

# Online multi-frame blind deconvolution with super-resolution and saturation correction

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# ABSTRACT

Astronomical images taken by ground-based telescopes suffer degradation due to atmospheric turbulence. This degradation can be tackled by costly hardware-based approaches such as adaptive optics, or by sophisticated software-based methods such as lucky imaging, speckle imaging, or multi-frame deconvolution. Software-based methods process a sequence of images to reconstruct a deblurred high-quality image. However, existing approaches are limited in one or several aspects: (i) they process all images in batch mode, which for thousands of images is prohibitive; (ii) they do not reconstruct a super-resolved image, even though an image sequence often contains enough information; (iii) they are unable to deal with saturated pixels; and (iv) they are usually non-blind, i.e., they assume the blur kernels to be known. In this paper we present a new method for multi-frame deconvolution called online blind deconvolution (OBD) that overcomes all these limitations simultaneously. Encouraging results on simulated and real astronomical images demonstrate that OBD yields deblurred images of comparable and often better quality than existing approaches.

Key words. methods: numerical - techniques: image processing - techniques: miscellaneous - atmospheric effects

# 1. Introduction

Astronomical observation using ground-based telescopes is significantly degraded by diffraction-index fluctuations caused by atmospheric turbulence. This turbulence arises from local temperature and density inhomogeneities and results in a time- and space-variant point spread function (PSF). Often the PSF is assumed to be invariant within a short time-period and a small region of space, called an *isoplanatic patch*. The coherence time and the size of the isoplanatic patch depend on the strength of the turbulence that is usually quantified by Fried's parameter  $r_0$ (Fried 1978) ranging between 10-20 cm for visible wavelengths at astronomical telescope sites. The coherence time for atmospheric turbulence is effectively frozen for images with exposure times shorter than 5-15 ms. Longer exposures effectively perform a time average, and thereby irretrievably wipe out high frequency information, making them bandlimited to angular frequencies smaller than  $r_0/\lambda$ , where  $\lambda$  is the wavelength of the observed light. In contrast, short-exposures encapsulate information up to the diffraction-limited upper frequency bound (which is theoretically given by the ratio  $D/\lambda$  where D denotes the diameter of the telescope's primary mirror). Figure 1 depicts this issue for the simulated image of a single star and shows the radial averaged modular transfer function (MTF) for diffraction-limited, long- and short-exposure imaging.

The information carried by short exposures was first exploited by Labeyrie (1970), who proposed the averaging of the power spectra of a sequence of short exposures to retain diffraction-limited amplitude information. Shortly thereafter, Knox & Thompson (1974) extended Labeyrie's idea by



**Fig. 1.** Imaging a single star through atmospheric turbulence: while the angular resolution of a long exposure image (*top left*) is limited by the strength of the atmospheric turbulence (commonly quantified by Fried's parameter  $r_0$ ), a short exposure image (*bottom left*) encapsulates information up to the diffraction-limited upper frequency bound which is proportional to the diameter *D* of the telescope's primary mirror. *The right panel* shows the radial averaged modular transfer function (MTF) for diffraction-limited, short and long exposure imaging. While the long exposure MTF falls to nearly zero at  $r_0/\lambda$ , the average short exposure frequency bound. The short exposure MTF was averaged over 5000 trials. The simulation was performed for  $D/r_0 = 13.3$ .

suggesting a method for the recovery of the phase information, which is not preserved by Labeyrie's so-called stellar speckle interferometric method. These early works revolutionized groundbased astronomical observation with large telescopes and have since led to a number of improved signal-processing methods

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(Lohmann et al. 1983; Stelzer & Ruder 2007) widely referred to as speckle imaging techniques.

An alternative approach was proposed in the seminal work of Ayers & Dainty (1988), who presented a blind deconvolution algorithm (BD) for the problem of atmospherically degraded imaging. BD recovers object information from a blurry and noisy observation without any additional measurement of the distortion. The BD of a single observation is a severely illposed problem: there are an infinite number of solutions, and small perturbations of the data result in large deviations in the estimate of the object. The ill-posedness can be alleviated to some degree by confining the set of solutions to physically plausible ones by introducing additional constraints or prior knowledge. Another possibility is to use multiple images or to exploit the partial information about wavefront distortion obtained from wavefront-sensor data, as used in adaptive-optics based myopic deconvolution algorithms.

Since the work of Ayers & Dainty (1988) BD has grown to be a valuable tool in astronomical imaging and has been subject of numerous publications. Today a plethora of algorithms exist that primarily differ in: (i) the data used; (ii) the a-priori knowledge incorporated while deblurring; and (iii) the algorithmic approaches for estimating the object and its blur. For a good overview of BD in the domain of astronomical imaging we refer the reader to Kundur & Hatzinakos (1996); Molina et al. (2001); Pantin et al. (2007).

Recently, electron-multiplying CCD cameras have enabled capturing short-time exposures with negligible noise (Mackay et al. 2001). This in turn has led to a new method: *lucky imaging*, which can to some degree overcome atmospherically-induced resolution limitations of ground-based telescopes (Law et al. 2006; Oscoz et al. 2008; Hormuth et al. 2008). The lucky imaging idea is based on the work of Fried (1978) (who computed the probability of getting a *lucky frame*, i.e., an image recorded at a time instant of exceptionally good seeing). This idea proposes to collect only the "best" frames available in a recorded sequence. These "best" frames are subsequently combined to obtain a final image of the object. Usually, out of a thousand images, only a few are selected for the final reconstruction and most of the observed frames are discarded.

This "wastage" can be avoided, and one can indeed use all the frames to obtain an improved reconstruction as we will see in Sect. 5.

Methods for multiframe blind deconvolution (MFBD) aim to recover the image of a fixed underlying object given a sequence of noisy, blurry observations. Each observation has a different and unknown blur, which makes the deconvolution task hard.

Previous approaches to MFBD process all observed frames simultaneously. Doing so limits the total number of frames that can be processed. We show how the computational burden can be greatly reduced by presenting online blind deconvolution (OBD), our online algorithm that processes the input sequence one frame at a time. Each new frame helps to gradually improve the image reconstruction. This simplistic approach is not only natural, but also has several advantages over non-online methods, e.g., lower resource requirements, highly competitive image restoration (Harmeling et al. 2009), low to moderate dependence on regularization or a priori information, and easy extension to super-resolution<sup>1</sup> and saturation-correction. This paper combines preliminary work (Harmeling et al. 2009, 2010) in the context of astronomical imaging. In particular, the contributions of this paper are as follows:

- (a) we show how to incorporate *super-resolution* while simultaneously performing blind deconvolution;
- (b) we tackle *saturation*, a nuisance familiar to anyone who works with astronomical images;
- (c) we derive our MFBD algorithm in the framework of *stochastic gradient-descent*; and
- (d) we present results with images taken in a simple astronomer setup, where one does not have access to sophisticated equipment (e.g., adaptive optics), and computational resources might be limited.

Before describing further details, let us put our work into perspective by briefly surveying related work.

# 2. Related work

MFBD. A multitude of multi-frame (or multiple-image) deblurring papers discuss the *non-blind* deconvolution setup, where, in addition to the image sequence the sequence of blur kernels must be known as well. We do not summarize such methods here because ours is a *blind* deconvolution method. Amongst multiple frame blind approaches, the method of Schulz (1993) is perhaps the earliest. Schulz used penalized likelihood maximization based on a generalized expectation maximization (GEM) framework. Closely related is Li et al. (2004), who also used a GEM framework, but focused on choosing a good objective function and regularizer for optimization. In contrast to our work, both Schulz (1993) and Li et al. (2004) presented *batch* algorithms that are computationally prohibitive, which greatly limits the number of frames they can simultaneously process.

Sheppard et al. (1998) discussed the MFBD problem and presented a procedure that also processes all frames at the same time. They did, however, mention the possibility of incremental processing of frames, but gave an example only for the *non-blind* setup. Their blind-deconvolution algorithm was based on conjugate-gradients, for which they had to reparametrize (e.g.,  $x \rightarrow z^2$ ) the variables to enforce nonnegativity. This reparametrization has a long history in image deconvolution (Biraud 1969), but numerically, the ensuing nonlinearity can be damaging as it destroys the convexity of sub-problems.

More recently, Matson et al. (2008) also used the same nonlinear ( $x \rightarrow z^2$ ) reparametrization for solving MFBD with a parallel implementation of conjugate-gradients. Another approach is that of Zhang et al. (2009), who incorporated a low-pass filter into the MFBD process for suppressing noise, but again at the expense of convexity.

Further MFBD work includes: Anconelli et al. (2006) who considered methods for the reduction of boundary effects; Zhulina (2006) who discussed the Ayers-Dainty algorithm; and Löfdahl (2002) who permitted additional linear inequality constraints. We refer the reader to Matson et al. (2008) for even more references – including those to early works – and a nice summary of blind deconvolution for astronomy. Unlike our algorithm, all the above mentioned blind deconvolution methods are batch procedures; moreover *none* of them performs either super-resolution or saturation correction.

Super-resolution. Numerous papers address the standard super-resolution problem. For good surveys we refer the reader to Park et al. (2003); Farsiu et al. (2004). However, most of these

<sup>&</sup>lt;sup>1</sup> Here, super-resolution refers to techniques that are able to enhance the resolution of a imaging system by exploiting the additional information introduced by sub-pixel shifts between multiple low resolution images of the same scene or object.

works are based on the assumption that the blur is known, and only a few deal with the harder case of blind super-resolution.

The work most closely related to ours is Sroubek et al. (2007), who propose a unifying framework that simultaneously performs blind deconvolution and super-resolution. In Sroubek et al. (2007, 2008) the authors show how a high-resolution image can be obtained from multiple blurry and noise corrupted low-resolution frames. However, their model assumes a priori knowl-edge about both the image and the blur, and Šroubek et al. (2008) themselves note that their method suffers from numerical instabilities for super-resolution factors larger than 2.5. In contrast, our approach exploits the abundance of available data, which for moderate noise levels does not require imposing any image or blur prior (except nonnegativity), leading to an overall simpler algorithm. Moreover, our method is computationally more efficient, since it is online.

## 3. The OBD algorithm

#### 3.1. Problem formulation

For simplicity of exposition, our description will focus on one-dimensional images and point spread functions (PSFs). In Appendix A we cover the generalization to two-dimensions.

Let each observed (blurry and noisy) frame be denoted by  $y_t$ , the "true" unknown image by x, and each *unknown* PSF by  $f_t$ . Then, we use the observation model

$$y_t = f_t * x + n_t, \quad t = 1, 2, \dots, T,$$
 (1)

where  $f_t * x$  represents convolution (circular or non-circular), and  $n_t$  denotes measurement noise. Further, on physical grounds we assume both the image x and the PSF  $f_t$  to be nonnegative.

## 3.2. Algorithm

First consider the case where given the next observation  $y_t$  and the current image estimate  $x_t$ , we wish to compute the PSF  $f_t$ . Assuming the noise  $n_t$  in Eq. (1) to be Gaussian distributed with zero mean and incorporating nonnegativity, the PSF  $f_t$  can be determined by solving a nonnegative least-squares (NNLS) problem<sup>2</sup>. For a given observation frame  $y_t$  and a current estimate  $x_t$ , we define the *loss* 

$$\ell(y_t; x) = \min_{f_t \ge 0} \|y_t - f_t * x\|^2.$$
(2)

For a frame sequence  $y_1, y_2, \ldots, y_T$ , we aim to minimize the overall loss by computing the image *x* that solves

$$\min_{x \ge 0} L_T(x) = \frac{1}{T} \sum_{t=1}^T \ell(y_t; x).$$
(3)

Problem (3) is not easy, because it is non-convex and its optimal solution requires computing both x as well as the PSFs  $f_1, \ldots, f_T$ . Nevertheless, given our formulation, several methods could potentially be used for minimizing  $L_T(x)$ . For example, an ordinary gradient-projection scheme would be

$$x_{t+1} = P_+(x_t - \alpha_t \nabla L_T(x_t)), \quad t = 0, 1, \dots,$$
(4)

where  $P_+$  denotes projection onto the nonnegative orthant;  $x_t$  denotes the current image estimate; and  $\alpha_t$  is an appropriate stepsize. However, when the number of frames T is large, such an

approach rapidly becomes computationally impractical. Hence we turn to a simpler method that processes the input one frame at a time.

#### 3.3. Stochastic gradient descent

A simple and often effective method for minimizing the overall loss in Eq. (3) is *stochastic gradient descent (SGD)*. This method does not process all the frames simultaneously, but at step t it picks (at random) some frame y and updates the current image estimate  $x_t$  as

$$x_{t+1} = P_+(x_t - \alpha_t \nabla \ell(y; x_t)), \tag{5}$$

where  $P_+$  and  $\alpha_t$  are as before; computing  $\nabla \ell(y; x_t)$  requires solving Eq. (2). By processing only one frame at a time, SGD leads to huge computational savings. However, there are two main difficulties: update rule (5) converges slowly; and more importantly, it is sensitive to the choice of the step-size  $\alpha_t$ ; a popular choice is  $\alpha_t = \beta/(t_0 + t)$ , where the constants  $t_0$  and  $\beta$  must be tuned empirically.

We propose a practical modification to the step-size computation, wherein we instead use the scaled-gradient version

$$x_{t+1} = P_+(x_t - \alpha_t S_t \nabla \ell(y; x_t)), \tag{6}$$

where  $S_t$  is a positive-definite matrix. Also update rule (6) can be shown to converge<sup>3</sup> under appropriate restrictions on  $\alpha_t$  and  $S_t$ (Kushner & Yin 2003; Bottou 1998). In general, the matrix  $S_t$  is chosen to approximate the inverse of the Hessian of  $L_T(x^*)$  for an optimal  $x^*$ , thereby yielding quasi-Newton versions of SGD. But a more straightforward choice is given by the diagonal matrix

$$S_t = \text{Diag}((x_t + \varepsilon)/(F_t^{\mathsf{T}} F_t x_t + \varepsilon)), \tag{7}$$

where the Diag operator maps a vector x to a diagonal matrix with elements of x along its diagonal. Also note that the division in (7) is element-wise,  $F_t$  is the matrix representation of the PSF  $f_t$  (see Appendix A), and  $\varepsilon > 0$  is a positive constant which ensures that  $S_t$  remains positive definite and bounded (both requirements are crucial for convergence of the method). The choice (7) can be motivated with the help of auxiliary functions (e.g., as in Harmeling et al. 2009).

*Remark:* We note in passing that if one were to use  $\alpha_t = 1$ , and set  $\varepsilon = 0$ , then although convergence is no longer guaranteed, iteration (6) takes a particularly simple form, namely,

$$x_{t+1} = x_t \odot (F_t^{\mathsf{T}} y) / (F_t^{\mathsf{T}} F_t x_t), \tag{8}$$

where  $\odot$  denotes the Hadamard (elementwise) product of two vectors – this update may be viewed as an online version of the familiar ISRA (see Daube-Witherspoon & Muehllehner 1986).

Note that for (7) the matrix *F* corresponds to the PSF *f* computed via the NNLS problem (2) with *y* and  $x = x_t$ . We call the method based on iteration (6) online blind deconvolution (OBD) and provide pseudo-code as Algorithm 1. We further note that by assuming photon shot noise (Poisson-distributed) in Eq. (1) instead of additive noise, we can also design a Richardson-Lucy type iteration for solving Eq. (3).

 $<sup>^2</sup>$  This NNLS problem may be solved by various methods; we used the LBFGS-B algorithm (Byrd et al. 1995).

<sup>&</sup>lt;sup>3</sup> One can show *almost sure (a.s.) convergence* of the objective, and a.s. convergence of the gradient to the gradient at a stationary point.

Input: Stream of images 
$$y_t$$
 for  $t \ge 1$   
Output: Reconstructed image  $x$   
initialize  $x_1$  with  $y_1$ ;  
while another image  $y_{t+1}$  available do  
 $t \leftarrow t + 1$ ;  
estimate  $f_t$  by  
 $f_t = \arg \min_{f \ge 0} || y_t - f * x_{t-1} ||^2$  (9)  
update  $x_t$  by  
 $x_t = P_+(x_{t-1} - \alpha_{t-1}S_{t-1}\nabla \ell(y; x_{t-1}))$  (10)  
end  
return last estimate  $x_t$ .

Algorithm 1: Online blind deconvolution (OBD).

# 4. Extending OBD

## 4.1. Super-resolution

In the OBD setup an entire sequence of frames is at our disposal. Can we exploit this sequence to improve the image reconstruction beyond mere blind deconvolution? The answer is "yes". With a small increase in computational costs we can augment the basic algorithm and perform super-resolution. For longexposures that often lose higher-frequency structure (finer details) of the image due to averaging, such increased resolution is particularly desirable.

To incorporate super-resolution into our framework we introduce the *resizing* matrix

$$D_n^m = (I_n \otimes \mathbb{1}_m^T)(I_m \otimes \mathbb{1}_n)/n, \tag{11}$$

where  $I_n$  is the  $n \times n$  identity matrix,  $1_n$  is an n dimensional column vector of ones, and  $\otimes$  denotes the Kronecker product. The matrix  $D_n^m$  transforms a vector v of length m into a vector of length n. The sum of v's entries  $1_m^T v = 1_n^T D_n^m v$  is preserved (formally verified by applying identity (11) twice). This is a favorable property for images, as the number of photons observed should not depend on the resolution. Note that even if the sizes n and m are not multiples of each other,  $D_n^m$  will interpolate appropriately. Hence, the super-resolution factor, i.e., the ratio n/m, is not restricted to be integral. Note that for  $m \ge n$ , i.e. down-scaling, the matrix operation corresponds to integrating neighboring pixels weighted by their overlap with the new pixel grid. Similarly for m < n, i.e. upscaling, the operation will take the nearest neighbor, if n is divisible by m, or a weighted linear combination of closeby pixels.

To avoid double indexing let  $n = l_y$  be the length of y. For super-resolution by a factor of s we choose x and f large enough such that the vector f \* x has length sn. Then we replace the loss  $\ell(y_i; x)$  by (cf. Eq. (2))

$$\ell(y_t; x) = \min_{f_t \ge 0} \|y_t - D_n^{sn}(f_t * x)\|^2.$$
(12)

For this loss, a derivation similar to that for (7) yields the diagonal matrix

$$S_t = \text{Diag}((x_t + \varepsilon)/((D_n^{sn} F_t)^{\mathsf{T}} D_n^{sn} F_t x_t + \varepsilon)),$$
(13)

where  $F_t$  corresponds to  $f_t$  obtained by solving (12).

# 4.2. Overexposed pixels

For astronomical images a common problem is saturation of pixels due to overexposure, i.e., some pixels receive so many photons that they exceed the peak intensity permitted by the hardware. This saturation can be particularly confounding if both bright and faint stars are present in the same image, especially when some stars are orders of magnitude brighter. Overexposed pixels impede not only deblurring but also super-resolution and applications such as estimation of star magnitudes.

However, since we have an entire sequence of observed frames, tackling overexposed pictures is feasible. Here the atmospheric blurring proves to be helpful, since it creates nonoverexposed margins around a bright star whose center pixels are overexposed. Our method is able to fit these margins and can approximate the true star magnitude. Our approach essentially consists of identifying saturated pixels and excluding them from the computation of the objective function. This approach might seem to be overly simple, but its success is deeply tied to the availability of multiple frames. Specifically, since each frame can have different pixels attaining saturation (different frames are aligned differently), we have to check at each iteration which pixels in the current image are saturated. To ignore these pixels we define a diagonal weighting matrix (per frame) with entries,

$$\Sigma_t = \begin{cases} 1 & \text{if } y_t < \rho_{\max} \\ 0 & \text{otherwise} \end{cases}$$
(14)

along its diagonal. Hereby, we assume the value of a saturated pixel to be  $\rho_{\text{max}}$  (e.g. in the case of 16 bit images,  $\rho_{\text{max}} = 65535$ ). We can modify the updates to ignore saturated pixels by replacing the Euclidean norm with a weighted norm  $||v||_{\Sigma}^2 = v^T \Sigma v$ . We replace the loss  $\ell(y_t; x)$  by

$$\ell(y_t; x) = \min_{f_t \ge 0} \|y_t - f_t * x\|_{\Sigma_t}^2.$$
(15)

For this loss, following a derivation similar to (7) yields the diagonal matrix

$$S_t = \text{Diag}((x_t + \varepsilon)/(F_t^{\mathsf{T}} \Sigma_t F_t x_t + \varepsilon)), \tag{16}$$

where as before  $F_t$  corresponds to  $f_t$  obtained by solving (12).

Remark. One might ask whether we can recover pixels in x that are saturated in most of the frames? The answer is yes, and can be understood as follows. The photons corresponding to such a pixel in x are spread by the PSF across a whole set of pixels in each observed frame. Thus, if not all these pixels are always saturated, the true value for the corresponding pixel in x can be recovered.

### 5. Results on simulated data

To investigate how our algorithms performs on atmospherically degraded short-exposure images, we first experiment in a controlled setting with simulated data.

Following Harding et al. (1999), we generate a sequence of 200 PSFs with Kolmogorov random phase screens at a specified ratio  $D/r_0$  of the telescope diameter to the atmospheric seeing parameter (Fried parameter) equal to 13.3. The strength of the turbulence is chosen to create images recorded by a 26-inch telescope through atmospheric turbulence of a coherence length of approximately  $r_0 = 5$  cm.



Fig. 2. Simulation: *from left to right*: original object image of OCNR5, typical PSF, blurred image.

**Table 1.** *SNR*s for different parameter settings of  $\lambda$  and  $\sigma^2$ .

$\lambda$ (×10 <sup>3</sup> )	0.01	0.02	0.04	0.16	10.0
$\sigma^2$ (%)	2.0	2.0	2.0	2.0	2.0
SNR in dB	4.6	6.6	10.2	14.8	18.9

Figure 2 shows the original object, one out of the 200 PSFs, and the noise-free short exposure image obtained by convolving the shown PSF with the object. The object is a rendered model of the satellite OCNR5 used by Sheppard et al. (1998) and was chosen because of its high dynamic range and its great level of detail.

Before corrupting the images with noise, we add a constant background b to the blurred image  $f_t * x$ . To simulate photon noise we scale the pixel values (ranging between 0 and 255) of each short exposure to varying large numbers of photons, i.e.  $\lambda(f_t * x + b)$  and sample a new image z from the corresponding Poisson distribution, i.e.

$$z_t \sim \text{Poisson} \left(\lambda(f_t * x + b)\right). \tag{17}$$

For differing  $\lambda$  we can hereby simulate differing amounts of photon shot noise. After scaling down by  $1/\lambda$ , we add white Gaussian noise with zero mean and a variance  $\sigma^2$  equal to two percent of the maximal image intensity of the whole sequence to model the readout noise common to CCD cameras,

$$n_t \sim \text{Gaussian}\left(0, \sigma^2\right)$$
 (18)

$$y_t = \frac{z_t}{\lambda} + n_t. \tag{19}$$

To quantify the amount of image noise we define the following signal-to-noise ratio,

$$SNR_t = 10 \log_{10} \frac{\operatorname{Var}(x)}{\operatorname{Var}(y_t - x * f_t)},$$
(20)

where *x* denotes the true satellite image,  $y_t$  the noise-corrupted atmospherically degraded observation, and  $f_t$  the PSF, respectively. Var(*x*) denotes the variance of the pixel values of *x*. For an entire sequence  $y_1, y_2, \ldots, y_{200}$  we average over the computed *SNRs* of all 200 frames,  $SNR = \frac{1}{200} \sum_{t=1}^{200} SNR_t$ . Table 1 shows the computed *SNR* for different parameter settings that we use in our experiments. Note that we use the *SNR* only to quantify the amount of noise in the simulated data. To measure the quality of the reconstruction we use relative error (explained below).

Figure 3 shows typical frames for different *SNRs*, each  $256 \times 256$  pixels in size, and the reconstructed object images of our basic algorithm after having processed all 200 frames within one sequence. The restored images shown are cropped to the size of the observations. As initial estimates for the PSFs we chose constant images of size  $60 \times 60$  pixels, and as the initial estimate of the object, an average over the first twenty observed frames embedded in a  $315 \times 315$  array of zeros.

As expected, the quality of the reconstruction suffers as the *SNR* decreases, which is also reflected quantitatively in Fig. 4, where we plot the relative error  $||x - \hat{x}||/||x||$  of the reconstructed image  $\hat{x}$  as a function of observed frames and the corresponding *SNR*.

Evidently, for high *SNR*s the reconstruction error decreases the more observations have been processed and saturates to a certain value dependent on the *SNR*. The error is higher the lower the *SNR* of the available observations. The error does not decrease strictly monotonically from frame to frame, but more in a (long-term) stochastic gradient manner. As expected, for lower *SNR*s, the unregularized reconstruction process can even diverge. In this noisy regime, additional prior knowledge about the object is necessary and regularization in the restoration process is inevitable.

Figure 5 illustrates that enforcing smoothness by employing Tikhonov regularization on the gradients of the reconstructed image (i.e. a prior term  $\eta || \nabla x ||^2$  is added to the loss in (2)) is capable of suppressing noise amplification and stabilizing the deconvolution process even for low *SNRs*. As expected, when the regularization parameter  $\eta$  is too small, the reconstruction error still diverges (red dotted curve); similarly, when it is too large, the error is increased due to oversmoothing (blue dashed curve). A reasonable choice of the regularization parameter may be obtained by setting it proportional to the noise variance. The color framed image stamps show the reconstruction results for different values of the regularization parameter.

To study the influence of the initialization and the order of frames within one sequence, we reversed and randomly permuted the processing order of the input frames. Figure 6 shows restored object images and the corresponding error curves for a fixed *SNR* of 18.9 dB, respectively. As can be seen, the error evolution of the deconvolution process is almost independent of the particular ordering of the input frames. All curves converge to a similar value with small variance, and visually, only little (if at all) difference is discernible.

To numerically appraise the quality of our results, we did a quantitative comparison with various state-of-the-art reconstruction methods. Figure 7 shows the visually best observed frame, a reconstruction with AviStack (Theusner 2009), a popular Lucky Imaging software. AviStack partitions the images into small image patches of variable sizes, evaluates the quality of all observed frames for all image patches and then aligns and stacks those image patches, that fulfill a certain quality threshold. For the final reconstruction only the best percent of observed frames was taken. Next to it, a Knox-Thompson reconstruction is shown, which was obtained using Speckle1, a reconstruction software by Stelzer (2009). For the reconstruction, 300 Knox-Thompson and 100 triple correlation phase pairs were used. Finally, the rightmost image shows the result of our basic algorithm without any additional regularisation. In all cases no further post-processing was performed.

For a single isoplanatic patch the reconstruction with AviStack is not substantially better than the visually best observed frame, which is also reflected in the relative error overlayed in white. In comparison, both the Knox-Thompson reconstruction and the result by the basic algorithm of our proposed method reveal much greater detail and higher spatial resolution. Subjectively, our result is comparable in quality and resolution to the Knox-Thompson reconstruction, which is quantitatively confirmed by the negligible difference in the reconstruction error. Regarding runtime, the C implementation of Stelzer (2009) takes about 15 min (when invoked carefully by an expert user) for the entire reconstruction on a single core of an Intel(R)



Fig. 3. Simulation: typical observed frames (top row) and reconstructed images (bottom row) after having processed a sequence of 200 blurred frames for different SNRs.



**Fig. 4.** Simulation: evaluation of relative reconstruction error for different *SNR*s.

Core(TM) i5 processor with 2.67 GHz. Our Matlab implementation that is however not optimized for speed and logs large quantities of intermediate results, takes about thrice as long. A Python implementation using PyCUDA (Klöckner et al. 2009) for GPU enabled computation of the discrete Fourier transform (see Eqs. (A.2) and (A.3)) achieves a runtime of less than 10 min on a low-cost NVIDIA(R) GeForce(TM) GT 430.

Our final set of experiments with simulated data evaluates our algorithm's super-resolution abilities. We generated three sequences of atmospherically blurred, *differently* downsampled and noisy observations at a fixed *SNR* of 18.9 dB. Panel A of Fig. 8 shows typical input images of these sequences together with their corresponding downsampling factors. On each of these three simulations we ran our algorithm with various super-resolution factors. The results are shown in Panel B of Fig. 8. The relative errors overlayed in white are computed by linearly interpolating the reconstructed images to the size of the ground truth image. The numbers suggest that incorporating super-resolution does improve the results of the



Fig. 5. Simulation: evaluation of the relative reconstruction error for different values of the regularization constant  $\eta$  at a fixed *SNR* of 4.6 dB.

deconvolution beyond mere interpolation, which validates the merits of our approach.

## 6. Results on astronomical data

We now present results of our algorithm on a variety of actual astronomical data. Some of the images were taken with an off-theshelf 12-inch f/10 MEADE LX200 ACF Schmidt-Cassegrain telescope, some with the 24-inch f/8 Hypergraph Cassegrain telescope "Ganymed" of the Capella Observatory located on Mount Skinikas in Crete, Greece. The data consists of shortexposure imagery of star constellations, the lunar Copernicus crater, as well as long-exposure deep-sky images. We compare our results against state-of-the-art methods used by both amateur and professional astronomers, and show that our method yields competitive if not superior results in all case studies.

## 6.1. Binary star

The first dataset is an image sequence of the binary star system Epsilon Lyrae 2 of the constellation Lyra with an angular separation of 2.3'' and a relative magnitude of 1.08. As we



**Fig. 6.** Simulation: evaluation of the relative reconstruction error and final reconstructed images after having processed 200 frames in chronological, reverse and various random orders at a fixed *SNR* of 18.9 dB.



**Fig. 7.** Simulation: final reconstructed images after having processed 200 frames at a fixed *SNR* of 18.9 dB with Avistack, Knox-Thompson and our proposed method. The relative reconstruction error is overlayed in white.

know precisely what to expect, our results on this dataset serve as an additional proof of concept. The sequence consists of 300 frames, each  $132 \times 112$  pixels in size, taken with a 24-inch Cassegrain telescope at the Capella Observatory and an Imaging Source DFK 31BU03 CCD camera; the image scale was 0.06" per pixel. The seeing was estimated to *FWHM*  $\approx$  0.8", corresponding to a Fried parameter of  $r_0 \approx 20$  cm at a wavelength of  $\lambda = 500$  nm.

Figure 10 shows in three columns the first four and the last two frames of the processed sequence and illustrates schematically how our method works. Each row shows from left to right the observed image  $y_t$ , the corresponding PSF  $f_t$  estimated by our algorithm and the current estimate  $x_t$  of the true image we want to recover. The PSF is chosen to be of size  $30 \times 30$  pixels.

The image x is initialized by the first observed frame  $y_1$ . Then  $f_2$  is estimated from the second observed frame  $y_2$  and the current estimate of x. After that we improve the estimate of x by means of (10) and proceed with the next observed frame. Figure 10 shows nicely that already after 40 frames we obtain a good reconstruction.

Figure 9 shows an enlarged version of the result of our algorithm after 300 iterations along with the estimated PSFs for each color channel. Note how blurry the observed image  $y_t$  is (left), while our estimate of x is almost free of any degradation (middle). Furthermore, we see that both stars have almost identical diffraction patterns which strongly resemble the estimated PSFs (shown on the right for each color channel). This finding justifies our assumption about a constant PSF for the whole image. From the final reconstructed image we determined a separation of 2.28" and a magnitude ratio of 1.08, which is in excellent accordance with the literature.

#### 6.2. Copernicus crater

To evaluate our algorithm on an extended celestial object, we applied it to a sequence of short exposures of the Copernicus crater, a prominent lunar crater located in eastern Oceanus Procellarum. The original recording was taken with a 14-inch f/10 Celestron C14 and a DMK 31 AF03 CCD camera from Imaging Source at a frame rate of 30 fps near Frankfurt, Germany (courtesy Mario Weigand). It consists of 2350 frames in total, where each frame is  $1024 \times 768$  pixels in size. To meet our assumption of a constant PSF, we processed only a small image patch of  $70 \times 70$  pixels, which corresponds to a angular size of 0.92''. In this field of view the PSF is assumed to be constant, which is a valid assumption for the seeing conditions at the time of recording.

The top row of Fig. 11 shows the selected region of the central peak in the Copernicus crater and typical observed frames. The image patches were aligned on a pixel scale before processing to reduce computational costs<sup>4</sup>. For reconstruction all 2350 observed frames were taken into account.

The bottom row of Fig. 11 shows a comparison of different reconstruction methods. Panel (a) of Fig. 11 shows the visually best observed frame, Panel (b) a reconstruction with AviStack (Theusner 2009), for which the best ten frames were taken into account. In Panel (c) a Knox-Thompson reconstruction is shown, which was done with Stelzer (2009) using 300 Knox-Thompson and 100 triple correlation phase pairs. Finally, Panel (d) shows the result of our basic algorithm and Panel (e) the result two times super-resolved. In all cases no further post-processing was performed.

As before, within a single isoplanatic patch the result of AviStack seems to be not considerably better than the visually best observed frame. In contrast, the Knox-Thompson reconstruction reveals greater detail and higher spatial resolution. Subjectively, our result is comparable if not superior in quality and resolution to the Knox-Thompson reconstruction. The two times super-resolved reconstruction seems to reveal even more detail.

#### 6.3. Orion Trapezium

In this experiment, we used a 12-inch f/10 Meade LX200 ACF Schmidt-Cassegrain telescope and a AVT PIKE F-032B uncooled CCD camera to record a short video (191 frames acquired at 120 fps) of the Trapezium in the constellation Orion. The exposure time of the individual frames was sufficiently short to "freeze" the atmospheric turbulence and thus retain the high-frequency information which is present in the atmospheric PSF – see Fig. 12 for sample frames.

The Orion Trapezium is formed by four stars ranging in brightness from magnitude 5 to magnitude 8, with angular separations around 10" to 20". Here it should be mentioned, that our assumption of a constant PSF throughout the field of view is strongly violated. However, by resorting to early stopping in this case, we avoid overfitting the PSF. The first row of Fig. 13 shows from left to right (a) an enlarged unprocessed frame; (b) the deconvolution results obtained by the basic algorithm; (c) the result using the proposed method to handle saturation; and (d) the results if we additionally apply the proposed method for four times super-resolution. The bottom row shows a closeup of the brightest star within the Trapezium. Panel (e) of Fig. 13 shows the star

<sup>&</sup>lt;sup>4</sup> Note, that a PSF can account for translational motion but necessitates a PSF size as large as the translation amplitude, which might increase the computational cost for severe motion.

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**Fig. 8.** Simulation: final reconstructed images (panel **B**)) after having processed 200 frames for differently downsampled input images (panel **A**)) and various super-resolution factors at a fixed *SNR* of 18.9 dB. The downsampling and super-resolution factor is abbreviated with DF and SR respectively. The displayed number corresponds to the relative reconstruction error.



**Fig. 9.** Binary star system Epsilon Lyrae 2: typical observed image  $y_{300}$  (*left*), reconstruction *x* after 300 iterations (*middle*), estimated PSFs  $f_{300}$  for each color channel. Note the subtle differences in the PSFs due to wavelength dependent diffraction. Hence, the color channels are not perfectly aligned.

profiles obtained by slicing as indicated by the colored lines in the image stamps (a)-(d).

An important application in astronomy is the measurement of the brightness of stars and other celestial objects (photometry). To this end, a linear sensor response is required (for our purposes, the used CCD sensor may be assumed linear). The intensity counts can then be translated into stellar magnitudes. Clearly, this is not directly possible for stars that saturate the CCD (i.e., where so many photons are recorded that the capacities of the pixels are exceeded). However, we can use the proposed method for deconvolution with saturation correction and reconstruct the photon counts (image intensities) that would have been recorded had the pixels not been saturated; then we convert these into ratios between star intensities, i.e. differences between stellar magnitudes. For the difference between two star magnitudes, we use the formula  $m_1 - m_2 = -2.5 \log_{10} p_1/p_2$ where  $p_1$  and  $p_2$  are the pixel values of two stars in the reconstructed image. We do this for all Trapezium stars relative to the brightest star C and obtain encouraging results (see Table 2).



**Fig. 10.** Binary star system Epsilon Lyrae 2: schematic illustration of the temporal evolution. *From left to right*: observed image  $y_t$  (*left*), estimate of the corresponding PSF  $f_t$  and reconstruction  $x_t$  after t timesteps.

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overview

example sequence of 12 observed frames

(d) our approach



(a) visually best frame

(b) AviStack

(c) Knox-Thompson

(e) our approach 2x super-resolved

**Fig. 11.** Copernicus Crater: *top panel*: full frame with extracted image patch marked by white square (*left*) and example sequence of 12 observed frames. *Bottom panel*: comparison of results of different reconstruction algorithms (*from left to right*): visually best frame, AviStack, Knox-Thompson, our approach and our approach with two times super-resolution. All image results are shown without any post-processing. This figure is best viewed on screen, rather than in print.



**Fig. 12.** Orion Trapezium Cluster: example sequence of observed frames,  $y_1, \ldots, y_{10}$ .

Table 2. True star magnitudes (note that stars A and B have variable)
magnitudes), true differences to star C, and estimated difference values
estimated after deconvolution without and with saturation correction.

Star	C (ref.)	А	В	D
True magnitude	5.1	6.7–7.5	8.0-8.5	6.7
		A–C	B-C	D-C
True magnitude d	1.6-2.4	2.9-3.4	1.6	
Est. diff., deconv. w/o sat. cor.		0.2936	1.4608	-0.0964
Est. diff., deconv. w. sat. cor.		1.1955	2.7718	0.8124

**Notes.** Note that the results with saturation correction are closer to the true differences.

#### 6.4. Globular cluster M13

M13 is a globular cluster in the constellation Hercules, around 25 000 light years away, with an apparent size of around 20'. It contains several 100 000 stars, the brightest of which has an apparent magnitude of 12. Such faint stars cannot be imaged using our equipment for short exposures; however, long exposures with budget equipment typically incur tracking errors, caused by telescope mounts that do not perfectly compensate for the

rotation of the earth. In our case, the tracking errors induced a significant motion blur in the images, which we attempted to remove using the same algorithm that we used above on short exposures. All raw images were recorded using a 12-inch f/10 MEADE LX200 ACF Schmidt-Cassegrain telescope and a Canon EOS 5D digital single lens reflex (DSLR) camera. The whole sequence consists of 26 images with an exposure time of 60 s each. The top row of Fig. 14 displays a long exposure with motion blur (left panel and the twice super-resolved result of our algorithm (right) applied to 26 motion degraded frames. In the bottom row we clearly see details in our reconstructed image (right) which where hidden in the recorded frames (left). However, note that in the bottom right panel there appear also some JPEG-like artifacts which might suggest that 26 frames were not enough for two times super-resolution.

## 7. Conclusions and future work

In this paper, we proposed a simple, efficient, and effective multiframe blind deconvolution algorithm. This algorithm restores an underlying static image from a stream of degraded and noisy observations by processing the observations in an online fashion. For moderate signal-to-noise ratios our algorithm does not

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**Fig. 13.** Orion Trapezium Cluster (*from left to right*): **a**) the first observed frame; **b**)  $x_{191}$  for basic algorithm; **c**)  $x_{191}$  for saturation corrected; and **d**)  $x_{191}$  for saturation corrected and four times super-resolved. *Top row* shows the overall trapezium; *bottom row* shows the brightest star enlarged. Panel **e**) shows the stellar profiles at the positions indicated by the coloured lines in plots **a**)–**d**).



Fig. 14. Globular cluster M13: (*left*) example observed frame, (*right*) result of saturation corrected, two times super-resolved multi-frame blind deconvolution; (*top*) overview, (*bottom*) closeup. For better display the images have been automatically gamma corrected.

depend on any prior knowledge other than nonnegativity of the PSFs and the images. Thus, in a sense our reconstruction is unbiased since no specific image model is enforced. Moreover, our formulation exploits the availability of multiple frames to incorporate super-resolution and saturation-correction.

We showed results on both simulated and real world astronomical data to verify and demonstrate the performance of our algorithm. We experimented with not only short-exposure images where the degradation is caused by atmospheric turbulence, but also with long exposures that suffer from saturation and additional blur arising from mechanical inaccuracies in the telescope mount. Our method yields results superior to or at worst comparable to existing frequently used reconstruction methods.

Future work includes further building on the simplicity of our method to improve it to work in real-time. This goal might be achievable by exploiting fast graphical processing unit (GPU) based computing. First attempts already yielded promising results in terms of speedup (see Sect. 5). Beyond computing improvements, two other important aspects are: (i) to explore the spatio-temporal properties of the speckle pattern; and (ii) to incorporate and investigate additional regularization within the reconstruction process. The most challenging subject of future investigation is to extend our method to space-varying PSFs.

## Appendix A: Implementation details

Although in Sects. 3 and 4 we only considered vectors, onedimensional convolutions, and vector-norms, all results naturally generalize to two-dimensional images. However, efficiently implementing the resulting algorithms for two-dimensional images requires some care and handling of technical details.

#### A.1. Convolution as matrix-vector multiplication

We introduced f \* x as the convolution, which could be either circular or non-circular. Due to linearity and commutativity, we can also use matrix-vector notation to write

$$f * x = Fx = Xf. \tag{A.1}$$

The matrices F and X are given by

$$F = I_y^{\mathsf{T}} W^{-1} \operatorname{Diag}(WI_f f) WI_x, \tag{A.2}$$

$$X = I_{\mu}^{\mathsf{T}} W^{-1} \operatorname{Diag}(WI_{x}x) WI_{f}.$$
 (A.3)

Matrix W is the discrete Fourier transform matrix, i.e., Wx is the Fourier transform of x. The diagonal matrix Diag(v) has vector v along its diagonal, while  $I_x$ ,  $I_f$ , and  $I_y$  are zero-padding matrices which ensure that  $I_x x$ ,  $I_f f$ , and  $I_y y$  have the same length. Different choices of the matrices lead to different margin condition of the convolution.

For two-dimensional images and PSFs we have to consider two-dimensional Fourier transforms, which can be written as left- and right-multiplications with W, and represented as a single matrix-vector multiplication using Kronecker products and the vectorization operator vec(x), which stacks columns of the two-dimensional image x into a one-dimensional vector in lexicographical order; formally,

$$\operatorname{vec}(Wx W) = (W \otimes W) \operatorname{vec}(x),$$
 (A.4)

which follows from the identity (Horn & Johnson 1991)

$$\operatorname{vec}(A \ B \ C^{+}) = (C \otimes A) \operatorname{vec}(B). \tag{A.5}$$

The zero-padding operations for two-dimensional images can be written in a similar way.

# A.2. Resizing matrices

The resizing matrix  $D_n^m$  can be implemented efficiently using sparse matrices<sup>5</sup>. Resizing two-dimensional images can also be implemented by left- and right-multiplications: let x be an  $m \times n$  image, then  $D_p^m \times (D_q^n)^{\mathsf{T}}$  is an image of size  $p \times q$ . Using Eq. (A.5) we can write this operation as the matrix-vector product

$$\operatorname{vec}(D_p^m x (D_q^n)^{\mathsf{T}}) = (D_q^n \otimes D_p^m) \operatorname{vec}(x).$$
(A.6)

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<sup>&</sup>lt;sup>5</sup> Defining for instance in Octave, D = kron(speye(m), ones(n,1)')\*kron(speye(n), ones(m,1))/m; the matrix-vector product D\*v will be calculated efficiently.