 0$ , and therefore we have  $h^1(P^1, (\theta_*L_{2|\pi^{-1}(c)}})^* \otimes (\theta_*L_{1|\pi^{-1}(c)})) = 0$ . This completes the proof.

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