# ARTICLE OPEN Check for updates On the role of Al/Nb in the SCC of AFA stainless steels in supercritical CO<sub>2</sub>

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SCC of a series of AFA stainless steels with different AI and Nb contents were studied in supercritical CO<sub>2</sub> by SSRT. The results show that Nb element plays a precipitation strengthening on the mechanical properties, while it shows few effects on the corrosion properties. The surface oxide film of the AI-free material only consisted of amorphous  $Cr_2O_3$  and Cr-rich spinel. With the addition of AI, the AI<sub>2</sub>O<sub>3</sub> layers are formed and significantly decreases the element diffusion, thus inhibiting the initiation of SCC. Fe<sub>3</sub>O<sub>4</sub> fills the interior of cracks of both AI-free and AI-containing materials. The AI<sub>2</sub>O<sub>3</sub> layer is formed at the crack tip of AI-containing materials. Because the matrix grains are large, the protective AI<sub>2</sub>O<sub>3</sub> layer can only be formed at the crack tip, which cannot completely hinder the outward diffusion of ions on the crack walls and its protective effect on the crack propagation is limited.

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## INTRODUCTION

With the advantages of high compact ability, good compressibility, and high heat transfer efficiency<sup>1,2</sup>, supercritical carbon dioxide (sCO<sub>2</sub>) has been considered as a potential fluid for different energy systems, such as nuclear reactors. The sCO<sub>2</sub> cooled nuclear reactor has become one of the most promising Generation IV nuclear reactors<sup>3–7</sup>. However, the failure of materials under the operating environment has gradually become one of the key issues that limit the development of the sCO<sub>2</sub> system<sup>8</sup>.

Currently, the conventional structural and cladding materials that may be used in sCO<sub>2</sub> cooled nuclear reactor mainly include ferritic/martensitic (F/M) steel<sup>9</sup>, austenitic stainless steel<sup>10,11</sup>, and nickel-based alloy<sup>12</sup>. Among them, Ni-based alloys have high radioactive residue, but their economic cost is too high to be applied on a large-scale<sup>13</sup>. High-temperature corrosion resistance of F/M steels is poor<sup>14</sup>. The thickness of oxide film on T22 steel was beyond 32 µm after 200 h exposure in 550 °C sCO<sub>2</sub><sup>9</sup>. For the austenitic stainless steels and F/M steels exposed to lowtemperature environment (such as subcritical water), Cr<sub>2</sub>O<sub>3</sub> and Cr-containing oxide layers are formed on the surface, which plays the most important protective role<sup>15</sup>. But the stability of these Cr oxide films in high-temperature  $sCO_2$  is still insufficient<sup>10,16–18</sup>. Large area spallation of oxide film and many porosities were observed on the surface of 310 and 316 stainless steels exposed to  $sCO_2$  for only 500 h<sup>10</sup>, which cannot meet the requirements for the applications in sCO2-cooled nuclear reactor, especially the cladding materials.

To solve this problem, a material that not only owns high oxidation resistance in  $sCO_2$ , but also keeps the advantages of easy processing and low cost, is needed. Thus, the aluminaforming austenitic (AFA) stainless steels that initially developed to improve the creep resistance<sup>19–24</sup> have attracted more and more attention. Previous research showed that the mass gain of AFA steels in 800 °C air<sup>25</sup> and supercritical water<sup>26</sup> is quite low for the reason that a continuous Al<sub>2</sub>O<sub>3</sub> layer was formed. Alumina (Al<sub>2</sub>O<sub>3</sub>) owns a lattice of corundum type, which is the same as Cr<sub>2</sub>O<sub>3</sub>, while the thermodynamic stability of Al<sub>2</sub>O<sub>3</sub> is higher<sup>22</sup> and is expected to offer better protection to the materials<sup>27-29</sup> exposed to high temperature and corrosive environment. Pint et al.<sup>30</sup> compared the CO<sub>2</sub> pressure compatibility of several commercial Fe- and Nibased structural alloys, and found that the mass gain of Alcontaining materials was the lowest. The oxide film of AFA-OC6 in sCO<sub>2</sub> was mainly composed of thin and continuous Al<sub>2</sub>O<sub>3</sub> and (Cr, Mn)<sub>3</sub>O<sub>4</sub> at low temperatures or after short exposure time, while the oxide film showed a complex multilayer structure as the temperature and exposure time increased<sup>31</sup>. Moreover, with the addition of Al, the formation of Ni-Al phases<sup>20-24,32,33</sup> in the materials also increases the creep strength of materials, which future improves the application potential for AFA steels in hightemperature sCO<sub>2</sub> environment. The general corrosion resistance of steels was also enhanced in the lead-bismuth eutectic with the increasing of Al addition, while the continuous Al-rich oxide film formed only when the oxygen concentration was low<sup>34,35</sup>.

However, the early research mainly focused on the general corrosion behavior of AFA steels, the mechanical property of AFA steels was mostly tested in air<sup>19-24,36</sup>. Few mechanical tests on AFA steels have been carried out in sCO<sub>2</sub>. In practice, chemical corrosion and mechanical stress are acting on the materials simultaneously, which may result in the stress corrosion cracking (SCC) of the materials and plays one of the most significant roles in component failures of light water reactors (LWRs)<sup>37</sup>. It is reported that sCO<sub>2</sub> accelerated the cracking of materials at constant load<sup>38</sup>. Sridharan et al.<sup>39</sup> assessed the SCC of 316 and alloy 230 in sCO<sub>2</sub> using U-bend samples, and reported that the stress acting on the U-bend did not promote SCC nor significantly change the oxidation products. Olivares et al.40 performed sCO<sub>2</sub> corrosion tests on high-Ni alloys pipes that were internally pressurized, and found that the internal oxidation rate was higher due to the internal pressure. The formation of oxide film on the surface is expected to affect the mechanical behavior of the materials<sup>41,42</sup>. Unfortunately, for AFA steels, the SCC behavior in sCO<sub>2</sub> has not been carefully studied, and the failure mechanism is not revealed.

For the effect of Nb, Shi et al.<sup>43</sup> studied the corrosion behavior of an AFA alloy with a composition of Fe-(15.2-16.6)Cr-(3.8-4.3)Al-

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(22.9–28.5)Ni (wt.%) in 600 °C and 650 °C oxygen-containing molten Pb, and the results showed that a minor Nb addition increased the Cr availability, supported the earlier Cr<sub>2</sub>O<sub>3</sub> formation, and triggered/enhanced the precipitation of B2-NiAl phase, which served as AI reservoir for the formation of AI2O3. In 1200 °C steam, it was reported that Nb addition increased the oxide adherence of Al<sub>(7.9-8.9)</sub>Cr<sub>(21.4-23.2)</sub>Ni<sub>(34.3-35)</sub>Fe<sub>bal</sub>(at.%) alloy, which reduced the oxide film exfoliation and increased the oxidation resistance of materials<sup>44</sup>. While Shen et al.<sup>45</sup> thought that Nb addition had a negative effect on the oxidation resistance of Fe-25Ni-10Cr-4.5Al steels at 1050 °C because Fe<sub>2</sub>Nb phase suppressed the outward diffusion of Al. For mechanical performance, secondary nanosized NbC not only enhanced the creep resistance of the Fe-25Ni-18Cr-3Al (wt.%) and 15Cr-15Ni austenitic stainless steel, but also increased high-temperature strength even after long-term aging<sup>46,47</sup>. But for AFA steels exposed to sCO<sub>2</sub>, the effects of Al and Nb content on the corrosion and SCC mechanisms are still unclear, and more efforts are needed.

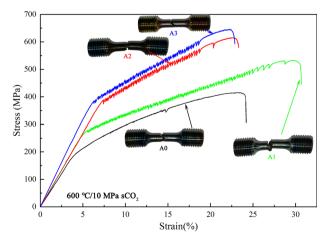
In this work, the SCC behavior of AFA steels with different Al and Nb contents exposed to  $sCO_2$  at 600 °C/10 MPa were studied by the slow strain rate tensile (SSRT) tests. The fracture surface, crack density and size, and oxide film composition were quantitatively measured. Based on these results, the effects of Al and Nb on the crack initiation and growth of AFA steels in high-temperature and high-pressure  $sCO_2$  were compared. And the working mechanisms of Al and Nb on SCC were proposed.

## **RESULTS AND DISCUSSION**

## Effect of Al and Nb on the fracture and dynamic strain aging behaviors

Fig. 1 shows the stress-strain curves and the corresponding photographs of the failed tensile samples. Slight necking and dark corrosion products can be observed on all samples. The yield strength (YS), ultimate tensile strength (UTS) and elongation of the samples are listed in Table 1. It can be found that the YS and the UTS increases with the increase of Al and Nb content (A3 > A2 > A1 > A0). The elongation of the material increases first and then decreases with the increase of Al, while Nb addition decreases the elongation.

The effect of Nb on the strength can be attributed to the precipitation of NbC<sup>36</sup> in the matrix. As present in Fig. 2, bright NbC precipitates exist in the materials. Nb has a large atomic number, and thus the Nb compound (NbC) shows a bright color in backscattering electron (BSE) images<sup>20,21,24</sup>. Transmission electron microscopy (TEM) is used to further identify NbC precipitates. The bright-field (BF) image, the nanobeam diffraction pattern and EDS



**Fig. 1** SSRT stress-strain curves of different materials in sCO<sub>2</sub>. (A0: 0Al-0.6Nb, A1: 2.5Al-0.6Nb, A2: 3.5Al-0.6Nb, A3: 3.5Al-1Nb).

dot scan results are shown in Fig. 2f, g, respectively. As shown in BSE images, most of NbC precipitates are distributed on grain boundaries (GBs) and a few of them are distributed inside the grains, which is consistent with former published results<sup>20,21,24</sup>. The size distribution of NbC is shown in Fig. 2e). NbC is a primary carbide, which precipitates directly from the liquid metal in the casting process. The SSRT process (aging happens simultaneously) has little effect on the content or the size of NbC. The volume fraction of NbC in different AFA samples is also measured. With the increase of Nb content from 0.6 wt. % to 1.0 wt.%, the volume fraction of NbC is also increased from 0.36 vol.%, 0.20 vol.%, 0.30 vol.% (A0–A2) to 0.72 vol.% (A3).

In the tensile process, NbC precipitates hinder the deformation of materials. In the meantime, NbC precipitates are mainly distributed at the grain boundaries, which contributes to stress concentration in this area and makes grain boundaries more prone to cracking. Thus, the materials with higher content of NbC precipitates are more propone to be cracked and exhibit a low elongation. Similar results were also reported by other researchers<sup>48–50</sup>. The internal cracks induced by NbC concentration are widely observed in the center of the samples, as shown in the following section. So, with the increase of Nb content, the toughness of material monotonically decreases. Because the solubility of Nb in the low carbon steel is very low (about 0.01%)<sup>51,52</sup>, most of the Nb element is precipitated in the form of NbC. Therefore, the amount of solute Nb is negligible and its effect is not discussed in this study.

Al addition contributes to the formation of the NiAl phase in the steels, which increases the high-temperature strength of material<sup>53</sup>. However, in this study, there are not any Al-containing precipitates observed in the solid-solution treated samples before SSRT tests, as shown in Fig. 2a, which is consistent with the thermodynamic calculation results<sup>33</sup> in Fig. 2d. When the solid-solution temperature is higher than 860 °C, Al element is basically dissolved in the austenite lattice, and the amount of NiAl phase becomes zero. In this study, the material is water quenched after solid-solution treatment, so the Al element is kept being dissolved in the materials. However, Al-containing precipitates are formed in the SSRT tests. This is because the SSRT test is carried out at 600 °C, and the aging of the materials results in the precipitation of the Al-containing precipitates.

It has been reported that the addition of Al can increase the toughness of the material tested in corrosive environment through the formation of protective Al<sub>2</sub>O<sub>3</sub> on the sample surface<sup>8,25,54</sup>, which is consistent with the results of this study that the elongation of A1 is much higher than A0<sup>8,25,54</sup>. However, the elongation of the samples (A2 and A3) containing 3.5 wt.% Al is similar to A0 and lower than A1. As mentioned above, the increment of Al in AFA steels can influence the mechanical properties of the materials in two ways: on one hand, more Ni-Al precipitates are formed in 600 °C sCO<sub>2</sub> when the Al content is higher, so the strength of the materials is increased and the elongation is reduced; on the other hand, a high-level Al addition can increase the oxidation resistance of the materials, and hence to increase the toughness of the materials<sup>8,25,54</sup>. The combination of two mechanisms determines the final mechanical properties of the AFA steels, which will be further discussed in

Table 1. Chemical compositions of experimental materials (wt. %).											
Element	Fe	Ni	Cr	Nb	AI	Мо	Si	С			
A0 (0AI-0.6Nb)	Bal.	25	18	0.6	-	2	0.25	0.02			
A1 (2.5Al–0.6Nb)	Bal.	25	18	0.6	2.5	2	0.25	0.02			
A2 (3.5Al-0.6Nb)	Bal.	25	18	0.6	3.5	2	0.25	0.02			
A3 (3.5Al–1Nb)	Bal.	25	18	1	3.5	2	0.25	0.02			

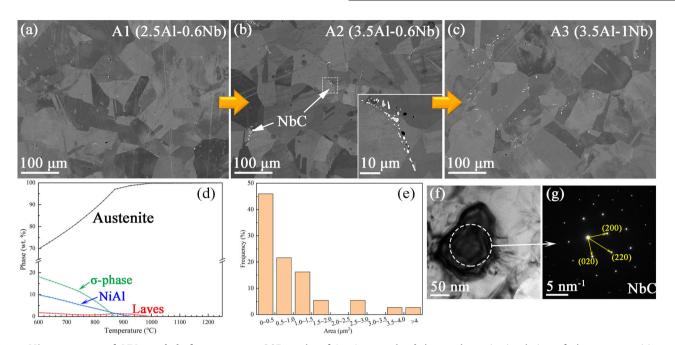


Fig. 2 Microstructure of AFA steels before tests. a-c BSE results of A1-A3 sample, d thermodynamic simulation of phase composition vs. temperature, e size distribution of NbC, TEM results of NbC precipitates (f) BF image and (g) nanobeam diffraction pattern of a NbC particle.

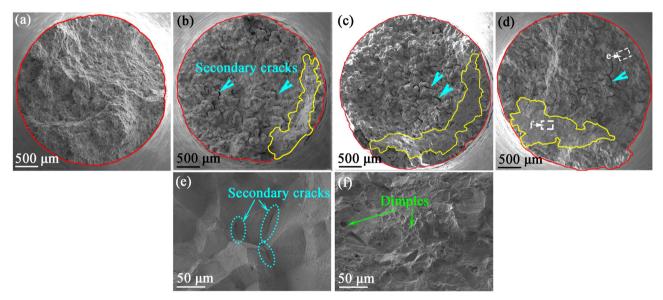


Fig. 3 Fractographies. a A0: 0AI-0.6Nb, b A1: 2.5AI-0.6Nb, c A2: 3.5AI-0.6Nb, d A3: 3.5AI-1Nb, e IGSCC pattern, and f ductile pattern in the white frame in (d).

the following paragraphs. In addition, the effects of Al and Nb on Young's modulus are also similar to their effects on strength, as shown in Fig. 1. With the increase of Nb or Al, the amount of NbC or Ni-Al precipitates increases, which inhibits the movement of dislocations and slip bands, leading to the increase of Young's modulus of materials. However, the displacement measuring device (the linear variable differential transformer, LVDT) cannot withstand the longtime exposure to high-temperature and highpressure water, and it is installed outside the autoclave. The measured strain is inevitably higher than the true strain of the gauge section of the sample. So, the absolute value of Young's modulus is meaningless.

The tensile curve of the A0 sample (0AI–0.6Nb) is basically smooth. While under the same test conditions, the tensile curves of samples with AI addition (A1, A2, and A3) have obvious serrated

yielding, which can be attributed to the dynamic strain aging (DSA) mechanism. This mechanism is summarized as follows: during the plastic deformation, the dislocations are pinned by some obstructions, leading to an increase in strength. Subsequently, the dislocations get rid of these obstructions and continue to move, which decreases the strength<sup>55</sup>. This process ultimately manifests as the serration flow and discontinuous plastic deformation. The DSA amplitudes of A1–A3 samples are  $10.44 \pm 2.62$  MPa (2.5Al–0.6Nb),  $14.05 \pm 2.85$  MPa (3.5Al–0.6Nb), and  $12.04 \pm 2.43$  MPa (3.5Al–1Nb), respectively. The DSA value of the AFA steels with different Al contents is close, and more data is needed for a quantitative analysis of the effect of Al content on the DSA.

The fractographs of different samples are observed by scanning electron microscopy (SEM) and are shown in Fig. 3. The fracture of

Table 2. Data obtained from SSRT tests at 600 °C/10 MPa sCO2.											
No.	Composition	YS (MPa)	UTS (MPa)	Elongation at UTS (%)	DSA amplitude (MPa)	Section shrinkage (%)	IGSCC ratio (%)				
A0	0AI-0.6Nb	182	415	23.5	0	13.8	100				
A1	2.5Al-0.6Nb	277	532	29.7	$10.44 \pm 2.62$	24.4	89.3				
A2	3.5Al-0.6Nb	389	615	22.6	14.05 ± 2.85	14.3	84.7				
A3	3.5Al-1Nb	385	646	22.3	$12.04 \pm 2.43$	14.5	85.9				

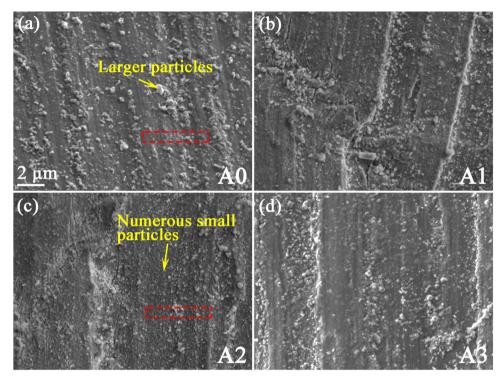


Fig. 4 Surface oxide films near the fractures. a A0 sample (0AI–0.6Nb), b A1 sample (2.5AI–0.6Nb), c A2 sample (3.5AI–0.6Nb), d A3 sample (3.5AI–1Nb).

each sample can be divided into two types of patterns: intergranular stress corrosion cracking (IGSCC) region and ductile fracture region. The IGSCC region is enlarged, as shown in Fig. 3e. The IGSCC pattern is observed in all samples and occupies most of the fracture, which indicates that the IGSCC tendency of all materials is high. Serval secondary intergranular cracks (marked by blue arrow) are also observed. Ductile fracture regions, whose typical pattern is shown in Fig. 3f, are composed of many dimples and occupy a small area on the fracture.

The fracture area and the ductile fracture regions of all samples are marked by red and yellow circles, respectively. And then the section shrinkage and the ratio of IGSCC are measured and listed in Table 2. The degree of necking is calculated by dividing the fracture area by the original section area. The IGSCC ratio is calculated by dividing the IGSCC area by the fracture area. As the data shows, 100% IGSCC occurs on the A0 sample. While with the addition of AI, the ductile fracture regions appear and occupy about 10-15% area of the fractures on A1, A2, and A3 samples. Among those samples, the A2 sample has the highest necking and a lower SCC tendency. Overall, for three kinds of materials containing AI element, the difference in IGSCC ratio is small. This indicates that the minor addition of Al (2.5 wt.%) has an obvious benefit effect on the SCC resistance, while the benefit effect is not further increased with the further increase of Al (from 2.5 wt. % to 3.5 wt.%).

## Effect of AI and Nb on the oxide film composition and SCC initiation behavior

The surface oxide film has a dominant effect on the crack initiation during exposure to sCO2. So, the oxide film is preferentially analyzed in this section. The morphologies of oxide films on the uncracked columnar surface of the tensile sample near the fracture are shown in Fig. 4. The oxides can be divided into two categories: the entire surface of the samples is covered with a continuous oxide film; and a few large oxide particles with an average dimension of  $\sim$ 0.3 µm are scattered on the oxide film. These large oxide particles are composed of 56.9 at.% O, 30.2 at.% Fe, 8.8 at.% Cr and 3.5 at.% Ni, according to SEM-EDS results. Referring to the results published, these particles are the spinel<sup>10,56-60</sup>. The distinguishable scratches on the surface of the samples after the SSRT tests indicate that the oxide film is quite thin. In the general corrosion process, the size of oxide particles on the surface of materials is an indication of the corrosion degree: the bigger the oxide particles, the higher the general corrosion degree. Among these samples, the oxide particles on the surface of A0 are the largest, indicating the most severe general corrosion degree of A0. With the addition of Al element, the size of oxide particles on the surface A1, A2, and A3 alloy decreases to about 0.2 µm, which indicates the corrosion resistance is improved. The improvement of corrosion resistance will benefit the SCC resistance of the materials.

The detailed cross-sectional microstructure of oxide film (uncracked part near the fracture, labeled by the red dash line box in Fig. 4 of A0 and A2 samples was studied by TEM, as shown in Figs. 5, 6, respectively. The maximum thickness of oxide film on the A0 sample is about ~560 nm, which contains an outer amorphous Cr<sub>2</sub>O<sub>3</sub> layer and an inner Cr-rich spinel layer, as confirmed by the BF and high-angle annular dark field (HAADF) images of Fig. 5c, d. It has been reported that amorphous Cr<sub>2</sub>O<sub>3</sub> is formed on the surface of Cr coated materials exposed to hightemperature CO<sub>2</sub><sup>61,62</sup>. Yue et al.<sup>63</sup> and Liu et al.<sup>64</sup> also reported that an amorphous layer mainly containing Cr<sub>2</sub>O<sub>3</sub> was observed on the surface of API-P110 grade 13Cr and 2205 duplex stainless steel exposed to subcritical CO<sub>2</sub>, while nano-polycrystalline oxide was formed in much higher temperature environment. In summary, the amorphous Cr<sub>2</sub>O<sub>3</sub> is always present on the mildly corroded samples. Thus, it can be deduced that the formation of amorphous Cr<sub>2</sub>O<sub>3</sub> is related to the relatively low corrosion degree of materials. In this study, the Cr content is relatively high, and the grain size of the sublayer is very small after grinding treatment, so the outward diffusion rate of Cr element is fast and a continuous Cr<sub>2</sub>O<sub>3</sub> oxide layer is formed at the first time. The early formation of Cr<sub>2</sub>O<sub>3</sub> leads to a low corrosion degree, and the Cr<sub>2</sub>O<sub>3</sub> has not been sufficiently crystallized. As a result, the  $Cr_2O_3$  exhibits an amorphous structure. The inner oxide layer is polycrystalline spinel. The EDS mapping in Fig. 5e shows that the main composition of this layer is Cr and O, and only a few Fe element is observed. So, this layer is composed of Cr-rich spinel.

According to the above results, the corrosion process can be summarized as follows: the amorphous Cr<sub>2</sub>O<sub>3</sub> layer is formed first, and then some Fe ions penetrate into the amorphous Cr<sub>2</sub>O<sub>3</sub> layer and react with Cr<sub>2</sub>O<sub>3</sub>, which results in the formation of the Fe-Cr spinel layer. While the outward diffused Fe ions are insufficient, and the Cr<sub>2</sub>O<sub>3</sub> layer cannot be completely consumed. Thus, some residual Cr<sub>2</sub>O<sub>3</sub> is still present at the top of the oxide film, and the spinel is present at the bottom of the oxide film. Pores are also observed at the oxide/matrix (O/M) interface, and the material surrounding those pores is also oxidized, as shown in Fig. 5b. The internal oxidation zone (IOZ) is observed along the grain boundaries. In the corrosion process, metal elements, such as Cr, Fe, and Al, diffuse outward to the surface to form the oxide film. In the meantime, the matrix becomes loose and the pores are formed. If these pores further grow up and connect with each other, they can develop into cracks.

As shown in Fig. 6, a multilayer oxide film with an average thickness of ~100 nm covers the surface of the A2 sample, which is much thinner and more compact than the oxide film on the A0 sample (~560 nm). As the oxide film becomes more compact, it is more difficult to be cracked in the tensile process. Specifically, the oxide film can be divided into three layers. Beneath two Crrich layers (amorphous Cr<sub>2</sub>O<sub>3</sub> and polycrystalline Cr-rich spinel), the bottom layer is an Al<sub>2</sub>O<sub>3</sub> polycrystalline layer. As shown in Fig. 6f, the Al<sub>2</sub>O<sub>3</sub> layer is continuous and intact. Although the surface material is twisted in the SSRT test, the Al<sub>2</sub>O<sub>3</sub> layer still almost covers the whole surface. Even for the microcrack in Fig. 6c, the Al<sub>2</sub>O<sub>3</sub> also fills the crack interior, which inhibits the further corrosion and growth of the crack. With the formation of continuous  $Al_2O_3$  layer, the outward diffusion of Fe ions is decreased, and the Fe element, which existed on the surface before the test, reacts with Cr<sub>2</sub>O<sub>3</sub> and forms the spinel. Compared with the A0 sample, the thickness of Cr-rich spinel layer decreases as well, and no continuous Cr-rich spinel layer is formed. As shown in Fig. 6b, at places where the residual Fe content is higher, the spinel grows and forms a bulge. For Nb element, there are not any Nb-containing oxides observed in all SEM and TEM results. NbC precipitate is difficult to be oxidized and has little effect on the microstructure and composition of the oxide film. There are no obvious pores and the  $IOZ^{65-68}$  in the Al-containing materials, which is because the inward diffusion of O is also inhibited by the  $Al_2O_3$  layer. The comparison between the uncracked oxide film formed on the surface of A0 and A2 indicates that Al plays an important role in determining the corrosion behavior of the materials.

Beneath the oxide film, a NiAl denuded matrix alloy layer with average thickness of ~150 nm is formed. While a layer (average thickness of ~850 nm) with a high density of NiAl precipitates exists below the NiAl denuded layer. Both layers are located in the surface work-hardened area. In this area, the grain size is much smaller and the grain boundary density is higher, so precipitates (~100 nm) are formed in a short time (<100 h), as shown in Fig. 6d. In addition, the size of these precipitates in the surface work-hardened area decreases with the increase of depth. The volume fraction of the precipitates in the surface workhardened layer (fine grains) is about 6.62%, while the value becomes less than 0.5% in the matrix alloy with coarse grains. According to the published results<sup>19-24</sup>, precipitates would be formed during the aging treatment of AFA steels. The generating rate of precipitates is affected significantly by the element diffusion rate. In the fine grain region, the element diffusion rate is higher and the precipitates can grow larger. The disappearance of precipitates in the NiAl denuded layer is because Al element diffuses outward to the sample surface and form the surface Alcontaining oxide film. The Cr-rich precipitate denuded layer is also observed in the work-hardened area, whose thickness is about 330 nm and it is thicker than NiAl denuded layer. This is because Cr ions have been diffused outward in this layer and the Cr-rich precipitate cannot be formed.

The oxide film of AFA steels tested in this study is different from those of other stainless steels corroded in a similar environment<sup>10,56-60</sup>, which are usually composed of Fe<sub>3</sub>O<sub>4</sub>/ Fe<sub>2</sub>O<sub>3</sub><sup>69</sup>, Fe-Cr-Ni spinel<sup>70,71</sup>, Cr-rich layer and metallic Ni layer below the oxide film<sup>72</sup> from outside to inside. As shown in Fig. 7a, because the surface of the tensile sample before SSRT tests is rough (ground without polishing treatment), there is a surface work-hardened layer on the surface of the materials. In this layer, the grain size decreases obviously to 100-200 nm, and the density of GBs increases. In the meantime, large kernel average misorientation (KAM) values, which means large plastic deformation, also appear in the surface work-hardened layer, as shown in Fig. 7b. The increment of GB density provides more fast diffusion paths and accelerates the formation of  $Al_2O_3$  or  $Cr_2O_3$  layers<sup>73</sup>, which inhibit the outward diffusion of Fe ions in the test (<100 h), so Fe<sub>3</sub>O<sub>4</sub>/Fe<sub>2</sub>O<sub>3</sub> has not yet been formed. Furthermore, there is no obvious Ni-rich metallic layer<sup>74</sup> beneath the oxide film of the samples. The formation of the metallic Ni-rich layer is because the Fe and Cr ions diffuse outward, and the relative content of Ni increases<sup>74</sup>. In this study, the relative content of Ni changes little and the metallic Ni layer is not formed without the fast outward diffusion of Fe and Cr ions.

According to the above results, the formation process of oxide film in AFA steels exposed to  $sCO_2$  is summarized and schematically shown in Fig. 8:

Because the content of Cr in the materials is much higher than Al, and Cr has a relative higher affinity with  $CO_2$  than other elements except Al, dynamically, Cr reacts with  $CO_2$  to form the continuous  $Cr_2O_3$  layer (Fig. 8b) first on the sample surface:

$$2Cr + \frac{3}{2}CO_2(g) = Cr_2O_3 + \frac{3}{2}C, \ \Delta \mathbf{G} = -309.786 \ \text{kJ} \cdot \text{mol}^{-1} \tag{1}$$

Once the continuous  $Cr_2O_3$  layer is formed, the oxygen partial pressure at the O/M interface becomes lower. Then only the Al element can be preferentially oxidized because of its highest affinity with oxygen. As shown in Fig. 8a, Al existed in the grains or precipitates can diffuse outward along the grain boundaries, and a continuous Al oxide layer is formed according to the

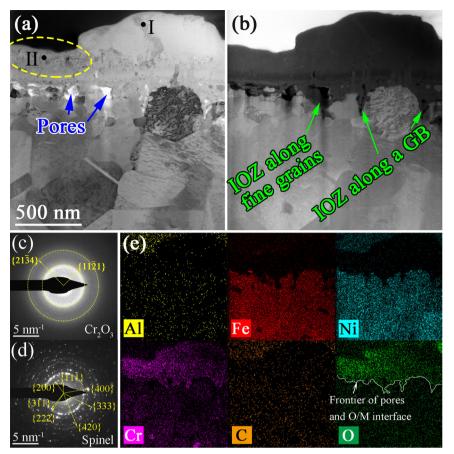


Fig. 5 TEM results of the surface oxide film of A0 sample. a BF image, b HAADF image, c, d Nanobeam diffraction patterns of I and II regions, e EDS mapping of (a).

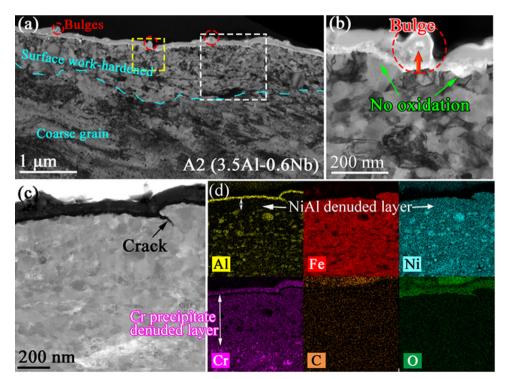


Fig. 6 TEM results of the surface oxide film near the fracture of A2 sample. a BF image, b magnification of the oxide film inside the yellow frame in (a), c HAADF image inside the white frame in (a), d EDS mapping of (c).

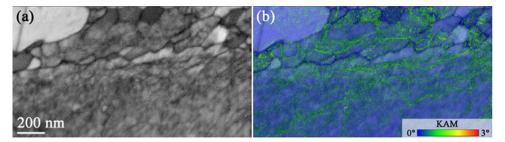


Fig. 7 TKD results of the surface work-hardened layer of A2 sample before SSRT tests. a Grain structure, b KAM mapping.

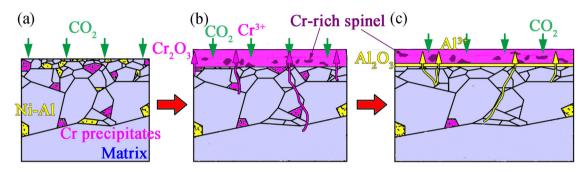


Fig. 8 Schematic corrosion process of AFA steel exposed to sCO<sub>2</sub>. (a) the original state of the materials, (b) the formation of  $Cr_2O_3$  layer, (c) the formation of  $Al_2O_3$  layer.

following reaction:

$$2AI + \frac{3}{2}CO_2(g) = AI_2O_3 + \frac{3}{2}C, \ \Delta \textbf{G} = -808.387 \ \text{kJ} \cdot \text{mol}^{-1} \eqno(2)$$

 $Cr_2O_3$  can restrain the outward diffusion of Ni but not Fe because Fe has a high solubility in  $Cr_2O_3^{70}$ . In the Al-free stainless steels, the outward diffused Fe will react with  $Cr_2O_3$  to form FeCr<sub>2</sub>O<sub>4</sub> spinel at 600°C based on the following equations:

$$Cr_2O_3 + Fe + \frac{1}{2}CO_2(g) = FeCr_2O_4 + \frac{1}{2}C, \ \Delta G = -9.483 \text{ kJ} \cdot \text{mol}^{-1}$$
(3)

However, due to the formation of continuous  $Al_2O_3$  layer, the outward diffusion of Fe is reduced in Al-containing materials. So, only a few residual Fe element, which already existed on the surface before the test, can react with  $Cr_2O_3$ . Cr-rich spinel is then formed.

Ni is hardly to be oxidized by  $CO_2$  and combine with  $Cr_2O_3$  to form NiCr<sub>2</sub>O<sub>4</sub>, according to the calculated Gibbs free energy at 600 °C as follows:

$$2Ni + CO_2(g) = 2NiO + C, \Delta \textbf{G} = 76.379 \text{ kJ} \cdot \text{mol}^{-1}$$
(4)

For Nb element, it has a little effect on the oxidation process. This is because Nb element mostly combines with C to form NbC in the cast process. The reaction between NbC and  $CO_2$  is more difficult, as clearly indicated by the fact that the Gibbs energy of reaction (7) is less negative:

$$2NbC + CO_2(g) = 2NbO + 3C, \Delta G = -14.694 \text{ kJ} \cdot \text{mol}^{-1}$$
 (5)

In summary, with the existence of  $AI_2O_3$  at the O/M interface, the oxide film of the AFA steels is more intact and thinner. The outward diffusion of matrix element, the formation of IOZ and the pores at the O/M interface are inhibited by the continuous  $AI_2O_3$  layer. Thus, the oxide film is hard to be cracked and reduce the probability of crack initiation. In contrast, without the AI addition, the oxide film is thicker. Pores and IOZ are formed at the O/M interface, which may easily develop into cracks in the tensile process.

## Effect of AI and Nb on the propagation of SCC

To clearly reveal the effects of Al and Nb on the crack propagation of AFA steels, the microstructure and the composition of the formation of the area of the cracks are studied. As presented in Fig. 9a, the distribution of microcracks on the columnar surface is not uniform. Taking A2 as an example, the microcracks near the IGSCC fracture is sparse (surface A), while they are dense near the ductile fracture (surface B). This can be attributed to the reason that the formation of the main crack (which develops to fracture finally) releases part of the stress on the nearby surface A and consequently inhibit the initiation and growth of new cracks. In contrast, there is no main crack/stress release on surface B, so the high stress leads to the easier initiation and growth of new cracks.

The morphologies of the cracks near the ductile fractures (surface B) of different samples are also studied. As shown in the first row of Fig. 9c–f, the microcracks of the A1 sample are the widest and the longest. This is because the A1 sample shows the highest elongation in the SSRT test, and the microcracks can be fully developed in the longer testing time. The samples are split along the black lines in Fig. 9a, and the cross-sections of microcracks are observed and shown in the second row of Fig. 9c–f. It can be found that the cracks strictly grow along grain boundaries, which indicates that the IGSCC susceptibilities of all samples are extremely high.

In addition, few NbC precipitates are observed on the crack growth paths or in front of the crack tips, which indicates that NbC precipitates have little effect on the growth of SCC cracks in this work. This is because NbC is not uniformly distributed on the grain boundary and concentrated at certain areas. Thus, the cracks initiated from the seriously corroded regions are difficult to encounter and be affected by NbC. According to the results of Qiao et al.<sup>75</sup>, Nb hardly affected the SCC susceptibility of low-alloy steels in seawater without hydrogen charging. While Shi et al.<sup>43</sup> reported that Nb addition could increase the Cr availability in the matrix by reducing the formation of carbide, which supported the earlier  $Cr_2O_3$  formation and thus improved the SCC resistance of material. In our work, the NbC precipitates are large and concentrated at part of grain boundaries, which results in stress concentration and the development of the creep cracks

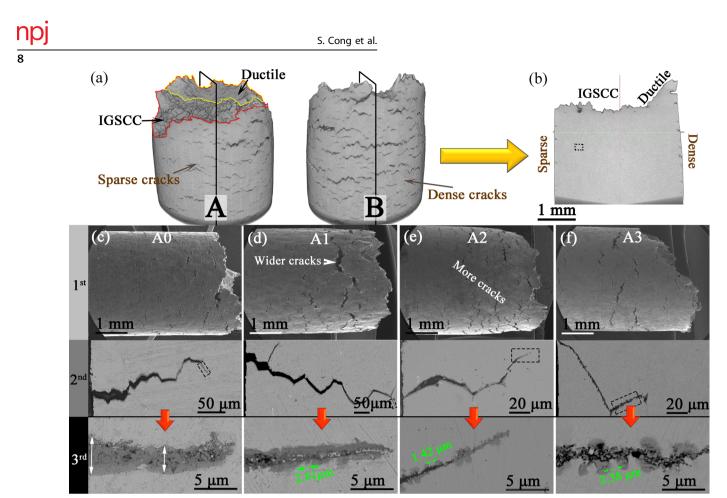


Fig. 9 Feature of surface microcracks. a Surface microcracks of A2 sample observed from different angles, b schematic diagram of crosssection processing, surface microcracks observed from the top and the cross-section of (c) A0 sample (0AI–0.6Nb), d A1 sample (2.5AI–0.6Nb), e A2 samples (3.5AI–0.6Nb), f A3 samples (3.5AI–1Nb).

developed inside the materials. As shown in Fig. 10a, many bright NbC precipitates are located at both ends of the creep crack, which is existed inside the material and uncorroded. Several micropores are formed near these NbC precipitates. This indicates that the aggregated NbC precipitates promote the initiation and the growth of internal creep cracks. So, with the increase of Nb content, the toughness of the sample decreases.

A comparison between the surface cracks shown in the third row of Fig. 9c-f and the internal cracks shown in Fig. 10b indicates that there are obvious oxidation regions on the surface crack walls. The oxide film on the crack wall of the A0 sample is the thickest, which reaches 4.5 µm in many places. While the thickness of the oxide films on the crack walls of A1-A3 samples is ranged from 0 µm to 2 µm, suggesting a higher corrosion resistance of the materials. It should be also noticed that the thickness of the crack walls oxide films of A1-A3 samples is not uniform. The oxide films become thicker at intervals, indicating that the oxidation process of the materials is discontinuous. It is generally believed that the stress corrosion cracking is occurring via a slip-film rupture-oxidation mechanism<sup>76,77</sup>. The growth of cracks is accompanied by the formation and the rupture of oxide film again and again. In this iterative process, the crack intermittently grows and arrests, resulting in intermittent changes in the thickness of the oxide films on the crack walls. The related mechanism is discussed in detail in the following paragraphs.

To better analyze the crack growth process, the microstructure and the composition of the crack tips of A0 and A2 samples are studied, as shown in Figs. 11–13, respectively. Figure 11 shows the oxide film on the crack walls of the A0 sample. In Fig. 6, the corrosion in the crack initiation process occurs on the surface work-hardened layer with fine grains. While the corrosion in the crack growth process (Figs. 11–13) occurs on the large matrix grains. Therefore, the structure of oxide film is different.

With the large grains, the outward diffusion rate of Al and Cr is decreased, and the formation of continuous  $Al_2O_3$  and  $Cr_2O_3$  layers is hindered. Except the Cr-containing spinel,  $Fe_3O_4$  is also formed and fills the crack crevice, regardless of the sample type, as shown in the selected area electron diffraction (SAED) pattern results in Figs. 11–13. Furthermore, metallic Ni layer is also observed near the grain boundary, as shown in the EDS mapping results of Fig. 11, which is because Fe diffuses outward, and the relative content of Ni in this layer is increased. As shown in Fig. 11b, the material on both sides of the cracked grain boundary is corroded seriously and deeply. This is because the element diffusion along the grain boundary is faster than through the intercrystallite, which contributes to the earlier formation of protective oxide film above the grain boundary and inhibits serious corrosion. Similar to the uncracked surface, a selective oxidation zone is also observed.

Figure 12a clearly shows that the crack walls of A2 samples are also oxidized in the cracking process, and the crack is filled with oxides. The width of the crack in the A2 sample is uneven because the crack intermittently grows and arrests. In the crack-arrest period, the crack wall near the crack tip is more seriously oxidized, as shown in Fig. 12a. The composition of the materials near the crack tip is analyzed by EDS and the results are shown in Fig. 12c–g. It can be concluded that the oxides formed during the crack-arrest period include four kinds of species:  $Fe_3O_4$  filling in the crack center (region II),  $Cr_2O_3$  (region III), Cr-rich spinel (region IV), and an extremely thin  $Al_2O_3$  layer surrounding the crack tip, the thicker the Cr-containing oxide layer or the  $Al_2O_3$  layer. Although the  $Al_2O_3$  layer is formed at the crack tip, the coverage of the  $Al_2O_3$  layer at the crack tip is not

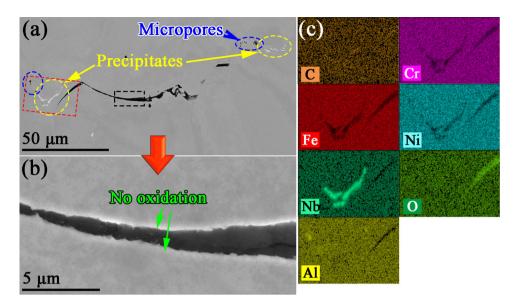


Fig. 10 Internal microcracks in the dark frame of Fig. 9b (A2 sample, 3.5AI–0.6Nb). a, b BSE images, c EDS in the red frame of (a).

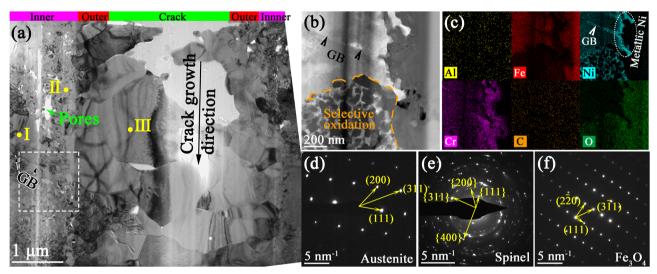


Fig. 11 TEM results near the crack tip of A0 sample (OAI–0.6Nb). a BF image, b HAADF image inside the white frame, c EDS mapping of (b), d-f SAED patterns of I, II and III regions labeled in (a).

large enough to completely inhibit the outward diffusion of Fe in the area relatively far from the crack tip.

Different from the grains in the surface work-hardened layer, the matrix grain size is large, and the grain boundary density is low. In this condition, the formation of a continuous Al<sub>2</sub>O<sub>3</sub> layer is difficult. As shown in the EDS mapping results of Figs. 12, 13, the Al<sub>2</sub>O<sub>3</sub> layer is not obvious. But stress and strain fields exist at the crack tip, as pointed out by Andresen<sup>78,79</sup>, which greatly accelerates the element diffusion in this area and contributes to the formation of relatively thick  $Cr_2O_3$  and  $Al_2O_3$  layer. The formation rate of the  $Cr_2O_3$  and  $Al_2O_3$  layers decreases rapidly as the distance to the stress concentration area increases, and the  $Cr_2O_3$  and  $Al_2O_3$  layers are only formed in a very small area near the crack tip.

Figure 13 shows the oxide film formed on the crack walls of the rapid crack propagation stage labeled by the green frame in Fig. 12. The width of the crack is about 150 nm. As shown in Fig. 13a, the crack is filled with oxides, and the center consists of  $Fe_3O_4$ . However, compared to the oxide film at the crack tip in Fig. 12b, the  $Cr_2O_3$  layer is fragmented, and no  $Al_2O_3$  layer is observed.

Based on the above results, the process of the crack growth of AFA steels and the effect of Al addition can be summarized and schematically described in Fig. 14 as follows:

Firstly, because of the stress concentration at the crack tip, many lattice defects are produced and the element diffusion is accelerated (stage I). So, at the crack tip (the plastic deformation in this area is the largest), the Al<sub>2</sub>O<sub>3</sub> layer is formed for the fast outward diffusion rate. The concentration of Cr in the matrix is high, so Cr<sub>2</sub>O<sub>3</sub> oxide film is also formed on the crack walls exposed to sCO<sub>2</sub>. In the meantime, Fe ions diffuse outward through the discontinuous Al<sub>2</sub>O<sub>3</sub> and Cr<sub>2</sub>O<sub>3</sub> layer to form Fe<sub>3</sub>O<sub>4</sub>, which fill the narrow crevice, as shown in Fig. 14b. Fe ions can also react with Cr<sub>2</sub>O<sub>3</sub> to form Cr-rich spinel. At stage III, the crack tip oxide film is ruptured by the applied load, the crack advances one step along the grain boundary and the fresh metal is exposed to sCO<sub>2</sub> again, as shown in Fig. 14c. Because the duration of cracking of the crack tip oxide film is short, the crack growth path is corroded mildly. The length of a step can usually reach several microns, as shown in Fig. 9, which is similar to the formation of crack-arrest markings<sup>80-83</sup>. As the crack grows, the material near S. Cong et al.

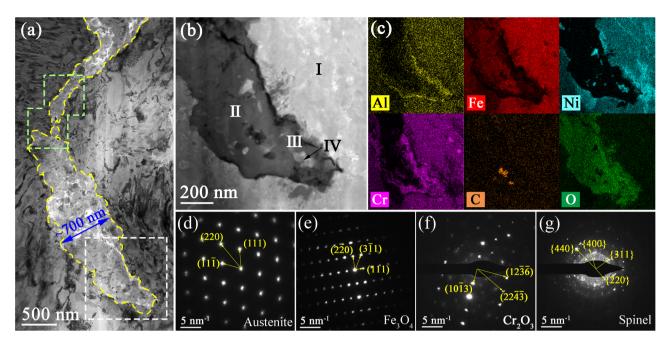


Fig. 12 TEM results of the crack tip of A2 sample (3.5AI–0.6Nb). a BF image, b HAADF image inside the white frame, c EDS mapping of (b), d–g nanobeam diffraction patterns of I, II, III and IV regions labeled in (b).

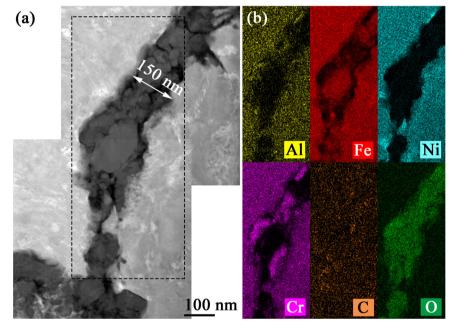


Fig. 13 TEM results of the crack path inside the green frame in Fig. 12a. a HAADF image, b EDS mapping of (a).

the new crack tip is corroded quickly and  $Al_2O_3/Cr_2O_3$  layer can be formed again. Subsequently, the same corrosion process as stage II occurs repeatedly, as schematically shown in Fig. 14d. Finally, a thick-thin discontinuous oxide film is formed in the slipfilm rupture-oxidation process, and the crack grow inward intermittently along the grain boundary.

## METHODS

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### Materials

AFA steels used in this study were provided by University of Science and Technology Beijing (USTB). The chemical composition of AFA steels is shown in Table 2. The materials were firstly fabricated by vacuum induction melting.

Then the casts were forged in the temperature range from 1250 °C to 1050 °C with a 3:1 forging ratio, and homogenized at 1150 °C for 2 h. At last, hot rolling was performed on the homogenized materials for three times with a reduction ratio of 20% each time, and then the rolled materials were solution treated at 1200 °C for 2 h. The materials were machined to tensile samples with a gauge section of  $\Phi$  3.6 × 8 mm, as presented in Fig. 15a. The surfaces of the tensile samples were abraded with 180# emery papers, ultrasonically rinsed with alcohol, and dried before the SSRT tests.

## SSRT tests

SSRT tests were carried out in sCO<sub>2</sub> at 600 °C and 10 MPa. The testing system is schematically shown in Fig. 15b. CO<sub>2</sub> with the purity of 99.99% was used. The system includes two thermocouples that are located above

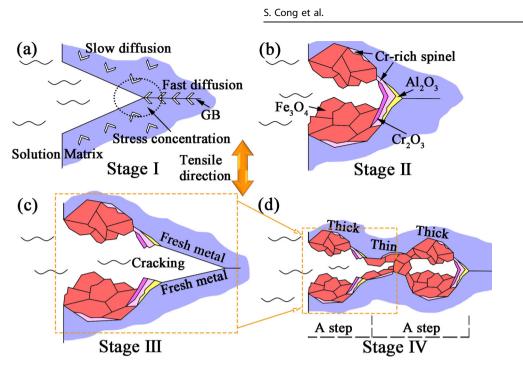


Fig. 14 Schematic crack growth process of AFA steel exposed to sCO<sub>2</sub>. (a) the original state and the diffusion of elements at different positions at the crack tip, (b) the formation of the oxide scale at the crack tip, (c) the fracture of the oxide scale and the growth of the crack, (d) the formation of oxide scale on the fresh surface.

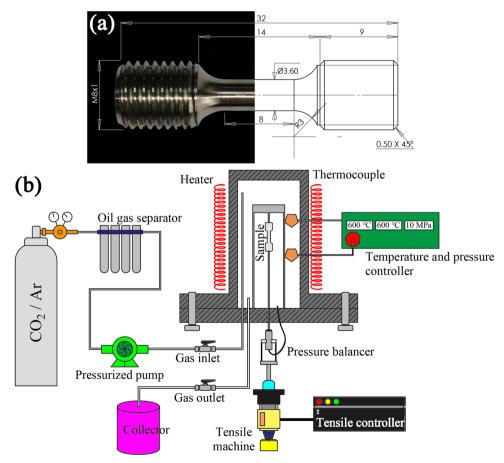


Fig. 15 Schematic diagram. a The tensile sample, b the SSRT autoclave system.

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and below the tensile sample to ensure the accuracy of testing temperature. The system also includes a pressure balancer to balance the pressure between the autoclave and the tensile sleeves, so as to ensure the accuracy of force. Before tests, the autoclave was flushed with CO<sub>2</sub> for three times to remove the residual air in the system. The tensile rate was selected according to the crosshead speed and the gauge lengths of the samples, and a strain rate of  $1 \times 10^{-6} \text{ s}^{-1}$  was applied.

#### Microstructure characterization methods

The microstructure of the samples before and after SSRT tests was characterized by SEM BSE mode on a Tescan Mira3. The fracture surfaces and the oxide film on the sample surfaces were observed using SEM secondary electron mode on a Tescan Rise Magna. The detailed microstructure and the composition of oxide film/crack tip were studied by TEM on a Talos F200X. The cross-sectional TEM samples were cut using the focused ion beam (FIB) technique on a Hitachi NB5000. The elemental distributions were measured by Energy Dispersive Spectroscopy (EDS). The structure and the residual stain of the surface work hardening layer of samples before SSRT tests were analyzed by transmission kikuchi diffraction (TKD) on a Mira3.

## DATA AVAILABILITY

The raw/processed data required to reproduce these findings can be shared if some researchers are interested in this study. At this time, the raw/processed data will not be submitted, as the data form part of ongoing projects.

#### CODE AVAILABILITY

No code is used in this study and needs to be summited.

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## **AUTHOR CONTRIBUTIONS**

X.G. and Z.M. conceived and designed the experiments; Z.L. performed the SSRT tests; S.C. performed the analytical experiments and wrote the manuscript under the supervision of X.G. and L.Z., Z.M. assisted the tests. All authors contributed to the scientific discussion of the results and reviewed the manuscript.

## **COMPETING INTERESTS**

The authors declare no competing interests.

## ADDITIONAL INFORMATION

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