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## On the product of the conjugates outside the unit circle of an algebraic integer

b:

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The aim of this paper is to extend some results of A. Schinzel [4] and to make them more precise.

Let K be a number field of degree |K|, let

$$P(z) = p_0 z^n + p_1 z^{n-1} + \dots + p_n$$

be a polynomial over K with the content  $C(P) = (p_0, ..., p_n)$ , let G be the set of all isomorphic injections of K into the complex field C and, for  $\sigma \in G$ , let

$$\sigma P(z) = \sigma p_0 z^n + \ldots + \sigma p_n = \sigma p_0 \prod_{i=1}^n (z - a_{\sigma i}).$$

Generalizing an argument of Smyth [5] concerning the fundamental case K=Q, Schinzel proved that if K is totally real, P(z) is non-reciprocal,  $p_i$  are integers,  $p_0=1$  and  $p_n\neq 0$ , then

(1) 
$$\max_{a \in G} \prod_{|a_{\alpha j}| > 1} |a_{\alpha j}| \geqslant \theta_0,$$

where  $\theta_0$  is the real root of the equation  $\theta^3 - \theta - 1 = 0$ . We extend this in the following manner.

THEOREM 1. Let K be a totally complex quadratic extension of a totally real field and  $\sqrt{-3} \notin K$ .

If  $P(z) \in K[z]$  is a monic polynomial with integer coefficients,  $P(0) \neq 0$ ,  $z^n \overline{P}(z^{-1}) \neq \text{const } P(z)$ , then (1) holds.

If  $\sqrt{-3} \in K$  (1) needs not be satisfied, but  $\Lambda \geqslant |\theta_1|$  where  $\theta_1$  is that root of the equation

$$\theta^2 + \frac{-1 + \sqrt{-3}}{2} \theta - 1 = 0$$

which is greater in absolute value.

For K being a totally complex quadratic extension of a totally real field Schinzel considered the product

$$\Pi = \prod_{\sigma \in G} \prod_{|\alpha_{\sigma j}| > 1} |\alpha_{\sigma j}|$$

and proved that if  $p_n \neq 0$  and  $|p_n| \neq |p_0|$ , then

$$(2) \hspace{1cm} H \geqslant \left(\frac{1+\sqrt{5}}{2}\right)^{|K|/2} \left(N_{K/Q} \frac{C(P)}{(p_0)}\right)^{\frac{1}{2} + \frac{1}{\sqrt{5}}} \left(N_{K/Q} \frac{(p_n)}{C(P)}\right)^{\frac{1}{2} - \frac{1}{\sqrt{5}}}$$

with the equality possible only if  $\sqrt{5} \epsilon K$ ,  $C(P) = (p_0)$ ,

$$\left|\frac{p_n}{p_0}\right| = \frac{\pm 1 + \sqrt{5}}{2}.$$

He made a conjecture about the possible form of the polynomial P for which the equality in (2) is attained.

We prove this conjecture as:

THEOREM 2. The equality in (2) is attained if and only if

(3) 
$$P(z) = p_0 \left( z^k + \epsilon_1 \frac{1 \pm \sqrt{5}}{2} \right) \prod_{i=2}^{n-k+1} (z - \epsilon_i)$$

where  $\varepsilon_i$  are roots of unity.

This theorem is an easy consequence of the following:

Theorem 3. Let K be a totally complex quadratic extension of a totally real field.

If  $P(z) \in K[z]$  is a monic polynomial with integer coefficients,  $P(0) = \varepsilon \frac{1 \pm \sqrt{5}}{2}$  and

$$|lpha_{\sigma j}| \geqslant 1$$
 if  $\sigma(\sqrt{5}) = \pm \sqrt{5}$   $(j = 1, 2, ..., n)$ ,  $|lpha_{\sigma j}| \leqslant 1$  if  $\sigma(\sqrt{5}) = \mp \sqrt{5}$   $(j = 1, 2, ..., n)$ ,

then (3) holds.

The proofs are based on several lemmata.

LEMMA 1. Let  $f(z) = \sum_{i} e_i z^i$  be a function holomorphic in an open disc containing  $|z| \le 1$  and satisfying  $|f(z)| \le 1$  for |z| = 1. Then

(4) 
$$|e_i| \leq 1 - |e_0|^2$$
  $(i = 1, 2, ...),$ 

(5) 
$$\left| e_{2i} + \frac{e_i^2 \bar{e}_0}{1 - |e_0|^2} \right| \leq 1 - |e_0|^2 - \frac{|e_i|^2}{1 - |e_0|^2} \quad (i = 1, 2, \ldots).$$

Proof (due to A. Schinzel). Inequality (4) is proved in [4]. In order to prove (5) let us observe, following Smyth [5], that for all  $\beta_0$ ,  $\beta_1$ 

$$\int\limits_{|z|=1} |f(z)|^2 |\beta_0 + \beta_1 z^i + z^{2i}|^2 dz \leqslant \int\limits_{|z|=1} |\beta_0 + \beta_1 z^i + z^{2i}| dz$$

thus

$$|e_0\beta_0|^2 + |e_0\beta_1 + e_1\beta_0|^2 + |e_0 + e_i\beta_1 + e_{2i}\beta_0|^2 \le 1 + |\beta_0|^2 + |\beta_1|^2$$

and setting

$$eta_0 = pe_0^{-1}; \quad eta_1 = rac{pe_i}{e_0(\delta - e_0)}$$

where  $|p| = |\delta| = 1$ , we obtain

$$\left| e_0 + \frac{e_i^2 p}{(\delta - e_0) e_0} + \frac{p e_{2i}}{e_0} \right| \leqslant |e_0|^{-1}.$$

Hence

(6) 
$$\left| e_0^2 p^{-1} + \frac{e_i^2}{\delta - e_0} + e_{2i} \right| \leq 1.$$

For an arbitrary  $\varepsilon$  with  $|\varepsilon| = 1$  the number

$$\delta = \frac{e_0 \varepsilon + 1}{\varepsilon + \tilde{e}_0}$$

has absolute value 1.

We set

$$\xi = e_{2i} + \frac{\overline{e}_0 e_i^2}{1 - |e_0|^2}, \quad p = \frac{|\xi|}{\xi} \frac{e_0^2}{|e_0|^2}, \quad \varepsilon = \frac{\xi}{|\xi|} \frac{|e_i|^2}{e_i^2}.$$

Then

$$\begin{aligned} e_0^2 p^{-1} + \frac{e_i^2}{\delta - e_0} + e_{2i} &= \frac{\xi}{|\xi|} |e_0|^2 + e_i^2 \frac{\overline{e}_0 + \varepsilon}{1 - |e_0|^2} + e_{2i} \\ &= \frac{\xi}{|\xi|} \Big( |e_0|^2 + \frac{|e_i|^2}{1 - |e_0|^2} + |\xi| \Big). \end{aligned}$$

Hence by (6)

$$\left|\frac{\xi}{|\xi|} \left( |\xi| + |e_0|^2 + \frac{|e_i|^2}{1 - |e_0|^2} \right) \right| \leqslant 1 \quad \text{ and } \quad |\xi| \leqslant 1 - |e_0|^2 - \frac{|e_i|^2}{1 - |e_0|^2},$$

which proves the lemma.

Lemma 2. Let  $P(z)=z^n+p_1z^{n-1}+\ldots+p_n, |p_n|=1, Q(z)=z^n\overline{P}(z^{-1})\neq \text{const } P(z).$  Then

$$\frac{\overline{P(0)}P(z)}{Q(z)} = \frac{f(z)}{g(z)},$$

where f and g are holomorphic in an open disc containing  $|z| \leq 1$ ,

$$f(0) = g(0) = \prod_{|\alpha_j|>1} |\alpha_j|^{-1}, \quad |f(z)| = |g(z)| = 1 \quad \text{for } |z| = 1,$$

and if the coefficients of P are real, the coefficients of f and g are also real. Proof (cf. [4], Lemma 2). We set

$$f(z) = \prod_{|\alpha_j| < 1} \frac{|\alpha_j|}{(-\alpha_j)} \frac{z - \alpha_j}{1 - \overline{\alpha}_j z}, \quad g(z) = \prod_{|\alpha_j| > 1} \frac{(-\alpha_j)}{|\alpha_j|} \frac{1 - \overline{\alpha}_j z}{z - \alpha_j},$$

and using the equalities

$$\prod_{i=1}^n (-a_i) = P(0), \quad |P(0)| = 1,$$

we easily verify all the assertions of the lemma. Note that if  $|a_j| = 1$ , the factor  $z - a_j$  occurs both in P(z) and in Q(z). Also if P(z) has real coefficients,

$$\overline{f(z)} = f(\overline{z}), \quad \overline{g(z)} = g(\overline{z}).$$

Lemma 3 (Kronecker [2]). If  $a \neq 0$  is an algebraic integer with  $|a| \leq 1$ , then a is a root of unity.

If a is a totally real algebraic integer with  $|a| \le 2$ , then  $a = 2\cos w\pi$ , where w is rational.

LEMMA 4. If  $\alpha \neq 0$  is an algebraic integer of a field K satisfying the assumptions of Theorem 1, then either  $\alpha$  is a root of unity or  $|\alpha| \geqslant \sqrt{2}$ .

Proof. By the first part of Lemma 3 we can assume that  $|\alpha| \ge 1$ . For all  $\sigma \in G$  we have

$$\sigma(|\alpha|^2) = \sigma \alpha \cdot \sigma \overline{\alpha} = \sigma \alpha \overline{\sigma \alpha} = |\sigma \alpha|^2;$$

thus  $|a|^2$  is totally real and totally positive, |a| is totally real and |a| = |a|. On the other hand, by the second part of Lemma 3.

$$\overline{||a||} \geqslant 2\cos\frac{2\pi}{4} = \sqrt{2}.$$

Proof of Theorem 1. Let

$$\Lambda = \max_{\sigma \in G} \prod_{|\alpha_{\sigma j}| > 1} |\alpha_{\sigma j}|.$$

Since  $\sqrt{2} > \theta_0$ , we can assume that  $\Lambda \leq \sqrt{2}$ . It follows that  $|\overline{P(0)}| = 1$  since otherwise by Lemma 4

$$A \geqslant |\overline{P(0)}| \geqslant \sqrt{2}$$
.

The assumption  $P(z)/Q(z) \neq \text{const}$  implies that

(7) 
$$R(z) = \frac{\overline{P(0)}P(z)}{Q(z)} = 1 + a_k z^k + a_l z^l + \dots,$$

where on the right-hand side we have infinitely many non-zero coefficients,  $a_k$ ,  $a_l$  being the first two of them. Since  $a_i$  are integers of K,  $|a_i| \ge 1$  for i = k, l.

Using Lemma 4, we distinguish two cases.

The case  $|a_k| \ge \sqrt{2}$ . Since  $A(P) = A(\sigma P)$ , we can assume  $|a_k| \ge \sqrt{2}$  replacing if necessary P by a suitable  $\sigma P$ . Applying Lemma 2 to P, we get

(8) 
$$\frac{P(0)P(z)}{Q(z)} = \frac{f(z)}{g(z)} = \frac{c + c_1 z + c_2 z^2 + \dots}{d + d_1 z + d_2 z^2 + \dots};$$

$$|f(z)| = |g(z)| = 1 \quad \text{for} \quad |z| = 1, \quad f, g \text{ holomorphic for } |z| \leqslant 1;$$

$$f(0) = g(0) = \prod_{|a_j| > 1} |a_j|^{-1} = c = d.$$

Comparing (7) with (8), we get

(9) 
$$\begin{cases} c_i = d_i \ (i = 1, 2, ..., k-1), \ c_k = d_k + a_k c, \\ c_{k+1} = d_{k+1} + a_k d_i \ (i = 1, 2, ..., l-k-1), \ c_l = d_l + a_l c + a_k d_{l-k}. \end{cases}$$

It follows from  $c_k = d_k + a_k c$ , by Lemma 1, that

$$\sqrt{2}\,c\leqslant |a_k|\,|c|\leqslant |c_k|+|d_k|\leqslant 2-2\,|c|^2\,,\qquad \sqrt{2}\leqslant c^{-1}\leqslant A\,.$$

The case  $|\overline{a_k}| = 1$ ,  $a_k$  is a root of unity. Let  $\eta$  be a root of unity,  $P_{\eta}(z) = \eta^n P(\eta^{-1}z)$ . We have  $\Lambda(P_{\eta}) = \Lambda(P)$ ,  $P_{\eta}$  and  $K(\eta)$  satisfying the assumptions of the theorem.

Setting

$$R_{\eta}(z) = \frac{\overline{P_{\eta}(0)}P_{\eta}(z)}{z^{n}\overline{P_{\eta}(z^{-1})}} = 1 + \sum_{i=1}^{\infty} a'_{i}z^{i},$$

we get

$$R_{\eta}(z) = \frac{\eta^{-n} P(0) \eta^{n} P(\eta^{-1} z)}{z^{n} \eta^{-n} P(\eta z^{-1})} = \frac{P(0) P(\eta^{-1} z)}{Q(\eta^{-1} z)} = R(\eta^{-1} z);$$

hence for all i

(9a) 
$$a_i' = \overline{\eta}^t a_i, \quad |a_i| = |a_i'|.$$

Taking 
$$\eta = \sqrt[k]{a_k}$$
, we get 
$$a'_k = 1, \quad a'_i = 0 \ (0 < i < k), \quad a'_{2k} \in K.$$

Therefore, without loss of generality we assume that  $a_k = 1$  if l < 2k and  $a_k = \pm 1$ ,  $a_{2k} \in K$  if  $l \ge 2k$  (we admit both signs here for the sake of symmetry).

The case l < 2k,  $a_k = 1$ . Applying to P a suitable  $\sigma \in G$ , we can obtain  $|a_l| \ge 1$ . We shall exploit the following inequality, due to Smyth ([5], pp. 172, 173):

(10) 
$$E = \frac{5}{4} |c|^2 + |c_{l-k} + \gamma c|^2 + \left| \frac{a_l c + c_{l-k}}{2} + \frac{\gamma c}{2} - c_{l-k} + \beta c \right|^2 \\ \leq 2 + |\beta|^2 + |\gamma|^2,$$

where  $\beta$  and  $\gamma$  are arbitrary complex numbers.

Put  $F(\beta, \gamma, c_{l-k}) = E - |\beta|^2 - |\gamma|^2$ .  $|t|^2 F\left(\frac{\beta}{t}, \frac{\gamma}{t}, \frac{c_{l-k}}{t}\right)$  is a hermitian form with the matrix

$$M = egin{bmatrix} |c|^2 - 1 & rac{|c|^2}{2} & -rac{c}{2} & \overline{a}_l rac{|c|^2}{2} \ & rac{|c|^2}{2} & rac{5}{4}|c|^2 - 1 & rac{3}{4}c & rac{1}{4}\overline{a}_l|c|^2 \ & -rac{\overline{c}}{2} & rac{3}{4}\overline{c} & rac{5}{4} & -rac{1}{4}\overline{a}_l\overline{c} \ & a_l rac{|c|^2}{2} & rac{1}{4}a_l|c|^2 & rac{1}{4}a_lc & rac{5}{4}|c|^2 + rac{|a_l|^2|c|^2}{4} \ \end{bmatrix}$$

with diagonal minors

$$\begin{aligned} M_1 &= |c|^2 - 1 < 0 \,, \qquad M_2 &= |c|^4 - \frac{9}{4}|c|^2 - 1 > 0 \,, \\ M_3 &= \frac{5}{4} - 2|c|^2 > 0 \,, \qquad M_4 &= \frac{25}{16}|c|^2 - \frac{5}{2}|c|^4 + \frac{|c|^2|a_l|^2}{4} \geqslant \frac{29}{16}|c|^2 - \frac{5}{2}|c|^4. \end{aligned}$$

In order to justify the second inequality we notice that the equation  $c_k = a_k + c$  implies by Lemma 1

$$2-2c^2\geqslant c, \qquad c\leqslant rac{\sqrt{17}-1}{4},$$

and since c is an algebraic integer.

$$c < \frac{\sqrt{17}-1}{4}, \quad |c|^4 - \frac{9}{4} |c|^2 + 1 > 0.$$

Now

$$F(eta,\,\gamma,\,c_{l-k}) = M_1 |eta + \ldots|^2 + rac{M_2}{M_1} |\gamma + \ldots|^2 + rac{M_3}{M_2} |c_{l-k} + \ldots|^2 + rac{M_4}{M_2}$$

(see, e.g. [3], p. 461), from (10) we get

$$rac{M_4}{M_3} = \min_{c_{l-k}} \max_{eta, \gamma} F(eta, \gamma, c_{l-k}) \leqslant 2$$

and from (11) we get

$$40 |c|^4 - 93 |c|^2 + 40 \geqslant 16 (2M_3 - M_4) \geqslant 0$$
.

As proved by Smyth, the latter inequality implies  $A = e^{-1} > \theta_0$ . The case  $l \ge 2k$ ,  $a_k = \pm 1$ ,  $a_{nk} \in K$ . By (9)

$$c_{2k} = d_{2k} + a_k d_k + a_{2k} c.$$

On applying (5) to  $c_{2k}$  and  $d_{2k}$  and adding the resulting inequalities, we get

$$\begin{vmatrix} c_{2k} - d_{2k} + \frac{c_k^2 - d_k^2}{1 - |c|^2} c \end{vmatrix} = \begin{vmatrix} a_{2k}c + a_k d_k + \frac{c_k^2 - d_k^2}{1 - c^2} c \end{vmatrix}$$

$$\leq 2 - 2c^2 - \frac{|c_k|^2}{1 - c^2} - \frac{|d_k|^2}{1 - c^2}$$

(c is real). We now set

$$c_k = c_k^{(1)} + ic_k^{(2)}, \qquad d_k = d_k^{(1)} + id_k^{(2)}, \qquad a_{2k} = a_{2k}^{(1)} + ia_{2k}^{(2)},$$

where  $c_k^{(i)}$ ,  $d_k^{(i)}$ ,  $a_{2k}^{(i)}$  are real for i=1,2; and we get from  $c_k=d_k+a_kc$  the equations

$$c_k^{(2)} = d_k^{(2)}, \quad c_k^2 - d_k^2 = c_k^{(1)2} - d_k^{(1)2} + 2ia_k c d_k^{(2)}.$$

The inequality  $|x| \ge |\text{Re } x|$  applied to (12) gives

$$\left| a_{2k}^{(1)}c + a_k d_k^{(1)} + \frac{c_k^{(1)2} - d_k^{(1)2}}{1 - c^2} c \right| \leqslant 2 - 2c^2 - \frac{c_k^{(1)2}}{1 - c^2} - \frac{d_k^{(1)2}}{1 - c^2}.$$

The left-hand side of (13) is greater than or equal to

$$|a_{2k}^{(1)}c+a_kd_k^{(1)}|-\left|rac{c_k^{(1)2}-d_k^{(1)2}}{1-c^2}
ight|c=|a_{2k}^{(1)}c+a_kd_k^{(1)}|\pm\left(rac{c_k^{(1)2}-d_k^{(1)2}}{1-c^2}|c
ight)$$

Hence

$$(14) \qquad |a_{2k}^{(1)}c+a_kd_k^{(1)}|\leqslant 2-2c^2-\min\left(\frac{c_k^{(1)2}}{1+c}+\frac{d_k^{(1)2}}{1-c},\frac{c_k^{(1)2}}{1-c}+\frac{d_k^{(1)2}}{1+c}\right).$$

Since  $c_k^{(1)} = \pm c + d_k^{(1)}$ , we have

$$|c_k^{(1)}| + |d_k^{(1)}| = c,$$

for otherwise by Lemma 1

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$$1-c^1\geqslant c, \qquad A=c^{-1}\geqslant \sqrt{2}.$$

Again by Lemma 1

(16) 
$$\begin{aligned} |c_k^{(1)}| &\leqslant |c_k| \leqslant 1 - c^2, \\ |d_k^{(1)}| &\leqslant |d_k| \leqslant 1 - c^2. \end{aligned}$$

By (15) and (16)

(17) 
$$c^{2} + c - 1 \leq |c_{k}^{(1)}| \leq 1 - c^{2},$$

$$c^{2} + c - 1 \leq |d_{k}^{(1)}| \leq 1 - c^{2}.$$

The further argument depends on  $a_{2k}^{(1)}$ 

We distinguish three cases: X.  $\left|\overline{a_{2k}^{(1)}}\right| \geqslant 1$ , Y.  $a_{2k}^{(1)} = 0$ , Z.  $0 < \left|\overline{a_{2k}^{(1)}}\right| < 1$ . X. Applying to P a suitable  $\sigma \in G$ , we can obtain  $|a_{2k}^{(1)}| \geqslant 1$ . By (17)

$$|a_{2k}^{(1)}c \pm d_{k}^{(1)}| \ge |a_{2k}^{(1)}|c - |d_{k}^{(1)}| \ge c^2 + c - 1.$$

By (14) and (18)

$$c^2 + c - 1 \leqslant M = \max_{c^2 + c - 1 \leqslant x \leqslant 1 - c^2} \left( 2 - 2c^2 - \frac{x^2}{1 + c} - \frac{(c - x)^2}{1 - c} \right).$$

As proved by Smyth ([5], p. 175), the latter inequality implies  $A = c^{-1} \geqslant \theta_0$ .

Y. By (17)

$$|a_{2k}^{(1)}c\pm d_{k}^{(1)}|=|d_{k}^{(1)}|\geqslant c^2+c-1$$

By (14)

$$c^2 + c - 1 \leqslant M$$
 and  $\Lambda \geqslant \theta_0$ 

as in X.

· Z. Since  $|2a_{2k}^{(1)}| < 2$  and  $2a_{2k}^{(1)} = a_{2k} + \overline{a}_{2k}$  is a totally real algebraic integer, we have by Lemma 3

$$a_{2k}^{(1)} = \cos 2w\pi$$
, w rational.

Since  $c_k^{(1)} = \pm c + d_k^{(1)}$ , we have by (14)

$$|c_k^{(1)} \pm (a_{2k}^{(1)} - 1)c| \leqslant 2 - 2c^2 - \min\left(\frac{c_k^{(1)2}}{1 + c} + \frac{d_k^{(1)2}}{1 - c}, \frac{c_k^{(1)2}}{1 - c} + \frac{d_k^{(1)2}}{1 - c}\right).$$

If  $|a_{2k}^{(1)}-1| \ge 1$  or  $a_{2k}^{(1)}-1=0$ , then the situation differs from that occurring in the case X or Y only by the permutation of  $a_k^{(1)}$  and  $a_k^{(1)}$ . Let  $|a_{2k}^{(1)}-1| < 1$ .

We have

$$a_{2x}^{(1)}-1=\cos 2w\pi-1=-2\sin^2 w\pi$$
:

hence

$$\overline{\left|\sin^2 w\pi\right|} < \frac{1}{2}, \quad \overline{\left|\sin w\pi\right|} < \frac{1}{\sqrt{2}}, \quad \overline{\left|2\sin w\pi\right|} < \sqrt{2}.$$

 $2\sin w\pi$  is a totally real algebraic integer, and hence, by Lemma 4,  $2\sin w\pi = \pm 1$ ,  $a_{2k}^{(1)} = 1 - 2\sin^2 w\pi = \frac{1}{2}$ ,  $a_{2k} = \frac{1}{2} + ia_{2k}^{(2)}$ . If  $\sqrt{-3} \notin K$ , it is impossible to have  $a_{2k}^{(2)} = \pm \sqrt{3}/2$ , and thus  $a_{2k}$  is not a root of unity. Hence by Lemma 4  $\overline{|a_{2k}|} \geqslant \sqrt{2}$  and applying to P a suitable  $\sigma \in G$ , we can obtain

$$|a_{2k}| \geqslant \sqrt{2}, \quad |a_{2k}^{(2)}| \geqslant \frac{1}{2}\sqrt{7}.$$

We now replace P by  $P_{\eta}(z)$ , where  $\eta^k = \frac{\sqrt{2}}{2} - i \frac{\sqrt{2}}{2}$ . By (9a) we get

(19) 
$$a'_{k} = \left(\frac{\sqrt{2}}{2} + i\frac{\sqrt{2}}{2}\right)a_{k}, \quad a'_{2k} = ia_{2k}, \\ a'_{i} = 0 \quad \text{for} \quad i \leq 2k, \ i \neq k, 2k.$$

 $\tilde{P}(z) = P(z)\overline{P}(z)$  is a polynomial with totally real coefficients.

$$(20) \frac{\tilde{P}(z)}{\tilde{Q}(z)} = \frac{\overline{P(0)}P(z)}{Q(z)} \frac{P(0)\overline{P}(z)}{\overline{Q}(z)}$$

$$= (1 + a'_k z^k + a'_{2k} z^{2k} + \dots)(1 + \overline{a}'_k z^k + \overline{a}'_{2k} z^{2k} + \dots)$$

$$= 1 + \sqrt{2}a_k z^k + (1 + a'_{2k} + \overline{a}'_{2k})z^{2k} + \dots = 1 + b_k z^k + b_{2k} z^{2k} + \dots$$

By Lemma 2

(21) 
$$\frac{P(z)}{Q(z)} = \frac{e_0 + e_1 z + \dots}{f_0 + f_1 z + \dots},$$

where  $f_0 = e_0 = e^2$  and  $e_i$ ,  $f_i$  are real.

The series occurring on the right side of (21) are convergent in an open disc containing  $|z| \le 1$  and have absolute value 1 on the circle |z| = 1.

By the inequality (14)

$$(22) \qquad |b_{2k}e_0 + a_k\sqrt{2}f_k| \leqslant 2 - 2e_0^2 - \min\left(\frac{e_k^2}{1 + e_0} + \frac{f_k^2}{1 - e_0}, \frac{e_k^2}{1 - e_0} + \frac{f_k^2}{1 + e_0}\right).$$

By (20) and (21)

(23) 
$$e_i = f_i, i < k;$$
  $e_k = a_k \sqrt{2}e_0 + f_k,$   $e_{2k} = b_{2k}e_0 + f_{2k} + a_k \sqrt{2}f_k.$ 

The equality  $e_k = f_k + a_k \sqrt{2} e_0$  implies

$$(24) |e_k| + |f_k| = \sqrt{2} e_0,$$

for otherwise, by Lemma 1,  $\sqrt{2}e_0 \leqslant 1 - e_0^2$ , and

$$e_0^{-1} \geqslant \frac{\sqrt{2} + \sqrt{6}}{2} > 1.9 > \theta_0^2, \quad \Lambda = c^{-1} > \theta_0.$$

By (24) and (22)

$$(25) |b_{2k}e_0 + a_k\sqrt{2}f_k| \leqslant \tilde{M} = \max \varphi(x),$$

where

$$\varphi(x) = 2 - 2e_0^2 - \frac{x^2}{1 + e_0} - \frac{(\sqrt{2}e_0 - x)^2}{1 - e_0}.$$

We have

$$\frac{1}{2}\varphi'(x) = \frac{-x}{1+e_0} - \frac{x-\sqrt{2}e_0}{1-e_0} = \frac{-2x+\sqrt{2}e_0(1+e_0)}{1-e_0^2};$$

thus the maximum of  $\varphi(x)$  taken for  $x = \frac{\sqrt{2}}{2}e_0(1+e_0)$  equals

$$M = \varphi\left(\frac{1}{\sqrt{2}}e_0(1+e_0)\right) = 2-2e_0^2 - \frac{1}{2}e_0^2(1+e_0) - \frac{1}{2}e_0^2(1-e_0) = 2-3e_0^2.$$

From the equality  $f_k + a_k \sqrt{2}e_0 = e_k$  we get by (25)

$$|b_{2k}'e_0 + a_k\sqrt{2}e_k| \leqslant 2 - 3e_0^2$$
, where  $b_{2k}' = b_{2k} - 2$ 

Since  $a'_{2k} = -a^{(2)}_{2k} + i\frac{1}{2}$ ,  $b_{2k} = 1 - 2a^{(2)}_{2k}$ , we have  $b'_{2k} = -1 - 2a^{(2)}_{2k}$ . Replacing, if necessary,  $f_k$  by  $e_k$  and  $b_{2k}$  by  $b'_{2k}$ , we can thus assume that  $|b_{2k}| \ge 1 + \sqrt{7}$ . Hence

$$|b_{2k}e_0 + a_k\sqrt{2}e_k| \geqslant |b_{2k}e_0| - \sqrt{2}|e_k| \geqslant \sqrt{2}e_0^2 + (1+\sqrt{7})e_0 - \sqrt{2}$$

and by (25)

$$2-3e_0^2\geqslant \sqrt{\frac{1}{2}}e_0^2+(1+\sqrt{7})e_0-\sqrt{2}, \ f(e_0^{-1})\geqslant 0,$$

where  $f(x) = (2+\sqrt{2})x^2 - (1+\sqrt{7})x - (3+\sqrt{2})$ . Since  $f(\frac{16}{9}) < 0$  and  $e_0 = c^2$ , we have  $A = c^{-1} > \frac{4}{3} > \theta_0$ . Consider now the case

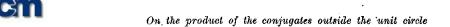
$$a_k = \pm 1, \quad a_{2k} = \frac{1}{2} \pm \frac{\sqrt{3}}{2}i, \quad \sqrt{-3} \epsilon K.$$

It follows from (9a) that

$$c_k^2 - d_k^2 = a_k c(2d_k^{(1)} + a_k c) + 2a_k cd_k^{(2)}$$

and from (15) that

$$|c_k|^2 + |d_k|^2 = |d_k^{(1)}|^2 + 2|d_k^{(2)}|^2 + (c - |d_k^{(1)}|)^2$$



The inequality (12) implies in virtue of the above identities

$$\begin{split} M_1 &= 2 - 2c^2 - \frac{|d_k^{(1)}|^2 + (c - |d_k^{(1)}|)^2 + 2\,|d_k^{(2)}|^2}{1 - c^2} - \\ &- \left| \left( \frac{\frac{1}{2}c + \frac{1}{2}c^3}{1 - c^2} + a_k \frac{1 + c^2}{1 - c^2} \, d_k^{(1)} \right) + i \left( \pm \frac{\sqrt{3}}{2} \, c + a_k \frac{1 + c^2}{1 - c^2} \, d_k^{(2)} \right) \right| \geqslant 0 \,. \end{split}$$

Hence it follows that

$$\begin{split} M_2 &= \max_{|d_k^{(2)}| \ |d_k^{(1)}|} \left(2 - 2c^2 - \frac{|d_k^{(1)}|^2 + (c - |d_k^{(1)}|)^2 + 2 \ |d_k^{(2)}|^2}{1 - c^2} - \right. \\ &\left. - \left| \frac{1 + c^2}{1 - c^2} \left( \frac{1}{2} \ c - |d_k^{(1)}| \right) + i \left( \frac{\sqrt{3}}{2} \ c - \frac{1 + c^2}{1 - c^2} \ |d_k^{(2)}| \right) \right| \right) \geqslant 0 \,. \end{split}$$

The inner maximum is attained for  $|d_k^{(1)}| = c/2$  since then both

$$|d_k^{(1)}|^2 + (c - |d_k^{(1)}|)^2$$
 and  $\left| \frac{1 + c^2}{1 - c^2} \left( \frac{1}{2} |c - |d_k^{(1)}| \right) \right|$ 

attain the minimal value. Thus

$$M_2 = \max_{|d_k^{(2)}|} \left( 2 - 2c^2 - \frac{c^2}{2(1-c^2)} - \frac{2|d_k^{(2)}|^2}{1-c^2} - \left| \frac{\sqrt{3}}{2} c - \frac{1+c^2}{1-c^2} |d_k^{(2)}| \right| \right) \geqslant 0.$$

We set

$$g(x) = 2 - 2c^2 - \frac{c^2}{2(1 - c^2)} - \frac{2x^2}{1 - c^2} - \left| \frac{\sqrt{3}}{2} c - \frac{1 + c^2}{1 - c^2} x \right|.$$

In the interval  $\left(0, \frac{\sqrt{3}}{2} c \frac{1-c^2}{1+c^2}\right)$  the function g(x) is increasing. Indeed we have in this interval

$$\frac{\sqrt{3}}{2}c - \frac{1 + c^2}{1 - c^2}x > 0, \quad y'(x) = -\frac{4x}{1 - c^2} + \frac{1 + c^2}{1 - c^2} \ge \frac{-2\sqrt{3}c}{1 + c^2} + \frac{1 + c^2}{1 - c^2}.$$

On the other hand, by the assumption  $A < \sqrt{2}$  we have

$$\begin{aligned} c^2 > \frac{1}{2}, & (1+c^2)^2 > \frac{9}{4}, \\ 2\sqrt{3} c(1-c^2) < \sqrt{3} c < \sqrt{3} < \frac{9}{4} < (1+c^2)^2. \end{aligned}$$

For 
$$x > \frac{\sqrt{3}}{2} c \frac{1 - c^2}{1 + c^2}$$
 we have

$$\frac{\sqrt{3}}{2}c - \frac{1 + c^2}{1 - c^2}x < 0, \quad g'(x) = -\frac{4x}{1 - c^2} - \frac{1 + c^2}{1 - c^2} < 0;$$

thus the function g(x) is decreasing. Since it is continuous, we have

$$M_2 = g \left( \frac{\sqrt{3}}{2} c \frac{1 - c^2}{1 + c^2} \right),$$

$$g\left(\frac{\sqrt[4]{3}}{2}e^{\frac{1-e^2}{1+e^2}}\right) = 2 - e^2 - \frac{e^2}{2(1-e^2)} - \frac{3}{2}e^2\frac{(1-e^2)^2}{(1+e^2)^2(1-e^2)} \geqslant 0,$$

and on simplification

$$1-c^2-c^4-c^6+c^8 \geqslant 0$$

whence  $\Lambda^3 - \Lambda^6 - \Lambda^4 - \Lambda^2 + 1 \ge 0$ . The equation  $x^4 - x^3 - x^2 - x + 1 = 0$  has only one real root greater than 1, namely

$$\frac{1}{4}(1+\sqrt{13}+\sqrt{2\sqrt{13}-2}).$$

It follows that

$$A \geqslant \frac{1}{2} \sqrt{1 + \sqrt{13} + \sqrt{2\sqrt{13} - 2}}$$

On the other hand, the polynomial  $z^2 + \varrho z - 1$ ,  $\varrho = \frac{-1 + \nu' - 3}{2}$  has two zeros given by the formula

$$\frac{-\varrho \pm \sqrt{\varrho^2 + 4}}{2} = \frac{1}{4} - i\frac{\sqrt{3}}{2} \pm \frac{1}{2} \left( \sqrt{\frac{\sqrt{13}}{2} + \frac{7}{4}} - i\sqrt{\frac{\sqrt{13}}{2} - \frac{7}{4}} \right)$$
$$= \left( \frac{1}{4} \pm \frac{1}{2} \sqrt{\frac{\sqrt{13}}{2} + \frac{7}{4}} \right) - i\left( \frac{\sqrt{3}}{4} \pm \frac{1}{2} \sqrt{\frac{\sqrt{13}}{2} - \frac{7}{4}} \right).$$

Hence

$$|\theta_{1}|^{2} = \left(\frac{1}{4} + \frac{1}{2}\sqrt{\frac{\sqrt{13}}{2} + \frac{7}{4}}\right)^{2} + \left(\frac{\sqrt{3}}{4} + \frac{1}{2}\sqrt{\frac{\sqrt{13}}{2} - \frac{7}{4}}\right)^{2}$$

$$= \frac{1}{4}\left(1 + \sqrt{13} + \sqrt{\frac{\sqrt{13}}{2} + \frac{7}{4}} + \sqrt{3\left(\frac{\sqrt{13}}{2} - \frac{7}{4}\right)}\right)$$

$$= \frac{1}{4}\left(1 + \sqrt{13} + \sqrt{2\sqrt{13} - 2}\right)$$

and we get

$$A \geqslant |\theta_1|$$
.

It remains to note that the zeros of the polynomial  $z^2 + \varrho^2 z - 1$  are complex conjugates of the zeros of  $z^2 + \varrho z - 1$  and that

$$|\theta_1|^2 < 1.73 < \theta_0^2$$
.

LEMMA 5.  $f(z) = a_n z^n + ... + a_0$  has all zeros inside the unit circle if and only if  $\delta_k(f) > 0$ , k = 0, 1, ..., n where

$$(26) \qquad \delta_{k}(f) = \begin{bmatrix} a_{n} & a_{0}a_{1} & \dots & a_{k-1} \\ a_{n-1}a_{n} & a_{0} & a_{0} & a_{k-2} \\ \vdots & \ddots & \vdots & \vdots & \vdots \\ a_{1} & \vdots & \ddots & \vdots \\ a_{0} & \overline{a}_{n}\overline{a}_{n-1} & \overline{a}_{0} \\ \overline{a}_{1} & \overline{a}_{0} & \overline{a}_{n} \\ \vdots & \ddots & \vdots \\ \overline{a}_{k-1} & \dots & \overline{a}_{1} & \overline{a}_{0} \end{bmatrix}.$$

Proof see A. Cohn [1].

LEMMA 6. If  $A = (a_{ij}), i, j \leqslant n, n \geqslant l > k$ , then

(27) 
$$\det A = \sum_{i < j} (-1)^{i+j+k+l} A_{k,l;i,j} \begin{vmatrix} a_{kl} & a_{kj} \\ a_{li} & a_{lj} \end{vmatrix},$$

where  $A_{k,l:i,j}$  is the determinant of the matrix obtained from A by crossing out the k-th and l-th rows and the i-th and j-th columns.

The proof follows from Laplace's theorem.

LEMMA 7. Let K satisfy the assumptions of Theorem 3,

$$f(z) = a_n z^n + \dots + a_0, \quad f^*(z) = z^n \overline{f}(z^{-1}) = \overline{a}_0 z^n + \overline{a}_1 z^{n-1} + \dots + \overline{a}_n,$$

where  $a_i$  are integers of K. If  $a_n = 1$ ,  $a_0 = \varepsilon \frac{1+\sqrt{5}}{2}$ , where  $\varepsilon$  is a root of unity, then for each  $i \le n$  the condition

implies

(28) 
$$\delta_k(\sigma f) = \delta_1(\sigma f)^k \quad and \quad \alpha_{n-k+1}\overline{\alpha}_0 - \overline{\alpha}_{k-1} = 0$$

for all  $k \leq i$  and all  $\sigma \in G$ .

Proof. We shall proceed by induction with respect to i. For i=1

$$\delta_1(\sigma f) = \begin{vmatrix} 1 & \sigma a_0 \\ \overline{\sigma a_0} & 1 \end{vmatrix} = 1 - |\sigma a_0|^2 = \begin{cases} -\frac{1+\sqrt{5}}{2} & \text{if } \sigma \sqrt{5} = \sqrt{5}, \\ \frac{\sqrt{5}-1}{2} & \text{if } \sigma \sqrt{5} = -\sqrt{5}. \end{cases}$$

Let us assume that the lemma is true for  $i < i_0$ . Then, by applying the formula from Lemma 6 to the rows  $i_0$ ,  $2i_0$  and omitting the terms which involve the coefficient

$$\begin{vmatrix} a_n & 0 \\ \bar{a}_0 & 0 \end{vmatrix} = 0,$$

we get

(29) 
$$\delta_{i_0}(f) = \delta_1(f) \, \delta_{i_0-1}(f) + \sum_{j=1}^{i_0-1} (-1)^j \begin{vmatrix} a_{n-i_0+j} & a_n \\ \overline{a}_{i_0-j} & \overline{a}_0 \end{vmatrix} \, (\delta_{i_0})_{i_0,2i_0;i_0,j}(f).$$

Now we apply (29) to of and use the inductive assumption. We obtain

We develop the determinant according to the first row and the  $i_0$ -th row. When these rows are left out, the  $(i_0-1)$ -th column consists of zeros only, and hence it suffices to compute the minors  $M_{1,i_0;i_0-1,i}$ . For the elements a of K, we have  $\sigma(\overline{a}) = \overline{(\sigma a)}$ ; thus

$$\begin{vmatrix} \overline{\alpha a_0} & \overline{\alpha a_i} \\ \overline{\sigma a_n} & \overline{\sigma a_{n-i}} \end{vmatrix} = \sigma(a_0) \overline{\sigma(a_{n-i})} - \sigma(a_i) \overline{\sigma(a_n)}$$

$$= \sigma(a_0 \overline{a_{n-i}} - a_i \overline{a_n}) = \overline{\sigma(\overline{a_0} a_{n-i} - \overline{a_i} a_n)}$$

By the inductive assumption

$$\begin{split} \delta_{i_0}(\sigma f)_{i_0,2i_0;1,i_0} &= \delta_{i_0-2}(\sigma f) \left| \frac{\sigma(a_0)}{\sigma(a_n)} \frac{\sigma(a_{i_0-1})}{\sigma(a_{n-i_0+1})} \right| \\ &= \delta_1(\sigma f)^{i_0-2} \overline{\sigma(\overline{a}_0 a_{n-i_0+1} - \overline{a}_{i_0-1} a_n)}. \end{split}$$

Substituting the computed value of  $(\delta_{i_0})_{i_0,2i_0;1,i_0}$  into (30), we get

$$\delta_{i_0}(\sigma f) = \delta_1(\sigma f)^{i_0} - \delta_1(\sigma f)^{i_0-2} |\sigma(\overline{a}_0 a_{n-i_0+1} - \overline{a}_{i_0-1} a_n)|^2.$$

Since  $\delta_k(f^*)$  is obtained from  $\delta_k(f)$  by a transposition of the *i*th row and the (k+i)-th row of the latter, we have

$$\delta_k(f^*) = (-1)^k \delta_k(f)$$
 and  $\delta_k(\sigma f^*) = (-1)^k \delta_k(\sigma f)$ .

Hence the condition (w<sub>to</sub>) is equivalent to the condition

$$\begin{split} \delta_{i_0}(\sigma f) > 0 &\quad \text{if} \quad \sigma \sqrt{5} \, = -\sqrt{5} \,, \\ (-1)^{i_0} \, \delta_{i_0}(\sigma f) > 0 &\quad \text{if} \quad \sigma \sqrt{5} \, = \sqrt{5} \,. \end{split}$$

We set

$$x = \overline{a}_0 a_{n-i_0+1} - \overline{a}_{i_0-1} a_n$$

and distinguish two cases:

A.  $i_0$  is even. We have, for all  $\sigma \in G$ ,

$$\delta_{i_0}(\sigma f) = \delta_1^{i_0}(\sigma f) - \delta_1^{i_0-2}(\sigma f) |\sigma x|^2 > 0,$$

and since

$$\delta_1(\sigma f)^{i_0}$$
 and  $\delta_1(\sigma f)^{i_0-2} > 0$ ,

we get

$$|\sigma x|^2 < \delta_1^2(\sigma f) = \begin{cases} rac{3 + \sqrt{5}}{2} & (\sigma \sqrt{5} = \sqrt{5}), \\ rac{3 - \sqrt{5}}{2} & (\sigma \sqrt{5} = -\sqrt{5}), \end{cases}$$

$$. \prod_{\sigma \in G} |\sigma x|^2 < \prod_{\sigma \in G} \delta_1^2(\sigma f).$$

The number  $N = \prod_{\sigma \in G} |\sigma x|^2$  is a non-negative rational integer, as the square of the norm of x,

$$\prod_{\sigma \in G} \delta_1^2(\sigma f) = 1.$$

Since  $\frac{3+\sqrt{5}}{2}$  and  $\frac{3-\sqrt{5}}{2}$  occur equally often as factors in the product, we have M=0 and  $\alpha=0$ .

and the inductive assertion is proved.

B.  $i_0$  in odd. We have

$$\begin{split} \delta_{i_0}(\sigma f) > 0 & \text{if} \quad \sigma \sqrt{5} = -\sqrt{5}, \\ \delta_{i_0}(\sigma f) < 0 & \text{if} \quad \sigma \sqrt{5} = \sqrt{5}. \end{split}$$

If

$$\sigma\sqrt{5} = -\sqrt{5}, \quad \delta_{\scriptscriptstyle 1}(\sigma f) = \frac{\sqrt{5}-1}{2} > 0,$$

we have

$$\delta_1(\sigma f)^{i_0} > 0, \qquad \delta_1(\sigma f)^{i_0-2} > 0$$

and the inequality

$$\delta_1(\sigma f)^{i_0} - \delta_1(\sigma f)^{i_0-2} |\sigma x|^2 > 0$$

implies

$$\frac{3-\sqrt{5}}{2} > |\sigma x|^2.$$

If

$$\sigma\sqrt{5} = \sqrt{5}, \quad \delta_1(\sigma f) = -\frac{1+\sqrt{5}}{2} < 0,$$

we have

$$\delta_1^{i_0}(\sigma f) < 0, \qquad \delta_1(\sigma f)^{i_0 - 2} < 0$$

and the inequality

$$\delta_1(\sigma f)^{i_0} - \delta_1(\sigma f)^{i_0-2} |\sigma x|^2 < 0$$

implies

$$\frac{3+\sqrt{5}}{2} > |\sigma w|^2.$$

The inductive assertion follows from (32) and (33) as in the case A. LEMMA 8. Let K satisfy the assumptions of Theorem 3,

$$f(z) = z^n + a_{n-1}z^{n-1} + \dots + \varepsilon \frac{1+\sqrt{5}}{2},$$

where a, are integers of K, & is a root of unity, and let

$$\sigma f(z) = \prod_{i=1}^{n} (\hat{z} - \alpha_{\sigma i}), \quad \sigma \in G.$$

If

(34) 
$$\begin{aligned} |\alpha_{\sigma i}| > 1 & \text{if} \quad \sigma \sqrt{5} = \sqrt{5}, \\ |\alpha_{\sigma i}| < 1 & \text{if} \quad \sigma \sqrt{5} = -\sqrt{5}, \end{aligned}$$



then

$$f(z) = z^n + \varepsilon \frac{1 + \sqrt{5}}{2}.$$

Proof. f(z) has all zeros outside the circle  $|z| \ge 1$  if and only if  $f^*(z) = z^n \bar{f}(z^{-1})$  has all zeros inside the circle |z| < 1. By Lemma 5 the condition (34) is equivalent to the condition

(34') 
$$\begin{aligned} \delta_k(\sigma f) > 0 & \text{if} & \sigma \sqrt{5} = -\sqrt{5} & (k = 1, 2, ..., n), \\ \delta_k(\sigma f) > 0 & \text{if} & \sigma \sqrt{5} = \sqrt{5} & (k = 1, 2, ..., n). \end{aligned}$$

The latter is the same as condition  $(w_n)$  considered in Lemma 7 and in virtue of that lemma

(35) 
$$a_{n-i}\overline{a_0}-\overline{a_i}=0 \quad (i=1,2,...,n-1),$$

where  $a_0 = \varepsilon \frac{1 + \sqrt{5}}{2}$ .

(35) gives  $a_i\overline{a}_0-\overline{a}_{n-i}=0$  and on passing to complex conjugates we get

$$(35') -a_{n-i} + a_0 \overline{a_i} = 0 (i = 1, 2, ..., n-1).$$

Since

$$\begin{vmatrix} \overline{a}_0 & -1 \\ -1 & a_0 \end{vmatrix} = |a_0|^2 - 1 = \frac{1 + \sqrt{5}}{2} \neq 0,$$

(35) and (35') imply  $a_i = a_{n-i} = 0$  for i = 1, 2, ..., n-1. Hence  $f(z) = z^n + a_0$ .

Proof of Theorem 3. Assume first that

$$P(0) = \varepsilon \frac{1 + \sqrt{5}}{2}.$$

Let

$$P(z) = f(z) \prod_{i=2}^{n-k+1} (z-\varepsilon_i),$$

where  $\varepsilon_i$  are roots of unity, but no zero of f(z) is a root of unity. The product  $\prod (z-\varepsilon_i)$  divides  $(z^m-1)^m$  for a suitable m; hence

$$\prod_{i=2}^{n-k+1} (z-\varepsilon_i) = (P(z), (z^m-1)^m) \in K[z]$$

and  $f(z) \in K[z]$ , f is monic with integer coefficients and  $f(0) = \varepsilon_1 \frac{1 + \sqrt{5}}{2}$ .

For  $\sigma \in G$  let

(36) 
$$\sigma f(z) = \prod_{j=1}^{k} (z - a_{\sigma j}).$$

By the assumption about P(z), we have

(37) 
$$|a_{\sigma j}| \geqslant 1 \quad \text{if} \quad \sigma(\sqrt{5}) = \sqrt{5}, \\ |a_{\sigma i}| \leqslant 1 \quad \text{if} \quad \sigma(\sqrt{5}) = -\sqrt{5}.$$

Suppose that for a  $\sigma_0 \in G$  and a  $j_0 \leq k$  we have

$$|a_{\sigma_0 j_0}| = 1.$$

Consider the field  $L = K^{\sigma_0}(\alpha_{\sigma_0 j_0})$  and any isomorphic injection  $\tau$  of L into C. We have  $\sigma_0 \sqrt{5} = \overline{\sigma_0 \sqrt{5}}$ ; thus  $\tau \sigma_0 \sqrt{5} = \tau \overline{\sigma_0 \sqrt{5}}$ .

If  $\tau\sigma_0\sqrt{5}\doteq\sqrt{5}$ , then, since  $\tau\sigma_0f(\alpha_{\sigma_0j_0})=\tau\sigma_0f(\tau\alpha_{\sigma_0j_0})=0$ , we have , by (36) and (37)

$$| au a_{\sigma_0 j_0}| \geqslant 1$$
 and  $| au \overline{a_{\sigma_0 j_0}}| \geqslant 1$ .

If  $\tau \sigma_0 \sqrt{5} = \tau \overline{\sigma_0 \sqrt{5}} = -\sqrt{5}$  we have similarly

$$| au a_{\sigma_0 j_0}| \leqslant 1 \quad ext{ and } \quad | au \overline{a_{\sigma_0 j_0}}| \leqslant 1$$
 .

On the other hand, by (38)

$$\tau \alpha_{\sigma_0 j_0} \cdot \tau \overline{\alpha_{\sigma_0 j_0}} = \tau (\alpha_{\sigma_0 j_0} \cdot \overline{\alpha_{\sigma_0 j_0}}) = \tau |\alpha_{\sigma_0 j_0}|^2 = 1;$$

thus  $|\tau a_{\sigma_0 j_0}| = 1$  for all  $\tau$ . By Lemma 3,  $a_{\sigma_0 j_0}$  is a root of unity and by (36) a certain conjugate of it is a zero of f(z), contrary to the definition of f. The contradiction obtained above shows that f satisfies all the assumptions of Lemma 8 and in virtue of that lemma

$$f(z)=z^k+\varepsilon_1\frac{1+\sqrt{5}}{2}.$$

Assume now that  $P(0) = \varepsilon \frac{1-\sqrt{5}}{2}$ . Then, for a  $\sigma$  with  $\sigma\sqrt{5} = -\sqrt{5}$ ,

 $\sigma P$  satisfies the assumptions of the theorem and  $\sigma P(0) = \frac{\sqrt{5}+1}{2} \sigma(\varepsilon)$ .

Thus, by the already proved case of the theorem, formula (3) holds.

Proof of Theorem 2. If (3) holds, we clearly have equality in (2). Suppose that for a polynomial  $P \in K[z]$  with the leading coefficient  $p_0$  the equality in (2) is obtained. By the equality  $C(P) = (p_0)$  quoted in the introduction,  $P_0(z) = p_0^{-1}P(z)$  has integral coefficients. Moreover

$$\sqrt{5} \epsilon K$$
 and  $|P_0(0)| = \frac{\pm 1 + \sqrt{5}}{2}$ . Since for  $a \epsilon K$ ,  $\sigma \epsilon G$ 

$$\sigma(|a|^2) = |\sigma a|^2,$$

we have for all  $\sigma \in G$ 

$$\left|\left.\sigma\left(\frac{P_0(0)^2}{3\pm\sqrt{5}}\right)\right| = \left|\left.\sigma\left(\frac{P_0(0)}{1\pm\sqrt{5}}\right)\right|^2 = \sigma\left(\left|\frac{P_0(0)}{1\pm\sqrt{5}}\right|^2\right) = 1.$$

$$\frac{P_0(0)^2}{\frac{3\pm\sqrt{5}}{2}} = P_0(0)^2 \frac{3\pm\sqrt{5}}{2} \quad \text{is an integer, and hence by Lemma 4}$$

$$\frac{P_0(0)^2}{\frac{3\pm\sqrt{5}}{2}}$$
 is a root of unity and 
$$\frac{P_0(0)}{\frac{1\pm\sqrt{5}}{2}}$$
 is also one.

Thus

$$P_0(z) = z^n + p_1 z^{n-1} + \ldots + \varepsilon \frac{1 \pm \sqrt{5}}{2},$$

where  $p_i$  are integers of K. On the other hand,

(39)

$$\begin{split} \prod_{\sigma \in \mathcal{G}} \prod_{|\alpha_{\sigma j}| > 1} |\alpha_{\sigma j}| &= \prod_{\sigma(\sqrt{5}) = \pm \sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \prod_{|\alpha_{\sigma j}| < 1} |\alpha_{\sigma j}|^{-1} \right) \prod_{\sigma \sqrt{5} = \pm \sqrt{5}} \prod_{|\alpha_{\sigma j}| > 1} |\alpha_{\sigma j}| \\ &= \left( \frac{1 + \sqrt{5}}{2} \right)^{\frac{|K|}{2}} \prod_{\sigma(\sqrt{5}) = \pm \sqrt{5}} \prod_{|\alpha_{\sigma j}| < 1} |\alpha_{\sigma j}|^{-1} \prod_{\sigma(\sqrt{5}) = \pm \sqrt{5}} \prod_{|\alpha_{\sigma j}| > 1} |\alpha_{\sigma j}|, \end{split}$$

and the equality in (2) implies that both double products on the right-hand side of (39) are empty. Thus  $P_0(z)$  satisfies the assumptions of Theorem 3 and in virtue of that Theorem 3 holds.

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