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# Overdose and prescribed opioids: Associations among chronic non-cancer pain patients

Kate M Dunn, PhD<sup>1,2</sup>, Kathleen W Saunders, JD<sup>1</sup>, Carolyn M Rutter, PhD<sup>1,3</sup>, Caleb J Banta-Green, MSW, MPH, PhD<sup>4</sup>, Joseph O Merrill, MD, MPH<sup>5</sup>, Mark D Sullivan, MD, PhD<sup>6</sup>, Constance M Weisner, Dr PH, MSW<sup>7,8</sup>, Michael J Silverberg, PhD, MPH<sup>7</sup>, Cynthia I Campbell, PhD<sup>7</sup>, Bruce M Psaty, MD, PhD<sup>1,9</sup>, and Michael Von Korff, ScD<sup>1</sup>

<sup>1</sup>Group Health Research Institute, Seattle, Washington, USA

<sup>2</sup>Arthritis Research Campaign National Primary Care Centre, Keele University, UK

<sup>3</sup>Department of Biostatistics, University of Washington, Seattle, WA, USA

<sup>4</sup>Alcohol and Drug Abuse Institute, University of Washington, Seattle, WA, USA

<sup>5</sup>Department of Medicine, University of Washington, Seattle, WA, USA

<sup>6</sup>Department of Psychiatry and Behavioral Sciences, University of Washington, Seattle, WA, USA

<sup>7</sup>Division of Research, Northern California Kaiser Permanente, Oakland, CA, USA

<sup>8</sup>Department of Psychiatry, University of California, San Francisco, USA

<sup>9</sup>Cardiovascular Health Research Unit, Departments of Medicine, Epidemiology and Health Services, University of Washington, Seattle, WA, USA

Address correspondence concerning this paper and reprint requests to Dr. Michael Von Korff, vonkorff.m@ghc.org, Group Health Research Institute, 1730 Minor Avenue, Suite 1600, Seattle, WA, 98101, USA.; Corresponding author's address: Dr. Michael Von Korff, Group Health Research Institute, Group Health Cooperative, 1730 Minor Avenue, Suite 1600, Seattle, WA 98101. Addresses of other authors:

Kate Dunn, Arthritis Research Campaign National Primary Care Centre, Primary Care Sciences, Keele University, Keele, Staffordshire, ST5 5BG

Kathleen Saunders and Carolyn Rutter, Group Health Research Institute, 1730 Minor Avenue, Suite 1600, Seattle, WA 98101-1448 Caleb Banta-Green, Alcohol and Drug Abuse Institute, University of Washington, 1107 NE 45th Street, Suite 120, Box 354805, Seattle, WA 98105-4631

Joseph Merrill, Department of Medicine, RR-512, Health Sciences Building, University of Washington, Box 356420, Seattle, WA 98195-6420

Mark Sullivan, UW Department of Psychiatry & Behavioral Sciences, 1959 NE Pacific Street, Box 356560, Rm BB1644, Seattle, WA 98195-6560

Constance Weisner, Michael Silverberg, Cynthia Campbell, Kaiser Permanente Division of Research, 2000 Broadway, Oakland, CA 94612

Bruce Psaty, Cardiovascular Health Research Unit, Departments of Medicine, Epidemiology and Health Services, University of Washington, Metropolitan Park East Tower, 1730 Minor Ave, Suite 1360, Seattle Washington 98101

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#### **Abstract**

**Background**—Chronic opioid therapy for chronic non-cancer pain (CNCP) is increasingly common in community practice. Concomitant with this practice change, rates of fatal opioid overdose have increased. It is not known to what extent overdose risks are elevated among patients receiving medically prescribed chronic opioid therapy.

**Objective**—To estimate rates of opioid overdose and their association with average prescribed daily opioid dose among patients receiving medically prescribed chronic opioid therapy.

**Design**—Cox proportional hazards models were used to estimate overdose risk as a function of average daily opioid dose (morphine equivalents) received at time of overdose.

**Setting**—Health maintenance organization.

**Patients**—Individuals (n=9940) who received 3+ opioid prescriptions within 90-days for CNCP between 1997 and 2005.

**Measurements**—Average daily opioid dose over the previous 90 days from automated pharmacy data. Primary outcomes, non-fatal and fatal overdoses, were identified through diagnostic codes from inpatient and outpatient care and death certificates and confirmed by medical record review.

**Results**—Fifty-one opioid-related overdoses were identified, including six deaths. Compared to patients receiving 1-20mg of opioids per day (0.2% annual overdose rate), patients receiving 50-99 mg had a 3.7 fold increase in overdose risk (95% C.I. 1.5, 9.5) and a 0.7% annual overdose rate. Patients receiving 100mg or more per day had an 8.9 fold increase in overdose risk (95% C.I. 4.0, 19.7) and a 1.8% annual overdose rate.

**Limitations**—Increased overdose risk among patients on higher dose regimens may be due to confounding by patient differences and by use of opioids in ways not intended by prescribing physicians. The small number of overdoses in the study cohort is also a limitation.

**Conclusions**—Patients receiving higher doses of prescribed opioids are at increased risk of opioid overdose, underscoring the need for close supervision of these patients.

In response to growing awareness of chronic pain as a significant patient concern, chronic opioid therapy is prescribed with increased frequency,(1-3) with over 3% of adults now receiving chronic opioid therapy for chronic non-cancer pain (CNCP).(2) Concurrently, death rates from opioid analgesic poisoning have increased.(4-8). From 1995-2004, opioid-related poisoning hospitalizations doubled in Washington State.(9) A recent study in West Virginia reported that less than half (44%) of autopsy-identified unintentional prescription drug overdose fatalities had received opioids from a physician, suggesting that overdose typically resulted from drug diversion.(10;11) However, overdose risks in patients receiving medically prescribed opioids have not been studied.

Some hold that the rise in poisonings is related to excessive use of opioid analgesics in community practice.(12) Others express concern that such interpretations may lead to underprescribing of opioids for CNCP patients.(13) The association of prescription opioid exposure and overdose risk has been inferred from: uncontrolled case series of autopsies subject to selection biases or ecological time series studies where individual-level associations cannot be examined. While opioids provide partial pain relief for chronic pain. (14-15), the balance of long-term risks and benefits is poorly understood.(16-21) Large-scale epidemiologic studies assessing patient use of prescribed opioids are needed to assess whether there is a relationship between receiving medically prescribed opioids and opioid-related poisonings. A key unanswered question is whether overdose risk differs by opioid dose among patients receiving chronic opioid therapy.

The objectives of this report are: 1) to estimate overall overdose rates (non-fatal and fatal) among persons receiving opioids long-term for CNCP from medical sources; and, 2) to compare risks of opioid overdose among patients recently receiving chronic opioid therapy at differing dosage levels.

#### **Methods**

This paper reports findings from the CONSORT study - CONsortium to Study Opioid Risks and Trends.(22) The study setting was Group Health Cooperative (GHC) which provides comprehensive care on a pre-paid basis to about 500,000 persons in Washington State.(23) The study was approved by the GHC Institutional Review Board.

#### Sample

The study cohort consisted of individuals who initiated repeated use of opioid analgesic prescriptions for a pain problem. Specific inclusion criteria were (i) adults aged 18 or over initiating a new episode of opioid use (no opioid prescriptions filled in the previous 6 months) between 1997 and 2005 (inclusive), (ii) 3 or more prescriptions for opioid analgesics in the first 90 days of the episode, and (iii) a diagnosis of a non-cancer pain problem from the prescribing physician in the two weeks prior to the initial opioid prescription. Eligible pain diagnoses were back or neck pain, osteoarthritis, headache, extremity pain, abdominal pain / hernia, menstrual pain, temporomandibular disorder pain and fractures / contusions / injuries. Individuals entered the study cohort on the 90th day of their episode, once eligibility was established, and continued to be included in the cohort whether or not they continued to receive prescriptions for opioid analgesics.

Exclusion criteria were (i) individuals with a cancer diagnosis (except non-melanoma skin cancer) in the Cancer Surveillance and End Results Registry up to the end of 2006, (ii) two or more cancer diagnoses (excluding non-melanoma skin cancer) from visit or hospital data between the episode start date and the date of censoring, (iii) individuals not enrolled for at least 270 days in the one-year period prior to study cohort entry. Persons who disenrolled from GHC after baseline were censored on their date of disenrollment, otherwise they were censored on December 31, 2006, the end of the study observation period.

#### Classification of opioids

Medication data were obtained from GHC automated pharmacy files. These data have been shown to cover over 90% of the prescription medications used by GHC enrollees.(23) Total morphine equivalents dispensed were calculated for each opioid prescription filled during the follow-up period, defined by the quantity of pills dispensed multiplied by their strength (in milligrams (mg)), multiplied by a conversion factor.(22) The average daily morphine equivalent dose dispensed was then calculated for 90 day exposure windows (see Analysis section) by summing the morphine equivalents for the prescriptions dispensed for the 90-day period and dividing by 90. For each 90 day exposure window and person, the average daily opioid dose dispensed was calculated and categorized into none, 1 to 19 mg, 20 to 49 mg, 50 to 99 mg and 100 mg or more.

#### Covariate data collection

Information on baseline covariates was obtained from automated health care data. These included age, sex, tobacco use, and depression diagnosis and substance abuse diagnosis in the two years prior to entry into the cohort. The type of pain diagnosis at the index visit was identified. Chronic disease comorbidity adjustors were calculated at the time of the index visit: RxRISK risk (24) and the Romano version of the Charlson Score.(25) The days supply of sedative-hypnotics dispensed (based on prescriptions of benzodiazepines, barbiturates and

muscle relaxants from automated pharmacy files) was calculated for 90-day exposure windows. Sedative-hypnotic use was classified into 80% of days or more (72+ days), 50-79% of days (45-71 days), 25-49% of days (23-44 days), 1-24% of days (1-22 days), and none.

#### **Definition of overdose**

Potential opioid-related overdoses were identified from electronic medical records, and medical-record reviews were conducted to classify and validate overdose events. Potential cases were identified from the electronic medical records using the following two definitions: 1. International Classification of Disease (ICD) code indicating opioid-related poisoning (see case definition 1 in the Appendix provided in www.annals.org), or 2. ICD code indicating an opioid-related adverse event (a), plus a diagnosis code on the same date considered to be identifying an overdose (b) (see case definition 2 in the Appendix). Fatal overdoses were identified from the Washington State mortality registry, which is linked to the GHC enrollment file annually (23), using the ICD codes listed in the Appendix.

The medical records for all potential cases identified were examined and classified according to the available evidence for an opioid-related overdose (categories: definite / probable / uncertain / probably not / definitely not) (see Appendix Table providing operational definitions of overdose categories in www.annals.org). Further information was extracted from the medical records on the severity of consequences [death, serious (e.g. requiring hospitalization, unconsciousness, respiratory failure), not serious (e.g. dizziness)]. This record review was carried out without knowledge of opioid exposure status.

Overdose status (present / absent) was ascertained for each participant on a daily basis. For each individual we modeled the time to the first overdose event during the study period at which the full case criteria were met (i.e. after medical record review). Subsequent overdose events, if they occurred, were not included in the analyses. Separate analyses examined risk for any opioid related overdoses, and serious opioid related overdoses. In analysis of serious overdoses, individuals who had an initial overdose that was not serious were included in analyses until they had a subsequent serious overdose or were censored.

#### **Analyses**

We used a Cox proportional hazards model (SAS's PROC PHREG) to estimate the risk of overdose across individuals as a function of their average daily opioid dose. (26,27) Opioid dose was included as a time varying covariate, estimated for continuously updated 90-day exposure windows. Participants could be classified as either exposed to opioids (at any of four dosage levels) or unexposed on any given day, based on their average daily opioid dose during the prior 90 days including the event date. Estimated hazard ratios for opioid dose were based on comparing the opioid dose for an individual who had an overdose (evaluated at the time of event), relative to the opioid dose for all other individuals at risk for overdose at the time of the event (i.e. at the same number of days since entering the study cohort). Whether each person had initiated (started or restarted) opioid use in the prior 90 days was included in the model as a time-varying covariate. Persons were classified as initiating opioid use for the first 30 days of the study period, and subsequently for any 30 day period following the date of receiving an opioid prescription when no opioids had been received in the prior 90 days.

Figure 1 is a schematic that depicts the observation period starting at cohort entry (i.e. 90 days after the start of a new episode of opioid use if 3 or more opioid prescriptions were received) and demonstrates how the 90 day opioid exposure windows were used to compare patients who experienced an overdose to comparator patients who remained at risk for

overdose. Opioid dose was compared for patients with an overdose and all eligible comparator patients evaluated at the same number of days since cohort entry for each patient.

Sedative hypnotic use was included as a time varying covariate, estimated for continuously updated 90-day exposure windows. Participants were classified as either exposed to sedative hypnotics (at any of the 4 levels of days supply dispensed) or unexposed on any given day. Hazard ratios were also adjusted for the following covariates not treated as time-varying: age (included as a continuous variable), sex, tobacco use, depression diagnosis, substance abuse diagnosis, index pain diagnosis, and chronic disease comorbidity adjustors (included as continuous variables). We assessed the validity of the proportional hazards assumption using Schoenfeld residuals.(28)

Analysis focused on the increased risk of overdose associated with recent receipt of opioids at higher dosage levels relative to the risk among individuals receiving opioids at the lowest dosage level (1 to 19mg). We also compared differences in overdose risk between patients not currently receiving prescribed opioids to patients receiving opioids at the lowest dosage level. Exploratory analyses examined potential interactions between opioid use and baseline covariates.

#### Results

A total of 9940 people initiating chronic opioid therapy were included in the cohort. They were followed for a mean of 42 months (range <1 to 119 months) from their initial 90-day exposure window. Of the total cohort, 61% had complete follow-up (from entry into the cohort until the end of the study period, or until an event), 32% left GHC during the study period and 7% died. The characteristics of the cohort are shown in Table 1. Around 60% was female, with a mean age of 54 years. Two thirds of the cohort received a diagnosis of back pain or extremity pain at the index visit (38% and 30% respectively). The mean daily dose of opioids prescribed was 13.3 mg (morphine equivalents). Among 46% of the cohort, hydrocodone was the opioid they were most commonly prescribed, and 10% of the cohort received predominately long-acting opioids. Cohort patients were using opioids during 51.2% of the follow-up time: with 40.1% of observation time at the lowest dosage level (1 to <20 mg. morphine equivalents); 6.7% at 20 to <50 mg.; 2.6% at 50 to <100 mg., and 1.8% was at 100 mg. or greater. Three quarters (74%) of the cohort was also prescribed sedative-hypnotics at some point.

#### Clinical description of identified opioid overdoses

During the study period, 6 fatal opioid-related overdoses and 74 non-fatal events were identified, of which 13 were classified as definite non-fatal opioid overdoses and 32 as probable non-fatal opioid overdoses (10 were uncertain, 17 probably not, and 2 definitely not opioid overdoses). Using a definition of death, definite or probable non-fatal overdose for an opioid-related overdose, we identified 51 patients who experienced one or more overdose events. Of these, 40 (78.4%) experienced a fatal or otherwise serious overdose, and 11 (21.6%) had only non-serious overdose events. Common clinical contexts for overdose were varied and included accidental excess ingestion of opioids (n=8) and suicide attempt (n=6). Additional opioids obtained from non-medical sources were noted for three people and drug abuse was mentioned for four. Four patients had notes indicating overdoses associated with applying extra Fentanyl patches or sucking on a patch. The largest category of noted clinical effects of the overdose was delirium, loss of consciousness or confusion (n=23), followed by respiratory problems (n=15) and falls (n=4). The most common initial care settings identified for overdose events were the emergency room (n=23), inpatient care (n=14), urgent care (n=2) or other ambulatory care (n=6).

#### Overdose rates

The annual rate of overdose for the total sample was 148 per 100,000 person years overall, and 116 per 100,000 for serious overdose (Table 2). The overdose rates were somewhat higher among persons age 65+ than among persons in the two younger age groups, and were similar in men and women. Overdose rates were elevated among those with a history of depression or substance abuse treatment (Table 2). The overall rate of overdose mortality (n=6 deaths) was 17 per 100,000 person years, so there were over seven times as many nonfatal overdoses as fatal overdoses in this cohort. When stratified by recent receipt of opioids, the annual overdose rate was 256 per 100,000 person years among patients recently receiving medically prescribed opioids, compared to 36 per 100,000 person years among the sub-sample not recently receiving medically prescribed opioids (Table 3). We examined overdose events by clinic and did not observe notable clustering of overdose within any of the 29 clinics included in this study (data not shown).

#### Relationship between opioid dose dispensed and overdose

Hazard ratios for the relationship between recently prescribed opioid dosage level and opioid-related overdose, adjusted for potential confounders, are shown in Table 3. People receiving the lowest opioid dosage levels (<20mg per day) had an annual overdose rate of 160 per 100,000 person years. The risk of overdose increased with increasing opioid dosage level. Among persons receiving an opioid dose of 100mg or more per day, the annual overdose rate was 1791 per 100,000 person years, a nine-fold increase in overdose risk [8.87 (95% C.I. 3.99, 19.72)] compared to those receiving the lowest doses. When analysis was restricted to serious events, the hazard ratios were of a similar magnitude, and demonstrated a comparable difference by dose (Table 3). Persons recently receiving sedative-hypnotic medications were also at increased risk of opioid overdose, but risk did not increase with the frequency of receiving sedative-hypnotic medications. Relative to persons not receiving any sedative-hypnotic medications in the 90 days prior to opioid overdose, the overdose hazard ratios were as follows: 3.4 [1.6–7.2] for 1-22 days supply; 0.9 [0.2-4.0] for 23-44 days supply; 3.7 [1.6-8.9] for 45-71 days supply; and 2.7 [1.2-6.0] for 72+ days supply. In multivariate analyses, recent initiation of opioid use (starting or restarting) was not associated with either increased or reduced risk of opioid overdose (data not shown).

We assessed patient differences by the maximum opioid dose received over the follow-up period. Patients receiving the highest opioid doses (relative to those in the lowest dose group) more often: were male (48.4% vs. 39.5%); were current smokers (40.0% vs. 28.0%); had a history of depression treatment (32.0% vs. 25.9%); had a history of substance abuse treatment (13.7% vs. 5.3%); and had higher Charlson comorbidity scores (mean =0.93 SD=1.61 vs. mean=0.63 SD=1.40), but did not differ in age. The intermediate dosage groups were generally similar to the lowest dose group on these variables.

Persons who had not recently received opioids had lower risk of overdose than patients receiving opioids at low dosage levels (Table 3). In covariate stratified analyses, the consistency of differences in overdose risk was compared for persons recently receiving opioids and persons not recently receiving opioids. Elevated overdose risk was observed among those recently receiving prescribed opioids in all sub-groups (data not shown).

#### **Discussion**

In this study, patients receiving higher doses of medically prescribed opioids for CNCP were at increased risk of overdose relative to patients receiving lower doses. Based on a Medline search in September 2009, we believe this study provides the first estimates of the relationship of prescribed opioid dose and overdose risk in a chronic pain population. This

increased risk remained after controlling for demographic and clinical variables. Patients who received high opioid dosage levels were somewhat higher risk patients (e.g. somewhat more likely to smoke, somewhat greater medical comorbidity) than patients who received the lowest opioid dosage levels. At low opioid dosages, the absolute risk of overdose was small. In contrast, the unadjusted overdose rate was 1.8% per annum among patients receiving 100 mg. or more morphine equivalents per day. While opioid overdose risk was highest among those receiving higher opioid dosage, the majority of overdoses occurred among patients receiving low to moderate dose regimens, since the large majority of patients received lower opioid dosages. There were over seven non-fatal opioid overdose events for each fatal opioid overdose identified in the study cohort.

Previous studies have indicated that the rise in opioid-related overdoses is paralleled by increased prescribing of opioids for non-cancer pain,(7) but it has been believed that opioid overdose occurs predominately among persons obtaining prescription opioids from non-medical sources.(10) This study provides the first estimates that directly link receipt of medically prescribed opioids to overdose risk. This study was not designed to identify mechanisms, but information from medical records reviews suggests that accidental ingestion of excess opioids, suicide attempt, obtaining additional opioids from non-medical sources, using more opioid than prescribed, and use of opioids in the context of drug abuse were noted clinical contexts, but no one of these explanations was predominant.

#### Limitations

This observational study cannot establish whether overdose risk differences reflect direct effects of opioid dose differences or patient differences. Patients receiving high opioid dosage levels tended to be higher risk patients, but differences in risk profile were controlled in multivariate analyses. Because opioid events were uncommon, it was not possible to account for potential correlation of observations within physician or clinic due to the small number of events within provider and setting. We examined the clustering of overdose events by clinic and did not observe notable clustering.

It is possible that patients receiving higher dose regimens were more likely to deviate from medically prescribed use (e.g. increasing dose above prescribed levels, using opioids not prescribed, using other substances that influence overdose risks). Some study patients used prescribed opioids in dangerous ways, such as applying multiple Fentanyl patches or substituting a opioid obtained from a friend for a prescribed medication. Further research is needed to understand the specific determinants of overdose risks among patients receiving chronic opioid therapy. However, the results of this research suggest that patients using opioids long-term require close supervision and careful instruction in appropriate use of opioids, as recommended by expert guidelines,(29;30) particularly those receiving higher dose regimens. Due to the small number of events observed in the sample it was not possible to assess overdose risk for specific opioids, or risk differences for long-versus short-acting opioids. Further research is needed to assess these risks.

The comparison group was persons who recently received prescribed opioids at low dosage levels. This comparison group was employed (rather than the group not receiving opioids) to minimize the possibility of overdose ascertainment bias, for example, if physician awareness of patient opioid use influenced identification of overdose. While we adjusted for a number of potential confounders, the possibility of residual confounding cannot be excluded. Substance abuse and depression history based only on diagnostic codes is likely to be selective, and adjustment for medical comorbidities with the Charlson Score and RxRISK is imperfect.

The inclusion of non-fatal overdoses adds to understanding of the problem, as most previous work has examined only fatal drug overdoses. The overall overdose rate in the sample was 148 per 100,000 person years, indicating that fatal overdose represents only the tip of the iceberg (88% of identified overdose events were non-fatal). Most of the non-fatal overdoses were clinically serious. A significant limitation is that only overdoses brought to medical attention and identified by study procedures were counted. Hence, the overdose rates reported here may be conservative. The diagnostic algorithm for identifying overdose was highly specific. A more sensitive search algorithm based on text data from the electronic medical record might identify additional events.

Overdose occurs in patients prescribed opioids for chronic non-cancer pain at increased rates, and the risk of overdose appears to increase markedly with average daily dose prescribed. Over the past 20 years, there have been substantial increases in prescribing rates of opioid analgesics for chronic non-cancer pain.(1;2) However, large-scale, controlled studies evaluating the effectiveness and safety of long-term opioid therapy are not available. (17;32) Observational studies suggest that many patients receiving opioids for chronic non-cancer pain often continue to experience significant pain and activity limitations.(33) Given that millions of adults now receive opioids long-term, with an uncertain risk-benefit profile, large-scale controlled studies evaluating the effectiveness and safety of long-term use of opioids in community practice are urgently needed.

#### Conclusion

Increased risk of overdose was observed among patients receiving medically prescribed opioids at higher dosage levels. Most opioid overdoses were medically serious, and 12% were fatal. This study cannot conclusively establish whether dose-related differences in overdose were due to patient differences, direct effects of higher opioid dose, or indirect effects. Given uncertainties regarding effectiveness and risks of chronic opioid therapy (32), it should be undertaken with awareness of risks and close patient monitoring. (29;30) Close monitoring may not be happening consistently at present. (34) Further research on overdose risks of chronic opioid therapy, and risk reduction, is needed.

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#### **Appendix**

Codes used to identify potential opioid-related overdoses

ICD version	ICD code	Description
Opioid-r	elated poi	soning codes (case definition 1)
ICD-9	9650 <sup>a</sup>	Poisoning by opiates and related narcotics
	E850.1	Accidental poisoning by Methadone
	E950.0	Suicide and self-inflicted poisoning by analgesics, antipyretics, and antirheumatics

ICD version	ICD code	Description
	E980.0	Undetermined poisoning by analgesics, antipyretics, and antirheumatics
<u>ICD-10</u>	T40.0	Poisoning by Opium
	T40.2	Poisoning by Other opioids
	T40.3	Poisoning by Methadone
	T40.4	Poisoning by Other synthetic narcotics
	X42	Accidental poisoning by and exposure to narcotics and psychodysleptics, not elsewhere classified
	X62	Intentional self-poisoning by and exposure to narcotics and psychodysleptics, not elsewhere classified
	Y12	Undetermined poisoning by and exposure to narcotics and psychodysleptics, not elsewhere classified
Opioid s	pecific adv	verse event codes (Case definition 2a)
ICD-9	E935.0	Adverse effects of Heroin
	E935.1	Adverse effects of Methadone
	E935.2	Adverse effects of other opiates and related narcotics
ICD-10	Y45.0	Adverse effects of opioids and related analgesics
		Adverse effects of opioids and related analgesics ic codes (Case definition 2b)
		•
Overdos	e diagnosti	ic codes (Case definition 2b)
Overdos	e diagnosti 276.4	ic codes (Case definition 2b)  Mixed acid-base balance disorder
Overdos	e diagnosti 276.4 292.1	ic codes (Case definition 2b)  Mixed acid-base balance disorder  Drug-induced psychotic disorders (including 292.11, 292.12)
Overdos	e diagnosti 276.4 292.1 292.81	ic codes (Case definition 2b)  Mixed acid-base balance disorder  Drug-induced psychotic disorders (including 292.11, 292.12)  Drug induced delirium
Overdos	276.4 292.1 292.81 292.8 a	ic codes (Case definition 2b)  Mixed acid-base balance disorder  Drug-induced psychotic disorders (including 292.11, 292.12)  Drug induced delirium  Drug-induced mental disorder (excluding 292.81)
Overdos	276.4 292.1 292.81 292.8 a 486	ic codes (Case definition 2b)  Mixed acid-base balance disorder  Drug-induced psychotic disorders (including 292.11, 292.12)  Drug induced delirium  Drug-induced mental disorder (excluding 292.81)  Pneumonia, organism unspecified
Overdos	e diagnosti 276.4 292.1 292.81 292.8 <sup>a</sup> 486 496	ic codes (Case definition 2b)  Mixed acid-base balance disorder  Drug-induced psychotic disorders (including 292.11, 292.12)  Drug induced delirium  Drug-induced mental disorder (excluding 292.81)  Pneumonia, organism unspecified  Chronic airway obstruction not elsewhere classified
Overdos	e diagnosti 276.4 292.1 292.81 292.8 a 486 496 518.81	ic codes (Case definition 2b)  Mixed acid-base balance disorder  Drug-induced psychotic disorders (including 292.11, 292.12)  Drug induced delirium  Drug-induced mental disorder (excluding 292.81)  Pneumonia, organism unspecified  Chronic airway obstruction not elsewhere classified  Acute respiratory failure
Overdos	e diagnosti 276.4 292.1 292.81 292.8 <sup>a</sup> 486 496 518.81 518.82	ic codes (Case definition 2b)  Mixed acid-base balance disorder  Drug-induced psychotic disorders (including 292.11, 292.12)  Drug induced delirium  Drug-induced mental disorder (excluding 292.81)  Pneumonia, organism unspecified  Chronic airway obstruction not elsewhere classified  Acute respiratory failure  Other pulmonary insufficiency, not elsewhere classified
Overdos	e diagnosti 276.4 292.1 292.81 292.8 a 486 496 518.81 518.82 780.0 a	ic codes (Case definition 2b)  Mixed acid-base balance disorder  Drug-induced psychotic disorders (including 292.11, 292.12)  Drug induced delirium  Drug-induced mental disorder (excluding 292.81)  Pneumonia, organism unspecified  Chronic airway obstruction not elsewhere classified  Acute respiratory failure  Other pulmonary insufficiency, not elsewhere classified  Alteration of consciousness
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Overdos	e diagnosti 276.4 292.1 292.81 292.8 a 486 496 518.81 518.82 780.0 a 780.97 786.03 786.05 786.09	ic codes (Case definition 2b)  Mixed acid-base balance disorder  Drug-induced psychotic disorders (including 292.11, 292.12)  Drug induced delirium  Drug-induced mental disorder (excluding 292.81)  Pneumonia, organism unspecified  Chronic airway obstruction not elsewhere classified  Acute respiratory failure  Other pulmonary insufficiency, not elsewhere classified  Alteration of consciousness  Altered mental state  Apnea  Shortness of breath  Dyspnea and respiratory abnormalities - Other

 $<sup>^{</sup>a}$ Includes all sub-codes beginning with this code.

 $<sup>^{</sup>b}$ Case definition 2 is met when participants have a diagnostic code from 2a plus one from 2b on the same date.

#### **Appendix Table**

Criteria for classifying events to their likelihood of being an opioid-related overdose, based on medical record review

Categories	Criteria	Examples
Definite	Clearly stated as opioid overdose.	Accidental methadone overdose.
Probable	Mention of overdose with involvement of opioids, or stated as probable opioid overdose. Or, mention of overdose, and mention of opioids, but not explicitly stated as opioid-related overdose.	Acute alteration in level of consciousness presumed due to narcotic excess. Respiratory depression due to narcotics or Obstructive Sleep Apnea.
Uncertain	Records not clear.	In hospital but no specific mention of overdose.
Probably not	Event with no mention of opioids. Or, mention of opioids but not stated as operation.	Adverse effect in context of overdose
Definitely not	Clearly not opioid-related overdose.	Opiate withdrawal.

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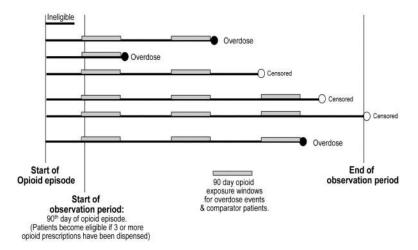


Figure 1. Cohort entry, overdose events, and 90 day opioid exposure windows for overdose events and comparators  $\,$ 

Average opioid dose was compared for each patient who overdosed for the preceding 90 days to all patients remaining eligible as of the same number of elapsed days since the start of the observation period. Patients were followed until their first opioid overdose, or until censored due to health plan disenrollment, death or reaching the end of the observation period.

Table 1

### Characteristics of sample

Baseline characteristics	
Female (%)	59.6%
Age (Mean, s.d., range)	54 (16.8) 18 to 99
Tobacco use (%)	29.4%
Depression diagnosis (%)	26.9%
Substance abuse diagnosis (%)	6.2%
Comorbidity	
Rxrisk (Mean, s.d., range)	3057 (2434) 70.7 to 20802
Charlson Index (Mean, s.d., range)	0.71 (1.48) 0 to 14
Pain diagnosis at the index visit (% of cohort)	
Back pain	37.9%
Extremity pain	30.3%
Osteoarthritis	12.7%
Injury / contusion / fracture	12.3%
Neck pain	8.9%
Abdominal pain	6.4%
Headache	4.9%
Menstrual pain	2.1%
Temporomandibular pain	0.4%
Characteristics of follow-up	
Person months of follow-up (Mean, s.d., range)	42.1 (30.5) 0.1 to 118.7
Daily dose of opioids (milligrams of morphine equivale	ent) <sup>a</sup>
Mean daily dose	13.3mg
Median daily dose	6.0mg
Sedative-hypnotic use (% of cohort)	
Prescribed any sedative-hypnotic during follow-up	74.7%
Prescribed muscle relaxants during follow-up	52.3%
Prescribed benzodiazepines during follow-up	42.7%
At least 45 days of sedative-hypnotics prescribed in one or more 90 day period	31.9%
Most common $^b$ opioids prescribed during follow-up (9	% of cohort)
Hydrocodone	46.3%
Oxycodone	24.5%
Codeine combination	11.6%
LA morphine (long-acting)	6.2%
Propoxyphene	4.9%
Oxycodone CR (controlled release)	2.5%
Tramadol	1.7%
Hydromorphone	0.9%
Methadone	0.7%

Fentanyl patch	0.6%
Type of opioids received most frequently	
Any short-acting opioid	90.4%
Any long-acting opioid	9.6%

Abbreviation: s.d., standard deviation

<sup>&</sup>lt;sup>a</sup>Daily dose among those prescribed opioids.

b Top 10 shown. Based on number of days of opioids prescribed during follow-up.

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# Rates of overdose

All a Serious b  Total sample 51 40 34362 148 (83  Age group (years)  18-44 15 11 9208 163  45-64 18 14 15219 (107-255) (60  45-64 18 15 9935 118 (85  Gender  Male 21 17 13822 (94-223) (71  Female 30 23 20540 (99-203) (71  History of depression diagnosis  No 25 20 25994 (60-137) (47  Yes 26 20 8368 311  History of substance abuse diagnosis  No 45 35 1821 (101-182) (85  Yes 6 5 1821 (101-182) (85  History of substance abuse diagnosis  No 45 35 1821 (101-182) (89		No. of	No. of overdoses	Person- years	Overdo (and 95% confidence) per 100,000	Overdose rate (and 95% confidence intervals) per 100,000 person years
mple         51         40         34362         148           up (years)         11         9208         163           44         15         11         9208         163           64         18         14         15219         118           64         18         14         15219         118           1e         18         15         9935         181           1e         21         17         13822         146           107-274         146         94-223           male         30         23         20540         96-233           1         25         20         25994         96-237           1         25         20         8368         311           1         45         35         32541         138           1         45         35         32541         1138           1         45         35         1821         329           1         121-641         121-641         121-641		VIII a	Serious b		$\operatorname{All} a$	Serious b
uup (years)           44         15         11         9208         163           -64         18         14         15219         118           +         18         15         9935         181           +         18         15         9935         181           ule         21         17         13822         167-274)           ule         21         17         13822         146           of depression diagnosis         146         96-223           of substance abuse diagnosis         30         8368         311           of substance abuse diagnosis         138         (101-182)           s         6         5         1821         329           (121-641)         (121-641)         112-641         112-641	Total sample	51	40	34362	148 (111-192)	116 (83-155)
44         15         11         9208         163           64         18         14         15219         118           44         18         15         9935         181           45         18         15         9935         181           18         15         9935         181           18         17         13822         181           19         23         20540         94-223           10         25         20         25994         96           10         25         20         8368         311           10         45         35         32541         138           10         45         35         32541         1138           10         45         35         1821         329	Age group (yea	rs)				
64         18         14         15219         118           4         18         15         9935         181           18         15         9935         181           19         18         181         181           107-274)         182         152           15         23         20540         146           10         25         20         2594         96           10         25         20         2594         96           10         311         311         311           10         45         35         32541         1138           10         45         35         32541         1138           10         45         35         1821         329           112-641)         112-641         112-641         112-641	18-44	15	11	9208	163 (91-255)	119 (60-200)
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the 21 17 13822 152 male 30 23 20540 146 Ogy-223) Of depression diagnosis s 26 20 8368 311 of substance abuse diagnosis s 6 5 1821 329 (101-182)	65+	18	15	9935	181 (107-274)	151 (85-236)
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25994 96 (25994 96 (26-137) 8368 311 (203-441) 32541 138 (101-182) 1821 329	Male	21	17	13822	152 (94-223)	123 (72-188)
25994 96 (62-137) 8368 311 (203-441) 32541 138 (101-182) 1821 329 (121-641)	Female	30	23	20540	146 (99-203)	112 (71-162)
25994 96 (62-137) 8368 311 (203-441) 32541 138 (101-182) 1821 329 (121-641)	History of depr	ession d	iagnosis			
32541 (203-441) 32541 138 (101-182) 1821 329 (121-641)	o N	25	20	25994	96 (62-137)	77 (47-114)
32541 138 (101-182) 1821 329 (121-641)	Yes	26	20	8368	311 (203-441)	239 (146-354)
45 35 32541 138 (101-182) 6 5 1821 329 (121-641)	History of subs	tance ab	use diagnosi	χl		
6 5 1821 329 (121-641)	o N	45	35	32541	138 (101-182)	107 (75-146)
	Yes	9	5	1821	329 (121-641)	274 (89-562)

 $^{\it a} {\rm Overdose\ defined\ as\ opioid-related\ overdose\ death,\ or\ non-fatal\ event\ defined\ as\ definite\ or\ probable\ opioid-related\ overdose\ (n=51).}$ 

 $^{\it b}$  Overdose defined as opioid-related overdose death, or serious non-fatal event (n=40).

Hazard ratios for the relationship between recent opioid dosage level and overdose

Opioid dosage level	Number of overdoses <sup>a</sup>	Person- years	Overdose rate <sup>d</sup> (95% C.L.) per 100,000 person years	All overdose events Hazard ratio (95% C.I.) ab	Serious overdose events Hazard ratio (95% C.I.) bc
0	9	16,780	36 (13-70)	0.31 (0.12, 0.80)	0.19 (0.05, 0.68)
1 - <20	22	13,770	160 (100-233)	1.00	1.00
20 - <50	9	2,311	260 (95-505)	1.44 (0.57, 3.62)	1.19 (0.40, 3.60)
50 - < 100	9	988	677 (249-1317)	3.73 (1.47, 9.50)	3.11 (1.01, 9.51)
100+	Π	614	1791 (894-2995)	8.87 (3.99, 19.72)	11.18 (4.80, 26.03)
Any opioid use	45	17,582	256 (187-336)	5.16 (2.14, 12.48)	8.39 (2.52, 27.98)

Abbreviation: C.I., confidence interval

<sup>a</sup>Overdose defined as opioid-related overdose death, or non-fatal event defined as definite or probable opioid-related overdose.

b Adjusted for smoking, depression, substance abuse, comorbidity, pain site, age, gender, recent sedative-hypnotic prescription and recent initiation of opioid use.

Coverdose defined as opioid-related overdose death, or serious non-fatal event (n=40).