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ON SELF-HOMOTOPY EQUIVALENCES OF COVERING SPACES

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§0. Introduction.

Let X be a G-space and we denote by KX the space of continuous maps from K into X endowed with Compact-Open topology. Since a G-action on the space KX is naturally induced we may regard the path-connected components of KX, $\pi_0(KX)$, as a G-set. Then we are interested in the isotropy subgroup $G\langle f \rangle$ at the homotopy class of a map $f: K \to X$. This relates to othor problems as follows:

(1) When K is considered as a trivial G-space a map f is equivariant up to homotopy if and only if G(f)=G, namely f is a fixed element.

(2) Since the G -action on X is given by a continuous map $\Phi: G \to XX$ we have an induced homomorphism $\Phi_*: G \to \mathfrak{e}(X)$, the group of homotopy classes of self-homotopy equivalences. Then $G\langle 1_x \rangle$ is just the kernel of Φ .

(3) Of course the determination of $G\langle f \rangle$ for all f gives us some informations on the structure of the set $\pi_0(KX)$.

As the first step of our program, in this paper we are mainly concerned with the case of covering spaces and their deck transformation groups. Then there are a few points of view about categories:

(1) The category of 0-connected CW-complexes and maps of base-point free.

- (2) The sub-category of fibre-preserving maps.
- (3) The sub-category of equivariant maps.

We work in these categories to investigate the kernel of $\Phi_*: G \to \varepsilon(X)$. As results, we obtain some exact sequences for a regular covering $p: X \to Y$ with its deck transformation group G as follows:

- (1) {1} $\rightarrow \Gamma(X, Y; p)/\Gamma(X) \rightarrow G \rightarrow \varepsilon(X)$
- (2) $\{1\} \rightarrow \Gamma(Y) / \Gamma_F(X) \rightarrow G \rightarrow \varepsilon_F(X) \rightarrow \varepsilon_L(Y) \rightarrow \{1\}$
- (3) $\{3\} \rightarrow \Gamma(Y) / \Gamma_G(X) \rightarrow Z[G] \rightarrow \varepsilon_G(X)$

(see the context about notations)

For example, let $p: \mathbb{R}^n \to Y$ be a universal covering and G be the group $\pi_1(Y, *)$, then we have an exact sequence

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$$\{1\} \longrightarrow Z[G] \longrightarrow G \longrightarrow \varepsilon_F(\mathbb{R}^n) \longrightarrow \operatorname{Aut.} G \longrightarrow \{1\}.$$

§1. $\pi_1(X, *)$ -action on the set $[K, X]_0$

First we recall a notion from the homotopy theory [5], [8]. Let us denote by $[K, X]_0$ the set of homotopy classes of base-point preserving maps. Then, for every loop ω of X at * and a base-point preserving map $f: K \to X$, there exists a map $\phi: I \times K \to X$ which is an extension of the map

$$\boldsymbol{\omega} \cup f: I \times * \cup 0 \times K \longrightarrow X.$$

Since the homotopy class of the restriction of ϕ on $1 \times K$ depends on only homotopy classes of ω and f this defines an action ω^* of $\pi_1(X, *)$ on the set $[K, X]_0$. On the other hand this action can be reformulated as follows:

Let $p: KX \rightarrow X$ be the fibring defined by p(f)=f(*). Clearly the fibre over * is the space of base-point preserving maps, $\{K, X\}_0$, and we have the part of the homotopy exact sequence

$$S_1: \pi_1(KX, f) \longrightarrow \pi_1(X, *) \longrightarrow \pi_0(\{K, X\}_0) \longrightarrow \pi_0(KX, f) \longrightarrow \{f\}.$$

Then it holds $\partial_f(\boldsymbol{\omega}) = \boldsymbol{\omega}^*(f)$.

Since it is clear that a loop $\boldsymbol{\omega}$ is contained in the image of p_* if and only if there exists a map: $S^1 \times K \rightarrow X$ of type $(\boldsymbol{\omega}, f)$ we have

LEMMA 1.1. $\omega^{*}(f) = f$ holds if and only if there exists a map: $S^{1} \times K \rightarrow X$ of type (ω, f) ,

Here we note a property of the π_1 -action above which easily follows from the definition.

LEMMA 1.2. For two maps $f:(X, *) \rightarrow (Y, *)$ and $g:(Y, *) \rightarrow (Z, *)$ we have

$$\tau^*(gf) = \tau^*(g)f$$
 ond $g_*(\omega)^*(gf) = g(\omega^*(f))$

where τ and ω are elements of $\pi_1(Y, *)$ and $\pi_1(Z, *)$ respectively.

For example we prove

PROPOSITION 1.3. If $f:(Y, *) \to (X, *)$ is a homotopy equivalence then $\omega^*(f)$ is also a homotopy equivalence for any ω of $\pi_1(X, *)$.

Proof. First it is shown that $\omega^{*}(1_{X})$ is a homotopy equivalence because we have

$$(\omega^{-1})^{*}(1_{X})\omega^{*}(1_{X}) = (\omega^{-1})^{*}(1_{X}\omega^{*}(1_{X})) = (\omega^{-1})^{*}\omega^{*}(1_{X}) = 1_{X}$$

and similarly $\omega^{*}(1_{x})(\omega^{-1})^{*}(1_{x})=1_{x}$. Secondly, let g be a homotopy inverse of f. Then we have

$$g\{\omega^{\#}(f)\} = g_{*}(\omega)^{\#}(gf) = \{g_{*}(\omega)\}^{\#}(1_{X}) \text{ and } \omega^{\#}(f)g = \omega^{\#}(fg) = \omega^{\#}(1_{X}).$$

and Thus it follows from the first case that $\omega^*(f)$ has a right and left inverse respectively and hence $\omega^*(f)$ is a homotopy equivalence.

As an example we consider the case of K=X and $f=1_x$ in the based category. Then the exact sequence S_1 is turned into the sequence

 $S_2: \pi_1(XX, 1_X) \longrightarrow \pi_1(X, *) \longrightarrow ([X, X]_0, 1_X) \longrightarrow ([X, X], 1_X) \longrightarrow \{1_X\}.$

Now we define a multiplication in the set [X, X] by the composite of maps, which makes the set a semi-group with 1_x as unit. Since we have

$$\partial(\boldsymbol{\omega}\cdot\boldsymbol{\tau}) = (\boldsymbol{\omega}\boldsymbol{\tau})^{*}(1_{X}) = \boldsymbol{\omega}^{*}(\boldsymbol{\tau}^{*}(1_{X})) = \boldsymbol{\omega}^{*}(1_{X}\boldsymbol{\tau}^{*}(1_{X})) = \boldsymbol{\omega}^{*}(1_{X})\boldsymbol{\tau}^{*}(1_{X}) = (\partial\boldsymbol{\omega})(\partial\boldsymbol{\tau})$$

the following lemma holds.

LEMMA 1.4. ∂ is homomorphic in the sequence S_2 .

Since, for a class h of a homotopy equivalence: $(X, *) \rightarrow (X, *)$, $\omega^{*}(h)$ is also a homotopy equivalence the sequence S_{2} is transformed by Proposition 1.3 into an exact sequence in the category of groups and homomorphisms

 $S_3: \pi_1(XX, 1_X) \longrightarrow \pi_1(X, *) \longrightarrow \varepsilon_0(X) \longrightarrow \varepsilon(X) \longrightarrow \{1_X\}$

where $\varepsilon_0(X)$ denotes the group consisting of invertible elements of $[X, X]_0$. Now we define a (normal) subgroup of $\pi_1(X, *)$ by

 $\Gamma(X) = \{ \omega \mid \text{there exists a map} : S^1 \times X \to X \text{ of type } (\omega, 1_X) \}.$

LEMMA 1.5. $\Gamma(X)$ is contained in the centre of $\pi_1(X, *)$ (see page 843 of [2]).

Proof. For τ of $\pi_1(X, *)$ and ω of $\Gamma(X)$ a map: $S^1 \times S^1 \to X$ of type (ω, τ) is given by the composite $S^1 \times S^1 \to S^1 \times X \to X$. Hence Whitehead product $[\tau, \omega]$ is trivial, i.e. $\tau \omega = \omega \tau$.

Since we know $\partial^{-1}(1_X) = \Gamma(X)$ from lemma 1.2 we have

THEOREM 1.6. There exists an exact sequence

 $\{1\} \longrightarrow \pi_1(X, *)/\Gamma(X) \longrightarrow \varepsilon_0(X) \longrightarrow \varepsilon(X) \longrightarrow \{1\}.$

Thus Theorem 1.6 and lemma 1.5 give

COROLLARY 1.7. If the centre of $\pi_1(X, *)$ is trivial we have an exact sequence

$$\{1\} \longrightarrow \pi_1(X, *) \longrightarrow \varepsilon_0(X) \longrightarrow \varepsilon(X) \longrightarrow \{1\}.$$

As another example, let P_m be the pseud-projective plane $S^1 \cup_m e^2$. Since it follows from a cohomological considration that $\Gamma(P_m)$ is trivial we have a short exact sequence ([1], [4])

 $\{1\} \longrightarrow Z_m \longrightarrow \varepsilon_0(X) \longrightarrow \varepsilon(X) \longrightarrow \{1\}.$

§2. Regular Covering spaces.

In this section our argument is related to the paper [7]. Let $p: X \rightarrow Y$ be a regular covering, i.e. $p_*(\pi_1(X, *))$ is a normal subgroup of $\pi_1(Y, *)$ and Let G be the deck transformation group of p. Then for any locally compact and locally path-connected Hausdorff space K we have

LEMMA 2.1. The naturally induces map $p^{\kappa}: KX \rightarrow KY$ is a fibre space whose fibre over pf is Gf for any map $f: K \rightarrow X$ where the action of G on KX is given by $G \times KX \rightarrow KX: (g, h) \rightarrow gh$.

Consider a part of the homotopy exact sequence of p^{κ}

 $S_4: \pi_1(KX, f) \longrightarrow \pi_1(KY, pf) \longrightarrow (G, *) \longrightarrow \pi_0(KX, f) \longrightarrow \pi_0(KY, pf)$

for a map $f: K \rightarrow X$ where we identify Gf with G. Then a standard argument gives

LEMMA 2.2. The boundary $\pi_1(KY, pf) \rightarrow (G, *)$ is homomorphic, and the correspondence $(G, *) \rightarrow \pi_0(KX, f)$ is naturally induced by the action of G on KX.

Now consider the following commutative diagram obtained from fibrings

and use the following notation for a map $h: (A, *) \rightarrow (B, *)$ $\Gamma(A, B: h) = \{\omega | \omega \in \pi_1(B, *) \text{ and there exists a map } S^1 \times A \rightarrow B \text{ of type } (\omega, h) \}.$

Then, using lemma 2.2, we can easily obtain

PROPOSITION 2.3. Let $p: X \to Y$ be a regular covering whose deck transformation group is G. Then $G\langle f \rangle$ is isomorphic to $\Gamma(K, Y: pf)/\Gamma(K, X: f)$

For a regular covering $p: X \rightarrow Y$ we have as applications of PROP. 2.3

COLLORARY 2.4. There exists an exact sequence

 $\{1\} \longrightarrow \Gamma(K, Y: pf)/\Gamma(K, X: f) \longrightarrow \pi^{1}(Y, *) \longrightarrow \pi_{1}(X, *) \longrightarrow \{[K, X], f\}$

Since $\Gamma(X, X: 1_X) = \Gamma(X)$ (see § 1), as a special case, we have

COLLORARY 2.5. There exists an exact sequence

$$\{1\} \longrightarrow \Gamma(X, Y : p) / \Gamma(X) \longrightarrow G \longrightarrow \varepsilon(X) \longrightarrow \{[X, Y], p\}.$$

As another application we have

COLLORARY 2.6. A map $f: S^n \to X(n \ge 2)$ is G-equivariant up to homotopy if and only if all Whitehead products $[\pi_1(Y, *), pf]$ vanish.

Let $p: X \to Y$ be a covering space which is not necessarily regular. Then, noting $p(k)^{-1}(pf) = Gf$, the sequence S_4 turns out the sequence,

$$\pi_1(KX, f) \longrightarrow \pi_1(KY, pf) \longrightarrow (Gf, e_0f) \longrightarrow \pi_0(KX, f) \longrightarrow \pi_0(KY, pf)$$

which relates to other sequences as follows:

Let denote by $N(\pi_1(X, *))$ the normalizer of $\pi_1(X, *)$ in $\pi_1(Y, *)$ and $\Gamma(K, Y: f)$ be the intersection $\Gamma(K, Y: pf) \cap N(\pi_1(X, *))$. Since G is isomorphic to $N(\pi_1(X, *))/\pi_1(X, *)$, using the above diagram and argument similar to the case of regular coverings we can obtain the following

PROPOSITION 2.7. Let $p: X \rightarrow Y$ be a covering space with its deck transformation group G and f be a map $(X, *) \rightarrow (Y, *)$. Then $G \langle f \rangle$ is isomorphic to $\Gamma(K, Y: pf)/\Gamma(K, X: f)$.

§3. Orbits (fibre)-preserving maps.

For a regular covering $p: X \to Y$ we denote by F(X) the space of orbits preserving maps, i.e. $f: X \to X$ satisfying pf(gx) = pf(x) for all x, g. Then we have the pull-buck diagram of fibrings derived from the covering



where $YY \rightarrow XY$ is given by composite $X \rightarrow Y \rightarrow Y$. Since we may consider G as the fibre $q^{-1}(1_Y)$ we have the commutative diagram of a part of homotopy exact sequences;

$$\begin{aligned} \pi_1(XX, 1_X) &\longrightarrow \pi_1(XY, p) \longrightarrow (G, *) \longrightarrow \pi_0(XX, 1_X) \\ \uparrow & \uparrow & \uparrow \\ \pi_1(F(X), 1_X) \longrightarrow \pi_1(YY, 1_Y) \longrightarrow (G, *) \longrightarrow \pi_0(F(X), 1_X). \end{aligned}$$

Then, as the same as the case of the upper sequence, we can know that the lower sequence is an exact sequence of semi-groups and homomorphisms, We denote by $\varepsilon_F(X)$ the group consisting of invertible elements of $\pi_0(F(X), 1_X)$, and obtain the following diagram from the above one

$$\begin{aligned} \pi_1(XY, \ p) &\longrightarrow (G, \ *) \longrightarrow \varepsilon(X) \\ &\uparrow &\uparrow \\ \pi_1(YY, \ 1_Y) \longrightarrow (G, \ *) \longrightarrow \varepsilon_F(X). \end{aligned}$$

We define subgroup of $\pi_1(X, *)$ by

 $\Gamma_F(X) = \{\tau \mid \text{there exist an orbits-preserving map } S^1 \times X \to X \text{ of type } (\tau, 1_X) \}$

PROPOSITION 3.1. The image of the boundary $\pi_1(YY, 1_Y) \rightarrow (G, *)$ in the lower sequence is isomorphic to $\Gamma(Y)/\Gamma_F(X)$.

Proof. The proof follows from the argument analogus to PROP. 2.3 and the diagram,

COROLLARY 3.2. The image: $\pi_1(YY, 1_Y) \rightarrow (G, *)$ is contained in the center of G.

 P_{roof} . For $\boldsymbol{\omega}$ of $\pi_1(Y, *)$, assume that there exists a map: $S^1 \times Y \to Y$ of type $(\boldsymbol{\omega}, 1_Y)$. Then for any $\boldsymbol{\sigma}$ of $\pi_1(Y, *)$, a map: $S^1 \times S^1 \to Y$ of type $(\boldsymbol{\omega}, \boldsymbol{\sigma})$ is given by composite $S^1 \times S^1 \to S^1 \times Y \to Y$. Hence Whitehead procuct $[\boldsymbol{\omega}, \boldsymbol{\sigma}]$ is trivial, i.e. $\boldsymbol{\omega}\boldsymbol{\sigma} = \boldsymbol{\sigma}\boldsymbol{\omega}$. Since $\pi_1(Y, *) \to G$ is onto the proof is completed.

Example 3.3. Let $X \to Y$ be the universal covering. If the centre of $\pi_1(Y, *) = G$ is trivial (e.g. G: simple) then $\varepsilon_F(X)$ contains $\pi_1(Y, *)$ as a subgroup.

Next we consider the homomorphism $q.: \pi_0(F(X), 1_X) \rightarrow \pi_0(YY, 1_Y)$ induced by the projection q. Let us denote by L(Y) the image of q. and by $\varepsilon_L(Y)$ the group consisting of invertible elements of L(Y).

LEMMA 3.4. The homomorphism $\varepsilon_F(X) \rightarrow \varepsilon_L(Y)$ is surjective.

Proof. Consider the commutative diagarm

$$\begin{array}{c} f: X \longrightarrow X \\ p \bigvee \qquad & \downarrow p \\ \tilde{f}: Y \longrightarrow Y \end{array}$$

and let \tilde{g} be a homotopy inverse of \tilde{f} . Since we may assume teat \tilde{f} and \tilde{g} are base-point preserving maps the following equalities hold for a loop ω at *

$$\omega^{-1}p_{*}\pi_{1}(X, *)\omega = \omega^{*}p_{*}\pi_{1}(X, *) = \tilde{g}_{*}f_{*}p_{*}\pi_{1}(X, *) = \tilde{g}_{*}p_{*}\pi_{1}(X, *)$$

Thus we have $\tilde{g}_*p_*\pi_1(X, *)=p_*\pi_1(X, *)$ from the normality of $p_*\pi_1(X, *)$ in $\pi_1(Y, *)$, and this means that \check{g} is liftable, i.e. there exists a map $g: X \to X$ such that $\tilde{g}p=pg$. Then, from $q_*(fg)=1_Y$ and exactness of the sequence, we can know that f is invertible in $\pi_0(F(X), 1_X)$

Now combining PROP. 3.1 with lemma 3.4 we have

THEOREM 3.5. For a regular covering $p: X \rightarrow Y$ there exists an exact sequence

$$\{1\} \longrightarrow \Gamma(Y)/\Gamma_F(X) \longrightarrow \varepsilon_F(X) \longrightarrow \varepsilon_L(Y) \longrightarrow \{1\}.$$

Example 3.6. For the universal covering $p: X \to Y$ we have an exact sequence: {1} $\rightarrow \Gamma(Y) \rightarrow \pi_1(Y, *) \rightarrow \varepsilon_F(X) \rightarrow \varepsilon_L(Y) \rightarrow \{1\}$.

Example 3.7. Let $p: \mathbb{R}^n \to Y$ be the universal covering and let G be $\pi_1(Y, *)$. Since it can be easily shown that the center of G, Z[G], is isomorphic to $\Gamma(Y)$ and that $\varepsilon_L(Y)$ is also isomorphic to AUT. G we have an exact sequence

 $\{1\} \longrightarrow Z[G] \longrightarrow G \longrightarrow \varepsilon_F(\mathbb{R}^n) \longrightarrow \text{AUT. } G \longrightarrow \{1\}.$

Especially if G is abelian we have an isomorphism $\varepsilon_F(\mathbf{R}^n) \simeq \text{AUT. } G$.

§4. Equivariant maps.

Since equivariant maps are a kind of typical orbits-preserving maps we shall study the space of those maps, which is denoted by Eq(X).

First by James's result (Theorem (2.1) of [2]) we have a commutative diagram of fibrings

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$$Z[G] \longrightarrow Eq(X) \longrightarrow YY$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$G \longrightarrow F(X) \longrightarrow YY.$$

Remark. This diagram contains an easy proof of Corollary 3.2. Define a subgroup of $\pi_1(X, *)$ by

 $\Gamma_G(X) = \{\tau \mid \text{there exists an equivariant map}: S^1 \times X \to X \text{ of type } (\tau, 1_X)\}$

Then using the diagram above and argument analogus to the preceeding section we have

PROPOSITION 4.1. There exists an exact sequence

 $\{1\} \longrightarrow \Gamma(Y)/\Gamma_{G}(X) \longrightarrow Z[G] \longrightarrow \varepsilon(X).$

where $\varepsilon_G(X)$ denotes the subgroup of $\pi_0(Eq(X))$ consisting of invertible elements, *i.e.* homotopy classes of self-homotopy equivalences in the equivariant category.

Now we prove

PROPOSITION 4.2. The homomorphism: $\varepsilon_G(X) \rightarrow \varepsilon_F(X)$ is injective.

For the proof we note

LEMMA 4.4. Let X_k be a properly discontinuous free G-space (k=1,2) and f be a map $X_1 \rightarrow X_2$ such that $p_2 f(gx) = p_2 f(x)$ where $p_k : X_k \rightarrow Y_k$ is the projection onto the space of orbits. Clearly f defines a correspondence $\rho_f : G \times X_1 \rightarrow G$ by $f(gx) = \rho_f(g, x) f(x)$. Then ρ_f is continuous.

Proof of Proposition 4.2. Let f be an equivariant map: $X \rightarrow X$ and H be a homotopy between f and 1_X in the space F(X), i.e. $H: I \times X \rightarrow X$ satisfies

 $H(0, x) = f(x), \quad H(1, x) = x \text{ and } pH(l, gx) = pH(t, x).$

Applying lemma 4.3 to the case of $X_1 = I \times X$, $X_2 = X$, H defines a continuous map $\rho: I \times X \times G \rightarrow G$ satisfying

$$H(g(t, x)) = H(t, gx) = \rho(t, x, g)H(t, x).$$

Since G is discreate we know $\rho(t, x, g) = \rho(0, *, g)$ for all t and x. On the other hand, from equalities:

$$\rho(0, *, g)f(*) = \rho(0, *, g), \qquad H(0, *) = H(0, g*) = f(g*) = gf(*)$$

it follows $\rho(0, *, g) = g$. Thus we have H(t, gx) = gH(t, x), which means H is an equivariant homotopy between f and 1_x . This completes the proof.

Now let $f: X \to X$ be a map satisfying pf(x) = p(f(gx)), i.e. $f \in F(X)$. By lemma 4.3 there exists a continuous map $\rho_f: G \times X \to G$ with $f(gx) = \rho_f(g, x)f(x)$. Again, since G is discrete this turns out a correspondence

$$\rho_f^*: G \longrightarrow G$$
 with $f(gx) = \rho_f^*(g)f(x)$.

LEMMA 4.4. ρ_f^* is an endmorphism of G, and $\rho_{f_1}^* = \rho_{f_2}^*$ if f_1 is homotopic to f_2 in the space F(X).

Proof. The first follows from

$$\rho_f^{*}(g_1, g_2)f(*) = f(g_1g_2*) = \rho_f^{*}(g_1)f(g_2*) = \rho_f^{*}(g_1)\rho_f^{*}(g_2)f(*)$$

and the second is easily shown by an argument analgus to the proof of Proposition 4.2

Thus ρ_{f}^{*} gives another correspondence

$$[\rho]: \pi_0(F(X)) \longrightarrow \text{End. } G$$

defined by $[\rho](f) = \rho_f^*$.

LEMMA 4.5. $[\rho]$ is homomorphic, and hence this induces a homomorphism: $\varepsilon_F(X) \rightarrow \text{Aut. } G$, whose kernel is isomorphic to $\varepsilon_G(X)$.

Proof. Let $f_k: X \to X$ (k=1,2) be maps in the space F(X). Then equalities $\rho_{f_1f_2}^*(g)(f_1f_2)(*) = (f_1f_2)(g_*) = f_1(f_2(g_*)) = f_1(\rho_{f_2}^*(g)f_2(*) = \rho_{f_1}^*(\rho_{f_2}^*(g))f_1f_2(*))$ gives the proof.

gives the proof.

Thus, from lemma 4.5 and Proposition 4.2, we obtain

PROPOSITION 4.6. There exists an exact sequence:

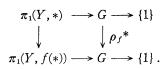
$$\{1\} \longrightarrow \varepsilon_G(X) \longrightarrow \varepsilon_F(X) \longrightarrow \operatorname{Act.} G$$
.

In general it seems to be difficult to obtain some characterization of the image: $\varepsilon_F(X) \rightarrow \text{Aut. } G$.

Remark. There is another interpretation of the homomorphism $[\rho]$ above, namely consider two covering spaces with base point as follows:

$$\begin{array}{cccc} G* & \longrightarrow & (X,*) & \longrightarrow & (Y,*) \\ & & & \downarrow & & \downarrow \\ Gf(*) & \longrightarrow & (X,f(*)) & \longrightarrow & (Y,\tilde{f}(*)) \end{array}$$

Then we have a commutative diagram:



Example 4.7. If $\mathbb{R}^n \to Y$ is a regular covering and $\pi_1(Y, *)$ is abelian then $\varepsilon_G(\mathbb{R}^n)$ is trivial $(G = \pi_1(Y, *))$ (Example 3.1 of [6]).

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