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Operation and Control of Space-Based Solar Energy Power Plants With CCGT Using Helium as a Working Medium

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ABSTRACT

The features of a Space-based Solar Energy
Power Plant for electric power generation with
a closed cycle gas turbine running on Helium
are discussed. The system is intended for
generating both electricity and process heat
for industrial manufacturing processes in a
large space station. A system overview for
operation and control of such a plant is
presented.

NOMENCLATURE

AI   artificial intelligence
BERAL   beryllium-aluminium coating
CCD   charge coupled device sensor
CCGT   closed cycle gas turbine
D   diameter
Dish   collector of parabolic shape
F   focal length
F/D   focal length to diameter ratio
HCCGT   Helium Closed Cycle Gas Turbine
LPDS   Large Parabolic Dish System
PCU   power conversion unit
PV   photo-voltaic
SEPP   Solar Energy Power Plant
SSEPP   Space-based Solar Energy Power Plant
UV   ultra-violet spectrum

INTRODUCTION

The recent flights of the Space Shuttle have
demonstrated the feasibility of using space as
a production environment for industrial
processes that cannot be carried out on earth
due to gravity or the necessity of an absolute
vacuum in such areas as electronics (purity of
materials), bio-chemistry, medical research,
gene technology, etc.

Besides the use of space stations for
research and military bases, the industrial
use of space as a manufacturing platform will
become an important aspect of special economic
value in the near future. The go-ahead has
been given recently for the design of a large
space station by the USA to be launched within
the next decade; the USSR has been operating a
space station of moderate size for several
years.

Space stations as an industrial production
environment require large amounts of energy,
electricity, process heat, as well as heating
for personnel working inside the station.

This paper is attempting to show the
technological and economic feasibility of
using large parabolic dish systems equipped
with a helium driven closed cycle gas turbine
(HCCGT) utilizing solar energy for electricity
and process heat production coupled to a large
space station: the electricity consumption is
considered to fall within the range of 50 MW
and 300 MW for such space stations in an
industrial production environment. An equal
to slightly larger amount of thermal energy
can be extracted from a HCCGT for industrial
process heat applications to bring total plant
efficiency exceeding 70 % of the input primary
energy.

The experience gained in West-Germany operating closed cycle gas turbines over the past 25 years and in particular the design and operation of the Oberhausen Helium Turbine plant permit the transfer of this technology to the space environment. The Oberhausen Helium Turbine plant heating the gas with conventional fuel was intended as a test for commercial power plant use of the helium technology for the high temperature reactor using helium as a cooling fluid.

Operation of a large parabolic dish system equipped with a helium closed cycle gas turbine differs from earth-bound installations considerably; space offers features for the HCCGT that are worth studying, like:

- external pressure is zero,

- outside temperature is near absolute zero K,

- compressssor inlet temperatures can be extremely low and thus yield excellent Carnot cycle performances for the HCCGT,

- constant solar radiation as direct radiation at 1353 Watts per square meter for any 24 hour period permits running the HCCGT always at the design point,

- large parabolic dish acts as a protective radiation shield for the space station and its personnel against hard UV and X-rays.

This paper is intended to give a collective overview of the possibilities of SSEPP with HCCGT technology.

Further applications of this concept can be found in micro wave transmission of electric energy to earth, as studied under the SPS – Solar Power Satellite concept [1], as well as military applications like the use for electricity generation under SDI.

HISTORICAL REVIEW

In 1923 Oberth published "The Rocket into Interplanetary Space [2] which besides laying the ground for the design of interplanetary rockets mentions on page 87 under "Future Aspects" a large space mirror to direct solar energy in the form of concentrated light towards earth; his later work "Man into Space" [3] 1925 goes into great constructional detail (Chapter 5: The Space Mirror) of such a large space mirror. He discusses a mirror with 100 km diameter – with the technology available at that time! The applications then do not include the use of turbines as they had not been invented yet.

In the early sixties six CCGTs were designed and installed in Germany [4]; the HCCGT was commissioned in 1974 [5] and is still in operation today. Bammert has reported on these installations on various occasions [4]. Of these CCGT installations, the Coburg CCGT Power Plant is still running today having accumulated more than 150'000 running hours, an excellent record by any standard!

The total aggregate experience in running hours of CCGTs in Germany over the years exceeds 600'000 hours; it is this record of accumulated experience that allows us to apply this technology to space based systems for power generation systems in conjunction with solar energy technology; the components are very similar: the receiver in a solar power plant of the Large Parabolic Dish Type – LPDS is the radiation part of the heater in a CCGT.

When the author conceived SEPP – Solar Energy Power Plant in 1976, he intended a helium turbine to be used as a prime mover; after the contact with Bammert in 1977, it was clear that earth-bound systems of small power ratings of SEPP's did not allow the design of such small helium turbines for practical and economic reasons (power range 250 kW – 2000 kW)[6]. With space-based large solar power stations, this concept becomes a viable solution. An overview of the advantages and a layout of a Large Parabolic Dish System for solar power generation has been given in [7] and [8].

SYSTEM DESCRIPTION

In space stations that run industrial processes, an electric power output of 50 MW with the use of another 60 MW (thermal) is assumed in the example discussed in this paper; some figures for a larger station of 300 MW (electric) are mentioned as a guide line to scaling the properties of the system. Table 1 shows comparative figures on the SSEPP system concept.

PARABOLIC DISH CONCEPT

SSEPP is based on the high energy concentration factor of a parabolic dish to concentrate the incident solar radiation onto the focal area; this volume of extremely high temperature is located inside the receiver, where the radiation energy is transferred through the use of high quality alloy tubing to the working medium of the cycle in the form of thermal energy, in the case discussed here: helium.

TABLE 1

COMPARATIVE FIGURES SSEPP SYSTEM

SSEPP generating capacity	50 MW(el)
Parabolic dish diameter	374 m
Parabolic dish surface	110'100 square meters
F/D ratio	0.6
Concentration ratio	>= 6'000
Solar constant	1353 W per square meter
Positional accuracy on sun disk	<= 1"
Position sensor type	CCD – Charge Coupled Device with sub-pixel resolution
Reflector panel size	2 m x 2 m flat
Reflectivity of foil used	92 % long term
Thickness of reflective foil	app. 10 microns
Receiver opening minimum diameter	3 m
Receiver efficiency (est.)	87 %
Rotational speed of SSEPP	1 < R < 10 [Rpm] variable
Helium replenishment per day	5 kg
Helium turbine inlet temperature	800 degrees Celsius
Heat capacity Helium/Air	20 : 1
Assumed cycle efficiency	42 %
Net electric efficiency at terminals	33.61 %

The working principle of SEPP using air as a working medium in an open cycle gas turbine has been described in the literature [6 - 10]. The basic differences of SEPP and SSEPP are listed in Table 2. Not only does it show that commercial size power stations in the 50 MW range operated in space offer significant advantages over solar power stations on earth, but the conditions for converting solar energy to electricity with thermal power plant units in space can be much more efficient due to the very low compressor inlet temperatures (exterior ambient temperature being close to absolute zero).

Figure 1 shows the principal elements of SSEPP coupled to a space station. The entire solar constant arrives in the form of radiation energy with 1353 W/m2 on the dish surface (1) consisting of an extremely thin reflective foil glued to the support frames. There are no transmission losses due to front-surface reflection on the foil. Radiation arrives at the receiver (2) without transmission losses due to the absence of an atmosphere; the only loss factor is radiation spillage around the receiver opening due to misalignment of panels; this is encountered by the "trumpet shape factor" of the receiver opening (3). Radiation hits the receiver tubes and walls and transfers its energy onto the receiver tubing (4) through multiple reflections or direct radiation. Reradiation

losses can be kept to a minimum given the correct geometry of the receiver inside. The energy is transferred onto the working medium (helium) driving the turbine (5, 6); via the gearbox (7), the generator (8) is driven producing electric energy.

OPTICAL PROPERTIES

The focal length to dish diameter ratio is kept at the maximum value for a usable concentration factor given the mechanical properties of the receiver; in this case a value of F/D = 0.6 is assumed. This results in a rim angle that allows the window opening of the receiver to be small enough to minimize reradiation losses. With this F/D ratio a concentration factor of app. 6'000 can be reached. Mechanical, constructional, and service questions favor the use of a secondary Cassegrainian reflector (9) which allows the power conversion unit to sit closer to the dish vertex and make use of the shaded side of the dish for a radiative heat exchanger (10). This design results in a very deep dish with a strongly aspheric secondary reflector. Due to the absence of gravity, constructional considerations do not disfavor this concept.

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The reflective panels consist of a light tubular frame of app. 2 x 2 m sides onto which the extremely thin foil (10 microns) is glued as shown in detail (11) in Fig. 1. The foil is plastic front-surfaced with reflective material of app. 92 % long-term reflectivity (aluminium, BERAL, etc.). The individual panel is a flat surface which results in a minimum receiver opening of 3 m including a nominal amount of optical spillage.

The dish structure with its large surface area of 110'100 m2 (for 50 MW[el]) is placed in front of the space station and thus offers a radiation shield against the intense UV and X-ray radiation emanating from the sun.

Optical sensors transmitting positional information to the control computer systems are coupled to small firing jets using conventional hydrozine fuel to keep the dish assembly locked to the solar dish center.

POWER CONVERSION UNIT

The entire structure dish and power conversion unit is slowly rotating around its central axis to provide directional stability to the system; the angular momentum is provided by the turbine/generator assembly with counter-rotating stators. Depending on the industrial processes executed inside the space station, the station can be coupled or de-coupled from the artificial gravitational field caused by the rotating SSEPP assembly.

The power conversion unit (PCU) is mounted on the central axis of the paraboloid. The properties of energy conversion and receiver design for parabolic dish system type solar power plants have been described at length in the literature [10], etc. by Bammert et. al. Here, only the differences to conventional design strategies for space-based SSEPP's are mentioned.

The primary difference of SSEPP to earth-based systems is the use of a helium closed cycle gas turbine - HCCGT. Turbines operating in space can only be of the closed cycle design type due to the lack of ambient air or atmosphere.

Helium as a working medium lends itself to the use in SSEPP stations due to its very high heat capacity and being an inert gas it cannot interact with the system components. The high heat capacity value of app. 20 times that of air renders the turbine and compressor construction much smaller and allows higher running speeds due to the very high speed of sound of helium. The diffusion properties of helium are manageable and can be estimated to app. 5 kg of helium per day for a 50 MW(el) plant, as has been experienced in the Oberhausen Helium Turbine Plant over the past 11 years.

The principle cycle diagram for a space-based HCCGT is presented in Figure 2. [5]. Helium is heated to its process temperature (800 degrees C) in the receiver (a), is expanded in the high pressure turbine (b) and the low pressure turbine (c), passes to the recuperator (d) where it transmits a large portion of its energy to the newly compressed gas, then the gas from the turbine enters the coolers (e') and (e") which are industrial process specific heat exchangers or radiative heat exchangers for space operation that cool the gas to very low temperature values of app. 100 degrees K, from there the cold gas flows into the low pressure compressor (f), then enters a radiative intercooler (g) where the partially compressed gas is again cooled down to very low temperature levels either by radiative coolers in the space environment or for extracting process heat needed, then flows through the high pressure compressor (h) from where it is heated in the recuperator (d) and then enters the receiver (a) again to close the cycle. Auxiliary equipment for adding helium to the cycle and bringing it up to the required pressure, as well as bypass valves are also shown in the diagram.

1 dish surface
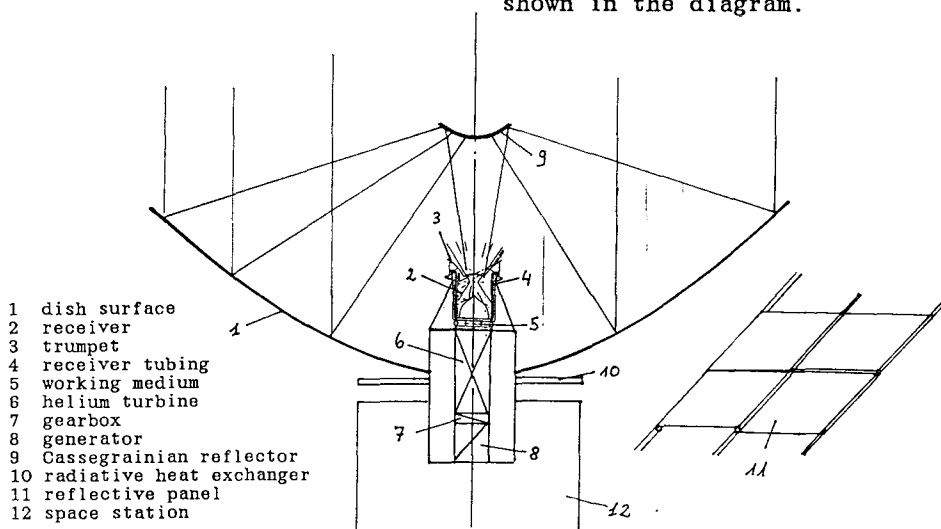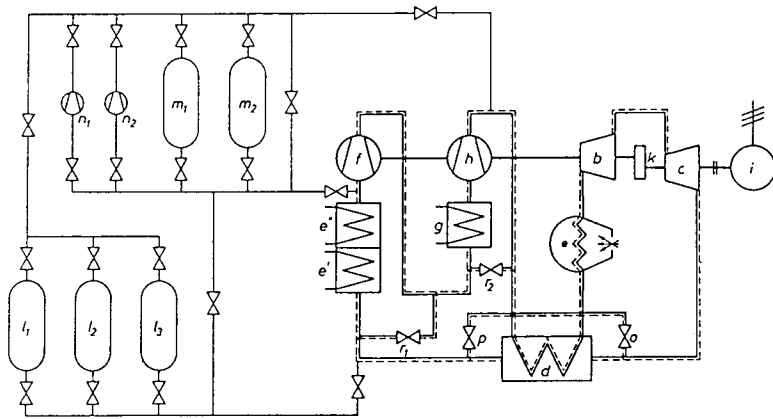2 receiver
3 trumpet
4 receiver tubing
5 working medium
6 helium turbine
7 gearbox
8 generator
9 Cassegrainian reflector
10 radiative heat exchanger
11 reflective panel
12 space station

Figure 1 - Principle Elements of SSEPP

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Figure 3 shows the comparative sizes of a 300 MW (el) helium turboset and a steam turboset [11]; size and thus mass is an important factor in space based operations since everything has to be transported by the space shuttle into orbit. Clearly, the helium turbine presents an advantage over other types of turbosets in this respect.

Further developments leading to higher process temperatures call for ceramic material in the stator and rotor parts: not only can higher temperatures and thus higher total plant efficiency be obtained with these materials, but also the rotational speed increase can reduce the physical size of the turboset since the forces (centrifugal) and stresses on the blades are considerably reduced due to the smaller mass of ceramic materials.

The longitudinal section of a 300 MW (el) helium turboset is given in Figure 4. Single shaft turbines are of advantage for countering the angular momentum produced by the turboset and generator. Counter-rotating stators have to be introduced to keep the entire assembly from starting to spin around its central axis at turbine speeds!

The coolers and intercoolers are of the radiative type in space: there is no medium to interchange the heat with except the environment - space. Since temperatures in the shade of the large dish are close to absolute zero K, radiative heat exchangers have to be designed; the advantage lies again in the light materials that can be used for the plate and fin type heat exchangers [4]. Part of the dish constructional shape can be used to act as a heat exchanger. Process heat can be extracted via the coolers or intercooler depending on the temperature level; higher process temperatures require tapping some of the energy right after the low pressure turbine. In order to simplify the operation in space, it is advisable to use helium as a secondary heat exchanger medium also, as the entire helium installation is already present in the space station.

CONTROL COMPUTER SYSTEMS

Complex space systems require redundant computer hardware and software systems for trouble-free longterm unattended operation. The best example for an extremely complex unit is Voyager II that has been in the hostile space environment for 7 years and now 4 billion kilometers away flying past Uranus with almost all systems still functioning well. The Space Shuttle is another example of

Table 2

BASIC DIFFERENCES BETWEEEN EARTH-BASED
AND SPACE-BASED SOLAR ENERGY POWER PLANT SYSTEMS

SEPP (earth-based)	SSEPP (space-based)
plant size 250 kW - 2 MW(el)	plant size larger 50 MW(el)
Parabolic dish diameter 40 m - 100 m; heavy steel construction	parabolic dish diameter 370 m - 1200 m (500 MW el); extremely light construction due to gravity 0 conditions
degradation of reflective surface due to atmospheric conditions	no degradation influences on reflective foil except small pitting by meteorites
open cycle air turbine	closed cycle Helium turbine
use of solar operation limited to sunshine period per day, then fossil firing necessary	unlimited continuous solar energy use
direct and diffuse radiation: use of direct portion only (app. 85%)	100% direct radiation only
solar radiation arriving on earth differs with atmospheric conditions; peak 1000 W/m2 to 800 W/m2 average and lower	full solar constant radiation value 1353 W/m2 all the time
intermittent and part load behaviour due to clouds limit power production and require layout of receiver to withstand such conditions	constant radiation allows turbine to be run always at maximum design point value; no receiver part-load conditions
cycle efficiency dominated by inlet temperature dependent on outside air temperature (273 K - 313 K)	high cycle efficiency due to extremely low outside temperature (near absolute zero).

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a	receiver
b	high pressure turbine
c	low pressure turbine
d	recuperator
e'	precooler - heating part
e"	precooler - cooling part
f	low pressure compressor
g	intercooler
h	high pressure compressor
i	generator
k	gearbox
11,12,13	control containers
m1,m2	storage containers
n1,n2	charge compressor
o	control valve
p	fast opening valve
r1,r2	bypass

Figure 2 - Helium Turbine Cycle Diagram

sophisticated hard- and software with its 5 onboard computers that check each other.

SSEPP takes a similar approach on a more modern basis: complex systems such as a space station's energy consumption and power generation station can be best monitored and checked continuously by an artificial intelligence system coupled to a suitable computer and sensor system.

In contrast to the conventional approach for monitoring sensor inputs and reacting if certain levels have been exceeded and then detecting or preventing a malfunction because of early warning levels, an artificial intelligence (AI) system constantly compares complex states and combinations and calculates reliable deductions and probabilities from changing sensor inputs in accordance with its internal rule structure; the mere database approach only serves as a raw input device: the system with AI comes to its own conclusions and acts accordingly without human interaction and without any preprogrammed conditions.

Hardware and software have to be used in a redundant form: several AI systems always monitor the entire installation and compare results for their probability before initiating a request for change or human service interaction.

This type of approach provides a more secure operation; multiple sensors for a given condition or state give their input into the systems; the computers can detect themselves whether a sensor is reading a correct value or transmitting its own faulty state.

Optical sensors of the CCD array type keep the dish always locked to the sun's center for optimum efficiency; motion control for the entire assembly is achieved by small jets (conventional hydrazine fuel). The rotation of the dish system is checked via angular motion detectors in comparison with prominent star image positions for varying loads on the turbine.

ECONOMIC CONSIDERATIONS

Providing energy for a space station at the levels discussed can be achieved with three systems:

1. Nuclear reactor

2. Photovoltaic system

3. SSEPP

The nuclear reactor represents a viable solution on the basis of the gas-cooled high temperature reactor (helium cooling); to date there is no commercial operating experience with this type of reactor so that operation in space seems to be not appropriate.

A photovoltaic system for the amount of electric power required would have gigantic dimensions: at the present efficiency ratio of app. 10 percent for affordable solar cells (1986), a field of 370'300 m2 would be required or more than 3.3 times the size of the dish surface. This would only provide electricity without any process heat possibility in the system so that an additional system for process heat application would have to be installed; if this was to be achieved in the form of solar cells, the additional field size would account for another 440'000 m2. These figures clearly speak against the advantage of almost attendance free PV systems as they are being used in satellites today. The mass for lifting these dimensions into space and connecting them electrically counteracts the advantages.

The SSEPP system remains the only alternative at present for producing large quantities of energy in space; space stations of the size envisaged always have personnel for service and repair besides the normal operating personnel for industrial production processes. A maintenance crew for the SSEPP can be a very small team due to the autonomous system design and control philosophy of the entire plant (Artificial Intelligence).
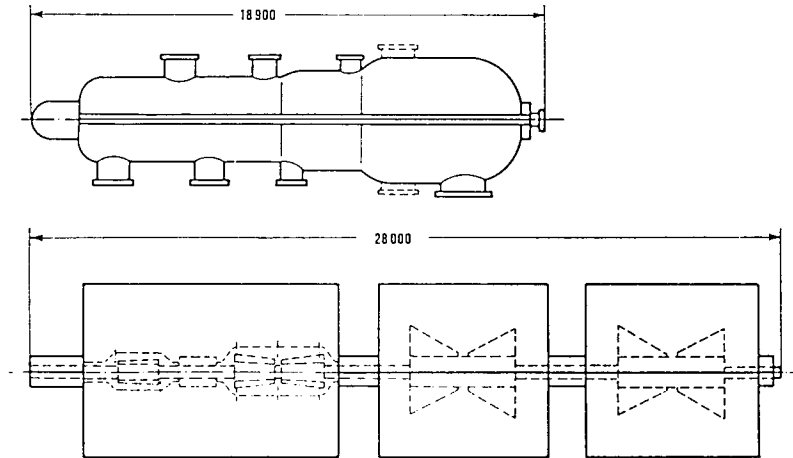
Figure 3 — *Comparative Sizes of 300 MW(el) Helium and Steam Turbo Set*

CONCLUSION

With the advent of space as an industrial production environment, power plants to provide energy for large space stations have to be developed. Among the possible alternatives, the Space-based Solar Energy Power Plant - SSEPP presents the best solution from a technological and economic point of view.

The principal features of a Space-based Solar Energy Power Plant - SSEPP for producing electricity and industrial process heat for large space stations for industrial production facilities has been presented. The large parabolic dish concept utilizing a closed cycle gas turbine running with helium as a working medium presents a viable solution for this application[12].

More than 600'000 operating hours of CCGTs and the experience gained with the helium Turbine in Oberhausen / Germany permits the transfer of this technology to space-based operations. The advantages of SSEPP in comparison with earth-based stations have been enumerated: continuous direct solar radiation at the solar constant level, the extremely low mass requirements for the large dish structure due to the absence of gravity, to only mention a few.

A new approach in plant control with Artificial Intelligence computer systems keeps human interaction with the process to a minimum and can provide a widely autonomous system.
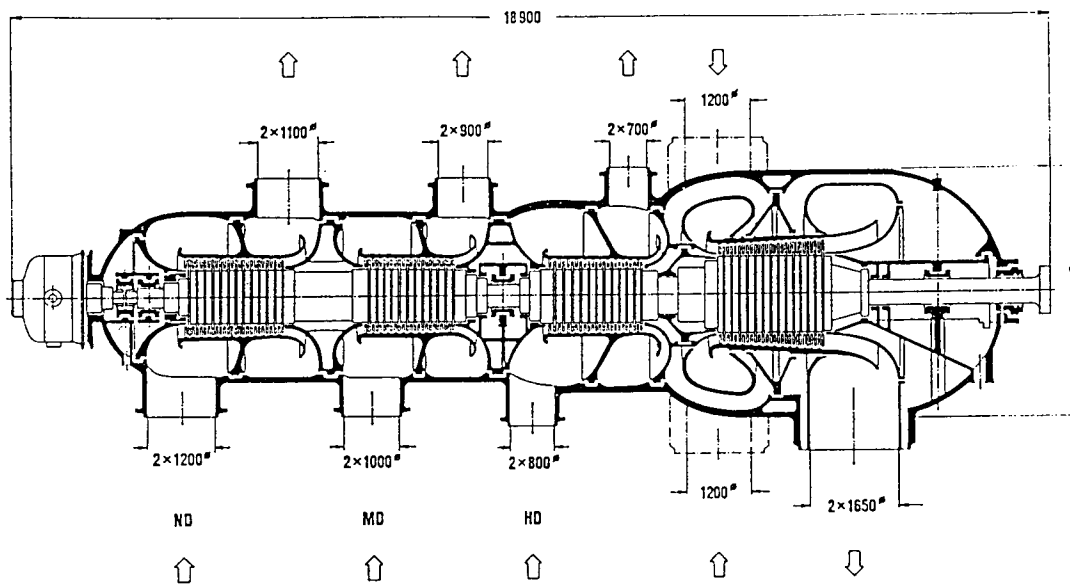
Figure 4 — *Longitudinal Section Helium Plant Turbo Set 300 MW*

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