

## ON POLYNOMIALS IN A GALOIS FIELD\*

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1. *Introduction.* Let  $p$  be an arbitrary prime,  $n$  an integer  $\geq 1$ ,  $GF(p^n)$  the Galois field of order  $p^n$ ; let  $\mathfrak{D}(x, p^n)$  denote the totality of *primary* polynomials in the indeterminate  $x$ , with coefficients in  $GF(p^n)$ , that is, of polynomials such that the coefficient of the highest power of  $x$  is unity. In this note we give a number of miscellaneous results concerning the elements of  $\mathfrak{D}$ . The results are of two kinds. The first involve generalizations of certain formulas treated by the writer in another paper. ‡ Thus if we let  $\tau^{(\alpha)}(E)$  denote the number of divisors of  $E$  of degree  $\alpha$ , then, for  $\alpha \leq \beta$  and  $\alpha + \beta \leq \nu$ ,  $\nu$  the degree of  $E$  (we may evidently assume without any loss in generality that  $\alpha, \beta \leq \nu/2$ ),

$$(1) \quad \sum \tau^{(\alpha)}(E)\tau^{(\beta)}(E) = (\alpha + 1)p^{n\nu} - \alpha p^{n(\nu-1)},$$

the summation on the left being taken over all polynomials  $E$  of degree  $\nu$ . The other results of this kind involve generalized totient functions, as defined in §4.

The second group of formulas are of a different nature. Let us write  $p_0$  for  $p^n$ , and define

$$F_\rho(\nu) = \prod_{\alpha=1}^{\nu} (x^{p_0^\alpha} - x)^{p_0^{\rho(\nu-\alpha)}}, F(\nu) = F_1(\nu).$$

Then we show that the least common multiple of the polynomials of degree  $\nu$  is

$$(2) \quad L(\nu) = F_0(\nu);$$

the product of all the polynomials of degree  $\nu$  is

$$(3) \quad \prod_{\deg E = \nu} E = F(\nu) = F_1(\nu);$$

if  $Q_\rho(\nu)$  denote the product of those polynomials of degree  $\nu$  that

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are not divisible by the  $\rho$ th power of any polynomial (except 1), then

$$(4) \quad Q_\rho(h\rho + k) = \frac{F(h\rho + k)}{F^{\rho_0}(h\rho - \rho + k)} \left\{ \frac{F_\rho^{\rho_0}(h-1)}{F_\rho(h)} \right\}^{\rho\rho_0 k},$$

where it is assumed that  $0 \leq k < \rho$ .

2. *Notation.* Polynomials will be denoted by large italic letters, ordinary integers by small Greek and italic letters. We write  $\deg E$  for the degree of the polynomial  $E$ ;

$$|E| = p^{\nu} = p_0^{\nu},$$

where  $\nu = \deg E$ . If  $s$  is a real quantity  $> 1$ , then

$$\zeta(s) = \sum_E |E|^{-s},$$

summed over all  $E$  in  $\mathfrak{D}$ , is the zeta-function of  $\mathfrak{D}$ ; and it is immediately verified that

$$(5) \quad \zeta(s) = (1 - p_0^{1-s})^{-1}, \quad p_0 = p^n.$$

3. *The  $\tau$ -Functions.* We define

$$\sigma_t(E) = \sum_{A|E} |A|^t,$$

the summation being taken over all the divisors of  $E$ . Then we may verify without any difficulty the following  $\mathfrak{D}$  analog of a well known Ramanujan identity:\*

$$(6) \quad \sum_E \frac{\sigma_t(E)\sigma_u(E)}{|E|^s} = \frac{\zeta(s)\zeta(s-t)\zeta(s-u)\zeta(s-t-u)}{\zeta(2s-t-u)}.$$

Now it is evident from the definition of  $\tau^{(\alpha)}(E)$  and  $\sigma_t(E)$  that

$$\sigma_t(E) = \sum_\alpha \tau^{(\alpha)}(E) p_0^{\alpha t}$$

so that the left member of (1) is the coefficient of  $p_0^{\alpha t + \beta u - \nu s}$  in the right member of (6). But, using (5), the product of zetas in (6) is equal to

\* Messenger of Mathematics, vol. 45 (1916), pp. 81-84, or Collected Papers, 1927, pp. 133-135, formula (15).

$$(7) \quad \frac{1 - p_0^{1+t+u-2s}}{(1 - p_0^{1-s})(1 - p_0^{1+t-s})(1 - p_0^{1+u-s})(1 - p_0^{1+t+u-s})}.$$

To determine the coefficient in question, we note first that, for  $t, u < 0, s > 1$ ,

$$\frac{1}{(1 - p_0^{1+t-s})(1 - p_0^{1+u-s})(1 - p_0^{1+t+u-s})} = \sum_{\alpha, \beta, \nu} p_0^{\alpha t + \beta u + \nu - \nu s},$$

where the sum on the right is extended over all  $\alpha, \beta, \nu \geq 0$ , such that  $\alpha, \beta \leq \nu, \alpha + \beta \geq \nu$ . Then the denominator in (7) is

$$\sum_{\nu \geq \alpha + \beta} \min(\alpha + 1, \beta + 1) p_0^{\alpha t + \beta u + \nu - \nu s} + \sum_{\nu < \alpha + \beta};$$

clearly the second sum contributes nothing to the coefficient of  $p_0^{\alpha t + \beta u - \nu s}$  in (7) when  $\nu \geq \alpha + \beta$ , and so may be ignored. The coefficient in question is therefore

$$\begin{cases} (\gamma + 1)p_0^\nu - \gamma p_0^{\nu-1} & \text{for } \nu \geq 2, \\ (\gamma + 1)p_0^\nu & \text{for } \nu < 2, \end{cases}$$

where  $\gamma = \min(\alpha, \beta)$ , thus completing the proof of (1).

By means of the Ramanujan identity (6) we may evidently evaluate

$$(8) \quad \sum_{\deg E = \nu} \sigma_t(F)\sigma_u(F),$$

but for general  $t, u$ , the result is rather complicated. For certain special values of  $t, u$ , the sum in (8) is fairly simple. Thus, for  $u = 2t$ , it may be verified that

$$(9) \quad \sum_{\deg E = \nu} \sigma_t(E)\sigma_{2t}(E) = p_0^\nu \begin{bmatrix} \nu + 3 \\ 3 \end{bmatrix} - p_0^{\nu-1+3t} \begin{bmatrix} \nu + 1 \\ 3 \end{bmatrix},$$

where

$$\begin{bmatrix} \nu + 3 \\ 3 \end{bmatrix} = \frac{(p_0^{(\nu+3)t} - 1)(p_0^{(\nu+2)t} - 1)(p_0^{(\nu+1)t} - 1)}{(p_0^{3t} - 1)(p_0^{2t} - 1)(p_0^t - 1)}.$$

Again, for  $s = t = 0$ , if we put

$$\sigma_0(E) = \tau(E) = \sum_{A|E} 1 = \sum_{\alpha} \tau^{(\alpha)}(E).$$

then it is obvious that (7) implies

$$\sum_{\deg E = \nu} \tau^2(E) = p^\nu \begin{bmatrix} \nu + 3 \\ 3 \end{bmatrix} - p^{\nu-1} \begin{bmatrix} \nu + 1 \\ 3 \end{bmatrix},$$

which is indeed a particular case of (9).

4. *Totient Functions.* Let  $\phi(M; \alpha_1, \dots, \alpha_k)$  denote the number of sets of (ordered) polynomials  $A_1, \dots, A_k$ , such that

$$\deg A_i = \alpha_i, \quad (A_1, \dots, A_k, M) = 1.$$

Using this definition, we have evidently

$$(10) \quad \sum_{(A_1, \dots, A_k, M) = 1} |A_1|^{-s_1} \dots |A_k|^{-s_k} = \sum_{\alpha_i = 0}^{\infty} \phi(M; \alpha_1, \dots, \alpha_k) p_0^{-\alpha_1 s_1 - \dots - \alpha_k s_k},$$

where the  $s_i$  are real and each  $> 1$ . By means of this identity it is easy to express the general  $\phi$ -function in simple terms. Let  $f(s)$  denote the left member of (10); then since

$$\sum_{\text{all } A_i} |A_1|^{-s_1} \dots |A_k|^{-s_k} = \prod_{P|M} \{1 + |P|^{-(s_1 + \dots + s_k)}\}^{-1} \sum_{(A_1, \dots, A_k, M) = 1} |A_1|^{-s_1} \dots |A_k|^{-s_k}$$

it follows that

$$f(s) = \zeta(s_1) \dots \zeta(s_k) \prod_{P|M} \{1 - |P|^{-(s_1 + \dots + s_k)}\},$$

where  $P$  runs through the irreducible divisors of  $M$ . Therefore, by (10) and (5),

$$(11) \quad \phi(M; \alpha_1, \dots, \alpha_k) = p_0^{\alpha_1 + \dots + \alpha_k} \sum'_{A|M} \mu(A) |A|^{-k,*}$$

the sum being taken over  $A$ , dividing  $M$ , and of degree  $\leq \min(\alpha_1, \dots, \alpha_k)$ . If all the quadratfrei divisors of  $M$  satisfy this condition, (11) may be written in the form

$$(11)' \quad \phi(M; \alpha_1, \dots, \alpha_k) = p_0^{\alpha_1 + \dots + \alpha_k} \prod_{P|M} (1 - |P|^{-k}).$$

In particular, let  $\alpha_1 = \dots = \alpha_k = \nu$ , the degree of  $M$ . We now write  $\phi_k(M)$  in place of  $\phi(M; \nu, \dots, \nu)$ , and (11) becomes

\*  $\mu(A)$  is the Möbius  $\mu$ -function for  $\mathfrak{D}$ ; see A.P., §4.

$$(12) \quad \phi_k(M) = |M|^k \prod_{P|M} (1 - |P|^{-k}) = \sum_{M=AB} \mu(A) |B|^k$$

(where now all the terms in both sum and product are included). It is clear either from the definition or from (12) that  $\phi_k(M)$  is the  $\mathbb{D}$ -analog of the Jordan  $\phi$ -function of higher order.

5. *Sets of Relatively Prime Polynomials.* Let  $\psi(\alpha_1, \dots, \alpha_k)$  denote the number of sets of (ordered) polynomials  $A_1, \dots, A_k$ , such that  $\deg A_i = \alpha_i$ ,  $(A_1, \dots, A_k) = 1$ . Then, clearly,

$$\begin{aligned} \sum_{\alpha_i=0}^{\infty} \psi(\alpha_1, \dots, \alpha_k) p_0^{-(\alpha_1 s_1 + \dots + \alpha_k s_k)} &= \sum_{(A_1, \dots, A_k)=1} |A_1|^{-s_1} \dots |A_k|^{-s_k} \\ &= \frac{\zeta(s_1) \dots \zeta(s_k)}{\zeta(s_1 + \dots + s_k)} = \frac{1 - p_0^{1-(s_1 + \dots + s_k)}}{(1 - p_0^{1-s_1}) \dots (1 - p_0^{1-s_k})}; \end{aligned}$$

and therefore

$$(13) \quad \psi(\alpha_1, \dots, \alpha_k) = \begin{cases} p_0^{\alpha_1 + \dots + \alpha_k} (1 - p_0^{1-k}) & \text{for } \alpha_1 \dots \alpha_k \neq 0, \\ p_0^{\alpha_1 + \dots + \alpha_k} & \text{otherwise.} \end{cases}$$

As might be expected, the  $\phi$  and  $\psi$  functions are closely related. Indeed, from the definition,  $\psi(\alpha_1, \dots, \alpha_k, \nu)$  is the number of sets of polynomials  $A_1, \dots, A_k, M$ , such that

$$\deg A_i = \alpha_i, \deg M = \nu, (A_1, \dots, A_k, M) = 1;$$

and therefore

$$(14) \quad \psi(\alpha_1, \dots, \alpha_k, \nu) = \sum_{\deg M = \nu} \phi(M; \alpha_1, \dots, \alpha_k).$$

From (13) and (14) we have

$$(15) \quad \sum_{\deg M = \nu} \phi(M; \alpha_1, \dots, \alpha_k) = \begin{cases} p_0^{\alpha_1 + \dots + \alpha_k + \nu} (1 - p_0^{-k}) & \text{for } \alpha_1 \dots \alpha_k \neq 0, \\ p_0^{\alpha_1 + \dots + \alpha_k + \nu} & \text{otherwise.} \end{cases}$$

In particular, if  $\alpha_1 = \dots = \alpha_k = \nu$ , we get for the  $\phi$ -function in (12)

$$\sum_{\deg M = \nu} \phi_k(M) = \begin{cases} p_0^{(k+1)\nu} - p_0^{k(\nu-1)+\nu} & \text{for } \nu > 0, \\ 1 & \text{for } \nu = 0. \end{cases}$$

6. *A Modification of the  $\phi$ -Functions.* Let us now denote by

$\phi'(M; \alpha_1, \dots, \alpha_k)$  the number of sets of *quadratfrei* polynomials  $A_1, \dots, A_k$ , such that  $\text{deg } A_i = \alpha_i, (A_1, \dots, A_k, M) = 1$ . Then, as in §4, we show that

$$\sum \phi'(M; \alpha_1, \dots, \alpha_k) p_0^{-(\alpha_1 s_1 + \dots + \alpha_k s_k)} = \sum' |A_1|^{-s_1} \dots |A_k|^{-s_k},$$

the sum on the right being taken over all *quadratfrei*  $A_i$  such that  $(A_1, \dots, A_k, M) = 1$ ; but this sum is equal to

$$\frac{\zeta(s_1) \dots \zeta(s_k)}{\zeta(2s_1) \dots \zeta(2s_k)} \prod_{P|M} (1 + |P|^{-(s_1 + \dots + s_k)})^{-1}.$$

Therefore, if  $\lambda(B)$  is the  $\mathfrak{D}$ -analog of the Liouville  $\lambda$ -function,\* and if  $q(\nu)$  is defined by the relation†

$$\frac{\zeta(s)}{\zeta(2s)} = \sum_{\nu=0}^{\infty} \frac{q(\nu)}{p_0^{\nu s}},$$

we have in place of (11)

$$(16) \quad \phi'(M; \alpha_1, \dots, \alpha_k) = \sum_B \lambda(B) q(\alpha_1 - \beta) \dots q(\alpha_k - \beta),$$

the sum extending over all  $B$  whose irreducible divisors are divisors of  $M$ , and such that  $\text{deg } B = \beta \leq \min(\alpha_1, \dots, \alpha_k)$ . As for the function of §5, let us define  $\psi'(\alpha_1, \dots, \alpha_k)$  to be the number of sets of *quadratfrei* polynomials  $A_1, \dots, A_k$ , such that  $\text{deg } A_i = \alpha_i, (A_1, \dots, A_k) = 1$ . Then

$$\sum \frac{\psi'(\alpha_1, \dots, \alpha_k)}{p_0^{\alpha_1 s_1 + \dots + \alpha_k s_k}} = \frac{\zeta(s_1) \dots \zeta(s_k)}{\zeta(2s_1) \dots \zeta(2s_k)} \frac{\zeta(2s_1 + \dots + 2s_k)}{\zeta(s_1 + \dots + s_k)},$$

so that

$$(17) \quad \psi'(\alpha_1, \dots, \alpha_k) = \sum_{\beta} (-1)^{\beta} p_0^{\beta'} q(\alpha_1 - \beta) \dots q(\alpha_k - \beta),$$

the sum being taken over all  $\beta, 0 \leq \beta \leq \min(\alpha_1, \dots, \alpha_k)$ ; and  $\beta'$  is the greatest integer  $\leq (\beta + 1)/2$ .

Now, from the definition of  $\phi'$  and  $\psi'$ , it is clear that

$$(18) \quad \psi'(\alpha_1, \dots, \alpha_k, \nu) = \sum_{\text{deg } M = \nu} \mu^2(M) \phi'(M; \alpha_1, \dots, \alpha_k),$$

\* That is, if  $B = P_1^{e_1} P_2^{e_2} \dots, \lambda(B) = (-1)^{e_1 + e_2 + \dots}$ ; see A.P., §3.

† It is evident that  $q(\nu) = p_0^{\nu} - p_0^{\nu-1}$  for  $\nu \geq 2$  and that  $q(\nu) = p_0^{\nu}$  otherwise.

and therefore the sum

$$\sum'_{\deg M = \nu} \phi'(M; \alpha_1, \dots, \alpha_k),$$

taken over quadratfrei  $M$  only, is equal to the right member of (17).

7. *The L.C.M. of Polynomials of Degree  $\nu$ .* We recall the well known result that

$$(19) \quad x^{\nu^2} - x = \prod_{\alpha|\nu} \Theta(\alpha),$$

where  $\Theta(\alpha)$  is the product of the irreducible polynomials of degree  $\alpha$ . If now  $L(\nu)$  is the L.C.M. of the polynomials of degree  $\nu$ , it is evident, to begin with, that if  $P$  is irreducible of degree  $\delta$ , then the exponent of the highest power of  $P$  dividing  $L(\nu)$  is precisely  $[\nu/\delta]$ , the greatest integer  $\leq \nu/\delta$ . Therefore

$$(20) \quad \begin{aligned} L(\nu) &= \prod_{\deg P \leq \nu} P^{[\nu/\deg P]} \\ &= \prod_{\delta=1}^{\nu} \left\{ \prod_{\deg P = \delta} P \right\}^{[\nu/\delta]} = \prod_{\delta=1}^{\nu} \{\Theta(\delta)\}^{[\nu/\delta]}. \end{aligned}$$

On the other hand, by (19),

$$F_0(\nu) = \prod_{\alpha=1}^{\nu} (x^{\nu\alpha} - x) = \prod_{\alpha=1}^{\nu} \prod_{\delta|\alpha} \Theta(\delta) = \prod_{\delta=1}^{\nu} \{\Theta(\delta)\}^{[\nu/\delta]}.$$

Comparison with the right member of (20) shows at once that

$$(2) \quad L(\nu) = F_0(\nu).$$

8. *The Product of Polynomials of Degree  $\nu$ .* Formula (3) may be proved very quickly if we make use of the following theorem due to E. H. Moore:\*

If  $G$  run through the linear forms  $G = \alpha_0 x_0 + \dots + \alpha_\nu x_\nu$ , where the coefficients  $\alpha_i$  lie in  $GF(p^n)$ , and the  $\alpha_i$  of lowest subscript  $\neq 0$  is taken  $= 1$ , then

$$(21) \quad \prod G = |x_i^{p_0^j}|, \quad (i, j = 0, \dots, \nu).$$

Suppose that in this theorem  $x_i = x^{\nu-i}$  ( $i = 0, \dots, \nu$ ); then the left hand member of (21) has the value

\* This Bulletin, vol. 2 (1896), p. 189.

$$(22) \quad \prod_{\alpha=0}^{\nu} \prod_{\deg E=\alpha} E = \prod_{\alpha=1}^{\nu} \prod_{\deg E=\alpha} E;$$

the right member of (21) is a familiar determinant, and is easily seen to be equal to

$$(23) \quad \prod_{\alpha=0}^{\nu-1} (x^{p_0^{p-\alpha}} - x)^{1+p_0+\dots+p_0^\alpha}.$$

Therefore, comparing (22) and (23), we have at once the formula to be proved:

$$(3) \quad \prod_{\deg E=\nu} E = \prod_{\alpha=0}^{\nu-1} (x^{p_0^{p-\alpha}} - x)^{p_0^\alpha} = F(\nu).$$

9. *The Formula for  $Q_\rho(\nu)$ .* Since any  $E$  may be written in the form  $E = GM^\rho$ ,  $P^\rho \nmid G$ , it is evident that, for  $\nu = h\rho + k$ ,  $0 \leq k < \rho$ ,

$$(24) \quad \begin{aligned} F(\nu) &= \prod_{\deg E=\nu} E \\ &= \prod_{\alpha=0}^h \left\{ \prod_{\deg G=\nu-\alpha\rho} G^{p_0^\alpha} \right\} \left\{ \prod_{\deg M=\alpha} M^{\rho q_\rho(\nu-\alpha\rho)} \right\} \\ &= \prod_{\alpha=0}^h Q_\rho^{p_0^\alpha}(\nu - \alpha\rho) \cdot \prod_{\alpha=0}^h \{F(\alpha)\}^{\rho q_\rho(\nu-\alpha\rho)}, \end{aligned}$$

where  $q_\rho(\nu)$  is the number of polynomials  $E$  of degree  $\nu$  such that  $P^\rho \nmid E$  for any irreducible  $P$ . It is known that\*

$$q_\rho(\nu) = \begin{cases} p_0^\nu - p_0^{\nu-\rho+1} & \text{for } \nu \geq \rho, \\ p_0^\nu & \text{otherwise;} \end{cases}$$

so that

$$(25) \quad \sum_{\alpha=\beta}^h p_0^{\alpha-\beta} q_\rho(\nu - \alpha\rho) = p_0^{\nu-\beta\rho}.$$

Then the product in (24) is equal to

$$\begin{aligned} &\prod_{\alpha=1}^h \{F(\alpha)\}^{\rho q_\rho(\nu-\alpha\rho)} \\ &= \prod_{\alpha=1}^h \prod_{\beta=1}^{\alpha} (x^{p_0^\beta} - x)^{p_0^{\alpha-\beta} \cdot \rho q_\rho(\nu-\alpha\rho)} \end{aligned}$$

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\* A.P., §6.



$$\begin{aligned}
 &= \prod_{\beta=1}^h (x^{p_0^\beta} - x)^{\rho e_\beta}, \quad e_\beta = \sum_{\alpha=\beta}^h p_0^{\alpha-\beta} q_\rho (v - \alpha\rho), \\
 &= \prod_{\beta=1}^h (x^{p_0^\beta} - x)^{\rho p_0^{v-\beta\rho}} \quad (\text{by (25)}) \\
 (26) \quad &= \left\{ \prod_{\beta=1}^h (x^{p_0^\beta} - x)^{p_0^{(h-\beta)\rho}} \right\}^{\rho p_0^k} = F_{\rho, \rho p_0^k}(h).
 \end{aligned}$$

By (24) and (26)

$$F(v) = \prod_{\alpha=0}^h Q_\rho^{p_0^\alpha} (v - \alpha\rho) \cdot F_{\rho, \rho p_0^k}(h),$$

or, writing  $h - \alpha$  for  $\alpha$ ,

$$\begin{aligned}
 (27) \quad \prod_{\alpha=0}^h Q_\rho^{p_0^{h-\alpha}} (\alpha\rho + k) &= F(h\rho + k) F_{\rho, \rho p_0^k}(h) \\
 &= R_\rho(h\rho + k), \text{ say.}
 \end{aligned}$$

It is now easy to evaluate  $Q_\rho$ . Indeed, substituting  $h - 1$  for  $h$  in (27), and raising both members of the resulting equation to the  $p_0$ th power, we have

$$\prod_{\alpha=0}^{h-1} Q_\rho^{p_0^{h-\alpha}} (\alpha\rho + k) = R_\rho^{p_0}(v - \rho),$$

and therefore

$$(4) \quad Q_\rho(v) = R_\rho(v) R_\rho^{-p_0}(v - \rho).$$

It will be remarked that by (27)  $R_\rho(v)$  is a polynomial, so that by (4),  $Q_\rho(v)$  is expressed as the ratio of two polynomials.

From (27) we may deduce another result of some interest. Since no polynomial of degree  $< \rho$  is divisible by the  $\rho$ th power of an irreducible polynomial, it is evident that

$$Q_\rho(k) = F(k), \quad (0 \leq k < \rho):$$

therefore, by (27), the expression

$$F(h\rho + k) F^{-p_0^h}(k) F_{\rho, \rho p_0^k}(h)$$

is a polynomial provided that  $0 \leq k < \rho$ .