

ON THE PERMANENTS OF SOME  
TRIDIAGONAL MATRICES WITH APPLICATIONS  
TO THE FIBONACCI AND LUCAS NUMBERS

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ABSTRACT. In this paper, we derive some interesting relationships between the permanents of some tridiagonal matrices with applications to the negatively and positively subscripted usual Fibonacci and Lucas numbers. Also, we give a relation involving the generalized order- $k$  Lucas number and permanent of a matrix.

**1. Introduction.** The Fibonacci sequence,  $\{F_n\}$ , is defined by the recurrence relation, for  $n \geq 1$

$$(1.1) \quad F_{n+1} = F_n + F_{n-1}$$

where  $F_0 = 0$ ,  $F_1 = 1$ . The Lucas sequence,  $\{L_n\}$ , is defined by the recurrence relation, for  $n \geq 1$

$$(1.2) \quad L_{n+1} = L_n + L_{n-1}$$

where  $L_0 = 2$ ,  $L_1 = 1$ .

Rules (1.1) and (1.2) can be used to extend the sequences backward, respectively, thus

$$\begin{aligned} F_{-1} &= F_1 - F_0, & F_{-2} &= F_0 - F_{-1} \\ L_{-1} &= L_1 - L_0, & L_{-2} &= L_0 - L_{-1}, \dots, \end{aligned}$$

and so on. Clearly,

$$(1.3) \quad F_{-n} = F_{-n+2} - F_{-n+1} = (-1)^{n+1} F_n,$$

$$(1.4) \quad L_{-n} = L_{-n+2} - L_{-n+1} = (-1)^n L_n.$$

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In [2] Er defined  $k$  sequences of the generalized *order- $k$*  Fibonacci numbers as shown:

$$(1.5) \quad g_n^i = \sum_{j=1}^k g_{n-j}^i, \quad \text{for } n > 0 \quad \text{and} \quad 1 < i \leq k,$$

with boundary conditions for  $1 - k \leq n \leq 0$ ,

$$g_n^i = \begin{cases} 1 & \text{if } n = 1 - i, \\ 0 & \text{otherwise,} \end{cases}$$

where  $g_n^i$  is the  $n$ th term of the  $i$ th sequence. For example, if  $k = 2$ , then  $\{g_n^2\}$  is the usual Fibonacci sequence,  $\{F_n\}$ , and, if  $k = 4$ , then the fourth sequence of the generalized *order-4* Fibonacci number is

$$1, 1, 2, 4, 8, 15, 29, 56, 108, 208, 401, 773, 1490, \dots$$

In [6] the authors defined  $k$  sequences of the generalized *order- $k$*  Lucas numbers as shown:

$$l_n^i = \sum_{j=1}^k l_{n-j}^i, \quad \text{for } n > 0 \quad \text{and} \quad 1 < i \leq k,$$

with boundary conditions for  $1 - k \leq n \leq 0$ ,

$$l_n^i = \begin{cases} -1 & \text{if } n = 1 - i, \\ 2 & \text{if } n = 2 - i, \\ 0 & \text{otherwise,} \end{cases}$$

where  $l_n^i$  is the  $n$ th term of the  $i$ th sequence. For example, if  $k = 2$ , then  $\{l_n^2\}$  is the usual Lucas sequence,  $\{L_n\}$ , and, if  $k = 4$ , then the fourth sequence of the generalized *order-4* Lucas numbers is

$$1, 3, 4, 8, 16, 31, 59, 114, 220, 424, 817, 1575, 30636, \dots$$

In [3], we gave the generalized Binet formula, the combinatorial representations and some relations involving the generalized *order- $k$*  Fibonacci and Lucas numbers. In particular, we showed that, for  $k \geq 2$

$$(1.6) \quad l_n^k = g_n^k + 2g_{n-1}^k$$

where  $l_n^k$  and  $g_n^k$  are the generalized *order-k* Lucas and Fibonacci numbers, respectively, for  $i = k$ . The above result is a well-known relation that, for  $k = 2$ ,

$$L_n = F_n + 2F_{n-1} \quad (\text{see [7, page 176]}).$$

The *permanent* of an  $n$ -square matrix  $A = (a_{ij})$  is defined by

$$\text{per } A = \sum_{\sigma \in S_n} \prod_{i=1}^n a_{i\sigma(i)}$$

where the summation extends over all permutations  $\sigma$  of the symmetric group  $S_n$ .

The permanent of a matrix is analogous to the determinant, where all of the signs used in the Laplace expansion of minors are positive.

A matrix is said to be a  $(0, 1)$ -matrix if each of its entries is either 0 or 1.

In [5], Minc constructed the  $n \times n$   $(0, 1)$ -matrix  $F(n, k)$  as follows:

$$(1.7) \quad F(n, k) = \begin{bmatrix} 1 & 1 & \dots & 1 & 0 & 0 & 0 & \dots & 0 \\ 1 & 1 & \dots & 1 & 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & \dots & 1 & 1 & 1 & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & \dots & \dots & 0 & 1 & 1 & \dots & 1 & 0 \\ 0 & \dots & \dots & 0 & 0 & 1 & \dots & 1 & 1 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & \dots & \dots & 0 & \dots & 0 & 1 & 1 & 1 \\ 0 & \dots & \dots & 0 & \dots & 0 & 0 & 1 & 1 \end{bmatrix}$$

where,  $k \leq n + 1$ ,  $F(n, k)$  denote the  $n$ -square  $(0, 1)$ -matrix with 1 in the  $(i, j)$  position for  $i - 1 \leq j \leq i + k - 1$  and 0 otherwise. Also, he showed that

$$(1.8) \quad \text{per } F(n, k) = g_{n+1}^k$$

where  $g_n^k$  is the  $n$ th generalized order- $k$  Fibonacci number, for  $i = k$ .





Hence, by linearity of the permanent,  $\text{per } C = \text{per } B$ .  $\square$

Now we give an application of the above result. We introduce an  $n$ -square  $(-1, 0, 1)$ -tridiagonal Toeplitz matrix whose permanent and principal subpermanents are Fibonacci numbers of prescribed order.

Let  $A_n$  denote an  $n \times n$   $(-1, 0, 1)$ -tridiagonal Toeplitz matrix as follows: for  $n \geq 3$

$$(2.2) \quad A_n = \begin{bmatrix} 1 & -1 & & & & 0 \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ 0 & & & & -1 & 1 \end{bmatrix}$$

**Corollary 1.** *Let  $A_n$  be the  $n \times n$   $(-1, 0, 1)$ -tridiagonal Toeplitz matrix as in (2.2). Then, for  $n \geq 3$*

$$\text{per } A_n = F_{n+1}$$

where  $F_n$  is the  $n$ th Fibonacci number.

*Proof.* If  $n = 3$ , then we have

$$A_3 = \begin{bmatrix} 1 & -1 & 0 \\ -1 & 1 & -1 \\ 0 & -1 & 1 \end{bmatrix}$$

and hence  $\text{per } A_3 = 3 = F_4$ .

Let  $A_n^p$  be the  $p$ th contraction of  $A_n$ ,  $1 \leq p \leq n - 2$ . From the definition of  $A_n$ , the matrix  $A_n$  can be contracted on column 1 so that

$$A_n^1 = \begin{bmatrix} 2 & -1 & & & & \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ & & & & -1 & 1 \end{bmatrix}.$$

Since the matrix  $A_n^1$  can be contracted on column 1 and  $F_4 = 3, F_3 = 2,$

$$A_n^2 = \begin{bmatrix} 3 & -2 & & & & \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ & & & & -1 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} F_4 & -F_3 & & & & \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ & & & & -1 & 1 \end{bmatrix}.$$

Furthermore, the matrix  $A_n^2$  can be contracted on column 1 so that

$$A_n^3 = \begin{bmatrix} 5 & -3 & & & & \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ & & & & -1 & 1 \end{bmatrix}$$

where  $F_5 = 5, F_4 = 3.$  Continuing this process, we obtain

$$A_n^r = \begin{bmatrix} F_{r+2} & -F_{r+1} & & & & \\ -1 & 1 & -1 & & & \\ & -1 & 1 & \ddots & & \\ & & \ddots & \ddots & -1 & \\ & & & -1 & 1 & -1 \\ & & & & -1 & 1 \end{bmatrix}$$

for  $3 \leq r \leq n - 4.$  Hence,

$$A_n^{n-3} = \begin{bmatrix} F_{n-1} & -F_{n-2} & 0 \\ -1 & 1 & -1 \\ 0 & -1 & 1 \end{bmatrix}$$





Since the matrix  $V_n^1$  can be contracted on column 1 and  $L_{-3} = -4$ ,  $L_{-2} = 3$ ,

$$\begin{aligned}
 V_n^2 &= \begin{bmatrix} -4 & 3 & 0 & & & & \\ 1 & -1 & 1 & \ddots & & & \\ 0 & 1 & -1 & \ddots & 0 & & \\ & \ddots & \ddots & \ddots & 1 & 0 & \\ & & 0 & 1 & -1 & 1 & \\ & & & 0 & 1 & -1 & \end{bmatrix} \\
 &= \begin{bmatrix} L_{-3} & L_{-2} & 0 & & & & \\ 1 & -1 & 1 & 0 & & & \\ 0 & 1 & -1 & 1 & \ddots & & \\ & \ddots & \ddots & \ddots & \ddots & 0 & \\ & & 0 & 1 & -1 & 1 & \\ & & & 0 & 1 & -1 & \end{bmatrix}.
 \end{aligned}$$

Furthermore, the matrix  $V_n^2$  can be contracted on column 1 and  $L_{-4} = 7$  so that

$$\begin{aligned}
 V_n^3 &= \begin{bmatrix} 7 & -4 & 0 & & & & \\ 1 & -1 & 1 & \ddots & & & \\ 0 & 1 & -1 & \ddots & 0 & & \\ & \ddots & \ddots & \ddots & 1 & 0 & \\ & & 0 & 1 & -1 & 1 & \\ & & & 0 & 1 & -1 & \end{bmatrix} \\
 &= \begin{bmatrix} L_{-4} & L_{-3} & 0 & & & & \\ 1 & -1 & 1 & \ddots & & & \\ 0 & 1 & -1 & \ddots & 0 & & \\ & \ddots & \ddots & \ddots & 1 & 0 & \\ & & 0 & 1 & -1 & 1 & \\ & & & 0 & 1 & -1 & \end{bmatrix}.
 \end{aligned}$$







*Proof.* By the similar method in Lemma 3, the proof is readily seen.  $\square$

If we take  $c_{ij} = 1, i - 1 \leq j \leq i + 1$ , in the above Lemma, then  $\text{per } C_2(1) = -1, \text{per } C_2(2) = 2$  and  $\text{per } C_2(n) = -\text{per } C_2(n - 1) + \text{per } C_2(n - 2)$ , which is exactly the negatively subscripted Fibonacci recurrence.

Combining Lemmas 3 and 4, we give the following Theorem.

**Theorem 2.** *Let the sequences*

$$\{C_1(n), n = 1, 2, \dots\} \quad \text{and} \quad \{C_2(n), n = 1, 2, \dots\}$$

*be as in (3.1) and (3.2), respectively. Then, for  $n \geq 1$ ,*

$$(-1)^n \text{per } C_2(n) = \text{per } C_1(n).$$

*Proof.* We will use induction method to prove that  $(-1)^n \text{per } C_2(n) = \text{per } C_1(n)$ . If  $n = 1$ , then

$$(-1)^1 \text{per } C_2(1) = c_{1,1} = \text{per } C_1(1).$$

Suppose that the equation holds for  $n$ . So we have

$$(3.5) \quad (-1)^n \text{per } C_2(n) = \text{per } C_1(n).$$

Now we show that the equation is true for  $n + 1$ . From equation (3.4), we write that

$$\begin{aligned} & (-1)^{n+1} \text{per } C_2(n + 1) \\ &= (-1)^{n+1} (-c_{n+1,n+1} \text{per } C_2(n) + c_{n,n+1} c_{n+1,n} \text{per } C_2(n - 1)) \\ &= (-1)^n c_{n+1,n+1} \text{per } C_2(n) + (-1)^{n+1} c_{n,n+1} c_{n+1,n} \text{per } C_2(n - 1) \end{aligned}$$

and by using equation (3.5), we may write

$$(-1)^{n+1} \text{per } C_2(n + 1) = c_{n+1,n+1} \text{per } C_1(n) + c_{n,n+1} c_{n+1,n} \text{per } C_1(n - 1).$$



It is also seen that the matrix  $T(n)$  and  $F(n, 2)$  are elements of the sequences  $\{C_2(n)\}$  and  $\{C_1(n)\}$ , respectively. Using Theorem 2, we have that

$$\text{per } F(n, 2) = (-1)^n \text{per } T(n)$$

or

$$\text{per } T(n) = (-1)^n \text{per } F(n, 2).$$

From equation (3.7), we write

$$\text{per } T(n) = (-1)^n F_{n+1}.$$

Thus, we obtain

$$\text{per } T(n) = F_{-(n+1)}.$$

So the proof is complete.  $\square$

**4. On generalized order- $k$  Lucas numbers.** Let  $H(n+1, k) = [h_{ij}]$  be a  $(n+1) \times (n+1)$  matrix as the form:

$$(4.1) \quad H(n+1, k) = \begin{bmatrix} 1 & 2 & 0 & \dots & 0 \\ 1 & & & & \\ 0 & & F(n, k) & & \\ \vdots & & & & \\ 0 & & & & \end{bmatrix}$$

where  $F(n, k)$  is the  $n \times n$   $(0, 1)$ -matrix as in (1.7).

Now we give a relation between the generalized order- $k$  Lucas number,  $l_n^i$ , for  $i = k$  and permanent of the matrix  $H(n+1, k)$  by the following theorem.

**Theorem 3.** *Let the matrix  $H(n+1, k)$  be as in (4.1). Then*

$$\text{per } H(n+1, k) = l_{n+1}^k$$

where  $l_n^k$  is the  $n$ th element of  $k$ th sequence of the generalized order- $k$  Lucas numbers.

*Proof.* Using the Laplace expansion of the permanent for the matrix  $H(n+1, k)$  with respect to row 1, we have

$$\begin{aligned} & \text{per } H(n+1, k) \\ &= \text{per } F(n, k) + 2\text{per} \begin{bmatrix} 1 & 1 & \dots & 1 & 0 & \dots & 0 \\ 0 & F(n-1, k) & & & & & \\ \vdots & & & & & & \\ 0 & & & & & & \end{bmatrix}. \end{aligned}$$

Let

$$C = \begin{bmatrix} 1 & 1 & \dots & 1 & 0 & \dots & 0 \\ 0 & F(n-1, k) & & & & & \\ \vdots & & & & & & \\ 0 & & & & & & \end{bmatrix};$$

then we may write

$$\text{per } C = \text{per } F(n-1, k).$$

Thus,

$$\text{per } H(n+1, k) = \text{per } F(n, k) + 2\text{per } F(n-1, k).$$

From equations (1.8) and (1.6), we obtain

$$\text{per } H(n+1, k) = g_{n+1}^k + 2g_n^k = l_{n+1}^k.$$

So the proof is complete.  $\square$

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