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Optical-chemical-microphysical relationships and closure studies for mixed carbonaceous aerosols observed at Jeju Island; 3-laser photoacoustic spectrometer, particle sizing, and filter analysis

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Abstract. Transport of aerosols in pollution plumes from the mainland Asian continent was observed in situ at Jeju, South Korea during the Cheju Asian Brown Cloud Plume-Asian Monsoon Experiment (CAPMEX) field campaign throughout August and September 2008 using a 3-laser photoacoustic spectrometer (PASS-3), chemical filter analysis, and size distributions. The PASS-3 directly measures the effects of morphology (e.g. coatings) on light absorption that traditional filter-based instruments are unable to address. Transport of mixed sulfate, carbonaceous, and nitrate aerosols from various Asian pollution plumes to Jeju accounted for 74% of the deployment days, showing large variations in their measured chemical and optical properties. Analysis of eight distinct episodes, spanning wide ranges of chemical composition, optical properties, and source regions, reveals that episodes with higher organic carbon (OC)/sulfate (SO_4^{2-}) and nitrate $(NO_3^-)/SO_4^{2-}$ composition ratios exhibit lower single scatter albedo at shorter wavelengths (ω_{405}). We infer complex refractive indices (n-ik) as a function of wavelength for the high, intermediate, and low OC/SO₄²⁻ pollution episodes by using the observed particle size distributions and the measured optical properties. The smallest mean particle diameter corresponds to the high OC/SO $_{\perp}^{2-}$ aerosol episode. The imaginary part of the refractive index (k) is greater for the high OC/SO_4^{2-} episode at all wavelengths. A distinct, sharp increase in k at short wavelength implies enhanced light absorption by OC, which accounts for



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50% of the light absorption at 405 nm, in the high OC/SO₄²⁻ episode. Idealized analysis indicates increased absorption at 781 nm by factors greater than 3 relative to denuded black carbon in the laboratory. We hypothesize that coatings of black carbon cores are the mechanism of this enhancement. This implies that climate warming and atmospheric heating rates from black carbon particles can be significantly larger than have been estimated previously. The results of this study demonstrate ways in which atmospheric processing and mixing can amplify particle light absorption for carbonaceous aerosol, significantly at short wavelength, underscoring the need to understand and predict chemical composition effects on optical properties to accurately estimate the climate radiative forcing by mixed carbonaceous aerosols.

1 Introduction

Understanding long-range transport (LRT) of pollutants is crucial to inform and implement international and/or regional policies on air-quality (UN-ECE, 2009) and climate change (IPCC, 2007). Outstanding issues include the quantification of aerosol radiative forcing, which depends on composition, mixing state, size, and morphology, as well as the attribution of sources of trans-boundary pollution. Carbonaceous (black carbon and organic carbon, primary and secondary organics, mixed and processed carbon) aerosols found throughout the atmosphere including in large scale Atmospheric Brown Clouds (ABCs) absorb solar radiation, warm the atmosphere, could enhance snow pack and ice sheet melting, and can suppress rainfall over vast polluted regions (Ramanathan and

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Carmichael, 2008). Large scale ABCs are prominent contributors to the continental Asian pollution plume, which entrains regional anthropogenic and natural emissions, whose composition changes with age as it is transported over the Pacific (Dunlea et al., 2009). Optical properties and atmospheric lifetimes of carbonaceous aerosol depend strongly on their size distribution, composition, and mixing state; all of which evolve with age. Therefore, process level understanding of the optical properties of Asian carbonaceous aerosol outflow during its transport across distances up to thousands of kilometers lasting several days is essential to evaluate their radiative forcing of climate. Globally, black carbon aerosols are thought to be the second most important anthropogenic warming influence on climate (Jacobson, 2001; Ramanathan and Carmichael, 2008), and the warming is sensitive to optical properties and mixing state models (Myhre, 2009), both of which are uncertain (IPCC, 2007). It is critical to increase our knowledge of carbonaceous aerosols to inform policy much more precisely on how lowering carbonaceous aerosol emission will reduce global warming, change hydrological cycles, and enhance air quality within weeks of taking actions (Zhang et al., 2009, 2010). More knowledge on carbonaceous aerosol is needed to catalyze their inclusion in the post Copenhagen Accord emissions reductions negotiations which are currently confined to long-lived greenhouse gases. This would also allow the rapidly growing economies in China and India to enhance air quality to improve human health as well as reduce their climate forcing in the short term as they transition to lower carbon-intensive energy and carbon sequestration technologies in the long-term.

Radiative forcing by carbonaceous aerosol is often estimated, in part, using particle mass absorption cross sections (MAC), the wavelength-dependent light absorption coefficient normalized by particle mass in units of m²/g. Carbonaceous aerosols are operationally defined as mixtures of elemental carbon (EC) or black carbon (BC) and organic carbon (OC) species traditionally determined by thermogravimetric, chemical, and optical analysis of samples collected on filters (Schauer et al., 2003; Chow et al., 2009). Socalled brown carbon (BrC) is a sub-set of OC, delineated by its light absorption at UV and visible wavelengths (Andreae and Gelencser, 2006). Brown carbon can be directly emitted by combustion, e.g. (Chakrabarty et al., 2010), and can be produced by chemical reactions within the particle after its emission (Hecobian et al., 2010). Calculation of radiative forcing properties for aerosol of any composition is based on its $MAC(\lambda)$; while the importance of processes influencing $MAC_{EC}(\lambda)$ has become recognized recently, including large enhancement of BC light absorption by coatings observed in laboratory studies, (Bond and Bergstrom, 2006; Cross et al., 2010) mechanistic understanding for MAC_{BrC}(λ) for carbonaceous aerosol remains poorly constrained. Imaging and chemical analysis of pollution impacted samples demonstrate that mixed black carbon, sulfate, and organic aerosol from biomass and fossil fuel combustion are pervasive (Adachi and Buseck, 2008; Posfai et al., 1999). Optical properties of such complex carbonaceous aerosol are estimated using idealized treatments of their mixing state – external, internal, or core (Bond et al., 2006; Jacobson, 2001) and depend significantly on approximations which introduce large uncertainties in their forcing. Field observations of optical, chemical, and microphysical properties of mixed carbonaceous aerosol are essential to identify and develop validated relationships to accurately quantify radiative forcing and heating rates in climate models. Developing such relationships from the CAPMEX data set is the goal of our study.

The CAPMEX field campaign was designed to monitor continental outflow from Asia and to observe the effect of Asian emissions (including mixed carbonaceous aerosol from a wide variety of source regions) on atmospheric radiation especially during enforced air quality control periods surrounding the summer 2008 Beijing Olympics (Ramana et al., 2010). In this paper we demonstrate correlations between simultaneous and independent measurements of aerosol optical properties as a function of wavelength and chemical composition at the CAPMEX field site, the Gosan Observatory. The observatory is located on the western side of Jeju Island, a resort island approximately 1100 km southeast of Beijing; and is not affected by major local industrial pollution sources and has been used to observe continental outflow from Asia for several years (Chen et al., 1997; Topping et al., 2004; Clarke et al., 2004). Distinct plumes undergoing transport from Asia with different chemical composition are delineated and analyzed to show that aerosol light absorption depends strongly upon the OC/SO₄²⁻ composition, particularly at short wavelengths. Furthermore, we use observed particle size distributions and optical properties to infer an effective mean complex refractive index (n-ik) for ambient carbonaceous aerosol as a function of their OC/SO₄²⁻ composition.

2 Methods to measure optical properties, composition, and size distributions

2.1 3-laser photoacoustic spectrometer

A 3-laser photoacoustic spectrometer (PASS-3, Droplet Measurement Technologies, Inc., Boulder, CO), a commercial extension of prototypes originally developed at Desert Research Institute and the University of Nevada, Reno (Lewis et al., 2008), was used to measure aerosol absorption and scattering coefficients (β_{abs} and β_{sca}) at the Gosan Observatory. The PASS-3 uses 405, 532, and 781 nm diode lasers aligned in an acoustic resonator and measures aerosol absorption coefficients (β_{abs}) using the photoacoustic effect. Particle heating by light absorption creates an acoustic wave that is measured by a microphone. The lasers are amplitude-modulated to isolate frequencies close to the resonance frequency of the acoustic cavity, and lock-in detection is used to

maximize the S/N ratio. The instrument simultaneously measures scattering coefficients (β_{sca}) at the same wavelengths as absorption using a cosine square weighted detector inside the cavity at right angles to the sample flow. This configuration, where both β_{abs} and β_{sca} are measured simultaneously from particles in air, allows for reliable measurement of the aerosol single scatter albedo [$\omega = \beta_{sca}/(\beta_{abs} + \beta_{sca})$]. Regular background measurements (duty cycle = 0.72) are made to allow for background interpolation and correction for laser power drifts during the deployment. The detection limits (10 min signal integration) are 1.5 Mm^{-1} for β_{abs} and 2.0 Mm^{-1} for $\beta_{\rm sca}$ at 405 nm, 2.0 Mm⁻¹ for $\beta_{\rm abs}$ and 3.0 Mm⁻¹ for $\beta_{\rm sca}$ at 532 nm, and 0.15 Mm⁻¹ for $\beta_{\rm abs}$ and 1.0 Mm⁻¹ for $\beta_{\rm sca}$ at 781 nm (see Supplement for a short description of PASS-3 calibration and performance characteristics and also (Cross et al., 2010) for laboratory comparison). We stress that the PASS-3 measures optical effects of coatings, morphology, and chemistry on light absorption directly that the traditional filter-based sensors fail to do, particularly in polluted environments (Subramanian et al., 2007; Lack et al., 2008).

The β_{sca} at 405 and 781 nm were used to calculate the intensive Ångström exponent of light scattering (Å $_{sca}^{405}$), which depends on particle size,

$$\mathring{A}_{sca}^{405} = \frac{-\ln\left(\frac{\beta_{sca}(\lambda_1)}{\beta_{sca}(\lambda_2)}\right)}{\ln\left(\frac{\lambda_1}{\lambda_2}\right)} \tag{1}$$

where $\lambda_1=405$ nm and $\lambda_2=781$ nm. Smaller particles exhibit larger \mathring{A}_{sca}^{405} . In order to diagnose the absorbing component of the aerosol, the Ångström exponent of β_{abs} (defined in complete analogy with (1) but for the absorption coefficient β_{abs}) at 405 relative to 532 nm, $\mathring{A}_{abs}(405/532)$, as well as $\mathring{A}_{abs}(405/781)$, were also calculated. Strong absorption wavelength dependence ($\mathring{A}_{abs}>1.6$) indicates organic carbon absorption, e.g. BrC (Bond and Bergstrom, 2006; Gyawali et al., 2009; Lack and Cappa, 2010). Additionally the intensive single scatter albedo (ω_{λ}) were calculated using

$$\omega_{\lambda} = \frac{\beta_{\text{sca}}(\lambda)}{\beta_{\text{abs}}(\lambda) + \beta_{\text{sca}}(\lambda)}$$
 (2)

where λ refers to any one of the PASS-3 laser wavelengths, 781, 532, and 405 nm.

2.2 Filter analysis of mass and composition

Elemental carbon (EC) and organic carbon (OC) mass were obtained daily from quartz-based filter measurements at the Gosan Observatory using thermal-optical and chemical methods. 24-h average particulate matter samples were collected for laboratory-based chemical analysis. The commercially fabricated sampler (URG-3000 ABC, URG Corporation, Chapel Hill, North Carolina, USA) was used to collect four PM_{2.5} samples downstream of two cyclones and four PM₁₀ samples downstream of the PM₁₀ inlet. Sampler flow

rates were controlled with the use of a vacuum pump and critical orifices and were measured by a calibrated Rotometer before and after sample collection. Both pre-baked quartz filters (47 mm, Tissuquartz, Pall Life Sciences, East Hills, New York, USA) and Teflon membrane filters 47 mm, Teflon Membrane, 2.0 mm pore size, Pall Life Sciences) were used for sample collection facilitating different chemical measurements. Field blanks were collected over the course of the sampling effort.

One $PM_{2.5}$ and one PM_{10} quartz fiber filter were analyzed for elemental carbon and organic carbon (EC and OC) as described by (Schauer et al., 2003) using a common thermal-optical method and protocol. The Teflon filters were weighed before and after sampling to determine particle mass concentrations using a microbalance in a relative humidity and temperature controlled room. Subsequent to final weighing, one $PM_{2.5}$ and one PM_{10} Teflon filter were extracted in deionized water and analyzed by ion chromatography for inorganic ions including sulfate ion, nitrate ion, chloride ion, ammonium ion, sodium ion, potassium ion, and calcium ion as described by (Stone et al., 2010). Uncertainties for the organic carbon mass were $\sim 0.20 \, \mu \mathrm{g} \, \mathrm{m}^{-3}$ and $\sim 0.15 \, \mu \mathrm{g} \, \mathrm{m}^{-3}$ for elemental carbon.

2.3 Particle size distributions

The particle number size distributions were measured with two sizing instruments, a scanning mobility particle sizer (SMPS, Model 3034, TSI, Shoreview, MN USA), which includes an on-line condensation particle counter (CPC), and an aerodynamic particle sizer (APS, Model 3321, TSI, Shoreview, MN USA) spectrometer. Particles between 10.4 and 469.8 nm were measured by the SMPS and the larger particles (532–19 800 nm) were measured by an aerodynamic particle spectrometer (APS), both instruments using logarithmic bin widths of 0.03. The on-line CPC counted particles with diameters greater than 3 nm.

2.4 Back trajectories

NOAA HYSPLIT five-day back-trajectories for each day of the 61 days of the CAPMEX deployment are included in the Supplement to trace the path(s) the air mass had taken to Jeju and to assist in determination of the origin, evolution, and duration of each pollution transport episode (Draxler and Rolph, 2003). We focus on episodes for which we have both optical property and composition data.

3 Results and discussion

3.1 Optical properties

We expect aerosols undergoing transport from Asia to the Gosan Observatory to be well mixed in aged air masses

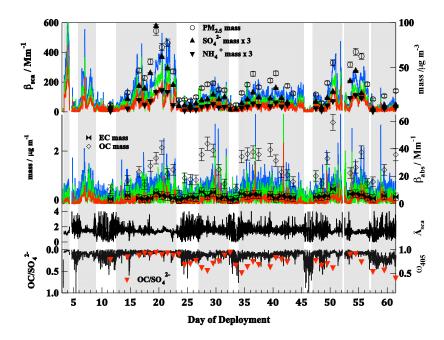


Fig. 1. Temporal profile of aerosol scattering coefficient (β_{sca}), absorption coefficient (β_{abs}), scattering Ångström exponent (\mathring{A}_{sca}^{405}), single scatter albedo at 405 nm (ω_{405}), PM_{2.5} mass, scaled sulfate and ammonium, and elemental and organic carbon masses (μ g m⁻³) for the CAPMEX campaign. The periods highlighted in ash are Asian aerosol transport episodes and their diagnosis as such is discussed in the text. The blue lines represent the 405 nm data, the green line represents the 532 nm data, and the red line represents the 781 nm data.

where atmospheric processing over several days likely increases internal mixing of the aerosol components thereby producing more uniform intensive optical properties. The continuous 10-min average observations of β_{sca} and β_{abs} at 405, 532, and 781 nm, the calculated (Å $_{sca}^{405}$) and ω_{405} for the CAPMEX deployment are shown in Fig. 1 and are used to separate Asian transport from local pollution episodes. The definition of the pollution episodes is verified by analyzing the back-trajectories for each episode.

In Fig. 1, aerosols arriving at Jeju during the periods highlighted in ash show enhanced scattering and absorption signals and relatively uniform intensive properties \mathring{A}^{405}_{sca} and ω_{405} compared to periods shown in white, which are dominated by local inputs, and/or precipitation events where β_{abs} and β_{sca} are low and the intensive optical properties are highly variable. We identify aerosol pollution episodes occurring on 3, 6-8, 13-23, 27-31 August, and 3-13, 16-20, 21-25, and 27-29 September (hence referred to as episodes 1–8) at Gosan stemming from transport of polluted Asian air masses (further evidenced by back trajectories). Over the transport episodes in CAPMEX, the mean β_{abs} at 405 nm during Asian transport episodes varies between 11 and 17 Mm⁻¹ while β_{sca} varies between 42 and 411 Mm⁻¹. At 532 nm, the mean β_{abs} varies between 4 and 9 Mm⁻¹ while mean β_{sca} varies between 23 and $280 \, \mathrm{Mm}^{-1}$ and at 781 nm, mean β_{abs} varies between 3 and 5 Mm⁻¹ while the mean β_{sca} varies between 17 and 188 Mm⁻¹.

We observe the Ångström exponent of absorption ($\mathring{A}_{abs}(405/781)$) is nearly constant (mean values \sim 2.0) for all transport episodes, indicating significant influence of absorbing organic material in each episode. To further diagnose the absorbing organic component, we use the Ångström exponent of absorption ($\mathring{A}_{abs}(405/532)$), where the wavelength dependence is strongly influenced by organic content and we expect to see strong increases in the wavelength dependence at short wavelengths corresponding to absorption by organic material. The Ångström exponents over both wavelength ranges are included with the optical properties in Table 1. All $\mathring{A}_{abs}(405/532)$ are 2.9 or greater with the largest being 3.8 occurring during episode 8, indicating the optical properties in episode 8 are possibly influenced by absorption enhancement at shorter wavelength due to OC.

3.2 Chemical composition

Independent mass and chemical information was assembled from simultaneous analysis of aerosol collected on quartz filters from 24-h periods during most of the campaign. The measured PM_{2.5} total mass temporal profile (in $\mu g \, m^{-3}$) included in Fig. 1 agrees very well with the β_{sca} profile, independently separating Asian transport from local input periods. Also shown in Fig. 1 is the temporal profile of sulfate (SO₄²⁻), ammonium (NH₄⁺), OC, and EC as well the temporal profile of the OC/SO₄²⁻ ratio. Sulfate and ammonium concentrations track the scattering observations while

Table 1. Aerosol absorption and scattering coefficients at 405 nm, ω_{405} , Å $_{\text{sca}}^{405}$, Ångstrom exponents of absorption, with 1σ standard deviations in parenthesis observed for pollution episodes and background periods during the 2008 CAPMEX field campaign. The background data is taken during the CAPMEX deployment when the Gosan Observatory was not experiencing one of the eight aerosol episodes. The statistics for the optical data are taken from 10 min averaged signal from the PASS-3.

Episode	Dates	$\beta_{ m abs}$	$\beta_{ m sca}$	ω_{405}	$\rm \mathring{A}_{sca}^{405}$	Å _{abs} (405/781)	Å _{abs} (405/532)
1	3 Aug	10.8 (2.8)	411.2 (187.9)	0.95 (0.09)	1.2 (0.1)	2.2 (0.6)	4.0 (3.6)
2	6–7 Aug	16.6 (5.7)	187.7 (76.8)	0.91 (0.03)	1.5(0.1)	1.8 (0.4)	2.6 (1.8)
3	13-23 Aug	11.0 (6.3)	174.8 (114.5)	0.93 (0.05)	1.5(0.4)	2.1 (1.2)	2.9 (3.5)
4	27–31 Aug	11.5 (5.7)	100.4 (35.8)	0.90 (0.04)	1.7 (0.5)	2.1 (0.8)	3.3 (3.6)
5	3-12 Sep	11.4 (6.0)	103.8 (33.1)	0.90 (0.04)	1.6 (0.4)	2.1 (0.8)	3.6 (3.6)
6	16-20 Sep	12.6 (6.3)	165.7 (100.6)	0.93 (0.05)	1.5 (0.5)	2.0 (1.0)	3.0 (3.3)
7	21–25 Sep	12.5 (6.7)	149.6 (75.9)	0.91 (0.04)	1.3 (0.4)	1.9 (1.0)	2.8 (3.4)
8	27–29 Sep	10.6 (4.6)	41.4 (16.1)	0.79 (0.06)	1.5(0.9)	2.1 (0.3)	3.8 (3.4)
Bkg.		5.5 ± 3.6	34.6 ± 21.0	0.84 (0.11)	1.49 (1.3)	2.88 (1.7)	3.13(5.3)

EC (and OC) measurements track the absorption measurements indicating independent, consistent, and robust plume identifications. We focus our discussion on OC/SO_4^{2-} and NO_3^-/SO_4^{2-} ratios as metrics for aerosol composition since they vary significantly due to changes in source regions and the transport trajectory of the plumes (see Table 2).

The individual pollutants are enriched during transport episodes, though by varying amounts. The SO_4^{2-} mass (from the PM_{2.5} fraction) changed the most, episodic mean levels varied between 2.8 and $12.3 \,\mu\mathrm{g}\,\mathrm{m}^{-3}$, while there was less variation in OC (1.1–1.9 μ g m⁻³) and NO₃⁻ (0.7–1.9 μ g m⁻³) in transport episodes. Episode 3 has the largest sulfate mass $(12.3 \,\mu\mathrm{g}\,\mathrm{m}^{-3})$ and the lowest OC/SO₄²⁻ and NO₃⁻/SO₄²⁻ ratios. This is consistent with back trajectories originating, at early times, 13-16 August, from the South China Sea and at late times, 17–23 August, northeast of Jeju and becoming enriched in sulfate pollution from southeastern China, an area influenced by a large number of power plants. The sulfate mass is comparable to a similar episode reported by Topping et al. $(14.48 \, \mu g \, m^{-3})$ averaged over a 4 day episode originating in mainland China and passing through the southeastern part of China on its way to Jeju). Episode 8 exhibits the lowest sulfate mass $(2.8 \, \mu g \, m^{-3})$ and highest OC/SO_4^{2-} and NO_3^-/SO_4^{2-} ratios of any episode in the deployment. The back trajectories originate in Siberia, where approximately 75 forest fires burned between 24 and 30 September 2008 (FIRMS, 2009). We expect the fire-impacted air mass to be rich in organics, and to entrain nitrogen-rich urban pollution and a modest amount of sulfate as the plume traverses northeastern China, an area with a lower number of power plants. In both the ACE-Asia (Topping et al., 2004) and CAPMEX results presented here, the OC/SO₄²⁻ and NO₃⁻/SO₄²⁻ ratios are also lowest for air masses originating east of Beijing and traveling through southeastern China along their path to Jeju and highest for air masses originating in Siberia and northeast China. Episodes 4-6 are intermediate in sulfate mass as well as OC/SO₄²⁻ and NO₃⁻/SO₄²⁻ ratios, and followed circuitous trajectories through the Sea of Japan, traversing over Japan and Korea, as well as remote continental Asian locations (see Table 2). In the next section our analysis will show that enhancements in particle organic carbon and nitrate relative to sulfate lead to increased light absorption at short wavelengths, underscoring the importance of treating such chemical effects on optical properties explicitly in climate and chemistry models.

3.3 Optical property and chemical composition relationships

We show the relationships between mean chemical composition $(OC/SO_4^{2-} \text{ and } NO_3^{-}/SO_4^{2-})$ and the intensive optical properties ω_{405} and $\mathring{A}_{abs}(405/532)$ for episodes 3–8 in Fig. 2. These empirical correlations provide important clues to how chemical composition affects optical properties. The measured ω_{405} correlates inversely with the mean OC/SO₄²⁻ and NO_3^-/SO_4^{2-} ratios, for carbonaceous aerosol originating from a range of source regions. Episode 8 has the lowest ω_{405} and highest OC/SO $_4^{2-}$ and NO $_3^{-}$ /SO $_4^{2-}$ ratios; episode 3 exhibits the highest ω_{405} and lowest OC/SO₄²⁻ and NO₃⁻/SO₄²⁻ ratios. A variety of mechanisms, chemical and morphological, that could be responsible for the observed correlations are discussed and analyzed below. Nitrate rich plumes originate from NO_x source regions where subsequent heterogeneous processing can enrich particle phase nitrate. If organics are present in the plumes, there is potential to form organic nitrates in the particle phase. Organic nitrates are known to absorb light at short wavelengths and are likely processed to the particle phase during LRT of pollution plumes rich in organics and nitrogen species such as episode 8 (Jacobson, 1998, 1999). Secondary acid-catalyzed reactions

Table 2. Mass data (μ g m⁻³) for Asian pollution transport episodes observed during CAPMEX. The uncertainty represents one standard deviation of the mean of the daily mass or ratio determined for each day in the episode. The last two columns are composition ratios and are unitless.

Episode	OC	EC	PM _{2.5}	NO ₃	SO_4^{2-}	OC/SO ₄ ²⁻	NO ₃ ⁻ /SO ₄ ²⁻
1							
2							
3	1.1 ± 0.5	0.1 ± 0.1	48.0 ± 26.6	0.74 ± 0.4	12.3 ± 9.0	0.12 ± 0.1	0.11 ± 0.10
4	1.6 ± 0.7	0.2 ± 0.1	28.5 ± 4.6	1.1 ± 0.3	5.4 ± 0.9	0.31 ± 0.1	0.20 ± 0.10
5	1.7 ± 0.4	0.2 ± 0.1	31.0 ± 10.0	1.2 ± 0.3	5.6 ± 2.0	0.32 ± 0.1	0.23 ± 0.10
6	1.9 ± 1.3	0.3 ± 0.2	33.3 ± 22.3	1.4 ± 0.9	5.0 ± 3.0	0.36 ± 0.1	0.26 ± 0.10
7	1.3 ± 0.5	0.2 ± 0.1	53.8 ± 16.0	1.3 ± 0.2	8.6 ± 6.6	0.15 ± 0.1	0.13 ± 0.10
8	1.5 ± 0.4	0.2 ± 0.1	21.5 ± 4.0	1.9 ± 0.9	2.8 ± 0.2	0.56 ± 0.1	0.69 ± 0.30

have also been shown by several groups to produce light absorbing organic material in aerosols (De Haan et al., 2009; Noziere et al., 2007; Shapiro et al., 2009; Bones et al., 2010). To assess the role of acid-catalyzed secondary reactions, we estimate the acidity of particles by calculating the ratio of measured molar concentration of major cations $[NH_{4}^{+}/18 \text{ and } Na^{+}/23]_{\text{meas}}$ to the molar concentration of anions needed to neutralize [NH₄⁺+Na⁺]_{meas}, taken as the sum of the molar concentration of the major anionic species [NH₄⁺ and $Na^{+}]_{pred} = [2 \times SO_{4}^{2-}/96 + NO_{3}^{-}/62 + Cl^{-}/35.5]$ (Zhang et al., 2005; Zhang et al., 2007). We include Na⁺ due to the influence of sea-salt throughout the campaign. Dissolved organic acids may make a minor contribution to the charge balance but were not measured and are not considered here. When $[NH_4^+ + Na^+]_{meas}/[NH_4^+ + Na^+]_{pred} \approx 1.0$, the particles can be considered neutralized in the bulk and when the ratio is <0.75 the particles are acidic. For the particles in CAPMEX we calculate $[NH_4^+ + Na^+]_{meas} = 1.13 \pm 0.06$ $[NH_4^+ + Na^+]_{pred} - 0.00006 \pm 0.0109$, indicating the particles are not acidic in the bulk and does not support the hypothesis that light-absorbing material arose from acid-catalyzed reactions. However, particle nitration reactions may have a substantial effect on aerosol light absorption, especially under the transport conditions encountered here.

The changes in mass of OC and NO $_3^-$ are relatively small across the transport episodes, what changes significantly is the relative SO $_4^{2-}$ percent composition (86% in episode 3, 44% in episode 8, see Table 2). The changes in composition, size distribution, and mixing state influence the optical properties in pollution transport episodes observed during of CAPMEX. The decrease in ω_{405} could be attributed to reduction in particle size (which is observed directly and discussed in Sect. 3.4), thereby decreasing β_{sca} , or to changes in particle composition. To separate these effects, both \mathring{A}_{sca}^{405} and $\mathring{A}_{abs}(405/532)$ are plotted as functions of composition (OC/SO $_4^{2-}$) and included in Fig. 2. The increase in $\mathring{A}_{abs}(405/532)$ observed with increasing OC fraction demonstrates that enhanced short wavelength ab-

sorption possibly associated with aerosol organic material plays a major role in the reduction of ω_{405} . Furthermore, a similar strong positive trend exists (though not shown in Fig. 2) for $Å_{abs}(405/532)$ vs. NO_3^-/SO_4^{2-} ratios during transport episodes in CAPMEX. Large poly-aromatic hydrocarbons and nitrated PAH molecules exhibit electronic absorption bands in the visible and could potentially be significant in this case as products of heterogeneous atmospheric processing (Ruiz-Morales and Mullins, 2007). In contrast, we find that \mathring{A}_{sca}^{405} increases slightly with increasing OC/SO $_4^{2-}$ ratios, showing the smaller particles more frequently occurred during the intermediate and high OC/SO₄²⁻ events, a fact verified by the measurements of size distribution (Sect. 3.5). This is consistent with aged, mixed OC, sulfate, and nitrate aerosols exhibiting a propensity to absorb more light in the blue causing reduction of ω_{405} .

3.4 Brown carbon mass absorption cross section (MAC $_{BrC}$) and coating effects on elemental carbon MAC (MAC $_{EC}$)

We use the chemical composition and $\beta_{abs}(\lambda)$ data to separate brown carbon, elemental carbon, and coating (morphological) contributions to total aerosol mass absorption cross sections using an empirical model: $(MAC_{total} = MAC_{EC} + MAC_{BrC})$. We have calculated the episodic MACtotal by dividing the measured absorption by the sum of measured OC and EC mass for each OC/SO₄²⁻ episode [MAC_{total}(λ) = $\beta_{abs}^{meas}(\lambda)/(EC_{mass}+OC_{mass})$]. To estimate absorption by "uncoated" soot, we use published MAC values $(10.6 \pm 0.6 \,\mathrm{m}^2\,\mathrm{g}^{-1})$ at 405 nm, $7.32 \pm 0.5 \,\mathrm{m}^2 \,\mathrm{g}^{-1}$ at $532 \,\mathrm{nm}$, $4.24 \pm 0.2 \,\mathrm{m}^2 \,\mathrm{g}^{-1}$ 781 nm) for denuded soot collected in a recent laboratory study (Cross et al., 2010). We then multiply our observed EC_{mass} by the aforementioned MAC to estimate the light absorption from uncoated elemental carbon $(\beta_{\rm abs}^{\rm est} = {\rm MAC_{denuded\ soot}} \times {\rm EC_{mass}^{meas}})$ at each PASS-3 wavelength. We then postulate OC absorption is negligible at 781 nm and dominated by black carbon and empirically

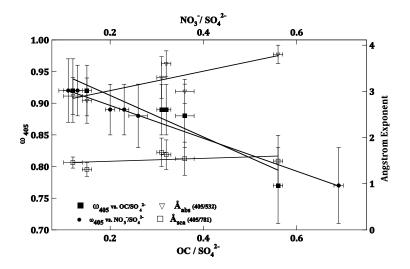


Fig. 2. Single scatter albedo at 405 nm plotted against OC/SO₄²⁻ and against NO₃⁻/SO₄²⁻, Å_{abs}(405/532) plotted vs. OC/SO₄²⁻, and Å_{sca}⁴⁰⁵ vs. OC/SO₄²⁻ for episodes 3–8 of CAPMEX. The highest ω_{405} occurred for the lowest OC/CO₄²⁻ ratio (0.93 and 0.12; episode 3) and the lowest ω_{405} occurred for the highest OC/SO₄²⁻ ratio (0.79 and 0.56; episode 8).

determine a factor that best matches β_{abs}^{est} to our measured $\beta_{abs}(781 \, \mathrm{nm})$; ($\beta_{abs}^{est} = f \times \beta_{abs}^{meas}$). We interpret f as the absorption enhancement factor for the coating and find that this ranges from 3-6 (at 781 nm) for the transport episodes in CAPMEX. This is attributed to the net absorption enhancement by clear and/or absorbing coatings on elemental carbon aerosol cores. Our values are much higher than the enhancement reported in limited observational studies (Cross et al., 2010) (Schwarz et al., 2008). We hypothesize that large enhancements observed in CAPMEX can result from increased coating thickness during aerosol transport than in laboratory studies (Cross et al., 2010) and also the mixed carbonaceous aerosol may contain material that absorbs in the red (Gyawali et al., 2009; Garland et al., 2008). Thick coating on mixed carbonaceous aerosol are pervasive in polluted environments, as reported in the Mexico City outflow where morphological analysis demonstrated that black carbon were coated 55% of the time with a median volume fraction of 15% (Adachi and Buseck, 2008). Additionally, we are able to determine percent contributions of EC and EC coating to the overall β_{abs}^{meas} at each wavelength using $[\beta_{abs}^{est}(\lambda)/\beta_{abs}^{meas}(\lambda)]$. We find elemental carbon absorption and the coating effect accounted for 73% of β_{abs} (405 nm) in episode 7 and 99% of β_{abs} (532 nm) in episodes 5 and 8. The smallest coating effect was observed during episode 8 (33 % at 405 nm).

Finally, we are able to estimate MAC_{BrC} for carbonaceous aerosols from our CAPMEX data. The difference between our β_{abs}^{meas} (405 nm) and β_{abs}^{meas} (532 nm) compared with β_{abs}^{est} (at 405 and 532 nm) is attributed to brown carbon absorption (additional absorption than has been accounted for by the coating of elemental carbon cores). We determine MAC_{BrC} by $[(\beta_{abs}^{meas} - \beta_{abs}^{est})/OC_{mass}]$. We infer MAC_{BrC} ranging be-

tween 2.1 ± 0.1 and 3.4 ± 0.1 m² g⁻¹ at 405 nm and between 0 ± 0.1 and 1.0 ± 0.1 m² g⁻¹ at 532 nm and that brown carbon accounts for up to 50% of β_{abs}^{meas} (405 nm) in episode 8 and up to 20% of β_{abs}^{meas} (532 nm) in episode 2. Table 3 exhibits the MAC. hibits the MAC_{BrC} and absorption fractions for each episode. Similar MACs for OC have been reported (Favez et al., 2009) and similar EC and OC contributions to total aerosol absorption have been observed for ambient mixed carbonaceous aerosol (Barnard et al., 2008; Clarke et al., 2004). Our analysis elucidates how light absorption is enhanced by brown carbon directly and indirectly by coatings on elemental carbon cores for the CAPMEX episodes. We find that coated EC makes a considerable contribution at 405 and 532 nm for all episodes except for the fire-impacted episode 8. Our MAC results for brown and coated elemental carbon should be valuable for constraining MAC_{EC} and MAC_{BrC} for carbonaceous aerosol and quantitatively determining their impacts on radiative forcing and photochemistry.

3.5 Wavelength dependent refractive indices from size and optical properties

Particle number size distributions were measured during CAPMEX using both SMPS and APS particle sizers. The SMPS and APS particle diameters are merged into a distribution covering 10.4 to 19 800 nm. The APS particle aerodynamic diameters were converted to actual diameters using a procedure outlined by (Hand and Kreidenweis, 2002). A reference particle density of 1.6 g cm⁻³ is assumed throughout. We have separated the number size distributions into three groups according to the OC/SO₄² ratio, the same as for the optical property correlations: high (mean distribution for episode 8), intermediate (mean distribution for episodes

Episode		MAC_{total}			MAC_{BrC}		f	EC	EC+coat	OC	EC	EC+coat	OC
	405 nm	532 nm	781 nm	405 nm	532 nm	781 nm			405 nm			532 nm	
3	9.0 ± 0.1	4.8 ± 0.1	2.6 ± 0.1	3.4 ± 0.1	1.0 ± 0.1	0.4 ± 0.1	6.0	0.10	0.65	0.34	0.17	0.82	0.17
4	6.0 ± 0.1	3.1 ± 0.1	1.4 ± 0.1	2.6 ± 0.1	0.8 ± 0.1	0.1 ± 0.1	3.5	0.18	0.64	0.36	0.23	0.80	0.19
5	6.3 ± 0.1	2.6 ± 0.1	1.7 ± 0.1	2.5 ± 0.1	0.0 ± 0.1	0.2 ± 0.1	4.3	0.16	0.66	0.34	0.23	0.99	0.01
6	5.0 ± 0.1	2.2 ± 0.1	1.2 ± 0.1	2.1 ± 0.1	0.2 ± 0.1	0.0 ± 0.1	3.0	0.22	0.67	0.32	0.31	0.93	0.07
7	8.5 ± 0.1	4.3 ± 0.1	2.6 ± 0.1	2.8 ± 0.1	0.4 ± 0.1	0.3 ± 0.1	4.3	0.17	0.73	0.27	0.22	0.93	0.07
8	5.6 ± 0.1	1.6 ± 0.2	1.2 ± 0.1	3.4 ± 0.1	0.0 ± 0.2	0.3 ± 0.1	3.2	0.16	0.49	0.51	0.31	0.99	0.01

Table 3. Mass Absorption Cross Sections (MAC_{total}), brown carbon MAC (MAC_{BrC}), enhancement factor (f), and percent contribution to total absorption [β_{abs} (405 nm) and β_{abs} (532 nm)] for Asian transport episodes observed during CAPMEX.

4, 5, 6) and low (mean distribution for episodes 3, 7). The merged results for the high, intermediate, and low OC/SO_{4} episodes are shown in Fig. 3. We find mean particle diameters calculated from each merged size distribution for the OC/SO_4^{2-} ratio groups are 72.4 nm, 92.5 nm, and 124.5 nm for the high, intermediate, and low OC/SO₄²⁻ ratios, respectively. A shift to smaller size at higher OC/SO_4^{2-} ratios is consistent with expectations based on back-trajectories and distinct chemical compositions of the sources. The mean particle diameters are also consistent with the slight rise in $\rm \mathring{A}_{sca}(405/781)$ with increasing OC/SO₄²⁻ as shown in Fig. 2. The CPC total count is used to adjust the total concentration count and account for particle losses within the SMPS. The SMPS plus APS size distributions, together with the CPC total count, are used as constraints to determine effective refractive indexes inferred from fitting the mean aerosol optical properties measured by the PASS-3. The ratio defined as $A_{CPC} = CPC/SMPS$ is on average 4.2 over the data range available during the entire campaign; however, this value is highly variable for the different episodes; therefore to further constrain its value, we adjusted A_{CPC} by constraining the fitted real part of the index of refraction for the aerosol measured during the low OC/SO_4^{2-} episodes. For this case we assumed tabulated values for the real part of the index of refraction for sulfate and for EC and OC in the green, and derived a volume-averaged value of n = 1.435 (d'Almeida et al., 1991; Bond and Bergstrom, 2006). The reason for using the low OC/SO_4^{2-} episode as a constraint is that the EC and OC component contribute minimally to the real index of refraction at 532 nm and therefore the exact value of their refractive indices is not critical. A particle count adjustment factor $A_{CPC} = 3.78$ was found by forcing the fitting to n = 1.435 and the A_{CPC} was used for the rest of the fitting analysis. The fitting is done using a homogeneous sphere Mie theory code to match the optical property observations (absorption and scattering at three wavelengths) for the high, medium, and low OC/SO_4^{2-} cases as discussed next.

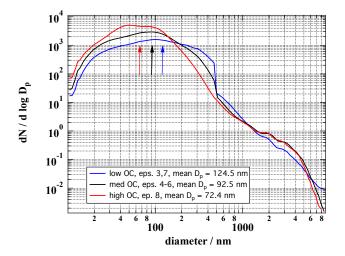


Fig. 3. Particle number size distribution measured with SMPS and APS systems during CAPMEX. The mean particle diameters calculated from the number distributions are $72.4 \,\mathrm{nm}$, $92.5 \,\mathrm{nm}$, and $124.5 \,\mathrm{nm}$ for the high, intermediate, and low $\mathrm{OC/SO_4^{2-}}$ episodes and their locations in the number distribution are shown by the color-coded arrows.

To retrieve the complex refractive indices (n-ik) for all cases for different wavelengths, we follow the procedure described next. Using the scaled observed number size distributions $(A_{CPC} dN/d\log Dp)$ for particles smaller than 2000 nm, we vary the real and imaginary parts of the refractive index to match the mean values of the observed $\beta_{abs}(\lambda)$ and $\beta_{sca}(\lambda)$ independently for each PASS-3 wavelength. We then calculate a weighted average residual between the measured and the calculated $\beta_{abs}(\lambda)$ and $\beta_{sca}(\lambda)$ and take the average and the standard deviation of the indices of refraction that result in a discrepancy between the predicted and measured data that is below 5%. The 5% threshold was chosen as a conservative representation of the measurement uncertainty. This fitting process is repeated for each of the OC/SO₄²cases to determine effective bulk imaginary indices of refraction for ambient mixed carbonaceous aerosol undergoing transport to Jeju. The complex refractive indices for each of the high, intermediate, and low OC/SO_4^{2-} episode groups are separated into their real and imaginary parts and plotted against wavelength in Fig. 4. The error bars represent only the standard deviation of the mean of the real and imaginary parts of the refractive index and do not include other sources of uncertainties (e.g. uncertainties in particle concentration). The mean particle diameters and wavelength dependent complex refractive indices for the low, intermediate, and high OC/SO_4^{2-} cases are listed in Table 4.

Enhanced absorption by organic and nitrate rich aerosol is evident in the observed increase of the imaginary part of the refractive index $(\delta k/\delta \lambda)$ and by the enhanced rate of increase with wavelength (k/λ) at short wavelengths. The imaginary part of the refractive index (k) is greater for larger OC/SO₄²⁻ ratios at all wavelengths. A distinct, sharp increase in k at short wavelength (405 nm) for the high OC/SO_4^{2-} episode implies enhanced light absorption by OC in this forest-fire impacted plume (Chakrabarty et al., 2010). As the OC/SO_{4} ratio increases, $(\delta k/\delta \lambda)$ increases as well. The highest value at 405 nm for k (0.029) is observed for the high OC/SO₄²⁻ episode 8. We hypothesize that the absorption enhancement at short wavelength is due to absorption by OC (e.g. large polyaromatics) or by OC processed in the presence of NO_x to particle phase organic nitrates. We also note that there is short-wavelength enhancement in k for each episode, showing the general absorption enhancement of OC, which is present in each composition ratio group. The largest enhancement for k (200%; $(k_{405 \text{ nm}})/(k_{781 \text{ nm}})$) is calculated for the high OC/SO_4^{2-} episode, also corresponding to the lowest ω_{405} measured for any aerosol transport episode in the campaign. The enhancement is less for medium and low OC/SO_4^{2-} and NO_3^{-}/SO_4^{2-} ratios (~150%), which indicates that $\omega(\lambda)$ is determined by the amount of OC, NO_3^- and SO₄²⁻ in mixed carbonaceous aerosol, primarily at shorter wavelengths. Taken together, the in situ $\omega(\lambda)$, composition, and size distribution measurements, and the calculated complex refractive indices corroborate reports of enhanced short wave absorption in remote column radiance data for carbonaceous aerosol made in Mexico City (Barnard et al., 2008), for example. Additionally, the wavelength dependence of our complex refractive indices at 405, 532, and 781 nm determined from our direct and comprehensive in situ measurements of aged, complex mixed carbonaceous aerosol at Cheju over a 2 month period are consistent with analysis of a handful of quartz filter samples of much less aged urban pollution and smoke samples (from Weizmann Institute rooftop in Rehovot, Israel) at 390 and 532 nm reported by (Dinar et al., 2008), and the complex refractive index at 550 nm from a similar size-resolved optical property analysis of some fresh biomass smoke samples in the laboratory (Hungershoefer et al., 2008).

We compare the complex refractive indices derived above with independently measured $PM_{2.5}$ total mass, EC_{mass} , and

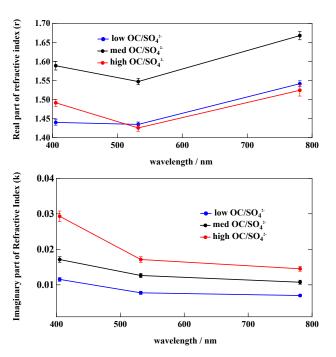


Fig. 4. Complex refractive index for calculated for low, medium, and high OC/SO_4^{2-} episodes in CAPMEX. The color-coding is analogous to Fig. 3, the high OC/SO_4^{2-} case is in blue, the intermediate OC/SO_4^{2-} in black and the high OC/SO_4^{2-} case in red.

OC_{mass} composition data for closure and to quantify the effects of mixing and processing. The mean EC and OC mass percentage of PM_{2.5} total mass are 0.3 and 2.8% for the low OC/SO_4^{2-} case, 0.7 and 5.6% for the intermediate OC/SO_4^{2-} case, and 0.7 and 7.8% for the high OC/SO_4^{2-} case. Using our derived k values at 781 nm, we can estimate the episodic coating factor (f) by considering the ratio: $f = k_{781}^{\text{calc}} / ((\text{EC})^{-1})^{-1})$ $PM_{2.5}$ mass %/100)× k_{781}^{ref}), where $k_{781}^{ref} = 0.71$, the wavelength independent imaginary component of the refractive index of soot (Bond and Bergstrom, 2006). Estimation of f in this fashion for the each of the OC/SO_4^{2-} cases gives coating enhancement factors of 3.1, 2.0, and 2.7. These absorption enhancements at 781 nm are smaller (due to mass based approximate optical calculations) but are consistent with the mean coating enhancement factors 5.2, 3.6, and 3.2 calculated independently for the low, intermediate, and high OC/SO_4^{2-} cases in Sect. 3.4. The largest enhancement is predicted for the low OC/SO_4^{2-} case with either method and the higher OC/SO₄²⁻ cases exhibit similar mean predicted absorption enhancements. Additionally, we are able to separate $k_{\rm EC}$ from $k_{\rm BrC}$ using $(k_{405}^{\rm calc} - k_{781}^{\rm calc})$ and determine an effective $k'_{\rm BrC}$ that matches the episodic OC PM_{2.5} mass percent from the filter analysis, i.e. OC mass percent $\% = [(k_{405}^{\rm calc} - k_{781}^{\rm calc})/k'_{\rm BrC}] \times 100$. The $k'_{\rm BrC}$ term describes the imaginary component of the refractive index of the nonsoot material in the aerosol, likely a mixture of absorbing OC and nitrated-OC. Optimizing k'_{BrC} for all three cases,

Table 4. Mean particle diameters and complex refractive indices for the low, intermediate, and high OC/SO_4^{2-} cases in CAPMEX. The complex refractive indices are calculated from an iterative procedure constrained by observed wavelength dependent optical properties, discussed in the text. The mean particle diameters are calculated from the merged particle number size distributions in each of the low, intermediate, and high OC/SO_4^{2-} cases.

Composition ratio	Mean particle diameter	Wavelength/nm	Complex refractive index			
low OC/SO ₄ ²⁻	124.5 nm	405	$1.440 \pm 0.01 - 0.012 \pm 0.001i$			
7		532	$1.434 \pm 0.01 - 0.008 \pm 0.001i$			
		781	$1.542 \pm 0.01 - 0.007 \pm 0.001i$			
intermediate OC/SO ₄ ²⁻	94.5 nm	405	$1.590 \pm 0.01 - 0.017 \pm 0.001i$			
7		532	$1.547 \pm 0.01 - 0.013 \pm 0.001i$			
		781	$1.668 \pm 0.01 - 0.011 \pm 0.001i$			
high OC/SO ₄ ²⁻	72.5 nm	405	$1.492 \pm 0.01 - 0.029 \pm 0.001i$			
- 4		532	$1.426 \pm 0.01 - 0.017 \pm 0.001i$			
		781	$1.524 \pm 0.02 - 0.015 \pm 0.001i$			

we determine that $k_{\rm BrC}^{'}=0.17,~0.11,~{\rm and}~0.16$ match the OC PM_{2.5} mass percent for the high, intermediate, and low OC/SO₄²⁻ cases, respectively. Many poly-aromatics and nitrated PAH species have k values between 0.1 and 1 near 400 nm, see table 3 in Jacobson (1999), and their formation and entrainment in particles, especially during transport, can increase short wavelength absorption appreciably. We have closed the loop between the particle size distributions, chemical composition, and optical properties to show how the chemistry and morphology of mixed carbonaceous aerosol can significantly increase their ability to absorb sunlight, thereby forcing climate and altering local photochemistry.

4 Conclusions and significance

Transport of absorbing carbonaceous aerosols accounted for 76% of the observation time on Jeju, South Korea in August and September 2008. We sampled a range of polluted air masses with varying amounts of particle carbon, sulfate, organics, and nitrate. Direct photoacoustic measurements of intensive optical properties are empirically correlated with chemical composition observations. Episodes with high OC/SO $_4^{2-}$ and NO $_3^-$ /SO $_4^{2-}$ ratios exhibit lower ω_{405} , which is corroborated by the optical closure analysis. We close the loop between particle size, composition, and optical property data by using them to extract wavelength-dependent complex refractive indices over a range of OC/SO₄² ratios. We predict the largest k (0.029) at 405 nm for episode 8, which has the highest OC/SO_4^{2-} ratio, and the imaginary component is $2.4 \times$ greater than k predicted at 405 nm for the low OC/SO_A²⁻ case. We used the complex refractive indices to make similar quantitative conclusions about the coating absorption enhancement factor compared with an analysis based solely on chemical composition and optical properties. Also, we show that MAC_{BrC} can represent a substantial part of the total MAC for carbonaceous aerosol. Overall, our findings underscore the manner in which OC can enhance light absorption by coating and mixing with EC and exhibits how absorbing and non-absorbing components of carbonaceous aerosol can moderate absorption across the visible spectrum.

A recent study shows that a small reduction in global mean aerosol single scatter albedo (0.986 to 0.970 at 550 nm) from a higher absorbing carbonaceous fraction increases the net anthropogenic radiative forcing to $-0.3 \,\mathrm{Wm}^{-2}$ from $-0.5 \,\mathrm{Wm^{-2}}$ (Myhre, 2009). For a fire-impacted high OC/SO₄²⁻ episode in CAPMEX, we observed [ω_{405} = 0.79 ± 0.05 , $\omega_{532} = 0.84 \pm 0.10$, $\omega_{781} = 0.83 \pm 0.11$] compared with mean $[\omega_{405} = 0.92 \pm 0.05, \ \omega_{532} = 0.94 \pm 0.06,$ $\omega_{781} = 0.95 \pm 0.05$] observed during two low OC/SO₄²⁻ episodes. Chemical composition (both absorbing and nonabsorbing, organic and inorganic components) significantly affects aerosol optical properties particularly at shorter wavelengths, especially during transport. Furthermore, our empirical analysis also show that coatings and processing during transport may enhance light absorption by black carbon by factors of greater than 3 indicating that the published estimates of warming and heating rates derived from filter-based particle absorption measurements are likely too low and need re-examination for wavelength and composition dependence (Ramana et al., 2010). Our results underscore the need to explicitly parameterize aerosol composition effects on wavelength dependent optical properties in regional chemistry and climate models to asses their climate impacts, particularly in the Asian outflow, whose composition is changing due to energy growth and clean air policies. Our chemical-optical relations and derived wavelength dependent MACs for carbonaceous aerosols provide empirical parameterizations that enable such analysis by modelers.

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