

1 One-pot bioconversion of algae biomass into terpenes for advanced biofuels and bioproducts

2 Weihua Wu<sup>1\*</sup>, Ryan W. Davis<sup>1\*</sup>

3 1. Department of Biomass Science & Conversion Technologies, Sandia National Laboratories,  
4 Livermore, CA, USA

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8  
9 Corresponding author:

10 Weihua Wu, PhD

11 Department of Biomass Science & Conversion Technologies,

12 Sandia National Labs,

13 7011 East Avenue, Livermore, CA, USA

14 E-mail: [wwu@sandia.gov](mailto:wwu@sandia.gov); [whwu@ucdavis.edu](mailto:whwu@ucdavis.edu)

15  
16 Ryan W Davis, PhD

17 Department of Biomass Science & Conversion Technologies,

18 Sandia National Labs,

19 7011 East Avenue, Livermore, CA, USA

20 E-mail: [rwdavis@sandia.gov](mailto:rwdavis@sandia.gov)

## Abstract

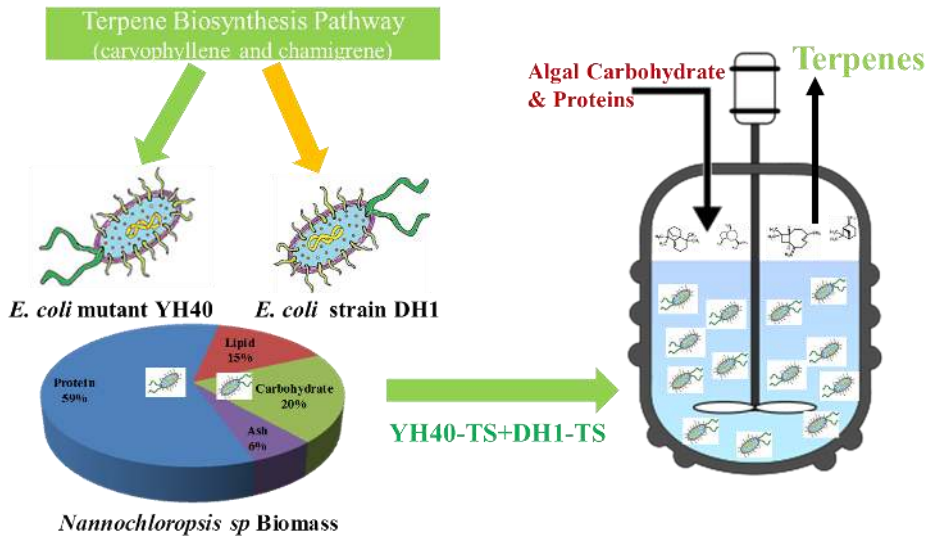
Under robust algae growth conditions, algal carbohydrates and proteins typically comprise up to ~ 80% of the ash-free dry weight of microalgae biomass. Therefore, production of algal biofuel through comprehensive utilization of all algal components and the addition of high energy density fuel compounds with “fit for purpose” properties or high-value bioproducts will both diminish the process cost and improve the overall process feasibility. In this study, we firstly demonstrated the concept of a "one-pot" bioconversion of algal carbohydrate and protein into value-added terpene compounds as advanced biofuel and high value bioproducts to improve the overall process feasibility through the development of engineered microbial consortium. The consortium for caryophyllene production yielded the highest titer of total terpene, up to 507.4 mg/L, including 471 mg/L of sesquiterpene, 36.4 mg/L of monoterpene, and 124.4 mg/L of caryophyllene on algal hydrolysate from *Nannochloropsis sp.* Additionally, the consortium expressing chamigrene synthase produced 187 mg/L total terpene including 87 mg/L of monoterpene, 100 mg/L of sesquiterpene, and 62 mg/L chamigrene on hydrolysate from benthic polyculture biomass. Compared to the yields of terpene extracted from plant tissue, both consortia increased the terpene yield about 3~40 times, which makes it a promising alternative pathway for terpene production.

Key words: One-pot conversion, terpene, microbial consortium, algal biofuel, caryophyllene, chamigrene

55 Introduction

56 Rising demand for transportation fuels and the concerns with fossil fuel derived environmental  
57 pollution as well as the green-house gas emission derived climate change have resulted in the  
58 compelling need for alternative, sustainable energy sources(1). Algae-based biofuels have been  
59 considered one of the promising alternatives to fossil fuels as they can overcome some of these issues  
60 (2-4). The current state-of-the-art of algal biofuel technologies have primarily focused on biodiesel  
61 production through prompting high algal lipid yields under the nutrient stress conditions. There has  
62 been less emphasis on using algae-based carbohydrates and proteins as carbon sources for the  
63 fermentative production of liquid fuel compounds or other high-value bioproducts(5-7).

64 Terpenes are a group of natural products with over 55,000 structurally similar chemical compounds.  
65 Compared to biodiesel and other short- and medium-chain alcohols, these molecules contain near zero  
66 oxygen content, have various biological functionalities (8-12) and have high energy density, making  
67 them particularly attractive candidates as “drop-in” fuel candidates for aviation fuels(13-18). In this  
68 study, we demonstrated the concept of "one-pot" bioconversion of algal carbohydrates and proteins  
69 into terpenes as advanced biofuel compounds and the high value bioproducts (figure 1) through the  
70 development of engineered microbial bioconversion consortium.



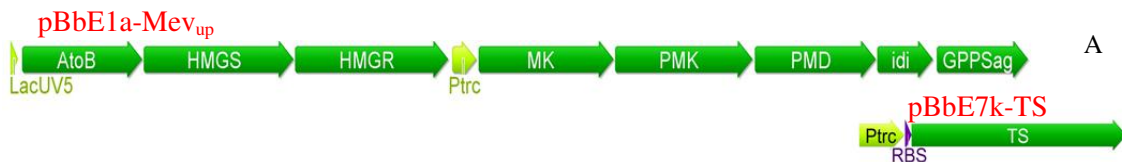
72 Figure 1.

73 Results and Discussion

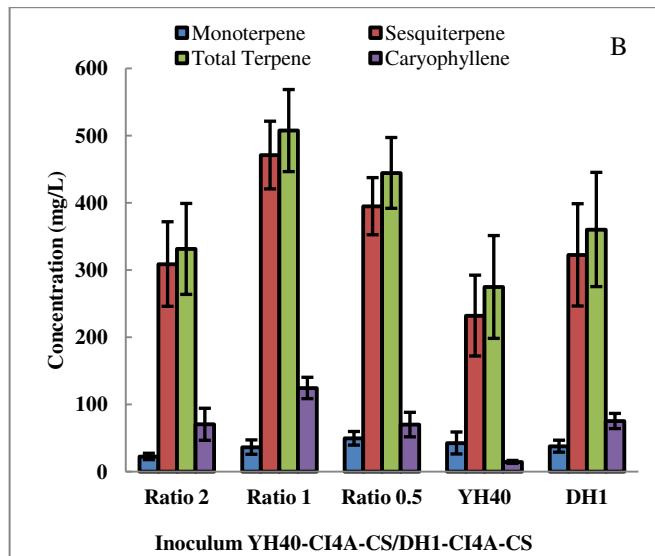
74 Caryophyllene and chamigrene, natural bicyclic sesquiterpene (C15) compounds, are common  
75 components present in the essential oils from various plants (19-22). A recent study suggested that the

76 blending of hydrogenated sesquiterpanes (in particular carophyllanes), which have a moderate cetane  
77 number and only moderately high viscosity, with synthetic branched paraffins to raise cetane and  
78 reduce viscosity, could produce biosynthetic fuels that meet applicable jet fuel and diesel  
79 specifications(23). Therefore, caryophyllene and its isomers have been deemed to be among the top  
80 three most promising candidates for jet fuel with high energy density (24). In our previous study, we  
81 discovered and functionally characterized caryophyllene and chamigrene synthases from  
82 endophytes(25). Furthermore, we demonstrated the feasibility of bioconversion of algal protein into  
83 terpene through terpene biosynthesis reconstruction into mutant *E. coli* strain YH40. Based on the  
84 previous studies, we developed a synthetic microbial consortium and investigated the production of  
85 caryophyllene, chamigrene, and other terpene products in one-pot fermentation using algal hydrolysate  
86 of microalgae monocultures from strain *Nannochloropsis sp* as well as natural benthic algal  
87 assemblages cultivated from wastewater. To achieve this, the terpene biosynthesis pathway was  
88 reconstructed into *E. coli* strain YH40(7), designated for the conversion of algal protein into  
89 caryophyllene or chamigrene, and into *E. coli* strain DH1, designated for the conversion of algal  
90 carbohydrate into caryophyllene or chamigrene, respectively, as described in previous studies(15). The  
91 caryophyllene and chamigrene yields were investigated under three different combinations of  
92 inoculum YH40-CI4A-CS/DH1-CI4A-CS at ratios of 2:1, 1:1, 0.5:1 as well as the single strains YH40-  
93 CI4A-CS or DH1-CI4A-CS alone. As shown in figure 2 (B), when co-culture of the two strains  
94 containing caryophyllene synthases were grown on algal hydrolysate from *Nannochloropsis sp*, at an  
95 inoculum ratio 1:1 (consortia R1) the consortia produced the highest titer of total terpene, up to 507.4  
96 mg/L, including 471 mg/L of sesquiterpene, 36.4 mg/L of monoterpene as well as 124.4 mg/L of  
97 caryophyllene. Correspondingly, the consortia R1 consumed the highest amount of algal carbohydrates  
98 and proteins, which accounted for 48.2% of total algal carbohydrates and 36% of total algal proteins in  
99 the media, figure 2(C). Compared to the consortia R1, the consortia R2 and R0.5 consumed a  
100 significantly lower fraction of the total algal biomass, with correspondingly lower concentrations of  
101 terpenes. The strain YH40-CI4A-CS alone produced the least amount of total terpene (274.7 mg/L),  
102 sesquiterpene (232.1 mg/L) and caryophyllene (14.4 mg/L) while DH1-CI4A-CS yielded 30% higher  
103 sesquiterpene and total terpene than strain YH40-CI4A-CS as well as 4 times higher titer of  
104 caryophyllene (75.2 mg/L). Compositional analysis of the *Nannochloropsis sp*. biomass indicated that  
105 the biomass was 20% carbohydrates and 58% protein (data not shown). Based on this data, the highest  
106 terpene yield that was achieved corresponded to ~42 mg total terpene/ g algae from consortia R0.5  
107 with 37.4 mg sesquiterpene/ g algae and 6.6 mg caryophyllene/ g algae, as shown in figure 2(D).  
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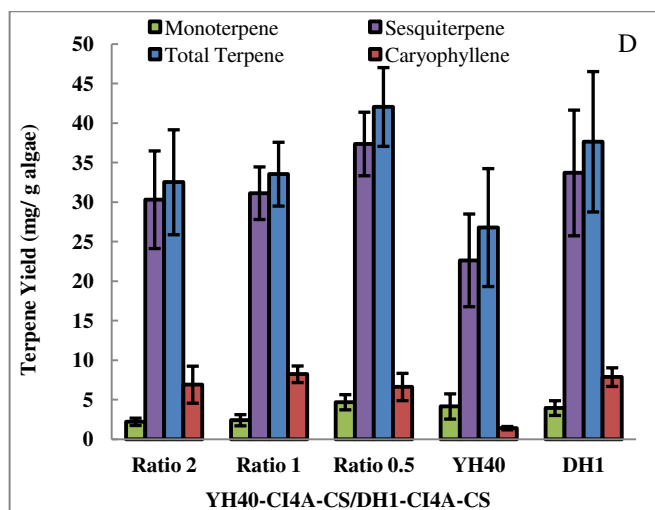
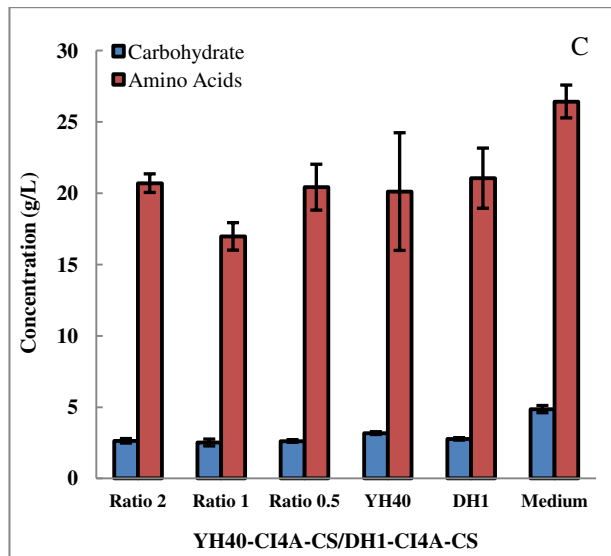
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**Figure 2.**

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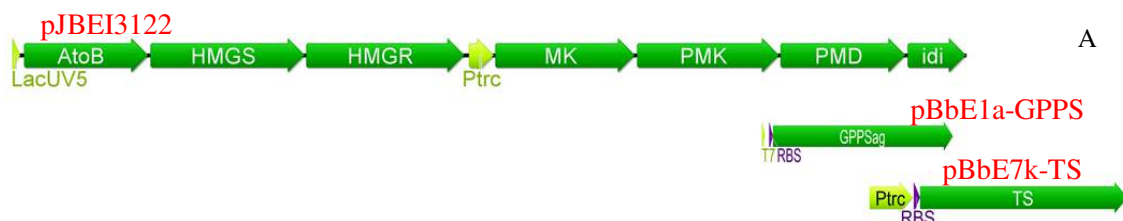
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For co-culture of the two engineered strains containing chamigrene on the hydrolysate of benthic algal assemblages, the experimental results showed that the terpene yield reached 187 mg/L total terpene at the 2:1 ratio (YH40-CI4A-CPS/DH1-CI4A-CPS), including 87 mg/L of monoterpene and 100 mg/L of sesquiterpene, and chamigrene was the major product accumulated up to 62 mg/L. The synthetic microbial consortia produced similar total terpene at the 1:1 and 0.5:1 ratios (YH40-CI4A-CPS/DH1-CI4A-CPS), which were ~150 mg/L of total terpene. The microbial consortium at ratio 1 yielded the highest concentration of sesquiterpene (113 mg/L) as well as chamigrene (80 mg/L) among

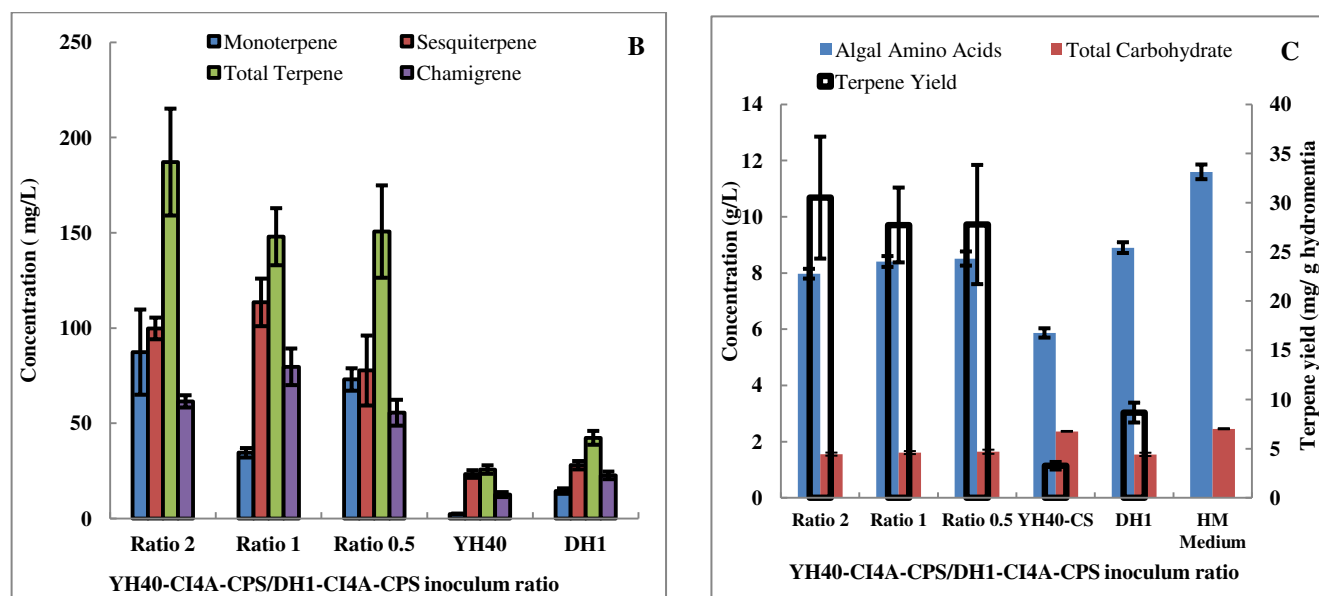
121 three consortia, while the monoterpene yield was the lowest (34.5 mg/L). The strains YH40-TS and  
122 DH1-TS alone produced only 26 and 43 mg/L of total terpene, respectively, indicating relatively  
123 inefficient bioconversion of algal biomass. Compared to a single bioconversion strain, the synthetic  
124 microbial consortia produced 2.5-6.2 times higher total terpene concentration, suggesting that both  
125 algal carbohydrate and protein can be more effectively converted in the single-pot process. In terms of  
126 algal carbohydrate and amino acid consumption, none of the synthetic consortia were able to  
127 completely consume the algal carbohydrates and amino acids. The 2:1 consortium ratio utilized the  
128 highest amount of algal biomass, corresponding to 36.8% of total carbohydrates and 31.3% of algal  
129 amino acids. The other two consortia ratios consumed similar amount of the total carbohydrates and  
130 algal amino acids, which were 10-15% less than the 2:1 consortium. Strain YH40—CI4A-CPS utilized  
131 approximately half of the algal amino acids in the medium but algal carbohydrate consumption was  
132 minimal (3.8% of total carbohydrate). Strain DH1-TS consumed both algal carbohydrates (37.8 % of  
133 total carbohydrate) and amino acids (23.3% of algal amino acids) in the medium. Compositional  
134 analysis indicated that carbohydrate and protein accounts for 74.2% of the mixed benthic biomass ash  
135 free dry weight (HydroMentia, Inc). Based on these data, the 2:1 consortium ratio produced the  
136 highest terpene yield at 30.5 mg terpene/ g algae while the 1:1 and 1:2 consortium ratios yielded 27.0  
137 and 28.5 mg terpene/ g algae, respectively. The strain YH40—CI4A-CPS only produced 3.3 mg  
138 terpene/ g algae, which was lower than 8.7 mg terpene/ g algae yielded by strain DH1-CI4A-CPS, as  
139 shown in figure 3 (C). Compared to total terpene yield produced from the benthic polyculture biomass  
140 in our previous study, the consortium employing *Nannochloropsis sp.* monoculture produced more  
141 than 2- fold higher titer of total terpene. In the consortium used for bioconversion of the benthic  
142 polyculture biomass, the chamigrene synthase (JGI protein ID 322581) gene was expressed as the last  
143 enzyme in the terpene biosynthesis pathway. Compared to the multiple sesquiterpene produced by  
144 caryophyllene synthase in this study, chamigrene synthase only produces a single sesquiterpene  
145 (chamigrene) with a limited number of monoterpenes(15), which was likely a reason for the higher  
146 yield of total terpene from *Nannochloropsis sp.* Furthermore, the ash content of the benthic  
147 polyculture was more than 50% of total biomass, compared to 5.9% of *nannochloropsis sp.* (data not  
148 shown). The higher ash content of the benthic polyculture biomass resulted in higher ionic strength in  
149 the final algal hydrolysates (fermentation medium), which retarded the cell growth and compromised  
150 the terpene yield. Additionally, according to techno-economic analysis of the current state-of-the-art  
151 technologies for essential oil production, which are mainly based on water/solvent extraction, the  
152 extraction yield of essential oil ranged from 0.1% to 1% of plant tissue, corresponding to 1 mg-10 mg  
153 essential oil/ g plant tissue(26, 27) based on the relatively low concentration of essential oils in plant

154 tissue(28). Compared to the extraction yield of essential oil from plant tissue, the engineered strains in  
 155 this study increased the terpene yield about 3~40 times, which makes it a promising alternative  
 156 pathway for terpene production.

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160 **Figure 3**

161 **Conclusion**

162 Algae-based biofuels production has primarily focused on biodiesel production through  
 163 transesterification of algal lipids. Under robust algal biomass accumulation conditions, carbohydrate  
 164 and proteins typically comprise up to ~80% of the ash-free dry weight of algae biomass. Therefore, a  
 165 comprehensive process for bioconversion of algal carbohydrates and proteins to high energy density  
 166 fuels and value-added bioproducts should significantly improve the algal fuel process feasibility. In  
 167 this study, we demonstrated simultaneous bioconversion of algal carbohydrates and proteins to  
 168 terpenes which are attractive candidates for high energy density aviation fuels and other intermediate  
 169 to high value bio-based chemicals applications. Using an engineered microbial consortium, greater  
 170 than 30% of the carbohydrates and proteins from both a wastewater-based mixed algal feedstock and  
 171 monoculture of strain *Nannochloropsis sp* were converted to terpenes, including both monoterpenes

172 and sesquiterpenes. This microbial consortium concept for comprehensive utilization of algal biomass  
173 offers a versatile path forward for the production of fuels and active bioproducts from algae.

174

## 175 Material and Methods

### 176 Strains and Plasmids

177 The *E.coli* strain DH1 was obtained from Joint BioEnergy Institute (JBEI). The mutant *E.coli* strain  
178 YH40 (BW25113/F' [traD36, proAB+, lacIqZΔM15]ΔglnA, ΔgdhAΔluxSΔlsrA ) was generously  
179 provided by Professor James C Liao from University of California, Los Angeles (UCLA). The plasmid  
180 pBbE1a-MEV<sub>up</sub> containing the terpene biosynthesis pathway(29), the plasmid pBbE1a-GPPS, and  
181 pBbE7k-TS were constructed in our previous study(15, 25). The plasmids containing the whole  
182 terpene biosynthesis pathway were co-transformed into strains DH1 and YH40, respectively.

### 183 Terpene production from a microbial consortium on algal hydrolysates

184 Algal biomass samples from both sources were pretreated according to protocols from the National  
185 Renewable Energy Laboratories and hydrolyzed with 2 mg/mL Pronase (Promega, CA) following the  
186 manufacturer's protocol. The pretreated and hydrolyzed algal biomass was sterilized through filtration.  
187 *E. coli* strains DH1 and YH40 each containing the terpene biosynthesis pathway were cultured into  
188 15ml of LB medium as described in the previous study. The overnight cultures were centrifuged and  
189 the cell pellets were re-suspended into 4 ml of pretreated algal hydrolysate. Various ratios (2:1, 1:1,  
190 1:2) of engineered YH40 to DH1 were inoculated into the algal hydrolysate at a final concentration of  
191 10% v/v. The culture were incubated at 37°C, 220 rpm and induced with 1 mM IPTG once the OD  
192 reached 0.8. The flasks were cap-sealed and cultured for another 72 hours at 25°C, 180 rpm for terpene  
193 production. Analytical samples were taken at the initial and end point of fermentation. The  
194 concentrations of total carbohydrate and amino acids were determined according to the established  
195 colorimetric protocols. The terpene profile and concentration was determined as described in the  
196 previous study(15, 25).

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### 198 Experimental replication and statistical treatment

199 All the fermentation experiments were performed in triplicate. The data presented in the figures  
200 were the mean values and the errors were calculated as the standard deviation of the triplicates.

201



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### Caption of Figures

213 Figure 1: Cartoon depiction of “one-pot” bioconversion of algal hydrolysate into terpenes.

214 Figure 2: Comprehensive conversion of algal carbohydrates and proteins into caryophyllene and other  
215 terpenes using a synthetic microbial consortium on algal hydrolysate of *Nannochloropsis sp.* A:  
216 Caryophyllene biosynthesis pathway construct, B: concentration of caryophyllene and other terpenes,  
217 C: algal carbohydrate and protein consumption of the microbial consortia, D: caryophyllene and other  
218 terpene yields based on the substrate consumption.

219 Figure 3: Comprehensive conversion of algal carbohydrate and protein into chamigrene and other  
220 terpenes using a synthetic microbial consortium on algal hydrolysate of benthic polyculture biomass. A:  
221 Chamigrene biosynthesis pathway construct, B: concentration of chamigrene and other terpenes, C:  
222 algal carbohydrate and protein consumption and total terpene yields based on the substrate  
223 consumption.

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304

#### 305 **Contributions**

306 W.W.H conceived and designed the study, performed the experiments, collected and analyzed the data, wrote  
307 and revised the manuscript. R.W.D supervised the study, and revised the manuscript. All authors read and  
308 approved the manuscript.