# On the relative class number of a relative Galois number field 

By Kiichiro Ohta

(Received Aug. 27, 1971)
(Revised May 17, 1972)

## § 1. Introduction.

Let $k$ be an algebraic number field of finite degree. Let $p$ be any rational prime number. The $p$-Sylow subgroup of the absolute ideal class group of $k$ will be called the $p$-class group of $k$ whose order will be denoted by $h_{k, p}$.

Let $K$ be a Galois extension of degree $m$ over $k$. Then there are many known results as to the $p$-class groups of $K$ and $k$ in case $K / k$ is abelian or when $m$ is a prime power (in which case $K / k$ is a soluble extension); in particular, many relations are known to hold between $h_{K, p}$ and $h_{k, p}$ (K. Iwasawa [2], H. Yokoi [3], [4], A. Yokoyama [5], [6], [7]).

But, at the present time, it seems that there are no convenient literatures as to the $p$-class groups of $K$ and $k$ in such case where the Galois group $G(K / k)$ is non-abelian and simple. (For instance, it is such case where the group $G(K / k)$ is isomorphic to the alternative group $A_{n}$ of degree $n(>4)$.) So, in this paper we shall deal with the $p$-class groups of $K$ and $k$ in such special case. The main purpose of this paper is to prove the following theorem :

Theorem 1. Let $k$ be an algebraic number field of finite degree. Let $K$ be a Galois extension of degree $m$ over $k$ such that the Galois group $G(K / k)$ is non-abelian and simple. Let $\Omega_{K}$ and $\Omega_{k}$ be the absolute class fields of $K$ and $k$ respectively. Let $p$ be any rational prime number prime to $m$. Let $\bar{H}$ be the $p$-Sylow subgroup of the Galois group $G\left(\Omega_{K} / K \Omega_{k}\right)$, whose rank is denoted by $r$. If $\cdot \bar{H}$ is non-trivial, then we have $r>1$ and

$$
\left(p^{r}-1\right)\left(p^{r-1}-1\right) \cdots(p-1) \equiv 0 \quad(\bmod m) .
$$

After the proof of our main theorem, we shall refer to some results which are easily verified from above theorem.

## § 2. Preliminaries.

In this section we shall prove three lemmas which are required in order
to prove our main theorem.
Lemma 1. Let $k, F$ and $K$ be three algebraic number fields of finite degree such as $k \subset F \subset K$. Let $p$ be any rational prime number prime to $m=[F: k]$. Assume that $F$ and $K$ are both Galois over $k$. Moreover, assume that the Galois group $G(F / k)$ of order $m$ is non-abelian and simple, and the Galois group $G(K / F)$ is an abelian p-group whose rank is denoted by $r$. If we have either $r=1$ or

$$
\left(p^{r}-1\right)\left(p^{r-1}-1\right) \cdots(p-1) \not \equiv 0 \quad(\bmod m),
$$

then there exists the subfield $L$ of $K$ which satisfies the following (1) and (2):
(1) we have $F L=K$ and $F \cap L=k$,
(2) $L$ is Galois over $k$.

Proof. For brevity we put $\bar{G}=G(K / k), \bar{N}=G(K / F)$ and $\bar{H}=G(F / k)$ and we denote the order of $\bar{N}$ by $p^{n}$. Let

$$
\bar{G}=\bar{N} \sigma_{1}+\bar{N} \sigma_{2}+\cdots+\bar{N} \sigma_{m}
$$

be the disjoint union of cosets of $\bar{N}$. Let $\bar{\sigma}_{i}(i=1,2, \cdots, m)$ be the automorphisms of $\bar{N}$ given by $x \rightarrow \sigma_{i}^{-1} x \sigma_{i}$ for all $x \in \bar{N}$. Then it is clear that the mapping $\phi$ given by $\bar{N} \sigma_{i} \rightarrow \bar{\sigma}_{i}$, for $i=1,2, \cdots, m$, is a homomorphism from $\bar{H}$ into the automorphism group $A(\bar{N})$ of $\bar{N}$. Moreover, it is easily verified by the assumption for $\bar{H}$ that the kernel of $\phi$ must be either the identity group $\bar{E}$ of $\bar{H}$ or $\bar{H}$ itself.

Now, we assume that the kernel is $\bar{E}$. Then we know at once that $\phi$ is an injection and the image $\phi(\bar{H})$ is a subgroup of $A(\bar{N})$ which is isomorphic to $\bar{H}$. Since $A(\bar{N})$ must be non-abelian in our case, so we have $r>1$, and it is well known that the order of $A(\bar{N})$ is a divisor of $p^{r(n-r)}\left(p^{r}-1\right)\left(p^{r}-p\right) \cdots$ ( $p^{r}-p^{r-1}$ ). Hence, the order $m$ of $\phi(\bar{H})$ must be so. But this is a contradiction. Therefore, it follows immediately that the kernel of $\phi$ must be $\bar{H}$ itself, and hence all $\bar{\sigma}_{i}$ must be the identity of $A(\bar{N})$. As we have $(p, m)=1$ by our assumption, this means that $\bar{N}$ is the $p$-Sylow subgroup of $\bar{G}$ such as contained in the center of $\bar{G}$, and hence it follows immediately by Burnside's theorem that $\bar{N}$ has the normal $p$-Sylow complement $\bar{Z}$ in $\bar{G}$.

Now, if we denote by $L$ the subfield of $K$ corresponding to $\bar{Z}$ by the Galois theory, then it is easy to verify that $L$ satisfies our conditions (1) and (2).

Lemma 2. Let $k, F, L$ and $K$ be four algebraic number fields of finite degree such as $k \subset F \subset L \subset K$. Denote the degrees [L:F] and [K:L] by $m$ and $n$ respectively. Assume that $F$ and $K$ are both Galois over $k$, and $L$ is Galois over $F$. If we have $(m, n)=1$, then $L$ is Galois over $k$.

Proof. We put $L=k(\theta)$ and $r=[F: k]$, and we denote the minimal polynomial of $\theta$ over $k$ by $f(X)$. Then $f(X)$ whose degree is $m r$, has a factori-
zation

$$
f(X)=\phi_{1}(X) \phi_{2}(X) \cdots \phi_{r}(X)
$$

in $F[X]$, where each $\phi_{i}(X)(i=1,2, \cdots, r)$ is an irreducible polynomial of degree $m$. If we have $\phi_{1}(\theta)=0$, then $L$ is the minimal splitting field of $\phi_{1}(X)$ over $F$. If we denote the minimal splitting fields of $\phi_{i}(X)(i=2,3, \cdots, r)$ by $L_{i}$ respectively, then each $L_{i}$ is a Galois extension of degree $m$ over $F$, and it is the conjugate of $L$ over $k$.

Now, let $M$ be the minimal splitting field of $f(X)$ over $k$, then $M$ is Galois over $k$, and we have $L \subset M \subset K$. Hence, it is clear that $u=[M: L]$ is a divisor of $n$. But, on the other hand, we have $M=L L_{2} \cdots L_{r}$, and if $m=q_{1}^{e_{1}} q_{2}^{e_{2}}$ $\cdots q_{s}^{e_{s}}$ is the prime factorization of $m$, then $u$ must have the prime factorization as $u=q_{1}^{t_{1}} q_{2}^{t_{2}} \cdots q_{s}^{t_{s}}\left(t_{j} \geqq 0\right)$. Hence, in our case we have $(u, n)=1$, and consequently $u=1$. Now it is obvious that we have $L=M$.

Lemma 3. Let $k, F$ and $K$ be three algebraic number fields of finite degree such as $k \subset F \subset K$. Assume that $F$ and $K$ are both Galois over $k$. Let $\bar{H}$ and $\bar{Z}$ be two subgroups of the Galois group $G(K / F)$ such that we have $G(K / F)=$ $\bar{H} \times \bar{Z}$ (direct product). If the orders of $\bar{H}$ and $\bar{Z}$ are relatively prime to each other, then the subfield $L$ of $K$ corresponding to $\bar{H}$ is Galois over $k$.

Proof. For any $\sigma \in G(K / k)$ and for any $\tau \in \bar{H}$ we have $\sigma^{-1} \tau \sigma \in \bar{H}$ because $\tau$ and $\sigma^{-1} \tau \sigma$ have the same orders. Hence, $\bar{H}$ is a normal subgroup of $G(K / k)$, and this means immediately the holding of our assertion.

## §3. The proof of main theorem.

PROOF OF THEOREM 1. Since $K$ is Galois over $k$ and $\Omega_{K}$ is the absolute class field of $K$, it is obvious that $\Omega_{K}$ is a Galois extension of $k$. If we denote the class numbers of $K$ and $k$ by $h_{K}$ and $h_{k}$ respectively, then $h_{K}$ is divisible by $h_{k}$ because we have clearly $K \cap \Omega_{k}=k$ by our assumption for the Galois group $G(K / k)$.

Now, it is evident that the order $p^{n}$ of $\bar{H}$ is equal to $h_{K, p} / h_{k, p}$. If we put $N=K \Omega_{k}$, and if we denote the $p$ Sylow complement of $G\left(\Omega_{K} / N\right)$ by $\bar{Z}$, then it is easily verified that $\bar{H}$ and $\bar{Z}$ satisfy the assumption of Lemma 3 when we apply it to three fields $k, N$ and $\Omega_{K}$. Hence, the subfield $F$ of $\Omega_{K}$ which corresponds to $\bar{Z}$ is Galois over $k$, and we have $[F: N]=p^{n}$. Furthermore, it is evident that the Galois group $G(F / N)$ is isomorphic to $\bar{H}$.

Now, as to the rank $r$ of $\bar{H}$ we assume that we have either $r=1$ or

$$
\left(p^{r}-1\right)\left(p^{r-1}-1\right) \cdots(p-1) \not \equiv 0 \quad(\bmod m) .
$$

Then, from Lemma 1 there exists the subfield $L$ of $F$ such that we have $N L$ $=F, N \cap L=\Omega_{k}$ and $L$ is Galois over $\Omega_{k}$. Next, as we have $[F: L]=\left[N: \Omega_{k}\right]$
$=m$ and $\left[L: \Omega_{k}\right]=[F: N]=p^{n}$, applying Lemma 2 to four fields $k, \Omega_{k}, L$ and $F$, it is easily verified that $L$ is Galois over $k$. Moreover, as the Galois group $G(F / L)$ is isomorphic to $G(K / k)$, it follows at once that we have $K \cap L=k$ and $K L=F$. Hence, the Galois group $G(L / k)$ is abelian as well as $G(F / K)$ because they are isomorphic to each other.

On the other hand, since $F$ is unramified over $N$ and we have $\left(m, p^{n}\right)=1$ by our assumptions, it follows easily that the ramification index of any ramified prime divisor in $F / \Omega_{k}$ is prime to $p^{n}$. This means immediately that $L$ is unramified over $\Omega_{k}$. Hence, $L$ must be an unramified abelian extension of $k$. Now, since $\Omega_{k}$ is the maximal unramified abelian extension of $k$, we must have $L \subset \Omega_{k}$. But this is a contradiction to $\left[L: \Omega_{k}\right]=p^{n}(>1)$.

Thus, our theorem is proved completely.
Q.E.D.

Now, for the relative class numbers, we have immediately the following theorem. Namely :

THEOREM 2. Let $k$ be an algebraic number field of finite degree. Let $K$ be a Galois extension of degree $m$ over $k$ such that the Galois group $G(K / k)$ is non-abelian and simple. Let $p$ be any rational prime number prime to $m$, and let $r$ be the minimal natural number such as $r>1$ and

$$
\left(p^{r}-1\right)\left(p^{r-1}-1\right) \cdots(p-1) \equiv 0 \quad(\bmod m) .
$$

Denote the class numbers of $K$ and $k$ by $h_{K}$ and $h_{k}$ respectively. If $d=h_{K} / h_{k}$ is divisible by $p$, then $d$ is divisible by $p^{r}$.

Moreover, the following theorem will be easily verified by making use of Theorem 1.

THEOREM 3. Let $k$ be an algebraic number field of finite degree. Let $K$ be a Galois extension of degree $m$ over $k$ such that the Galois group $G(K / k)$ is non-abelian and simple. Let $p$ be any rational prime number prime to $m$. Denote the ranks of $p$-class groups of $K$ and $k$ by $r_{K, p}$ and $r_{k, p}$ respectively. Let $q_{1}, q_{2}, \cdots, q_{s}$ be all the different prime factors of $m$, and for $i=1,2, \cdots, s$, let $f_{i}$ be the order of the residue class $p \bmod q_{i}$. If $h_{K, p} / h_{k, p}$ is divisible by $p$, then we have

$$
\max \left(2, f_{1}, f_{2}, \cdots, f_{s}\right) \leqq r_{K, p}-r_{k, p}
$$

Proof. Let $\Omega_{K}$ and $\Omega_{k}$ be the absolute class fields of $K$ and $k$ respectively. Let $\bar{H}$ be the $p$-Sylow subgroup of $G\left(\Omega_{K} / K \Omega_{k}\right)$, and we denote the rank of $\bar{H}$ by $r$. Then, as $p$ is prime to $m$, it is easily verified from Theorem 1 that we have

$$
\max \left(2, f_{1}, f_{2}, \cdots, f_{s}\right) \leqq r
$$

Now, let $C_{K, p}$ and $C_{k, p}$ be the $p$-class groups of $K$ and $k$ respectively. Let $A_{K}$ be the ambiguous ideal class group with respect to $K / k$, and we put $A_{K, p}=A_{K} \cap C_{K, p}$. Then it is known that we have

$$
\left.C_{K, p}=A_{K, p} \times B_{K, p} \quad \text { (direct product }\right)
$$

and $A_{K, p}$ is isomorphic to $C_{k, p}$. (Cf. A. Yokoyama [6]). Hence, it follows from the class field theory that $B_{K, p}$ is isomorphic to $\bar{H}$ and thus we obtain

$$
r_{K, p}=r+r_{k, p}
$$

Finally, as to the relative class numbers of the intermediate fields, we have the following theorem. Namely:

THEOREM 4. Let $k$ be an algebraic number field of finite degree. Let $K$ be a Galois extension of degree $m$ over $k$ such that the Galois group $G(K / k)$ is non-abelian and simple. Let $F$ be a proper intermediate field between $k$ and $K$. Let $p$ be any rational prime number prime to $m$. If $h_{K, p} / h_{k, p}$ is divisible by $p$, then $h_{K, p} / h_{F, p}$ is divisible by $p$ too.

Proof. Let $\Omega_{K}$ and $\Omega_{k}$ be the absolute class fields of $K$ and $k$ respectively. Let $M$ be the subfield of $\Omega_{K}$ such that the Galois group $G\left(\Omega_{K} / M\right)$ is the $p$-Sylow complement of $G\left(\Omega_{K} / K \Omega_{k}\right)$. Then, $M$ is Galois over $k$ from Lemma 3 , and the Galois group $\bar{H}=G\left(M / K \Omega_{k}\right)$ is a $p$-group of order $p^{n}$ with $n>1$ by our assumption and Theorem 1. Moreover, since we have $\left[K \Omega_{k}: \Omega_{k}\right]=m$ and $(m, p)=1$, if we apply the Schur's theorem as to the extension of group to $G\left(M / \Omega_{k}\right), G\left(K \Omega_{k} / \Omega_{k}\right)$ and $\bar{H}$, then we have the decomposition as following :

$$
G\left(M / \Omega_{k}\right)=\bar{H} \bar{Z} .
$$

Here, it is obvious that $\bar{Z}$ is isomorphic to $G\left(K \Omega_{k} / \Omega_{k}\right)$. If we denote by $L$ the intermediate field between $\Omega_{k}$ and $M$ corresponding to $\bar{Z}$ by the Galois theory, then we have clearly $L \cdot K \Omega_{k}=M$ and $L \cap K \Omega_{k}=\Omega_{k}$. Furthermore, it follows that $L$ is not Galois over $\Omega_{k}$. Because, if we assume otherwise, then it follows from Lemma 2 that $L$ is Galois over $k$ and the Galois group $G(L / k)$, which is isomorphic to $G(M / K)$, is an abelian group. Since $M$ is unramified over $K \Omega_{k}$ and we have $\left(m, p^{n}\right)=1$ by our assumptions, it is easily verified that $L$ is unramified over $\Omega_{k}$. Hence, it follows clearly that $L$ is an unramified abelian extension of $k$ and we must have $L \subset \Omega_{k}$ by the definition of $\Omega_{k}$. But it is a contradiction to $\left[L: \Omega_{k}\right]=p^{n}$. Therefore, if we put $L=$ $\Omega_{k}(\theta)$ and if we denote by $f(X)$ the minimal polynomial of $\theta$ over $\Omega_{k}$, then $M$ must be the minimal splitting field of $f(X)$ over $\Omega_{k}$ because $\bar{Z}$ is nonabelian and simple. On the other hand, it is easily verified that $f(X)$ is irreducible in $K \Omega_{k}[X]$ and we have $M=K \Omega_{k}(\theta)$.

Finally, let $\Omega_{F}$ be the absolute class field of $F$. As we have $F \cap \Omega_{k}=k$, it is obvious that we have $\Omega_{k} \subset \Omega_{F}$. Now, if we assume that $h_{K, p} / h_{F, p}$ is not divisible by $p$, then we have $h_{K, p}=h_{F, p}$ and as ( $[K: F], p$ ) $=1$ in our case it follows at once that the $p$-class groups of $K$ and $F$ are isomorphic to each other. Moreover, if we denote by $N$ the field which corresponds to the $p$-Sylow
complement of $G\left(\Omega_{F} / F \Omega_{k}\right)$, then the Galois group $G\left(N / F \Omega_{k}\right)$ is isomorphic to $\bar{H}$ and we have $N \cdot K \Omega_{k}=M$ and $N \cap K \Omega_{k}=F \Omega_{k}$ clearly. Therefore, since $f(X)$ is a polynomial in $F \Omega_{k}[X]$, it is easily verified that by taking a suitable root $\theta^{\prime}$ of $f(X)$ we have $N=F \Omega_{k}\left(\theta^{\prime}\right)$. As $N$ is Galois over $F \Omega_{k}$ and $f(X)$ is irreducible in $F \Omega_{k}[X], N$ must be the splitting field of $f(X)$ and hence we must have $M \subset N$. But this is impossible because we have $[M: N]=[K: F]$ $>1$ by our assumption.

Thus, our theorem is proved completely.

Meijō University

## References

[1] M. Ishida, Class numbers of algebraic number fields of Eisenstein type, J. of Number Theory, 2 (1970), 404-413.
[2] K. Iwasawa, A note on class numbers of algebraic number fields, Abh. Math. Sem. Univ. Hamburg, 20 (1956), 257-258.
[3] H. Yokoi, On the class number of a relatively cyclic number field, Nagoya Math. J., 29 (1967), 31-44.
[4] H. Yokoi, On the divisibility of the class number in an algebraic number field, J. Math. Soc. Japan, 20 (1968), 411-418.
[5] A. Yokoyama, On class numbers of finite algebraic number fields, Tôhoku Math. J., (2) 17 (1965), 349-357.
[6] A. Yokoyama, Über die Relativklassenzahl eines relative Galoisschen Zahlkörpers von Primzahlpotenzgrad, Tôhoku Math. J., (3) 18 (1966), 318-324.
[7] A. Yokoyama, On the relative class number of finite algebraic number fields, J. Math. Soc. Japan, 19 (1967), 179-185.
[8] H. Zassenhaus, Lehrbuch der Gruppentheorie 1, Leipzig, 1937.

