

## Optimal digital control of a three-phase four-leg voltage source inverter

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**Abstract:** This paper presents a three-dimensional (3-D) space vector pulse width modulation (SVPWM) technique via a  $4 \times 4$  orthonormal transformation matrix that has been used as a new approach in controlling a three-phase four-leg voltage source inverter (VSI). A fully optimal digital control scheme for the closed-loop regulation of the three-phase four-leg VSI has been used to synthesize a sinusoidal waveform with the help of the proposed method. Discrete-time modelling of each phase has been obtained independently in *abc* reference frame, and its optimal controller has been designed using a predefined performance index. A DSP-based controlled three-phase four-leg prototype VSI has been designed to verify the proposed discrete time modelling and the control scheme. The simulations and the real-time experiments show that satisfactory results have been obtained for the modelling of the three-phase four-leg VSI in *abc* reference frame and the 3-D SVPWM technique via  $4 \times 4$  orthonormal transformation matrix at 20 kHz switching frequency.

**Key words:** Three-phase four-leg, optimal control, voltage source converter, 3-D SVPWM, four-leg voltage source converter, transformation matrix

### 1. Introduction

Voltage source inverters (VSIs) are commonly used in industrial applications such as active power filters, uninterruptible power supply (UPS), pulse width modulation (PWM) rectifiers, and AC motor drives. Thyristors and topologies with transformers are used in the old technology for UPS applications, while IGBTs and topologies without transformers are used in the new technology [1]. Several studies exist for modelling and control of three-phase four-leg VSIs in the literature. A control strategy based on the symmetrical components has been discussed in [2]. The control vector calculated by the digital controller inside the dodecahedron defined by the boundaries of the inverter linear operating range in *abc* coordinates has been presented in [3]. A different approach for the three-phase four-leg VSI based on the separation of the control of the fourth leg from that of the other phases has been offered in [4]. A digital control strategy to control the whole system with a microcontroller based on a load current observer has been introduced in [5]. A study of synchronous rotating control strategy based on the decomposition sequence has been given [6]. A dynamic reference voltage hysteresis control scheme has been introduced in [7]. A control strategy based on disturbance observer reduced order and disturbance feed forward in the state space has been offered in [8]. The state-feedback-with-integral and repetitive control for a single-phase half-bridge UPS inverter [9], a model reference controller with a repetitive control action for a single-phase full-bridge UPS inverter [10], and the algorithm of digital multiple feedback control [11,12] are the

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methods existing in the literature for one phase VSIs. The optimal linear quadratic regulator (LQR) is used for controlling an interleaved boost converter [13]. Five different schemes for digital feedback control of the PWM inverter are proposed and compared through simulations and experiments in [14]. A three-phase VSI has been implemented topologically by using either a three-phase three-leg inverter with split DC link capacitors or a three-phase four-leg inverter. In this study, the topology corresponding to the power circuit used in the simulations and real-time applications of the three-phase four-leg VSI is the same as the one given in [15–18]. Space vector pulse width modulation (SVPWM) is carried out by using either 2-D SVPWM or 3-D SVPWM methods according to the topology [15,16,18–21]. Pulse width modulation algorithms can be used by making  $abc \rightarrow \alpha\beta$  coordinate transformation [16,18–20] or directly in  $abc$  coordinates [22,23]. All 3-D SVPWM available strategies using  $abc \rightarrow \alpha\beta 0$  transformation use a  $3 \times 3$   $T_{\alpha\beta 0}$  transformation matrix. However, phase-neutral voltages for three phases cannot be expressed independent of each other in terms of modulation indices since there are four modulation indices in a 4-leg inverter. Consequently, inverter output phase-neutral voltages are calculated by subtracting the neutral leg modulation index from the modulation index of each phase.

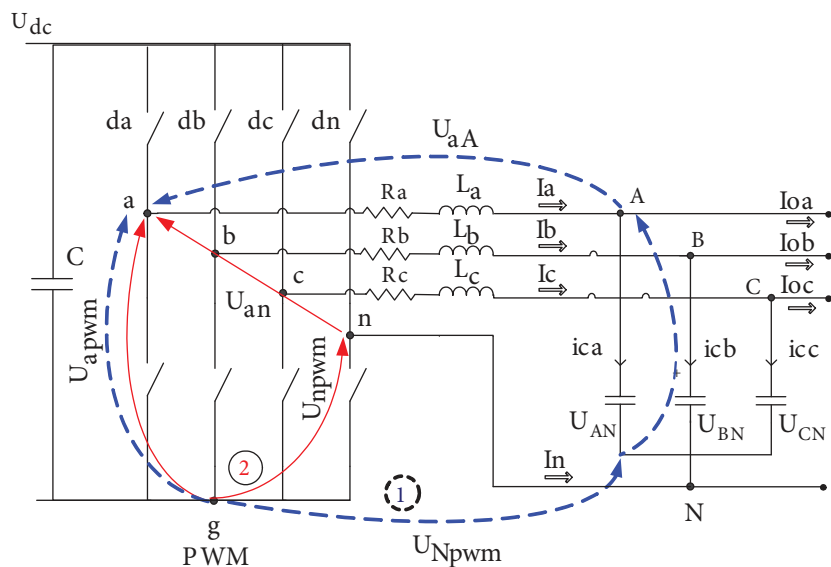
In this study,  $abcn \rightarrow \alpha\beta z$  transformation and  $4 \times 4$   $T_{\alpha\beta 0z}$  orthonormal transformation matrices are used as a new approach. Therefore, three-phase four-leg inverter output phase-neutral voltages can be defined independent of each other by using four modulation indices. Furthermore, switch states vector expressions  $\vec{V}_n$  for all  $3 \times 3$   $T_{\alpha\beta 0}$  transformation matrices used in the literature and the proposed  $4 \times 4$   $T_{\alpha\beta 0z}$  orthonormal transformation matrix have been provided in a table for 3-D SVPWM strategies.

**2. Modelling of VSI in  $abc$  coordinates system**

In this section, a continuous-time state space model of a three-phase four-leg VSI will be obtained first. Next, the full state feedback and feed forward integral controlled augmented discrete-time state space model will be given.

**2.1. Modelling of VSI**

Three-phase four-leg voltage source inverter topology with LC filter output is given in Figure 1.



**Figure 1.** Three-phase four-leg VSI topology.

In Figure 1, the loop and its variables corresponding only to *phase-a* are indicated in order to avoid confusion. If the KVL is applied to the loops 1 and 2, dynamic equations of the three-phase four-leg VSI are obtained as

$$\begin{aligned} U_{apwm}(t) &= L_a \frac{di_a(t)}{dt} + R_a i_a(t) + U_{AN}(t) + U_{Npwm}(t) \\ U_{bpwm}(t) &= L_b \frac{di_b(t)}{dt} + R_b i_b(t) + U_{BN}(t) + U_{Npwm}(t) \\ U_{cpwm}(t) &= L_c \frac{di_c(t)}{dt} + R_c i_c(t) + U_{CN}(t) + U_{Npwm}(t) \end{aligned} \quad (1)$$

and

$$\begin{aligned} U_{an}(t) &= U_{apwm}(t) - U_{npwm}(t) \\ U_{bn}(t) &= U_{bpwm}(t) - U_{npwm}(t) \\ U_{cn}(t) &= U_{cpwm}(t) - U_{npwm}(t) \end{aligned} \quad (2)$$

Let us assume the following circuit parameters:

$$L_a = L_b = L_c = L \text{ and } R_a = R_b = R_c = R \quad (3)$$

Continuous-time state equations in vector-matrix form for the three-phase four-leg VSI can be obtained by using Eqs. (1), (2), and (3). The result is shown in Eq. (4).

$$\begin{bmatrix} \frac{di_a(t)}{dt} \\ \frac{di_b(t)}{dt} \\ \frac{di_c(t)}{dt} \end{bmatrix} = - \begin{bmatrix} \frac{R}{L} & 0 & 0 \\ 0 & \frac{R}{L} & 0 \\ 0 & 0 & \frac{R}{L} \end{bmatrix} \begin{bmatrix} i_a(t) \\ i_b(t) \\ i_c(t) \end{bmatrix} + \begin{bmatrix} \frac{1}{L} & 0 & 0 \\ 0 & \frac{1}{L} & 0 \\ 0 & 0 & \frac{1}{L} \end{bmatrix} \begin{bmatrix} U_{an}(t) \\ U_{bn}(t) \\ U_{cn}(t) \end{bmatrix} - \begin{bmatrix} \frac{1}{L} & 0 & 0 \\ 0 & \frac{1}{L} & 0 \\ 0 & 0 & \frac{1}{L} \end{bmatrix} \begin{bmatrix} U_{AN}(t) \\ U_{BN}(t) \\ U_{CN}(t) \end{bmatrix} \quad (4)$$

If we apply KCL to the points A, B, and C in Figure 1, we get current for each phase as

$$\begin{aligned} i_a(t) &= i_{ca}(t) + i_{oa}(t) \\ i_b(t) &= i_{cb}(t) + i_{ob}(t) \\ i_c(t) &= i_{cc}(t) + i_{oc}(t) \end{aligned} \quad (5)$$

Rearranging equalities in Eq. (5) in terms of state variables (capacitor voltages) and writing the resulting expressions in vector-matrix form lead to

$$\begin{bmatrix} \frac{dU_{AN}(t)}{dt} \\ \frac{dU_{BN}(t)}{dt} \\ \frac{dU_{CN}(t)}{dt} \end{bmatrix} = \begin{bmatrix} \frac{1}{C} & 0 & 0 & 0 \\ 0 & \frac{1}{C} & 0 & 0 \\ 0 & 0 & \frac{1}{C} & 0 \end{bmatrix} \begin{bmatrix} i_a(t) \\ i_b(t) \\ i_c(t) \end{bmatrix} - \begin{bmatrix} \frac{1}{C} & 0 & 0 & 0 \\ 0 & \frac{1}{C} & 0 & 0 \\ 0 & 0 & \frac{1}{C} & 0 \end{bmatrix} \begin{bmatrix} i_{oa}(t) \\ i_{ob}(t) \\ i_{oc}(t) \end{bmatrix} \quad (6)$$

If Eqs. (4) and (6) are combined, continuous-time state equations in vector-matrix form for the three-phase four-leg VSI in the *abc* coordinate system are obtained as

$$\begin{bmatrix} \frac{di_a(t)}{dt} \\ \frac{di_b(t)}{dt} \\ \frac{di_c(t)}{dt} \\ \frac{dU_{AN}(t)}{dt} \\ \frac{dU_{BN}(t)}{dt} \\ \frac{dU_{CN}(t)}{dt} \end{bmatrix} = \begin{bmatrix} i_a(t) \\ i_b(t) \\ i_c(t) \\ U_{AN}(t) \\ U_{BN}(t) \\ U_{CN}(t) \end{bmatrix} + \begin{bmatrix} U_{an}(t) \\ U_{bn}(t) \\ U_{cn}(t) \end{bmatrix} + \begin{bmatrix} i_{oa}(t) \\ i_{ob}(t) \\ i_{oc}(t) \end{bmatrix} \quad (7)$$

$U_{an}(t), U_{bn}(t), U_{cn}(t)$  are line-to-neutral output voltages and  $[d_a(t), d_b(t), d_c(t), d_n(t)]$  are the modulation indices for the respective legs. Then we can write

$$\begin{bmatrix} U_{an}(t) \\ U_{bn}(t) \\ U_{cn}(t) \end{bmatrix} = U_{dc} \begin{bmatrix} d_a(t) - d_n(t) \\ d_b(t) - d_n(t) \\ d_c(t) - d_n(t) \end{bmatrix} = U_{dc} \begin{bmatrix} d_{an}(t) \\ d_{bn}(t) \\ d_{cn}(t) \end{bmatrix} = \begin{bmatrix} U_{apwm}(t) \\ U_{bpwm}(t) \\ U_{cpwm}(t) \end{bmatrix} \quad d_{abcn} \in (0, 1) \quad (8)$$

Close examination of Eq. (7) reveals that expressions for phases are decoupled. For this reason, a phase can be modelled independent of the others. For example, for *phase-a* we have

$$\begin{bmatrix} \frac{di_a(t)}{dt} \\ \frac{dU_{AN}(t)}{dt} \end{bmatrix} = \begin{bmatrix} -\frac{R}{L} & -\frac{1}{L} \\ \frac{1}{C} & 0 \end{bmatrix} \begin{bmatrix} i_a(t) \\ U_{AN}(t) \end{bmatrix} + \frac{1}{L} \begin{bmatrix} 1 \\ 0 \end{bmatrix} U_{apwm}(t) - \frac{1}{C} \begin{bmatrix} 0 \\ 1 \end{bmatrix} i_{oa}(t) \quad (9)$$

$$y_a(t) = \begin{bmatrix} 0 & 1 \end{bmatrix} \begin{bmatrix} i_a(t) \\ U_{AN}(t) \end{bmatrix} \quad (10)$$

### 2.2. Discretization of the model of VSI

The discrete-time state space model of the system described by  $\frac{dx(t)}{dt} = Ax(t) + Bu(t)$  and  $y(t) = Cx(t)$  equations is

$$x(k+1) = Gx(k) + Hu(k), \text{ where } G = e^{AT}, H = \left[ \int_0^T e^{A(t-\tau)} d\tau \right] B \quad (11)$$

$$y(k) = Cx(k) \text{ with } (k) \in R^n, u(k) \in R^m, \quad (12)$$

where  $x(k)$  and  $u(k)$  are the discrete-time state and control vectors, and  $T$  is sampling interval. The full state feedback and feed forward integral controlled augmented discrete-time state space model is given in Figure 2.

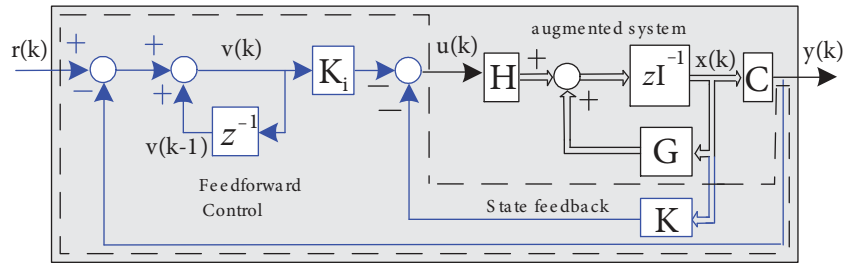


Figure 2. Augmented discrete-time model of a system.

Let the integral output  $v(k)$  be the new state variable. Then

$$v(k+1) = -CGx(k) + v(k) - CHu(k) \quad (13)$$

The augmented discrete-time state space model for the new full state feedback and feed forward controlled system becomes

$$\begin{bmatrix} \mathbf{x}(k+1) \\ \mathbf{v}(k+1) \end{bmatrix} = \begin{bmatrix} \mathbf{G} & \mathbf{0} \\ -\mathbf{CG} & \mathbf{1} \end{bmatrix} \begin{bmatrix} \mathbf{x}(k) \\ \mathbf{v}(k) \end{bmatrix} + \begin{bmatrix} \mathbf{H} \\ -\mathbf{CH} \end{bmatrix} \mathbf{u}(k) \quad (14)$$

$$\mathbf{u}(k) = \begin{bmatrix} \mathbf{K} & \mathbf{K}_i \end{bmatrix} \begin{bmatrix} \mathbf{x}(k) \\ \mathbf{v}(k) \end{bmatrix} \quad (15)$$

The augmented discrete-time state space model of a three-phase four-leg VSI inverter for *phase-a* obtained by using Eqs. (14) and (15) is given by

$$\begin{bmatrix} \mathbf{i}_a(k+1) \\ \mathbf{U}_{NA}(k+1) \\ \mathbf{v}(k+1) \end{bmatrix} = \begin{bmatrix} 0.8868 & -0.040 & 0 \\ 4.8001 & 0.8980 & 0 \\ -4.8001 & -0.8980 & 1 \end{bmatrix} \begin{bmatrix} \mathbf{i}_a(k) \\ \mathbf{U}_{NA}(k) \\ \mathbf{v}(k) \end{bmatrix} + \begin{bmatrix} 0.040 \\ 0.102 \\ -0.102 \end{bmatrix} \mathbf{U}_{apwm}(k) \quad (16)$$

$$\mathbf{y}(k) = \begin{bmatrix} 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{i}_a(k) \\ \mathbf{U}_{NA}(k) \\ \mathbf{v}(k) \end{bmatrix}, \quad (17)$$

where  $R = R_{abc} = 0.28\Omega$ ,  $L = L_{abc} = 1.25mH$ ,  $\omega = 2\pi 50rad/s$ ,  $T = 50\mu s$ , and  $i_{oa}(k) = 0A$

### 3. Discrete time optimal state feedback control of VSI

The three-phase four-leg VSI is completely controllable and all of the states are available for direct measurement. If the system is considered as completely state controllable, then roots of the characteristic equation may be assigned at any desired location by means of state feedback through an appropriate state feedback gain matrix [24]. If the controlled system has no integrator, the basic principle of the design of a type 1 servo system is to insert an integrator in the feed-forward path between the error comparator and the plant.

The three-phase four-leg VSI modelled in *abc* reference frame with full state feedback and integral control in discrete-time is given below.

From Figure 3, for *phase-a* the control signal  $u(k) = u_{apwm}(k)$  is given by

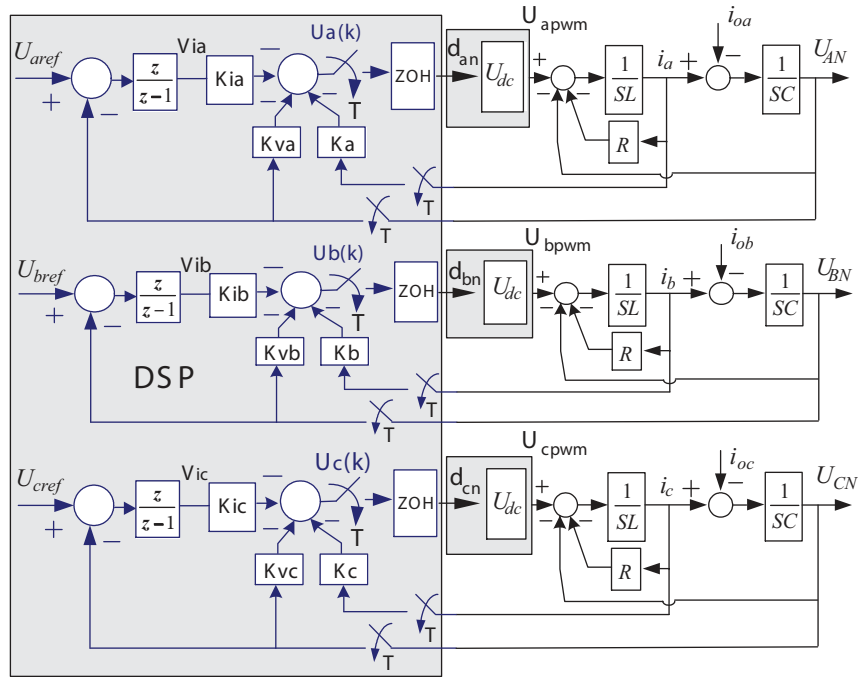


Figure 3. Full state feedback and integral control in discrete time.

$$\mathbf{u}(k) = - \begin{bmatrix} \mathbf{K}_a & \mathbf{K}_{va} & -\mathbf{K}_{ia} \end{bmatrix} \begin{bmatrix} \mathbf{i}_a(k) \\ \mathbf{U}_{NA}(k) \\ \mathbf{V}_{ia}(k) \end{bmatrix} \quad (18)$$

The discrete-time state space model of the full state feedback and feed forward integral controlled system is obtained from Eqs. (16) and (18) as

$$\begin{bmatrix} \mathbf{i}_a(k+1) \\ \mathbf{U}_{NA}(k+1) \\ \mathbf{V}_{ia}(k+1) \end{bmatrix} = \begin{bmatrix} 0.8868 - 0.04\mathbf{K}_a & -0.040 - 0.04\mathbf{K}_{va} & 0.040\mathbf{K}_{ia} \\ 4.8001 - 0.102\mathbf{K}_a & 0.898 - 0.102\mathbf{K}_{va} & 0.102\mathbf{K}_{ia} \\ -4.8001 + 0.102\mathbf{K}_a & -0.898 + 0.102\mathbf{K}_{va} & 1 - 0.102\mathbf{K}_{ia} \end{bmatrix} \begin{bmatrix} \mathbf{i}_a(k) \\ \mathbf{U}_{NA}(k) \\ \mathbf{V}_{ia}(k) \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \mathbf{r} \quad (19)$$

State feedback coefficients  $\begin{bmatrix} K_a & K_{va} & K_{ia} \end{bmatrix}$  are obtained by solving the discrete Riccati equation such that the following performance index is minimized:

$$J = \frac{1}{2} \sum_{k=0}^{k=\infty} \mathbf{x}(k)^T \mathbf{Q} \mathbf{x}(k) + \mathbf{u}(k)^T \mathbf{R} \mathbf{u}(k), \quad (20)$$

where  $R$  is a positive definite matrix and  $Q$  is a semipositive definite matrix.

#### 4. 3-D SVPWM via $4 \times 4$ transformation matrix

In this section, the proposed  $4 \times 4 T_{\beta\alpha 0z}$  orthonormal transformation matrix in the three-phase four-leg inverter for 3-D SVPWM strategies is given and the nonzero switching space vectors from which projection matrices

are determined are derived for the new matrix by using the topology in Figure 1 depending on the 16 switching cases. In the topology in Figure 1,  $V_{in}$  are inverter output phase-neutral voltages and  $d_i, (i = a, b, c, n)$  are modulation indices taking values in  $[0, 1]$ . Then unfiltered phase voltages are found as

$$\begin{matrix} V_{an} = V_{ag} - V_{ng} \\ V_{bn} = V_{bg} - V_{ng} \\ V_{cn} = V_{cg} - V_{ng} \end{matrix} \mathbf{V}_{ig} = \begin{bmatrix} \mathbf{V}_{ag} \\ \mathbf{V}_{bg} \\ \mathbf{V}_{cg} \\ \mathbf{V}_{ng} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \mathbf{d}_a \\ \mathbf{d}_b \\ \mathbf{d}_c \\ \mathbf{d}_n \end{bmatrix} \mathbf{u}_{dc} \quad (21)$$

$$\mathbf{V}_{in} = \begin{bmatrix} \mathbf{V}_{an} \\ \mathbf{V}_{bn} \\ \mathbf{V}_{bn} \\ \mathbf{V}_{nn} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{d}_a \\ \mathbf{d}_b \\ \mathbf{d}_c \\ \mathbf{d}_n \end{bmatrix} \mathbf{u}_{dc} \quad (22)$$

The place holder  $V_{nn}$  is defined to form a  $4 \times 4$  transform. As can be seen from the above  $\mathbf{V}_{ig}$  matrix in Eq. (21), the modulation indices of inverter legs are independent from each other. Since the matrix between  $\mathbf{V}_{in}$  and  $\mathbf{d}_i$  is  $3 \times 4$ , the classical  $3 \times 3 T_{\beta\alpha 0}$  transformation matrix for  $abc \rightarrow \alpha\beta 0$  transformation cannot be used. For this reason, the unfiltered phase voltages are obtained by using the following expression in studies in the literature:

$$\begin{bmatrix} \mathbf{V}_{an} \\ \mathbf{V}_{bn} \\ \mathbf{V}_{bn} \end{bmatrix} = \begin{bmatrix} \mathbf{d}_a - \mathbf{d}_n \\ \mathbf{d}_b - \mathbf{d}_n \\ \mathbf{d}_c - \mathbf{d}_n \end{bmatrix} \mathbf{u}_{dc} \quad (23)$$

Hence, modulation indices  $d_a, d_b, d_c$  are defined in terms of  $d_n$  and the  $3 \times 3 T_{\beta\alpha 0}$  transformation matrix can be used. As a new approach,  $abcn \rightarrow \alpha\beta 0z$  transformation and  $4 \times 4 T_{\alpha\beta 0z}$  orthonormal transformation matrix are defined and used, giving rise to independence of modulation indices from  $d_n$ . Details of the  $4 \times 4$  orthogonal transformation matrix can be found in [13,22]. The orthonormal transformation matrix  $T_{\beta\alpha 0z}$  is given as

$$\mathbf{T}_{\beta\alpha 0z} = \sqrt{\frac{2}{3}} \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} & 0 \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} & 0 \\ \frac{1}{2\sqrt{2}} & \frac{1}{2\sqrt{2}} & \frac{1}{2\sqrt{2}} & -\frac{3}{2\sqrt{2}} \\ \frac{\sqrt{3}}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} \end{bmatrix} \quad (24)$$

The  $T_{\beta\alpha 0z}$  matrix transforms phase-voltages space into an equivalent 3-degree of freedom (DOF) orthonormal output voltage space and  $V_z$  is defined as a placeholder for the loss of 1-DOF. The  $T_{\beta\alpha 0z}$  matrix is orthonormal and invertible, i.e.  $T_{\beta\alpha 0z} T_{\beta\alpha 0z}^T = I$ . Therefore, the row and column vectors form a basis for the 4-DOF leg-voltage space. Let  $V_{\beta\alpha 0z}$  and  $V_{abcn}$  be defined as

$$\mathbf{V}_{\beta\alpha 0z} = \left[ \mathbf{V}_\alpha \quad \mathbf{V}_\beta \quad \mathbf{V}_0 \quad \mathbf{V}_z \right]^T$$

$$\mathbf{V}_{abcn} = \begin{bmatrix} \mathbf{V}_{an} & \mathbf{V}_{bn} & \mathbf{V}_{cn} & \mathbf{V}_n \end{bmatrix}^T \quad (25)$$

Then  $abcn \rightarrow \alpha\beta 0z$  transformation is given below.

$$\mathbf{V}_{\beta\alpha 0z} = \mathbf{T}_{\beta\alpha 0z} \mathbf{V}_{abcn} = \sqrt{\frac{2}{3}} \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} & 0 \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} & 0 \\ \frac{1}{2\sqrt{2}} & \frac{1}{2\sqrt{2}} & \frac{1}{2\sqrt{2}} & -\frac{3}{2\sqrt{2}} \\ \frac{\sqrt{3}}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{d}_a \\ \mathbf{d}_b \\ \mathbf{d}_c \\ \mathbf{d}_n \end{bmatrix} \mathbf{u}_{dc} \quad (26)$$

Rearranging the above expression gives

$$\mathbf{V}_{\beta\alpha 0z} = \begin{bmatrix} \frac{1}{\sqrt{6}}(2\mathbf{d}_a - \mathbf{d}_b - \mathbf{d}_c) \\ \frac{1}{\sqrt{2}}(\mathbf{d}_b - \mathbf{d}_c) \\ \frac{1}{2\sqrt{3}}(\mathbf{d}_a + \mathbf{d}_b + \mathbf{d}_c - 3\mathbf{d}_n) \\ \frac{1}{2}(\mathbf{d}_a + \mathbf{d}_b + \mathbf{d}_c - \mathbf{d}_n) \end{bmatrix} \mathbf{u}_{dc} \quad (27)$$

$d_{\beta\alpha 0z}$  is defined as follows:

$$\begin{bmatrix} \mathbf{d}_\alpha \\ \mathbf{d}_\beta \\ \mathbf{d}_0 \\ \mathbf{d}_z \end{bmatrix} = \begin{bmatrix} 2 & -1 & -1 & 0 \\ 0 & 1 & -1 & 0 \\ 1 & 1 & 1 & -3 \\ 1 & 1 & 1 & -1 \end{bmatrix} \begin{bmatrix} \mathbf{d}_a \\ \mathbf{d}_b \\ \mathbf{d}_c \\ \mathbf{d}_n \end{bmatrix} \quad (28)$$

$V_{\alpha\beta 0z}$  transform expressions can be written in terms of modulation indices as

$$\begin{bmatrix} \mathbf{V}_\alpha \\ \mathbf{V}_\beta \\ \mathbf{V}_0 \\ \mathbf{V}_z \end{bmatrix} = \begin{bmatrix} \frac{1}{\sqrt{6}}\mathbf{d}_\alpha \\ \frac{1}{\sqrt{2}}\mathbf{d}_\beta \\ \frac{1}{2\sqrt{3}}\mathbf{d}_0 \\ \frac{1}{2}\mathbf{d}_z \end{bmatrix} \mathbf{u}_{dc} \quad (29)$$

Switching states vectors relative to switching state can be obtained from Eq. (29) in a compact form as

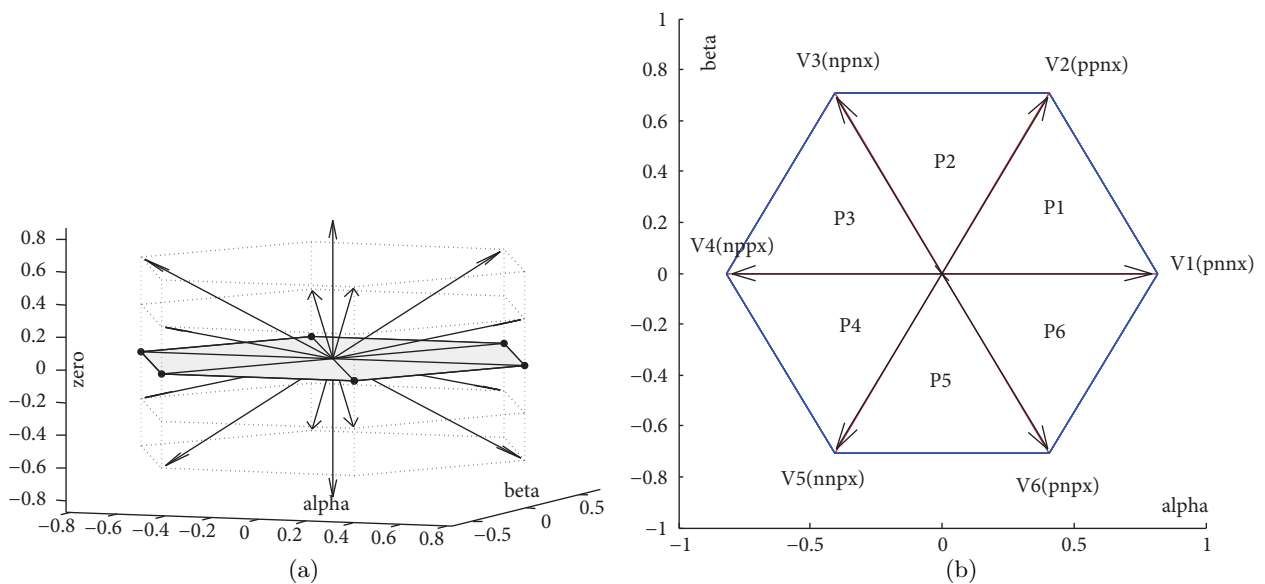
$$\vec{V}_n = \vec{i}V_\alpha + \vec{j}V_\beta + \vec{k}V_0, n = 0, 1, 2, \dots, 15 \quad (30)$$

Table 1 presents the switching combinations of  $d_{abcn}$ , their  $\alpha\beta$  transformations, and 16 switching states vectors. Space vectors corresponding to 16 switching cases given in Table 1 are depicted in Figure 4a as 3-D vectors on the  $\alpha\beta$  space.



**Table 1.** The switching combinations and switching states vectors.

$n$	$d_{abcn}$	$d_{\alpha\beta 0}$	$\vec{V}_n = \left( \vec{i} \frac{d_a}{\sqrt{6}} + \vec{j} \frac{d_b}{\sqrt{2}} + \vec{k} \frac{d_0}{2\sqrt{3}} \right) U_{dc}$
	$nnnn$	0 0 0	$\vec{V}_0 = 0$
1	$nnnp$	0 0 -3	$\vec{V}_1 = -\frac{\sqrt{3}\vec{k}}{2} U_{dc}$
2	$nnpn$	-1 -1 1	$\vec{V}_2 = \left( -\frac{\vec{i}}{\sqrt{6}} - \frac{\vec{j}}{\sqrt{2}} + \frac{\vec{k}}{2\sqrt{3}} \right) U_{dc}$
3	$nnpp$	-1 -1 -2	$\vec{V}_3 = \left( -\frac{\vec{i}}{\sqrt{6}} - \frac{\vec{j}}{\sqrt{2}} - \frac{\vec{k}}{\sqrt{3}} \right) U_{dc}$
4	$npnn$	-1 1 1	$\vec{V}_4 = \left( -\frac{\vec{i}}{\sqrt{6}} + \frac{\vec{j}}{\sqrt{2}} + \frac{\vec{k}}{2\sqrt{3}} \right) U_{dc}$
5	$npnp$	-1 1 -2	$\vec{V}_5 = \left( -\frac{\vec{i}}{\sqrt{6}} + \frac{\vec{j}}{\sqrt{2}} - \frac{\vec{k}}{\sqrt{3}} \right) U_{dc}$
6	$nppn$	-2 0 2	$\vec{V}_6 = \left( -\frac{\sqrt{2}\vec{i}}{\sqrt{3}} + \frac{\vec{k}}{\sqrt{3}} \right) U_{dc}$
7	$nppp$	-2 0 -1	$\vec{V}_7 = \left( -\frac{\sqrt{2}\vec{i}}{\sqrt{3}} - \frac{\vec{k}}{2\sqrt{3}} \right) U_{dc}$
8	$npnp$	2 0 1	$\vec{V}_8 = \left( \frac{\sqrt{2}\vec{i}}{\sqrt{3}} + \frac{\vec{k}}{2\sqrt{3}} \right) U_{dc}$
9	$pnnp$	2 0 -2	$\vec{V}_9 = \left( \frac{\sqrt{2}\vec{i}}{\sqrt{3}} - \frac{\vec{k}}{\sqrt{3}} \right) U_{dc}$
10	$pnpn$	1 -1 2	$\vec{V}_{10} = \left( -\frac{\vec{i}}{\sqrt{6}} - \frac{\vec{j}}{\sqrt{2}} + \frac{\vec{k}}{\sqrt{3}} \right) U_{dc}$
11	$pnpp$	1 -1 -1	$\vec{V}_{11} = \left( -\frac{\vec{i}}{\sqrt{6}} - \frac{\vec{j}}{\sqrt{2}} - \frac{\vec{k}}{2\sqrt{3}} \right) U_{dc}$
12	$ppnn$	1 1 2	$\vec{V}_{12} = \left( \frac{\vec{i}}{\sqrt{6}} + \frac{\vec{j}}{\sqrt{2}} + \frac{\vec{k}}{\sqrt{3}} \right) U_{dc}$
13	$ppnp$	1 1 -1	$\vec{V}_{13} = \left( \frac{\vec{i}}{\sqrt{6}} + \frac{\vec{j}}{\sqrt{2}} - \frac{\vec{k}}{2\sqrt{3}} \right) U_{dc}$
14	$pppn$	0 0 3	$\vec{V}_{14} = \frac{\sqrt{3}\vec{k}}{2} U_{dc}$
15	$pppp$	0 0 0	$\vec{V}_{15} = 0$



**Figure 4.** (a) Switching vectors in 3-D  $\alpha\beta$  space; (b) Prisms of P1-P6 on  $\alpha\beta$  plane.

When projected onto the  $\alpha\beta$  plane, switching state vectors would form a regular hexagon as given in Figure 4b.

$$d_i = \begin{cases} p & \text{Top switch on} \\ n & \text{Bottom switch on} \end{cases} \quad i = abc \quad (31)$$

**3-D SVPWM for four-leg VSI:** At any given instant, the phase voltages at the output of the four-leg VSI could be produced by an equivalent vector rotating in a 3-D space. Space vector modulation of the four-leg VSI involves identification of adjacent switching state vectors and calculation of duty cycles. Identification of adjacent vectors is a two-step process.

**1-Identification of the prism number:** The vectors space given in Figure 4a is divided into six triangular prisms (P1–P6) shown in Figure 4b. Let the reference vector voltage that produces the required phase voltage at the inverter output be in any prism as shown in Figure 5a. Then the algorithm used to determine the prism number is shown in Figure 5b [25].

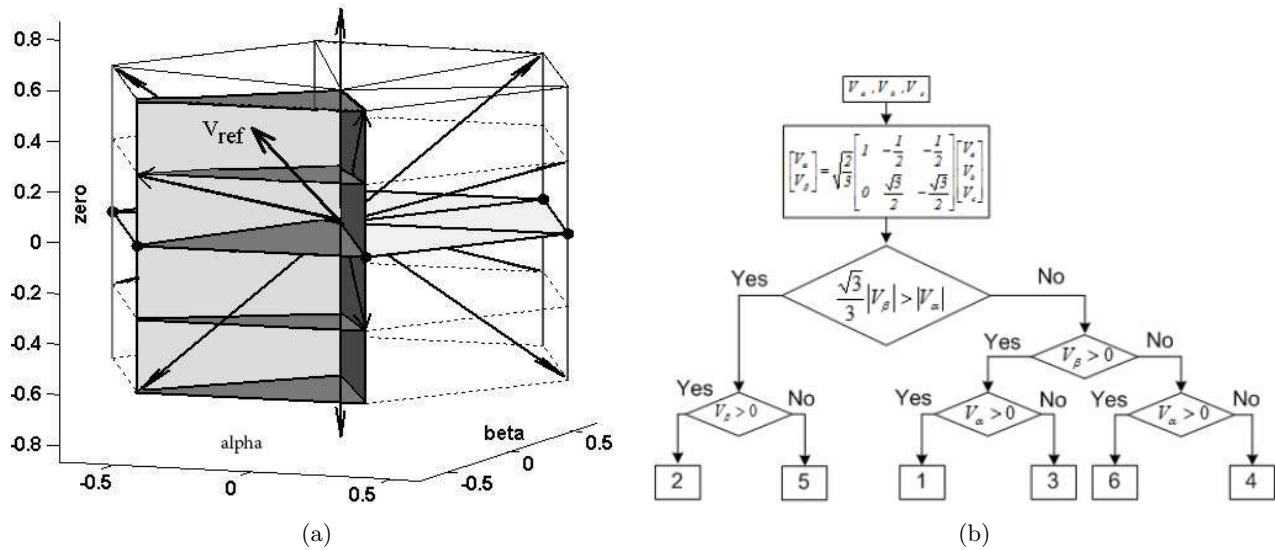


Figure 5. (a) Reference vector in prism I; (b) Identification of the prism number.

**2-Identification of the tetrahedron:** The second step is to determine the tetrahedron in which the reference vector is present. Each prism is divided into four tetrahedrons, leading to a total of 24 tetrahedrons. Each tetrahedron has three nonzero switching state vectors (NZSVs) and two zero switching state vectors (ZSVs). There is no mathematical expression for determining the tetrahedron containing the reference space vector in the  $\alpha\beta$  space. Fortunately, based on the voltage polarities of the reference vectors in  $abc$  coordinate  $\left[ \mathbf{V}_{aref} \ \mathbf{V}_{bref} \ \mathbf{V}_{cref} \right]^T$ , the tetrahedrons can be easily determined. Table 2 lists all possible polarities of the reference voltages (the required output voltage of inverter) to determine the tetrahedrons and the related three adjacent NZSVs.

**Table 2.** Identification of tetrahedrons.

Prisms	Tetrahedrons	Active Vectors	Condition
P1	T1	<i>ppnp, pnpn, pnnn</i>	$V_a > 0, V_b < 0, V_c < 0$
	T2	<i>pnnn, ppnn, ppnp</i>	$V_a > 0, V_b > 0, V_c < 0$
	T13	<i>nnnp, pnnp, ppnp</i>	$V_a < 0, V_b < 0, V_c < 0$
	T14	<i>pppn, ppnn, pnnn</i>	$V_a > 0, V_b > 0, V_c > 0$
P2	T3	<i>npnn, ppnn, ppnp</i>	$V_a > 0, V_b > 0, V_c < 0$
	T4	<i>ppnp, npnp, npnn</i>	$V_a < 0, V_b > 0, V_c < 0$
	T15	<i>nnnp, npnp, ppnp</i>	$V_a < 0, V_b < 0, V_c < 0$
	T16	<i>pppn, ppnn, npnn</i>	$V_a > 0, V_b > 0, V_c > 0$
P3	T5	<i>nppp, npnp, npnn</i>	$V_a < 0, V_b > 0, V_c < 0$
	T6	<i>npnn, nppn, nppp</i>	$V_a < 0, V_b > 0, V_c > 0$
	T17	<i>nnnp, npnp, nppp</i>	$V_a < 0, V_b < 0, V_c < 0$
	T18	<i>pppn, npnn, nppn</i>	$V_a > 0, V_b > 0, V_c > 0$
P4	T7	<i>nnpn, nppn, nppp</i>	$V_a < 0, V_b > 0, V_c > 0$
	T8	<i>nppp, nnpp, nnpn</i>	$V_a < 0, V_b < 0, V_c > 0$
	T19	<i>nnnp, nnpp, nppp</i>	$V_a < 0, V_b < 0, V_c < 0$
	T20	<i>pppn, nppn, nnpn</i>	$V_a > 0, V_b > 0, V_c > 0$
P5	T9	<i>pnpp, nnpp, nnpn</i>	$V_a < 0, V_b < 0, V_c > 0$
	T10	<i>nnpn, pnpn, pnpn</i>	$V_a > 0, V_b < 0, V_c > 0$
	T21	<i>nnnp, pnpp, nnpp</i>	$V_a < 0, V_b < 0, V_c < 0$
	T22	<i>pppn, nnpn, pnpn</i>	$V_a > 0, V_b > 0, V_c > 0$
P6	T11	<i>pnnn, pnpn, pnpn</i>	$V_a > 0, V_b < 0, V_c > 0$
	T12	<i>pnpn, pnpn, pnnn</i>	$V_a > 0, V_b < 0, V_c < 0$
	T23	<i>nnnp, pnnp, pnpn</i>	$V_a < 0, V_b < 0, V_c < 0$
	T24	<i>ppnp, pnnn, pnpn</i>	$V_a > 0, V_b > 0, V_c > 0$

**b) Calculation of duty cycles:** The reference vector  $V_{ref}$  corresponding to the active vectors related to the tetrahedron obtained in Table 2 is determined from Table 1 as

$$V_{ref} = \begin{bmatrix} V_{aref} & V_{bref} & V_{cref} \end{bmatrix}^T \tag{32}$$

Duty ratios of the switching vectors for this reference vector are given by

$$\begin{bmatrix} d_a \\ d_b \\ d_c \end{bmatrix} = \frac{1}{u_{dc}} \begin{bmatrix} \mathbf{V}_1 & \mathbf{V}_2 & \mathbf{V}_3 \end{bmatrix}^{-1} \begin{bmatrix} \mathbf{V}_{aref} \\ \mathbf{V}_{bref} \\ \mathbf{V}_{cref} \end{bmatrix} \tag{33}$$

$$d_n = 1 - d_a - d_b - d_c \tag{34}$$

Inverses of 24 projection matrices  $\begin{bmatrix} \mathbf{V}_1 & \mathbf{V}_2 & \mathbf{V}_3 \end{bmatrix}^{-1}$  related to the active vectors are calculated offline and a lookup table is constructed. Hence, matrix inversion is avoided in real-time applications.

As an example, let the reference vector  $\left[ \mathbf{V}_{\text{aref}} \quad \mathbf{V}_{\text{bref}} \quad \mathbf{V}_{\text{cref}} \right]^T$  be in Prism 2 and the corresponding tetrahedron be 4. From Table 2, the related switching vectors are

$$\mathbf{V}_1 = \text{ppnp}, \mathbf{V}_2 = \text{npnn}, \mathbf{V}_3 = \text{npnp},$$

$$\mathbf{V}_0 = [\text{pppp}, \text{nnnn}]$$

$\left[ \mathbf{V}_1 \quad \mathbf{V}_2 \quad \mathbf{V}_3 \right]^{-1}$  is obtained from Table 1 and the modulation indices are calculated by using the following expression:

$$\begin{bmatrix} \mathbf{d}_a \\ \mathbf{d}_b \\ \mathbf{d}_c \end{bmatrix} = \frac{\mathbf{1}}{\mathbf{u}_{\text{dc}}} \begin{bmatrix} \frac{1}{\sqrt{6}} & -\frac{1}{2\sqrt{6}} & \frac{1}{\sqrt{6}} \\ \frac{1}{\sqrt{2}} & \frac{1}{2\sqrt{2}} & 0 \\ -\frac{1}{\sqrt{3}} & \frac{1}{4\sqrt{3}} & \frac{1}{2\sqrt{3}} \end{bmatrix}^{-1} \begin{bmatrix} \mathbf{V}_{\text{aref}} \\ \mathbf{V}_{\text{bref}} \\ \mathbf{V}_{\text{cref}} \end{bmatrix} \quad (35)$$

In summary, time durations of the selected switching vectors are calculated by using  $4 \times 4 T_{\alpha\beta 0z}$  orthonormal transformation matrices as a new approach for 3-D SVPWM strategies. For a different reference vector  $V_{ref}$  the related prism is determined first similarly from Figure 5b. Then the corresponding tetrahedron containing  $V_{ref}$  and active switching vectors are obtained with the help of Table 2. Finally, projection matrices are determined from Table 1 and modulation indices are calculated by using Eqs. (33) and (34). Once the active vectors are determined and the duty cycles calculated, the degrees of freedom are the choice of the zero of *nnnn* or *pppp* or both, sequencing of the active vectors and splitting of the duty cycles of the vectors without introducing additional commutations.

### 5. Simulations and experimental results

Simulations and real-time applications consist of two parts. In the first part, general expressions of the proposed transformation matrix  $T_{\alpha\beta 0z}$  and all the other classical transformation matrices  $T_{\alpha\beta 0}$  used in the literature and the  $\vec{V}_n$  switching states vector obtained for each transformation matrix are given. Transformation matrix and switching states vector general expressions are shown in Table 3 in the  $T$  and  $\vec{V}_n$  arrows, respectively. On time upper switches  $T_a, T_b, T_c, T_z$  were calculated in MATLAB for balanced linear/unbalanced nonlinear three-phase voltage signals by using each transformation matrix and the same 3-D SVPWM algorithm. Phase-neutral voltages were obtained again by synthesizing  $T_a, T_b, T_c, T_z$  with DC-line voltage  $V_{dc}$  and the results were compared.  $T_a, T_b, T_c, T_z$  and  $V_{an}, V_{bn}, V_{cn}$ , voltage changes obtained from the simulations are given in Table 3.

As can be seen from the results in Table 3, even though the switch states vector  $\vec{V}_n$  expressions are different for each transformation matrix,  $T_a, T_b, T_c, T_z$  and  $V_{an}, V_{bn}, V_{cn}$  calculated by the proposed transformation matrix and the other classical transformation matrices are the same. Simulation results prove the validity of the proposed  $4 \times 4 T_{\alpha\beta 0z}$  transformation matrix for 3-D SVPWM strategies with respect to the other algorithms. Furthermore, it can also be applied to a three-phase four-leg VSI. The second study is the real-time application of 3-D SVPWM with the optimal digital control rule in three-phase four-leg in the VSI using the proposed  $4 \times 4 T_{\alpha\beta 0z}$  orthonormal transformation matrix. The 3-D SVPWM scheme and the optimal digital control described above were implemented on a 32-bit 150 MHz digital signal processor (DSP) TMS320F2810 in order to demonstrate the capability of the proposed method experimentally. The circuit diagram for the measurement, control, and experiment is given in Figure 6. A single discrete insulated gate bipolar transistor (IGBT) IXDH

**Table 3.** Verification of the proposed transformation matrix for linear and nonlinear power source voltages with simulation study.

$T$	$\sqrt{\frac{2}{3}} \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{bmatrix}$	$\frac{2}{3} \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \end{bmatrix}$	$\frac{2}{3} \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{bmatrix}$	$\sqrt{\frac{2}{3}} \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} & 0 \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} & 0 \\ \frac{1}{2\sqrt{2}} & \frac{1}{2\sqrt{2}} & \frac{1}{2\sqrt{2}} & -\frac{3}{2\sqrt{2}} \\ \frac{\sqrt{3}}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} \end{bmatrix}$
$\vec{V}_n$	$\sqrt{\frac{3}{2}} \left( \vec{i} \frac{d_\alpha}{3} + \vec{j} \frac{d_\beta}{\sqrt{3}} + \vec{k} \frac{\sqrt{2}d_0}{3} \right) U_{dc}$	$\left( \vec{i} \frac{d_\alpha}{3} + \vec{j} \frac{d_\beta}{\sqrt{3}} + \vec{k} \frac{d_0}{3} \right) U_{dc}$	$\left( \vec{i} \frac{2d_\alpha}{3} + \vec{j} \frac{d_\beta}{\sqrt{3}} + \vec{k} \frac{\sqrt{2}d_0}{3} \right) U_{dc}$	$\sqrt{\frac{3}{2}} \left( \vec{i} \frac{d_\alpha}{3} + \vec{j} \frac{d_\beta}{\sqrt{3}} + \vec{k} \frac{d_0}{3\sqrt{2}} \right) U_{dc}$
	$V_a(t) = 60 \sin(\omega t)$ $V_b(t) = 60 \sin(\omega t - 2.0944)$ $V_c(t) = 60 \sin(\omega t - 4.1818)$		$V_a(t) = 50 \sin(\omega t) + 1.5 \sin(7\omega t - 2.32) + 3 \sin(11\omega t - 1.47)$ $+ 2 \sin(13\omega t + 1.31) + 0.8 \sin(17\omega t + 2.16) + 0.4 \sin(19\omega t + 1.0)$ $V_b(t) = 50 \sin(\omega t - 2.0944) + 1.5 \sin(\omega t - 1.16)$ $V_c(t) = 30 \sin(\omega t - 4.1818)$	
$V_{an}$ $V_{bn}$ $V_{cn}$				
$T_a$ $T_b$ $T_c$ $T_z$				

30N120D1 was used as the power switching element. In the designed three-phase four-leg VSI, the inductance parameters are  $R = R_{abc} = 0.28\Omega$ ,  $L = L_{abc} = 1.25mH$  and the capacitance value of each output capacitor is  $C = C_{abc} = 10\mu F$ . DC-line voltage is  $V_{dc} = \sqrt{2}220V$  and the value of the capacitor connected to the DC-line is  $C_{dc} = 1410\mu F$ . The sampling and inverter switching frequency is  $f_s = 20Khz$ .

Load states, the chosen  $\mathbf{Q}$ ,  $\mathbf{R}$  matrices for the performance index in Eq. (20), and the state feedback coefficients calculated with respect to these matrices are given in Table 4 whether S1 and S2 switches are open or closed. Figure 6a shows the digital optimal control, hardware, and DSP used in real-time 3-D SVPWM application. The control diagram given in Figure 3 was used for all load conditions in simulations.

Finally, the simplified general control flow diagram implemented in real time is illustrated in Figure 6b. Real-time balanced linear and unbalanced nonlinear load currents sampled at  $f_s = 20kHz$  were used for the

disturbance input signals  $I_{oa}, I_{ob}, I_{oc}$  shown in Figure 3 in all simulations. Waveforms of optimal digital control and 3-D SVPWM for real-time applications and simulations are given in Figure 7 with  $S1 = on, S2 = off$  in the case of balanced linear load.

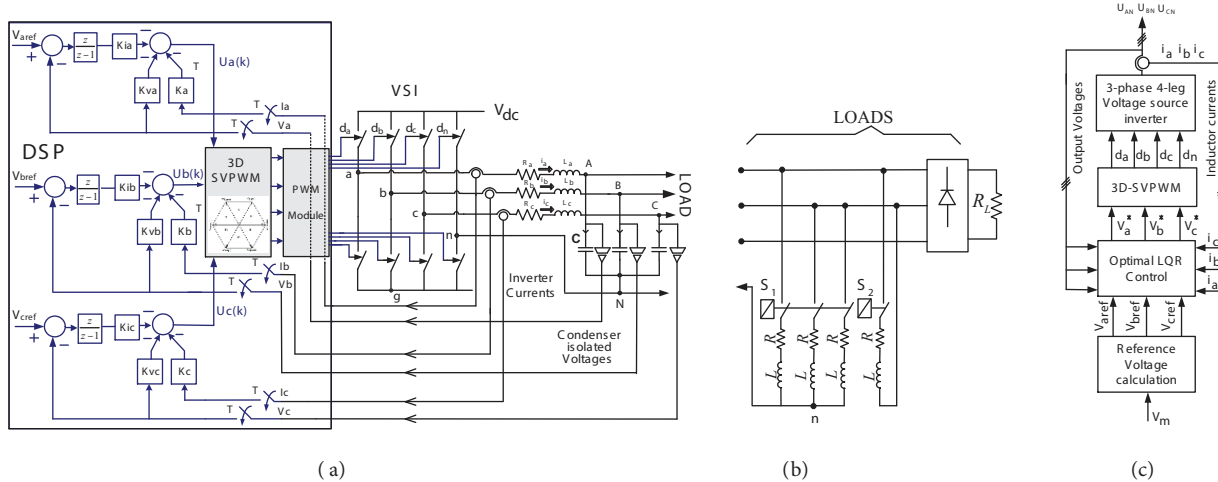


Figure 6. (a) DSP and VSI in real-time application; (b) Loads; (c) Real-time simplified control algorithm.

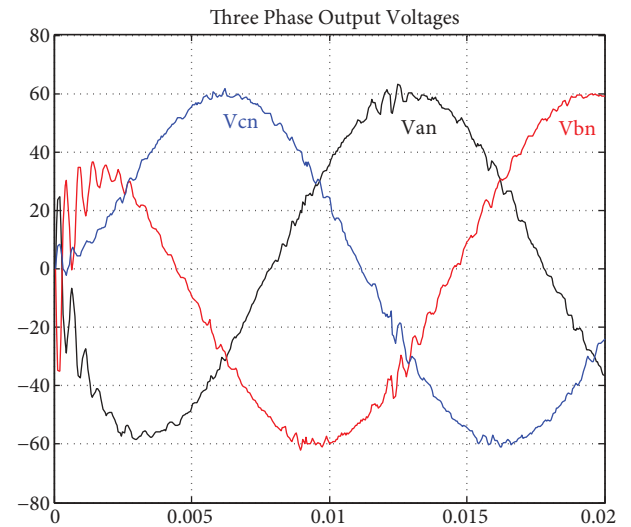
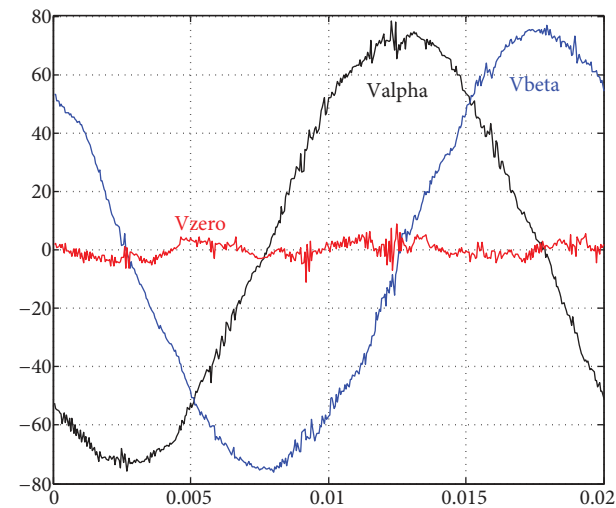
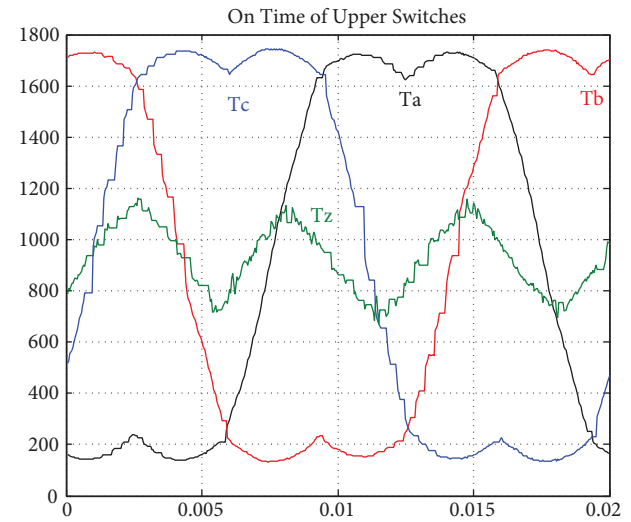
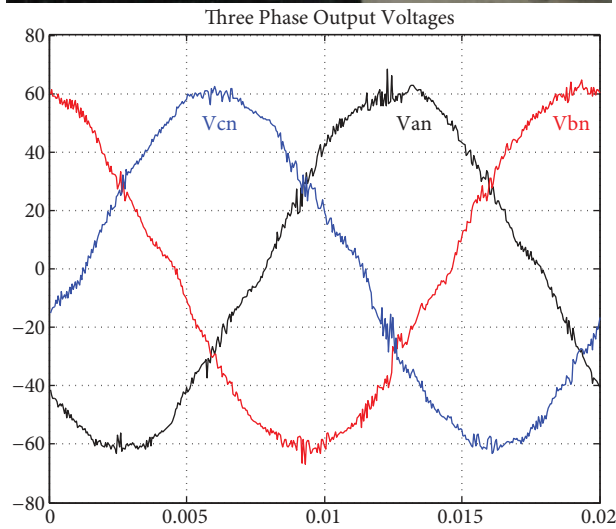
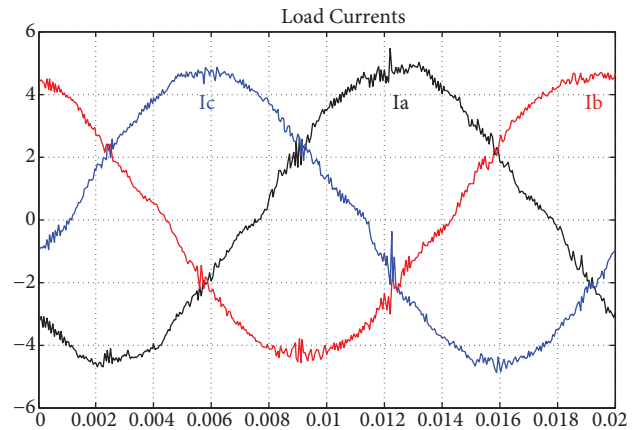
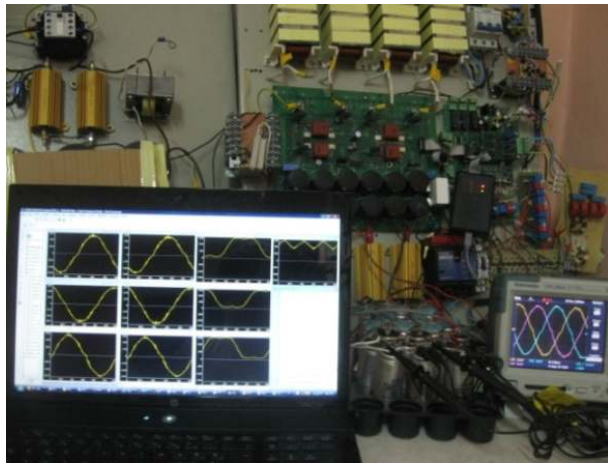
Table 4. Coefficients used in real-time application.

S1 S2	Load condition
on off	Balanced linear load
off on	Unbalanced nonlinear load
$Q = \begin{bmatrix} 0.01 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 0.01 \end{bmatrix}, R = [2]$	
$K_a = K_b = K_c$	6.09631
$K_{va} = K_{vb} = K_{vc}$	0.88249
$K_{ia} = K_{ib} = K_{ic}$	0.08739

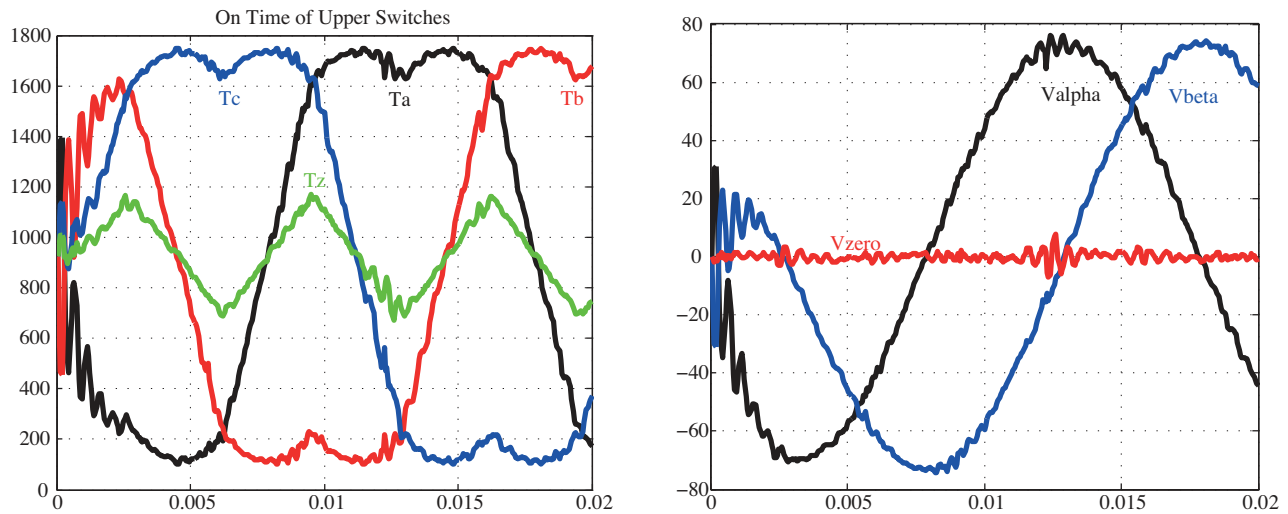
Figure 7b shows the real-time balanced linear load currents. These load currents were used as disturbance inputs in simulations enabling comparison with real-time experiments under the same conditions. Real-time balanced linear load currents sampled at  $f_s = 20Khz$  were used for the disturbance input signals.

In real-time application, three phase source voltages  $V_{an}, V_{bn}, V_{cn}$  and linear/nonlinear load currents  $I_a, I_b, I_c$  were sampled with  $f_s = 20Khz$  sampling frequency. These samples for each phase on time of upper switches  $T_a, T_b, T_c, T_z$  obtained by 3-D SVPWM and three-phase four-leg  $V_{\alpha\beta 0}$  voltages calculated by using  $T_{\beta\alpha 0z}$  transformation matrix were stored for one period in DSP RAM. Then they were used to generate in Figures 7b–7e and Figures 8b–8d given below.

The y-axis numbers seen in the waveforms in Figures 7d and 7g indicate the numbers loaded to the corresponding compare register in the PWM module of the DSP architecture to generate upper switches on times obtained by the 3-D SVPWM algorithm. Figure 8 illustrates waveforms of the digital optimal control and 3-D SVPWM algorithms for real-time applications and simulations with  $S1 = off, S2 = on$  in the case of unbalanced nonlinear load.



**Figure 7.** Real-time (a) Experimental setup; (b) Balanced linear load currents; (c) Phase-neutral voltages of the three-phase four-leg VSI; (d) On time of upper switches of the three-phase four-leg VSI; (e) Three-phase four-leg  $V_{\alpha\beta 0}$  voltages. Simulation (f) Phase- neutral voltages of the three-phase four-leg VSI.

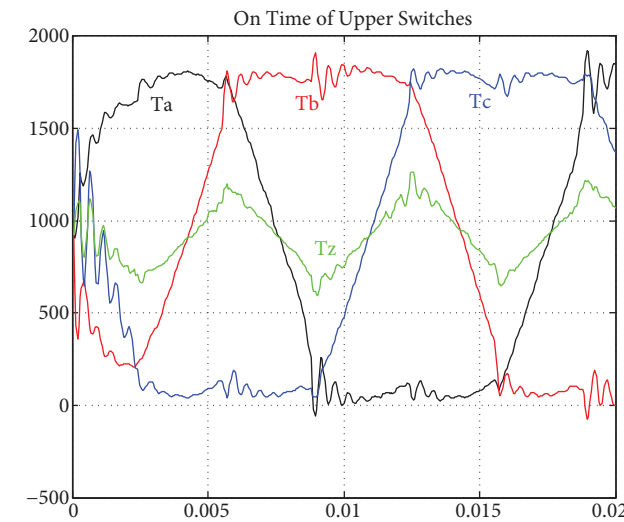
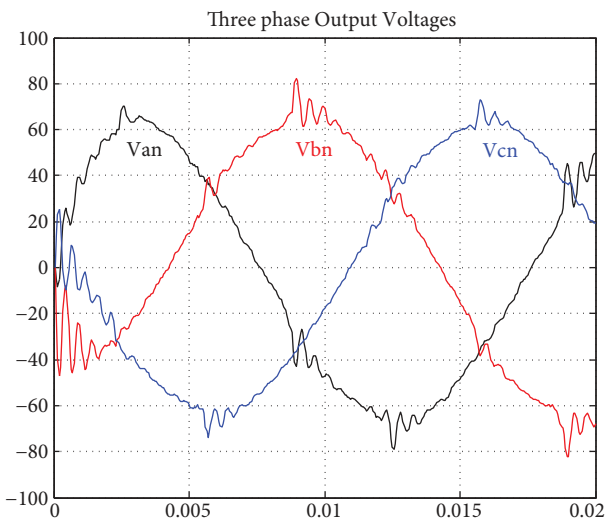
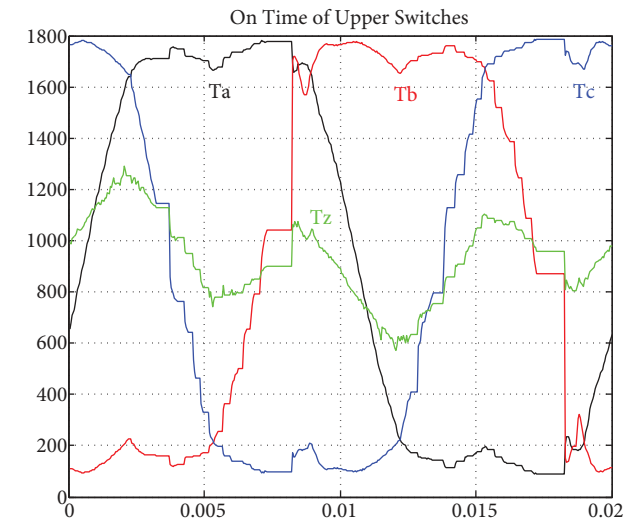
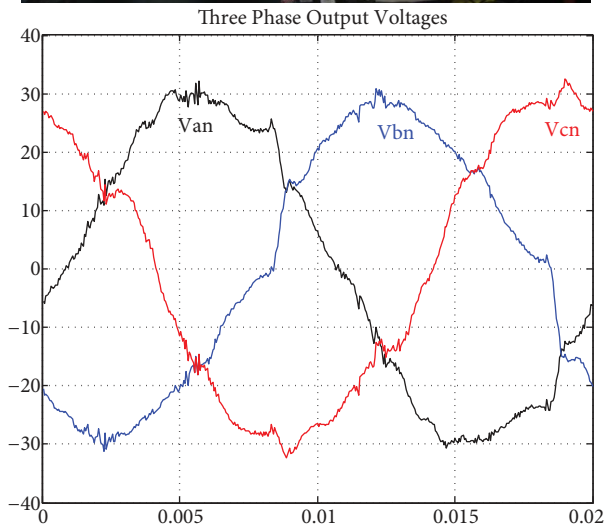
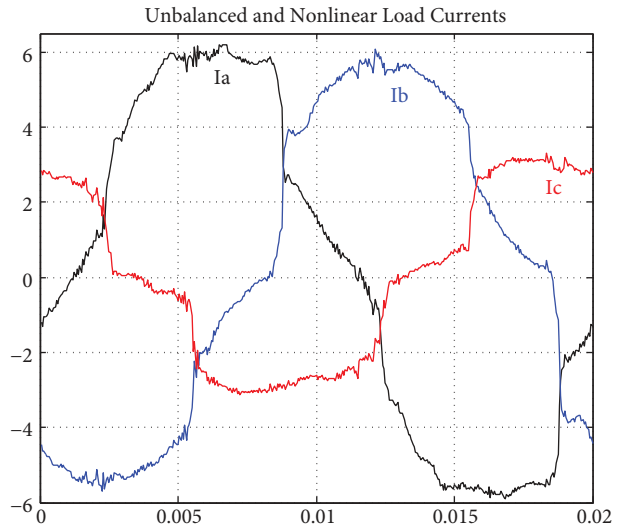
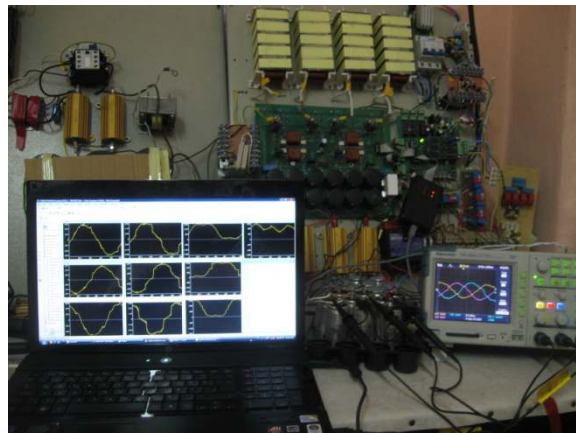


**Figure 7.** Real-time (g) on time of upper switches of the three-phase four-leg VSI; (h) Three-phase four-leg  $V_{\alpha\beta}$  voltages.

Nonlinear unbalanced load in this mode contains two different loads. The first one, balanced nonlinear load, consists of a three-phase diode bridge rectifier dc load and the second was formed from a one-phase linear load connected between *phase-a* and *phase-b*. The load between the *phases-a* and *phases-b* represents one of the worst conditions under which performances of the optimal digital control and 3-D SVPWM algorithms were observed. This study considers modelling the three-phase four-leg VSI in the *abc* coordinate system, discrete-time optimal controller design, full state space feedback control of VSI, and implementation of 3-D SVPWM via  $4 \times 4 T_{\alpha\beta 0z}$  transformation matrix. It is possible to infer the following from the real-time experimental and simulation results:

1. The model of the three-phase four-leg VSI obtained in the *abc* coordinate system can be seen to be valid from examining waveforms for real-time and simulations given in Figures 7c and 7f belonging to phase-neutral voltages for balanced sinusoidal load currents. AC signals are used directly for measurement and control since three-phase four-leg VSI modelling is carried out in the *abc* coordinate system.
2. Digital optimal controller was applied successfully in the *abc* coordinate system for the above-mentioned different load types. Modelling can be done based on dq0 reference for both modes and better results in terms of control performance can be obtained by working with DC signals after transformations.
3. That  $4 \times 4 T_{\alpha\beta 0z}$  orthonormal transformation matrix and all classical  $3 \times 3 T_{\alpha\beta 0}$  transformation matrices give the same results for 3-D SVPWM strategies was shown in Table 3 via simulation results obtained by using MATLAB.
4. Real-time experimental and simulation results indicate successful application of  $T_{\alpha\beta 0z}$  transformation matrix 3-D SVPWM algorithms for both three-phase balanced linear and unbalanced nonlinear loads. High frequency signal components can be removed by either changing the filter structures at the output of the inverter or increasing the filter order.





**Figure 8.** Real-time (a) Experimental setup; (b) Unbalanced nonlinear load currents; (c) Phase-neutral voltages of the three-phase four-leg VSI; (d) On time of upper switches. Simulation (e) Phase-neutral voltages of the three-phase four-leg VSI; (f) On time of upper switches.

## 6. Conclusions

This paper presents a three-phase four-leg voltage source inverter, DSP-based digital optimal controller with full state feedback, and 3-D SVPWM where the  $4 \times 4 T_{\alpha\beta 0z}$  orthonormal transformation matrix is used. For 20 kHz sampling and inverter switching frequency, measurement, evolution, digital optimal control signal generation, implementation of 3-D SVPWM algorithm, and realizing on time of upper IGBTs were carried out successfully for sinusoidal three-phase four leg VSI in real-time application. Furthermore, simulation results are obtained using the new approach and all the other classical  $3 \times 3$  transformation matrices used in the literature are given in Table 3. The accuracy of the proposed  $4 \times 4$  transformation matrix is verified with simulation and real-time studies for linear and nonlinear power source voltages. The verification results are given in Table 3 and Figures 7 and 8, respectively.

First, the proposed orthonormal transformation matrix-based switching combinations and switching states vectors were obtained and Table 1 was created. In this way, three-phase four-leg inverter output phase-neutral voltages are calculated independently of each other by using four modulation indices. Phase-neutral voltages were obtained by synthesizing the calculated on time of the upper insulated gate bipolar transistors (IGBTs) with DC-line voltage.

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