## ON THE HOMOTOPY CLASSIFICATION OF THE EXTENSIONS OF A FIXED MAP

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1. Introduction. In considering the homotopy classification of the maps of a CW complex into any topological space X, we are led to the problem of enumerating the homotopy classes of extensions of a given map  $u: K \rightarrow X$  over a larger complex  $L \supset K$ . We examine this for the case in which L-K consists only of disjoint cells, for maps and homotopies relative to a base point  $k_0 \in K$ .

For a given map  $u: (K, k_0) \rightarrow (X, x_0)$ , we define in §2 for each  $\alpha \in \pi_q(K, k_0)$  a homomorphism

$$\alpha_u \colon \pi_1(\mathfrak{F}, u) \to \pi_{q+1}(X, x_0)$$

where  $\mathfrak{F}$  is the function space of maps  $(K, k_0) \rightarrow (X, x_0)$ . If  $L = K \cup e^{q+1}$  is formed by attaching the cell  $e^{q+1}$  by a map in the class  $\alpha$ , and if u extends over L, then we prove that the homotopy classes (rel  $k_0$ ) of extensions are in 1-1 correspondence with the cokernel of  $\alpha_u$ . This may easily be generalized to a complex  $L = K \cup \{e^{q_i+1}\}$  such that the  $e^{q_i+1}$  are disjoint.

The difficulty lies in computing  $\alpha_u$ , even when the group  $\pi_1(\mathfrak{F}, u)$  is known. We show how  $\alpha_u$  can be computed when K is a cluster of spheres: the result is given in terms of  $\alpha$ , its Hopf invariants (including the higher Hopf invariants in the sense of Hilton [3]), the homotopy groups of X, and the operations of composition, suspension, and formation of Whitehead products. This covers, for example, the case when L is a sphere bundle over a sphere with a cross-section, such as the product of two spheres.

In §7 we give applications of the theory to two other problems; the more important of these is a formula for expanding a Whitehead product of the form  $[\alpha \circ \gamma, \beta]$ . It should be noted that the Whitehead product we use (§4) differs from that defined by J. H. C. Whitehead by a sign.

2. Homotopy groups of function spaces. Let K be a CW complex. The function space  $X^{K}$  of maps (=continuous functions) is given the compactopen topology. Then the natural function  $\theta: X^{(K \times T)} \rightarrow (X^{K})^{T}$ , given by

$$(\theta f)(t)(k) = f(k, t), \qquad k \in K, t \in T,$$

is a homeomorphism if T is a CW complex such that  $K \times T$ , given the product topology, is also a CW complex (the proof is elementary; cf. [2] and [9] for other cases in which  $\theta$  is a homeomorphism). Notice that if I is the unit

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interval, then  $K \times I$  is always a CW complex. It is convenient to identify  $X^{K \times I}$  and  $(X^K)^I$  by means of  $\theta$ .

NOTATION. A fixed base point will always be chosen in each space, and denoted by a subscript 0: thus,  $k_0 \in K$ ,  $x_0 \in X$ . The only exception is that  $0 = (0, \dots, 0)$  will be the base point in  $I^n$ ; the base point in  $K \times I^n$  will be  $(k_0, 0)$ . The function space, with the compact-open topology, of maps  $(K, k_0)$  $\rightarrow (X, x_0)$  will in the future be denoted by  $X^K$ ; no ambiguity will arise, since no further reference will be made to the space of maps  $K \rightarrow X$ . The domain space K will always be assumed to be a CW complex,  $k_0$  a vertex.

Let  $u: (K, k_0) \rightarrow (X, x_0)$  be a map; it follows from the first paragraph of this section that we may equally well represent elements of  $\pi_1(X^K, u)$  as homotopy classes of maps

$$\hat{F}: I \to X^{K}$$
 such that  $\hat{F}(0) = u = \hat{F}(1)$ ,

or

$$F: (K \times I, k_0 \times I) \to (X, x_0) \text{ such that } F(k, 0) = u(k) = F(k, 1), \quad k \in K.$$

Therefore a map  $g: (Q, q_0) \rightarrow (K, k_0)$  induces a homomorphism

 $g^*$ :  $\pi_1(X^K, u) \rightarrow \pi_1(X^Q, ug)$ 

by  $g^*{F} = {F(g \times 1)}$ , where 1 is the identity map of I and

$$g \times 1: (Q \times I, q_0 \times I) \rightarrow (K \times I, k_0 \times I)$$

is the product map.

Now a path  $\hat{L}$  in  $X^{K}$  from  $u_{0}$  to  $u_{1}$  is equivalent to a homotopy  $L: (K \times I, k_{0} \times I) \rightarrow (X, x_{0})$  from  $u_{0}$  to  $u_{1}$ ; the path  $\hat{L}$  defines an isomorphism in the usual way from the homotopy groups based at  $u_{1}$  to those based at  $u_{0}$ : we write for this

$$(2.1) L_{f}: \pi_{1}(X^{K}, u_{1}) \rightarrow \pi_{1}(X^{K}, u_{0}).$$

LEMMA (2.2).  $g^*L_{\#} = (L(g \times 1))_{\#}g^*: \pi_1(X^K, u_1) \rightarrow \pi_1(X^Q, u_0g).$ 

Let  $g_0, g_1: (Q, q_0) \rightarrow (K, k_0)$ , and let  $G: (Q \times I, q_0 \times I) \rightarrow (K, k_0)$  be a homotopy from  $g_0$  to  $g_1$ . Then

Lemma (2.3).  $g_0^* = (uG)_{\#}g_1^*: \pi_1(X^K, u) \rightarrow \pi_1(X^Q, ug_0).$ 

The proofs of these elementary lemmas are omitted; it is easy to deduce from them

COROLLARY (2.4). If g is a homotopy equivalence, then  $g^*$  is an isomorphism.

Suppose now that  $(Q, q_0) = (S^q, s_0)$ , where we consider  $S^q = s_0 \cup e^q$  as a CW complex with a characteristic map

$$i^q: (I^q, I^q) \rightarrow (S^q, s_0)$$

which is a homeomorphism of  $I^q - \dot{I}^q$  onto  $e^q$  of degree +1. Let  $v: (S^q, s_0) \rightarrow (X, x_0)$ , and define  $v^{\flat}: (S^q \times I, s_0 \times I) \rightarrow (X, x_0)$  by  $v^{\flat}(s, t) = v(s)$ , for  $s \in S^q$ ,  $t \in I$ . We then define

(2.5) 
$$v_{\natural}:\pi_1(X^{S^q}, v) \to \pi_{q+1}(X, x_0)$$

as follows: for  $\{F\} \in \pi_1(X^{S^q}, v), v_{\natural}\{F\}$  is the value of the separation element<sup>(1)</sup>  $d(F, v^b)$  on the cell  $(e^q \times e^1, s_0 \times 0)$  with the product orientation, where  $e^1 = I - \dot{I}$ . It is readily verified that

LEMMA (2.6).  $v_{\sharp}$  is an isomorphism; and if M is a homotopy from v to v', then  $v'_{\sharp} = v_{\sharp}M_{\sharp}$ .

Now let  $g_0, g_1: (S^q, s_0) \rightarrow (K, k_0)$ , let G be a homotopy from  $g_0$  to  $g_1$ , and let  $u \in X^K$ . Then

$$(ug_0)_{\sharp}g_0^* = (ug_0)_{\sharp}(uG)_{\sharp}g_1^* \quad \text{by (2.3),} \\ = (ug_1)_{\sharp}g_1^* \qquad \text{by (2.6).}$$

Hence the homomorphism  $(ug)_{\sharp}g^*$  depends only on the homotopy class  $\alpha \in \pi_q(K, k_0)$  of g, and we may define

(2.7) 
$$\alpha_u = (ug) * g^* : \pi_1(X^K, u) \to \pi_{q+1}(X, x_0).$$

LEMMA (2.8). If L is a homotopy from  $u_0$  to  $u_1$ , then  $\alpha_{u_1} = \alpha_{u_0} L_{\#}$ .

The lemma follows from (2.2) and (2.6).

3. The classification theorems. We now explain the use of  $\alpha_u$  in homotopy classification. First, let  $L = K \cup e^{q+1}$ , where  $e^{q+1}$  has an attaching map  $g: (S^q, s_0) \to (K, k_0)$  in a homotopy class  $\alpha \in \pi_q(K, k_0)$ . Then a map  $u: (K, k_0) \to (X, x_0)$  has an extension to  $(L, k_0)$  if and only if

$$u_*\alpha=0.$$

If this is satisfied, let  $f_0, f_1$  be two extensions of u such that there is a homotopy  $\overline{H}: (L \times I, k_0 \times I) \to (X, x_0)$  from  $f_0$  to  $f_1$ . Then  $H = \overline{H} | (K \times I, k_0 \times I)$  determines an element  $\{H\} \in \pi_1(X^K, u)$ : we shall prove

LEMMA (3.2). The value of the separation element  $d(f_1, f_0)$  on the cell  $(e^{q+1}, k_0)$  is  $\alpha_u \{H\} \in \pi_{q+1}(X, x_0)$ .

From the lemma we deduce

THEOREM (3.3). Let  $u: (K, k_0) \rightarrow (X, x_0)$  extend to  $(L, k_0)$ . Then the homotopy classes rel  $k_0$  of extensions are in 1-1 correspondence with the elements of the cokernel of  $\alpha_u$ , i.e. of  $\pi_{q+1}(X, x_0)/\alpha_u \pi_1(X^K, u)$ .

The lemma leads in fact to a more general result: let  $L = K \cup \{e^{q_i+1}\}$ , where the cells  $e^{q_i+1}$  are disjoint, and each possesses an attaching map

(1) Cf. Appendix.

 $g_i: (S^{q_i}, s_0) \to (K, k_0)$  in a class  $\alpha_i \in \pi_{q_i}(K, k_0)$ . Set  $C(L, K) = \sum \pi_{q_i+1}(X, x_0)$ , the strong sum, where the homotopy groups are indexed by the cells of L-K; a map  $u: (K, k_0) \to (X, x_0)$  extends to  $(L, k_0)$  if and only if  $u_*\alpha_i = 0$  for all *i*. Then the homomorphisms  $(\alpha_i)_u$  together define

$$\alpha_u \colon \pi_1(X^K, u) \to C(L, K)$$

such that the coordinate of  $\alpha_u(\xi)$  in  $\pi_{q_{i+1}}(X, x_0)$  is  $(\alpha_i)_u(\xi)$ .

THEOREM (3.4). Let  $u: (K, k_0) \rightarrow (X, x_0)$  extend to  $(L, k_0)$ . Then the homotopy classes rel  $k_0$  of extensions are in 1-1 correspondence with the elements of the cokernel of  $\alpha_u$ , i.e. with the cosets  $C(L, K)/\alpha_u \pi_1(X^K, u)$ .

We now prove (3.2)-(3.4); we first need an elementary lemma which will be used again later.

Let P be a finite CW complex on  $I^n$  such that  $0 = (0, \dots, 0)$  is a vertex. Let  $\{\sigma^n\}$  be the set of *n*-cells of P, and let the orientation of each, given by the chosen characteristic map  $c_{\sigma}: (I^n, \dot{I}^n, 0) \rightarrow (\bar{\sigma}^n, \dot{\sigma}^n, p_{\sigma})$ , agree with the orientation induced by inclusion in  $I^n$ . For each  $\sigma$  let  $T_{\sigma}: (I, 0, 1) \rightarrow (P, 0, p_{\sigma})$ be a path in P. Suppose that  $h', h: (P, 0) \rightarrow (X, x_0)$  agree on  $P^{n-1}$ . Then the separation element d(h', h) on  $(\sigma, p_{\sigma})$  has a value  $\delta_{\sigma} \in \pi_n(X, hp_{\sigma})$ . Treating  $I^n$ as a CW complex with just one *n*-cell in the usual way, we also have a separation element d(h', h) on  $(I^n, 0)$  with a value  $\delta \in \pi_n(X, x_0)$ .

LEMMA (3.5).  $\delta = \sum (hT_{\sigma})_{\#}\delta_{\sigma}$ , where # denotes the operation of the path on the homotopy group, and the summation is over all  $\sigma \in \{\sigma^n\}$ .

Since all paths  $T_{\sigma}$  for a given  $p_{\sigma}$  are homotopic in  $I^n$ ,  $(hT_{\sigma})_{\#}$  does not depend on the choice of  $T_{\sigma}$ . Notice that an equivalent result holds with  $I^n$  replaced by a sphere  $S^n$ , taking  $i^n$  as the characteristic map of the cell  $e^n = S^n - s_0$ .

The proof of this lemma is omitted.

**Proof** of (3.2). We identify  $(S^q, s_0)$  with  $(\dot{I}^{q+1}, 0)$ , and write  $j = j^q$ :  $(S^q, s_0) \rightarrow (\dot{I}^{q+1}, 0)$  for the identity map. We first show that the triple  $(L, K, k_0) = (I^{q+1}, S^q, 0)$  is a universal example. Let the cell  $e^{q+1}$  in  $L = K \cup e^{q+1}$  have characteristic map  $\bar{g}$ :  $(I^{q+1}, S^q, 0) \rightarrow (L, K, k_0)$ , and attaching map  $g = \bar{g} | (S^q, 0)$ . Let  $e_0^{q+1} = I^{q+1} - S^q$  have characteristic and attaching maps j, j, the identity maps; and using the notation of (3.2), set  $f_1' = f_1\bar{g}, f_0' = f_0\bar{g}, \overline{H}' = \overline{H}(g \times 1), H' = H(g \times 1), u' = ug$ . Suppose that (3.2) holds for the universal example, so that  $d(f_1', f_0') = \iota_{u'} \{H'\}$ , where  $\iota$  is the class of j, and the separation element is evaluated on  $(e_0^{q+1}, 0)$ . Then if  $d(f_1, f_0)$  is evaluated on  $(e^{q+1}, k_0)$  we have

$$d(f_1, f_0) = d(f'_1, f'_0) = \iota u' \{ H' \} = u'_i j^* \{ H' \}$$
  
= u'\_i \{ H' \} = (ug)\_{i} \{ H(g \times 1) \}  
= (ug)\_{i}g^\* \{ H \} = \alpha\_u \{ H \},

from the definitions.

We now prove (3.2) for the universal example by means of an explicit construction. The separation element  $d(f_1, f_0)$  on  $(e_0^{q+1}, 0)$  is represented by the map  $E: ((I^{q+1} \times I)^{\cdot}, 0) \rightarrow (X, x_0)$  given by

$$E(p, t) = \begin{cases} f_1(p), & t = 1\\ f_1(p) = f_0(p), & 0 < t < 1\\ f_0(p), & t = 0. \end{cases} \not p \in I^{q+1}, t \in I, \\ (p, t) \in (I^{q+1} \times I)^*.$$

Take a cellular decomposition of  $\dot{I}^{q+2} = (I^{q+1} \times I)^{\cdot}$  such that  $\dot{I}^{q+1} = 0 \cup e^q$ ;  $I^{q+1} = \dot{I}^{q+1} \cup e^{q+1}$ ;  $I = 0 \cup 1 \cup e^1$ . Thus

$$\dot{I}^{q+2} = (\dot{I}^{q+1} \times \dot{I} \cup 0 \times I) \cup (e^{q+1} \times 0) \cup (e^{q+1} \times 1) \cup (e^q \times e^1).$$

Now  $\overline{H}$  agrees with E on the q-section of  $I^{q+2}$ , and also on the cells  $e^{q+1} \times 0$ ,  $e^{q+1} \times 1$ . Hence, by using Lemma (3.5) for a sphere, and noting that the orientation of  $e^q \times e^1$  is *opposite* to that induced by inclusion in  $I^{q+2}$ , the separation element  $d(\overline{H} | (I^{q+1} \times I), E)$  on  $(I^{q+2}, 0)$  is equal to minus the element  $d(\overline{H} | I^{q+1} \times I, E | I^{q+1} \times I)$  on  $(e^q \times e^1, 0)$ . But maps of  $(I^{q+2}, 0)$  into  $(X, x_0)$  determine elements of  $\pi_{q+1}(X, x_0)$ , so that the former separation element is

$$\{\overline{H} \mid (I^{q+1} \times I)^{\cdot}\} - \{E\} = 0 - \{E\} = - \{E\};$$

and since  $\overline{H} | \dot{I}^{q+1} \times I = H$ ,  $E | \dot{I}^{q+1} \times I = u^{\flat}$ , the latter separation element is

$$d(H, u^{\flat})(e^q \times e^1, 0) = u_{\natural} \{H\} \text{ by definition,}$$
$$= u_{\natural} j^* \{H\} = \iota_u \{H\}.$$

Hence  $d(f_1, f_0)(I^{q+1}, 0) = \iota_u \{H\}$ , which proves (3.2) for the universal example.

**Proof** of (3.3). The homotopy classes rel K of extensions of u are in 1-1 correspondence with the elements of  $\pi_{q+1}(X, x_0)$ ; they may be distinguished by the separation elements of representative maps. Let  $f_0$ ,  $f_1$  be two extensions of u for which there is a homotopy  $\overline{H}$  rel  $k_0$  from  $f_0$  to  $f_1$ . Then by (3.2), the separation element on  $(e^{q+1}, k_0)$  is contained in  $\alpha_u \pi_1(X^K, u)$ . Conversely, if  $f_0, f_1$  are two extensions of u such that  $d(f_1, f_0) = \alpha_u \{H\}$ , with  $H: (K \times I, k_0 \times I) \rightarrow (X, x_0)$ , let  $\overline{H}$  be an extension of H to  $L \times I$  such that  $\overline{H}(p, 0) = f_0(p)$ ,  $p \in L$ , and define  $f_1': (L, k_0) \rightarrow (X, x_0)$  by  $f_1'(p) = \overline{H}(p, 1)$ . Then by (3.2),  $d(f_1', f_0) = \alpha_u \{H\} = d(f_1, f_0)$ . Hence  $d(f_1, f_1') = 0$ , and  $f_1 \simeq f_1'$  rel K. Since  $f_1' \simeq f_0$  rel  $k_0, f_1 \simeq f_0$  rel  $k_0$ .

**Proof** of (3.4). If  $L = K \cup \{e^{q_i+1}\}$  is formed by attaching a set of cells to K, we may alter K within homotopy type so that the base point  $k_0$  lies on the boundary of each cell; this does not change the group  $\pi_1(X^K, u)$  by more than an isomorphism. Then, if u extends to two maps  $f_0, f_1: (L, k_0) \rightarrow (X, x_0)$ , the maps determine an element  $d(f_1, f_0) \in C(L, K)$  such that the coordinate of  $d(f_1, f_0)$  in  $\pi_{\mathbf{g}_i+1}(X, x_0)$  is  $d(f_1, f_0)(e^{q_i+1}, k_0)$  (which may be defined in the sub-

complex  $K \cup e^{q_i+1}$ ). Then it is easy to show from Lemma (3.2) by the method used in the proof of (3.3) that  $f_0$  and  $f_1$  are homotopic if and only if there exists  $\{H\} \in \pi_1(X^K, u)$  such that  $d(f_1, f_0)(e^{q_i+1}, k_0) = (\alpha_i)_u \{H\}$  for all *i*. The theorem then follows at once.

An alternative proof of the above two theorems can be obtained by considering homotopy sequences of the fibering  $X^L \rightarrow X^K$  induced by the inclusion  $K \subset L$ .

4. The addition, product, and composition theorems. In this section we give three theorems which are useful in the computation of the homomorphism  $\alpha_u$ .

Let  $\alpha$ ,  $\beta \in \pi_q(K, k_0)$ ,  $u \in X^K$ ,  $\xi \in \pi_1(X^K, u)$ ; and let  $\cdot$  denote the operation of  $\pi_1$  on  $\pi_r$ .

Theorem (4.1) (Addition Theorem). If q > 1,

$$(\alpha + \beta)_u(\xi) = \alpha_u(\xi) + \beta_u(\xi);$$

if q = 1 (so that  $u_* \alpha \in \pi_1(X, x_0)$ ), then

$$(\alpha + \beta)_u(\xi) = \alpha_u(\xi) + (u_*\alpha) \cdot \beta_u(\xi).$$

Thus if q > 1, the transformation  $(\alpha, \xi) \rightarrow \alpha_u(\xi)$  is a pairing of  $\pi_q(K, k_0)$ and  $\pi_1(X^K, u)$  to  $\pi_{q+1}(X, x_0)$ ; if q = 1, the transformation might be called a crossed pairing. We shall prove the theorem later, by means of an explicit construction.

Now let  $\gamma \in \pi_m(K, k_0)$ ,  $\delta \in \pi_n(K, k_0)$  be represented by maps  $f: (I^m, \dot{I}^m) \to (K, k_0)$  and  $g: (I^n, \dot{I}^n) \to (K, k_0)$  respectively. Then the Whitehead product  $[\gamma, \delta]$  is defined to be the class of the map  $p: (\dot{I}^{m+n}, 0) = (I^m \times \dot{I}^n \cup \dot{I}^m \times I^n, 0) \to (K, k_0)$  given by

$$p(s, t) = f(s), s \in I^m, t \in I^n$$
$$g(t), s \in I^m, t \in I^n.$$

Notice that because of our orientation conventions (cf. Appendix),  $[\gamma, \delta]$  is not the same as that defined by J. H. C. Whitehead in [8]; we write the latter, defined by using homology orientations, as  $[\gamma, \delta]'$ . The relation is easily seen to be  $[\gamma, \delta] = (-1)^{m+n-1} [\gamma, \delta]'$ .

Let  $u \in X^{K}$ ,  $\xi \in \pi_1(X^{K}, u)$ .

THEOREM (4.2) (PRODUCT THEOREM).  $[\gamma, \delta]_u(\xi)$  is given by

$$\begin{array}{ll} \text{(i)} & -[u_*\gamma, \, \delta_u(\xi)] + (-1)^{n+1}[\gamma_u(\xi), \, u_*\delta] & \text{if } m, \, n > 1; \\ \text{(ii)} & -[u_*\gamma, \, \delta_u(\xi)] + (-1)^{n+1}[\gamma_u(\xi), \, u_*\gamma \cdot u_*\delta] & \text{if } m = 1, \, n > 1; \\ \text{(iii)} & -[u_*\delta \cdot u_*\gamma, \, \delta_u(\xi)] + (-1)^{n+1}[\gamma_u(\xi), \, u_*\delta] & \text{if } m > 1, \, n = 1; \\ \end{array}$$

(iv) 
$$-[u_*\delta \cdot u_*\gamma, \delta_u(\xi)] - (-1)^{n+1}u_*\delta \cdot [\gamma_u(\xi), -(u_*\gamma \cdot u_*\delta)]$$
 if  $m = n = 1$ .

If we agree to use  $\pi_r$  for r > 1 as a trivial group of operators, then (iv) is

seen to include the other formulae. The proof will be given in §8.

Two simple consequences of (4.2) are the following:

COROLLARY (4.3). If  $\gamma \in \pi_1(K, k_0)$ ,  $\delta \in \pi_n(K, k_0)$ , n > 1, then

$$(\gamma \cdot \delta)_u(\xi) = u_*\gamma \cdot \delta_u(\xi) - [\gamma_u(\xi), u_*\gamma \cdot u_*\delta].$$

This follows from (4.2) (ii) and (4.1), since  $\gamma \cdot \delta = [\gamma, \delta]' + \delta = (-1)^n [\gamma, \delta] + \delta$ .

Now let  $\alpha = P(\delta_1, \dots, \delta_s)$  be a multiple Whitehead product formed from the ordered set  $\delta_1, \dots, \delta_s$  ( $\delta_i \in \pi_{n_i}(K, k_0), n_i > 1$ ) by the insertion of s-1brackets []. Let  $P_i$  denote the product  $P(u_*\delta_1, \dots, (\delta_i)_u(\xi), \dots, u_*\delta_s)$ formed in the same way, but with  $\delta_j$  replaced by  $u_*\delta_j$  if  $j \neq i$ , and  $\delta_i$  by  $(\delta_i)_u(\xi)$ .

COROLLARY (4.4).  $\alpha_u(\xi) = \sum_i \pm P_i$ , where the signs are determined by P and the integers  $n_i$ .

The proof is by repeated application of (4.2)(i). For example,

$$\begin{split} [\delta_1, [\delta_2, \delta_3]]_u(\xi) &= [u_*\delta_1, [u_*\delta_2, (\delta_3)_u\xi]] \\ &+ (-1)^{n_3} [u_*\delta_1, [(\delta_2)_u\xi, u_*\delta_3]] \\ &+ (-1)^{n_2+n_3-1} [(\delta_1)_u\xi, [u_*\delta_2, u_*\delta_3]]. \end{split}$$

We now use (4.1) and (4.4) to simplify  $\alpha_u$  when  $\alpha = \beta \circ \phi$ ,  $(\beta \in \pi_n(K, k_0), \phi \in \pi_q(S^n, s_0))$ . To express the result we need certain of the higher Hopf invariants of  $\phi$  (cf. [3]); the definition of these depends on a choice of *basic* products  $\omega_i \in \pi_{r_i}(S^n \vee S_0^n, s_0)$ ,  $n \ge 2$ , as defined and ordered in [3], with  $\omega_{-2} = \iota^n, \omega_{-1} = \iota^n_0$ , respectively the generators of  $\pi_n(S^n \vee S_0^n, s_0)$  represented by maps of degree +1 of  $S^n$  onto  $S^n$  and  $S_0^n$ . Then it is shown in [3] that

(4.5) 
$$(\iota^n + \iota_0^n) \circ \phi = \iota^n \circ \phi + \iota_0^n \circ \phi + \sum_0^\infty \omega_i \circ H_i(\phi),$$

where  $H_i(\phi) \in \pi_q(S^{r_i})$  is termed a higher Hopf invariant of  $\phi$ .

For elements  $\gamma$ ,  $\delta$  in the homotopy groups of any space Y, define inductively  $\sigma_0(\gamma, \delta) = [\gamma, \delta], \cdots, \sigma_p(\gamma, \delta) = [\gamma, \sigma_{p-1}(\gamma, \delta)]$ . Then it follows from the ordering  $\iota^n < \iota^n_0$  chosen above that  $\sigma_p(\iota^n, \iota^n_0)$  is a basic product of weight p+2 for  $p \ge 0$ . If  $\sigma_p(\iota^n, \iota^n_0) = \omega_{i_p}$ , write  $B_p(\phi) = H_{i_p}(\phi)$ , the corresponding higher Hopf invariant. Let  $S_*$  be the suspension homomorphism.

THEOREM (4.6) (SPHERE THEOREM). Let  $\phi \in \pi_q(S^n)$ ,  $n \ge 2$ ,  $v \in X^{S^n}$ , and let  $\zeta \in \pi_{n+1}(X, x_0)$ . Then

$$\phi_{\mathbf{v}} v_{\mathbf{h}}^{-1}(\zeta) = \zeta \circ S_{\mathbf{v}} \phi + \sum_{0}^{\infty} (-1)^{p+1} \sigma_{p}(v_{\mathbf{v}}\iota^{n}, \zeta) \circ S_{\mathbf{v}} B_{p}(\phi).$$

In particular, the sphere theorem allows us to compute any homomorphism of the fundamental groups of the loop spaces  $\pi_1(\Omega^n X, v) \rightarrow \pi_1(\Omega^q X, vf)$  induced by a map  $f: S^q \rightarrow S^n$ .

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Let  $\beta$ ,  $\phi$ , u,  $\xi$  be as above, and let  $b: (S^n, s_0) \rightarrow (K, k_0)$  be a representative map for  $\beta$ . Then it follows from the definitions that

(4.7) 
$$(\beta \circ \phi)_u(\xi) = \phi_{ub}(ub)\xi^{-1}\beta_u(\xi)$$

Theorem (4.6), together with (4.7), yields

COROLLARY (4.8) (COMPOSITION THEOREM).

$$(\beta \circ \phi)_u(\xi) = \beta_u(\xi) \circ S_*\phi + \sum_{0}^{\infty} (-1)^{p+1} \sigma_p(u_*\beta, \beta_u(\xi)) \circ S_*B_p(\phi).$$

In particular, if q < 3n-2, then  $B_p(\phi) = 0$  for all p > 0, and  $B_0(\phi) = H(\phi)$ , the generalized Hopf invariant. The formula then reduces to

(4.9) 
$$(\beta \circ \phi)_u(\xi) = \beta_u(\xi) \circ S_*\phi - [u_*\beta, \beta_u(\xi)] \circ S_*H(\phi).$$

**Proof** of (4.1). Let  $a, b: (S^q, s_0) \rightarrow (K, k_0)$  represent  $\alpha, \beta$  respectively. Denoting by  $i = i^q: (I^q, \dot{I}^q) \rightarrow (S^q, s_0)$  a characteristic map for the cell  $e^q = S^q - s_0$  as before, we can represent  $\alpha + \beta$  by  $c: (S^q, s_0) \rightarrow (K, k_0)$ , defined by

$$ci(t_1, \cdots, t_q) = ai(2t_1, t_2, \cdots, t_q) \qquad \text{if } t_1 \leq 1/2$$

$$= bi(2t_1 - 1, t_2, \cdots, t_q)$$
 if  $t_1 \ge 1/2$ .

Let  $F: (K \times I, k_0 \times I) \rightarrow (X, x_0)$  represent  $\xi \in \pi_1(X^K, u)$ ; then

$$(4.10) \qquad (\alpha + \beta)_u(\xi) = d(F(c \times 1), u^{\flat}(c \times 1))(e^q \times e^1, s_0 \times 0),$$

where  $e^1 = I - \dot{I}$ .

Let the subsets  $I_1^q$ ,  $I_2^q \subset I^q$  be determined by  $t_1 \leq 1/2$ ,  $t_1 \geq 1/2$ , respectively, and define cells  $\sigma_1$ ,  $\sigma_2 \subset I^q \times I$  as the interiors of  $I_1^q \times I$ ,  $I_2^q \times I$ , with base points  $p_1 = 0 = (0, \dots, 0), p_2 = (1/2, 0, \dots, 0)$  respectively. Let *T* be a path from 0 to  $p_2$  given by  $T(t) = (t/2, 0, \dots, 0)$ . Applying (3.5) to the separation element in (4.10), we obtain

$$\begin{aligned} (\alpha + \beta)_{u}(\xi) &= d(F(ci \times 1), \, u^{\flat}(ci \times 1))(\sigma_{1}, \, p_{1}) \\ &+ (u^{\flat}(ci \times 1)T)_{\sharp} d(F(ci \times 1), \, u^{\flat}(ci \times 1))(\sigma_{2}, \, p_{2}) \\ &= \alpha_{u}(\xi) + (uci \, T)_{\sharp} \beta_{u}(\xi). \end{aligned}$$

If q > 1, uciT is the constant path; if q = 1, it represents  $u_*\alpha$ . This proves (4.1). In order to prove (4.6) we need the following lemma:

LEMMA (4.11). Let 
$$\phi \in \pi_q(S^n, s_0)$$
,  $\zeta \in \pi_{n+1}(X, x_0)$ . Then  
 $\phi_{x_0}(x_0)^{-1}(\zeta) = \zeta \circ S_*\phi.$ 

**Proof.** Let  $F: (S^n \times I, s_0 \times I) \to (X, x_0)$  represent  $(x_0)_{\natural}^{-1} \zeta$  (so that  $F(S^n \times \dot{I}) = x_0$ ), and let  $r: S^k \times I \to S^{k+1}$  be the identification map, of degree +1, which pinches  $S^k \times \dot{I} \cup s_0 \times I$  to a point. Then the following diagram commutes, where f represents  $\phi$ , and  $F' = Fr^{-1}$ :

Clearly

$$\zeta = (x_0) \not\{ F \} = d(F, x_0) (e^n \times e^1, s_0 \times 0) = \{ F' \}.$$

And similarly

$$\phi_{x_0} \{F\} = d(F(f \times 1), x_0^{\flat}(f \times 1))(e^q \times e^1, s_0 \times 0) \\ = \{F'(Sf)\} = \{F'\} \circ S_*\phi = \zeta \circ S_*\phi.$$

**Proof** of (4.6). Let  $g: S^n \to S^n \vee S_0^n$  represent  $\iota^n + \iota_0^n$ , and let  $u = v \vee x_0$ :  $S^n \vee S_0^n \to X$ . We identify

$$\pi_1(X^{S^n \vee S_0^n}, u) = \pi_1(X^{S^n}, v) + \pi_1(X^{S_0^n}, x_0)$$

in the natural way, so that elements of the group may be written  $(v_{\natural}^{-1}\eta, (x_0)_{\natural}^{-1}\zeta)$ , for  $\eta, \zeta \in \pi_{n+1}(X, x_0)$ ; and we further abbreviate this notation to  $(\eta, \zeta)$ . It is easily verified that

(4.12) 
$$\iota_u^n(\eta,\zeta) = \eta, \qquad (\iota_0^n)_u(\eta,\zeta) = \zeta.$$

Then

(4.13)  

$$(\binom{n}{\iota} + \binom{n}{\iota_{0}} \circ \phi)_{u}(0, \zeta) = \phi_{ug}(ug)^{-1}\binom{n}{\iota} + \binom{n}{\iota_{0}}_{u}(0, \zeta)$$

$$= \phi_{ug}(ug)^{-1}\zeta \text{ by (4.1), (4.12),}$$

$$= \phi_{v}v^{-1}\zeta \text{ by (2.6), (2.8) since } v \simeq ug$$

On the other hand, we have the expansion of (4.5)

(4.14) 
$$(\iota^n + \iota_0^n) \circ \phi = \iota^n \circ \phi + \iota_0^n \circ \phi + \sum_0^\infty \omega_i \circ H_i(\phi),$$

and we may apply the addition theorem to the left-hand side of (4.13) in this expanded form. Since  $u_*\iota_0^n=0$ , it follows from (4.4) that the expression

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$$(\omega_i \circ H_i(\phi))_u(0,\zeta) = (H_i(\phi))_{x_0}(x_0)^{-1}(\omega_i)_u(0,\zeta)$$

is 0 if  $\omega_i$  involves  $\iota_0^n$  more than once. By definition  $\{\sigma_p(\iota^n, \iota_0^n)\}, p = -1, 0, 1, \cdots$  consists of those basic products which involve  $\iota_0^n$  only once. If  $\omega_{i_p} = \sigma_p$ , then writing  $B_p(\phi) = H_{i_p}(\phi)$ , we have by induction

$$\sigma_{p}(\iota^{n}, \iota^{n}_{0})_{u}(0, \zeta) = - [u_{*}\iota^{n}, \sigma_{p-1}(\iota^{n}, \iota^{n}_{0})_{u}(0, \zeta)]$$
  
=  $(-1)^{p+1}\sigma_{p}(u_{*}\iota^{n}, (\iota^{n}_{0})_{u}(0, \zeta))$   
=  $(-1)^{p+1}\sigma_{p}(v_{*}\iota^{n}, \zeta),$ 

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using (4.12) and the fact that  $u_*\iota^n = v_*\iota^n$ . Hence

(4.15) 
$$(\sigma_p(\iota^n, \iota_0^n) \circ B_p(\phi))_u(0, \zeta) = (B_p(\phi))_{z_0}(x_0) \xi^{-1}((-1)^{p+1} \sigma_p(v_*\iota^n, \zeta))$$
$$= (-1)^{p+1} \sigma_p(v_*\iota^n, \zeta) \circ S_* B_p(\phi)$$

by (4.11).

Applying the addition theorem to the left-hand side of (4.13), expanded as in (4.14), and using (4.12) and (4.15) to calculate the terms, we obtain the expression in Theorem (4.6).

5. **Examples.** Using the notation of (3.3), let  $L = K \cup e^{q+1}$ , where the class of the attaching map is  $\alpha \in \pi_q(K, k_0)$ , and let  $u: (K, k_0) \to (X, x_0)$  have an extension over L. Then to classify the extensions of u, we must compute  $\alpha_u$ ; and the theorems of the preceding section allow this to be done in certain cases. In particular, if we know the homomorphisms  $(\delta_t)_u$  for certain elements  $\delta_t \in \pi_{n_t}(K, k_0)$ , then we may compute  $\alpha_u$  for any  $\alpha$  formed from the  $\delta_t$  by the operations of addition, formation of Whitehead products, and composition with elements of homotopy groups of spheres. In the special case K $= S^{n_1} \vee \cdots \vee S^{n_r}$ , Hilton has shown that all elements of the homotopy groups of K can be so formed from the generators  $\iota^{n_1}, \cdots, \iota^{n_r}$ .

As an example, let  $K = S^m \vee S^n$ , with  $m \leq n$ , and suppose for simplicity that q < 3m-2. Let  $\nu$ ,  $\omega$  denote the classes of  $v = u | S^m$ ,  $w = u | S^n$  respectively. We identify

$$\pi_1(X^K, u) = \pi_1(X^{S^m}, v) + \pi_1(X^{S^n}, w)$$

in the natural way. Abbreviating  $(\eta, \zeta) = (v_{\natural}^{-1}\eta, w_{\natural}^{-1}\zeta), \eta \in \pi_{m+1}(X, x_0), \zeta \in \pi_{n+1}(X, x_0)$ , we compute  $\alpha_u(\eta, \zeta) \in \pi_{q+1}(X, x_0)$ . Leaving aside the cases m=1 or n=1,

$$\pi_q(K) = \pi_q(S^m) + \pi_q(S^n) + [\iota^m, \iota^n] \circ \pi_q(S^{m+n-1});$$

let  $\alpha = \alpha_1 + \alpha_2 + [\iota^m, \iota^n] \circ \beta$ , where  $\alpha_1 \in \pi_q(S^m)$ ,  $\alpha_2 \in \pi_q(S^n)$ ,  $\beta \in \pi_q(S^{m+n-1})$ . Then

$$\alpha_u(\eta,\zeta) = (\alpha_1)_u(\eta,\zeta) + (\alpha_2)_u(\eta,\zeta) + ([\iota^m,\iota^n] \circ \beta)_u(\eta,\zeta).$$

Now from (4.6)

$$\begin{aligned} (\alpha_1)_u(\eta,\,\zeta) &= \eta \circ S_*\alpha_1 - [\nu,\,\eta] \circ S_*H(\alpha_1), \\ (\alpha_2)_u(\eta,\,\zeta) &= \zeta \circ S_*\alpha_2 - [\omega,\,\zeta] \circ S_*H(\alpha_2) \end{aligned}$$

and from (4.2) and (4.8), since  $\beta$  is a suspension,

$$([\iota^m, \iota^n] \circ \beta)_u(\eta, \zeta) = (-[\nu, \zeta] + (-1)^{n+1}[\eta, \omega]) \circ S_*\beta.$$

This determines  $\alpha_u(\eta, \zeta)$  as a sum of these expressions. If m = n = 1, then  $\alpha_u$  can be found by the addition theorem. If m = 1 < n, then  $\alpha$  is a sum  $\sum \xi_i \cdot \alpha_i$ ,  $\xi_i \in \pi_1(S^1), \alpha_i \in \pi_q(S^n)$ .  $\alpha_u$  is then given by the addition theorem and (4.3).

As a special case of the example, we consider maps  $S_1^n \times S_2^n \to S^n$ ,  $n \ge 2$ ; here  $\alpha = [\iota_1^n, \iota_2^n]$ . If v, w have degrees p, q respectively,  $p, q \ne 0$ , we say that an extension of u is of type (p, q). The obstruction to such an extension is  $u_*[\iota_1^n, \iota_2^n] = pq[\iota^n, \iota^n]$ . Suppose that u has an extension: then the homotopy classes of extensions are in 1-1 correspondence with  $\pi_{2n}(S^n)/\alpha_u(v_{\natural}^{-1}\pi_{n+1}(S^n),$  $w_{\natural}^{-1}\pi_{n+1}(S^n))$ . The subgroup contains only the elements 0,  $q[\iota^n, \eta], p[\iota^n, \eta]$ , if  $n \ge 3$ , where  $\eta$  is the generator of  $\pi_{n+1}(S^n)$ . Now Hilton and Whitehead have shown [4] that  $[\iota^n, \eta] \ne 0$  if and only if  $n \equiv 1 \mod 4$ . Hence, using known results on Whitehead products,

EXAMPLE (5.1). There exist maps  $S_1^n \times S_2^n \to S^n$ ,  $n \ge 2$ , of type (p, q),  $p, q \ne 0$ , if and only if n is odd, and either pq is even or  $\pi_{2n+1}(S^{n+1})$  has an element of Hopf invariant 1. Suppose that p, q, and n are such that maps do exist. Then the homotopy classes of such maps are in 1-1 correspondence with the elements of  $\pi_{2n}(S^n)$  if p and q are both even, or if  $n \equiv -1 \mod 4$ ; otherwise they are in 1-1 correspondence with the elements of

$$\pi_{2n}(S^n)/[\iota^n, \pi_{n+1}(S^n)] = \pi_{2n}(S^n)/Z_2.$$

Other examples are easily given; for instance

EXAMPLE (5.2). The identity map  $S^n \to S^n$  always extends to maps  $S^n \times S^{n-1} \to S^n$ ; the homotopy classes of extensions are in 1-1 correspondence with the elements of  $\pi_{2n-1}(S^n)/[\iota^n, \pi_n(S^n)] \approx S_*\pi_{2n-1}(S^n)$ .

EXAMPLE (5.3). Let u be a map of  $S^1 \lor S^1$  into the real projective plane which is nontrivial on both circles. Then there are two homotopy classes rel  $s_0$  of extensions of u to  $S^1 \times S^1$ .

6. An application: the group of homotopy equivalences. We shall outline an application of the above methods to the group of homotopy classes of homotopy equivalences of a space with itself, denoted Eq.

Let K be a 1-connected CW complex, and let  $K \cup e^{q+1}$  be formed by attaching a cell  $e^{q+1}$ ,  $q > \dim K$ , with  $\alpha \in \pi_q(K)$  the class of the attaching map and  $\bar{\alpha} \in \pi_{q+1}(K \cup e^{q+1}, K)$  the class of the characteristic map. Let

$$i: K \subset K \cup e^{q+1}$$

be the inclusion, and define a homomorphism

$$d^*: i_*\pi_{q+1}(K) \to Eq(K \cup e^{q+1})$$

as follows:  $d^*(\beta)$  is the homotopy class of an extension g of i such that  $d(g, 1)(e^{q+1}) = \beta$ , where 1 denotes the identity map of  $K \cup e^{q+1}$ . Since  $q > \dim K$  if f is a homotopy equivalence of  $K \cup e^{q+1}$ , then  $f_*\bar{\alpha} = \epsilon(f)\bar{\alpha}$ , where  $\epsilon(f) = \pm 1$ . We also define homomorphisms

$$j^* \colon Eq(K \cup e^{q+1}) \to Eq(K), \qquad j^*_0 \colon Eq(K \cup e^{q+1}) \to Eq(S^{q+1}),$$
  
by  $j^*\{f\} = \{f \mid K\}, j^*_0\{f\} = \epsilon(f)\iota^{q+1}.$ 

THEOREM (6.1). The following sequences are exact:

$$i_*\pi_{q+1}(K) \xrightarrow{d^*} Eq(K \cup e^{q+1}) \xrightarrow{j^*} Eq(K), \qquad \text{if } 2\alpha \neq 0;$$
$$d^* \qquad i^* + i^*_0$$

$$i_*\pi_{q+1}(K) \xrightarrow{a^*} Eq(K \cup e^{q+1}) \xrightarrow{j^*+j_0} Eq(K) + Eq(S^{q+1}), \text{ if } 2\alpha = 0.$$

From Lemma 7 of [6] it follows that the image of  $j^*$  is the set of classes  $\{h\}$  such that  $h_*\alpha = \pm \alpha$ ; denote this subgroup by  $Eq_e(K)$ . The image of  $j^*+j_0^*$  is then  $Eq_e(K) + Eq(S^{q+1})$ , if  $2\alpha = 0$ . The kernel of  $d^*$  is

 $i_*\pi_{q+1}(K) \cap \alpha_i\pi_1((K \cup e^{q+1})^K, i)$ 

where the base point  $k_0 \in K$  is any point of  $e^{q+1}$ . Methods were given in the previous sections for calculating  $\alpha_i$  if K is a bunch of spheres, so that in this case we can find  $Eq(K \cup e^{q+1})$  up to extension.

The operations of  $Eq_{e}(K)$ , or  $Eq_{e}(K) + Eq(S^{q+1})$ , on  $i_{*}\pi_{q+1}(K)/i_{*}\pi_{q+1}(K)$  $\bigcap \alpha_{i}\pi_{1}$  are given as follows: Let  $\gamma \in \pi_{q+1}(K)$ ,  $\psi = \{h\} \in Eq(K)$ ,  $\epsilon \iota^{q+1} \in Eq(S^{q+1})$ . Then

(i) If  $2\alpha \neq 0$ , then  $\psi \cdot (i_*\gamma) = i_*h_*\gamma$ ;

(ii) If  $2\alpha = 0$ , then  $(\psi, \epsilon \iota^{q+1}) \cdot (i_*\gamma) = \epsilon i_*h_*\gamma$ .

The extension is not known to us, in general.

7. Further applications. In this section we shall show how the theory of \$2-4 can be applied to obtain information about Whitehead products.

THEOREM (7.1). If  $\gamma \in \pi_q(S^m)$ , then in  $\pi_{q+n-1}(S^m \vee S^n)$  we have  $[\iota^m_0 \gamma, \iota^n] = [\iota^m, \iota^n] \circ S^{n-1}_* \gamma + \sum_0^\infty (-1)^{(p+1)(n+1)} \sigma_{p+1}(\iota^m, \iota^n) \circ S^{n-1}_* B_p(\gamma)$ , for m, n > 1, where  $\sigma_{p+1}(\iota^m, \iota^n)$  and  $B_p(\gamma)$  are defined as in (4.6).

**Proof.** Using the elementary relation

(7.2) 
$$[\eta, \iota^1] = \iota^1 \cdot \eta - \eta, \qquad \text{for } \eta \in \pi_q(S^m),$$

to expand both sides of the identity  $(\iota^1 \cdot \iota^m) \circ \gamma = \iota^1 \cdot (\iota^m \circ \gamma)$ , we obtain

(7.3) 
$$([\iota^m, \iota^1] + \iota^m) \circ \gamma = [\iota^m \circ \gamma, \iota^1] + \iota^m \circ \gamma.$$

Now as shown in the addition theorem, if  $u \in X^K$ ,  $\xi \in \pi_1(X^K, u)$ , then the transformation  $(u, \xi): \pi_q(K, k_0) \to \pi_{q+1}(X, x_0)$  given by  $(u, \xi)\alpha = \alpha_u(\xi)$  is a homomorphism for q > 1. Taking  $K = S^m \bigvee S^1$ ,  $X = S^m \bigvee S^2$ , u such that  $u_*\iota^m = \iota^m, u_*\iota^1 = 0$ , and  $\xi$  such that  $\iota^m_u(\xi) = 0$ ,  $\iota^1_u(\xi) = \iota^2$ , and applying  $(u, \xi)$  to both sides of (7.3), we obtain by use of the composition theorem

$$(-[\iota^{m}, \iota^{2}] + 0) \circ S_{*}\gamma + \sum_{0}^{\infty} (-1)^{p+1}\sigma_{p}(0 + \iota^{m}, -[\iota^{m}, \iota^{2}] + 0) \circ S_{*}B_{p}(\gamma) = -[\iota^{m} \circ \gamma, \iota^{2}] + 0;$$

using the definition of  $\sigma_{p+1}(\iota^m, \iota^n)$ , this yields the equation in (7.1) for the case n=2.

We can now prove (7.1) by induction on *n*. Suppose that (7.1) holds for

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*n*, and apply  $(u, \xi)$  to both sides of the equation, with  $K = S^m \bigvee S^n$ ,  $X = S^m \bigvee S^{n+1}$ , *u* such that  $u_*\iota^m = \iota^m$ ,  $u_*\iota^n = 0$ , and  $\xi$  such that  $\iota^m_u(\xi) = 0$ ,  $\iota^n_u(\xi) = \iota^{n+1}$ . We obtain

$$-[\iota^{m} \circ \gamma, \iota^{n+1}] = -[\iota^{m}, \iota^{n+1}] \circ S_{*}^{n} \gamma + \sum_{0}^{\infty} (-1)^{(p+1)(n+1)} (-1)^{p+2} \sigma_{p+1}(\iota^{m}, \iota^{n+1}) \circ S_{*}^{n} B_{p}(\gamma)$$

which yields the required equation for n+1. This proves (7.1).

Theorem (7.1) may be used as a universal example to derive

COROLLARY (7.4). If  $\gamma \in \pi_q(S^m)$ ,  $\alpha \in \pi_m(X)$ ,  $\beta \in \pi_n(X)$ , m, n > 1, then  $[\alpha \circ \gamma, \beta] = [\alpha, \beta] \circ S^{n-1}_* \gamma + \sum_0^\infty (-1)^{(p+1)(n+1)} \sigma_{p+1}(\alpha, \beta) \circ S^{n-1}_* B_p(\gamma)$ .

The corollary generalizes a formula of G. W. Whitehead [5, (3.59)] for the case in which  $\gamma$  is a suspension.

As a further application, we give a simple inductive proof of the Jacobi identity for Whitehead products in  $\pi_{p+q+r-2}(S^p \vee S^q \vee S^r)$  (cf. [3] et al.). With our conventions for the Whitehead product, the identity is given by

Theorem (7.5).

$$(-1)^{(p+1)r}[[\iota^{p}, \iota^{q}], \iota^{r}] + (-1)^{(r+1)q}[[\iota^{r}, \iota^{p}], \iota^{q}] + (-1)^{(q+1)p}[[\iota^{q}, \iota^{r}], \iota^{p}] = 0, \qquad p, q, r \ge 2.$$

**Proof.** It is elementary that the following relation holds in  $\pi_2(S^2 \vee S_0^2 \vee S^1)$ :  $\iota^1 \cdot [\iota^2, \iota_0^2] = [\iota^1 \cdot \iota^2, \iota^1 \cdot \iota_0^2]$ . Expanding both sides by (7.2),

(7.6) 
$$[[\iota^2, \iota^2_0], \iota^1] + [\iota^2, \iota^2_0] = [[\iota^2, \iota^1] + \iota^2, [\iota^2_0, \iota^1] + \iota^2_0].$$

Choosing  $K = S^2 \vee S_0^2 \vee S^1$ ,  $X = S^2 \vee S_0^2 \vee S_1^2$   $u \in X^K$  such that  $u_* \iota^2 = \iota^2$ ,  $u_* \iota_0^2 = \iota_0^2$ ,  $u_* \iota^1 = 0$ ,  $\xi \in \pi_1(X^K, u)$  such that  $\iota_u^2(\xi) = (\iota_0^2)_u(\xi) = 0$ ,  $\iota_u^1(\xi) = \iota_1^2$ , and applying  $(u, \xi)$  to both sides of (7.6) we obtain  $-[[\iota^2, \iota_0^2], \iota_1^2] = [\iota_0^2, [\iota_0^2, \iota_1^2]]$  $+[[\iota^2, \iota_1^2], \iota_0^2]$  which yields (7.5) for p = q = r = 2.

Suppose inductively that the identity of (7.5) holds for p, q, r. Taking  $K = S^p \vee S^q \vee S^r$ ,  $X = S^p \vee S^q \vee S^{r+1}$ ,  $u \in X^K$  such that  $u_*\iota^p = \iota^p$ ,  $u_*\iota^q = \iota^q$ ,  $u_*\iota^r = 0$ , and  $\xi \in \pi_1(X^K, u)$  such that  $\iota^p_u(\xi) = \iota^q_u(\xi) = 0$ ,  $\iota^r_u(\xi) = \iota^{r+1}$ , by applying  $(u, \xi)$  to both sides of the equality in (7.5) we obtain the same equality with r replaced by r+1. This proves (7.5).

The proof could equally well start with the relation  $\iota^1 \cdot [\iota_0^1, \iota_1^1] = [\iota^1 \cdot \iota_0^1, \iota^1 \cdot \iota_1^1]$  which can be verified purely formally.

Notice that if we apply homomorphisms  $(u, \xi)$  to both sides of (7.6) with u and  $\xi$  appropriately chosen to raise the dimensions of  $\iota^2$  and  $\iota_0^2$ , but leave  $\iota^1$  fixed, then we obtain a generalization of the Jacobi identity for the case in which one factor is of dimension 1; this can be written

(7.7) 
$$(-1)^{p+1}[[\iota^{p}, \iota^{q}], \iota^{1}] + [[\iota^{1}, \iota^{p}], \iota^{q}] \\ + (-1)^{(q+1)p}[[\iota^{q}, \iota^{1}], \iota^{p}] + [[\iota^{1}, \iota^{p}], [\iota^{q}, \iota^{1}]] = 0.$$

Equation (7.7) also follows directly from the properties of the operation of  $\pi_1$ , in the manner of (7.6)

Theorem (7.5) is a universal example for the Jacobi identity in the homotopy groups of any space.

8. Proof of the product theorem. We shall now prove Theorem (4.2). As universal examples for K,  $\gamma$ , and  $\delta$  we take  $S^m \vee S^n$ ,  $\iota^m$ , and  $\iota^n$  respectively. Then if K,  $\gamma$ , and  $\delta$  are arbitrary, there is a map  $h: S^m \vee S^n \to K$  such that  $h_*\iota^m = \gamma$  and  $h_*\iota^n = \delta$ . Since

$$[\gamma, \delta]_u = (h_*[\iota^m, \iota^n])_u = [\iota^m, \iota^n]_{uh}h^*,$$

and  $\gamma_u = \iota_{uh}^m h^*$ ,  $\delta_u = \iota_{uh}^n h^*$ , one verifies immediately that Theorem (4.2) for the general case follows if we have proved it for the universal example.

Let  $w: S^m \to X$ ,  $v: S^n \to X$  define  $u = w \bigvee v: S^m \bigvee S^n \to X$ ; we identify  $\pi_1(X^{S^m \lor S^n}, u) = \pi_1(X^{S^m}, w) + \pi_1(X^{S^n}, v)$  under the natural isomorphism, so that there is a natural isomorphism  $(w_{\natural}^{-1}, v_{\natural}^{-1}): \pi_{m+1} + \pi_{n+1} \to \pi_1(X^{S^m \lor S^n}, u)$ , where  $\pi_k = \pi_k(X, x_0)$ . Let

$$\kappa = [\iota^m, \ \iota^n]_u(w\mathfrak{f}^{-1}, v\mathfrak{f}^{-1}) \colon \pi_{m+1} + \pi_{n+1} \to \pi_{m+n};$$

let  $\omega$ ,  $\nu$  denote  $w_*\iota^m$ ,  $v_*\iota^n$ , and let  $\lambda \in \pi_{m+1}$ ,  $\rho \in \pi_{n+1}$ . Then Theorem (4.2) for the universal example can be written

(8.1)  
(i) 
$$\kappa(\lambda, \rho) = - [\omega, \rho] + (-1)^{n+1}[\lambda, \nu]$$
 if  $m, n > 1$ ,  
(ii)  $\kappa(\lambda, \rho) = - [\omega, \rho] + (-1)^{n+1}[\lambda, \omega \cdot \nu]$  if  $m = 1, n > 1$ ,  
(iii)  $\kappa(\lambda, \rho) = - [\nu \cdot \omega, \rho] + (-1)^{n+1}[\lambda, \nu]$  if  $m > 1, n = 1$ ,  
(iv)  $\kappa(\lambda, \rho) = - [\nu \cdot \omega, \rho] - \nu \cdot [\lambda, -(\omega \cdot \nu)]$  if  $m = n = 1$ .

We write the fundamental group additively, and shall first deduce (8.1) (iv) from the addition theorem. In this case  $S^m \vee S^n = S^1 \vee S^1$ , and we set  $\iota = \iota^m$ ,  $\iota' = \iota^n$ . Then for

$$\xi = (w_{\mathfrak{h}}^{-1}\lambda, v_{\mathfrak{h}}^{-1}\rho) \in \pi_1(X^{S^1 \vee S^1}, u),$$
  
it is clear that  $\iota_u(\xi) = \lambda$ ,  $\iota'_u(\xi) = \rho$ . Now  $[\iota, \iota'] = (\iota' + \iota) - (\iota + \iota')$ , and

$$(\iota'+\iota)_u(\xi)=\iota'_u(\xi)+u_*\iota'\cdot\iota_u(\xi)=\rho+\nu\cdot\lambda.$$

Since

$$(-(\iota + \iota') + (\iota + \iota'))_{u}(\xi) = (-(\iota + \iota'))_{u}(\xi) + (-(\omega + \nu)) \cdot (\iota + \iota')_{u}(\xi),$$
  
$$(-(\iota + \iota'))_{u}(\xi) = -(-\nu - \omega) \cdot (\lambda + \omega \cdot \rho).$$

Therefore

(8.2)  

$$\kappa(\lambda, \rho) = [\iota, \iota']_{\iota}(\xi) = ((\iota' + \iota) - (\iota + \iota'))_{\iota}(\xi)$$

$$= \rho + \nu \cdot \lambda - (\nu + \omega) \cdot ((-\nu - \omega) \cdot (\lambda + \omega \cdot \rho))$$

$$= \nu \cdot (\lambda - (\omega - \nu - \omega) \cdot \lambda) + \rho - (\nu + \omega - \nu) \cdot \rho$$

$$= -\nu \cdot [\lambda, \omega \cdot (-\nu)] - [\rho, \nu \cdot \omega].$$

This proves (8.1)(iv).

We can now suppose that m+n>2; if we prove that

(8.3) 
$$\kappa(0, \rho) = - [\omega, \rho] \qquad \text{if } n > 1,$$
$$= - [\nu \cdot \omega, \rho] \qquad \text{if } n = 1,$$

it will follow that

$$\kappa(\lambda, 0) = \begin{bmatrix} \iota^{m}, \iota^{n} \end{bmatrix}_{u} (w^{-1}_{\natural} \lambda, v^{-1}_{\natural} 0) = ((-1)^{mn} \begin{bmatrix} \iota^{n}, \iota^{m} \end{bmatrix})_{u} (w^{-1}_{\natural} \lambda, v^{-1}_{\natural} 0)$$
  
$$= (-1)^{mn+1} [\nu, \lambda] \quad \text{or} \quad (-1)^{n+1} [\omega \cdot \nu, \lambda]$$
  
$$= (-1)^{n+1} [\lambda, \nu] \quad \text{or} \quad (-1)^{n+1} [\lambda, \omega \cdot \nu]$$

according as m > 1 or m = 1. Then (8.3) implies that  $\kappa(\lambda, \rho) = \kappa(\lambda, 0) + \kappa(0, \rho)$  is given by (8.1)(i), (ii), or (iii), and we need only prove (8.3).

Consider the case  $(X, x_0) = (S^m \vee S^{n+1}, s_0)$ ,  $w = j^m$ , the identity map of  $S^m$ ,  $v = s_0$ ,  $\rho = \iota^{n+1}$ , where  $m, n \ge 1$  and m+n>2; we prove by considering representative maps that

LEMMA (8.4). In this case 
$$\kappa(0, \iota^{n+1}) = -[\iota^m, \iota^{n+1}]$$
.  
**Proof.**  $[\iota^m, \iota^n]$  is represented by  $p: \dot{I}^{m+n} = (I^m \times I^n) \to S^m \vee S^n$ ,  
 $p(y, y') = i^m(y)$  if  $y \in I^m, y' \in \dot{I}^n$ ,  
 $= i^n(y')$  if  $v \in \dot{I}^m, v' \in I^n$ .

Define maps  $E, F: ((S^m \bigvee S^n) \times I, s_0 \times I) \rightarrow (S^m \bigvee S^{n+1}, s_0)$  by

$$E(z, t) = z, \qquad z \in S^m, t \in I,$$

$$= s_0, \qquad z \in S^n;$$

$$F(z, t) = z, \qquad z \in S^m,$$

$$= i^{n+1}((i^n)^{-1}(z), t), \qquad z \in S^n.$$

Then  $F(p \times 1)$ ,  $E(p \times 1)$ :  $(\dot{I}^{m+n} \times I, 0 \times I) \rightarrow (S^m \vee S^{n+1}, s_0)$  agree on  $\dot{I}^{m+n} \times \dot{I} \cup 0 \times I$ ; and since F represents  $((j^m)_{\sharp}^{-1}0, s_{0\sharp}^{-1}\iota^{n+1}) \in \pi_1(X^{S^m \vee S^n}, u)$ , we have

$$\kappa(0, \iota^{n+1}) = d(F(p \times 1), (E(p \times 1)))((I^{m+n} - 0) \times I, 0 \times 0).$$

Extend  $F(p \times 1)$ ,  $E(p \times 1)$  over  $\dot{I}^{m+n+1} = \dot{I}^{m+n} \times I \cup I^{m+n} \times \dot{I}$  to  $\overline{F}$ ,  $\overline{E}$  respectively, as follows: for  $y \in I^m$ ,  $y' \in I^n$ ,  $t \in \dot{I}$  define

$$\overline{F}(y, y', t) = i^m(y) \qquad \text{if } y' \in I^n,$$

$$= i^{n+1}(y', t) = s_0 \qquad \text{if } y \in \dot{I}^m;$$

and the same for  $\overline{E}$ .  $\overline{F}$  and  $\overline{E}$  are readily seen to be the canonical maps representing  $[\iota^m, \iota^{n+1}]$  and  $[\iota^m, 0]$  respectively; also,  $\overline{F}$  and  $\overline{E}$  agree on  $I^{m+n} \times I \cup 0$  $\times I$ . Setting  $e^{m+n+1} = I^{m+n+1} - 0$ , and applying Lemma (3.5) as in the proof of (3.2), it follows that  $d(F(p \times 1), E(p \times 1)) = d(\overline{F} | I^{m+n} \times I, \overline{E} | I^{m+n} \times I)$  on  $((I^{m+n} - 0) \times I, 0 \times 0)$  is equal to  $-d(\overline{F}, \overline{E})(e^{m+n+1}, 0)$ . Thus

$$\kappa(0, \iota^{n+1}) = -d(\overline{F}, \overline{E})(e^{m+n+1}, 0) = -(\{\overline{F}\} - \{\overline{E}\}) = -\{\overline{F}\} = -[\iota^{m}, \iota^{n+1}]$$

which proves (8.4).

The space  $S^m \vee S^{n+1}$  in (8.4) is a universal example for the case  $v = x_0$ ; for, given any  $(X, x_0)$ , w,  $\rho$ , there exists  $g: (S^m \vee S^n, s_0) \rightarrow (X, x_0)$  such that  $g \mid (S^m, s_0) = w$  and  $\{g \mid S^{n+1}\} = \rho$ .

COROLLARY (8.5).  $\kappa(0, \rho) = -[\omega, \rho]$  if  $v = x_0$ , with X, w,  $\rho$  arbitrary.

Now let all of X, w, v,  $\rho$  be arbitrary. Define  $h = j^m \vee h': (S^m \vee S^n, s_0) \rightarrow (S^m \vee S_1^n \vee S_2^n, s_0)$ , where  $h': S^n \rightarrow S_1^n \vee S_2^n$  is such that  $h'_* \iota^n = \iota_1^n + \iota_2^n$ . Let  $\bar{v} = (x_0 \vee v)h'$ ; then  $h^*: \pi_1(X^{S^m \vee S_1^n \vee S_2^n}, w \vee x_0 \vee v) \rightarrow \pi_1(X^{S^m \vee S^n}, w \vee \bar{v})$ . We identify the second group with  $\pi_1(X^{S^m}, w) + \pi_1(X^{S^n}, \bar{v})$ , and treat the first similarly. Then it is clear from the definition of  $\natural$  as a separation element, and from the definition of  $h^*$ , that

$$h^{*}(w_{\natural}^{-1}\lambda, x_{0\natural}^{-1}\rho_{1}, v_{\natural}^{-1}\rho_{2}) = (w_{\natural}^{-1}\lambda, \bar{v}_{\natural}^{-1}(\rho_{1} + \rho_{2})).$$

Let M be a homotopy rel  $S^m$  from  $w \vee \bar{v}$  to  $u = w \vee v$ ; under the above identification  $M_{\sharp} = ((M | S^m)_{\sharp}, (M | S^n)_{\sharp})$ . Since  $v_{\natural} = (M | S^n)_{\sharp} \bar{v}_{\natural}$  by (2.6), and  $(M | S^m)_{\sharp}$  is the identity,

$$M_{\sharp}(w_{\natural}^{-1}\lambda, \bar{v}_{\natural}^{-1}(\rho_{1}+\rho_{2})) = (w_{\natural}^{-1}\lambda, v_{\natural}^{-1}(\rho_{1}+\rho_{2})).$$

Setting  $r = w \lor x_0 \lor v$ ,

$$[\iota^{m} \iota_{1}^{n} + \iota_{2}^{n}]_{r} = (h_{*}[\iota^{m}, \iota^{n}])_{r} = [\iota^{m}, \iota^{n}]_{rh}h^{*} = [\iota^{m}, \iota^{n}]_{u}M_{\#}h^{*}$$

and hence

(8.6)  

$$\kappa(0, \rho) = \begin{bmatrix} \iota^{m}, \iota^{n} \end{bmatrix}_{u} (w_{\natural}^{-1}0, v_{\natural}^{-1}\rho)$$

$$= \begin{bmatrix} \iota^{n}, \iota^{n} \end{bmatrix}_{u} M_{\sharp} h^{*} (w_{\natural}^{-1}0, x_{0\natural}^{-1}\rho, v_{\natural}^{-1}0)$$

$$= \begin{bmatrix} \iota^{m}, \iota^{n} + \iota^{n} \\ \iota^{n} + \iota^{2} \end{bmatrix}_{r} (w_{\natural}^{-1}0, x_{0\natural}^{-1}\rho, v_{\natural}^{-1}0).$$

If  $m \ge 1$ , n > 1, then it follows from the addition theorem that  $[\iota^m, \iota_1^n + \iota_2^n]_r$ = $[\iota^m, \iota_1^n]_r + [\iota^m, \iota_2^n]_r$ . The first term yields  $[\iota^m, \iota_1^n]_r(w_{\natural}^{-1}0, x_{0\natural}^{-1}\rho, v_{\natural}^{-1}0) = -[\omega, \rho]$ by (8.5), while the second term yields 0. Hence

(8.7) 
$$\kappa(0, \rho) = - \lfloor \omega, \rho \rfloor \quad \text{for } m \ge 1, n > 1.$$

If m > 1, n = 1, then

$$\begin{bmatrix} \iota^m, \iota_1^n + \iota_2^n \end{bmatrix} = (\iota_1^n + \iota_2) \cdot \iota^m - \iota^m = \begin{bmatrix} \iota_2 \cdot \iota^n, \iota_1^n \end{bmatrix} + \begin{bmatrix} \iota^m, \iota_2^n \end{bmatrix}.$$

Applying the addition theorem, and noting that the second term again gives 0,

(8.8) 
$$[\iota^{m}, \iota_{1}^{n} + \iota_{2}^{n}]_{r}(w_{\natural}^{-1}0, x_{0}^{-1}\rho, v_{\natural}^{-1}0) = [\iota_{2}^{n} \cdot \iota^{m}, \iota_{1}^{n}]_{r}(w_{\natural}^{-1}0, x_{0}^{-1}\rho, v_{\natural}^{-1}0).$$

Let  $k = l \vee j_1^n$ :  $(S^m \vee S^n, s_0) \rightarrow (S^m \vee S_1^n \vee S_2^n, s_0)$ , where l represents  $\iota_2^n \cdot \iota_2^m$ , so that rk represents  $\nu \cdot \omega$  on  $S^m$  and 0 on  $S^n$ . Then  $k^*: \pi_1(X^{S^m \vee S_1^n \vee S_2^n}, r) \rightarrow \pi_1(X^{S^m \vee S^n}, rk)$  is clearly such that

$$k^*(w_{\natural}^{-1}0, x_{0\natural}^{-1}\rho, v_{\natural}^{-1}0) = (l_{\natural}^{-1}0, x_{0\natural}^{-1}\rho).$$

Since  $k_*[\iota^m, \iota^n] = [\iota_2^n \cdot \iota^m, \iota_1^n],$ 

(8.9) 
$$\begin{bmatrix} \iota_{2}^{n} \cdot \iota_{n}^{m}, \iota_{1}^{n} \end{bmatrix}_{r} (w_{\natural}^{-1}0, x_{0\natural}^{-1}\rho, v_{\natural}^{-1}0) = [\iota_{n}^{m}, \iota_{n}^{n}]_{rk} k^{*} (w_{\natural}^{-1}0, x_{0\natural}^{-1}\rho, v_{\natural}^{-1}0) \\ = [\iota_{n}^{m}, \iota_{n}^{n}]_{rk} (\overline{l_{\natural}}^{-1}0, x_{0\flat}^{-1}\rho) = - [\nu \cdot \omega, \rho] \text{ by } (8.5).$$

Equations (8.6), (8.8), and (8.9) yield

(8.10) 
$$\kappa(0, \rho) = - [\nu \cdot \omega, \rho]$$
 if  $m > 1, n = 1$ .

Equations (8.7) and (8.10) together prove (8.3), and hence Theorem (4.2). Appendix. Separation elements

Let  $I^n$  be the subset of Euclidean *n*-space consisting of *n*-tuples of real numbers  $(y_1, \dots, y_n)$ ,  $0 \leq y_i \leq 1$ , oriented by the generator of  $H_n(I^n, \dot{I}^n)$ represented by the identity map of  $I^n$  in the cubical singular theory. Let  $J^{n-1}$  be the closure of the subset of  $\dot{I}^n$  for which  $y_n < 1$ , and let  $I_1^{n-1}$  be the subset of  $\dot{I}^n$  for which  $y_n = 1$ . If  $x_0 \in A \subset X$ , then elements of  $\pi_n(X, A, x_0)$  are represented by maps  $f: (I^n, \dot{I}^n, J^{n-1}) \rightarrow (X, A, x_0)$ , and the boundary operator

$$\partial : \pi_n(X, A, x_0) \to \pi_{n-1}(A, x_0, x_0) = \pi_{n-1}(A, x_0)$$

is defined by  $\partial \{f\} = \{f | I_1^{n-1}\}$ . If we identify  $(S^{n-1}, s_0) = (\dot{I}^n, 0)$ , where  $0 = (0, \dots, 0)$ , then the specification of the boundary operator determines an orientation of  $S^{n-1}$  (cf. [7, §4]). It is to be noted that this is not the orientation given by the homology boundary.

Let  $h_t: (I^n, \dot{I}^n, J^{n-1}, 0) \rightarrow (I^n, \dot{I}^n, J^{n-1}, 0)$  be a homotopy such that  $h_0$ = identity,  $h_1(J^{n-1}) = 0$ .  $h_t$  determines a 1-1 correspondence between the sets of homotopy classes of maps  $g: (I^n, \dot{I}^n, 0) \rightarrow (X, A, x_0)$  and the elements of  $\pi_n(X, A, x_0)$  by  $\{g\} \rightarrow \{gh_1\}$ , and similarly between the homotopy classes of maps  $g': (\dot{I}^n, 0) \rightarrow (A, x_0)$  and the elements of  $\pi_{n-1}(A, x_0)$ . Using this correspondence, we may represent elements of  $\pi_n(X, A, x_0)$  and  $\pi_{n-1}(A, x_0)$  by maps of  $(I^n, \dot{I}^n, 0)$  and  $(\dot{I}^n, 0)$  respectively.

We define separation elements as follows (cf. [1] for the original definition). Let K be a CW complex (<sup>2</sup>) and let  $\sigma \in K$  be an *n*-cell with characteristic map  $c_{\sigma}: (I^n, \dot{I}^n, 0) \rightarrow (\bar{\sigma}, \dot{\sigma}, p_{\sigma})$ , where  $p_{\sigma} \in \dot{\sigma}$  is a point. If  $f, g: (\bar{\sigma}, p_{\sigma}) \rightarrow (X, x_0)$ 

<sup>(2)</sup> A fixed choice of characteristic map for each cell is implied in the definition of a CW complex.

agree on  $\dot{\sigma}$ , they determine a separation element  $d(f, g)(\sigma, p_{\sigma}) \in \pi_n(X, x_0)$ , represented by  $F: (\dot{I}^{n+1}, 0) \rightarrow (X, x_0)$ ,

$$F(y_1, \dots, y_{n+1}) = \begin{cases} fc_{\sigma}(y_1, \dots, y_n) & \text{if } y_{n+1} = 1, \\ fc_{\sigma}(y_1, \dots, y_n) = gc_{\sigma}(y_1, \dots, y_n) & 0 < y_{n+1} < 1, \\ gc_{\sigma}(y_1, \dots, y_n) & \text{if } y_{n+1} = 0. \end{cases}$$

Thus  $d(f, g)(\sigma, p_{\sigma}) = d(fc_{\sigma}, gc_{\sigma})(I^n, 0)$  (we shall not bother to distinguish between the open and the closed cell, provided this causes no confusion).

It follows from the orientation convention that if  $f(\dot{\sigma}) = x_0$ ,  $g(\bar{\sigma}) = x_0$ , then  $d(f, g)(\sigma, p_{\sigma}) = \{fc_{\sigma}\}$ .

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