

# On the Summertime Planetary Boundary Layer with Different Thermodynamic Stability in China: A Radiosonde Perspective

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(Manuscript received 10 April 2017, in final form 30 October 2017)

## ABSTRACT

Strongly influenced by thermodynamic stability, the planetary boundary layer (PBL) is key to the exchange of heat, momentum, and moisture between the ground surface and free troposphere. The PBL with different thermodynamic stability across the whole of China, however, is not yet well understood. In this study, the occurrence frequency and spatial distribution of the convective boundary layer (CBL), neutral boundary layer (NBL), and stable boundary layer (SBL) were systematically investigated, based on intensive summertime soundings launched at 1400 Beijing time (BJT) throughout China's radiosonde network (CRN) for the period 2012 to 2016. Overall, the occurrences of CBL, NBL, and SBL account for 70%, 26%, and 4%, respectively, suggesting that CBL dominates in summer throughout China. In terms of the spatial pattern of PBL height, a prominent north–south gradient can be found with higher PBL height in northwest China. In addition, the PBL heights of CBL and NBL were found to be positively (negatively) associated with near-surface air temperature (humidity), whereas no apparent relationship was found for SBL. Furthermore, clouds tend to reduce the occurrence frequency, irrespective of PBL type. Roughly 70% of SBL cases occur under overcast conditions, much higher than those for NBL and CBL, indicating that clouds govern to some extent the occurrence of SBL. In contrast, except for the discernible changes in PBL height under overcast conditions relative to those under clear-sky conditions, the changes in PBL height under partly cloudy conditions are no more than 170 m for both NBL and CBL types.

## 1. Introduction

The planetary boundary layer (PBL), the lowest layer of troposphere close to the surface, plays an important

role in air pollution, weather, and climate (Garratt 1992, 1994; Stull 1988). The turbulent PBL is also the main place where the vertical exchange of momentum, heat, moisture, and atmospheric pollutants occurs between ground surface and free troposphere (e.g., Garratt 1992; Oke 2002; Hu et al. 2010; Zhang et al. 2015; Guo et al. 2016a). The turbulent motions in the PBL are responsible for the mixing processes in the atmosphere, which affects the vertical redistribution of moisture and momentum. This in turn impacts on the formation and evolution of boundary layer clouds such as stratocumulus and cumulus (e.g.,

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Supplemental information related to this paper is available at the Journals Online website: <https://doi.org/10.1175/JCLI-D-17-0231.s1>.

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DOI: 10.1175/JCLI-D-17-0231.1

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Paluch and Lenschow 1991; Eltahir and Gong 1996; Amenu et al. 2005; Sherwood et al. 2014; Hirsch et al. 2017; Zhang et al. 2018).

The PBL is increasingly recognized as one of the important parameters that strongly influence the air quality (Guo et al. 2009; Sandip and Martial 2015; Li et al. 2017), partly account for the varying regional warming rate (Davy and Esau 2016), and significantly affect global weather and climate system (Medeiros et al. 2005; Esau and Zilitinkevich 2010; Fletcher et al. 2016; Solomon et al. 2017). Previous studies show that the heating and cooling of ground surface, cloudiness, and the variability in surface characteristics could affect the evolution of the PBL (e.g., Garratt 1994; Miao et al. 2015a, 2017). The PBL height during the daytime is found to be significantly negatively correlated with surface relative humidity but positively correlated with surface temperature (Zhang et al. 2013). Likewise, on the seasonal and annual time scales, the PBL height is positively associated with surface temperature and 10-m wind speed but negatively associated with surface pressure (Seidel et al. 2012; Guo et al. 2016b).

The PBL height is traditionally determined from the vertical profiles of temperature, humidity, and wind from atmospheric soundings. In addition, several other methods based on new data sources (e.g., lidar, Doppler radar, and sodar) have been developed to elucidate the PBL structure–processes (e.g., Holzworth 1964; Coulter 1979; Beyrich 1997; Steyn et al. 1999; Seibert 2000; Asimakopoulos et al. 2004; Hennemuth and Lammert 2006; Lammert and Bösenberg 2006; Dandou et al. 2009; Mao et al. 2009; McGrath-Spangler and Denning 2012; Chan and Wood 2013; Sawyer and Li 2013; Zhang et al. 2014; Guo et al. 2016b; Zhang et al. 2016).

Based on the atmospheric soundings collected in several major field campaigns, the diurnal variation of three dominant PBL types, namely, convective boundary layer (CBL), stable boundary layer (SBL), and neutral boundary layer (NBL) in the continental United States, were documented (Liu and Liang 2010): the CBL mainly occurs in the daytime with a peak at 1500 local time, in contrast to the SBL dominating at nighttime. Seidel et al. (2010) calculated the PBL height by applying seven common methods to the profiles of temperature, potential temperature, virtual potential temperature, relative humidity, specific humidity, and refractivity and found there existed large uncertainties with respect to various methods to estimate PBL height. Then the climatology of the PBL height over Europe and the continental United States were compiled using the bulk Richardson number method (Seidel et al. 2012). The same method has also been utilized by Guo et al. (2016b) to develop a radiosonde-based PBL height climatology in China, which exhibits large diurnal,

seasonal, and spatial variations. This could be at least in part in association with the strong variations in aerosol pollution in China (Guo et al. 2011, 2016c) and atmospheric thermodynamic stability (Tokinaga et al. 2006; Tang et al. 2016). Although the PBL height over China has been well characterized from spaceborne lidars (e.g., Liu et al. 2015; Zhang et al. 2016) and radiosondes (Guo et al. 2016b), most of the other PBL characteristics remain poorly understood, including the thermodynamic stability and its associated meteorological factors.

Since 2011, intensive radiosonde observational campaigns at 1400 Beijing time (BJT) in summer (May–June–July–August) have been performed at most sites of the newly updated L-band China Radiosonde Network (CRN) as operated by the China Meteorological Administration (CMA) (Guo et al. 2016b; Zhang et al. 2016). The afternoon soundings provide us a unique opportunity to look into the thermodynamic stability of PBL. Thus, one of the main objectives of this study is to unravel the occurrence frequency and spatial distribution of CBL, SBL, and NBL across China using long-term fine-resolution atmospheric soundings. Another objective is to understand the relationships between meteorological variables and PBL height that differs by three PBL types.

The rest of the present paper is organized as follows. Section 2 describes the data and method used in this study, as well as the uncertainty analysis for the PBL height retrievals. Section 3 presents the climatology of different PBL types in China and its association with other atmospheric variables. In section 4, detailed discussion with regard to the PBL height climatology and the potential influential factors on the development of PBL will be provided. Finally, the key findings are summarized in section 5.

## 2. Data and methods

### a. Radiosonde and meteorological data

The L-band radiosondes of CRN are conventionally launched twice a day at 0800 and 2000 BJT, and additional soundings are made at 1400 BJT in summer (May–June–July–August) at most radiosonde sites mainly for improving the capability of predicting high-impact weather in China. The L-band radiosondes are produced by the Nanjing Daqiao Co., Ltd., and the Shanghai Changwang Meotech Co., Ltd. (Xu et al. 2007), which provide fine-resolution (1 s) profiles of temperature, humidity, wind speed, and wind direction (Guo et al. 2016b; Zhang et al. 2016). As the previous studies (Tao et al. 2006; Xing et al. 2009; Bian et al. 2011; Ma et al. 2011) pointed out, the accuracy of the temperature profiles within the lower portion of the

troposphere is similar to that of GPS RS92 radiosonde (produced by Vaisala), which is less than 0.1 K. Such good data accuracy provides us with enough confidence to investigate PBL climatology in China using these L-band soundings of CRN.

In this study, the characteristics of afternoon PBL during summer were investigated using the 1400 BJT soundings from 2012 to 2016 collected from CRN, owing to most of the exchanges of energy, moisture, and air pollutants occurring in the PBL in the afternoon (Garratt 1994; Guo et al. 2016b). Considering the time consistency, the near-surface meteorological observations from the collocated radiosonde sites are used to understand the factors governing the PBL development, including near-surface temperature  $T_{\text{sfc}}$ , near-surface relative humidity  $\text{RH}_{\text{sfc}}$ , near-surface pressure  $P_{\text{sfc}}$ , and 10-m wind speed  $\text{WS}_{10}$ . The former three meteorological factors were calculated by taking the average over the five lowest consecutive soundings starting from the ground surface, whereas  $\text{WS}_{10}$  was calculated by taking the average over the wind profiles from the second-lowest to third-lowest soundings.

Given the substantial difficulties and uncertainties in determining the PBL types caused by precipitation, the 1400 BJT sounding data have been excluded for the days when precipitation occurred from 1200 to 1400 BJT. Additionally, the sites that have less than 30 valid soundings at 1400 BJT from 2012 to 2016 are not considered. As a result, there are 5399 valid summertime soundings collected from the 92 radiosonde sites of CRN at 1400 BJT. The total cloud cover (CLD) data measured at 1400 BJT at the same radiosonde sites have been used, in addition to the hourly rain gauge measurements recorded at the hours from 1200 to 1400 BJT.

To understand the impacts of land surface processes on the development of PBL in the afternoon, the dataset from the Global Land Data Assimilation System (GLDAS; Rodell et al. 2004) was analyzed. The GLDAS contains a series of geophysical parameters reflecting the state of land surface (e.g., soil moisture and surface temperature) and flux (e.g., evaporation and sensible heat flux), based on four land surface models (CLM, Mosaic, Noah, and VIC). The surface sensible heat fluxes ( $\text{SHF}_{\text{sfc}}$ ) and soil moisture fields in China derived from the Noah model (Chen and Dudhia 2001) were used as well.

### b. Determination of PBL type

During the daytime, because of the sufficient solar radiation reaching the land surface, the CBL typically dominates over land, which can reach a few kilometers in the afternoon (Chen and Houze 1997). By contrast, when the surface cools by the nocturnal radiation

processes, the SBL takes over the bottom portion of the troposphere (Zhang et al. 2011; Miao et al. 2015b). The residual layer is neutrally stratified, resulting in turbulence that is nearly of equal intensity in all directions (Sivaraman et al. 2013; Blay-Carreras et al. 2014), referred to as the NBL.

Despite the dominance of CBL in the afternoon, the SBL and NBL may form under certain meteorological conditions (Medeiros et al. 2005; Poulos et al. 2002; Stull 1988). The complicated connections between the PBL structure and meteorology make it imperative that the PBL types be determined upfront.

Here the determination of PBL types is largely based on the methods developed by Liu and Liang (2010), where the soundings they used are at a vertical resolution of  $\sim 5$  hPa, which is in sharp contrast to the vertical resolution of L-band radiosonde ( $\sim 1$  hPa) to be used in the present study. Therefore, subsample operation in the vertical has been performed by resampling five consecutive original 1-hPa measurements to one 5-hPa measurement. As such, a series of coarser-resolution soundings (5 hPa) are derived, and Fig. S1 is a case in point. Then, the PBL types were determined by calculating the near-surface potential temperature difference (PTD) between the fifth-lowest measurement and second-lowest measurement. The threshold value of PTD is set to be 0.1 K, and the thermodynamic stability of PBL has to be further determined using the bulk Richardson number based on the original lowest 100-m radiosonde measurements. Specifically, if the PTD lies between  $-0.1$  and 0.1 K, the PBL is identified as NBL; if the PTD is higher than 0.1 K and the bulk Richardson number is positive, the PBL type is identified as SBL; and other PBL cases can be considered as CBL (Vogelezang and Holtslag 1996; Eresmaa et al. 2006; Tang et al. 2015).

### c. PBL height estimation and uncertainty analysis

The estimation method of PBL height differs by varying PBL types. Similar to Liu and Liang (2010), the PBL height for both CBL and NBL is calculated as the height at which an air parcel rising adiabatically from the surface becomes neutrally buoyant (Stull 1988). Starting from the surface, the height where the gradient of potential temperature first becomes greater than a certain gradient threshold (GT) of potential temperature is considered as the estimated PBL height.

For the SBL, the determination of the PBL height is much more uncertain. Turbulence in the SBL can result from either buoyancy forcing or wind shear (Bonner 1968; Garreaud and Muñoz 2005). If both the stability-derived and wind shear-derived PBL heights are derived, the lowest is estimated to be the PBL height for SBL (Liu and Liang 2010; Sivaraman et al. 2013). Figure S2 (in

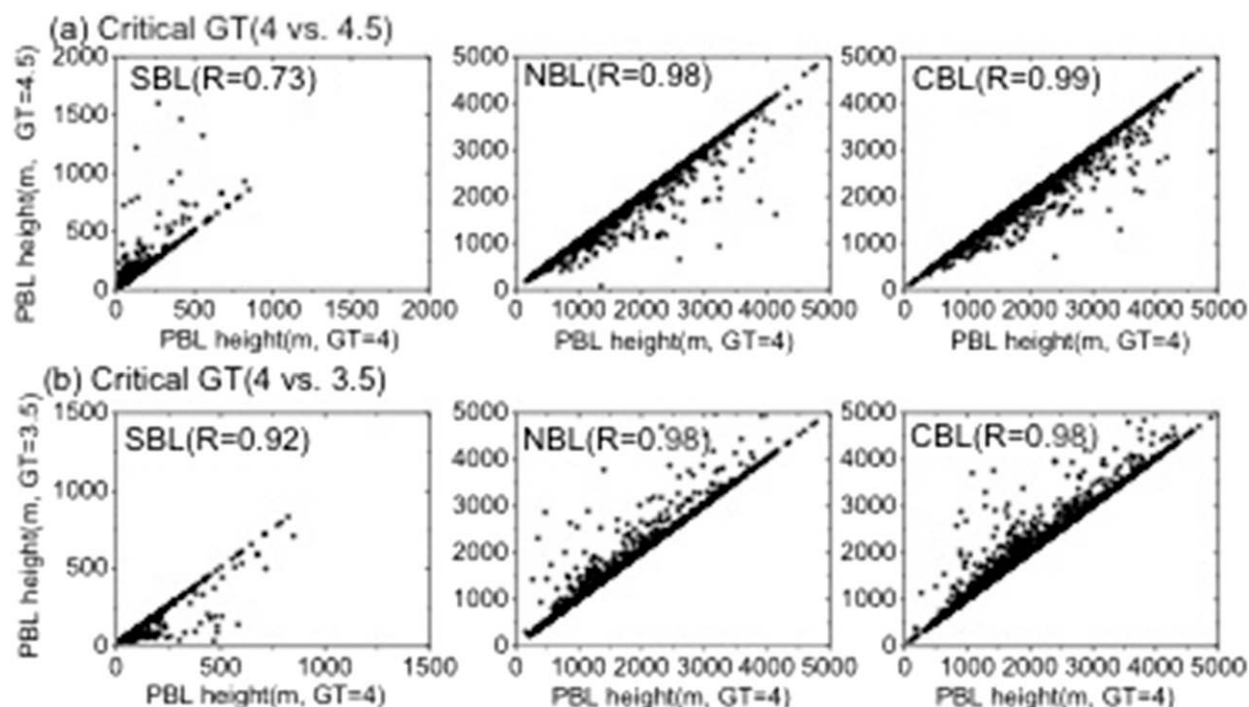


FIG. 1. Scatterplots showing (a) PBL heights computed using  $4 \text{ K km}^{-1}$  (on the x axis) and  $4.5 \text{ K km}^{-1}$  (on the y axis) as critical potential temperature GT for the (left to right) SBL, NBL and CBL. (b) As in (a), but with  $3.5 \text{ K km}^{-1}$  (on the y axis). The results are based on 5399 soundings across China in summer (May–August) from 2012 to 2016.

supplementary material file JCLI\_D17231s1) illustrates the typical profiles of CBL, NBL, and SBL, and their corresponding PBL heights are 1650, 721, and 249 m above ground level (AGL), respectively.

To quantify the uncertainties in the estimation of PBL heights, sensitivity analysis by selecting various values of GT has been performed (Argentini et al. 2005). Figures 1a,b compared the PBL heights calculated using 3.5, 4, and  $4.5 \text{ K km}^{-1}$  as GT. As expected, the PBL heights of  $\text{GT} = 4.5 \text{ K km}^{-1}$  ( $3.5 \text{ K km}^{-1}$ ) are generally higher (lower) than those of  $\text{GT} = 4 \text{ K km}^{-1}$ . The heights of NBL and CBL based on different GT are significantly correlated with each other ( $R = 0.98$ ). In contrast, the heights of SBL based on various GT have relatively weaker correlations with each other.

We further checked the changes in occurrence frequency of SBL, NBL, and CBL caused by the different critical GT values applied. The changes in their corresponding PBL heights were examined as well, which is summarized in Table S1 (in supplementary material file JCLI\_D17231s1). Overall, the deviation of frequency for SBL, NBL, and CBL is less than 13%, and the changes in PBL heights is not more than 177 m, which could make sense for SBL cases. However, the uncertainties can be negligible for NBL and CBL, since most of their PBL heights are much higher.

### 3. Results

This section presents the basic climatology of three PBL types (i.e., SBL, NBL, and CBL). Comparisons of radiosonde-based PBL height climatology under different CLD conditions are made to elucidate the impacts of cloud on the development of PBL in the afternoon during the summer. Then we present comprehensive analysis results with regard to the correlations between PBL heights and four typical meteorological variables, including  $T_{\text{sfc}}$ ,  $P_{\text{sfc}}$ ,  $\text{RH}_{\text{sfc}}$ , and  $\text{WS}_{10}$ .

#### a. Climatology of PBL height for various PBL types

Figure 2 shows the frequency distribution and cumulative frequency distribution of PBL heights for SBL, NBL, and CBL. At 1400 BJT, 96% of SBL are lower than 500 m, while 83% (76%) of CBL (NBL) are higher than 1000 m. On the whole, all PBL heights estimated from soundings are lower than 5 km at 1400 BJT across China.

In terms of the numbers of valid soundings at each site at 1400 BJT during summer, the numbers of soundings at 69 sites (about 75%) range from 30 to 60 (Fig. 3). In particular, the valid soundings at Beijing ( $39.80^\circ\text{N}$ ,  $116.47^\circ\text{E}$ ) and Shanghai ( $31.40^\circ\text{N}$ ,  $121.48^\circ\text{E}$ ) are larger than 150. Figures 3b–d present the spatial distributions



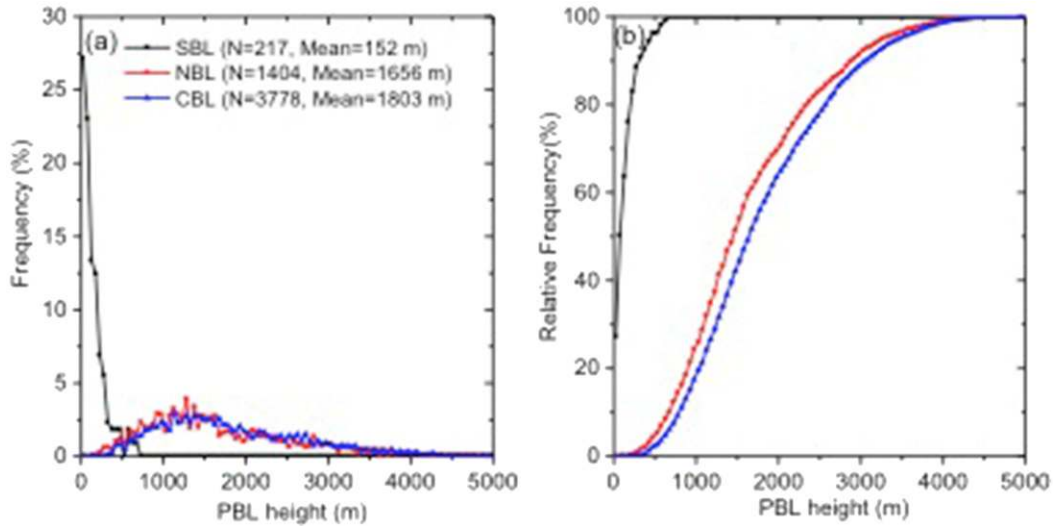


FIG. 2. (a) Frequency distribution and (b) cumulative frequency distribution of PBL heights for SBL (black), NBL (red), and CBL (blue) at 1400 BTJ in summer for the period of 2012–16. The number of soundings  $N$  and mean value at each observed time are also given.

of occurrence frequency with respect to CBL, NBL, and SBL at 1400 BJT across China, which on average are 70%, 26%, and 4%, respectively. The occurrence frequency of NBL is generally less than 40% at most sites of

CRN. By comparison, the CBL dominates over most sites of CRN.

Irrespective of PBL regimes, Fig. 4a displays the spatial distribution of mean PBL height at 1400 BJT in

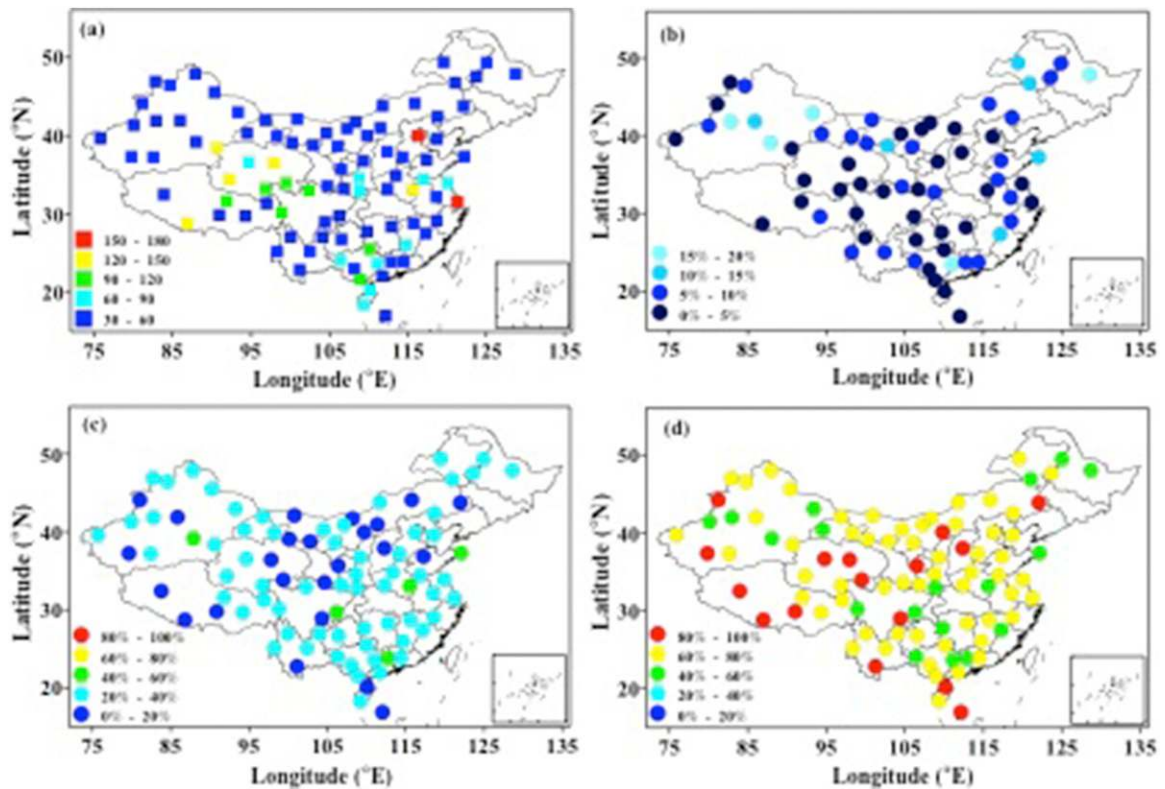


FIG. 3. Spatial distributions of (a) number of soundings from CMA radiosonde sites: 150–180 (red), 120–150 (yellow), 90–120 (green), 60–90 (cyan), and 30–60 (blue). The frequency (percent) for PBL states classified by atmospheric stability: (b) SBL [15%–20% (light cyan), 10%–15% (dark cyan), 5%–10% (blue), and 0%–5% (black)]; (c) NBL [80%–100% (red), 60%–80% (yellow), 40%–60% (green), 20%–40% (cyan), and 0%–20% (blue)]; (d) as in (c), but for CBL, at 1400 BJT in summer for the period of 2012–16.

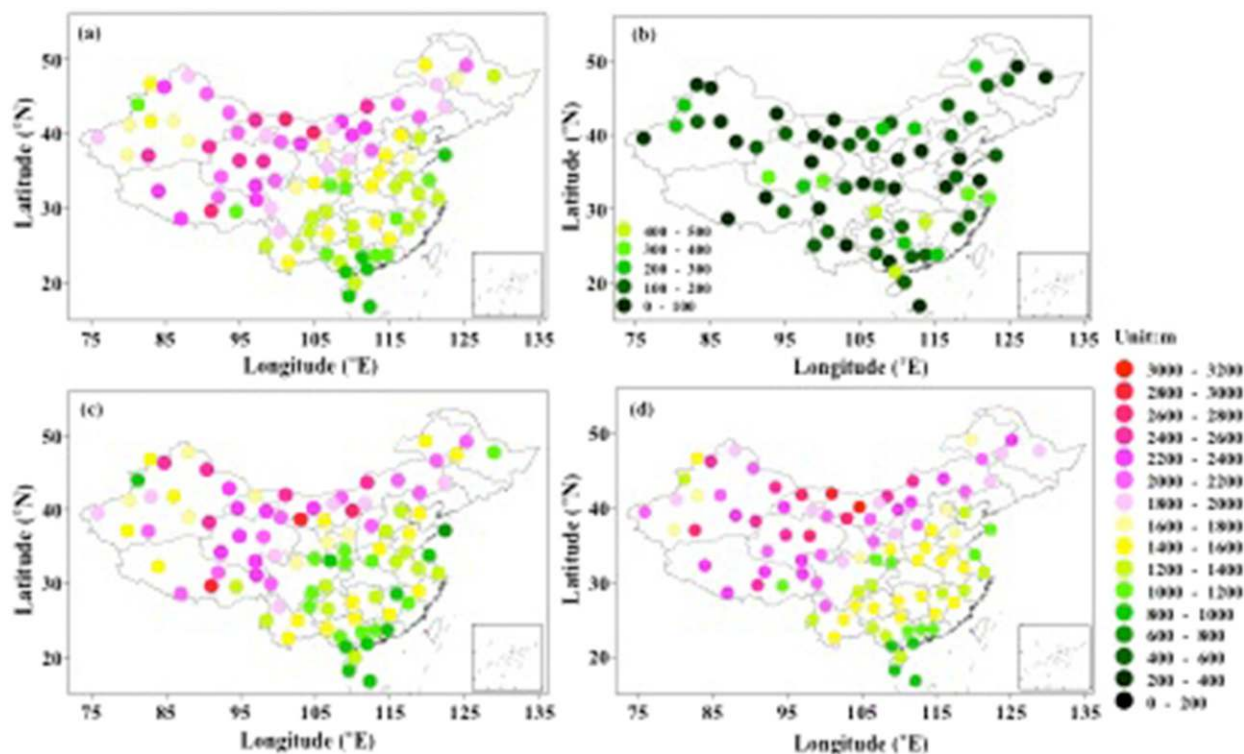


FIG. 4. Spatial distribution of mean PBL height (m) for (a) all types, (b) SBL, (c) NBL, and (d) CBL at 1400 BJT in summer for the period of 2012–16. The 16-color scale is black for 0–200 m and dark red for 3000–3200 m in increments of 200 m.

China. The averaged PBL height of all sites is 1694 m AGL, indicative of high tendency of well-developed PBL in the afternoon. A prominent north–south PBL height gradient is found, with higher PBL height in northwestern China, which is consistent with spatial pattern revealed by previous PBL studies derived from the Cloud–Aerosol Lidar with Orthogonal Polarization (CALIOP) on board *CALIPSO* (Huang et al. 2009; Liu et al. 2015; Zhang et al. 2016). The climatological PBL height in northwest China is generally greater than 1800 m AGL, whereas the values are usually less than 1400 m AGL over southeast and coastal areas. The high soil moisture may be one of the causes accounting for the relatively shallower daytime PBL over the east coast (McGrath-Spangler and Denning 2012; Wang and Wang 2014, 2016). In contrast, the low soil moisture in the north and west regions tends to favor the PBL development by partitioning more solar radiation to sensible heat flux (Wang and Wang 2014).

Figures 4b–d illustrate the spatial distributions of PBL height of SBL, NBL, and CBL. Specifically, Fig. 4b shows that the PBL height for SBL is merely  $152 \pm 100$  m AGL (mean value  $\pm$  standard deviation). However, the values increase sharply to  $1656 \pm 562$  m AGL for NBL and  $1803 \pm 550$  m AGL for CBL (Figs. 4c,d). In other words, the mean PBL heights tend to be on average

reduced by  $\sim 150$  m in the presence of NBL as compared with those for CBL. Although the SBL develop more frequently after sunset, which can also be established during the daytime under certain synoptic conditions.

To reveal the spatial discrepancy in PBL, we further selected six typical regions of interest (ROIs, defined and shown in Fig. S3 in supplementary material file JCLI\_D17231s1), including 1) the North China Plain (NCP), 2) the Yangtze River Delta (YRD), 3) the Pearl River Delta (PRD), 4) the Taklimakan Desert (TKD), 5) the Tibetan Plateau (TBP), and 6) the Sichuan basin (SCB). The occurrence frequency and averaged PBL height of CBL, NBL, and SBL at these six ROIs are illustrated in Fig. 5 and Table 1. Except for PRD, in which the 1400 BJT soundings were only launched in May and June, the 1400 BJT soundings in other ROIs are all launched throughout the whole summer season (June–July–August).

Overall, the CBL occurs most frequently in summer (60%), about 2 times higher than the frequency of NBL (less than 30%), let alone the frequency of SBL (less than 10%). Among these six ROIs, the TBP has the highest occurrence frequency of CBL (Fig. 5), characterized by an averaged PBL height of 2222 m AGL (Table 1). Although both PRD and TKD have similar

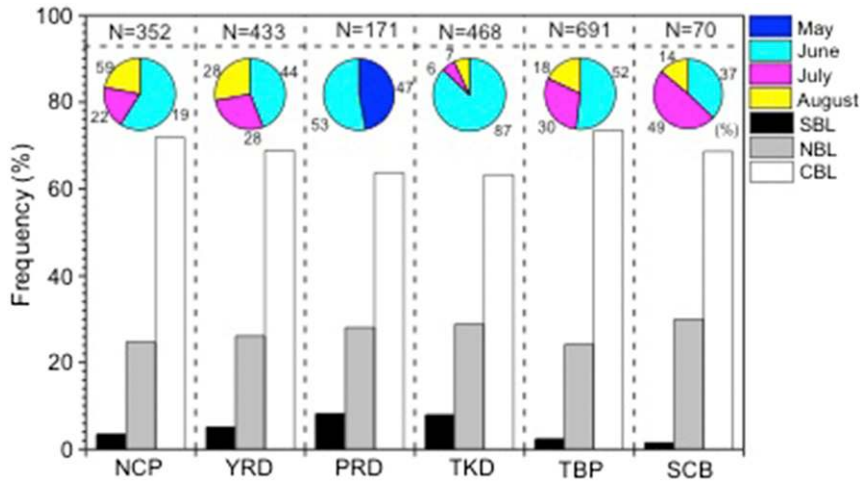


FIG. 5. The occurrence frequency of SBL, NBL, and CBL in six ROIs: NCP, YRD, PRD, TKD, TBP, and SCB, at 1400 BJT during in summer (May–August) for the period of 2012 to 2016, respectively. The bars show the frequency of different PBL types [SBL (black), NBL (gray), and CBL (white)]. The pie charts show the frequency percentage distribution for each month.

occurrence frequencies for all the three PBL types, the mean PBL heights are quite different, which are 1067 and 2248 m AGL for PRD and TKD, respectively (Table 1). This large difference in PBL height over PRD and TKD could be relevant to the large discrepancy of  $RH_{sfc}$  and  $SHF_{sfc}$ . The mean  $RH_{sfc}$  over PRD and TKD is 50% and 22%, respectively, whereas the mean  $SHF_{sfc}$  is 113 and 290  $W m^{-2}$  at these two ROIs. In the afternoon, less moisture in the PBL of arid TKD is associated often with lower RH and higher SHF, which generally facilitates the development of PBL (Stull 1988; Liu et al. 2004; Dirmeyer et al. 2014). This could be likely the main reason why the high PBL height occurred in TKD rather than in PRD.

*b. The potential impact of cloud on the development of various PBL regimes*

It is well known that clouds alter the solar radiation reaching the land surface, which further modulates the PBL in the afternoon. Figure 6 shows the mean PBL height and frequency for SBL, NBL, and CBL under different CLD conditions. It is intriguing to note that the PBL height does not change much when CLD ranges from 0% to 80%, no matter what the PBL regime is.

Only when the CLD becomes higher than 80%, which is referred to as the overcast condition, the PBL height for SBL, NBL and CBL decreases by a certain magnitude. As compared with that under clear-sky conditions ( $CLD < 20\%$ ), the averaged PBL height at 1400 BJT under overcast conditions decreases by  $\sim 400$  and  $\sim 300$  m for NBL and CBL, respectively. In contrast, the depth of SBL keeps almost constant. Relative to PBL under clear-sky condition, the changes in PBL height under partly cloudy conditions are less than 170 m for both NBL and CBL regimes (Table S2).

Figure 6b shows the occurrence frequency of SBL, NBL, and CBL under different CLD conditions in summer. In general, the occurrence frequency of CBL is higher than 40% under overcast conditions, almost twice the frequency under clear-sky conditions. Similarly, the occurrence frequencies of NBL are  $\sim 20\%$  and  $\sim 50\%$  for the clear-sky and overcast conditions, respectively. For SBL, the occurrence frequency is merely  $\sim 10\%$  under clear-sky conditions, as compared to  $\sim 70\%$  under overcast conditions. Such huge differences existing in the occurrence frequency of CBL, NBL, and SBL suggested that the CLD could play a significant role in modulating the development of PBL. The presence of

TABLE 1. The statistics of mean value and standard deviation (sd) of PBL heights (m AGL) for SBL, NBL, and CBL in six ROIs: the NCP, YRD, PRD, TKD, TBP, and SCB at 1400 BJT in summer (May–June–July–August) for the period of 2012 to 2016.

	NCP mean (sd)	YRD mean (sd)	PRD mean (sd)	TKD mean (sd)	TBP mean (sd)	SCB mean (sd)
SBL	109 (110)	199 (184)	174 (163)	114 (98)	211 (197)	—
NBL	1529 (712)	1241 (455)	999 (330)	1982 (1020)	2188 (752)	1300 (338)
CBL	1703 (739)	1297 (474)	1067 (365)	2248 (1004)	2222 (751)	1317 (409)

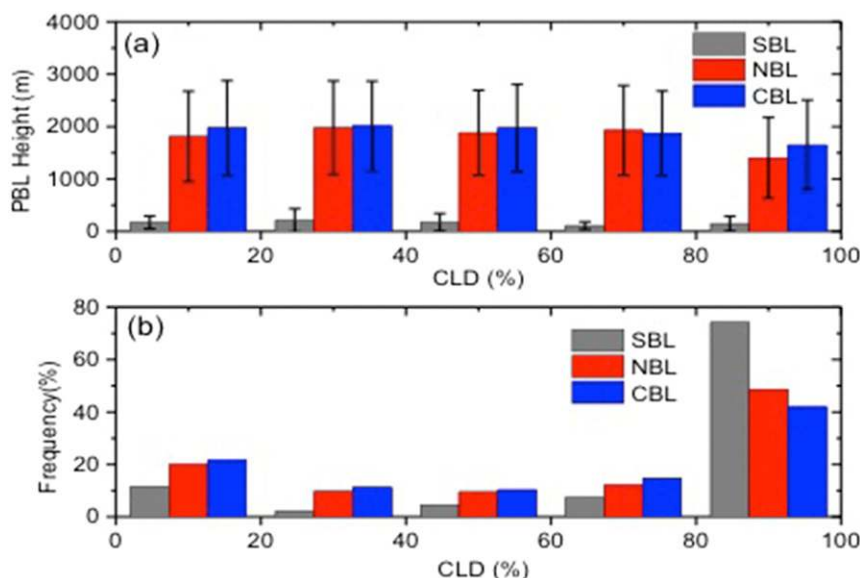


FIG. 6. Bar charts showing (a) the mean PBL height and (b) the occurrence frequency for three PBL types: SBL (gray), NBL (red), and CBL (blue) under different cloud cover (CLD) conditions (with a bin size of 20%) in summer for the period of 2012–16. Note that the error bars in (a) denote the standard deviations of PBL height.

clouds tends to reduce the solar radiation reaching the surface in the afternoon and thus weaken the vertical turbulent mixing, which in turn suppresses the PBL development (Li et al. 2017). Therefore, a reduced PBL height typically ensues (Wetzel et al. 1996; Freedman et al. 2001; Zhou and Geerts 2013).

To figure out the spatial distribution of potential cloud influences on CBL, 29 radiosonde sites evenly scattered across the China were selected, in which the number of valid CBL sounding is more than 30 for both overcast and clear-sky conditions. As shown in Fig. 7, one noticeable feature is that the PBL height under clear-sky conditions is systematically lower than that under overcast conditions throughout all these 29 sites, even though the PBL height under both conditions ranges from 800 to 2600 m. On average, the difference of mean PBL height is 336 m, as indicated in Fig. 7c.

### c. Relationships between PBL height and meteorological variables

In this section, we will analyze the relationships between PBL height and several meteorological parameters, including  $T_{\text{sfc}}$ ,  $\text{RH}_{\text{sfc}}$ ,  $P_{\text{sfc}}$ , and  $\text{WS}_{10}$ . To better understand the development of SBL in the afternoon, the meteorological factors for the days with SBL were compared with those with CBL, including CLD,  $T_{\text{sfc}}$ ,  $\text{RH}_{\text{sfc}}$ ,  $\text{WS}_{10}$  and  $\text{SHF}_{\text{sfc}}$ . Comparing with the CBL regime, the SBL tends to be formed under the meteorological conditions characterized by relatively high CLD and  $\text{RH}_{\text{sfc}}$ , and low  $T_{\text{sfc}}$ ,  $\text{WS}_{10}$ , and  $\text{SHF}_{\text{sfc}}$  (Fig. 8).

Figure 9 shows the correlation coefficient  $R$  of PBL height and various meteorological factors in the six ROIs for different PBL regimes, and the gray shaded areas indicate the  $R$  values are statistically significant at the 95% confidence level. Typically, the PBL height is positively correlated with  $T_{\text{sfc}}$  in six ROIs for both NBL and CBL, including the NCP ( $R = 0.37, 0.25$  for NBL and CBL, respectively), YRD ( $R = 0.55, 0.62$ ), PRD ( $R = 0.73, 0.66$ ), SCB ( $R = 0.68$  under CBL), and TBP ( $R = 0.19, 0.24$ ), and the lowest correlation ( $R = 0.02, 0.13$ ) is found in TKD. For SBL, only the correlation of the YRD region show here ( $R = -0.30$ ). More details on the correlations can be found in Table S3.

In contrast, negative relationships are observed between the PBL height and  $\text{RH}_{\text{sfc}}$  in summer for NBL and CBL (Fig. 9), and the  $R$  values are mostly lower than  $-0.6$  except for those in TKD ( $R = -0.39$  for NBL,  $-0.51$  for CBL) regions. By comparison, the positive correlations ( $R = 0.36$ ) in TKD between PBL height and  $\text{RH}_{\text{sfc}}$  are found in summer for SBL. For a specific location, the high  $\text{RH}_{\text{sfc}}$  may be associated with high soil moisture and high latent heat flux, which will inhibit the occurrence of convection and turbulence within SBL and thus lead to a relatively deeper SBL. For CBL and NBL, higher  $T_{\text{sfc}}$  and lower  $\text{RH}_{\text{sfc}}$  have been found to be linked to more sensible heat fluxes, favoring the development of PBL during the daytime (Zhang et al. 2013).

In addition, the relationships are ubiquitously negative between the PBL height and  $P_{\text{sfc}}$  in summer for



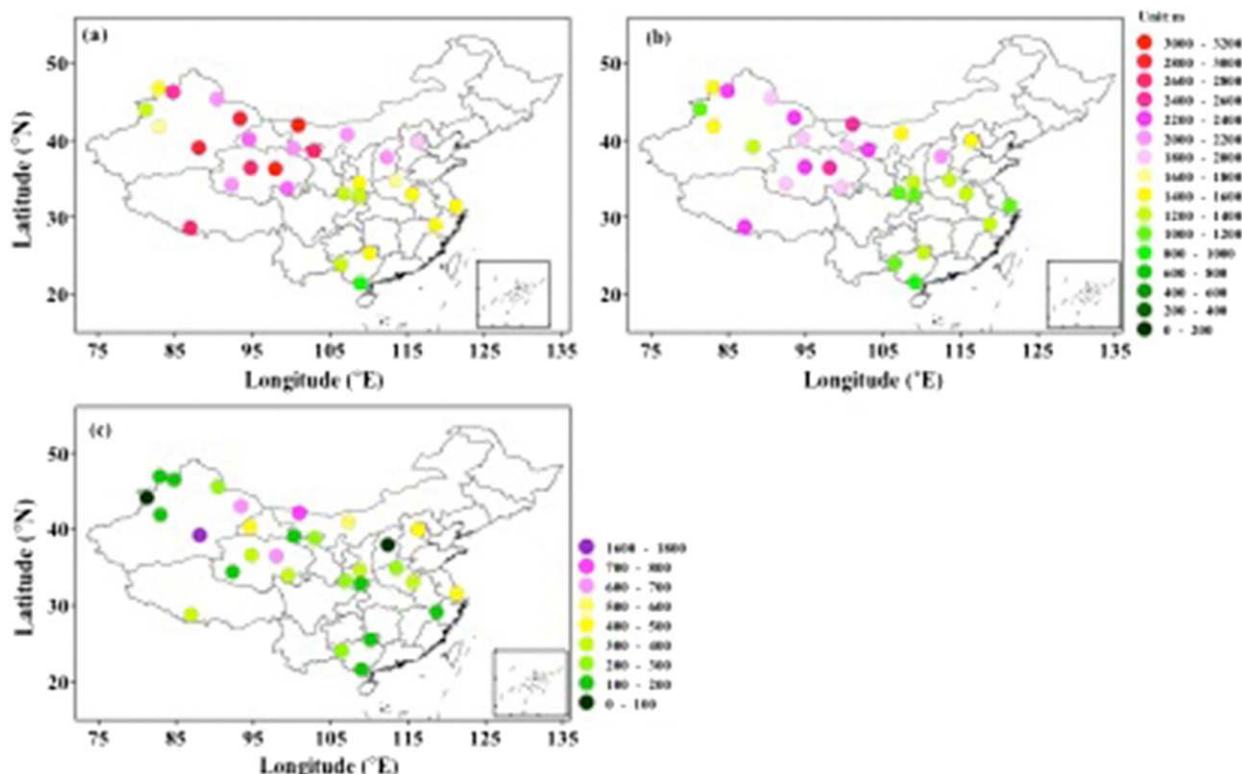


FIG. 7. The spatial distributions of mean PBL heights (m) at 1400 BJT in summer for the period 2012–16 for CBL days under (a) clear-sky ( $0\% \leq \text{CLD} \leq 20\%$ ) and (b) overcast conditions ( $80\% \leq \text{CLD} \leq 100\%$ ); the 16-color scale is black for 0–200 m and dark red for 3000–3200 m in increments of 200 m. (c) The spatial distribution with respect to the differences of PBL heights between clear-sky and overcast conditions; the 9-color scale is black for 0–100 m and dark purple for 900–1000 m in increments of 100 m.

CBL, NBL and SBL. Similar correlation analyses using  $WS_{10}$  show that these parameters are not significantly correlated with PBL height at 1400 BJT in summer.

#### 4. Discussion

Regarding the large discrepancy in PBL height in PRD and TKD (Fig. 5), it is known that PRD is characterized by richer vegetation cover compared with TKD; the relatively strong surface evaporation in PRD can substantially increase the near-surface humidity and lead to less surface sensible heat flux, which suppresses the PBL height over PRD (Zhang et al. 2013; Dirmeyer et al. 2014).

In addition to the local-scale atmospheric processes, the large-scale synoptic conditions have been well recognized to be able to govern the development of the PBL (Whiteman and Doran 1993; Garratt 1994; Hoover et al. 2015; Miao et al. 2017). For example, the subsidence associated with the high pressure systems (e.g., anticyclone) may suppress the development of PBL, while the large-scale upward motions induced by the low pressure systems (e.g., fronts, cyclone) would

favor the growth of PBL (Stull 1988). Specifically, the cold front passage generally comes with CBL, since the strong winds within PBL would favor the development the PBL dynamically. In contrast, sometimes the presence of warm front could facilitate the formation of SBL, since warm air mass behind the front would climb over the local colder air, leading to an increase in atmospheric stability and a suppressed growth in PBL to some extent (Keyser and Anthes 1982; Stull 1988; Sun et al. 2002). Conversely, the stable PBL is also favorable for the low-level stratus cloud formation and maintenance (Lin et al. 2016).

Furthermore, clouds (especially under overcast condition) are generally associated with lower PBL height (Fig. 6). As shown in Fig. 10, compared with the negligible association between CLD and  $WS_{10}$ , high CLD was found to be associated with low  $T_{\text{sfc}}$  and higher  $RH_{\text{sfc}}$  (Betts et al. 2017; Garcia-Carreras et al. 2017), which was also accompanied with the lower PBL height in the afternoon (Zhang et al. 2013). Although high wind speed is recognized to be positively associated with annual averaged PBL height in the morning or afternoon (Guo et al. 2016b), this positive relation cannot be

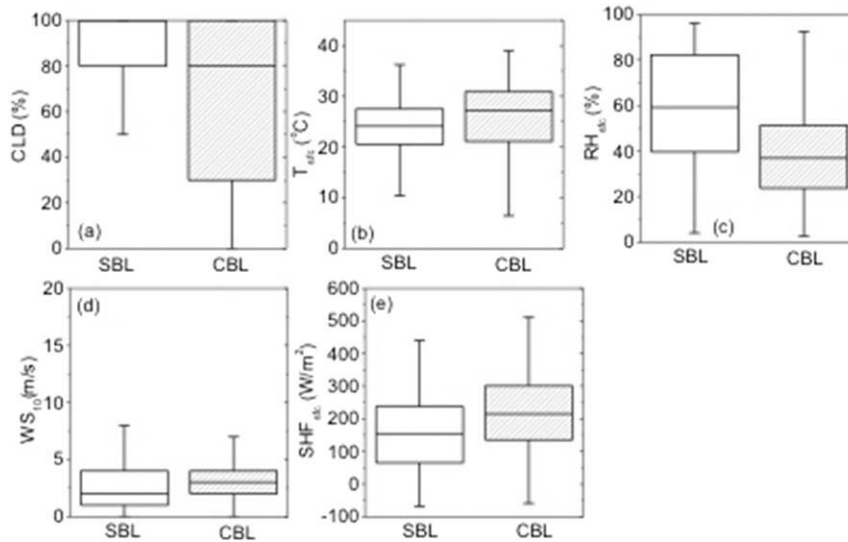


FIG. 8. Box plots comparing meteorological: (a) CLD, (b) surface temperature  $T_{sfc}$ , (c) relative humidity  $RH_{sfc}$ , (d) 10-m wind speed  $WS_{10}$ , and (e) surface sensible heat flux  $SHF_{sfc}$  between the days with SBL and those with CBL, as averaged over 67 radiosonde sites with valid SBL observations.

apparently seen from the afternoon PBL during summer. There may exist complicated coupling effects between the near-surface meteorological factors, CLD, and PBL in the afternoon. For instance, high CLD is

often associated with high  $RH_{sfc}$  and low PBL height, owing to the modulation of surface solar radiation. Meanwhile, the low PBL height may limit the vertical exchange of water vapor between the moister surface

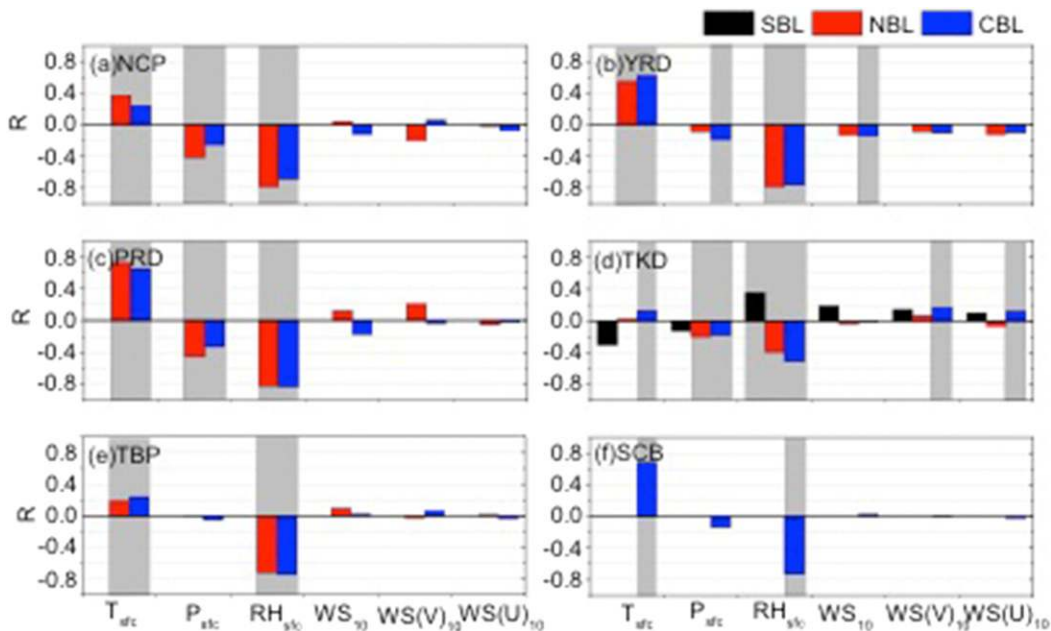


FIG. 9. The correlation coefficients of PBL heights and meteorological parameters in (a)–(f) six ROIs under SBL (black), NBL (red), and CBL (blue) conditions. The meteorological variables are surface temperature  $T_{sfc}$ , surface pressure  $P_{sfc}$ , surface relative humidity  $RH_{sfc}$ , 10-m wind speed  $WS_{10}$ ,  $V$  component of 10-m wind speed  $WS(V)_{10}$ , and  $U$  component of 10-m wind speed  $WS(U)_{10}$ . The gray shadings indicate correlations that are statistically significant at the 95% confidence level.

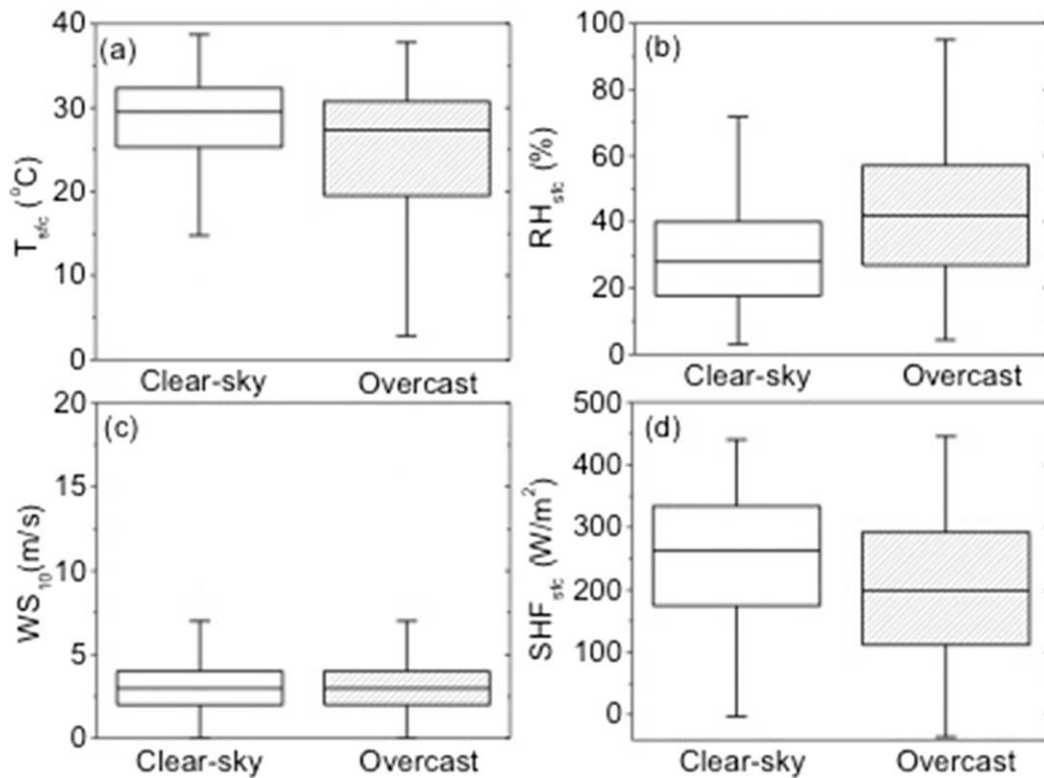


FIG. 10. As in Fig. 8, but comparing the meteorological factors between clear-sky days and overcast days. Note that only the radiosonde sites that have more than 30 observations for both clear-sky and overcast days were considered.

layer and upper drier free troposphere, leading to the relatively high  $\text{RH}_{sfc}$  (Hohenegger et al. 2009; Zhou and Geerts 2013).

In addition, the soil moisture may be another important factor dictating the development of PBL, since it affects the land surface energy budget (e.g., surface sensible heat flux and latent heat flux). For a specific location, the lower soil moisture generally comes with high surface sensible heat flux, which facilitates the PBL development in the afternoon (McCumber and Pielke 1981; Sanchez-Mejia and Papuga 2014; Rihani et al. 2015). As illustrated in Fig. S4, the northwest of China is mainly covered by bare land, characterized by low soil moisture, high  $\text{SHF}_{sfc}$ , high Bowen ratio, and low latent heat flux, all of which favors the development of PBL. On the contrary, the high soil moisture, high latent heat flux, low  $\text{SHF}_{sfc}$ , and low Bowen ratio in southern China are unfavorable to the development of PBL. Furthermore, the high latent heat flux tends to favor cloud formation, and the increased clouds will in turn results in reduced surface temperature, increased soil moisture, and decreased PBL height (Betts et al. 1996; Eltahir 1998; Pal and Eltahir 2001; Zhou and Geerts 2013). Additionally, the different land covers in the northwest

and southeast of China may also play a role in modulating the land surface processes and PBL development. The complex relationships—connections between the land surface properties and PBL in China need more studies in the future.

## 5. Conclusions

Based on the 1400 BJT soundings in summer collected from the CMA radiosonde network across China from 2012 to 2016, this study investigated the occurrence frequency and spatial distribution of different PBL types (i.e., SBL, NBL, and CBL) in China. In addition, the relationships between the PBL heights and other meteorological variables were examined, including CLD,  $T_{sfc}$ ,  $P_{sfc}$ ,  $\text{RH}_{sfc}$ , and  $\text{WS}_{10}$ .

The occurrence frequency of CBL is about 70%, followed by 26% for NBL, and 4% for SBL. At 1400 BJT, the spatial distributions of PBL heights for NBL and CBL are quite similar, demonstrating a prominent north–south gradient of PBL height. The depth of SBL ( $152 \pm 100$  m) is generally lower than those of NBL ( $1656 \pm 562$  m) and CBL ( $1803 \pm 550$  m). At 1400 BJT, clouds tend to reduce the occurrence frequency of CBL

and NBL. In terms of cloud impact on the frequency of SBL, it is found to be merely  $\sim 10\%$  under clear-sky conditions, as compared to  $\sim 70\%$  under overcast conditions, indicating that clouds are one of the key factors dictating the frequency of SBL. With the exception of the discernible changes in PBL height under overcast conditions relative to the PBL height under clear-sky conditions, the PBL height under partly cloudy conditions does not change much ( $<170$  m) under CBL and NBL regimes. This indicates that high cloud cover could be an important factor modulating PBL development. Also, the PBL heights of CBL and NBL were found to be positively correlated with the near-surface meteorological factors such as  $T_{\text{sfc}}$  but negatively associated with  $\text{RH}_{\text{sfc}}$ . For a specific location, the low  $\text{RH}_{\text{sfc}}$  usually accompanies low CLD and low soil moisture, which could favor the development of PBL in the afternoon with high surface sensible heat flux.

Although the CBL dominates over China in the summer afternoon, the NBL and SBL could also be established and cannot be overlooked. This study merely investigated the afternoon PBL in summer; more efforts should be made to expand the climatology of different PBL types to other seasons in China when more sounding data are available in the future.

*Acknowledgments.* Wanchun Zhang and Jianping Guo contributed equally to this work. This work was supported by the National Natural Science Foundation of China under Grants 41771399, 41705002, 91544217, and 41471301; the Ministry of Science and Technology under Grant 2014BAC16B01; and the Chinese Academy of Meteorological Sciences under Grants 2017Z005 and 2017Y002. The authors would like to acknowledge the Chinese Meteorological Administration for providing the radiosonde dataset. Last, but not least, special thanks go to four anonymous reviewers for their valuable comments and suggestions that helped improve the quality of our manuscript.

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