

## ON THE SUM-CONNECTIVITY INDEX

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### Abstract

The sum-connectivity index of a simple graph  $G$  is defined in mathematical chemistry as

$$R^+(G) = \sum_{uv \in E(G)} (d_u + d_v)^{-1/2},$$

where  $E(G)$  is the edge set of  $G$  and  $d_u$  is the degree of vertex  $u$  in  $G$ . We give a best possible lower bound for the sum-connectivity index of a graph (a triangle-free graph, respectively) with  $n$  vertices and minimum degree at least two and characterize the extremal graphs, where  $n \geq 11$ .

## 1 Introduction

Let  $G$  be a simple graph with vertex set  $V(G)$  and edge set  $E(G)$  [1]. For  $u \in V(G)$ ,  $d_u(G)$  or  $d_u$  denotes the degree of  $u$  in  $G$ . Let  $N(u)$  be the set of neighbors of vertex  $u$  in  $G$ . Then  $d_u = |N(u)|$ .

The Randić connectivity index of a graph  $G$ , proposed by Randić in 1975, is defined as [2]

$$R(G) = \sum_{uv \in E(G)} (d_u d_v)^{-1/2}.$$

It is one of the most successful molecular descriptors in structure-property and structure-activity relationships studies [3–8]. Its mathematical properties [9, 10] and generalizations/variants [11–13] have also been studied extensively. We also call it the product-connectivity index.

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Motivated by Randić's definition of the product-connectivity index, the sum-connectivity index of a graph  $G$  was proposed in [14], which is defined as

$$R^+(G) = \sum_{uv \in E(G)} (d_u + d_v)^{-1/2}.$$

The applications of the sum-connectivity index have been investigated in [15, 16]. Some basic mathematical properties of the sum-connectivity index have been established in [14, 17–19].

Bollobás and Erdős [20] showed that for a graph  $G$  with  $n$  vertices and without isolated vertices,  $R(G) \geq \sqrt{n-1}$  with equality if and only if  $G$  is the star. Then Delorme *et al.* [21] gave a best possible lower bound for the product-connectivity index of a graph with  $n \geq 6$  vertices and minimum degree at least two. Later Liu *et al.* [22] found a best possible lower bound for the product-connectivity index of a triangle-free graph with  $n \geq 6$  vertices and minimum degree at least two.

In [14], it was shown that for a graph  $G$  with  $n \geq 5$  vertices and without isolated vertices,  $R^+(G) \geq \frac{n-1}{\sqrt{n}}$  with equality if and only if  $G$  is the star. For  $n = 4$ , this is not true since for the union of two copies of path on two vertices, its sum-connectivity index is  $\sqrt{2}$ , less than  $\frac{3}{2}$ . In this paper, we establish a best possible lower bound for the sum-connectivity index of a graph (triangle-free graph, respectively) with  $n \geq 11$  vertices and minimum degree at least two and characterize the extremal graphs.

## 2 Preliminaries

For a graph  $G$  with  $u \in V(G)$  ( $e \in E(G)$ , respectively),  $G - u$  ( $G - e$ , respectively) means the graph obtained from  $G$  by deleting  $u$  and its incident edges ( $e$ , respectively).

For an edge  $e = uv$  of a graph  $G$ , its weight is defined to be  $(d_u + d_v)^{-1/2}$ . The sum-connectivity index of  $G$  is the sum of weights over all its edges.

**Lemma 2.1.** *If  $e$  is an edge of maximal weight in  $G$ , then  $R^+(G - e) < R^+(G)$ .*

*Proof.* Let  $e = uv$ . Since  $uv$  is an edge of maximal weight in  $G$ , we have  $d_w \geq d_v$  for  $w \in N(u)$  and  $d_w \geq d_u$  for  $w \in N(v)$ . Obviously, for positive  $a$ ,  $\frac{1}{\sqrt{x+a}} - \frac{1}{\sqrt{x+a-1}}$  and  $\frac{x-1}{\sqrt{x}}$  are both increasing for  $x \geq 1$ . Then

$$\begin{aligned} & R^+(G) - R^+(G - e) \\ &= \frac{1}{\sqrt{d_u + d_v}} + \sum_{w \in N(u) \setminus \{v\}} \left( \frac{1}{\sqrt{d_u + d_w}} - \frac{1}{\sqrt{d_u + d_w - 1}} \right) \\ & \quad + \sum_{w \in N(v) \setminus \{u\}} \left( \frac{1}{\sqrt{d_v + d_w}} - \frac{1}{\sqrt{d_v + d_w - 1}} \right) \\ & \geq \frac{1}{\sqrt{d_u + d_v}} + (d_u - 1) \left( \frac{1}{\sqrt{d_u + d_v}} - \frac{1}{\sqrt{d_u + d_v - 1}} \right) \end{aligned}$$

$$\begin{aligned}
& +(d_v - 1) \left( \frac{1}{\sqrt{d_v + d_u}} - \frac{1}{\sqrt{d_v + d_u - 1}} \right) \\
&= \frac{d_u + d_v - 1}{\sqrt{d_u + d_v}} - \frac{d_u + d_v - 2}{\sqrt{d_u + d_v - 1}} \\
&> 0.
\end{aligned}$$

The result follows.  $\square$

$$\text{For } x \geq 3, \text{ let } r(x) = 2\sqrt{x+1} + \frac{1}{\sqrt{2x-2}} - \frac{6}{\sqrt{x+1}}.$$

**Lemma 2.2.** For  $n \geq 11$ ,  $2\sqrt{n} - \frac{4}{\sqrt{n}} - r(n) > 0$ .

*Proof.* For  $11 \leq n \leq 15$ , the result can be checked by direct calculation. Suppose that  $n \geq 16$ . For  $a, b > 0$ , it is easily seen that  $\frac{1}{a} + \frac{1}{b} \geq \frac{4}{a+b}$  with equality if and only if  $a = b$ . This implies that

$$-\frac{2}{\sqrt{n} + \sqrt{n+1}} > -\frac{1}{2} \left( \frac{1}{\sqrt{n}} + \frac{1}{\sqrt{n+1}} \right).$$

Then

$$\begin{aligned}
& 2\sqrt{n} - \frac{4}{\sqrt{n}} - r(n) \\
&= 2\sqrt{n} - \frac{4}{\sqrt{n}} - 2\sqrt{n+1} - \frac{1}{\sqrt{2(n-1)}} + \frac{6}{\sqrt{n+1}} \\
&= -\frac{2}{\sqrt{n} + \sqrt{n+1}} - \frac{4}{\sqrt{n}} - \frac{1}{\sqrt{2(n-1)}} + \frac{6}{\sqrt{n+1}} \\
&> -\frac{1}{2} \left( \frac{1}{\sqrt{n}} + \frac{1}{\sqrt{n+1}} \right) - \frac{4}{\sqrt{n}} - \frac{1}{\sqrt{2(n-1)}} + \frac{6}{\sqrt{n+1}} \\
&= -\frac{9}{2\sqrt{n}} + \frac{11}{2\sqrt{n+1}} - \frac{1}{\sqrt{2(n-1)}} \\
&= \left( -\frac{9}{2\sqrt{n}} + \frac{9}{2\sqrt{n+1}} \right) + \left( \frac{1}{\sqrt{2(n+1)}} - \frac{1}{\sqrt{2(n-1)}} \right) \\
&\quad + \frac{\sqrt{2}-1}{\sqrt{2(n+1)}} \\
&= \frac{\sqrt{2}-1}{2\sqrt{2(n+1)}} + \left( -\frac{9}{2\sqrt{n}} + \frac{9}{2\sqrt{n+1}} \right) \\
&\quad + \frac{\sqrt{2}-1}{2\sqrt{2(n+1)}} + \left( \frac{1}{\sqrt{2(n+1)}} - \frac{1}{\sqrt{2(n-1)}} \right) \\
&= \frac{1}{2\sqrt{2(n+1)}} \left( \sqrt{2}-1 - \frac{9\sqrt{2}}{\sqrt{n}(\sqrt{n+1} + \sqrt{n})} \right)
\end{aligned}$$

$$\begin{aligned}
& + \frac{1}{2\sqrt{2}(n+1)} \left( \sqrt{2} - 1 - \frac{4}{\sqrt{n-1}(\sqrt{n+1} + \sqrt{n-1})} \right) \\
\geq & \frac{1}{2\sqrt{2}(n+1)} \left( \sqrt{2} - 1 - \frac{9\sqrt{2}}{\sqrt{16}(\sqrt{17} + \sqrt{16})} \right) \\
& + \frac{1}{2\sqrt{2}(n+1)} \left( \sqrt{2} - 1 - \frac{4}{\sqrt{15}(\sqrt{17} + \sqrt{15})} \right) \\
> & 0.
\end{aligned}$$

The result follows.  $\square$

**Lemma 2.3.** For  $x \geq 3 + i$ ,  $r(x) - r(x - i)$  is decreasing in  $x$ , where  $i = 2, 3$ .

*Proof.* For  $x \geq 3$ , it is easily seen that  $6\sqrt{2} \left( \frac{x-1}{x+1} \right)^{5/2} \geq 6\sqrt{2} \left( \frac{3-1}{3+1} \right)^{5/2} > 1$ , implying that  $\frac{3}{4\sqrt{2}}(x-1)^{-5/2} < \frac{9}{2}(x+1)^{-5/2}$ . Then

$$\begin{aligned}
r''(x) &= -\frac{1}{2}(x+1)^{-3/2} + \frac{3}{4\sqrt{2}}(x-1)^{-5/2} - \frac{9}{2}(x+1)^{-5/2} \\
&< -\frac{1}{2}(x+1)^{-3/2} \\
&< 0.
\end{aligned}$$

By the Lagrange mean-value theorem,  $r'(x) - r'(x - i) < 0$  for  $x \geq 3 + i$ , and thus the result follows.  $\square$

Let  $f(x, y) = \frac{1}{\sqrt{x+y}} - \frac{1}{\sqrt{x+y-2}} + \frac{x-1}{\sqrt{x+2}} - \frac{x-2}{\sqrt{x+1}} + \frac{y-1}{\sqrt{y+2}} - \frac{y-2}{\sqrt{y+1}}$ , where  $x, y \geq 2$ .

**Lemma 2.4.** For  $x, y \geq 3$ ,  $f(x, y)$  is decreasing in  $x$  and  $y$ .

*Proof.* Let  $g(x) = (x+2)x^{-3/2} - (x+1)^{-3/2}$  for  $x \geq 4$ . Then

$$g'(x) = -\left(\frac{1}{2}x + 3\right)x^{-5/2} + \frac{3}{2}(x+1)^{-5/2} < 0,$$

i.e.,  $g(x)$  is decreasing in  $x$ . It is easily seen that

$$\begin{aligned}
\frac{\partial f(x, y)}{\partial x} &= \frac{1}{2}(x+5)(x+2)^{-3/2} - \frac{1}{2}(x+4)(x+1)^{-3/2} \\
&\quad - \frac{1}{2}(x+y)^{-3/2} + \frac{1}{2}(x+y-2)^{-3/2},
\end{aligned}$$

and thus

$$\frac{\partial}{\partial y} \left( \frac{\partial f(x, y)}{\partial x} \right) = -\frac{3}{4}(x+y-2)^{-5/2} + \frac{3}{4}(x+y)^{-5/2} < 0,$$

implying that

$$\frac{\partial f(x, y)}{\partial x} \leq \frac{\partial f(x, 3)}{\partial x}$$

$$\begin{aligned}
&= \frac{1}{2}(x+5)(x+2)^{-3/2} - \frac{1}{2}(x+3)(x+1)^{-3/2} - \frac{1}{2}(x+3)^{-3/2} \\
&= \frac{1}{2}(g(x+2) - g(x+1)) \\
&< 0.
\end{aligned}$$

Similarly,  $\frac{\partial f(x,y)}{\partial y} < 0$ . Now the result follows.  $\square$

Let  $K_{a,b}$  be the complete bipartite graph with  $a$  and  $b$  vertices in its two partite sets, respectively. For  $n \geq 4$ , let  $K_{2,n-2}^*$  be the graph obtained from  $K_{2,n-2}$  by joining an edge between the two vertices of degree  $n-2$ . Obviously,  $R^+(K_{2,n-2}^*) = r(n)$ . Let  $\delta(G)$  be the minimum degree of the graph  $G$ .

**Lemma 2.5.** *Let  $G$  be a graph with  $n$  vertices and  $\delta(G) = 2$ . Let  $u$  be a vertex of degree two with two adjacent neighbors, both of degree at least three. Then  $R^+(G) - R^+(G - u) \geq f(n-1, n-1)$  with equality if and only if  $G = K_{2,n-2}^*$ .*

*Proof.* Let  $N(u) = \{v, w\}$ . Obviously,  $\frac{1}{\sqrt{x}} - \frac{1}{\sqrt{x-1}}$  is increasing for  $x > 1$ . We have

$$\begin{aligned}
&R^+(G) - R^+(G - u) \\
&= \frac{1}{\sqrt{d_v+2}} + \frac{1}{\sqrt{d_w+2}} + \frac{1}{\sqrt{d_v+d_w}} - \frac{1}{\sqrt{d_v+d_w-2}} \\
&\quad + \sum_{z \in N(v) \setminus \{u,w\}} \left( \frac{1}{\sqrt{d_v+d_z}} - \frac{1}{\sqrt{d_v+d_z-1}} \right) \\
&\quad + \sum_{z \in N(w) \setminus \{u,v\}} \left( \frac{1}{\sqrt{d_w+d_z}} - \frac{1}{\sqrt{d_w+d_z-1}} \right) \\
&\geq \frac{1}{\sqrt{d_v+2}} + \frac{1}{\sqrt{d_w+2}} + \frac{1}{\sqrt{d_v+d_w}} - \frac{1}{\sqrt{d_v+d_w-2}} \\
&\quad + (d_v-2) \left( \frac{1}{\sqrt{d_v+2}} - \frac{1}{\sqrt{d_v+2-1}} \right) \\
&\quad + (d_w-2) \left( \frac{1}{\sqrt{d_w+2}} - \frac{1}{\sqrt{d_w+2-1}} \right) \\
&= f(d_v, d_w),
\end{aligned}$$

and thus  $R^+(G) - R^+(G - u) \geq f(d_v, d_w)$  with equality if and only if  $d_z = 2$  for  $z \in N(v) \setminus \{u,w\}$  or  $z \in N(w) \setminus \{u,v\}$ . By Lemma 2.4,  $R^+(G) - R^+(G - u) \geq f(n-1, n-1)$  with equality if and only if  $d_v = d_w = n-1$  and  $d_z = 2$  for  $z \in N(v) \setminus \{u,w\}$  or  $z \in N(w) \setminus \{u,v\}$ , i.e.,  $G = K_{2,n-2}^*$ .  $\square$

**Lemma 2.6.** *Let  $G$  be a triangle-free graph with  $n$  vertices and  $\delta(G) = 2$ . Let  $u$  be a vertex of degree two in  $G$ . Then  $R^+(G) - R^+(G - u) \geq 2 \left( \frac{n-2}{\sqrt{n}} - \frac{n-2-1}{\sqrt{n-1}} \right)$  with equality if and only if  $G = K_{2,n-2}$ .*

*Proof.* Let  $N(u) = \{v_1, v_2\}$ . Since  $G$  is a triangle-free graph,  $d_{v_1}, d_{v_2} \leq n - 2$  and  $v_1 v_2 \notin E(G)$ . Note that  $\frac{x}{\sqrt{\delta+x}} - \frac{x-1}{\sqrt{\delta+x-1}}$  is decreasing for  $x \geq 1$ . We have

$$\begin{aligned}
& R^+(G) - R^+(G - u) \\
&= \sum_{i=1}^2 \left( \frac{1}{\sqrt{2+d_{v_i}}} + \sum_{z \in N(v_i) \setminus \{u\}} \left( \frac{1}{\sqrt{d_z+d_{v_i}}} - \frac{1}{\sqrt{d_z+d_{v_i}-1}} \right) \right) \\
&\geq \sum_{i=1}^2 \left[ \frac{1}{\sqrt{2+d_{v_i}}} + (d_{v_i}-1) \left( \frac{1}{\sqrt{2+d_{v_i}}} - \frac{1}{\sqrt{2+d_{v_i}-1}} \right) \right] \\
&= \sum_{i=1}^2 \left( \frac{d_{v_i}}{\sqrt{2+d_{v_i}}} - \frac{d_{v_i}-1}{\sqrt{2+d_{v_i}-1}} \right) \\
&\geq 2 \left( \frac{n-2}{\sqrt{2+(n-2)}} - \frac{(n-2)-1}{\sqrt{2+(n-2)-1}} \right) \\
&= 2 \left( \frac{n-2}{\sqrt{n}} - \frac{n-3}{\sqrt{n-1}} \right)
\end{aligned}$$

with equalities if and only if  $d_{v_1} = d_{v_2} = n - 2$  and  $d_z = 2$  for  $z \in N(v_i) \setminus \{u\}$  with  $i = 1, 2$ , i.e.,  $G = K_{2, n-2}$ .  $\square$

### 3 Result

Now we prove our main results.

**Theorem 3.1.** *Let  $G$  be a graph with  $n \geq 11$  vertices and  $\delta(G) \geq 2$ . Then  $R^+(G) \geq r(n)$  with equality if and only if  $G = K_{2, n-2}^*$ .*

*Proof.* Assume that  $G$  is a counterexample with minimal number of vertices for which  $R^+(G)$  is minimal. If  $\delta(G) \geq 3$ , then by Lemma 2.1, the deletion of an edge of maximal weight yields a graph  $G'$  of minimal degree at least two such that  $R^+(G') < R^+(G)$ , which is a contradiction to the choice of  $G$ . Hence  $\delta(G) = 2$ .

**Claim 1.** The neighbors of every vertex of degree two are adjacent.

Suppose that the claim is false. Let  $u$  be a vertex of degree two with  $N(u) = \{v, w\}$  and  $vw \notin E(G)$ . Then  $G_1 = G - u + vw$  is not a counterexample, and thus  $R^+(G_1) \geq r(n-1)$ .

Let  $t(x, y) = \frac{1}{\sqrt{2+x}} + \frac{1}{\sqrt{2+y}} - \frac{1}{\sqrt{x+y}}$ , where  $x, y \geq 2$ . Then  $\frac{\partial t(x, y)}{\partial x} = -\frac{1}{2}(2+x)^{-3/2} + \frac{1}{2}(x+y)^{-3/2}$ , and thus  $\frac{\partial}{\partial y} \left( \frac{\partial t(x, y)}{\partial x} \right) = -\frac{3}{4}(x+y)^{-5/2} < 0$ , implying that  $\frac{\partial t(x, y)}{\partial x} \leq \frac{\partial t(x, 2)}{\partial x} = 0$ . Similarly,  $\frac{\partial t(x, y)}{\partial y} \leq 0$ . Since  $2 \leq d_v, d_w \leq n-2$ , we have  $t(d_v, d_w) \geq t(n-2, n-2)$ . By Lemma 2.2, we have

$$R^+(G) = R^+(G_1) + \frac{1}{\sqrt{2+d_v}} + \frac{1}{\sqrt{2+d_w}} - \frac{1}{\sqrt{d_v+d_w}}$$

$$\begin{aligned}
&= R^+(G_1) + t(d_v, d_w) \\
&\geq r(n-1) + t(n-2, n-2) \\
&= 2\sqrt{n} - \frac{4}{\sqrt{n}} \\
&> r(n),
\end{aligned}$$

which is a contradiction. Claim 1 follows.

**Claim 2.** Every pair of adjacent vertices of degree two has no common neighbor.

Suppose that the claim is false. Let  $u_1$  and  $u_2$  be two adjacent vertices of degree two and  $u_3$  a common neighbor of them. Obviously,  $2 \leq d_{u_3} \leq n-1$ .

Suppose that  $d_{u_3} = 2$ . Then  $G_2 = G - u_1 - u_2 - u_3$  is not a counterexample, and thus  $R^+(G_2) \geq r(n-3)$ . By Lemma 2.3,  $r(n) - r(n-3) \leq r(11) - r(8) = 1.1525 < \frac{3}{2}$ , implying that

$$R^+(G) = R^+(G_2) + \frac{3}{2} \geq r(n-3) + \frac{3}{2} > r(n),$$

which is a contradiction.

Now suppose that  $d_{u_3} \geq 4$ . Then  $G_3 = G - u_1 - u_2$  is not a counterexample, and thus  $R^+(G_3) \geq r(n-2)$ . Then

$$\begin{aligned}
R^+(G) &= R^+(G_3) + \sum_{v \in N(u_3) \setminus \{u_1, u_2\}} \left( \frac{1}{\sqrt{d_v + d_{u_3}}} - \frac{1}{\sqrt{d_v + d_{u_3} - 2}} \right) \\
&\quad + \frac{2}{\sqrt{2 + d_{u_3}}} + \frac{1}{2} \\
&\geq r(n-2) + (d_{u_3} - 2) \left( \frac{1}{\sqrt{2 + d_{u_3}}} - \frac{1}{\sqrt{2 + d_{u_3} - 2}} \right) \\
&\quad + \frac{2}{\sqrt{2 + d_{u_3}}} + \frac{1}{2} \\
&= r(n-2) + \frac{d_{u_3}}{\sqrt{2 + d_{u_3}}} - \frac{d_{u_3} - 2}{\sqrt{d_{u_3}}} + \frac{1}{2}.
\end{aligned}$$

It is easily seen that  $\frac{a}{\sqrt{2+a}} - \frac{a-2}{\sqrt{a}}$  is decreasing for  $a \geq 2$ . If  $11 \leq n \leq 20$ , then  $d_{u_3} \leq n-1$ , and by Lemma 2.3 and direct calculation, we have

$$\begin{aligned}
R^+(G) - r(n) &\geq (r(n-2) - r(n)) + \left( \frac{d_{u_3}}{\sqrt{2 + d_{u_3}}} - \frac{d_{u_3} - 2}{\sqrt{d_{u_3}}} \right) + \frac{1}{2} \\
&\geq (r(11-2) - r(11)) + \left( \frac{19}{\sqrt{2+19}} - \frac{19-2}{\sqrt{19}} \right) + \frac{1}{2} \\
&> 0.
\end{aligned}$$

It is easily seen that  $\frac{a-2}{\sqrt{a}}$  is increasing for  $a \geq 2$ . If  $n \geq 21$ , then by Lemma 2.3 and direct calculation, we have

$$R^+(G) - r(n) \geq (r(n-2) - r(n)) + \left( \frac{d_{u_3}}{\sqrt{2 + d_{u_3}}} - \frac{d_{u_3} - 2}{\sqrt{d_{u_3}}} \right) + \frac{1}{2}$$

$$\begin{aligned} &\geq (r(21-2) - r(21)) + \frac{1}{2} \\ &> 0. \end{aligned}$$

Thus  $R^+(G) \geq r(n)$ , which is a contradiction.

Suppose that  $d_{u_3} = 3$ . Denote by  $u_4$  the neighbor of  $u_3$  in  $G$  different from  $u_1$  and  $u_2$ , where  $2 \leq d_{u_4} \leq n-3$ . First suppose that  $d_{u_4} = 2$ . Denote by  $u_5$  the neighbor of  $u_4$  in  $G$  different from  $u_3$ . By Claim 1,  $u_3u_5 \in E(G)$ . Since  $d_{u_3} = 3$ , the neighbors of  $u_3$  are  $u_1, u_2, u_4$ , which is a contradiction. Then  $d_{u_4} \neq 2$ . Next suppose that  $3 \leq d_{u_4} \leq n-3$ . Then  $G_4 = G - u_1 - u_2 - u_3$  is not a counterexample, and thus  $R^+(G_4) \geq r(n-3)$ . By Lemma 2.3,  $r(n) - r(n-3) \leq r(11) - r(8) < \frac{1}{2} + \frac{1}{\sqrt{6}} + \frac{1}{\sqrt{5}}$ . Then

$$\begin{aligned} R^+(G) &= R^+(G_4) + \sum_{v \in N(u_4) \setminus \{u_3\}} \left( \frac{1}{\sqrt{d_v + d_{u_4}}} - \frac{1}{\sqrt{d_v + d_{u_4} - 1}} \right) \\ &\quad + \frac{1}{\sqrt{3 + d_{u_4}}} + \frac{1}{2} + \frac{2}{\sqrt{5}} \\ &\geq r(n-3) + (d_{u_4} - 1) \left( \frac{1}{\sqrt{2 + d_{u_4}}} - \frac{1}{\sqrt{2 + d_{u_4} - 1}} \right) \\ &\quad + \frac{1}{\sqrt{3 + d_{u_4}}} + \frac{1}{2} + \frac{2}{\sqrt{5}} \\ &= r(n-3) + \frac{1}{\sqrt{3 + d_{u_4}}} - \frac{1}{\sqrt{2 + d_{u_4}}} + \frac{1}{2} + \frac{2}{\sqrt{5}} \\ &\quad + \left( \frac{d_{u_4}}{\sqrt{2 + d_{u_4}}} - \frac{d_{u_4} - 1}{\sqrt{1 + d_{u_4}}} \right) \\ &> r(n-3) + \frac{1}{\sqrt{3 + d_{u_4}}} - \frac{1}{\sqrt{2 + d_{u_4}}} + \frac{1}{2} + \frac{2}{\sqrt{5}} \\ &\geq r(n-3) + \frac{1}{\sqrt{3 + 3}} - \frac{1}{\sqrt{2 + 3}} + \frac{1}{2} + \frac{2}{\sqrt{5}} \\ &= r(n-3) + \frac{1}{\sqrt{6}} + \frac{1}{\sqrt{5}} + \frac{1}{2} \\ &> r(n), \end{aligned}$$

which is a contradiction.

Now Claim 2 follows.

Let  $v \in V(G)$  be a vertex of degree two with neighbors  $v_1$  and  $v_2$ . By Claim 1,  $v_1$  and  $v_2$  are adjacent. By Claim 2,  $d_{v_1}, d_{v_2} \geq 3$ . By Lemma 2.5,

$$\begin{aligned} R^+(G) &\geq R^+(G - v) + f(n-1, n-1) \\ &\geq r(n-1) + \frac{1}{\sqrt{2(n-1)}} - \frac{1}{\sqrt{2(n-2)}} + \frac{2(n-2)}{\sqrt{n+1}} - \frac{2(n-3)}{\sqrt{n}} \\ &= r(n) \end{aligned}$$

with equality if and only if  $G = K_{2,n-2}^*$ , which is a contradiction.  $\square$

It is easily checked that  $R^+(K_{2,8}) = \frac{16}{\sqrt{2+8}} = 5.05964 < 5.05988 = 2\sqrt{10+1} + \frac{1}{\sqrt{20-2}} - \frac{6}{\sqrt{10+1}}$ . Thus the condition  $n \geq 11$  in Theorem 1 is necessary.

**Theorem 3.2.** *Let  $G$  be a triangle-free graph of order  $n \geq 11$  with  $\delta(G) \geq 2$ . Then  $R^+(G) \geq \frac{2(n-2)}{\sqrt{n}}$  with equality if and only if  $G = K_{2,n-2}$ .*

*Proof.* Assume that  $G$  is a counterexample with minimal number of vertices for which  $R^+(G)$  is minimal. By Lemma 2.1, we have  $\delta(G) = 2$ . Let  $V_2$  be the set of vertices of degree two in  $G$ . Suppose that there exists a vertex  $z \in V_2$  with  $N(z) \cap V_2 = \emptyset$ . Let  $N(z) = \{z_1, z_2\}$ . Then  $z_i \notin V_2$  for  $i = 1, 2$ . Note that  $2 \leq \delta(G-z) \leq \frac{n-1}{2}$  as  $G-z$  is triangle-free. By the assumption of  $G$ , we have  $R^+(G) \geq \frac{2(n-1-2)}{\sqrt{n-1}}$ . By Lemma 2.6, we have

$$\begin{aligned} R^+(G) &\geq R^+(G-z) + 2 \left( \frac{n-2}{\sqrt{n}} - \frac{n-2-1}{\sqrt{n-1}} \right) \\ &\geq \frac{2(n-2-1)}{\sqrt{n-1}} + 2 \left( \frac{n-2}{\sqrt{n}} - \frac{n-2-1}{\sqrt{n-1}} \right) \\ &= \frac{2(n-2)}{\sqrt{n}} = R^+(K_{2,n-2}) \end{aligned}$$

with equalities if and only if  $G = K_{2,n-2}$ , which is a contradiction to the choice of  $G$ . Thus  $N(z) \cap V_2 \neq \emptyset$  for any  $z \in V_2$ .

Choose a vertex  $u \in V_2$  such that  $|N(u) \cap V_2|$  is as small as possible. Let  $N(u) = \{u_1, u_2\}$  with  $u_1 \in V_2$  and  $d_{u_2} \geq 2$ .

**Claim 1.**  $N(u_1) \cap N(u_2) \setminus \{u\} \neq \emptyset$ .

Suppose that the claim is false. Then  $G_1 = G - u + u_1u_2$  is not a counterexample, i.e.,  $R^+(G_1) \geq \frac{2(n-3)}{\sqrt{n-1}}$ . It is easily seen that  $\frac{2(n-3)}{\sqrt{n-1}} - \frac{2(n-2)}{\sqrt{n}}$  is increasing for  $n \geq 11$ , implying that  $\frac{2(n-3)}{\sqrt{n-1}} - \frac{2(n-2)}{\sqrt{n}} \geq \frac{2(11-3)}{\sqrt{11-1}} - \frac{2(11-2)}{\sqrt{11}} > -\frac{1}{2}$ . Thus

$$\begin{aligned} R^+(G) &= R^+(G_1) + \frac{1}{\sqrt{2+d_{u_2}}} + \frac{1}{2} - \frac{1}{\sqrt{2+d_{u_2}}} \\ &\geq \frac{2(n-3)}{\sqrt{n-1}} + \frac{1}{2} \\ &> \frac{2(n-2)}{\sqrt{n}}, \end{aligned}$$

which is a contradiction. Claim 1 follows.

Let  $v$  be the neighbor of  $u_1, u_2$  different from  $u$ . For  $u_1 \in V_2$ , by Claim 1, we have  $N(u_1) \cap N(u_2) = \{u, v\}$ . Since  $G$  is a triangle-free graph, we have  $d_v + d_{u_2} \leq n$ .

**Claim 2.**  $v \in V_2$ .

Suppose that the claim is false. Suppose that  $u_2 \notin V_2$ . We have  $3 \leq d_v, d_{u_2} \leq n-3$  as  $G$  is triangle-free. Since  $G_2 = G - u - u_1$  is not a counterexample, we

have  $R^+(G_2) \geq \frac{2(n-4)}{\sqrt{n-2}}$ . Let  $g(x) = \frac{2}{\sqrt{x-2}} + \frac{2(x-3)}{\sqrt{x-1}}$  with  $x \geq 11$ . Then  $g''(x) = \frac{3}{2}(x-2)^{-5/2} - (\frac{1}{2}x + \frac{5}{2})(x-1)^{-5/2} < 0$ , implying that  $g(x) - g(x+1)$  is increasing for  $x \geq 11$ .

By Lemma 2.4, we have  $f(d_v, d_{u_2}) \geq f(n-3, n-3)$ , and thus

$$\begin{aligned}
R^+(G) &= R^+(G_2) + \frac{1}{2} + \frac{1}{\sqrt{2+d_v}} + \frac{1}{\sqrt{2+d_{u_2}}} + \frac{1}{\sqrt{d_v+d_{u_2}}} \\
&\quad - \frac{1}{\sqrt{d_v+d_{u_2}-2}} \\
&\quad + \sum_{w \in N(v) \setminus \{u_1, u_2\}} \left( \frac{1}{\sqrt{d_w+d_v}} - \frac{1}{\sqrt{d_w+d_v-1}} \right) \\
&\quad + \sum_{w \in N(u_2) \setminus \{u, v\}} \left( \frac{1}{\sqrt{d_w+d_{u_2}}} - \frac{1}{\sqrt{d_w+d_{u_2}-1}} \right) \\
&\geq \frac{2(n-4)}{\sqrt{n-2}} + \frac{1}{2} + \frac{1}{\sqrt{2+d_v}} + \frac{1}{\sqrt{2+d_{u_2}}} + \frac{1}{\sqrt{d_v+d_{u_2}}} \\
&\quad - \frac{1}{\sqrt{d_v+d_{u_2}-2}} \\
&\quad + (d_v-2) \left( \frac{1}{\sqrt{2+d_v}} - \frac{1}{\sqrt{1+d_v}} \right) \\
&\quad + (d_{u_2}-2) \left( \frac{1}{\sqrt{2+d_{u_2}}} - \frac{1}{\sqrt{1+d_{u_2}}} \right) \\
&= \frac{2(n-4)}{\sqrt{n-2}} + \frac{1}{2} + f(d_v, d_{u_2}) \\
&\geq \frac{2(n-4)}{\sqrt{n-2}} + \frac{1}{2} + f(n-3, n-3) \\
&= \frac{2(n-2)}{\sqrt{n}} + \frac{1}{2} + \left( \frac{2}{\sqrt{n-2}} - \frac{2(n-2)}{\sqrt{n}} + \frac{2(n-4)}{\sqrt{n-1}} \right) \\
&\quad + \left( \frac{1}{\sqrt{2(n-3)}} - \frac{1}{\sqrt{2(n-4)}} \right) \\
&= \frac{2(n-2)}{\sqrt{n}} + \frac{1}{2} + (g(n) - g(n+1)) \\
&\quad + \left( \frac{1}{\sqrt{2(n-3)}} - \frac{1}{\sqrt{2(n-4)}} \right) \\
&\geq \frac{2(n-2)}{\sqrt{n}} + \frac{1}{2} + (g(11) - g(11+1)) \\
&\quad + \left( \frac{1}{\sqrt{2 \cdot (11-3)}} - \frac{1}{\sqrt{2 \cdot (11-4)}} \right)
\end{aligned}$$

$$> \frac{2(n-2)}{\sqrt{n}},$$

which is a contradiction.

Now suppose that  $u_2 \in V_2$ . Then  $3 \leq d_v \leq n-2$  and  $G_3 = G - u - u_1 - u_2$  is not a counterexample, and thus  $R^+(G_3) \geq \frac{2(n-5)}{\sqrt{n-3}}$ . Let  $h(x) = \frac{x-2}{\sqrt{x}}$  with  $x \geq 2$ . Then  $h''(x) = -\frac{3}{2}(\frac{1}{6}x+1)x^{-5/2} < 0$ , implying that  $h(x-3) - h(x) = \frac{x-5}{\sqrt{x-3}} - \frac{x-2}{\sqrt{x}}$  and  $h(x-3) - h(x-2) = \frac{x-5}{\sqrt{x-3}} - \frac{x-4}{\sqrt{x-2}}$  are both increasing in  $x$ . Then

$$\begin{aligned} R^+(G) &= R^+(G_3) + 1 + \frac{2}{\sqrt{2+d_v}} \\ &\quad + \sum_{w \in N(v) \setminus \{u_1, u_2\}} \left( \frac{1}{\sqrt{d_w+d_v}} - \frac{1}{\sqrt{d_w+d_v-2}} \right) \\ &\geq \frac{2(n-5)}{\sqrt{n-3}} + 1 + \frac{2}{\sqrt{2+d_v}} + (d_v-2) \left( \frac{1}{\sqrt{2+d_v}} - \frac{1}{\sqrt{d_v}} \right) \\ &= \frac{2(n-5)}{\sqrt{n-3}} + 1 + \frac{d_v}{\sqrt{2+d_v}} - \frac{d_v-2}{\sqrt{d_v}} \\ &\geq \frac{2(n-5)}{\sqrt{n-3}} + 1 + \frac{n-2}{\sqrt{(n-2)+2}} - \frac{(n-2)-2}{\sqrt{n-2}} \\ &= \frac{2(n-2)}{\sqrt{n}} + \left( \frac{n-5}{\sqrt{n-3}} - \frac{n-2}{\sqrt{n}} \right) + \left( \frac{n-5}{\sqrt{n-3}} - \frac{n-4}{\sqrt{n-2}} \right) + 1 \\ &\geq \frac{2(n-2)}{\sqrt{n}} + \left( \frac{11-5}{\sqrt{11-3}} - \frac{11-2}{\sqrt{11}} \right) + \left( \frac{11-5}{\sqrt{11-3}} - \frac{11-4}{\sqrt{11-2}} \right) + 1 \\ &> \frac{2(n-2)}{\sqrt{n}}, \end{aligned}$$

which is a contradiction. Claim 2 follows.

**Claim 3.**  $u_2 \notin V_2$ .

Suppose that the claim is false. Then  $G_4 = G - u - u_1 - u_2 - v$  is not a counterexample. It is easily seen that  $\frac{2(n-6)}{\sqrt{n-4}} - \frac{2(n-2)}{\sqrt{n}} \geq \frac{2 \cdot (11-6)}{\sqrt{11-4}} - \frac{2 \cdot (11-2)}{\sqrt{11}} > -2$ , and thus  $R^+(G) = R^+(G_4) + 2 \geq \frac{2(n-6)}{\sqrt{n-4}} + 2 > \frac{2(n-2)}{\sqrt{n}}$ , which is a contradiction.

By Claims 2 and 3, we have  $v \in V_2$  and  $3 \leq d_{u_2} \leq n-2$  as  $G$  is triangle-free. Now we will complete our proof by considering the following two cases.

**Case 1.**  $d_{u_2} \geq 4$ . Then  $G - u - u_1 - v$  is not a counterexample. Replacing  $u_2$  by  $v$  in the proof of the Claim 2 for the case  $u_2 \in V_2$ , we may derive a contradiction.

**Case 2.**  $d_{u_2} = 3$ . Let  $N(u_2) \setminus \{u, v\} = \{x\}$  and  $y \in N(x) \setminus \{u_2\}$ . If  $d_x = 2$ , then  $N(y) \cap N(u_2) \setminus \{x\} = \emptyset$  as  $d_u = d_v = 2$ . Thus we can derive a contradiction by the same argument as in the proof of Case 1 by setting  $u_2 = y$ . Hence  $d_x \geq 3$ . Note that  $G_5 = G - u - u_1 - u_2 - v$  is not a counterexample. Then  $R^+(G_5) \geq \frac{2(n-6)}{\sqrt{n-4}}$ . Since  $\frac{1}{\sqrt{3+d}} - \frac{1}{\sqrt{2+d}}$  is increasing for  $3 \leq d \leq n-4$ , noting the properties of  $h(x)$

used above, we have

$$\begin{aligned}
 R^+(G) &= R^+(G_5) + 1 + \frac{2}{\sqrt{5}} + \frac{1}{\sqrt{3+d_x}} \\
 &\quad + \sum_{w \in N(x) \setminus \{u_2\}} \left( \frac{1}{\sqrt{d_x+d_w}} - \frac{1}{\sqrt{d_x+d_w-1}} \right) \\
 &\geq \frac{2(n-6)}{\sqrt{n-4}} + 1 + \frac{2}{\sqrt{5}} + \frac{1}{\sqrt{3+d_x}} \\
 &\quad + (d_x-1) \left( \frac{1}{\sqrt{d_x+2}} - \frac{1}{\sqrt{d_x+2-1}} \right) \\
 &= \frac{2(n-6)}{\sqrt{n-4}} + 1 + \frac{2}{\sqrt{5}} + \frac{1}{\sqrt{3+d_x}} + \frac{d_x-1}{\sqrt{d_x+2}} - \frac{d_x-1}{\sqrt{d_x+1}} \\
 &> \frac{2(n-6)}{\sqrt{n-4}} + 1 + \frac{2}{\sqrt{5}} + \frac{1}{\sqrt{3+d_x}} - \frac{1}{\sqrt{d_x+2}} \\
 &\geq \frac{2(n-6)}{\sqrt{n-4}} + 1 + \frac{2}{\sqrt{5}} + \frac{1}{\sqrt{3+3}} - \frac{1}{\sqrt{3+2}} \\
 &> \frac{2(n-2)}{\sqrt{n}},
 \end{aligned}$$

which is a contradiction.

The proof of our theorem is completed.  $\square$

It is easily checked that for the cycle  $C_{10}$  (on 10 vertices),  $R^+(C_{10}) = \frac{10}{2} < \frac{2(10-2)}{\sqrt{10}}$ . Thus the condition  $n \geq 11$  in Theorem 2 is necessary.

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