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# On the Completeness of Spider Diagrams Augmented with Constants

Gem Stapleton, John Howse, Simon Thompson, John Taylor, and Peter Chapman

**Abstract** Diagrammatic reasoning can be described formally by a number of diagrammatic logics; spider diagrams are one of these, and are used for expressing logical statements about set membership and containment. Here, existing work on spider diagrams is extended to include constant spiders that represent specific individuals. We give a formal syntax and semantics for the extended diagram language before introducing a collection of reasoning rules encapsulating logical equivalence and logical consequence. We prove that the resulting logic is sound, complete and decidable.

**Keywords** Spider diagrams · Constants · Soundness · Completeness · Monadic first-order logic · Diagrammatic reasoning

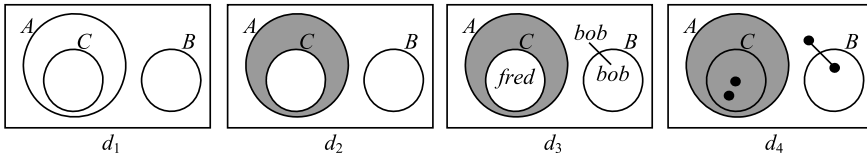
**Mathematics Subject Classification (2010)** Primary 68R02; Secondary 03B02

## 1 Introduction

Diagrams have been used for centuries in the visualization of mathematical concepts and to aid the exploration and formalization of ideas. This is not the place to survey that history; however, we give a brief overview of the background to the development of spider diagrams now.

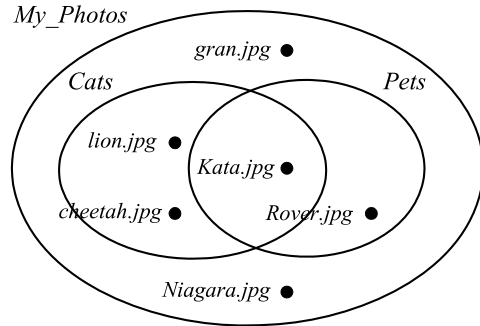
One of the most successful visual notations is the Venn diagram for sets and their relationships; indeed, it is taught in the elementary school curriculum in many countries. While Venn diagrams contain all possible intersection regions between the sets, Euler diagrams [4] allow set intersection, disjointness and containment to be represented visually. The Euler diagram  $d_1$  in Fig. 1 asserts that  $A$  and  $B$  are disjoint and  $C$  is a subset of  $A$ . The relative placement of the curves gives, for free, that  $C$  is disjoint from  $B$ . This ‘free ride’ is one of the areas where diagrams are thought to be superior to symbolic languages [20]. This example also illustrates the concept of ‘well-matchedness’ [8] since the visual representation of assertions mirrors those at the semantic level: for example, the containment of one curve by another mirrors the interpretation that the enclosed curve,  $C$ , represents a subset of the set represented by the enclosing curve,  $A$ . Moreover, this has the added benefit that the subset relation is mirrored by the transitive property of syntactic containment.

Various extensions to Euler diagrams have been proposed, such as including syntax to represent named individuals [27], or assert the existence of arbitrary finite numbers of elements [12]. The Euler diagram  $d_2$  in Fig. 1 is augmented with shading, which asserts



**Fig. 1** Extended Euler diagrams

**Fig. 2** Non-hierarchical file systems



the emptiness of the set  $A - C$  and the Euler/Venn diagram  $d_3$  tells us, in addition, that *fred* is in the set  $C$  and *bob* is not in the set  $A$ .

Spider diagrams [12] are also based on Euler diagrams. The spider diagram  $d_4$  in Fig. 1 asserts the existence of two elements in the set  $C$  and at least one element outside of the set  $A$ ; this is accomplished through the use of *existential spiders*. A spider is a tree which denotes a single element that can occupy one of the positions given by the nodes of the tree. The shading in  $d_4$  is used to place an upper bound on the cardinality of  $A$ , limiting it to two: in a set represented by a shaded region, all elements must be denoted by spiders. Using a model-theoretic argument, it has been shown that spider diagrams are equivalent to Monadic First-Order Logic with equality [23].

Constant spiders [21, 25], corresponding to given spiders in [11], were introduced to provide users of spider diagrams with an explicit way to write constraints involving named individuals. There are a number of examples of spider diagrams being used in practice, such as assisting with the task of identifying component failures in safety critical hardware designs [2]. Equivalent notations have been used for representing non-hierarchical computer file systems [3], in a visual semantic web editing environment [16, 28] and for viewing clusters which contain concepts from multiple ontologies [9]. Each of these applications uses constants to represent specific objects, thus motivating the utility of augmenting spider diagrams with constants. To take a particular example, the VennFS system [3], is used to represent visually non-hierarchical files systems. The example in Fig. 2 provides information about the folder location of certain files stored on a computer: the labeled dots are files—or constant spiders—and the curves represent folders.

In [25], it was established that constants in spider diagrams could be simulated by a shaded contour containing a single (non-constant) spider. This translation gave a diagram that was expressively equivalent to the original, in the sense that it had the same model set as the spider diagram with a constant. As with many notations—both symbolic and diagrammatic—it is worthwhile adding a notation even though it might be dismissed as mere ‘syntactic sugar’. The additional notation makes clear the *intention* of the user, and

allows that intention to be preserved in reasoning, for instance. In a visual notation it makes it much easier to preserve the ‘free ride’ and ‘well matchedness’ properties; in the particular case of constants there is a direct naming of a constant, rather than an indirect naming through the name of the representing contour, for instance. Further discussion and motivation can be found in [21, 25].

Earlier work formalized the syntax and semantics of spider diagrams and specified a logic for the diagrams which was proved to be sound, complete and decidable; in this paper we do the same for spider diagrams with constants. Specifically, in Sect. 2, we give the syntax of spider diagrams extended to include constant spiders and, in Sect. 3, present formal semantics. In Sect. 4, we provide a collection of reasoning rules for spider diagrams with constants and, in Sect. 5, we present sketches of soundness, completeness and decidability results.

## 2 Syntax

In diagrammatic systems, we can distinguish two levels of syntax: concrete (or token) syntax and abstract (or type) syntax [10]. Concrete syntax captures the physical representation of a diagram. Abstract syntax is independent of the semantically unimportant spatial relations between syntactic elements in a concrete diagram. We do not include the concrete syntax in this discussion since we work at the abstract level here.

The closed curves in a spider diagram are called *contours* and each contour is identified by a label chosen from a countably infinite set,  $\mathcal{CL}$ . A *zone*<sup>1</sup> is defined to be a pair  $(in, out)$  of disjoint finite subsets of  $\mathcal{CL}$ . The set  $in$  contains the labels of the contours that include the zone  $(in, out)$  whereas  $out$  is the set of labels of the contours that do not include  $(in, out)$ . So, in a unitary diagram,  $in$  and  $out$  form a partition of the contour label set. In diagram  $d_1$  in Fig. 3 the zone that is inside contour  $A$  but outside  $B$  and  $C$  has abstract representation  $(\{A\}, \{B, C\})$ . A *region* is a set of zones. We define  $\mathcal{Z}$  and  $\mathcal{R} = \mathbb{P}\mathcal{Z}$  to be the sets of all zones and regions respectively. As noted earlier, in a Venn diagram,  $d$ , every possible zone—that is every element of  $\mathbb{P}L$  for the set  $L$  of contour labels in  $d$ —is represented in  $d$ . This is not the case for spider diagram, and a zone is said to be *missing* if it is not a member of the possible zone set for the diagram.

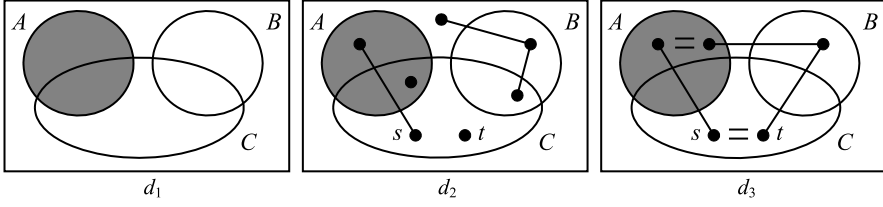
A spider without a label is called an *existential spider*. A spider with a label is called a *constant spider*. A spider *touches* a zone if that zone is in its habitat, and a spider is said to *inhabit* the region in which it is placed, which is termed its *habitat*. To describe the existential spiders in a particular diagram, it is sufficient to say how many existential spiders there are in each region. We will use a bag of regions, called *existential spider descriptors*, with the number of occurrences of each region in the bag giving the number of existential spiders in the region. For example, the region

$$\{(\{A, C\}, \{B\}), (\emptyset, \{A, B, C\}), (\{B\}, \{A, C\}), (\{B, C\}, \{A\})\}$$

in diagram  $d_2$  in Fig. 3 contains two existential spiders. We must also specify which constant spider labels appear and, for each spider label, the habitat of the spider with that

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<sup>1</sup>Since all constructs discussed here are abstract, we will use the terminology ‘zone’ rather than ‘abstract zone’ throughout.



**Fig. 3** Examples of unitary spider diagrams

label. At the abstract level, a unitary diagram will contain a finite set of constant spider labels together with a habitat function, mapping each constant spider label to a region in the diagram. The habitat of the constant spider labeled  $s$  in diagram  $d_2$  in Fig. 3 is  $\{(\{A\}, \{B, C\}), (\{C\}, \{A, B\})\}$ .

We will assume that all of the constant spider labels come from a finite set  $\mathcal{CS}$ . An alternative choice would be to have a countably infinite set of constant spider labels. With this alternative choice, the work below on reasoning rules, soundness and completeness remains identical. However, the approach taken in [23] to prove that augmenting the spider diagram language with constants does not increase expressiveness would need to be modified.

Given two distinct constant spiders, each with a habitat sharing some zone  $z$ , a *tie*, represented by an ‘equals’ sign, can be placed between them in  $z$ . The *web* of a pair of constant spiders is the set of zones that contain a tie between those two spiders. The diagram  $d_3$  in Fig. 3 contains two constant spiders, labeled  $s$  and  $t$ , connected by two ties. The web of  $s$  and  $t$  is the region made up of the zone inside contour  $A$  but outside  $B$  and  $C$  and the zone inside  $C$  but outside  $A$  and  $B$ .

General spider diagrams are a logical combination of diagrams; a single diagram is called *unitary*. The formal definition of an abstract unitary spider diagram with constants extends that given in [12] for unitary spider diagrams without constants. We assume that the sets  $\mathcal{CS}$ ,  $\mathcal{CL}$ ,  $\mathcal{Z}$  and  $\mathcal{R}$  are all pairwise disjoint.

**Definition 2.1** An **abstract unitary spider diagram with constants**,  $d$  (with contour labels in  $\mathcal{CL}$  and constant spider labels in  $\mathcal{CS}$ ), is a 7-tuple

$$\langle L, Z, Z^*, ESD, CS, \theta, \omega \rangle$$

whose components are defined as follows.

1.  $L = L(d) \subset \mathcal{CL}$  is a finite set of contour labels.
2.  $Z = Z(d) \subseteq \{(in, L - in) : in \subseteq L\}$  is a set of zones such that
  - (i) for each label  $l \in L$  there is a zone  $(in, L - in) \in Z(d)$  such that  $l \in in$  and
  - (ii) the zone  $(\emptyset, L)$  is in  $Z(d)$ .
 We define  $R(d) = \mathbb{P}Z - \{\emptyset\}$  to be the set of regions in  $d$ . We further define  $MZ(d) = \{(in, L - in) : in \subseteq L\} - Z(d)$  to be the **missing zones** of  $d$ .
3.  $Z^* = Z^*(d) \subseteq Z$  is a set of **shaded zones** and we define  $R^*(d) = \mathbb{P}Z^*(d)$  to be the set of shaded regions in  $d$ . A region,  $r \in R(d) - R^*(d)$ , is **completely non-shaded** if and only if  $r \cap Z^*(d) = \emptyset$ .
4.  $ESD = ESD(d) \subset \mathbb{Z}^+ \times R(d)$  is a finite set of **existential spider descriptors** such that

$$\forall (n_1, r_1), (n_2, r_2) \in ESD \ (r_1 = r_2 \Rightarrow n_1 = n_2).$$

If  $(n, r) \in ESD$  we say there are  $n$  existential spiders with **habitat**  $r$ .

5.  $CS = CS(d) \subseteq \mathcal{CS}$  is a finite set of constant spider labels.
6.  $\theta = \theta_d : CS \rightarrow R(d)$ , is a function which maps each constant spider label to a region in  $d$ . If  $\theta_d(s_i) = r$  we say  $s_i$  has **habitat**  $r$  in  $d$ .
7.  $\omega = \omega : CS(d) \times CS(d) \rightarrow \mathbb{P}Z$  is a function which returns the **web** of each pair of constant spiders where  $z \in \omega(s_i, s_j)$  means that there is a **tie** between  $s_i$  and  $s_j$  in the zone  $z$ . Further,  $\omega$  must ensure that the following hold for all  $s_i, s_j, s_k$  in  $CS(d)$ :
  - (a) given two constant spiders there can only be ties in zones common to their habitat:  
 $\omega(s_i, s_j) \subseteq \theta_d(s_i) \cap \theta_d(s_j)$ ,
  - (b) each constant spider is joined by ties to itself (this simplifies the formalization of the semantics below):  $\omega(s_i, s_i) = \theta_d(s_i)$ ,
  - (c) if there is a tie between constant spiders  $s_i$  and  $s_j$  in zone  $z$ , then there is a tie between  $s_j$  and  $s_i$  in  $z$ :  $\omega(s_i, s_j) = \omega(s_j, s_i)$ , and
  - (d) given any zone  $z$ , if  $s_i$  and  $s_j$  are joined by a tie in  $z$  and so are  $s_j$  and  $s_k$ , then  $s_i$  and  $s_k$  are joined by a tie in  $z$ :  $z \in \omega(s_i, s_j) \cap \omega(s_j, s_k) \Rightarrow z \in \omega(s_i, s_k)$ .

Some remarks about the above definition are in order, before we illustrate it with an example.

- Every contour in a concrete diagram contains at least one zone as captured by condition 2 (i).
- In any concrete diagram, the zone inside the boundary rectangle but outside all the contours is present and this is captured by condition 2 (ii).
- Being joined by a tie is interpreted transitively. In fact, ties give rise to an equivalence relation on the spiders in each zone, as specified by conditions 7 (b), (c) and (d).
- Therefore, in a zone  $z$ , taking the constant spiders in  $z$  as a set of vertices and the ties in that zone as a set of edges, we would have a graph whose components formed complete graphs with loops at each vertex. However, in our concrete syntax we will only draw a spanning forest in each zone so as to avoid unnecessary clutter in diagrams.
- We note that ties could also be used to connect existential spiders. Indeed, they could also be used to connect an existential spiders to constant spiders.<sup>2</sup>

*Example* The diagram  $d_1$  in Fig. 4 has the following abstract description.

1. Contour label set  $L(d_1) = \{A, B\}$ .
2. Zone set

$$Z(d_1) = \{(\emptyset, \{A, B\}), (\{A\}, \{B\}), (\{B\}, \{A\}), (\{A, B\}, \emptyset)\}.$$

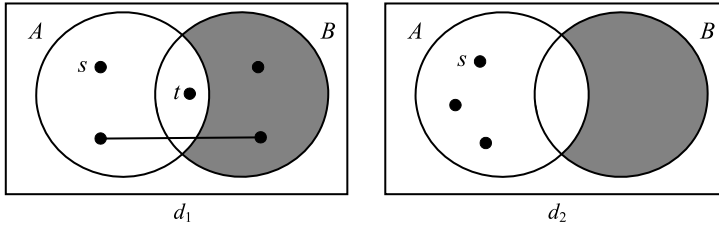
3. Shaded zone set  $Z^*(d_1) = \{(\{B\}, \{A\})\}$ .
4. The set of existential spider descriptors

$$ESD(d_1) = \{(1, \{(\{B\}, \{A\})\}), (1, \{(\{A\}, \{B\}), (\{B\}, \{A\})\})\}.$$

5. Constant spider label set  $CS(d_1) = \{s, t\}$ .

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<sup>2</sup>However, for any diagram that incorporated such ties it is possible to define a semantically equivalent diagram that does not contain such ties. This is not the case for ties between constant spiders. It is straightforward to extend the work in this paper to the case where these additional types of tie are permitted.



**Fig. 4** Two spider diagrams with constants

6. The function  $\theta_{d_1} : \{s, t\} \rightarrow R(d_1)$  where  $\theta_{d_1}(s) = \{\{A\}, \{B\}\}$  and  $\theta_{d_1}(t) = \{\{A, B\}, \emptyset\}$ .
7. The function  $\omega_{d_1} : CS(d_1) \times CS(d_1) \rightarrow \mathbb{P}Z(d_1)$  where  $\omega_{d_1}(s, s) = \theta_{d_1}(s)$ ,  $\omega_{d_1}(t, t) = \theta_{d_1}(t)$  and  $\omega_{d_1}(s, t) = \omega_{d_1}(t, s) = \emptyset$ .

Now we introduce some terminology and notation on top of the concepts formalized in the definition. An existential spider descriptor  $(n, r)$  is intended to mean that there are precisely  $n$  existential spiders placed in the zones in the region  $r$ , and we can think of these being numbered from 1 to  $n$ . A typical such spider will be spider  $i$ , which we denote by  $e_i(r)$ , to avoid confusion with the notation  $(i, r)$  used for existential spider descriptors. The set of **existential spiders** in a unitary diagram  $d$  is given by

$$ES(d) = \{e_i(r) : \exists(n, r) \in ESD(d) \wedge 1 \leq i \leq n\}.$$

We also define  $S(d) = ES(d) \cup CS(d)$  to be the set of **spiders** in  $d$ . We assume that the sets  $ES(d)$  and  $CS \cup \mathcal{C}\mathcal{L} \cup \mathcal{Z} \cup \mathcal{R}$  are disjoint. We also define a function

$$\eta : ES(d) \rightarrow R(d)$$

which returns the **habitat** of each existential spider, so that  $\eta(e_i(r)) = r$ .

Spiders represent the existence of elements and regions represent sets—thus we need to know how many elements are represented in each region. Note here that, in a unitary diagram, a constant spider and an existential spider represent the existence of distinct elements. For example, in Fig. 4, the diagram  $d_2$  asserts that the set represented by the zone  $(\{A\}, \{B\})$  contains at least three elements, including the individual represented by  $s$ . The set of existential spiders contained by region  $r$  in  $d$  is denoted by  $ES(r, d)$ . More formally,

$$ES(r, d) = \{e \in ES(d) : \eta(e) \subseteq r\}.$$

Similarly, the set of constant spiders contained by region  $r$  in  $d$  is

$$CS(r, d) = \{s \in CS(d) : \theta_d(s) \subseteq r\}$$

and we also define

$$S(r, d) = ES(r, d) \cup CS(r, d).$$

So, any spider in  $d$  whose habitat is a subset of  $r$  is in the set  $S(r, d)$ . The set of existential spiders touching  $r$  in  $d$  is denoted by  $ET(r, d)$ . More formally,

$$ET(r, d) = \{s \in ES(d) : \eta(s) \cap r \neq \emptyset\}.$$



Moreover, in a shaded region there is an upper bound on the cardinality of the represented set. For example,  $d_1$  in Fig. 4 tells us that there are at most two elements in  $B - A$ , because exactly two spiders touch  $B - A$ . The set of constant spiders touching a region,  $CT(r, d)$ , and the set of spiders touching a region,  $T(r, d)$ , are defined similarly. In  $d_1$ , Fig. 4,

$$|S(\{\{B\}, \{A\}\}, d_1)| = 1$$

and

$$|T(\{\{B\}, \{A\}\}, d_1)| = 2.$$

In  $d_2$ ,

$$|S(\{\{A\}, \{B\}\}, d_2)| = |T(\{\{A\}, \{B\}\}, d_2)| = 3.$$

Unitary diagrams form the building blocks of *compound diagrams*, formed by using logical connectives.

**Definition 2.2** An **abstract spider diagram with constants** is defined as follows.

1. Any unitary diagram with constants is a spider diagram with constants.
2. If  $D_1$  and  $D_2$  are spider diagrams with constants then  $(D_1 \vee D_2)$  and  $(D_1 \wedge D_2)$  are spider diagrams with constants.

Our convention will be to denote unitary diagrams by  $d$  and arbitrary diagrams by  $D$ . Some compound diagrams are not satisfiable (defined later). For convenience later, we introduce the symbol  $\perp$ , defined to be a unitary diagram that is not satisfiable.

### 3 Semantics

We now sketch, informally, the semantics of unitary spider diagrams. Regions represent sets. Missing zones represent the empty set. For example, in diagram  $d_1$  in Fig. 3, the zones  $(\{A, C\}, \{B\})$  and  $(\{A\}, \{B, C\})$  are missing and so represent the empty set; from this we can deduce that sets represented by  $A$  and  $B$  are disjoint.

Now, for simplicity, suppose a unitary diagram  $d$  does not contain any ties. If region  $r$  is inhabited by  $n$  spiders in  $d$  then  $d$  expresses that the set represented by  $r$  contains at least  $n$  elements. If  $r$  is shaded and touched by  $m$  spiders in  $d$  then  $d$  expresses that the set represented by  $r$  contains at most  $m$  elements. Thus, if  $d$  has a shaded, untouched region,  $r$ , then  $d$  expresses that  $r$  represents the empty set. For example, in diagram  $d_1$  in Fig. 3, the shaded region  $\{\{A\}, \{B, C\}, (\{A, C\}, \{B\})\}$  is untouched by any spider and therefore represents the empty set. In diagram  $d_2$  in Fig. 3, the same region is shaded and touched by two spiders and so the set it represents contains at most two elements.

Each constant spider asserts that the individual it represents is in the set represented by its habitat. Moreover, the individuals represented by constant spiders are distinct from those represented by existential spiders. Therefore, if a region contains an existential spider and a constant spider,  $s$ , we can deduce that there are at least two elements in that region, including that represented by  $s$ . Within a unitary diagram, no two constant spiders represent the same individual unless they are joined by a tie. Constant spiders joined by

ties represent the same individual if and only if there exists a zone,  $z$ , in their web and they both represent individuals in the set represented by  $z$ . So, the presence of a tie between two constant spiders has the effect of potentially reducing the upper and lower cardinality constraints placed on the set represented by the union of their habitats. In diagram  $d_3$  in Fig. 3, the constant spiders  $s$  and  $t$  represent different individuals unless both the individuals they represent are in the set represented by the zone  $(\{A\}, \{B, C\})$  or both are in the set represented by  $(\{C\}, \{A, B\})$ , in which case they must represent the same individual.

To formalize the semantics of spider diagrams with constants we shall map constant spider labels, contour labels, zones and regions to subsets of some universal set. We wish constant spider labels to act like constants in first-order predicate logic, so they will map to single element subsets of the universal set, unless the universal set is the empty set. We could, equivalently, choose to map constant spiders to elements of the universal set. However, the *semantics predicate* (defined below) is more elegant when we map constant spiders to sets, as are the details of some of the proofs below. Our formalization of the semantics extends that given for spider diagrams without constants in [12].

**Definition 3.1** An **interpretation of constant spider labels, contour labels, zones and regions**, or simply an **interpretation**, is a pair  $(U, \Psi)$  where  $U$  is a set and  $\Psi : \mathcal{CL} \cup \mathcal{Z} \cup \mathcal{R} \cup \mathcal{CS} \rightarrow \mathbb{P}U$  is a function mapping constant spider labels, contour labels, zones and regions to subsets of  $U$  such that the images of the zones and regions are completely determined by the images of the contour labels as follows:

1. for each zone  $(a, b)$ ,  $\Psi(a, b) = \bigcap_{l \in a} \Psi(l) \cap \bigcap_{l \in b} \overline{\Psi(l)}$  where  $\overline{\Psi(l)} = U - \Psi(l)$  and we define  $\bigcap_{l \in \emptyset} \Psi(l) = U = \bigcap_{l \in \emptyset} \overline{\Psi(l)}$  and
2. for each region  $r$ ,  $\Psi(r) = \bigcup_{z \in r} \Psi(z)$  and we define  $\Psi(\emptyset) = \bigcup_{z \in \emptyset} \Psi(z) = \emptyset$

and either the universal set is the empty set or the constant spiders map to singleton subsets of  $U$ . More formally

$$U = \emptyset \vee \forall s_i \in \mathcal{CS} \left| \Psi(s_i) \right| = 1.$$

We will write  $\Psi : \mathcal{R} \cup \mathcal{CS} \rightarrow \mathbb{P}U$  when strictly speaking we mean  $\Psi : \mathcal{CL} \cup \mathcal{Z} \cup \mathcal{R} \cup \mathcal{CS} \rightarrow \mathbb{P}U$ .

We introduce a *semantics predicate* which identifies whether a diagram expresses a true statement, with respect to an interpretation.

**Definition 3.2** Let  $D$  be a spider diagram with constants and let  $m = (U, \Psi)$  be an interpretation. We define the **semantics predicate** of  $D$ , denoted  $P_D(m)$ . If  $D = \perp$  then  $P_D(m)$  is  $\perp$ . If  $D (\neq \perp)$  is a unitary diagram then  $P_D(m)$  is the conjunction of the following conditions.

1. **Plane Tiling Condition.** The union of the sets represented by the zones in  $D$  is the universal set:  $\bigcup_{z \in \mathcal{Z}(D)} \Psi(z) = U$ .
2. There exists an extension of  $\Psi : \mathcal{R} \cup \mathcal{CS} \rightarrow \mathbb{P}U$  to  $\Psi : \mathcal{R} \cup \mathcal{CS} \cup ES(D) \rightarrow \mathbb{P}U$  such that the following conditions are satisfied.
  - (a) **Spiders Condition.** Each spider represents the existence of an element (strictly, a single element set) in the set represented by its habitat and existential spiders do not represent the same elements as any constant spiders:

$$\forall s \in ES(D) \left( \left| \Psi(s) \right| = 1 \wedge \Psi(s) \subseteq \Psi(\eta(s)) \right)$$

and

$$\forall s \in CS(D) (|\Psi(s)| = 1 \wedge \Psi(s) \subseteq \Psi(\theta_D(s)))$$

and

$$\forall e \in ES(D) \forall s_i \in CS(D) \Psi(e) \neq \Psi(s_i).$$

- (b) **Existential Spiders Condition.** No two existential spiders represent the existence of the same element:

$$\forall e_1, e_2 \in ES(D) (\Psi(e_1) = \Psi(e_2) \Rightarrow e_1 = e_2).$$

That is, the function  $\Psi$  is injective when the domain is restricted to  $ES(d)$ .

- (c) **Constant Spiders Condition.** Two constant spiders represent the same individual if and only if they both represent an individual in the set denoted by some zone in their web:

$$\begin{aligned} \forall s_i, s_j \in CS(D) (\Psi(s_i) = \Psi(s_j)) \\ \Leftrightarrow \exists z \in \omega_D(s_i, s_j) \Psi(s_i) \cup \Psi(s_j) \subseteq \Psi(z). \end{aligned}$$

- (d) **Shading Condition.** Each shaded zone,  $z$ , represents a subset of the set of elements represented by the spiders touching  $z$ :

$$\forall z \in Z^*(D) \Psi(z) \subseteq \bigcup_{s \in T(\{z\}, D)} \Psi(s).$$

If  $\Psi : \mathcal{R} \cup ES(D) \rightarrow \mathbb{P}U$  ensures  $P_D(m)$  is true then  $\Psi$  is a **valid extension to existential spiders** for  $D$ . If  $D = D_1 \vee D_2$  then  $P_D(m) = P_{D_1}(m) \vee P_{D_2}(m)$ . If  $D = D_1 \wedge D_2$  then  $P_D(m) = P_{D_1}(m) \wedge P_{D_2}(m)$ . We say  $m$  **satisfies**  $D$ , or  $m$  is a **model** for  $D$ , denoted  $m \models D$ , if and only if  $P_D(m)$  is true. If all the models for  $D_1$  are models for  $D_2$ , then  $D_1$  **semantically entails**  $D_2$ , denoted  $D_1 \models D_2$ . If  $D_1 \models D_2$  and  $D_2 \models D_1$ , then  $D_1$  and  $D_2$  are **semantically equivalent**, denoted  $D_1 \equiv D_2$ .

As an example, the interpretation  $m = (\{1, 2, 3, 4\}, \Psi)$  partially defined by  $\Psi(s_1) = \{1\}$ ,  $\Psi(s_2) = \{2\}$ ,  $\Psi(L_1) = \{1, 2\}$  and  $\Psi(L_2) = \{2, 3, 4\}$  is a model for  $d_1$  in Fig. 4 but not for  $d_2$ .

**Theorem 3.3** *Let  $d (\neq \perp)$  be a unitary spider diagram with constants. Then  $d$  is satisfiable.*

The proof strategy is to construct an interpretation that we call a *standard model* for  $d$ , following a similar approach to that for spider diagrams without constants in [12]. Essentially, this contains only the elements that are forced to exist by the presence of spiders in the diagram: for each spider in the diagram we choose one of the zones in its habitat and place an element there; in extending this construction to constants we just have to make sure that these elements are identified when ties require that to be so. It is straightforward to show that any standard model for  $d$  satisfies  $d$ . This standard model is also used in the proof of completeness. More formally, a standard model is defined as follows:

**Definition 3.4** Let  $d$  be a unitary spider diagram with constants. Let  $f : S(d) \rightarrow Z(d)$  be a function such that for each spider  $s$ ,  $f(s)$  is in the habitat of  $s$ . For each constant spider,  $s_i$ , we define

$$[s_i] = \{s_j \in CS(d) : f(s_j) = f(s_i) \wedge f(s_i) \subseteq \omega_d(s_i, s_j)\}$$

(these sets  $[s_i]$  give rise to an equivalence relation and, hence, form a partition of  $CS(d)$ ). Define

$$U = ES(d) \cup \{[s_i] : s_i \in CS(d)\}.$$

For each contour label,  $L$ , in  $d$  define

$$\begin{aligned} \Psi(L) = & \{e \in ES(d) : f(e) = (in, out) \wedge L \in in\} \\ & \cup \{[s_i] : s_i \in CS(d) \wedge f(s_i) = (in, out) \wedge L \in in\} \end{aligned}$$

and each constant spider,  $s_k$ , in  $d$ , maps to the set

$$\Psi(s_k) = \{[s_k]\}.$$

Then  $(U, \Psi)$  is a **standard model** for  $d$ .

## 4 Reasoning Rules

We will now develop a set of sound and complete reasoning rules for spider diagrams with constants. All of the reasoning rules given for spider diagrams without constants in [12] can be extended—sometimes in a non-trivial way—to spider diagrams with constants; we omit most of the formal definitions of the extended rules.

### 4.1 Unitary to Unitary Reasoning Rules

In this section we introduce a collection of reasoning rules that apply to, and result in, a unitary diagram.

**Rule 1 (Introduction of a shaded zone)** Let  $d_1$  be a unitary diagram that has a missing zone. If  $d_2$  is the same as  $d_1$  except that  $d_2$  contains a new, shaded and ‘untouched’ zone then  $d_1$  is logically equivalent to  $d_2$ .

In Fig. 5, Rule 1 (introduction of a shaded zone) is applied to  $d_1$  to give  $d_2$ . Applying the introduction of a shaded zone rule results in a semantically equivalent diagram. The next two rules are not information preserving.

**Rule 2 (Erasure of shading)** Let  $d_1$  be a unitary diagram with a shaded region  $r$ . Let  $d_2$  be identical to  $d_1$  except that  $r$  is completely non-shaded in  $d_2$ . Then  $d_1$  logically entails  $d_2$ .

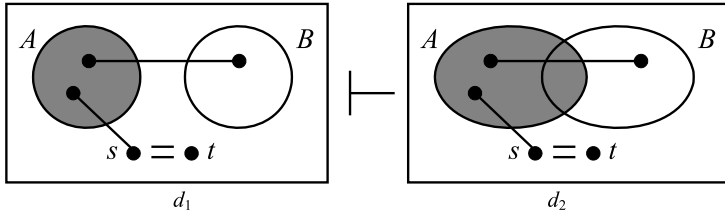


Fig. 5 An application of Rule 1 (introduction of a shaded zone)

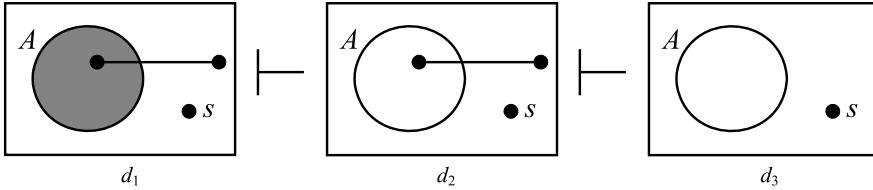


Fig. 6 Applications of Rule 2 (erasure of shading) and Rule 3 (erasure of a spider)

In Fig. 6, Rule 2 (erasure of shading) is applied to  $d_1$  to give  $d_2$ .

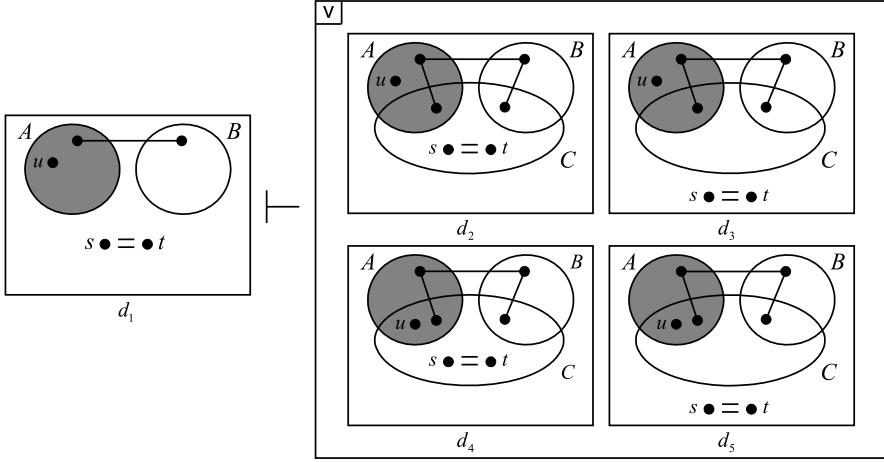
**Rule 3 (Erasure of a spider)** Let  $d_1$  be a unitary diagram containing a spider  $s$  with a completely non-shaded habitat. Let  $d_2$  the same as  $d_1$  except that  $d_2$  does not contain  $s$  or any ties that were connected to  $s$ . Then  $d_1$  logically entails  $d_2$ .

In Fig. 6, Rule 3 (erasure of a spider) is applied to  $d_2$  to give  $d_3$ .

## 4.2 Unitary to Compound Reasoning Rules

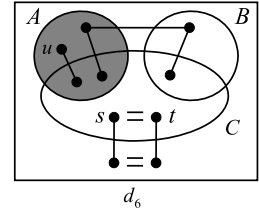
We now specify five further rules, each of which is reversible, that allow a unitary diagram to be replaced by a compound diagram. The first of these rules allows us to introduce a contour. In the logic for spider diagrams without constants, the introduction of a contour rule applies to, and results in, a unitary diagram [12].

Before we formulate the introduction of a contour rule, we look at an example. In Fig. 7, we examine how to introduce the contour with label  $C$  to  $d_1$ , which contains constant spiders. When we do so, each zone must split into two new zones, thus ensuring that information is preserved. The habitats of the existential spiders are similarly altered. More care must be taken with the constant spiders, however, due to the presence of ties. Consider, for example, the constant spiders  $s$  and  $t$ . The individual represented by both  $s$  and  $t$  must be either in  $C - (A \cup B)$  or in  $U - (A \cup B \cup C)$ . The constant spider  $u$  represents an individual that is either in  $A - (B \cup C)$  or  $(A \cap C) - B$ . This gives rise to four possibilities, shown in  $d_2, d_3, d_4$  and  $d_5$ . We call these four diagrams the  $C$ -extensions of  $d_1$ . The diagram  $d_1$  is semantically equivalent to  $d_2 \vee d_3 \vee d_4 \vee d_5$ . We could replace  $d_1$  with the disjunction of just two unitary diagrams, each with  $u$  having a two zone habitat:  $(\{A\}, \{B, C\})$  and  $(\{A, C\}, \{B\})$ . However, it is not the case that the single unitary diagram  $d_6$  in Fig. 8 is semantically equivalent to  $d_1$ . The constant spiders  $s$  and  $t$  must represent



**Fig. 7** A diagram with its  $C$ -extensions

**Fig. 8** Introducing a contour: an incorrect application



the same individual in  $d_1$  but this is not the case in  $d_6$ , since the semantics of ties are zone based.

To define this rule formally, we first define the component parts of the resulting disjunction. We call these component parts  $L_i$ -extensions, where  $L_i$  is the contour label introduced.

**Definition 4.1** Let  $d_1$  be a unitary diagram such that each constant spider in  $d_1$  has a single zone habitat. Let  $L_i$  be a contour label that is not in  $d_1$ , that is  $L_i \in \mathcal{CL} - L(d_1)$ . Let  $d_2$  be a unitary diagram such that each constant spider in  $d_2$  has a single zone habitat. If the following conditions hold then  $d_2$  is an  $L_i$ -extension of  $d_1$ .

1. The contour labels of  $d_2$  are those of  $d_1$ , together with  $L_i$ :  $L(d_2) = L(d_1) \cup \{L_i\}$ .
2. The constant spider labels match:  $CS(d_1) = CS(d_2)$ .
3. There exists a surjection,  $h : Z(d_2) \rightarrow Z(d_1)$  defined by  $h(a, b) = (a - \{L_i\}, b - \{L_i\})$  such that
  - (a) each zone in  $d_1$  is mapped to by two distinct zones in  $d_2$ ,
  - (b) each zone is shaded in  $d_2$  if and only if it maps to a shaded zone,
  - (c) the existential spiders match and their habitats are preserved under  $h$ : there exists a bijection,  $\sigma : ES(d_1) \rightarrow ES(d_2)$  that satisfies

$$\forall e \in ES(d_1) \eta(\sigma(e)) = \{z \in Z(d_2) : h(z) \in \eta(e)\},$$

and

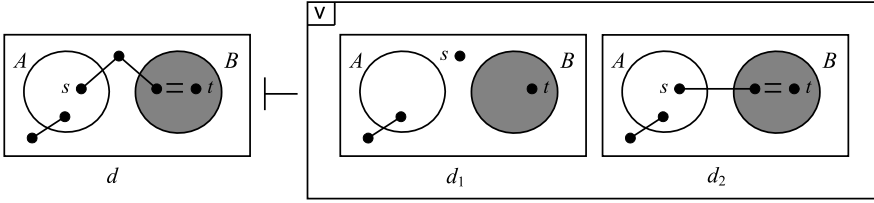


Fig. 9 An application of Rule 5, splitting spiders

(d) the habitat of each constant spider,  $c$ , in  $d_2$  satisfies  $h(\theta_{d_2}(c)) = \theta_{d_1}(c)$ .

4. Spider webs are preserved. Since the constant spiders have a single zone habitat we may formalize this as follows:

$$\forall c_1, c_2 \in CS(d_2) (\omega_{d_1}(c_1, c_2) \neq \emptyset \Leftrightarrow \omega_{d_2}(c_1, c_2) \neq \emptyset).$$

We define  $\mathcal{E}\mathcal{X}\mathcal{T}(L_i, d_1)$  to be the set of all  $L_i$ -extensions of  $d_1$ .

**Rule 4 (Introduction of a contour label)** Let  $d_1 (\neq \perp)$  be a unitary diagram such that each constant spider has a single zone habitat. Let  $L_i \in \mathcal{C}\mathcal{L} - L(d_1)$ . Then  $d_1$  is logically equivalent to the diagram

$$\bigvee_{d_2 \in \mathcal{E}\mathcal{X}\mathcal{T}(L_i, d_1)} d_2.$$

**Rule 5 (Splitting spiders)** Let  $d$  be a unitary diagram with a spider  $s$  touching every zone of two disjoint regions  $r_1$  and  $r_2$ . Let  $d_1$  and  $d_2$  be unitary diagrams that are identical to  $d$  except that neither contains  $s$ , but instead each contains an extra spider,  $s_1$  and  $s_2$  respectively, whose habitats are regions  $r_1$  in  $d_1$  and  $r_2$  in  $d_2$ . If  $s$  is a constant spider, then

1.  $s_1$  and  $s_2$  have the same label as  $s$  and
2. any ties joined to  $s$  in  $d$  are joined to the appropriate instance of  $s$  in  $d_1$  and  $d_2$ .

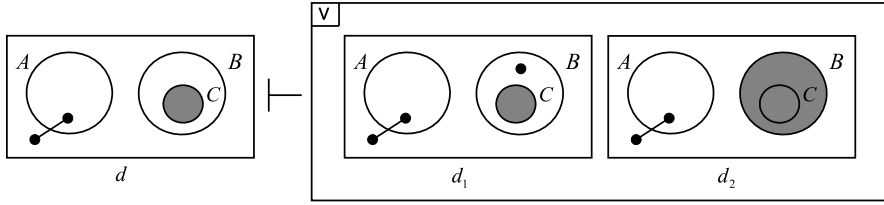
Then  $d$  is logically equivalent to the diagram  $d_1 \vee d_2$ .

Figure 9 illustrates an application of the splitting spiders rule. The spider  $s$  in  $d$  splits into two spiders, one in  $d_1$ , the other in  $d_2$ . Intuitively, the individual represented by  $s$  is either in the set  $U - (A \cup B)$  or the set  $A \cup B$ .

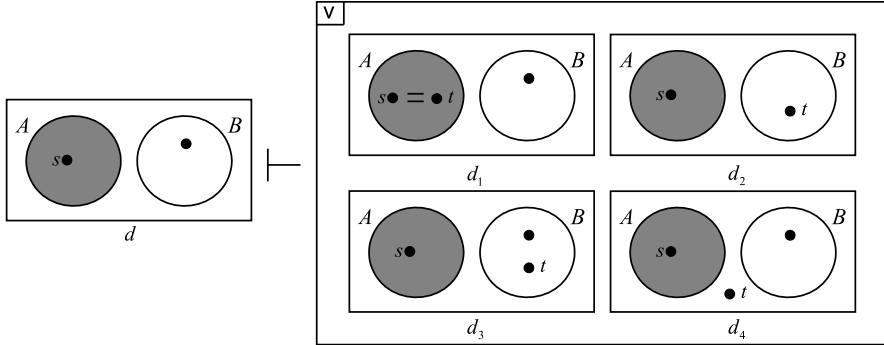
**Rule 6 (Excluded middle)** Let  $d$  be a unitary diagram with a completely non-shaded region  $r$ . Let  $d_1$  and  $d_2$  be unitary diagrams that are the same as  $d$  except that  $d_1$  contains an extra existential spider whose habitat is  $r$  and in  $d_2$  the region  $r$  is shaded. Then  $d$  is logically equivalent to the diagram  $d_1 \vee d_2$ .

For example, the diagram  $d$  in Fig. 10 can be replaced by  $d_1 \vee d_2$  by applying the excluded middle rule.

Before we introduce the next rule, we look at an example, and then make a definition that is key to formulating the rule itself. Given a unitary diagram,  $d$ , that has only non-empty models (in which case  $d$  contains at least one spider), we can deduce that the



**Fig. 10** An application of Rule 6, excluded middle



**Fig. 11** A unitary diagram with its  $t$ -extensions

individual represented by a constant spider label,  $t$ , belongs to one of the sets denoted by the zones in  $d$ . Moreover, this individual must either be the same as, or different from, the elements already represented in  $d$ .

As an example, consider  $d$  in Fig. 11 which has only non-empty models. Thus, in any model for  $d$  the constant spider (label)  $t$  maps to some individual (technically, single element set). Then  $t$  is in  $A - B$ ,  $B - A$  or  $U - (A \cup B)$ . If  $t$  is in  $A - B$  then it must equal  $s$ , since the region inside  $A$  is entirely shaded, shown in  $d_1$ . If  $t$  is in the set  $B - A$  then it may be either equal to or different from the element represented by the existential spider in  $B$  in the diagram  $d$ ; these cases are represented by  $d_2$  and  $d_3$  respectively. Finally, if  $t$  is not in  $A - B$  or  $B - A$  then, since  $A \cap B = \emptyset$ ,  $t$  must be in  $U - (A \cup B)$ , represented by  $d_4$ . The diagrams  $d_1$ ,  $d_2$ ,  $d_3$  and  $d_4$  are called  $t$ -extensions of  $d$ . A diagram in which all spiders have a single zone habitat is called an  $\alpha$ -**diagram**.

**Definition 4.2** Let  $d_1$  be a unitary  $\alpha$ -diagram such that  $S(d_1) \neq \emptyset$  and there exists  $s_i \in CS - CS(d_1)$ . Let  $d_2$  be a unitary  $\alpha$ -diagram. If the following conditions are satisfied then  $d_2$  is an  $s_i$ -**extension** of  $d_1$ .

1. The zones match:  $Z(d_1) = Z(d_2)$ .
2. The shaded zones match:  $Z^*(d_1) = Z^*(d_2)$ .
3. The constant spiders match except that  $s_i$  is in  $d_2$ :  $CS(d_1) \cup \{s_i\} = CS(d_2)$ .
4. The habitats of the existing constant spiders are preserved:  $\theta_{d_1} = \theta_{d_2}|_{CS(d_1)}$ .
5. The existing webs are preserved:  $\omega_{d_1} = \omega_{d_2}|_{CS(d_1) \times CS(d_1)}$ .



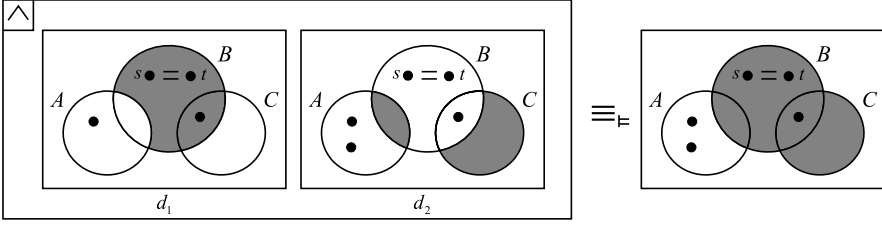


Fig. 12 Combining diagrams

6. If  $s_i$  has a shaded habitat,  $z$ , in  $d_2$  then either the number of existential spiders inhabiting  $z$  is one less than the number in  $d_1$  or  $s_i$  is joined to another (constant) spider by a tie: if  $\theta_{d_2}(s_i) \subseteq Z^*(d_2)$  then
  - (a)  $\forall s_j \in CS(d_1) \omega_{d_2}(s_i, s_j) = \emptyset \wedge \exists e \in ES(\theta_{d_2}(s_i), d_1) ES(d_2) = ES(d_1) - \{e\}$  or
  - (b)  $\exists s_j \in CS(d_1) \omega_{d_2}(s_i, s_j) \neq \emptyset \wedge ES(d_1) = ES(d_2)$ .
7. If  $s_i$  has a non-shaded habitat in  $d_2$  then either the number of existential spiders inhabiting  $z$  is the same as, or one less than the number in  $d_1$  or  $s_i$  is joined to another (constant) spider by a tie and the number of existential spiders is the same: if  $\theta_{d_2}(s_i) \cap Z^*(d_2) = \emptyset$  then
  - (a)  $\forall s_j \in CS(d_1) \omega_{d_2}(s_i, s_j) = \emptyset \wedge (ES(d_1) = ES(d_2) \vee \exists e \in ES(\theta_{d_2}(s_i), d_1) ES(d_2) = ES(d_1) - \{e\})$  or
  - (b)  $\exists s_j \in CS(d_1) \omega_{d_2}(s_i, s_j) \neq \emptyset \wedge ES(d_1) = ES(d_3)$ .

We define  $\mathcal{EXT}(s_i, d_1)$  to be the set of all  $s_i$ -extensions of  $d_1$ .

**Rule 7 (Introduction of a constant spider)** Let  $d_1$  be a unitary  $\alpha$ -diagram such that  $S(d_1) \neq \emptyset$  and there exists  $s_i \in CS - CS(d_1)$ . Then  $d_1$  is logically equivalent to the diagram

$$\bigvee_{d_2 \in \mathcal{EXT}(s_i, d_1)} d_2.$$

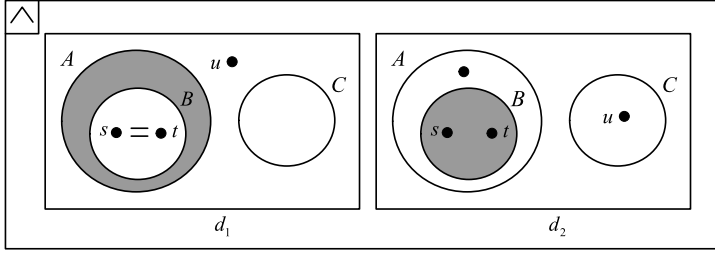
Introducing the constant spider  $t$  to  $d$  in Fig. 11, results in  $d_1 \vee d_2 \vee d_3 \vee d_4$ .

The final rule in this section, called *combining*, replaces two unitary  $\alpha$ -diagrams, with the same zone sets and constant spider label sets, taken in conjunction by a single unitary diagram, illustrated in Fig. 12. We combine  $d_1 \wedge d_2$  to give  $d^*$ . Any shading in either  $d_1$  or  $d_2$  occurs in  $d^*$ . Moreover, the number of spiders in any zone in  $d^*$  is the same as the maximum number that occur in that zone in  $d_1$  or  $d_2$ . The diagram  $d_1 \wedge d_2$  is semantically equivalent to  $d^*$ .

We now give a further example in a build-up to the definition of the combining rule.

In Fig. 13,  $d_1$  and  $d_2$  contain contradictory information. We observe the following.

1. The zone  $z_1 = (\{A\}, \{B, C\})$  is shaded in  $d_1$  and contains more spiders in  $d_2$ . Moreover,  $z_1$  represents the empty set in any model for  $d_1$ . In any model for  $d_2$ ,  $z_1$  does not represent the empty set.
2. The constant spider  $u$  has different habitats in the two diagrams. In any model for  $d_1$ ,  $u$  represents an individual that is not in the set  $A \cup C$ . In any model for  $d_2$ ,  $u$  represents an individual in the set  $C$ .



**Fig. 13** An unsatisfiable diagram

3. The constant spiders  $s$  and  $t$  are joined by a tie in  $d_1$  but not in  $d_2$ . In any model for  $d_1$ ,  $s$  and  $t$  represent the same individual, but in any model for  $d_2$  they represent distinct individuals.

From any one of these three observations we can deduce that  $d_1 \wedge d_2$  is unsatisfiable.

**Definition 4.3** Let  $d_0$  and  $d_1$  be unitary  $\alpha$ -diagrams. Then  $d_0$  and  $d_1$  are **comparable** if one of the following three conditions holds.

1.  $Z(d_0) = Z(d_1)$  and  $CS(d_0) = CS(d_1)$ .
2.  $Z(d_0) = Z(d_1)$ .
3. for one of the  $d_i$ s where  $i \in \{0, 1\}$ ,  $Z^*(d_i) = Z(d_i)$  and  $S(d_i) = \emptyset$ .
4.  $d_0 = \perp$  or  $d_1 = \perp$ .

Recall that  $S(\{z\}, d) = \{s \in S(d) : \eta(s) = \{z\}\}$ .

**Definition 4.4** Let  $d_0$  and  $d_1$  be comparable unitary  $\alpha$ -diagrams. Then  $d_0$  and  $d_1$  are in **contradiction** if one of the following four conditions holds.

- (i) Either  $d_0 = \perp$  or  $d_1 = \perp$ .
- (ii) There is a zone that is shaded in one diagram and contains more spiders in the other. More formally, there exists  $z \in Z(d_i)$  for some  $i = 0, 1$  such that  $z \in Z^*(d_j)$  and  $|S(\{z\}, d_i)| > |S(\{z\}, d_j)|$  where  $j = 1 - i$ .
- (iii) There is a constant spider with different habitats in  $d_0$  and  $d_1$ . More formally,  $\theta_{d_0} \neq \theta_{d_1}$ .
- (iv) There are two constant spiders that are joined by a tie in one diagram but not the other. More formally,  $\omega_{d_0} \neq \omega_{d_1}$ .

It may be helpful to note that if  $d_0$  and  $d_1$  are comparable and not in contradiction then  $\omega(d_0) = \omega(d_1)$ .

**Lemma 4.5** Let  $d_0$  and  $d_1$  be comparable unitary  $\alpha$ -diagrams. Then  $d_0$  and  $d_1$  are in contradiction if and only if  $d_0 \wedge d_1$  is unsatisfiable.

**Definition 4.6** Let  $d_0$  and  $d_1$  be comparable unitary  $\alpha$ -diagrams. Then their **combination**, denoted  $d^* = d_0 * d_1$ , is a unitary  $\alpha$ -diagram defined as follows.

1. If  $d_0$  and  $d_1$  are in contradiction then  $d_0 * d_1 = \perp$ .

2. Otherwise  $d^* = d_0 * d_1$  is a unitary  $\alpha$ -diagram such that the following hold.
- The set of zones in the combined diagram is the same as the set of zones in the original diagrams:  $Z(d^*) = Z(d_0)$ .
  - The shaded zones in  $d^* = d_0 * d_1$  are those that are shaded in at least one of the original diagrams:  $Z^*(d^*) = Z^*(d_0) \cup Z^*(d_1)$ .
  - The number of existential spiders in any zone in the combined diagram is the maximum number of existential spiders inhabiting that zone in the original diagrams:

$$\forall z \in Z(d^*) \quad ES(\{z\}, d^*) = ES(\{z\}, d_0) \cup ES(\{z\}, d_1).$$

Equivalently,  $ES(d^*) = ES(d_0) \cup ES(d_1)$ .

- The constant spiders in the combined diagram are the same as those in the original diagrams:  $CS(d^*) = CS(d_0)$ .
- The habitats of the constant spiders in the combined diagram are the same as those in the original diagrams:  $\theta_{d^*} = \theta_{d_0}$ .
- The webs of the constant spiders in the combined diagram are the same as those in the original diagrams:  $\omega(d^*) = \omega(d_0)$ .

**Rule 8 (Combining)** Let  $d_0$  and  $d_1$  be comparable unitary  $\alpha$ -diagrams. Then  $d_0 \wedge d_1$  is logically equivalent to  $d_0 * d_1$ .

### 4.3 Logic Reasoning Rules

We now introduce a collection of rules, all of which have (obvious) analogies in symbolic logic. The next rule is analogous to  $P \vdash P \vee Q$ , for any propositions  $P, Q$ .

**Rule 9 (Connecting a diagram)** Let  $D_1$  and  $D_2$  be spider diagrams. Then  $D_1$  logically entails  $D_1 \vee D_2$ .

**Rule 10 (Inconsistency)** The diagram  $\perp$  logically entails any diagram.

**Rule 11 ( $\vee$ -Idempotency)** Any spider diagram  $D$  is logically equivalent to  $D \vee D$ .

**Rule 12 ( $\wedge$ -Idempotency)** Any spider diagram  $D$  is logically equivalent to  $D \wedge D$ .

**Rule 13 ( $\vee$ -Commutativity)** Let  $D_1$  and  $D_2$  be spider diagrams. Then  $D_1 \vee D_2$  is logically equivalent to  $D_2 \vee D_1$ .

**Rule 14 ( $\wedge$ -Commutativity)** Let  $D_1$  and  $D_2$  be spider diagrams. Then  $D_1 \wedge D_2$  is logically equivalent to  $D_2 \wedge D_1$ .

**Rule 15 ( $\vee$ -Associativity)** Let  $D_1, D_2$  and  $D_3$  be spider diagrams. Then  $D_1 \vee (D_2 \vee D_3)$  is logically equivalent to  $(D_1 \vee D_2) \vee D_3$ .

**Rule 16 ( $\wedge$ -Associativity)** Let  $D_1, D_2$  and  $D_3$  be spider diagrams. Then  $D_1 \wedge (D_2 \wedge D_3)$  is logically equivalent to  $(D_1 \wedge D_2) \wedge D_3$ .

**Rule 17** ( $\vee$ -Distributivity) Let  $D_1, D_2$  and  $D_3$  be spider diagrams. Then  $D_1 \vee (D_2 \wedge D_3)$  is logically equivalent to  $(D_1 \vee D_2) \wedge (D_1 \vee D_3)$ .

**Rule 18** ( $\wedge$ -Distributivity) Let  $D_1, D_2$  and  $D_3$  be spider diagrams. Then  $D_1 \wedge (D_2 \vee D_3)$  is logically equivalent to  $(D_1 \wedge D_2) \vee (D_1 \wedge D_3)$ .

**Rule 19** ( $\vee$ -Simplification) Let  $D_1, D_2$  and  $D_3$  be spider diagrams. If diagram  $D_2$  can be transformed into diagram  $D_3$  by one of reasoning rules then  $D_1 \vee D_2$  logically entails  $D_1 \vee D_3$ .

**Rule 20** ( $\wedge$ -Simplification) Let  $D_1, D_2$  and  $D_3$  be spider diagrams. If diagram  $D_2$  can be transformed into diagram  $D_3$  by one of the reasoning rules then  $D_1 \wedge D_2$  logically entails  $D_1 \wedge D_3$ .

## 4.4 Obtainability

To conclude this section on reasoning rules we define obtainability.

**Definition 4.7** Let  $D_1$  and  $D_2$  be two spider diagrams with constants. Diagram  $D_2$  is **obtainable** from  $D_1$ , denoted  $D_1 \vdash D_2$ , if and only if there is a sequence of diagrams  $\langle D^1, D^2, \dots, D^m \rangle$  such that  $D^1 = D_1$ ,  $D^m = D_2$  and  $D^{k+1}$  can be obtained from  $D^k$  (where  $1 \leq k < m$ ) by applying a reasoning rule. If  $D_1 \vdash D_2$  and  $D_2 \vdash D_2$ , we write  $D_1 \equiv_{\vdash} D_2$ .

## 5 Soundness

In this section we show the soundness of the logic of spider diagrams with constants introduced in Sect. 4.

To prove that the system is sound, the strategy is to start by showing that each of the reasoning rules is sound. We show that the introduction of a constant spider rule is sound as an illustration but omit the remaining proofs. The soundness theorem then follows by a simple induction argument.

**Lemma 5.1** *Rule 7 (introduction of a constant spider) is sound. Let  $d_1$  be unitary  $\alpha$ -diagram such that  $S(d_1) \neq \emptyset$  and there exists  $s_i \in \mathcal{CS} - \mathcal{CS}(d_1)$ . Then*

$$d_1 \equiv_{\models} \bigvee_{d_2 \in \mathcal{E}\mathcal{X}\mathcal{T}(s_i, d_1)} d_2.$$

*Proof* Let  $m = (U, \Psi)$  be an interpretation. Assume that  $m \models d_1$ . We will show that  $m \models d_2$ , for some  $d_2 \in \mathcal{E}\mathcal{X}\mathcal{T}(s_i, d_1)$ . Let  $\Psi_1 : \mathcal{R} \cup \mathcal{CS} \cup \mathcal{ES}(d_1) \rightarrow \mathbb{P}U$  be a valid extension to existential spiders for  $d_1$ . Using  $d_1$  and  $\Psi_1$ , we define a diagram,  $d_2$ , as follows.

1. The zones match:  $Z(d_1) = Z(d_2)$ .

2. The shaded zones match:  $Z^*(d_1) = Z^*(d_2)$ .
3. The constant spiders in  $d_1$  are in  $d_2$  and, additionally,  $d_2$  contains  $s_i$ :  $CS(d_1) \cup \{s_i\} = CS(d_2)$ .
4. The habitats of the constant spiders match and the habitat of  $s_i$  in  $d_2$  is determined by  $\Psi_1$ :

$$\theta_{d_1} = \theta_{d_2}|_{CS(d_1)}$$

and

$$\theta_{d_2}(s_i) = \{z\}$$

where  $z$  is the unique zone in  $Z(d_1)$  such that  $\Psi(s_i) \subseteq \Psi(z)$ . Such a zone exists because the plane tiling condition holds for  $d_1$ .

5. The existing webs in  $d_1$  are preserved in  $d_2$ :  $\omega_{d_1} = \omega_{d_2}|_{CS(d_1) \times CS(d_1)}$ .
6. We now consider three cases in order to define the existential spiders (and their habitats) and the remaining webs of  $d_2$ .
  - (a) *There is an existential spider,  $s$ , in  $d_1$  such that  $\Psi_1(s) = \Psi(s_i)$ .* In this case, we choose  $e_n(\{\eta(s)\})$ , where  $(n, \eta(s)) \in ESD(d_1)$ , and we define  $ES(d_2) = ES(d_1) - \{e_n(\eta(s))\}$ . For the remaining webs, we define, for all  $s_j \in CS(d_1)$ ,  $\omega_{d_2}(s_i, s_j) = \emptyset$ . We note, by the spiders condition for  $d_1$ ,  $\theta_{d_2}(s_i) = \eta(s)$ .
  - (b) *There is a constant spider,  $c$ , in  $d_1$  such that  $\Psi(c) = \Psi(s_i)$ .* In this case,  $ES(d_1) = ES(d_2)$ , and, for the remaining webs, we start by defining  $\omega_{d_2}(s_i, c) = \theta_{d_1}(c)$ ; since  $d_1$  is an  $\alpha$ -diagram,  $\theta_{d_1}(c)$  is a single zone. It follows that  $s_i$  is also joined by a tie to all the constant spiders that are joined to  $c$  in  $d_1$  and, by (5) above and the transitivity of ties, not joined by a tie to any other constant spiders. We note, by the spiders condition for  $d_1$ ,  $\theta_{d_2}(s_i) = \theta_{d_1}(c)$ .
  - (c) *No spider,  $s$ , in  $S(d_1)$  satisfies  $\Psi_1(s) = \Psi(s_i)$ .* In this case, we have  $ES(d_1) = ES(d_2)$  and for all  $c \in CS(d_1)$ ,  $\omega_{d_2}(s_i, c) = \emptyset$ .

It is straightforward to verify that  $d_2$  is an  $s_i$ -extension of  $d_1$ .

We now show that  $m \models d_2$ . Clearly, the plane tiling condition holds for  $d_2$ , since  $Z(d_1) = Z(d_2)$ . If case 6(a) holds then we suppose, without loss of generality, that  $s = e_n(\eta(s))$ . If either case 6(b) or 6(c) holds then no supposition is necessary. We define an extension of  $\Psi$  to the existential spiders in  $d_2$  by  $\Psi_2 = \Psi_1|_{\mathcal{R} \cup CS \cup ES(d_2)}$ . The function  $\Psi_2$  is a valid extension of  $\Psi$  to existential spiders for  $d_2$ . Hence  $m \models d_2$ , and it follows that

$$d_1 \models \bigvee_{d_2 \in \mathcal{E}\mathcal{X}\mathcal{T}(s_i, d_1)} d_2.$$

For the converse, it can be shown that each  $d_2 \in \mathcal{E}\mathcal{X}\mathcal{T}(s_i, d_1)$  satisfies  $d_2 \models d_1$ . Assuming that  $m \models d_2$ , the proof strategy is to take a valid extension of  $\Psi$  to existential spiders for  $d_2$  and use this to construct a valid extension of  $\Psi$  to existential spiders for  $d_1$ . Thus,

$$\bigvee_{d_2 \in \mathcal{E}\mathcal{X}\mathcal{T}(s_i, d_1)} d_2 \models d_1.$$

Hence

$$d_1 \equiv_{\models} \bigvee_{d_2 \in \mathcal{E}\mathcal{X}\mathcal{T}(s_i, d_1)} d_2,$$

that is, Rule 7 (introduction of a constant spider) is sound.  $\square$

**Theorem 5.2 (Soundness)** *Let  $D_1$  and  $D_2$  be spider diagrams. If  $D_1 \vdash D_2$  then  $D_1 \models D_2$ .*

*Proof* The proof is by induction on the length,  $n$ , of a sequence establishing  $D_1 \vdash D_2$ , since each individual step can be shown to be sound along the lines of the proof of Lemma 5.1 above.  $\square$

## 6 Completeness and Decidability

In this section we show the completeness and decidability of the logic of spider diagrams with constants introduced in Sect. 4. We begin with an informal overview, before giving details of the various stages of the proof.

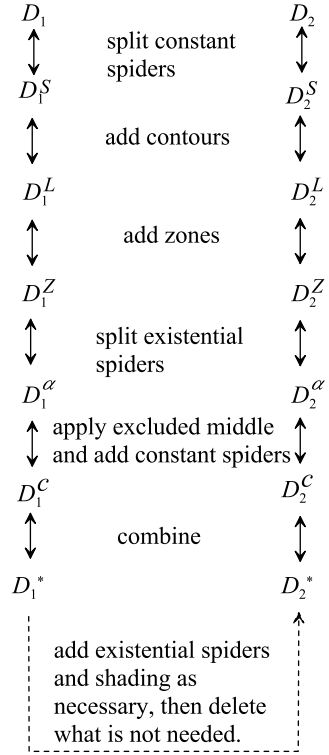
### 6.1 Overview

The completeness proof strategy for spider diagrams without constants given in [12] extends to the more general case here. The extended strategy, outlined in Fig. 14, is as follows. Suppose that  $D_1 \models D_2$ . The aim is to transform  $D_1$  and  $D_2$  into disjunctions of unitary  $\alpha$ -diagrams using reversible rules (i.e. those which are logical equivalences) where, roughly speaking, each unitary part has some specified contour label set and constant spider label set.

Firstly, we split the constant spiders in  $D_1$  and  $D_2$  until, in each unitary part, all the constant spiders have a single zone habitat, giving  $D_1^S$  and  $D_2^S$  respectively. This allows us to add contours to the unitary parts in both  $D_1^S$  and  $D_2^S$  using the reversible Rule 4 (introduction of a contour label), until each (non-false) unitary part has the same contour label set,  $L$ . This gives  $D_1^L$  and  $D_2^L$  respectively. For the next step, zones are introduced to each unitary part until all (non-false) unitary parts have the same zone set,  $Z$ . This is done using the reversible Rule 1 (introduction of a shaded zone) and yields  $D_1^Z$  and  $D_2^Z$  respectively. Now we obtain  $\alpha$ -diagrams using the reversible Rule 5 (splitting spiders), yielding  $D_1^\alpha$  and  $D_2^\alpha$  respectively. The formalization of the diagrams  $D_i^L$ ,  $D_i^Z$  and  $D_i^\alpha$  readily generalize those given in [12] for spider diagrams without constants.

We wish to introduce constant spiders to each side until each unitary part has the same constant spider label set. However, we can only introduce constant spiders when our diagrams contain at least one spider (ensuring non-empty models). Thus the next step we take is to apply the excluded middle rule to both sides until all the (non-false) unitary parts are either entirely shaded or contain at least one spider. The reversible Rule 7 (introduction of a constant spider) is then applied, introducing constant spiders to all unitary parts that contain a spider, until all such unitary parts have some specified constant spider label set,  $C$ . This gives  $D_1^C$  and  $D_2^C$  respectively.

**Fig. 14** The completeness proof strategy



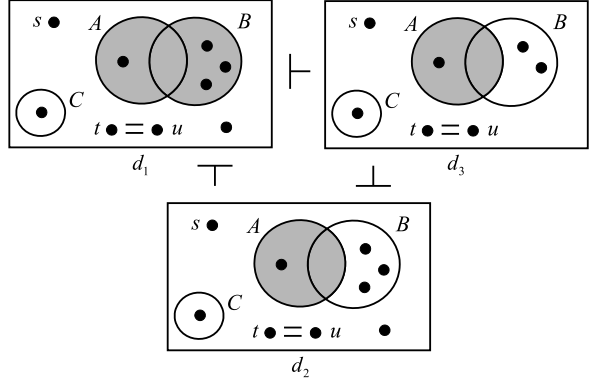
We now apply Rule 8 (combining) to remove all the conjuncts, giving two disjunctions of unitary  $\alpha$ -diagrams,  $D_1^*$  and  $D_2^*$ . We call  $D_1^*$  ( $D_2^*$ ) the **disjunctified diagram associated with  $D_1$  ( $D_2$ ) given  $D_2$  ( $D_1$ )**. All of the unitary parts of  $D_1^*$  and  $D_2^*$  are either

1.  $\perp$ ,
2. have zone set  $Z$  and are entirely shaded and contain no spiders, or
3. have zone set  $Z$  and constant spider label set  $C$ .

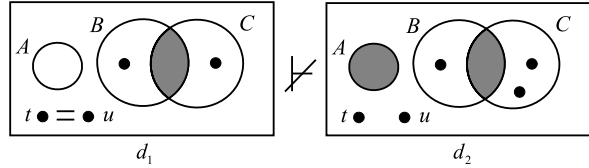
Note that  $D_1 \equiv_{\vdash} D_1^*$  and  $D_2 \equiv_{\vdash} D_2^*$ , since all the rules applied so far are reversible. The diagram  $D_i^*$  is a normal form that reflects the semantics of  $D_i$  clearly. We now apply the excluded middle rule to  $D_1^*$  until there are sufficiently many existential spiders and there is enough shading to ensure that each unitary part on the left hand side syntactically entails a unitary part of  $D_2^*$ .

The details of the proof are given in the following sections. The major differences between the completeness proof strategy here and that for spider diagrams without constants are the addition of the first step (splitting the constant spiders), with knock on changes to details of the other steps, and the insertion of an extra stage between splitting existential spiders and combining diagrams. In addition, we note that the details of the proofs are more complex.

**Fig. 15** Completeness for unitary  $\alpha$ -diagrams



**Fig. 16** Completeness for unitary  $\alpha$ -diagrams



## 6.2 Completeness for Unitary $\alpha$ -Diagrams

We show that if  $d_1 \vDash d_2$ , where  $d_1$  and  $d_2$  are unitary  $\alpha$ -diagrams with some fixed zone set and constant spider label set, then we can erase existential spiders and shading from  $d_1$  to give  $d_2$ .

*Example* The diagrams  $d_1$  and  $d_2$  in Fig. 15 satisfy the following.

- (a) Every shaded zone in  $d_2$  is shaded in  $d_1$  and contains the same number of existential spiders in both diagrams.
- (b) Every zone in  $d_2$  contains the same number or fewer existential spiders than in  $d_1$ .
- (c) The constant spiders habitats match, as do their webs.

Under these conditions, the diagram  $d_2$  can be obtained from  $d_1$  by applying Rule 2 (erasure of shading), and Rule 3 (erasure of an existential spider) can then be used to give  $d_3$ . The properties (a), (b) and (c) above relate to properties 3(a), 3(b) and 3(c) in Theorem 6.1.

*Example* The diagram  $d_2$  in Fig. 16 cannot be obtained from  $d_1$  for three reasons.

- (a) The zone  $(\{A\}, \{B, C\})$  is shaded in  $d_2$  but not shaded in  $d_1$ . There is a model for  $d_1$  that will cause the shading condition for  $d_2$  to fail whenever the spiders condition for  $d_2$  holds.
- (b) The zone  $(\{C\}, \{A, B\})$  contains a two existential spiders in  $d_2$  but only a single existential spider in  $d_1$ . Again we can deduce that there is a model for  $d_1$  that does not satisfy  $d_2$ . For example, at least one model,  $m = (U, \Psi)$  for  $d_1$  ensures that  $|\Psi(\{C\}, \{A, B\})| = 1$ . In the interpretation  $m$ , it cannot be that case that both the spiders condition and the existential spiders condition hold for  $d_2$ .



- (c) The constant spiders  $t$  and  $u$  have the same habitat in both diagrams, but different webs. In any model for  $d_1$ ,  $t$  and  $u$  represent the same individual, but in any model for  $d_2$  they represent distinct individuals.

From any one of the above observations we can deduce that  $d_1 \not\equiv d_2$ .

The following theorem gives syntactic conditions on unitary  $\alpha$ -diagrams equivalent to semantic and syntactic entailment. The theorem forms the heart of the proof of completeness and is modified from the corresponding result in [12] to take account of the fact the our spider diagrams now include constant spiders.

**Theorem 6.1** *Let  $d_1 (\neq \perp)$  and  $d_2 (\neq \perp)$  be two unitary  $\alpha$ -diagrams. If  $Z(d_1) = Z(d_2)$  and  $CS(d_1) = CS(d_2)$  then the following three statements are equivalent:*

1.  $d_1 \vdash d_2$ .
2.  $d_1 \vDash d_2$ .
3. (a) *every zone that is shaded in  $d_2$  is shaded in  $d_1$  and contains the same number of existential spiders in both diagrams:*

$$Z^*(d_2) \subseteq Z^*(d_1) \wedge \forall z \in Z^*(d_2) ES(\{z\}, d_2) = ES(\{z\}, d_1),$$

- (b) *every zone in  $d_2$  contains at most the same number of existential spiders as in  $d_1$ :*

$$\forall z \in Z(d_2) ES(\{z\}, d_2) \subseteq ES(\{z\}, d_1),$$

and

- (c) *the constant spiders have the same habitats and the same webs in both diagrams:*  
 $\theta_{d_1} = \theta_{d_2}$  and  $\omega_{d_1} = \omega_{d_2}$ .

*Proof* By soundness,  $d_1 \vdash d_2 \Rightarrow d_1 \vDash d_2$ .

We now show that 2 (i.e.,  $d_1 \vDash d_2$ ) implies 3. Suppose that  $d_1 \vDash d_2$  and let  $m = (U, \Psi)$  be a standard model for  $d_1$ . We define, for each existential spider,  $e_1$ , in  $d_1$ ,  $\Psi_1(e) = \{e\}$  and the mapping  $\Psi_1$  yields a valid extension to existential spiders for  $d_1$ . Since  $d_1 \vDash d_2$ ,  $m$  is a model for  $d_2$ . Let  $\Psi_2 : \mathcal{R} \cup \mathcal{CS} \cup ES(d_2) \rightarrow \mathbb{P}U$  be a valid extension to existential spiders for  $d_2$ . We will show that  $\Psi_2$  induces an injective, habitat preserving map  $\sigma : ES(d_2) \rightarrow ES(d_1)$ . Now,  $\Psi_2$  ensures that the spiders condition holds for  $d_2$ . Therefore, for each existential spider,  $e_2$ , in  $d_2$ , there exists an existential spider,  $e_1$ , in  $d_1$  such that  $\Psi_2(e_2) = \{e_1\}$  (each constant spider,  $s_i$ , in  $d_2$  maps to  $[s_i]$ ). Define  $\sigma$  by

$$\sigma(e_2) \in \Psi_2(e_2).$$

By the spiders condition for  $d_1$ ,

$$\{\sigma(e_2)\} = \Psi_1(\sigma(e_2)) \subseteq \Psi(\eta(\sigma(e_2)))$$

and, by the spiders condition for  $d_2$ ,

$$\{\sigma(e_2)\} = \Psi_2(e_2) \subseteq \Psi(\eta(e_2)).$$

We deduce that, since distinct zones in  $d_1$  represent disjoint sets,

$$\eta(\sigma(e_2)) = \eta(e_2).$$

Therefore  $\sigma$  is habitat preserving. We now show that  $\sigma$  is injective. Suppose that  $\sigma(e_2) = \sigma(e_3)$  for some  $e_3 \in ES(d_2)$ . Then  $\Psi_2(e_2) = \Psi_2(e_3)$ , which implies, by the existential spiders condition for  $d_2$ ,  $e_2 = e_3$ . Hence  $\sigma$  is injective. We deduce that 3(b) holds. It can also be shown that, for all  $z \in Z^*(d_2)$ ,

$$ES(\{z\}, d_2) = ES(\{z\}, d_1).$$

Moreover, it is obvious that  $d_1 \models d_2$  implies  $Z^*(d_2) \subseteq Z^*(d_1)$ . Thus 3(a) holds.

We now consider 3(c). The spiders condition for  $d_1$  states, in part,

$$\forall s_i \in CS(d_1) \Psi(s_i) \subseteq \Psi(\theta_{d_1}(s_i)).$$

Since  $CS(d_1) = CS(d_2)$ , we deduce that

$$\forall s_i \in CS(d_2) \Psi(s_i) \subseteq \Psi(\theta_{d_1}(s_i)). \quad (1)$$

The spiders condition for  $d_2$  states, in part,

$$\forall s_i \in CS(d_2) \Psi(s_i) \subseteq \Psi(\theta_{d_2}(s_i)). \quad (2)$$

Since distinct zones in  $d_1$  represent disjoint sets, it follows from (1) and (2) that

$$\forall s_i \in CS(d_2) \theta_{d_1}(s_i) = \theta_{d_2}(s_i).$$

Hence  $\theta_{d_1} = \theta_{d_2}$ . Suppose that constant spiders  $s_i$  and  $s_j$  are joined by a tie in  $d_1$ . That is,

$$\omega_{d_1}(s_i, s_j) = \theta_{d_1}(s_i).$$

Then  $\Psi(s_i) = \Psi(s_j)$ , by the constant spiders condition for  $d_1$ . By the constant spiders condition for  $d_2$ ,

$$\exists z \in \omega_{d_2}(s_i, s_j) \Psi(s_i) = \Psi(s_j).$$

Therefore,  $s_i$  and  $s_j$  are joined by a tie in  $d_2$ . That is,

$$\omega_{d_2}(s_i, s_j) = \theta_{d_2}(s_i) = \theta_{d_1}(s_i).$$

Alternatively, suppose that spiders  $s_i$  and  $s_j$  are not joined by a tie in  $d_1$ . That is,

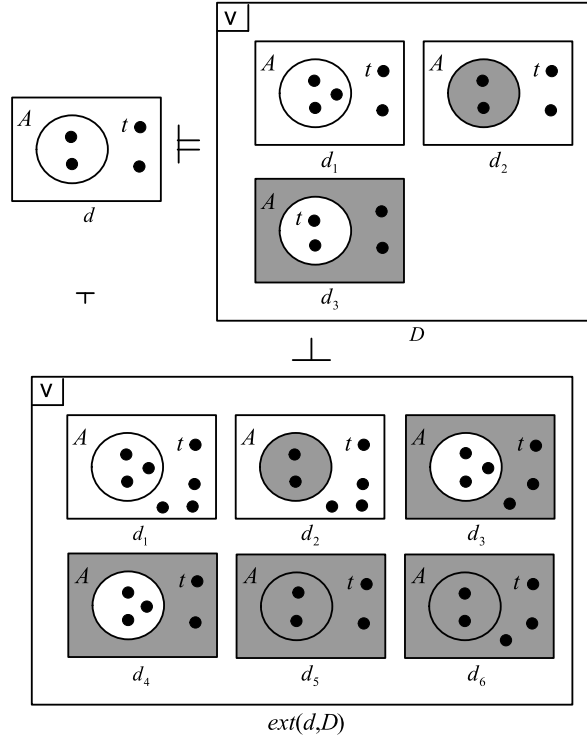
$$\omega_{d_1}(s_i, s_j) = \emptyset.$$

Then  $\Psi(s_i) \neq \Psi(s_j)$  so it cannot be that  $s_i$  and  $s_j$  are joined by a tie in  $d_2$ . That is,

$$\omega_{d_2}(s_i, s_j) = \emptyset.$$

Hence  $\omega_{d_1} = \omega_{d_2}$ . Thus 3(c) holds.

**Fig. 17** An  $\alpha$ -diagram and an extended diagram



Finally to show that 3 implies 1, it can be shown that shading and existential spiders can be deleted from  $d_1$ , using Rules 2 and 3 respectively, to give  $d_2$ . Hence all three statements are equivalent.  $\square$

### 6.3 Extended Diagrams

*Example* In Fig. 17, the diagram  $D$  is a semantic consequence of  $d$  but no unitary component of  $D$  is semantically entailed by  $d$ ; that is  $d \not\models d_1$ ,  $d \not\models d_2$  and  $d \not\models d_3$ . The diagram  $ext(d, D)$  can be obtained from  $d$  (and vice versa) by applying Rules 6 (excluded middle) and 19 ( $\vee$ -simplification). The spiders and shading introduced to  $d$  to obtain  $ext(d, D)$  are determined by  $D$ . For example, consider the outside zone  $(\emptyset, \{A\})$ . In  $d_3$ , this zone is shaded and contains two existential spiders and no other unitary component of  $D$  contains more than two existential spiders in this zone. In  $ext(d, D)$ , this zone contains either one, two or three existential spiders in any unitary component. The process of constructing  $ext(d, D)$  will be described in Definitions 6.2 and 6.3 below.

Note that we have

$$d'_1 \models d_1, \quad d'_2 \models d_2, \quad d'_3 \models d_1, \quad d'_4 \models d_1, \quad d'_5 \models d_2, \quad \text{and} \quad d'_6 \models d_2$$

so, for each unitary component  $d'_i$  of  $ext(d, D)$ , there exists a unitary component  $d_j$  of  $D$  such that  $d'_i \vDash d_j$ . In fact,

$$d'_1 \vee d'_3 \vee d'_4 \vdash d_1 \quad \text{and} \quad d'_2 \vee d'_5 \vee d'_6 \vdash d_2.$$

Therefore

$$ext(d, D) = d'_1 \vee d'_3 \vee d'_4 \vee d'_2 \vee d'_5 \vee d'_6 \vdash d_1 \vee d_2.$$

By Rule 9 (connecting a diagram)  $d_1 \vee d_2 \vdash D$  and by transitivity  $ext(d, D) \vdash D$ . Therefore  $d \vdash D$ , since  $d \equiv_{\vdash} ext(d, D)$ .

In general, the diagram  $ext(d, D)$  will be constructed by taking copies of  $d$  and adding shading and existential spiders, as specified below. The unitary components of  $ext(d, D)$  are called *extended unitary components associated with  $d$* , which we now define. Firstly, we define  $comp(D)$  to be the set of all the unitary parts of  $D$ .

**Definition 6.2** Let  $d (\neq \perp)$  be a unitary  $\alpha$ -diagram and  $D$  be an  $\alpha$ -diagram. Then, given  $D$ , a unitary  $\alpha$ -diagram  ${}^e d$  is an **extended unitary component associated with  $d$** , denoted  $d \sqsubseteq_e^D {}^e d$ , if and only if the following seven conditions are satisfied.

1. The diagrams  $d$  and  ${}^e d$  have the same zones:  $Z(d) = Z({}^e d)$ .
2. All shading in  $d$  occurs in  ${}^e d$ :  $Z^*(d) \subseteq Z^*({}^e d)$ .
3. All existential spiders in  $d$  occur in  ${}^e d$ :  $ES(d) \subseteq ES({}^e d)$ .
4. If zone  $z$  is shaded in  $d$  then the existential spiders match in  $d$  and  ${}^e d$ :  $\forall z \in Z^*(d) \quad ES(\{z\}, d) = ES(\{z\}, {}^e d)$ .
5. If zone  $z$  is not shaded in  $d$  but is shaded in some unitary component of  $D$  and the number,  $m$  say, of existential spiders that  $z$  contains in  $d$  is at most the number that  $z$  contains in any unitary component of  $D$  in which  $z$  is shaded then
  - (a) if  $z$  is shaded in  ${}^e d$  then  $z$  contains at most  $m$  spiders in  ${}^e d$ ; and
  - (b) if  $z$  is not shaded in  ${}^e d$  then  $z$  contains  $m + 1$  spiders in  ${}^e d$ .

More formally:

$$\begin{aligned} & \forall z \in Z(d) - Z^*(d) \\ & \left( \left( z \in \bigcup_{d_i \in comp(D)} Z^*(d_i) \wedge ES(\{z\}, d) \subseteq \bigcup_{\substack{d_i \in comp(D) \\ z \in Z^*(d_i)}} ES(\{z\}, d_i) \right) \right. \\ & \Rightarrow \left( \left( z \in Z^*({}^e d) \wedge ES(\{z\}, {}^e d) \subseteq \bigcup_{\substack{d_i \in comp(D) \\ z \in Z^*(d_i)}} ES(\{z\}, d_i) \right) \right. \\ & \left. \left. \vee \left( z \in Z({}^e d) - Z^*({}^e d) \wedge |ES(\{z\}, {}^e d)| = \left| \bigcup_{\substack{d_i \in comp(D) \\ z \in Z^*(d_i)}} ES(\{z\}, d_i) \right| + 1 \right) \right) \right). \end{aligned}$$

6. If a non-shaded zone  $z$  in  $d$  is not shaded in any unitary component of  $D$  or  $z$  contains more spiders in  $d$  than any shaded occurrence of  $z$  in  $D$  then  $z$  is not shaded in  ${}^e d$  and

$z$  contains the same number of spiders in  ${}^e d$  as in  $d$ . More formally:

$$\begin{aligned} & \forall z \in Z(d) - Z^*(d) \\ & \left( z \notin \bigcup_{d_i \in \text{comp}(D)} Z^*(d_i) \vee ES(\{z\}, d) \supset \bigcup_{\substack{d_i \in \text{comp}(D) \\ z \in Z^*(d_i)}} ES(\{z\}, d_i) \right) \\ & \Rightarrow (z \in Z({}^e d) - Z^*({}^e d) \wedge ES(\{z\}, {}^e d) = S(\{z\}, d)). \end{aligned}$$

7. The constant spiders and their webs match:  $CS(d_1) = CS(d_2)$ ,  $\theta_{d_1} = \theta_{d_2}$  and  $\omega_{d_1} = \omega_{d_2}$ .

If  $d = \perp$  then the **extended unitary component associated with  $d$**  is  $\perp$ .

**Definition 6.3** Let  $d$  be a unitary  $\alpha$ -diagram and let  $D$  be a disjunction of unitary  $\alpha$ -diagrams such that  $d$  is comparable to each  $d_i \in \text{comp}(D)$ . Given  $D$ , let  $\mathcal{D}_e^d$  be the set of all extended unitary components associated with  $d$

$$\mathcal{D}_e^d = \{d' \in \mathcal{D}_0 : d \sqsubseteq_e^D d'\}.$$

Then the diagram

$$\text{ext}(d, D) = \bigvee_{d' \in \mathcal{D}_e^d} d'$$

is the **extended diagram associated with  $d$  in the context of  $D$** .

*Example* In Fig. 17, each  $d'_i$  ( $i = 1, \dots, 6$ ) is an extended unitary component associated with  $d$ , given  $D$ . Indeed, all such extended components  ${}^e d$  are present, so  $\text{ext}(d, D)$  is the extended diagram associated with  $d$  in the context of  $D$ .

**Theorem 6.4** Let  $d$  be a unitary  $\alpha$ -diagram and let  $D$  be a disjunction of unitary  $\alpha$ -diagrams such that  $d$  is comparable to each  $d_i \in \text{comp}(D)$ . Then  $d$  is syntactically equivalent to  $\text{ext}(d, D)$ , the extended diagram associated with  $d$  in the context of  $D$ :

$$d \equiv_{\text{S}} \text{ext}(d, D).$$

*Sketch of proof* Follows by repeated application of Rules 6 (excluded middle) and 19 ( $\vee$ -simplification) to  $d$  in the case where  $d \neq \perp$ . When  $d = \perp$  the result follows immediately.  $\square$

## 6.4 The Completeness Theorem

The next result is the final prerequisite to our proof of completeness.

**Theorem 6.5** Let  $d$  ( $\neq \perp$ ) be a unitary  $\alpha$ -diagram such that  $S(d) \neq \emptyset$ . Let  $D$  be a disjunction of unitary  $\alpha$ -diagrams such that  $d$  is comparable to each  $d_i \in \text{comp}(D)$ . Given  $D$ ,

let  ${}^e d \in \mathcal{D}_e^d$ . If  ${}^e d \models D$  then there exists a unitary component of  $D$ , say  $d_i$ , such that  ${}^e d \models d_i$ :

$${}^e d \models D \quad \Rightarrow \quad \exists d_i \in \text{comp}(D) \quad {}^e d \models d_i.$$

*Proof* The proof is by contradiction. Assume  ${}^e d \models D$  but there is no  $d_i \in \text{comp}(D)$  for which  ${}^e d \models d_i$ . We will show that a standard model,  $m = (U, \Psi)$ , for  ${}^e d$  does not satisfy  $D$ , giving the contradiction we seek. The interpretation  $m$  does not satisfy  $D$  if and only if  $m$  does not satisfy any unitary part,  $d_i$ , of  $D$ . There are three types of  $d_i$  to consider.

1.  $d_i = \perp$ . Clearly  $m$  does not satisfy  $\perp$ .
2.  $Z(d) = Z(d_i)$  and  $Z^*(d_i) = Z(d_i)$  and  $S(d_i) = \emptyset$ . Since  $d$  contains at least one spider, so too does  ${}^e d$ . Therefore  $U \neq \emptyset$ . But  $d_i$  has only one model: the empty model (that is,  $U = \emptyset$ ). Therefore  $m$  does not satisfy  $d_i$ .
3.  $Z(d) = Z(d_i)$  and  $CS(d_i) = CS(d)$  and  $S(d_i) \neq \emptyset$ . Firstly, suppose that  $m$  satisfies  $d_i$  and we will reach a contradiction, thus completing the proof that  $m$  does not satisfy any unitary part of  $D$ . Since  $m$  satisfies  $d_i$ , it must be that  $m \models {}^e d \wedge d_i$ , so  ${}^e d$  and  $d_i$  are not in contradiction. We immediately deduce, by Lemma 4.5, that the following conditions do not hold.

- (a<sub>1</sub>) There is a zone that is shaded in one diagram and contains more spiders in the other diagram. More formally, either

$$\exists z \in Z^*({}^e d) \quad |S(\{z\}, d_i)| > |S(\{z\}, {}^e d)|$$

or

$$\exists z \in Z^*(d_i) \quad |S(\{z\}, {}^e d)| > |S(\{z\}, d_i)|.$$

- (b<sub>1</sub>) There are two constant spiders that are joined by a tie in one diagram but not the other. More formally,  $\omega_{d_i} \neq \omega_{{}^e d}$ .

Since (b<sub>1</sub>) does not hold, we deduce that

$$\omega_{d_i} = \omega_{{}^e d}. \quad (3)$$

Since (a<sub>1</sub>) does not hold, we deduce that

$$\forall z \in Z^*(d_i) \quad |S(\{z\}, {}^e d)| \leq |S(\{z\}, d_i)|.$$

Since  $m \models d_i$ , and the fact that  $d_i$  is an  $\alpha$ -diagram, for all  $z \in Z^*(d_i)$ ,

$$|\Psi(z)| = |ES(\{z\}, d_i)| + |Cons(z, d_i)|. \quad (4)$$

Moreover, if  $z$  is not shaded in  ${}^e d$ , then, by the construction of  $\text{ext}(d, D)$ ,  $z$  contains more existential spiders in  ${}^e d$  than in  $d_i$ :

$$|ES(\{z\}, {}^e d)| > |ES(\{z\}, d_i)|.$$

So,

$$\begin{aligned} |\Psi(z)| &\geq |ES(\{z\}, {}^e d)| + |Cons(z, {}^e d)| \\ &= |ES(\{z\}, {}^e d)| + |Cons(z, d_i)| \quad \text{since } \omega_{d_i} = \omega_{{}^e d} \end{aligned}$$

$$> |ES(\{z\}, d_i)| + |ConS(z, d_i)|.$$

This contradicts (4). Therefore, it must be that  $z$  is shaded in  ${}^e d$ . Furthermore, it can be shown that  $|ES(\{z\}, {}^e d)| = |ES(\{z\}, d_i)|$ . Hence

$$\forall z \in Z^*(d_i) \ z \in Z^*({}^e d) \wedge ES(\{z\}, d_i) = ES(\{z\}, {}^e d). \quad (5)$$

Since  ${}^e d \not\equiv d_i$ , by Theorem 6.1 one of the following three conditions holds.

$$(a_2) \ \exists z \in Z^*(d_i) \ z \notin Z^*({}^e d) \vee ES(\{z\}, d_i) \neq ES(\{z\}, {}^e d).$$

$$(b_2) \ \exists z \in Z(d_i) \ ES(\{z\}, {}^e d) \subset ES(\{z\}, d_i).$$

$$(c_2) \ \exists s_i, s_j \in CS(d_i) \ \omega_{d_i}(s_i, s_j) \neq \omega_{d_i}(s_i, s_j).$$

We now consider each of these three possibilities (a<sub>2</sub>), (b<sub>2</sub>) and (c<sub>2</sub>) in turn. Firstly, (a<sub>2</sub>) contradicts (5) above, so does not hold. Secondly, (c<sub>2</sub>) contradicts (3) above, so does not hold. Finally we consider (b<sub>2</sub>). In the model  $m$  for  ${}^e d$  we have,

$$|\Psi(z)| = |ES(\{z\}, {}^e d)| + |ConS(z, {}^e d)|.$$

Now, because  $m$  is a model for  $d_i$  we have

$$|\Psi(z)| \geq |ES(\{z\}, d_i)| + |ConS(z, d_i)|$$

from which we deduce that

$$|ES(\{z\}, {}^e d)| + |ConS(z, {}^e d)| \geq |ES(\{z\}, d_i)| + |ConS(z, d_i)|.$$

Therefore, since  $\omega_{d_i} = \omega_{{}^e d}$ ,

$$|ES(\{z\}, {}^e d)| \geq |ES(\{z\}, d_i)|.$$

Thus

$$\forall z \in Z(d_i) \ ES(\{z\}, d_i) \subseteq ES(\{z\}, {}^e d),$$

which contradicts (b<sub>2</sub>). Thus in any of the three cases,  $m$  does not satisfy  $d_i$ .

It follows that the interpretation,  $m$ , does not satisfy any unitary part of  $D$ . Therefore  $m$  does not satisfy  $D$  giving a contradiction. Hence if  ${}^e d \models D$  then there exists a unitary component of  $D$ , say  $d_i$ , such that  ${}^e d \models d_i$ :

$${}^e d \models D \quad \Rightarrow \quad \exists d_i \in \text{comp}(D) \ {}^e d \models d_i. \quad \square$$

**Theorem 6.6 (Completeness)** *Let  $D_1$  and  $D_2$  be spider diagrams with constants. Then  $D_1 \models D_2$  implies  $D_1 \vdash D_2$ .*

*Proof* Suppose that  $D_1 \models D_2$ . Let  $D_1^*$  be the disjunctified diagram associated with  $D_1$  given  $D_2$ . Let  $D_2^*$  be the disjunctified diagram associated with  $D_2$  given  $D_1$ . To recap, the diagrams  $D_1^*$  and  $D_2^*$  both have the following properties:

1. they are disjunctions of unitary  $\alpha$ -diagrams, and
2. there exists a set of zones  $Z$  and a set of constant spider labels  $C$  such that each unitary part,  $d_i$  satisfies

- (a)  $d_i = \perp$ ,
- (b)  $Z(d_i) = Z$  and  $Z^*(d_i) = Z(d_i)$  and  $S(d_i) = \emptyset$ , or
- (c)  $Z(d_i) = Z$  and  $C(d_i) = C$  and  $S(d_i) \neq \emptyset$ .

For each unitary part,  $d_1$  of  $D_1^*$  obtain the diagram  $ext(d_1, D_2^*)$ . Since  $D_1 \equiv_{\neq} D_1^*$ ,  $D_2 \equiv_{\neq} D_2^*$  and  $D_1 \vDash D_2$  it follows that  $d_1 \vDash D_2$ . Therefore,  $ext(d_1, D_2^*) \vDash D_2^*$ . Thus, each unitary part,  ${}^e d_1$  of  $ext(d_1, D_2^*)$  satisfies  ${}^e d_1 \vDash D_2^*$ . By Theorem 6.5,  ${}^e d_1 \vDash d_2$ , for some  $d_2 \in comp(D_2^*)$ . We now consider three possibilities for  $d_1$ .

1.  $d_1 = \perp$ . In this case,  $d_1 = {}^e d$  and it is trivial that  $d_1 \vdash d_2$ .
2.  $Z(d_1) = Z$  and  $Z^*(d_1) = Z(d_1)$  and  $S(d_1) = \emptyset$ . In this case,  $d_1 = {}^e d$ . Since  ${}^e d \vDash D_2^*$ , it must be the case that some unitary part,  $d_2$  say, of  $D_2^*$  has an empty model. In which case,  $d_2$  does not contain any spiders and so, by the construction of  $D_2^*$ , is entirely shaded. Thus  $d_2 = {}^e d$  and it is trivial that  ${}^e d \vdash d_2$ .
3.  $Z(d_1) = Z$  and  $C(d_1) = C$  and  $S(d_1) \neq \emptyset$ . In this case,  ${}^e d \vdash d_2$  by Theorem 6.1.

In each case, we have shown that  ${}^e d \vdash d_2$  and we deduce that  ${}^e d \vdash D_2^*$ , by Rule 9 (connecting a diagram). It follows that  $ext(d_1, D_2^*) \vdash D_2^*$ . By transitivity,  $d_1 \vdash D_2$ . Using Rule 19 ( $\vee$ -simplification),  $D_1^* \vdash D_2^*$ . Thus  $D_1^* \vdash D_2$ . By transitivity,  $D_1 \vdash D_2$ . Hence the system is complete.  $\square$

## 6.5 Decidability

The proof of completeness provides an algorithmic method for constructing a proof that  $D_1 \vdash D_2$  whenever  $D_1 \vDash D_2$ . It is simple to adapt this algorithm to determine, for any  $D_1$  and  $D_2$ , whether  $D_1 \vdash D_2$ .

**Theorem 6.7 (Decidability)** *There exists an algorithm that determines whether, for any spider diagrams  $D_1$  and  $D_2$ ,  $D_1 \vdash D_2$ .*

## 7 Implementation

We have seen that equality between spider diagrams including constants is decidable, and so it is possible to build computer-based tools that will be able to check decidability, but also which can construct equality proofs when they exist, whether automatically or with user guidance. In this short section we discuss the state of the art in implementing tools for this and other purposes.

The development of tools to support diagrammatic reasoning is well underway, and recent advances provide a basis for automated support for spider diagrams with constants. Such tools require varied functionality and the research challenges can be viewed as more broad than for symbolic logics. There are at least two major differences: first, it is more difficult to parse a 2D diagram than a 1D symbolic sentence; more significantly, when automatically generating proofs, the diagrams must be laid out in order for the user to read the proof. In respect of the second difference, possibly the hardest aspect of spider diagram layout is in the initial generation of the underlying Euler diagram. There have



been many recent efforts in this regard, including [1, 5, 15, 19, 26]. Spiders can be automatically added later, as demonstrated in [17].

In terms of automated reasoning, this has been investigated for unitary Euler diagrams [24] and, to some extent, for spider diagrams, for example [7]. The approaches used rely on a heuristic search, guided by a function that provides a lower bound on proof length. Roughly speaking, the better this lower bound, the more efficiently the theorem prover finds proofs. It has been possible to produce better proof search techniques for reasoning with unitary spider diagrams [7] than for compound diagrams [6]. As was demonstrated in [25], the translation of a unitary spider diagram with constants results in (except in trivial cases), a compound diagram. So, it is highly likely to be beneficial, from an automated reasoning perspective, to develop theorem provers for spider diagrams with constants using the rules presented in this paper rather than use translations and subsequently employ theorem provers for spider diagrams. An Euler diagram theorem prover, called EDITH, is freely available for download from <http://www.cmis.brighton.ac.uk/research/vmg/autoreas.htm>. We note that the main goals of automated reasoning in diagrammatic systems need not include outperforming symbolic theorem provers in terms of speed; of paramount importance is the production of proofs that are accessible to the reader and it may be that this readability constraint has a big impact on the time taken to find a proof.

## 8 Conclusion

We have provided formal syntax and semantics for the language of spider diagrams with constants and presented a set of reasoning rules for this language. We have shown that the resulting system is sound, complete and decidable. Although the inclusion of constant spiders does not increase expressive power, we believe that if one wishes to make statements about specific individuals then it is natural to do so using constants explicitly. Thus augmenting with constants, although it brings no expressiveness benefits, is likely to increase the usability of the notation. With the reasoning rules developed in this paper, users can reason with the language when constants are included. Such reasoning systems provide an essential basis for permitting diagrams to be used for mathematical formalization and reasoning.

In the future, we plan to investigate the use of constants in notations that extend spider diagrams. These include constraint diagrams [14] and their generalizations [22]. Recent research has begun to develop a variation of constraint diagrams that is suitable for specifying and reasoning about ontologies [13, 18].

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