

On the inductive definition with quantifiers of second order

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§ 1. Introduction.

In a former paper [4], the author defined the system of ordinal diagrams and proved that the system is well-ordered. By using ordinal diagrams he proved in [5] the consistency of a fairly impredicative theory. The theory developed in [4] was generalized in [6]. In this paper we shall generalize the result of [5] by using [6] and show the consistency of a theory which has inductive definitions with quantifiers of second order.

Let $I(a)$ and $a <^* b$ be two primitive recursive predicates. Let us assume that the following condition is satisfied:

$<^*$ is a well-ordering of I , where I is $\{a \mid I(a)\}$.

Now we shall consider the formal system obtained as follows from G¹LC. (G¹LC is a simple type theory of second order as defined in [2], [3].)

1. Every beginning sequence is of the form $D \rightarrow D$ or of the form $a = b$, $A(a) \rightarrow A(b)$ or the 'mathematische Grundsequenz' in Gentzen [1] or the following form

$$I(a), A_i(a, b) \rightarrow G_i(a, b \{x, y\} (A_i(x, y) \wedge x <^* a))$$

$$I(a), G_i(a, b, \{x, y\} (A_i(x, y) \wedge x <^* a)) \rightarrow A_i(a, b) \quad i = 0, 1, 2, \dots$$

Here $\{x, y\}$ is used instead of usual notations $\hat{x}\hat{y}$, λxy and A_0, A_1, A_2, \dots are new symbols for predicates. Moreover, G_i ($i = 0, 1, 2, \dots$) are arbitrary formulas satisfying the following conditions:

a) $G_i(a, b, \alpha)$ does not contain $A_i, A_{i+1}, A_{i+2}, \dots$.

b) If $G_i(a, b, \alpha)$ contains the figures of the form $\forall \varphi A(\varphi)$ or $\exists \varphi A(\varphi)$, then $A(\beta)$ does not contain any bound f -variable. (The bound f -variable means the quantifier of second order.)

2. The following inference-schema called 'induction' is added.

$$\frac{A(a), \Gamma \rightarrow \Delta, A(a')}{A(0), \Gamma \rightarrow \Delta, A(t)}$$

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where t is an arbitrary term and a is contained in none of $A(0)$, Γ , Δ .

3. The inference \forall left and \exists right on an f -variable of the form

$$\frac{F(V), \Gamma \rightarrow \Delta}{\forall \varphi F(\varphi), \Gamma \rightarrow \Delta} \quad \text{and} \quad \frac{\Gamma \rightarrow \Delta, F(V)}{\Gamma \rightarrow \Delta, \exists \varphi F(\varphi)}$$

are restricted by the condition that $F(\alpha)$ does not contain any bound f -variable. It should be remarked that $F(\alpha)$ may contain A_0, A_1, A_2, \dots and V may contain bound f -variables and A_0, A_1, A_2, \dots .

Then the following theorem holds.

THEOREM. *The consistency of our system can be proved by using the well-ordering of the system of the ordinal diagrams of*

$$((2 \cdot I) \cdot \omega + 1)^\omega \times N^2 + 2$$

and

$$((2 \cdot I) \cdot \omega + 1)^\omega \times N^2.$$

Here N denotes the set of integers. The symbols ω , \times etc. have the ordinary meanings, the exact definitions of which will be given in 2.

REMARK. The transfinite induction over I is provable in our system. Let $J(a)$ and $D(a, \alpha)$ be the abbreviations of $\forall \varphi (\forall x (I(x) \wedge \forall y (y <^* x \vdash \varphi[y]) \vdash \varphi[x]) \vdash \varphi[a])$ and $\forall x (x <^* a \vdash \alpha[x]) \vdash J(a)$ respectively. The following sequences are beginning sequence of our system:

$$I(i), C(i) \rightarrow D(i, \{x\}(C(x) \wedge x <^* i));$$

$$I(i), D(i, \{x\}(C(x) \wedge x <^* i)) \rightarrow C(i).$$

We see easily that the following sequences are provable in our system:

$$\forall x (x <^* i \vdash C(x)), j <^* i \rightarrow C(j) \wedge \forall y (y <^* j \vdash C(y));$$

$$j <^* i, C(j) \wedge \forall y (y <^* j \vdash C(y)) \rightarrow J(j).$$

From above two sequences we have

$$\forall x (x <^* i \vdash C(x)), j <^* i \rightarrow J(j).$$

On the other hand, we see easily that the following sequence is provable in our system:

$$I(i), \forall x (x <^* i \vdash J(x)) \rightarrow J(i).$$

Thus we have

$$I(i), \forall x (x <^* i \vdash C(x)) \rightarrow J(j).$$

From this and our beginning sequence we have

$$I(i) \rightarrow C(i),$$

and then

$$I(i) \rightarrow J(i)$$

which states the transfinite induction over I .

§ 2. Consistency proof of our system.

1. First we define a system of ordinal diagrams on which our proof is based.

1.1. \tilde{I} is defined to be $\{j \mid j \in I \text{ or } j \text{ is of the form } \tilde{i} \text{ where } i \in I\}$. $<_*$ is a well ordering of \tilde{I} which is defined as follows:

1.1.1. If $i \in I$, then $i <_* \tilde{i}$.

1.1.2. If $i <_* j$, then $\tilde{i} <_* \tilde{j}$.

1.1.3. If $i <_* j$, then $i <_* \tilde{j}$.

1.2. Let n be an integer. I_n is defined to be $\{(i, n) \mid i \in \tilde{I}\}$. $<_n$ is a well-ordering of I_n which is defined as follows:

1.2.1. If $i <_* j$, then $(i, n) <_n (j, n)$.

1.3. I_∞ is defined to be $\{\infty\} \cup I_0 \cup I_1 \dots$.

$<_\infty$ is a well-ordering of I_∞ defined as follows:

1.3.1. If $i \in I_n$, then $i <_\infty \infty$ ($n = 0, 1, 2, \dots$),

1.3.2. If $i \in I_n, j \in I_m$ and $n < m$, then $i <_\infty j$.

1.3.3. If $i <_n j$, then $i <_\infty j$.

1.4. \hat{I} is defined to be a set consisting of elements of the form

$$[i_0, i_1, \dots, i_n; k_1, k_2],$$

where i_0, i_1, \dots, i_n are elements of $I_\infty, i_0 \geq_\infty i_1 \geq_\infty \dots \geq_\infty i_n$, and n, k_1, k_2 are integers. $\tilde{<}$ is a lexicographical well-ordering of \hat{I} .

1.5. To prove the theorem, we assume that there are proof-figures to the sequence \rightarrow in our system, and we assign an o.d. (ordinal diagram) of $O(\{\infty_1, \infty_2\} \cup \hat{I}, \hat{I})$, where ∞_1 and ∞_2 are the maximal elements of $\{\infty_1\} \cup \hat{I}$ and $\{\infty_1, \infty_2\} \cup \hat{I}$ respectively, to each of these proof-figures and define the reduction similarly as in [1] and in [5].

2. Let \mathfrak{P} be a proof-figure in our system.

2.1. The degree of A_n contained in \mathfrak{P} is defined as follows:

2.1.1. If A_n is contained in \mathfrak{P} and is of the form $A_n(j, b)$, where $j \in I$, then the degree of A_n is (\tilde{j}, n) .

2.1.2. If A_n is contained in \mathfrak{P} and is of the form $A_n(x, b) \wedge x <_* i$, where x is a variable or else ' $\neg I(x)$ or $i \leq_* x$ ' is probable, then the degree is A_n is (i, n) .

2.1.3. If A_n is contained in \mathfrak{P} and is of the form $A_n(x, b)$ with $x \notin I$ and not of the form $A_n(x, b) \wedge x <_* i$, then the degree of A_n is ∞ .

2.2. We define the degree of a formula F in \mathfrak{P} to be

$$[i_0, i_1, \dots, i_n; k_1, k_2],$$

where i_0, i_1, \dots, i_n are the non-increasing series consisting of all the degrees of A_m contained in F ($m = 0, 1, 2, \dots$), k_1 is the number of \forall 's on f -variable in F and k_2 is the number of logical symbols except \forall on an f -variable in F .

2.3. We add the inference 'substitution' with the following restriction in our

system (cf. [5]).

2.3.1. To every substitution is attached an element of \hat{I} , which is called the degree of the substitution, satisfying the following condition: The degree of every implicit formula in the upper sequence of a substitution is less than that of the substitution.

2.3.3. The eigenvariable of a substitution is not tied by any \forall on an f -variable in the upper sequence of the substitution.

2.3.4. If an implicit formula in the upper sequence of a substitution contains \forall on an f -variable which ties a free f -variable, then it is \forall right in the concerned proof-figure and the degree of the substitution is ∞_1 .

2.4. Let $i \in \hat{I}$, \mathfrak{P} be a proof-figure and \mathfrak{S} be a sequence in \mathfrak{P} . The i -loader of \mathfrak{S} is the upper sequence of the uppermost substitution under \mathfrak{S} , whose degree is not greater than i in $\{\infty_1, \infty_2\} \cup \hat{I}$, if such exists; otherwise the i -loader of \mathfrak{S} is the end-sequence.

2.5. Now we assign an o. d. of $O(\{\infty_1, \infty_2\} \cup \hat{I}, \hat{I})$ to every sequence of a proof-figure recursively as follows:

2.5.1. The o. d. of a beginning sequence of the form $D \rightarrow D$ is the degree of D .

2.5.2. The o. d. of a beginning sequence of the form $a = b, A(a) \rightarrow A(b)$ is the degree of $A(a)$.

2.5.3. The o. d. of a beginning sequence of the form

$$I(i), A_n(i, a) \rightarrow G_n(i, a, \{x, y\}(A_n(x, y) \wedge x <^* i))$$

$$\text{or } I(i), G_n(i, a, \{x, y\}(A_n(x, y) \wedge x <^* i)) \rightarrow A_n(i, a)$$

is the degree of $A_n(i, a)$.

2.5.4. If \mathfrak{S}_1 and \mathfrak{S}_2 are the upper sequence and the lower sequence of an inference on structure, then the o. d. of \mathfrak{S}_2 is equal to that of \mathfrak{S}_1 .

2.5.5. If \mathfrak{S}_1 and \mathfrak{S}_2 are the upper sequence and the lower sequence of an inference \supset, \wedge left, \forall on a t -variable or \forall right on an f -variable respectively, then the o. d. of \mathfrak{S}_2 is $(\infty_2, 0, \sigma)$ where 0 denotes the first element of \hat{I} and σ is the o. d. of \mathfrak{S}_1 .

2.5.6. If \mathfrak{S}_1 and \mathfrak{S}_2 are the upper sequences and \mathfrak{S} is the lower sequence of an inference \wedge right, then the o. d. of \mathfrak{S} is $(\infty_2, 0, \sigma_1 \# \sigma_2)$, where σ_1 and σ_2 are the o. d.'s of \mathfrak{S}_1 and \mathfrak{S}_2 respectively.

2.5.7. If \mathfrak{S}_1 and \mathfrak{S}_2 are the upper sequence and the lower sequence of an \forall left \mathfrak{S} on an f -variable respectively, then the o. d. of \mathfrak{S}_2 is

$$(\infty_2, [i_0, \dots, i_m; k_1, k_2 + 2], \sigma),$$

where $[i_0, \dots, i_m; k_1, k_2]$ is the degree of the subformula of \mathfrak{S} and σ is the o. d. of \mathfrak{S}_1 .

2.5.8. If \mathfrak{S}_1 and \mathfrak{S}_2 are the upper sequences and \mathfrak{S} is the lower sequence of a cut \mathfrak{S} , then the o. d. of \mathfrak{S} is $(\infty_2, [i_0, \dots, i_m; k_1, k_2 + 1], \sigma_1 \# \sigma_2)$, where σ_1 and σ_2 are the o. d.'s of \mathfrak{S}_1 and \mathfrak{S}_2 respectively and $[i_0, \dots, i_m; k_1, k_2]$ is the degree

of the cut-formula of \mathfrak{F} .

2.5.9. If \mathfrak{S}_1 and \mathfrak{S}_2 are the upper sequence and the lower sequence of a substitution with the degree i respectively, then the o. d. of \mathfrak{S}_2 is $(i, 0, \sigma)$ where σ is the o. d. of \mathfrak{S}_1 ,

2.5.10. If \mathfrak{S}_1 and \mathfrak{S}_2 are the upper sequence and the lower sequence of an induction, then the o. d. of \mathfrak{S}_2 is $(\infty_2, [i_0, \dots, i_m; k_1, k_2+2], \sigma)$, where σ is the o. d. of \mathfrak{S}_1 and $[i_0, \dots, i_m; k_1, k_2]$ is the degree of $A(a)$ in the schema.

The ordinal diagram of the end-sequence of a proof-figure is called the ordinal diagram of the proof-figure.

3. Suppose that the sequence \rightarrow is provable in our system. In the following, we shall reduce a proof-figure \mathfrak{P} to \rightarrow to a proof-figure with the o. d. less than that of \mathfrak{P} . Without loss of generality, we may assume that every free variable used as an eigenvariable in a proof-figure is different from each other. Let \mathfrak{P} be a proof-figure to \rightarrow .

3.1. First we substitute 0 for every free variable in \mathfrak{P} except in case it is used as an eigenvariable. In this alteration the proof-figure is still correct and the end-sequence of \mathfrak{P} and the o. d. of \mathfrak{P} are invariable.

3.2. We may assume that \mathfrak{P} contains no free variable other than those used as an eigenvariable in \mathfrak{P} . If the end-place of \mathfrak{P} contains an induction, apply the 'VJ-Reduktion' in Gentzen [1], where every substitution in the reduced proof-figure has the same degree as the corresponding one in \mathfrak{P} .

3.3. In the following we may assume besides the condition assumed in 3.2, that the end-place of \mathfrak{P} contains no induction. Let the end-place of \mathfrak{P} contain a beginning sequence of the form $m=n, A(m)\rightarrow A(n)$, where m and n are of the form 0^{\dots} . Then either $m=n\rightarrow$ or $\rightarrow m=n$ is a 'mathematische Grundsequenz.'

3.3.1. If $m=n\rightarrow$ is a 'mathematische Grundsequenz,' replace the beginning sequence to the proof-figure

$$\frac{m=n\rightarrow}{\text{Weakenings and an exchange}} \\ m=n, A(m)\rightarrow A(n).$$

3.3.2. If $\rightarrow m=n$ is a 'mathematische Grundsequenz,' then m is n . Replace the beginning sequence by the proof-figure

$$\frac{A(m)\rightarrow A(n)}{m=n, A(m)\rightarrow A(n)}.$$

3.4. We may assume besides the conditions assumed in 3.3, that the end-place of \mathfrak{P} contains no beginning sequence of the form $m=n, A(m)\rightarrow A(n)$. We can reduce \mathfrak{P} to a proof-figure which contains no beginning sequence of the form $D\rightarrow D$ in the end-place in the same way as in [5].

3.5. Then we can remove a weakening cut-formula in the end-place in the same way as in [3, 6.4].

3.6. We may assume that the end-place of \mathfrak{B} contains no free variable, induction, beginning sequence of the form $m = n$, $A(m) \rightarrow A(n)$, or $D \rightarrow D$, or weakening cut-formula. Suppose that \mathfrak{B} contains a beginning sequence of the form

$$(*) \quad I(i), A_n(i, a) \rightarrow G_n(i, a, \{x, y\}(A_n(x, y) \wedge x <^* i))$$

$$\text{or} \quad I(i), G_n(i, a, \{x, y\}(A_n(x, y) \wedge x <^* i)) \rightarrow A_n(i, a),$$

where i and a are of the form $0^{i'}$, and n is an integer. Since each case is treated similarly, we treat here only the case that \mathfrak{B} contains a beginning sequence $(*)$. By our assumption, either $I(i) \rightarrow$ or $\rightarrow I(i)$ is provable without an induction, or a substitution or an \forall on an f -variable.

3.6.1. In case that $I(i) \rightarrow$ is provable, replace the beginning sequence by the following proof-figure :

$$\frac{\frac{I(i) \rightarrow}{\text{Weakenings and an exchange}}}{I(i), A_n(i, a) \rightarrow G_n(i, a, \{x, y\}(A_n(x, y) \wedge x <^* i))}.$$

The ordinal diagram of the above proof-figure is less than that of $(*)$. In the same way as in [5], we see that the ordinal diagram of the proof-figure to \rightarrow decreases by this alteration.

3.6.2. The case that $\rightarrow I(i)$ is provable : Since every formula in \mathfrak{B} is implicit, there exists a cut \mathfrak{S} where one of the cut-formulas of \mathfrak{S} is a descendant of $A_n(i, a)$ in $(*)$. Let \mathfrak{B} be of the following form :

$$\frac{\frac{A_n(i, a) \rightarrow A_n(i, a) \quad I(i), A_n(i, a) \overset{(*)}{\rightarrow} G_n(i, a, A_n^i)}{\frac{\Gamma \xrightarrow{\sigma_1} \Delta, A_n(i, a) \quad A_n(i, a), \Pi \xrightarrow{\sigma_2} \Lambda}{\Gamma, \Pi \rightarrow \Delta, \Lambda} \mathfrak{S}}{\rightarrow}}$$

where A_n^i is the abbreviation of $\{x, y\}(A_n(x, y) \wedge x <^* i)$, and $A_n(i, a) \rightarrow A_n(i, a)$ in the figure may not appear.

Consider the following proof-figure \mathfrak{B}' :

$$\begin{array}{c}
 \frac{I(i), A_n(i, a) \rightarrow G_n(i, a, A_n^i)}{A_n(i, a), I(i) \rightarrow G_n(i, a, A_n^i)} \quad G_n(i, a, A_n^i) \overset{(*)}{\rightarrow} G_n(i, a, A_n^i)}{\frac{\Gamma, I(i) \overset{\sigma_2}{\rightarrow} \Delta, G_n(i, a, A_n^i) \quad G_n(i, a, A_n^i), \Pi \overset{\sigma'_2}{\rightarrow} A}{\Gamma, I(i), \Pi \rightarrow \Delta, A} \mathfrak{S}'} \\
 \frac{\frac{\Gamma, I(i) \overset{\sigma_2}{\rightarrow} \Delta, G_n(i, a, A_n^i) \quad G_n(i, a, A_n^i), \Pi \overset{\sigma'_2}{\rightarrow} A}{\Gamma, I(i), \Pi \rightarrow \Delta, A} \mathfrak{S}'}{\text{Some exchanges}} \\
 \frac{I(i), \Gamma, \Pi \rightarrow \Delta, A}{\Gamma, \Pi \overset{\sigma'_2}{\rightarrow} \Delta, A} \\
 \frac{\Gamma, \Pi \overset{\sigma'_2}{\rightarrow} \Delta, A}{\rightarrow}
 \end{array}$$

Every substitution in \mathfrak{B}' has the same degree as the corresponding one in \mathfrak{B} . $\sigma = (\infty_2, [(i, n); 0, 0], \sigma_1 \# \sigma_2)$ and $\sigma' = (\infty_2, [0; 0, k], \tau \# (\infty_2, [(i, n), \dots; k_1, k_2], \sigma_1 \# \sigma_2'))$ where $[0; 0, k]$ and $[(i, n), \dots; k_1, k_2]$ denote the degrees of $I(i)$ and $G_n(i, a, A_n^i)$ respectively. By an analogous method as in [5], we see that $\sigma' <_0 \sigma$. Then the ordinal diagram of \mathfrak{B}' is less than that of \mathfrak{B} .

4. Now we may assume that the end-place of \mathfrak{B} contains no free variable, induction, weakening cut-formula or beginning sequence except a ‘mathematische Grundsequenz.’ If all the sequences in \mathfrak{B} are in the end-place, we treat in the same way as in [1]. Then we may assume that \mathfrak{B} contains an inference on logical symbols and that the end-place contains a suitable cut in the same way as in [3, §6]. To define the essential reduction, we treat separately several cases according to the form of the outermost logical symbol of the cut-formulas of the suitable cut of \mathfrak{B} . Since other cases are treated in the same way as in [5], we treat here only the case that the outermost logical symbol of the suitable cut \mathfrak{S} of \mathfrak{B} is \forall on an f -variable.

Thus let \mathfrak{B} be of the following form:

$$\begin{array}{c}
 \frac{\frac{\Gamma_1 \rightarrow \Delta_1, F(\alpha)}{\Gamma_1 \rightarrow \Delta_1, \forall \varphi F(\varphi)} \quad \frac{F_1(V), \Pi_1 \rightarrow A_1}{\forall \varphi F_1(\varphi), \Pi_1 \rightarrow A_1}}{\frac{\Gamma_2 \rightarrow \Delta_2, \forall \varphi \tilde{F}(\varphi) \quad \forall \varphi \tilde{F}(\varphi), \Pi_2 \rightarrow A_2}{\Gamma_2, \Pi_2 \rightarrow \Delta_2, A_2} \mathfrak{S}} \\
 \frac{\Gamma_3 \rightarrow \Delta_3}{\rightarrow}
 \end{array}$$

Let $[i_0, \dots, i_n; k_1, k_2]$ be the degree of $\tilde{F}(\alpha)$. Let i mean ∞_1 or $[i_0, \dots, i_n; k_1, k_2 + 1]$

according as $\forall\varphi\tilde{F}(\varphi)$ contains a free f -variable or not. Let $\Gamma_3 \rightarrow \Delta_3$ be the i -loader of $\Gamma_2, \Pi_2 \rightarrow \Delta_2, A_2$. We can prove easily that $\forall\varphi F_1(\varphi)$ is $\forall\varphi\tilde{F}(\varphi)$.

A reduction \mathfrak{B}' of \mathfrak{B} is given in the following form :

$$\begin{array}{c}
 \begin{array}{c} \vdots \\ \vdots \\ \vdots \end{array} \\
 \hline
 \Gamma_1 \rightarrow \Delta_1, F(\alpha) \\
 \hline
 \text{Some exchanges and a weakening} \\
 \hline
 \Gamma_1 \rightarrow F(\alpha), \Delta_1, \forall\varphi F(\varphi) \\
 \begin{array}{c} \vdots \\ \vdots \\ \vdots \end{array} \\
 \hline
 \begin{array}{c} \Gamma_3 \rightarrow \Delta_3, \tilde{F}(\alpha) \\ \Gamma_3 \rightarrow \Delta_3, \tilde{F}(V) \end{array} \mathfrak{S}_1 \quad \begin{array}{c} \tilde{F}(V), \Pi_1 \rightarrow A_1 \\ \vdots \\ \vdots \\ \vdots \end{array} \\
 \hline
 \Gamma_3, \Pi_1 \rightarrow \Delta_3, A_1 \\
 \hline
 \text{Some exchanges and a weakening} \\
 \hline
 \forall\varphi\tilde{F}(\varphi), \Pi_1, \Gamma_3 \rightarrow \Delta_3, A_1 \\
 \begin{array}{c} \vdots \\ \vdots \\ \vdots \end{array} \\
 \hline
 \begin{array}{c} \Gamma_2 \rightarrow \Delta_2, \forall\varphi\tilde{F}(\varphi) \\ \Gamma_2, \Pi_2, \Gamma_3 \rightarrow \Delta_2, \Delta_3, A_2 \end{array} \quad \begin{array}{c} \forall\varphi\tilde{F}(\varphi), \Pi_2, \Gamma_3 \rightarrow \Delta_3, A_2 \\ \vdots \\ \vdots \\ \vdots \end{array} \\
 \hline
 \text{Some exchanges} \\
 \hline
 \Gamma_2, \Pi_2, \Gamma_3 \rightarrow \Delta_3, \Delta_2, A_2 \\
 \begin{array}{c} \vdots \\ \vdots \\ \vdots \end{array} \\
 \hline
 \Gamma_3, \Gamma_3 \rightarrow \Delta_3, \Delta_3 \\
 \hline
 \text{Some exchanges and contractions} \\
 \hline
 \Gamma_3 \rightarrow \Delta_3 \\
 \begin{array}{c} \vdots \\ \vdots \\ \vdots \end{array} \\
 \hline
 \rightarrow
 \end{array}$$

where \mathfrak{S}_1 is a substitution whose eigenvariable is α and whose degree is defined to be i . Every substitution in \mathfrak{B}' except \mathfrak{S}_1 has the same degree as the corresponding one in \mathfrak{B} . Following 4.2 in [5], we can show that substitutions in \mathfrak{B}' satisfy 1.3.1-2.3.4. and that the ordinal diagram of \mathfrak{B}' is less than that of \mathfrak{B} .

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