

ON THE TOTAL CURVATURE OF NONCOMPACT RIEMANNIAN MANIFOLDS

BY MASAO MAEDA

Let M be a 2-dimensional complete connected noncompact Riemannian manifold with positive Gaussian curvature K . Then Cohn-Vossen proved in [2] that M is diffeomorphic to a 2-dimensional Euclidean space E^2 and its total curvature satisfies

$$(*) \quad \iint_M K \, dv \leq 2\pi,$$

where dv is the area element of M . The purpose of this paper is to show the inequality (*) is still true for manifolds of nonnegative Gaussian curvature. That is,

THEOREM. *Let M be a 2-dimensional complete connected noncompact Riemannian manifold with nonnegative Gaussian curvature K . Then*

$$\iint_M K \, dv \leq 2\pi.$$

The author does not know whether this Theorem had been proved by anyone or not.

Throughout this paper, let M be a complete connected Riemannian manifold and every geodesic parametrized with respect to arc length. A geodesic $c: [0, \infty) \rightarrow M$ (or $(-\infty, \infty)$) is called a ray (or a line) if each segment of c is minimal. d denotes the metric distance of M . A subset A of M will be called totally convex if for any $p, q \in A$ and any geodesic $c: [0, s] \rightarrow M$ from p to q , we have $c([0, s]) \subset A$. Let C be a non-empty closed totally convex subset of M . Then C is an imbedded topological submanifold of M with totally geodesic interior and possibly nonsmooth boundary ∂C , which might be empty, see [1]. Let M be a noncompact manifold of nonnegative sectional curvature. Then the following facts were also proved in [1]. Let C be a closed totally convex subset of M . If $\partial C \neq \emptyset$, we set

$$C^a := \{p \in C : d(p, \partial C) \geq a\},$$

$$C^{\max} = \bigcap_{C^a \neq \emptyset} C^a.$$

Received May 10, 1973.

Then for any $a \geq 0$, C^a is totally convex and therefore C^{\max} is totally convex and $\dim C^{\max} < \dim C$. For any $p \in M$, there exists a family of compact totally convex subsets C_t , $t \geq 0$ of M such that

- 1) $t_1 \leq t_2$ implies $C_{t_1} \subset C_{t_2}$ and $C_{t_1} = \{q \in C_{t_2}; d(q, \partial C_{t_2}) \geq t_2 - t_1\}$, in particular, $\partial C_{t_1} = \{q \in C_{t_2}; d(q, \partial C_{t_2}) = t_2 - t_1\}$,
- 2) $\bigcup_{t \geq 0} C_t = M$,
- 3) $p \in C_0$ and if $\partial C_0 \neq \emptyset$, then $p \in \partial C_0$.

We set $C_0 = : C(0)$ and if $\partial C(0) \neq \emptyset$, we set $C(1) := C(0)^{\max}$. Inductively we set $C(i+1) := C(i)^{\max}$ if $\partial C(i) \neq \emptyset$. Then there exists an integer $k \geq 0$ such that $\partial C(k) = \emptyset$. $C(k)$ will be called a soul of M and denoted by S .

LEMMA 1. *Let M be a noncompact Riemannian manifold with nonnegative sectional curvature and S be a soul of M . Then for any point $q_0 \in S$, there exist at least two rays starting from q_0 .*

Proof. Since M is noncompact there exists a ray $\sigma : [0, \infty) \rightarrow M$ starting from q_0 . We set $v := -\dot{\sigma}(0)$. Let $\{C_t\}_{t \geq 0}$ be the family of compact totally convex sets from which S was constructed as above. Choose an $s_0 > 0$. Let $c : [0, L] \rightarrow M$ be the geodesic such that $L < s_0$ i. e. $c([0, L]) \subset \text{int } C_{s_0}$ and $\dot{c}(0) = v$. Let $\{t_i\}$ be a sequence such that $t_i \in (0, L)$ and $t_i \rightarrow 0$ and $\{s_i\}$ be a sequence such that $s_i \rightarrow \infty$ and $s_i \geq s_0$. Let $q_i \in \partial C_{s_i}$ be a point such that $d(c(t_i), q_i) = d(c(t_i), \partial C_{s_i})$ and $c_i : [0, d(c(t_i), q_i)] \rightarrow M$ be a minimal geodesic from $c(t_i)$ to q_i . Then for all i

$$\sphericalangle(\dot{c}(t_i), \dot{c}_i(0)) \leq \frac{\pi}{2},$$

where $\sphericalangle(\dot{c}(t_i), \dot{c}_i(0))$ is the angle between $\dot{c}(t_i)$ and $\dot{c}_i(0)$. To see this, we use the fact that the function $\phi : [0, L] \rightarrow R$ defined by $\phi(s) := d(c(s), \partial C_{s_i})$ is concave i. e.

$$\phi(at_1 + bt_2) \geq a\phi(t_1) + b\phi(t_2)$$

where $a, b \geq 0$, $a + b = 1$, see Theorem 1.10 in [1]. Since $q = c(0) \in S$, ϕ takes a maximum at 0 and hence ϕ is monotone decreasing. But if $\sphericalangle(\dot{c}(t_i), \dot{c}_i(0)) > \frac{\pi}{2}$, then we can find $t'_i < t_i$ such that $d(c(t'_i), q_i) < d(c(t_i), q_i)$. Hence $\phi(t'_i) \leq d(c(t'_i), q_i) < d(c(t_i), q_i) \leq \phi(t_i)$. This is a contradiction. We choose a convergent subsequence $\{\dot{c}_{i_j}(0)\}$ of $\{\dot{c}_i(0)\}$ such that $\dot{c}_{i_j}(0) \rightarrow w$. By the construction, the geodesic $\tau : [0, \infty) \rightarrow M$ such that $\dot{\tau}(0) = w$ is a ray which satisfies

$$\sphericalangle(v, \dot{\tau}(0)) \leq \frac{\pi}{2}. \qquad \text{q. e. d.}$$

Proof of Theorem. By the Classification Theorem in [1], M must be isometric to a cylinder or a Möbius band or a P_2 which is diffeomorphic to E^2 . So we may assume that M is diffeomorphic to E^2 and not flat. Let S be a soul of M and $\{C_t\}_{t \geq 0}$ the family of compact totally convex subsets of M which

determines S . For a fixed point $q_0 \in S$, by Lemma 1, there exist two rays $\sigma, \tau: [0, \infty) \rightarrow M$ starting from q_0 and $\dot{\sigma}(0) \neq \dot{\tau}(0)$. Since M is diffeomorphic to E^2 , by the broken geodesic $\tau^{-1} \circ \sigma: (-\infty, \infty) \rightarrow M$ defined by

$$\tau^{-1} \circ \sigma(t) := \begin{cases} \tau(-t) & \text{if } t \leq 0 \\ \sigma(t) & \text{if } t \geq 0, \end{cases}$$

M is decomposed into two domains D_1, D_2 such that $D_1 \cap D_2 = \phi$, $\bar{D}_1 \cup \bar{D}_2 = M$ and $\partial \bar{D}_1 = \partial \bar{D}_2 = \tau^{-1} \circ \sigma$. For each $t > 0$, ∂C_t is homeomorphic to a circle and σ (or τ) meets ∂C_t uniquely at σ_t (or τ_t). For each $t > 0$ and $i=1, 2$, we set

$$D_i^t := D_i \cap C_t,$$

$$E_i^t := D_i \cap \partial C_t,$$

and

$$B_i^t := \left\{ \begin{array}{l} \tilde{q} \in E_i^t : \text{there exist minimal geodesics from } \sigma_t \text{ to } \tilde{q} \text{ and} \\ \text{from } \tau_t \text{ to } \tilde{q} \text{ which are contained in } \bar{D}_i^t \end{array} \right\}$$

B_i^t is nonempty subset of E_i^t . We show this for $i=1$. We set

$$N_\sigma := \left\{ \begin{array}{l} \tilde{q} \in E_1^t : \text{there exists a minimal geodesic from } \sigma_t \text{ to } \tilde{q} \\ \text{which is contained in } \bar{D}_1^t \end{array} \right\}$$

$$N_\tau := \left\{ \begin{array}{l} \tilde{q} \in E_1^t : \text{there exists a minimal geodesic from } \tau_t \text{ to } \tilde{q} \\ \text{which is contained in } \bar{D}_1^t \end{array} \right\}$$

Let $\tilde{q} \in E_1^t$. We will show if $\tilde{q} \notin N_\tau$, then $\tilde{q} \in N_\sigma$. By the assumption there exists no minimal geodesic from τ_t to \tilde{q} which is contained in \bar{D}_1^t . First of all, we note that any minimal geodesics from τ_t to \tilde{q} and σ_t to \tilde{q} are contained completely ∂C_t or do not intersect ∂C_t except the end points. So we may assume that any minimal geodesic from τ_t to \tilde{q} is not contained in ∂C_t . Let $a_t: [0, d(\tau_t, \tilde{q})] \rightarrow M$ be a minimal geodesic from τ_t to \tilde{q} . Then a_t does not meet $\tau|_{[0, d(\tau(0), \tau_t)]}$. For, if it does not so, then $a_t([0, d(\tau(0), \tau_t)]) = \tau([0, d(\tau(0), \tau_t)])$, because τ is a minimal geodesic. From the assumption $a_t \notin \bar{D}_1^t$, $a_t([0, d(\tau_t, \tilde{q})]) \cap D_1^t \neq \phi$. Hence a_t must meet σ at $\sigma(s_0)$, $s_0 > 0$. So $a_t([d(\tau(0), \tau_t), d(\tau_t, \tilde{q})]) \subset \sigma([0, \infty))$, because σ is a minimal geodesic. This contradicts $\tilde{q} \notin \sigma([0, \infty))$. Let $\delta := \min \{d(q_0, \tau_t), d(\tilde{q}, \tau_t)\}$. Then $a_t([0, \delta]) \subset D_1^t$ or $a_t([0, \delta]) \subset D_2^t$. In the first case, we get the same contradiction by the analogous argument above. If $a_t([0, \delta]) \subset D_2^t$, then $a_t([0, d(\tau_t, \tilde{q})]) \cup \{\text{restriction of } E_1^t \text{ from } \tau_t \text{ to } \tilde{q}\}$ is a Jordan curve and contains q_0 in its interior, because τ and σ are rays. If $\tilde{q} \in N_\sigma$, then by the same argument above, we see that if $b_t: [0, d(\sigma_t, \tilde{q})] \rightarrow M$ be a minimal geodesic from σ_t to \tilde{q} , then $b_t([0, d(\sigma_t, \tilde{q})]) \cup \{\text{restriction of } E_1^t \text{ from } \sigma_t \text{ to } \tilde{q}\}$ is a Jordan curve and contains q_0 in its interior. Then by the topological consideration, we see that a_t must intersect b_t at $a_t(s')$, $s' > 0$. So $a_t = b_t$ because a_t and b_t are minimal geodesics. This is a contradiction. So $\tilde{q} \in N_\sigma$. Similarly if $\tilde{q} \notin N_\sigma$, then $\tilde{q} \in N_\tau$.

That is, every point of E_i^1 is contained in N_σ or N_τ . If $\bar{q} \in E_i^1$ is contained in a convex neighborhood of σ_i (or τ_i), then $\bar{q} \in N_\sigma$ (or N_τ). So N_σ and N_τ are non-empty. By considering limits of geodesics, we see N_σ and N_τ are closed subsets of E_i^1 . Thus, if $N_\sigma \cap N_\tau = \emptyset$, then N_σ and N_τ are non-empty open and closed subsets of E_i^1 . This is a contradiction. So there exists a point $q \in N_\sigma \cap N_\tau \subset B_i^1$.

We choose $q_i^1 \in B_i^1$ and let $a_i^1: [0, m_i^1] \rightarrow M$ and $b_i^1: [0, n_i^1] \rightarrow M$ are minimal geodesics from τ_i to q_i^1 and from σ_i to q_i^1 such that $a_i^1([0, m_i^1])$, $b_i^1([0, n_i^1]) \subset \bar{D}_i^1$, $i=1, 2$. We denote by Q_i the closed bounded domain with the boundary consisting of four geodesic segments a_i^1 , b_i^1 , b_i^2 and a_i^2 .

LEMMA 2. For any point $q \in M$, there exists a positive number $t(q)$ such that for all $t \geq t(q)$, $q \in Q_t$.

Proof. We may assume that $q \in D_1$. We assume Lemma 2 dose not hold. Then there exists a sequence $\{t_i\}$ such that $\lim t_i = \infty$ and $q \notin Q_{t_i}$ for all i . Let $c: [0, b] \rightarrow M$ be a minimal geodesic from q_0 to q . Then $c([0, b]) \subset D_1$. Since every Q_t contains q_0 , $a_{t_i}^1$ or $b_{t_i}^1$ meets $c([0, b])$. Without loss of generality, we may assume $a_{t_i}^1$ meets $c([0, b])$ at $a_{t_i}^1(s_{t_i})$. By the triangle inequality,

$$\begin{aligned} d(a_{t_i}^1(s_{t_i}), q_{t_i}^1) &\geq d(q_{t_i}^1, q_0) - d(q_0, a_{t_i}^1(s_{t_i})) \\ &\geq d(q_{t_i}^1, q_0) - d(q_0, q) \\ &\geq t_i - d(q_0, q), \end{aligned}$$

$$\begin{aligned} d(a_{t_i}^1(s_{t_i}), \tau_{t_i}) &\geq d(\tau_{t_i}, q_0) - d(q_0, a_{t_i}^1(s_{t_i})) \\ &\geq d(\tau_{t_i}, q_0) - d(q_0, q) \\ &\geq t_i - d(q_0, q), \end{aligned}$$

since q_0 is a point of the soul S which is made from the family of totally convex sets $\{C_t\}_{t \geq 0}$. Hence $\lim_{t \rightarrow \infty} d(a_{t_i}^1(s_{t_i}), q_{t_i}^1) = \infty$ and $\lim_{t \rightarrow \infty} d(a_{t_i}^1(s_{t_i}), \tau_{t_i}) = \infty$. By the compactness of $c([0, b])$, we can choose a convergent subsequence of $\{a_{t_i}^1(s_{t_i})\}$. Let v be its limit vector. Then the geodesic $\gamma: (-\infty, \infty) \rightarrow M$ such that $\dot{\gamma}(0) = v$ is a line by the above fact. Then by the Toponogov's splitting Theorem (see [1]), M must be isometric to E^2 . This is a contradiction. q. e. d.

Taking a positive number r_1 , for $i=1, 2, \dots$, we set $r_{i+1} := \max \{t(q_{r_i}^1), t(q_{r_i}^2), r_i\} + 1$. Then $Q_{r_i} \subset Q_{r_{i+1}}$, because $q_{r_i}^k \in Q_{r_{i+1}}$ by Lemma 2 and $a_{r_i}^k, b_{r_i}^k, a_{r_{i+1}}^k, b_{r_{i+1}}^k$ are minimal geodesics, for $k=1, 2$. Since $r_i \uparrow \infty$, for any point $q \in M$, by Lemma 2 there exists r_i such that $q \in Q_{r_i}$. Hence $\bigcup_i Q_{r_i} = M$. The vertical angles of Q_{r_i} are not larger than π , because C_{r_i} is totally convex. Hence applying the Gauss-Bonnet's Theorem to Q_{r_i} , we get

$$\iint_{Q_{r_i}} K dv \leq 2\pi.$$

The sequence $\left\{ \iint_{Q_{r_i}} K dv \right\}$ are monotone increasing, so there exists the limit value and

$$\lim \iint_{Q_{r_i}} K dv = \iint_M K dv \leq 2\pi$$

q. e. d.

The auther thanks Professor T. Otsuki for his valuable suggestions.

REFERENCES

- [1] J. CHEEGER AND D. GROMOLL, On the structure of complete manifolds of non-negative curvature, *Ann. of Math.* **96** (1972), 413-443.
- [2] S. COHN-VOSSEN, Kürzeste Wege und Totalkrümmung auf Flächen, *Comp. Math.* **2** (1935), 69-133.
- [3] D. GROMOLL AND W. MEYER, On complete manifolds of positive curvature, *Ann. of Math.* **90** (1969), 75-90.

DEPARTMENT OF MATHEMATICS,
TOKYO INSTITUTE OF TECHNOLOGY.