

## ON SURFACES OF FINITE TYPE IN EUCLIDEAN 3-SPACE

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### Abstract

We prove an extension of T. Takahashi's result on minimal submanifolds in Euclidean spaces and in spheres, and as a corollary obtain support for B. Y. Chen's conjecture which claims that the round spheres are the only compact surfaces of finite type in Euclidean 3-space.

Let  $M^n$  be a (connected)  $n$ -dimensional submanifold in  $E^m$ , the  $m$ -dimensional Euclidean space. Let  $x$ ,  $H$  and  $\Delta$  respectively be the *position vector field*, the *mean curvature field* and the *Laplace operator* of the induced metric on  $M^n$ . Then, as is well known (see e. g. [2]),

$$(1.1) \quad \Delta x = -nH,$$

which shows, in particular, that  $M^n$  is a *minimal submanifold in  $E^m$*  if and only if its coordinate functions are *harmonic* (i. e. they are eigenfunctions of  $\Delta$  with eigenvalue 0). Moreover, in this context, T. Takahashi [6] proved that the submanifolds  $M^n$  for which

$$(1.2) \quad \Delta x = \lambda x,$$

i. e. for which all coordinate functions are eigenfunctions of  $\Delta$  with the same eigenvalue  $\lambda \in \mathbf{R}$ , are precisely either the minimal submanifolds of  $E^m$  ( $\lambda=0$ ) or the *minimal submanifolds  $M^n$  of hyperspheres  $S^{m-1}$  in  $E^m$*  (the case when  $\lambda \neq 0$ , actually  $\lambda > 0$ ). In terms of B. Y. Chen's theory of submanifolds in  $E^m$  of *finite type*, condition (1.2) asserts that  $M^n$  is of *1-type* in  $E^m$ . In general, a submanifold  $M^n$  in  $E^m$  is said to be of finite type if its spectral decomposition of  $x$  is finite, i. e. if

$$(1.3) \quad x = x_0 + \sum_{t=p}^q x_t$$

where  $p$  and  $q$  are natural numbers, such that  $x_0 \in \mathbf{R}^m$  is a fixed vector and

$$(1.4) \quad \Delta x_t = \lambda_t x_t,$$

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where  $\lambda_i$  denotes an eigenvalue of  $\Delta$  [1] [2]; when there are exactly  $k$  non-constant eigenvectors  $x_i$  appearing in (1.3), which all belong to different eigenvalues  $\lambda_i$ , then  $M^n$  is said to be of  $k$ -type in  $E^m$ . Many important submanifolds in Euclidean spaces turn out to be of finite type in this sense. To find out whether or not a compact submanifold  $M^n$  in  $E^m$  is of finite type, the following result is very useful.

THEOREM A. (B. Y. Chen [2])

(i)  $M^n$  is of finite type in  $E^m$  if and only if there exists a non-trivial polynomial  $Q$  (of one variable) such that  $Q(\Delta)H=0$ .

(ii) If  $M^n$  is of finite type, then there exists a unique monic polynomial  $P$  (of one variable), of least degree and such that  $P(\Delta)H=0$ .

(iii) If  $M^n$  is of finite type, then  $M^n$  is of  $k$ -type if and only if  $\text{degree } P=k$ .

The same results hold if  $H$  is replaced by  $x-x_0$ ,  $x_0$  being the center of mass of  $M^n$  in  $E^m$ .

In [3], B. Y. Chen studies the following problem.

QUESTION. *Other than minimal surfaces and ordinary spheres, which surfaces in  $E^3$  are of finite type?*

Restricting attention to surfaces in  $E^3$ , the above result on  $\Delta x=\lambda x$ ,  $\lambda \in \mathbf{R}$ , can be stated as follows (which also somewhat clarifies the previous Question).

THEOREM B. (T. Takahashi [6])

*A surface in  $E^3$  is of 1-type if and only if it is a sphere or a minimal surface.*

With respect to the Question, the following result is quite interesting.

THEOREM C. (B. Y. Chen [3])

*A tube in  $E^3$  is of finite type if and only if it is a circular cylinder (which actually is of 2-type).*

As a corollary we mention the following,

COROLLARY D. (B. Y. Chen [3])

*Every closed tube in  $E^3$  is of infinite type,*

Which offers a partial solution to the following

CONJECTURE OF B. Y. CHEN.

*Ordinary spheres are the only compact finite type surfaces in  $E^3$ .*

Of course, since there are no compact minimal surfaces  $E^3$ , Theorem B settles the matter for 1-type surfaces.

In [5], O. Garay studies the hypersurfaces  $M^n$  in  $E^{n+1}$  for which

$$(1.5) \quad \Delta x = Ax,$$

where  $A$  is a diagonal matrix

$$(1.6) \quad A = \begin{pmatrix} \lambda_1 & & & & \\ & \lambda_2 & & & \\ & & \ddots & & \\ & & & \ddots & \\ & & & & \lambda_{n+1} \end{pmatrix}, \quad \lambda_i \in \mathbf{R}, i \in \{1, 2, \dots, n+1\},$$

(see also [4] for the case of surfaces of revolution  $M^2$  in  $\mathbf{E}^3$ ). This means that he imposes the condition that the coordinate functions of  $M^n$  are eigenfunctions of their Laplacian  $\Delta$  with possibly distinct eigenvalues  $\lambda_i$ ; hence, O. Garay's condition ((1.5), (1.6)) can be seen as a generalization of T. Takahashi's condition (1.2), in which case all  $\lambda_i$  are equal. O. Garay proved that if a hypersurface  $M^n$  of  $\mathbf{E}^{n+1}$  satisfies his condition, it is either *minimal* in  $\mathbf{E}^{n+1}$  or it is a sphere or it is a *spherical cylinder*. In this respect, we want to observe however that his condition is not coordinate-invariant; e. g. in  $\mathbf{E}^3$  a circular cylinder satisfies this condition if and only if its axis of symmetry is one of the coordinate axes.

In this paper, we will study the surfaces in  $\mathbf{E}^3$  which satisfy

$$(*) \quad \Delta x = Ax + B,$$

where  $A \in \mathbf{R}^{3 \times 3}$  and  $B \in \mathbf{R}^3$ . This setting generalizes T. Takahashi's condition, following O. Garay's idea, in a way which is independent of the choice of coordinates. Our main result is the following.

**THEOREM.** *A surface  $M^2$  in  $\mathbf{E}^3$  satisfies (\*) if and only if it is an open part of a minimal surface, a sphere or a circular cylinder.*

In particular, this yields the following

**COROLLARY.** *A compact surface in  $\mathbf{E}^3$  satisfies (\*) if and only if it is a sphere.*

We want to mention that this Corollary supports the above Conjecture of B. Y. Chen. Indeed, the compact surfaces  $M^2$  in  $\mathbf{E}^3$  satisfying (\*) are particular surfaces of finite type ( $\leq 3$ ); actually, the following arguments, which will make this clear, also hold more generally for any compact submanifold  $M^n$  in  $\mathbf{E}^m$  which satisfies a condition of the form (\*). Namely, integrating (\*) over  $M^2$ , and using the divergence theorem, implies that

$$(1.7) \quad Ax_0 + B = 0.$$

Using this, then (\*) further implies that

$$(1.8) \quad \Delta(x - x_0) = A(x - x_0),$$

and, hence, that

$$(1.9) \quad P(\Delta)(x-x_0)=P(A)(x-x_0),$$

where  $P$  is any polynomial in one variable. In particular, choosing for  $P$  the characteristic polynomial of  $A$ , by the Cayley-Hamilton theorem  $P(A)=0$ , and thus (1.9) shows that

$$(1.10) \quad P(\Delta)(x-x_0)=0.$$

Finally, Theorem A then asserts that  $M^2$  is a surface of type  $\leq 3$  in  $E^3$ .

We first show that the surfaces mentioned in the theorem indeed satisfy condition (\*).

*Examples.*

(1) *Minimal surface*

In this case we have that the mean curvature is zero, so by (1.1) a minimal surface satisfies (\*) with  $A=0$ .

(2) *Sphere*

The sphere  $S_0^2(r)$  with center 0 and radius  $r$  satisfies (\*) with

$$A = \begin{pmatrix} \frac{2}{r^2} & 0 & 0 \\ 0 & \frac{2}{r^2} & 0 \\ 0 & 0 & \frac{2}{r^2} \end{pmatrix}.$$

Indeed, the sphere has mean curvature  $-1/r$  and  $(1/r)x$  is a unit normal on  $S_0^2(r)$ . So by (1.1)

$$\Delta x = \frac{2}{r^2} x.$$

(3) *Circular cylinder*

We consider the cylinder on the circle of radius  $r$  with center 0 lying in the  $\{e_1, e_2\}$ -plane. This surface has mean curvature  $-1/2r$ . A unit normal is given by  $(1/r)\pi(x)$ , where  $\pi$  is the projection on the  $\{e_1, e_2\}$ -plane. Hence by (1.1)

$$\Delta x = \frac{1}{r^2} \pi(x).$$

So this cylinder satisfies (\*) with

$$A = \begin{pmatrix} \frac{1}{r^2} & 0 & 0 \\ 0 & \frac{1}{r^2} & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

*Proof of the Theorem.* We consider two cases.

**First case:  $M^2$  is a cylinder.**

In this case, the position vector  $x$  of  $M^2$  can be given by

$$x = \gamma(s) + t\xi$$

where  $s, t$  are parameters,  $\xi$  is a constant vector and  $\gamma(s)$  is a curve, with arc-length parametrization, in a plane orthogonal to  $\xi$ .

From the definition of the Laplacian, one checks that

$$\Delta x = \gamma''$$

where  $\gamma''$  is the acceleration vector of  $\gamma$ .

Without loss of generality we may suppose that  $\xi = (0, 0, 1)$  and that  $\gamma(s) = (\gamma_1(s), \gamma_2(s), 0)$ . If we write

$$A = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix} \quad \text{and} \quad B = \begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix},$$

then equation (\*) becomes

$$(2.1) \quad \begin{aligned} \gamma_1'' &= a_{11}\gamma_1 + a_{12}\gamma_2 + a_{13}t + b_1, \\ \gamma_2'' &= a_{21}\gamma_1 + a_{22}\gamma_2 + a_{23}t + b_2, \\ 0 &= a_{31}\gamma_1 + a_{32}\gamma_2 + a_{33}t + b_3. \end{aligned}$$

Since  $\gamma_1'', \gamma_2''$  do not depend on  $t$ , we find that  $a_{13} = a_{23} = a_{33} = 0$ .

If  $a_{31} \neq 0$  or  $a_{32} \neq 0$ , the curve  $\gamma$  is a line, so  $M^2$  will be part of a plane and hence minimal. So we suppose further that  $a_{31} = a_{32} = 0$  and that  $\gamma$  isn't a line. This implies that  $b_3 = 0$ . System (2.1) reduces to

$$\begin{aligned} \gamma_1'' &= a_{11}\gamma_1 + a_{12}\gamma_2 + b_1, \\ \gamma_2'' &= a_{21}\gamma_1 + a_{22}\gamma_2 + b_2, \end{aligned}$$

or, in vector notation

$$(2.2) \quad \gamma'' = \tilde{A}\gamma + \tilde{B},$$

where

$$\tilde{A} = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \quad \text{and} \quad \tilde{B} = \begin{pmatrix} b_1 \\ b_2 \end{pmatrix}.$$

We now use the Frenet frame  $\{T, N\}$  of the curve  $\gamma$ . The curve has arc-length

parametrization, so  $T = \gamma'$ , the velocity vector of  $\gamma$ .

Equation (2.2) becomes

$$T' = \tilde{A}\gamma + \tilde{B}.$$

Using the Frenet formula  $T' = \kappa N$  where  $\kappa$  is the curvature function of  $\gamma$ , we get

$$\kappa N = \tilde{A}\gamma + \tilde{B}.$$

Derivation of this equation gives

$$\kappa' N + \kappa N' = \tilde{A}T.$$

From the second Frenet formula  $N' = -\kappa T$  we obtain

$$(2.3) \quad \kappa' N - \kappa^2 T = \tilde{A}T.$$

We derive again to obtain

$$\kappa'' N + \kappa' N' - 2\kappa\kappa' T - \kappa^2 T' = \tilde{A}T'$$

or

$$(2.4) \quad (\kappa'' - \kappa^3)N - 3\kappa\kappa' T = \kappa\tilde{A}N.$$

From (2.3) and (2.4) we can compute the entries of the matrix  $\tilde{A}$  with respect to the frame  $\{T, N\}$

$$\tilde{A}T \cdot T = -\kappa^2,$$

$$\tilde{A}T \cdot N = \kappa',$$

$$\tilde{A}N \cdot T = -3\kappa',$$

$$AN \cdot N = \frac{1}{\kappa}(\kappa'' - \kappa^3).$$

The determinant  $(\tilde{A}T \cdot T)(\tilde{A}N \cdot N) - (\tilde{A}T \cdot N)(\tilde{A}N \cdot T)$  and the trace  $(\tilde{A}T \cdot T) + (\tilde{A}N \cdot N)$  of the matrix  $\tilde{A}$  are constant, so there exist constants  $c$  and  $d$  such that

$$(2.5) \quad -\kappa\kappa'' + \kappa^4 + 3(\kappa')^2 = c,$$

$$(2.6) \quad \frac{\kappa''}{\kappa} - 2\kappa^2 = d.$$

Eliminating  $\kappa''$  from these two equations we find that

$$(\kappa')^2 = \frac{1}{3}(c + d\kappa^2 + \kappa^4).$$

Deriving this last equation gives

$$\kappa'\kappa'' = \frac{1}{3}(d\kappa\kappa' + 2\kappa^3\kappa').$$

If we suppose that  $\kappa' \neq 0$ , then we have

$$\kappa'' = \frac{1}{3}(d\kappa + 2\kappa^3).$$

Substitution in (2.6) gives

$$\kappa(d + 2\kappa^2) = 0$$

which contradicts the assumption that  $\kappa'$  wasn't identically zero. Hence the only solution to the system (2.2) is that  $\kappa$  is a constant and that  $\gamma$  is a circle. So the only cylinder which satisfies (\*) is a circular cylinder.

**Second case:  $M^2$  is not a cylinder.**

(1) *Rank of  $A$  is 3.*

In this case we may suppose that  $B=0$ . Indeed, let  $C \in \mathbf{R}^{3 \times 1}$  be a solution of  $A \cdot C + B = 0$ . Define new coordinates  $x'$  by  $x = x' + C$ . Then equation (\*) becomes

$$\Delta x' = A x'.$$

Suppose now that  $M^2$  is given locally as the graph of a function  $f$ , this is

$$x = (x_1, x_2, f(x_1, x_2)).$$

From (1.1) we see that  $\Delta x = A x$  is normal to the surface, so

$$A x \cdot \left(1, 0, \frac{\partial f}{\partial x_1}\right) = 0,$$

(3.1)

$$A x \cdot \left(0, 1, \frac{\partial f}{\partial x_2}\right) = 0,$$

since  $(1, 0, \partial f / \partial x_1)$  and  $(0, 1, \partial f / \partial x_2)$  are tangent vectors.

Let

$$A = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix};$$

then system (3.1) becomes

$$\frac{\partial f}{\partial x_1} = - \frac{a_{11}x_1 + a_{12}x_2 + a_{13}f}{a_{31}x_1 + a_{32}x_2 + a_{33}f},$$

$$\frac{\partial f}{\partial x_2} = - \frac{a_{21}x_1 + a_{22}x_2 + a_{23}f}{a_{31}x_1 + a_{32}x_2 + a_{33}f}.$$

Since the function  $f$  satisfies

$$\frac{\partial^2 f}{\partial x_1 \partial x_2} = \frac{\partial^2 f}{\partial x_2 \partial x_1},$$

the two above equations imply that

$$\begin{aligned} & (a_{21} - a_{12})(a_{31}x_1 + a_{32}x_2 + a_{33}f) \\ & + (a_{32} - a_{23})(a_{11}x_1 + a_{12}x_2 + a_{13}f) \\ & + (a_{13} - a_{31})(a_{21}x_1 + a_{22}x_2 + a_{23}f) = 0. \end{aligned}$$

We may suppose that  $x_1$ ,  $x_2$  and  $f$  are linearly independent, and so we get

$$\begin{aligned} & (a_{21} - a_{12})a_{31} + (a_{32} - a_{23})a_{11} + (a_{13} - a_{31})a_{21} = 0, \\ & (a_{21} - a_{12})a_{32} + (a_{32} - a_{23})a_{12} + (a_{13} - a_{31})a_{22} = 0, \\ & (a_{21} - a_{12})a_{33} + (a_{32} - a_{23})a_{13} + (a_{13} - a_{31})a_{23} = 0. \end{aligned}$$

If we denote the cofactor of the entry  $a_{ij}$  in the matrix  $A$  by  $A_{ij}$ , this system reduces to

$$\begin{aligned} A_{23} &= A_{32}, \\ A_{13} &= A_{31}, \\ A_{12} &= A_{21}, \end{aligned}$$

i. e. the matrix  $A^{\text{cof}}$  of cofactors of  $A$  is symmetric. Since

$$A^{-1} = \frac{1}{\det A} \cdot A^{\text{cof}},$$

we find that  $A^{-1}$  is symmetric. Hence  $A$  is also a symmetric matrix.

After a coordinate transformation we may suppose that  $A$  is a diagonal matrix

$$A = \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}$$

with  $\lambda_1, \lambda_2, \lambda_3 \neq 0$ .

Suppose now that  $(x_1(u, v), x_2(u, v), x_3(u, v))$  is a parametrization of the surface. Then, since  $Ax = (\lambda_1 x_1, \lambda_2 x_2, \lambda_3 x_3)$  is normal to the surface, we have that

$$\begin{aligned} & (\lambda_1 x_1, \lambda_2 x_2, \lambda_3 x_3) \cdot \left( \frac{\partial x_1}{\partial u}, \frac{\partial x_2}{\partial u}, \frac{\partial x_3}{\partial u} \right) = 0, \\ & (\lambda_1 x_1, \lambda_2 x_2, \lambda_3 x_3) \cdot \left( \frac{\partial x_1}{\partial v}, \frac{\partial x_2}{\partial v}, \frac{\partial x_3}{\partial v} \right) = 0, \end{aligned}$$



or

$$\frac{\partial}{\partial u}(\lambda_1 x_1^2 + \lambda_2 x_2^2 + \lambda_3 x_3^2) = 0,$$

$$\frac{\partial}{\partial v}(\lambda_1 x_1^2 + \lambda_2 x_2^2 + \lambda_3 x_3^2) = 0.$$

So

$$(3.2) \quad \lambda_1 x_1^2 + \lambda_2 x_2^2 + \lambda_3 x_3^2 = c,$$

where  $c$  is a constant, and we see that  $M^2$  is part of a quadratic surface. For this quadratic surface one computes the mean curvature

$$\|H\| = \pm \frac{(\lambda_2 + \lambda_3)\lambda_1^2 x_1^2 + (\lambda_1 + \lambda_3)\lambda_2^2 x_2^2 + (\lambda_1 + \lambda_2)\lambda_3^2 x_3^2}{2(\lambda_1^2 x_1^2 + \lambda_2^2 x_2^2 + \lambda_3^2 x_3^2)^{3/2}}.$$

From (\*) and (1.1), we have that the absolute value of the mean curvature equals  $(1/2)\|Ax\|$ , which implies that

$$(3.3) \quad (\lambda_1^2 x_1^2 + \lambda_2^2 x_2^2 + \lambda_3^2 x_3^2) \pm ((\lambda_2 + \lambda_3)\lambda_1^2 x_1^2 + (\lambda_1 + \lambda_3)\lambda_2^2 x_2^2 + (\lambda_1 + \lambda_2)\lambda_3^2 x_3^2) = 0.$$

From (3.2) we have that

$$x_3^2 = \frac{1}{\lambda_3}(c - \lambda_1 x_1^2 - \lambda_2 x_2^2).$$

If we substitute this in (3.3), we obtain a polynomial in  $x_1$  and  $x_2$  which has to be identically zero, so in particular the coefficients of  $x_1^4$  and  $x_2^4$ , which are  $\lambda_1^2(\lambda_1 - \lambda_3)^2$  respectively  $\lambda_2^2(\lambda_2 - \lambda_3)^2$  have to be zero. So we find that  $\lambda_1 = \lambda_2 = \lambda_3$ . Hence  $M^2$  is a sphere. The constant term of the polynomial, which is  $c\lambda_3(c\lambda_3 - \lambda_1 - \lambda_2)$ , also has to be zero. From this we find that  $c=2$ . So if we write  $r$  for the radius of the sphere we have

$$\lambda_1 = \lambda_2 = \lambda_3 = \frac{2}{r^2}.$$

(2) *Rank of A is 2.*

By choosing a basis  $\{e_1, e_2, e_3\}$  with  $e_1, e_2 \in \text{Im } A$  and  $e_3 \in (\text{Im } A)^\perp$ , we may suppose that  $A$  and  $B$  have the form

$$A = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ 0 & 0 & 0 \end{pmatrix} \quad \text{and} \quad B = \begin{pmatrix} 0 \\ 0 \\ b_3 \end{pmatrix}.$$

If  $B=0$ , then  $\Delta x = -2H$  belongs to  $\text{Im } A$  which is a plane through the origin. This means that the normal on this plane is a constant tangent direction to  $M^2$ , but this isn't possible since  $M^2$  isn't a cylinder. So we may suppose that  $b_3 \neq 0$ . Consider the set

$$U = \{p \in M^2 \mid (e_3)_p \notin T_p M^2\}.$$

Since

$$U = \left\{ p \in M^2 \mid \begin{vmatrix} \frac{\partial x_1}{\partial u} \Big|_p & \frac{\partial x_2}{\partial u} \Big|_p \\ \frac{\partial x_1}{\partial v} \Big|_p & \frac{\partial x_2}{\partial v} \Big|_p \end{vmatrix} \neq 0 \right\},$$

this is an open set, and by the assumption that  $M^2$  is not a cylinder,  $U$  cannot be empty. By the inverse function theorem, on  $U$  the surface is locally given as the graph of a function  $f$  in the following way

$$x = (x_1, x_2, f(x_1, x_2)).$$

From (1.1) we see that  $\Delta x = Ax + B$  is normal to the surface, so

$$(Ax + B) \cdot \left(1, 0, \frac{\partial f}{\partial x_1}\right) = 0,$$

$$(Ax + B) \cdot \left(0, 1, \frac{\partial f}{\partial x_2}\right) = 0,$$

or

$$\frac{\partial f}{\partial x_1} = \frac{1}{b_3}(a_{11}x_1 + a_{12}x_2 + a_{13}f),$$

$$\frac{\partial f}{\partial x_2} = \frac{1}{b_3}(a_{21}x_1 + a_{22}x_2 + a_{23}f).$$

Since  $f$  satisfies

$$\frac{\partial^2 f}{\partial x_1 \partial x_2} = \frac{\partial^2 f}{\partial x_2 \partial x_1},$$

we have

$$(a_{12} - a_{21})b_3 + (a_{13}a_{21} - a_{11}a_{23})x_1 + (a_{13}a_{22} - a_{12}a_{23})x_2 = 0,$$

or

$$(3.4) \quad \begin{vmatrix} a_{11} & a_{13} \\ a_{21} & a_{23} \end{vmatrix} = 0,$$

$$(3.5) \quad \begin{vmatrix} a_{12} & a_{13} \\ a_{22} & a_{23} \end{vmatrix} = 0,$$

$$(3.6) \quad a_{12} = a_{21}.$$

Since  $A$  has rank 2, expressions (3.4) and (3.5) imply that

$$a_{13} = a_{23} = 0.$$

Equation (3.6) shows that the matrix  $\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}$  is symmetric. By a coordinate transformation we may suppose that  $A$  has the form

$$\begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

with  $\lambda_1 \cdot \lambda_2 \neq 0$ .

Suppose now that  $(x_1(u, v), x_2(u, v), x_3(u, v))$  is a parametrization of the surface. Then, since  $Ax+B=(\lambda_1 x_1, \lambda_2 x_2, b_3)$  is normal to the surface, we have that

$$(\lambda_1 x_1, \lambda_2 x_2, b_3) \cdot \left( \frac{\partial x_1}{\partial u}, \frac{\partial x_2}{\partial u}, \frac{\partial x_3}{\partial u} \right) = 0,$$

$$(\lambda_1 x_1, \lambda_2 x_2, b_3) \cdot \left( \frac{\partial x_1}{\partial v}, \frac{\partial x_2}{\partial v}, \frac{\partial x_3}{\partial v} \right) = 0,$$

or

$$\frac{\partial}{\partial u} (\lambda_1 x_1^2 + \lambda_2 x_2^2 + 2b_3 x_3) = 0,$$

$$\frac{\partial}{\partial v} (\lambda_1 x_1^2 + \lambda_2 x_2^2 + 2b_3 x_3) = 0.$$

So

$$\lambda_1 x_1^2 + \lambda_2 x_2^2 + 2b_3 x_3 = c,$$

where  $c$  is a constant, and we see that  $M^2$  should be part of a quadratic surface. However, for this quadratic surface one computes the mean curvature

$$\|H\| = \pm \frac{\lambda_1^2 \lambda_2 x_1^2 + \lambda_1 \lambda_2^2 x_2^2 + (\lambda_1 + \lambda_2) b_3^2}{2(\lambda_1^2 x_1^2 + \lambda_2^2 x_2^2 + b_3^2)^{3/2}}.$$

The absolute value of the mean curvature equals  $(1/2)\|Ax+B\|$  by (1.1). This implies that the polynomial

$$(\lambda_1^2 x_1^2 + \lambda_2^2 x_2^2 + b_3^2)^2 \pm (\lambda_1^2 \lambda_2 x_1^2 + \lambda_1 \lambda_2^2 x_2^2 + (\lambda_1 + \lambda_2) b_3^2)$$

should be identically zero, which contradicts  $\lambda_1 \cdot \lambda_2 \neq 0$ .

(3) *Rank of  $A$  is 1.*

Since  $\Delta x = -2H$ , equation (\*) implies that  $-2H$  lies on  $\text{Im } A+B$  which is a line. So a vector orthogonal to a plane which contains the line  $\text{Im } A+B$  and the origin, is everywhere tangent to the surface  $M^2$ . This contradicts our assumption that  $M^2$  isn't a cylinder.

(4) *Rank of A is 0.*

In this case (\*) becomes

$$\Delta x = B.$$

If  $B=0$ , then we have by (1.1) that  $H=0$ , so the surface is minimal. If  $B \neq 0$ , equation (1.1) implies that  $B$  is a constant vector normal to  $M^2$ , so  $M^2$  is a plane. However for a plane we have that  $H=0$ , which contradicts  $B \neq 0$ . ■

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