

# The origin of $rR_1$ ring structures in barred galaxies

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## ABSTRACT

We propose a new theory for the formation of  $rR_1$  ring structures, i.e. for ring structures with both an inner and an outer ring, the latter having the form of “8”. We propose that these rings are formed by material from the stable and unstable invariant manifolds associated with the Lyapunov orbits around the equilibrium points of a barred galaxy. We discuss the shape and velocity structure of the rings thus formed and argue that they agree with the observed properties of  $rR_1$  structures.

**Key words.** galaxies: structure

## 1. Introduction

Barred galaxies often show spectacular rings whose different types have been classified by Buta (1986a) as nuclear rings (not discussed here) that surround the nucleus and that are much smaller in size than the bar. At larger radii there are inner rings, denoted in Buta’s (1986a) classification by an “ $r$ ”, that surround the bar and that have the same size and orientation as the bar. And there are outer rings, denoted by  $R$ , that are bigger than the bar. These “pure” rings are defined to be distinct and closed, but one can often find unclosed or partial ring patterns of a spiral character, and these are referred to as “pseudorings” and denoted  $R'$ . A particular class of outer rings called  $R_1$  or  $R_1'$ , for pseudorings, has two main arms forming an eight-shaped ring or pseudoring, with its major axis perpendicular to the bar. NGC 1326 is a well-studied example of an  $(R_1)SB(r)0/a$  galaxy (Buta 1995). Its bar is surrounded by an inner ring that is almost exactly aligned with the bar and has roughly the same diameter. Its outer ring is clearly  $R_1$  and is elongated perpendicular to the bar (Buta et al. 1998, see Fig. 1).

Schwarz (1981, 1984, 1985) showed that ring-like structures can arise around the Lindblad resonances due to a bar-like perturbation of the galaxy potential. The gas will be forced to rearrange its distribution and generate a spiral. Near the outer Lindblad resonances, the crossing of perturbed trajectories will develop a ring-like pattern. In these regions, gas clouds will collide and will form spiral shock fronts that will slowly change as a result of torques exerted by the bar and evolve into a ring structure that, after star formation, will be populated by stars in near-resonant periodic orbits.

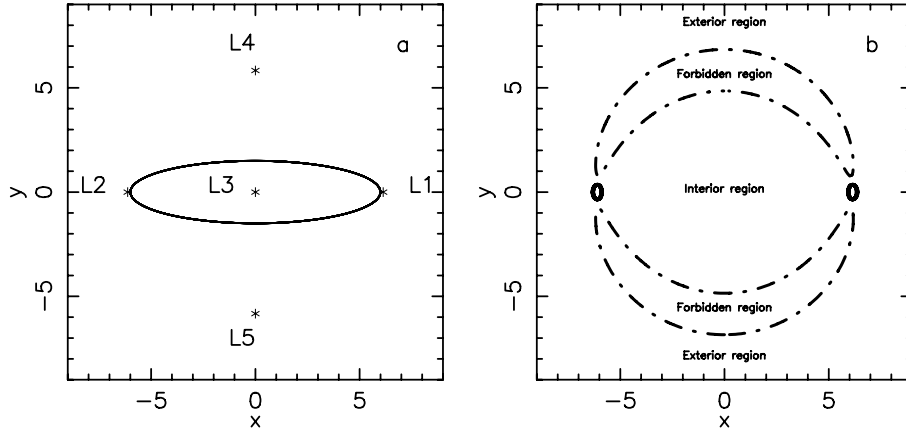
Danby (1965) argued that orbits in the gravitational potential of a bar play an important role in the formation of arms. He noted that orbits departing from the vicinity of the equilibrium points located at the ends of the bar describe loci with the shape of spiral arms and can be responsible for the transport of stars from within to outside corotation, and vice versa. Unfortunately, he did not set his work in a rigorous theoretical context, so that it remained purely phenomenological. He also investigated whether



**Fig. 1.** Image of the  $rR_1$  galaxy NGC 1326 showing a well-developed  $(R_1)SB(r)0/a$  structure. (Digital Sky Survey ©Anglo Australian Observatory Board.)

orbits can be responsible for ring-like structures, but in this case, he did not consider orbits departing from the ends of the bar as he previously did when accounting for the spiral arms. He concluded that rings would require high-energy orbits, while we will see in this paper that mainly low-energy orbits can constitute the rings.

Here we propose a new dynamical model, applicable to the particular case of the  $rR_1$  class of ringed galaxies. We expect that more detailed modelling will extend this new model to the rest of the ringed galaxy classes. The model is based on the orbital motion of stars in the vicinity of equilibrium points in the rotating bar potential and does not rely on additional star formation. The tools we use in our model are well known in celestial mechanics but have not been used much so far in galactic dynamics. We therefore start, in Sect. 2, by introducing the equations of motion and the equilibrium points. In Sect. 3 we describe the dynamics around the Lagrangian point  $L_1$  and introduce the invariant manifolds. We discuss the linear case in Sect. 3.1 and the general case in Sect. 3.2. In Sect. 4 we describe the role of the invariant manifolds in the transport of stars and their properties in the framework of ringed barred galaxies. In Sect. 5 we describe briefly how invariant manifolds are computed numerically and then apply them to a specific model. Finally, Sect. 6 discusses the properties of the spiral arms thus produced and summarises our results.



**Fig. 2.** **a)** Outline of the bar and the position of the five equilibrium points (marked with a star). **b)** The two Lyapunov orbits (thin solid lines) and the zero velocity curves (dot-dashed lines) delimiting the forbidden, the interior, and exterior regions. Both are given for the same energy value.

## 2. Equations of motion and equilibrium points

Here we model the potential of the galaxy as the superposition of two components, one axisymmetric and the other barlike. The latter rotates clockwise at angular velocity  $\mathbf{\Omega}_p = \Omega_p \mathbf{e}_z$ , where  $\Omega_p > 0$  is the pattern speed considered here to be constant<sup>1</sup>. The equations of motion in the frame rotating with  $\mathbf{\Omega}_p$  are

$$\ddot{\mathbf{r}} = -\nabla\Phi - 2(\mathbf{\Omega}_p \times \dot{\mathbf{r}}) - \mathbf{\Omega}_p \times (\mathbf{\Omega}_p \times \mathbf{r}), \quad (1)$$

where the terms  $-2\mathbf{\Omega}_p \times \dot{\mathbf{r}}$  and  $-\mathbf{\Omega}_p \times (\mathbf{\Omega}_p \times \mathbf{r})$  represent the Coriolis and the centrifugal forces, respectively, and  $\mathbf{r}$  is the position vector.

Following Binney & Tremaine (1987), we take the dot product of Eq. (1) with  $\dot{\mathbf{r}}$ , and by rearranging the resulting equation, we obtain

$$\frac{dE_J}{dt} = 0,$$

where

$$E_J \equiv \frac{1}{2} |\dot{\mathbf{r}}|^2 + \Phi - \frac{1}{2} |\mathbf{\Omega}_p \times \mathbf{r}|^2.$$

Here,  $E_J$  is known as the Jacobi integral or Jacobi constant. Notice that this is the sum of  $\frac{1}{2}|\dot{\mathbf{r}}|^2 + \Phi$ , which is the energy in a nonrotating frame, and of the quantity

$$-\frac{1}{2} |\mathbf{\Omega}_p \times \mathbf{r}|^2 = -\frac{1}{2} \Omega_p^2 (x^2 + y^2),$$

which can be thought of as the ‘‘potential energy’’ to which the centrifugal ‘‘force’’ gives rise. Thus if we define an effective potential

$$\Phi_{\text{eff}} = \Phi - \frac{1}{2} \Omega_p^2 (x^2 + y^2),$$

Eq. (1) becomes

$$\ddot{\mathbf{r}} = -\nabla\Phi_{\text{eff}} - 2(\mathbf{\Omega}_p \times \dot{\mathbf{r}}), \quad (2)$$

and the Jacobi constant is

$$E_J = \frac{1}{2} |\dot{\mathbf{r}}|^2 + \Phi_{\text{eff}},$$

so that it can be considered as the ‘‘energy’’ in the rotating frame.

The surface  $\Phi_{\text{eff}} = E_J$  is called the zero velocity surface, and its cut with the  $z = 0$  plane is the zero velocity curve. All regions

in which  $\Phi_{\text{eff}} > E_J$  are forbidden to a star, so we call them forbidden regions. Figure 2b shows an example of zero velocity curves and the regions delimited by them, namely the exterior, interior, and forbidden regions, for the potential introduced in Sect. 5.

The effective potential  $\Phi_{\text{eff}}$  has five equilibrium points, named  $L_1$  to  $L_5$ , located in the  $xy$  plane, at which  $\frac{\partial\Phi_{\text{eff}}}{\partial x} = \frac{\partial\Phi_{\text{eff}}}{\partial y} = \frac{\partial\Phi_{\text{eff}}}{\partial z} = 0$ . Due to their similarity to the corresponding points in the restricted three body problem, they are often called Lagrangian points.  $L_1$  and  $L_2$  lie on the  $x$ -axis and are symmetric with respect to the origin, and  $L_3$  lies on the origin of coordinates. Finally,  $L_4$  and  $L_5$  lie on the  $y$ -axis and are also symmetric with respect to the origin (see Fig. 2a, again for the potential introduced in Sect. 5).

We can check the stability of the Lagrangian points by considering the motion in their immediate neighbourhood<sup>2</sup>. If we expand  $\Phi_{\text{eff}}$  around one of these points and retain only first order terms, the equations of motion (2) become

$$\begin{cases} \ddot{x} = 2\Omega_p \dot{y} - \Phi_{xx}x \\ \ddot{y} = -2\Omega_p \dot{x} - \Phi_{yy}y \\ \ddot{z} = -\Phi_{zz}z \end{cases} \quad (3)$$

where we have defined

$$x \equiv x - x_L; \quad y \equiv y - y_L; \quad z \equiv z - z_L,$$

$$\Phi_{xx} \equiv \left( \frac{\partial^2 \Phi_{\text{eff}}}{\partial x^2} \right)_{L_i}; \quad \Phi_{yy} \equiv \left( \frac{\partial^2 \Phi_{\text{eff}}}{\partial y^2} \right)_{L_i}; \quad \Phi_{zz} \equiv \left( \frac{\partial^2 \Phi_{\text{eff}}}{\partial z^2} \right)_{L_i}$$

and  $x_L$ ,  $y_L$ , and  $z_L$  are the coordinates of the Lagrangian point  $L_i$ . Note that, for any barlike potential whose principal axes lie along the coordinate axes,  $\left( \frac{\partial^2 \Phi_{\text{eff}}}{\partial x \partial y} \right)_{L_i} = 0$  by symmetry. Setting  $x_1 = x$ ,  $x_2 = y$ ,  $x_3 = z$ ,  $x_4 = \dot{x}$ ,  $x_5 = \dot{y}$ , and  $x_6 = \dot{z}$ , Eq. (3) are written as a system of first-order differential equations,

$$\begin{cases} \dot{x}_1 = f_1(x_1, \dots, x_6) = x_4 \\ \dot{x}_2 = f_2(x_1, \dots, x_6) = x_5 \\ \dot{x}_3 = f_3(x_1, \dots, x_6) = x_6 \\ \dot{x}_4 = f_4(x_1, \dots, x_6) = 2\Omega_p x_5 - \Phi_{xx}x_1 \\ \dot{x}_5 = f_5(x_1, \dots, x_6) = -2\Omega_p x_4 - \Phi_{yy}x_2 \\ \dot{x}_6 = f_6(x_1, \dots, x_6) = -\Phi_{zz}x_3. \end{cases} \quad (4)$$

<sup>2</sup> We can refer to Pfenniger (1990), where he studied the stability character of the Lagrangian points in different stellar bars.

<sup>1</sup> Bold letters denote vector notation.

### 3. Dynamics around $L_1$ and the corresponding invariant manifolds

In this section, we describe the dynamics around the unstable equilibrium point  $L_1$  (for  $L_2$  it is symmetric) and define the Lyapunov periodic orbits and the invariant manifolds associated with them. We first discuss the linear case and then the general non-linear case.

#### 3.1. Linear case

Let us now focus on the dynamics around  $L_1$  ( $L_2$  is completely symmetrical). The differential matrix associated to system (4) around  $L_1$  is

$$Df_x(L_1) = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ -\Phi_{xx} & 0 & 0 & 0 & 2\Omega_p & 0 \\ 0 & -\Phi_{yy} & 0 & -2\Omega_p & 0 & 0 \\ 0 & 0 & -\Phi_{zz} & 0 & 0 & 0 \end{pmatrix}.$$

We obtain the stability character of  $L_1$  by studying the eigenvalues of this matrix. It has six eigenvalues:  $\lambda$ ,  $-\lambda$ ,  $\omega i$ ,  $-\omega i$ ,  $\nu i$ , and  $-\nu i$ , where  $\lambda$ ,  $\omega$ , and  $\nu$  are positive real numbers, i.e.  $L_1$  is a linearly unstable point. The corresponding eigenvectors (either real or complex) have zero  $x_3$  and  $x_6$  components in the case of  $\pm\lambda$  and  $\pm\omega i$ , and zero  $x_1$ ,  $x_2$ ,  $x_4$ , and  $x_5$  components in the case of  $\pm\nu i$ . Since the purely imaginary eigenvalues denote oscillation and the real eigenvalues are associated to a saddle behaviour, i.e. exponential behaviour with opposite exponents, the linearised flow around  $L_1$  in the rotating frame of coordinates is characterised by a superposition of an harmonic motion in the  $xy$  plane (equatorial plane), a saddle behaviour in this plane, and an oscillation in the  $z$ -direction.

Because of this unstable character, the equilibrium points  $L_1$  and  $L_2$  set the limits of the stability region around the stable point  $L_3$ , and thus, set an upper limit to the extension of the bar. This, however, is only an upper limit, and in most cases the bar is much shorter than that limit (Athanasoula 1992; Patsis et al. 2003).

The central stable point,  $L_3$ , is surrounded by the classic  $x_1$  family of periodic orbits that is responsible for maintaining the bar structure, while the stable points  $L_4$  and  $L_5$  are surrounded by families of periodic banana orbits (Contopoulos & Papayannopoulos 1980; Athanasoula et al. 1983; Contopoulos 1981; Skokos et al. 2002). All these orbits have been studied well for many models (Contopoulos 2002, and references therein), so we will not discuss them any further here.

As already mentioned, the general linear motion around  $L_1$  is obtained by the addition of an hyperbolic exponential part to the in-plane and out-of-plane oscillations mentioned. We note that this exponential part has both stable and unstable components with exponents of opposite sign. Following again Binney & Tremaine (1987) we write

$$\begin{cases} x(t) = X_1 e^{\lambda t} + X_2 e^{-\lambda t} + X_3 \cos(\omega t + \phi), \\ y(t) = X_4 e^{\lambda t} + X_5 e^{-\lambda t} + X_6 \sin(\omega t + \phi), \\ z(t) = X_7 \cos(\nu t + \psi). \end{cases} \quad (5)$$

Here  $X_i$ ,  $i = 1, \dots, 7$  and  $\phi$ ,  $\psi$  are values representing amplitudes and phases. Substituting these equations into the differential Eqs. (3), we find that  $X_i$  are related by

$$\begin{aligned} X_4 &= \frac{\Phi_{xx} + \lambda^2}{2\Omega_p \lambda} X_1 = -\frac{2\Omega_p \lambda}{\Phi_{yy} + \lambda^2} X_1 \\ X_5 &= -\frac{\Phi_{xx} + \lambda^2}{2\Omega_p \lambda} X_2 = \frac{2\Omega_p \lambda}{\Phi_{yy} + \lambda^2} X_2 \\ X_6 &= \frac{\Phi_{xx} - \omega^2}{2\Omega_p \omega} X_3 = \frac{2\Omega_p \omega}{\Phi_{yy} - \omega^2} X_3. \end{aligned} \quad (6)$$

We define  $A_1 = \frac{\Phi_{xx} + \lambda^2}{2\Omega_p \lambda}$  and  $A_2 = \frac{\Phi_{xx} - \omega^2}{2\Omega_p \omega}$ . Note that  $A_1$  depends only on  $\lambda$ , and  $A_2$  depends only on  $\omega$ . Moreover  $X_4 = A_1 X_1$ ,  $X_5 = -A_1 X_2$ , and  $X_6 = A_2 X_3$ , so that Eq. (5) becomes

$$\begin{cases} x(t) = X_1 e^{\lambda t} + X_2 e^{-\lambda t} + X_3 \cos(\omega t + \phi), \\ y(t) = A_1 X_1 e^{\lambda t} - A_1 X_2 e^{-\lambda t} + A_2 X_3 \sin(\omega t + \phi), \\ z(t) = X_7 \cos(\nu t + \psi). \end{cases} \quad (7)$$

In the sequel we will restrict ourselves to the motion in the equatorial plane (i.e.  $z = 0$ , or  $X_7 = 0$ ). This restriction is not critical in the dynamics we want to study, since the  $z$  component essentially only adds a vertical oscillation to the planar motion. For a study of the vertical orbital structure around the Lagrangian points in barred galaxies, see Ollé & Pfenniger (1998).

Using (7), a given state  $(x, y, \dot{x}, \dot{y})$  at  $t = 0$  is characterised by a choice of  $(X_1, X_2, X_3, \phi_1)$ , modulus  $2\pi$  in the phase  $\phi$ . When  $X_1 = X_2 = 0$ , the initial condition has the form

$$(x(0), y(0), \dot{x}(0), \dot{y}(0)) = (X_3 \cos \phi, A_2 X_3 \sin \phi, -X_3 \omega \sin \phi, A_2 X_3 \omega \cos \phi)$$

for selected values of  $X_3$  and  $\phi$ . When time evolves, we obtain from this initial condition the periodic motion,

$$\begin{aligned} \mathbf{x}_0(t) &= (x, y, \dot{x}, \dot{y}) \\ &= (X_3 \cos(\omega t + \phi), A_2 X_3 \sin(\omega t + \phi), \\ &\quad -X_3 \omega \sin(\omega t + \phi), A_2 X_3 \omega \cos(\omega t + \phi)), \end{aligned}$$

of period  $\tau$  which we refer to as a linear Lyapunov periodic orbit.

Consider now any small deviation  $\delta$  from the periodic orbit  $\mathbf{x}_0(t)$ ,  $\mathbf{x}(t) = \mathbf{x}_0(t) + \delta$ . Inserting this into the equations of motion (4), and linearising them with respect to  $\delta$ , we obtain the variational equations

$$\dot{\delta} = \frac{\partial \mathbf{f}}{\partial \mathbf{x}} \delta = \sum_{i=1}^6 \left( \frac{\partial f_k}{\partial x_i} \right)_{\mathbf{x}_0} \delta_i \quad (8)$$

as defined in Contopoulos (2002), where

$$A(t) = (\delta_{ik}) = \left( \frac{\partial f_k}{\partial x_i} \right) = \begin{pmatrix} \frac{\partial f_1}{\partial x_1} & \dots & \frac{\partial f_1}{\partial x_6} \\ \vdots & \ddots & \vdots \\ \frac{\partial f_6}{\partial x_1} & \dots & \frac{\partial f_6}{\partial x_6} \end{pmatrix}$$

is the variational matrix. The variational equations are linear equations in  $\delta_i$  ( $i = 1, \dots, 6$ ) with periodic coefficients of period  $\tau$ . These equations are used to study the stability character of a periodic orbit. If we integrate the variational Eq. (8) until time  $\tau$  with initial conditions  $\delta_{1k} = (1, 0, \dots, 0), \dots, \delta_{6k} = (0, \dots, 0, 1)$ , we obtain the monodromy matrix. The eigenvalues and eigenvectors of the monodromy matrix give us information on the stability character of a periodic orbit.

Returning to Eqs. (7), let us consider a similar initial condition but with  $X_1 = 0$  and  $X_2 \neq 0$ . According to (7) the exponential term proportional to  $X_2$  vanishes when time tends to infinity and the trajectory tends to the linear Lyapunov. All these types of orbits form what is called the stable manifold of the linear Lyapunov. In the same way, if the initial condition is chosen with  $X_1 \neq 0$ ,  $X_2 = 0$ , the exponential term proportional to  $X_1$  tends to zero when time tends to minus infinity, and all such orbits form what is called the unstable manifold of the linear Lyapunov. Roughly speaking, orbits in the stable/unstable manifold are asymptotic orbits, which either tend toward the linear Lyapunov orbit or, alternatively, depart from it.

### 3.2. General case

All the definitions given in Sect. 3.1 for the linear case are easy to extend to the general case when the full equations of motion are considered. From  $L_1$  emanates a family of planar periodic orbits known as Lyapunov orbits (Lyapunov 1949) that can be parametrised locally by the energy. The full set of Lyapunov orbits form a family of periodic orbits, which we denote by  $\Gamma$ .

Let us use  $\Psi(t, X)$  to denote the orbit that has the state  $X = (x, y, \dot{x}, \dot{y})$  at  $t = 0$ . For a given  $\gamma \in \Gamma$ , i.e. for a given Lyapunov orbit, we define the stable manifold of  $\gamma$  as

$$W_\gamma^s = \left\{ X \in \mathbb{R}^4 / \lim_{t \rightarrow \infty} \|\Psi(t, X) - \gamma\| = 0 \right\},$$

where the double bars denote Euclidean distance. Thus, simply speaking, the stable manifold is the set of orbits that tend to the Lyapunov orbit as time tends to infinity. In the same way, the unstable manifold of  $\gamma$  is defined as

$$W_\gamma^u = \left\{ X \in \mathbb{R}^4 / \lim_{t \rightarrow -\infty} \|\Psi(t, X) - \gamma\| = 0 \right\}.$$

Simply speaking again, the unstable manifold is the set of orbits that tend to the Lyapunov orbit as time tends to minus infinity, or, equivalently, the set of orbits departing from the Lyapunov. The orbits of  $W_\gamma^s$  and  $W_\gamma^u$  have the same energy as the Lyapunov orbit  $\gamma$ , so they belong to the same energetic three-dimensional manifold where  $\gamma$  is contained. Moreover,  $W_\gamma^s$  and  $W_\gamma^u$  are two-dimensional tubes that, similar to the former linear example, can be parametrised by the angle  $\phi$  and the time  $t$  (see Fig. 3 and Masdemont 2005). We also note that both  $W_\gamma^s$  and  $W_\gamma^u$  have two branches meeting at the Lyapunov orbit in a way similar to a saddle point (see Fig. 4).

## 4. The role of invariant manifolds

Invariant manifolds of Lyapunov orbits play a crucial role in the transport of material between different parts of the configuration space. Lyapunov orbits are located near the ends of the bar of the galaxy, between the two banana-like zero velocity curves that surround the forbidden regions of motion for the considered energy and, loosely speaking, can be considered as gates between the interior and exterior regions they delimit (see Fig. 2b).

Let us consider for instance the stable manifold,  $W_\gamma^s$ , inside the interior region and integrated backwards in time till it crosses the plane,  $S$ , defined by  $x = 0$ . The plot of this intersection in the  $y\dot{y}$  plane is a closed curve  $W_{\gamma,1}^s$  (Fig. 5). Each point in the plane  $y\dot{y}$  of  $S$  corresponds to a given trajectory, since  $x = 0$  by the definition of  $S$  and  $\dot{x}$  can be obtained from the condition that the energy of the state  $(x, y, \dot{x}, \dot{y})$  be the selected one (the sign of  $\dot{x}$  is determined by the sense of crossing). Here,  $W_{\gamma,1}^s$  is a

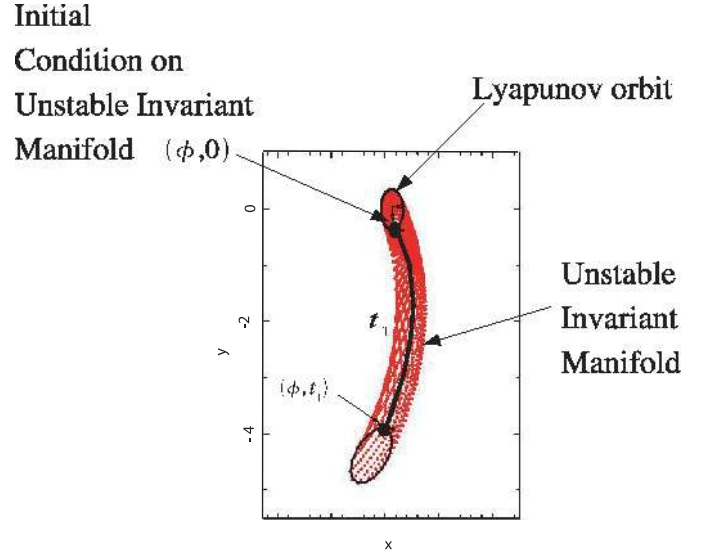


Fig. 3. Schematic view of an unstable invariant manifold.

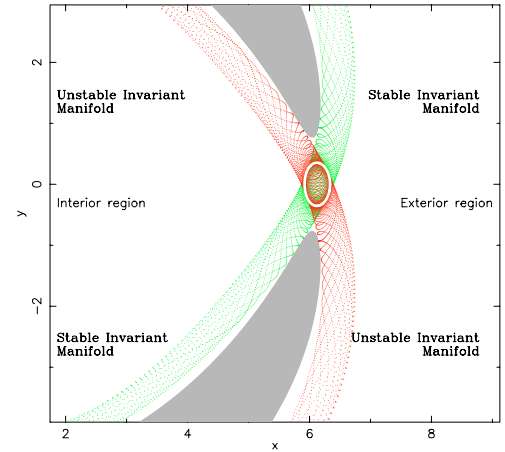
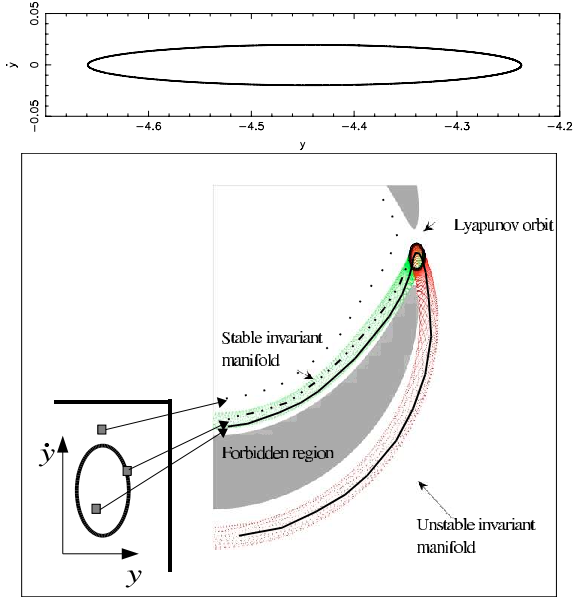


Fig. 4. Invariant manifolds. In the centre of the plot, a white solid line shows the Lyapunov orbit around  $L_1$ . The two branches of the unstable invariant manifold are indicated by red or dotted lines, the two branches of the stable invariant manifold by green or dotted lines, and grey by the forbidden region surrounded by the zero velocity curves.

closed curve that splits the  $y\dot{y}$  plane in  $S$  into three different regions: the curve itself, the points outside the curve and the points inside the curve. By definition, the points on the curve  $W_{\gamma,1}^s$  belong to  $W_\gamma^s$  and are therefore orbits that tend asymptotically to the Lyapunov orbit. The points outside  $W_{\gamma,1}^s$  are states whose trajectories remain inside the interior region of the galaxy delimited by the zero velocity curves, while the points inside  $W_{\gamma,1}^s$  correspond to orbits that transit from the inner region to the outer one. These last orbits, the transit orbits, are confined inside the tube  $W_\gamma^u$ ; and, as we argue in the following sections, they are the orbits that form part of the rings of the galaxy for the considered energy value. In this way, the manifolds of the Lyapunov orbits drive the motion of the stars from the inner to the outer regions. For more details of this mechanism, although in another context, see Gómez et al. (2004) and references therein. Since these invariant manifolds are not limited to the vicinity of the unstable manifold, but extend well beyond it, they can be responsible for global structures, so we argue in this paper that they, together with the orbits driven by them, could be responsible for the ring structures in barred galaxies.



**Fig. 5.** Transport of material. *Top panel:* the curve  $W_{\gamma,1}^s$  in the  $yj$  plane. *Bottom panel:* schematic view of the dynamics in the region around the  $L_1$  Lagrangian point. Grey shows the region delimited by the zero velocity curves. The dotted line gives a non-transit orbit, that is confined to the inner region and whose intersection with the  $yj$  plane is located outside the  $W_{\gamma,1}^s$  curve; the solid line represents a transit orbit, that in the  $yj$  plane is located inside the  $W_{\gamma,1}^s$  curve; and the dot-dot-dash line, represents an asymptotic orbit of the stable invariant manifold. In the inset, we show a schematic view of the curve  $W_{\gamma,1}^s$  in the  $yj$  plane with the location of the three orbits.

## 5. Application to a ringed barred galaxy model

In this section we explicitly calculate the invariant manifolds in a barred galaxy model. The reader who has only skimmed the previous sections should keep in mind that the invariant manifolds are just ensembles of orbits linked to the  $L_1$  and  $L_2$  Lyapunov orbits.

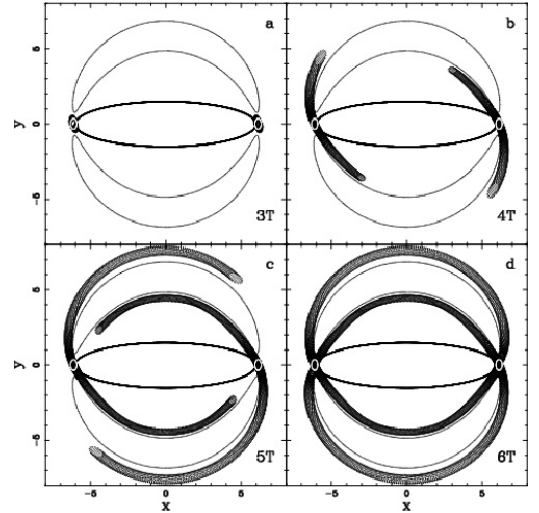
Since invariant manifolds can only be calculated numerically, we first adopt a simple, yet realistic, barred galaxy model (Pfenniger 1984). Our model bar consists of an axisymmetric component, modelled by a Miyamoto-Nagai potential (Miyamoto & Nagai 1975)

$$\Phi_d = -\frac{GM_d}{\sqrt{x^2 + y^2 + (A + \sqrt{B^2 + z^2})^2}},$$

and a Ferrers bar (Ferrers 1877)

$$\rho = \begin{cases} \rho_c(1 - m^2)^n & m \leq 1 \\ 0 & m \geq 1, \end{cases}$$

where  $m^2 = x^2/a^2 + y^2/b^2 + z^2/c^2$  and  $\rho_c = \frac{105}{32\pi} \frac{GM_b}{abc}$  is the central density. We take  $A = 3$ ,  $B = 1$ ,  $n = 2$ ,  $a = 6$ ,  $b = 1.5$ ,  $c = 0.6$ ,  $GM_d = 0.9$ , and  $GM_b = 0.1$ . The pattern speed is taken so as to place corotation at the end of the bar. The length unit is the kpc, the total mass  $G(M_d + M_b)$  is set to be equal to 1, and the time unit is  $2 \times 10^6$  yr. With these units, the value of the potential energy at  $L_3$ ,  $L_1(L_2)$ , and  $L_4(L_5)$  is  $-0.31503$ ,  $-0.19789$ , and  $-0.19456$ , respectively. As in the previous sections, we limit ourselves to the  $z = 0$  plane, since the instability we are interested in is contained in this plane and the  $z$  component only adds vertical oscillations, which are unimportant in this context.



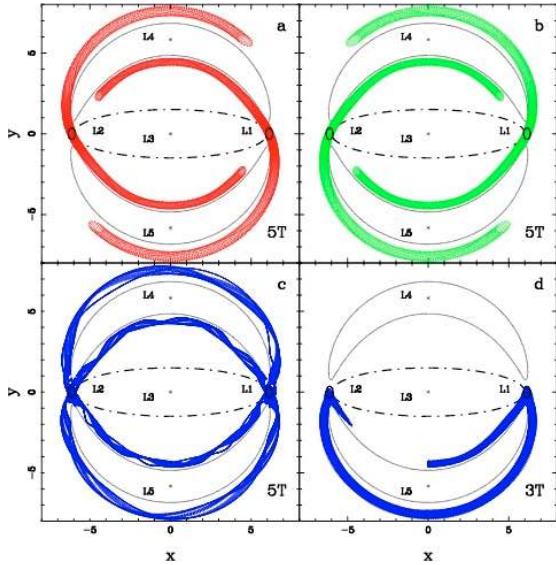
**Fig. 6.** Unstable invariant manifolds for four different times given in the lower right corner of each panel.  $T$  is the period of the bar rotation.

In this model, we compute the invariant manifolds numerically using an approach similar to the one of Gómez et al. (1993), i.e. we use a linear approach to obtain the initial conditions of the orbits that constitute the invariant manifolds<sup>3</sup>. As previously mentioned, the linear motion around  $L_1$  and  $L_2$  consists of an hyperbolic exponential part in the in-plane and of an out-of-plane oscillation. The exponential part has both stable and unstable components, which correspond to the stable and unstable eigenvectors of the monodromy matrix of the Lyapunov orbits around  $L_1$  and  $L_2$ . Therefore, we obtain the initial conditions for the stable and unstable invariant manifolds by shifting positions and velocities of the Lyapunov orbit by a small amount ( $10^{-5}$ ) in the direction given by the stable and unstable eigenvectors, respectively. The global extension of the manifold is then obtained by integrating numerically with a Runge-Kutta-Fehlberg of orders 7–8.

Figure 6 shows the evolution of the length of the unstable invariant manifolds with time. Here,  $T$  is the rotation period of the bar. Since the invariant manifolds consist of a set of orbits, their time evolution is that of an orbit, so Fig. 6 shows the invariant manifolds integrated up to 3, 4, 5, and 6 bar rotations, respectively, in order to check their evolution with time. Note that they leave the vicinity of the Lyapunov orbits and stay close to the zero velocity curves. When they get to the  $x = 0$  axis, they bend and head towards the opposite side of the bar, completing the ring structure. Thus our calculations show that the apocentre of the orbits is near the  $x = 0$  axis. This is indeed where we would intuitively place them since the periodic orbits outside corotation are elongated perpendicular to the bar and since the zero velocity curves also reach their maximum distance from the centre at  $x = 0$ . Also note that, although the Lyapunov orbit is unstable, the orbits in the manifold stay in its close vicinity for at least three bar rotations. By four bar rotation periods, arm stubs are formed, while at five the arms have a winding of about  $3\pi/4$ . By six bar rotation periods, the manifold has reached the opposite end of the bar. In other words, the growth is by no means linear, since growth is slow in the beginning and faster as time advances. Indeed, it takes more than three orbital periods to leave the vicinity of the Lyapunov orbit, while

<sup>3</sup> Higher order approximations could be obtained using similar techniques to Masdemont (2005), but with much more difficulty and regularity problems.





**Fig. 7.** Invariant manifolds and perturbations in the plane barred potential described in Sect. 5 and for  $E_J = -0.1977$ . In all four panels, the bar is outlined by a black dot-dashed line, while the positions of the five Lagrangian points are marked with a star, the black solid lines are the two planar unstable Lyapunov orbits, and the dark grey lines correspond to the zero velocity curves of this particular value of  $E_J$ . **a)** Invariant unstable manifolds coming from Lyapunov orbits around the Lagrangian points  $L_1$  and  $L_2$ . **b)** Invariant stable manifolds coming from the same Lyapunov orbits. **c)** Orbits starting from initial conditions near the Lyapunov orbit. **d)** Orbits starting from initial conditions inside the  $W_{\gamma,1}^s$  curve of the interior branch of the stable invariant manifold.

the first half of the winding is developed in about  $4.5T$  and the full winding is barely at  $6T$ . After  $6T$ , the orbits on the manifold are in the vicinity of the Lyapunov orbit. These orbits do not leave this region in a new direction, but they follow the direction given by the invariant manifolds already formed.

Relevant information for a value of the Jacobi constant ( $E_J = -0.1977$ ) close to the one of the  $L_1(L_2)$  equilibrium point is given in Fig. 7. In all four panels, we plot the outline of the bar, the position of the five Lagrangian points, and the zero velocity curves of this particular value of  $E_J$ . This means that any orbit with this energy starting outside these curves cannot enter within them. We have also plotted the two plane unstable Lyapunov periodic orbits around the unstable Lagrangian points  $L_1$  and  $L_2$ . In Fig. 7a we show the unstable invariant manifolds for this value of  $E_J$ . Each of these manifolds is composed of two branches, an inner branch, lying in the interior, and an outer branch, lying in the exterior. Each of these branches can be thought of as an ensemble of orbits moving away from the Lyapunov orbit. Both branches lie near the zero velocity curves and, as shown in Fig. 6, their length increases with time until they reach the opposite side of the bar from which they emanated. The inner branch, when complete, outlines the loci of the inner rings in barred galaxies well. The outer branch, when complete, has a shape similar to that of the  $R_1$  outer rings. From a dynamical point of view, these branches are seen in the phase space like tubes that drive the dynamics. In Fig. 7b we plot the stable invariant manifolds for the same value of  $E_J$ . Again, there are two branches, an inner and an outer one. Note also that the space loci of the stable and unstable manifolds are almost identical. There is, however, an important difference in that, for the stable manifold, the orbits filling these tubes will go *towards* the Lyapunov orbits, while they will go away from it for the unstable manifold.

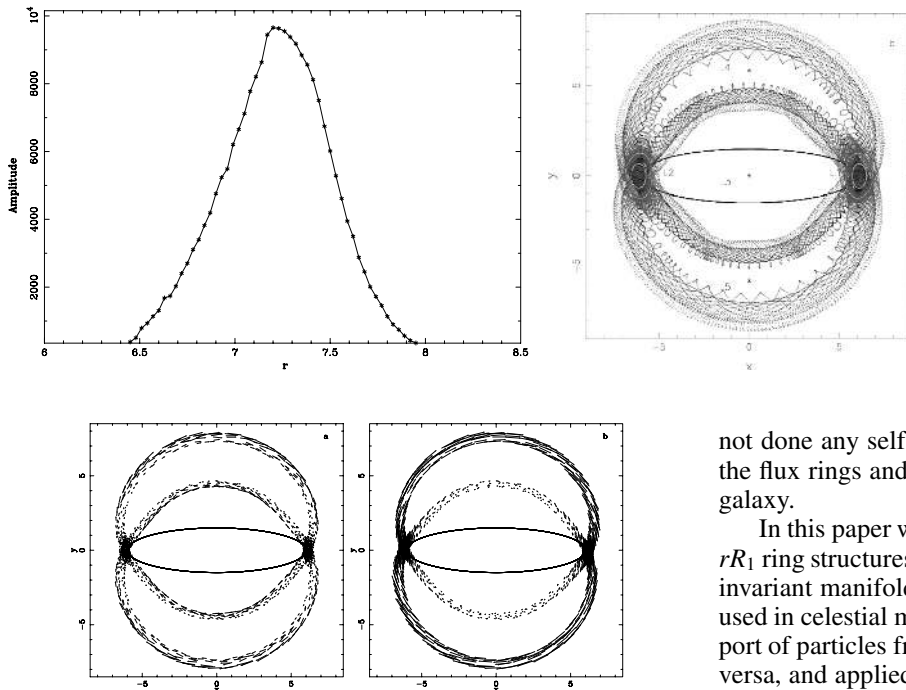
In Fig. 7c we show four sets of orbits starting from the vicinity of the Lyapunov orbits and following the four tubes that constitute the two branches of the unstable manifolds. This figure illustrates how the invariant manifolds drive the dynamics, since we can see that initial conditions in the vicinity of the Lyapunov orbit will follow a trajectory close to the unstable invariant manifolds, due to its unstable character. In Fig. 7d, we represent the trajectories with initial conditions inside the  $W_{\gamma,1}^s$  curve of the interior branch of the stable invariant manifold, as explained in the previous section. This set of orbits follows the stable branch they emanate from, then it approaches the Lyapunov orbit and, finally, it leaves the bar region following the exterior branch of the unstable invariant manifold.

## 6. Discussion

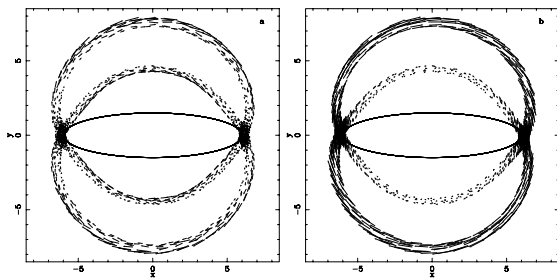
The time during which the orbit stays around the Lyapunov orbit before outlining the outer or the inner ring depends on the Lyapunov exponent (Lyapunov 1949) of the Lyapunov orbit, and is found to be an increasing function of  $E_J$ . Thus, those orbits initially near the Lyapunov orbit with lower values of  $E_J$  stay around the unstable point less. This time increases gradually with the value of  $E_J$ , until reaching the value at which the Lyapunov orbit becomes stable, after which all orbits starting in the vicinity of the Lyapunov orbit stay around it. Thus the orbits outlining the rings are mainly low energy.

Repeating the computations in Sect. 5 for different values of the Jacobi constant,  $E_J = -0.1973$  and  $E_J = -0.1960$ , we find that the locus of the invariant manifolds (and therefore of the orbits associated to it) is roughly independent of the value of  $E_J$ . This is illustrated in Fig. 8b for these two different values of  $E_J$ . As the energy increases, the size of the Lyapunov orbit also increases, so that the outline of the invariant manifold becomes thicker. However, as we consider more orbits and more energy levels, we find that the density of the central part of the outlined area increases considerably, so that in practice the thickness of the ring will be considerably smaller than that of the higher energy manifolds. This is illustrated in Fig. 8a, where we plot the density profile on a cut across the ring. To obtain this figure we calculated the unstable invariant manifolds and the trajectories inside them for all energy levels at which the Lyapunov orbit is unstable, i.e. all energies for which the mechanism we propose can be applied. This covers the range of values from  $E_J = -0.19789$ , corresponding to the energy of the unstable equilibrium point, to the value  $E_J = -0.1674$ , where the Lyapunov family becomes stable. The contribution of each energy is weighted by a distribution function that is simply assumed here to be an exponentially decreasing function of the energy, i.e. with the form  $\exp\left(-\frac{|E_J|}{2\sigma^2}\right)$ , with  $\sigma = 30 \text{ km s}^{-1}$  as a velocity dispersion characteristic of disc stars in the solar neighbourhood (Binney & Merrifield 1998). The exact shape of the distribution function is of little importance, but it has to be a decreasing function of the energies in the rotating frame of reference. The profile shown in Fig. 8a is very similar to those found for the old and intermediate age stellar population in rings and spirals (Schweizer 1976).

These ring structures will corotate with the bar. During bar evolution, however, the bar pattern speed will decrease with time due to an angular momentum exchange (Tremaine & Weinberg 1984; Weinberg 1985; Little & Carlberg 1991a,b; Hernquist & Weinberg 1992; Athanassoula 1996; Debattista & Sellwod 2000; Athanassoula 2003; O'Neill & Dubinsky 2003; Valenzuela & Klypin 2003). This means that  $L_1$ ,  $L_2$ ,  $L_4$ , and  $L_5$  will move



**Fig. 8.** a) Density profile on a cut across the ring. b) Two unstable invariant manifolds for different values of  $E_J$ . Note how similar the regions they delineate are.



**Fig. 9.** Velocity field along the invariant manifolds. a) In the rotating frame. b) In the nonrotating frame.

outwards and thus the reservoir of fresh material for the rings would be continuously replenished. This may also be linked to the plumes that surround the ring structures of these galaxies (Buta 1984).

The model we propose here forms ring structures that are not necessarily associated with the outer Lindblad resonance. In fact, in the model shown in Fig. 7, the maximum radius of the inner branches of the invariants reaches values of about 4.25 radial units, while corotation radius is placed at the end of the bar at 6 radial units. The outer branches extend up to 7.5 radial units, while the Outer Lindblad Resonance of this model is placed at about 8.7 radial units. Note that the ratio of the maximum radius of the outer and inner branches gives a value of 1.76, which is in agreement with the values of this ratio measured for ringed galaxies (Athanasoula et al. 1982; Buta 1995).

In the rotating frame, the velocities along the invariants reach a maximum at the point of maximum radius and then decrease toward the region of the Lyapunov orbits (see Fig. 9a). In the non-rotating frame the velocities along the invariants are an order of magnitude higher in the outer branches than in the inner ones (see Fig. 9b). A clear prediction from our model is that the perturbations in the velocity fields produced by the rings should be higher around the outer ring than around the inner ring. Not much data is available on the velocity fields along the rings of  $R_1$  galaxies. The best data correspond to the galaxy NGC 1433 (Buta 1986b), which is of type  $R_1'$ . For this case, we can tentatively say that there is general agreement with the oscillations in the velocities measured in the rotating frame for the inner ring.

Although these rings are not density waves, they do not have the shortcoming of material arms, since they do not wind up with time. A more appropriate name would be flux rings, since they are outlined by the trajectories of particles. The material of such rings would create a potential well. Other stars and gas in the galaxy would feel this potential and, while traversing the ring, they would stay longer at the potential minima, thus adding a density wave component to the ring. Thus, although we have

not done any self-consistent simulations, we can speculate that the flux rings and the density wave rings would coincide in the galaxy.

In this paper we have presented a new theory of the origin of  $rR_1$  ring structures based on orbital dynamics. We introduced the invariant manifolds of a periodic orbit, which have so far been used in celestial mechanics. We explained their role in the transport of particles from the interior region to the exterior, and vice versa, and applied it to a realistic barred galaxy model. Finally, we compared and discussed our results and the characteristics of the rings obtained with observational data. We can conclude that *the  $rR_1$  ring structure can be interpreted as a bundle composed of all the invariant manifolds for all the possible energies, as well as the orbits driven by them.*

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