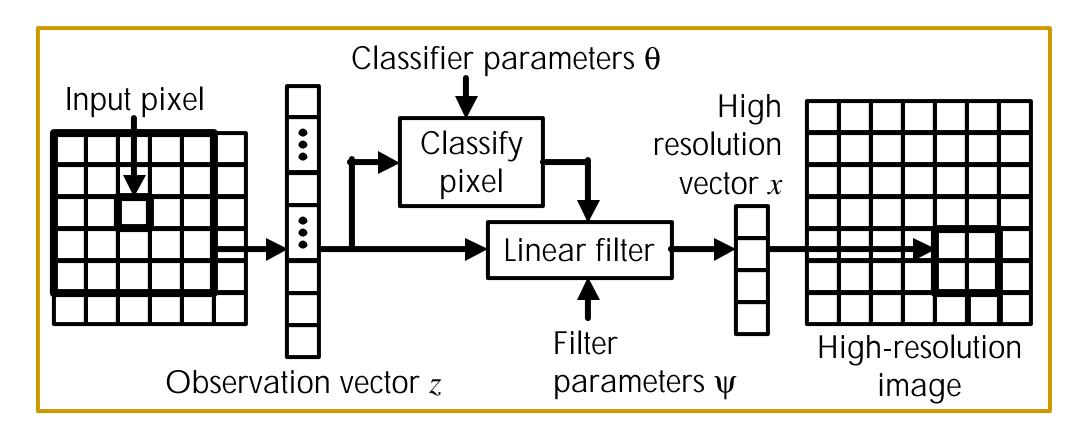
Optimal image scaling using pixel classification

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Overview: Resolution Synthesis

- Goal: MMSE estimate of high-resolution image, given low-resolution image
- Image scaling scheme: for each pixel,



- Stochastic model: assume pixels fall into classes
 - Edges of various orientations
 - Smooth gradients of various orientations
 - Flat regions
- Pixel classification \bullet
 - Uses feature vector y extracted from the observation vector z
 - Specified in an unsupervised clustering
- Analysis breaks model into two parts: \bullet
 - Classification: class membership in a Gaussian mixture model
 - Optimal prediction filters for each component in the classifier
- Estimate model parameters beforehand by training
 - An instance of the Expectation-Maximization (EM) algorithm
 - High-quality results even with images outside the training set

Prior work

- Regression tree (Atkins, Bouman and Allebach '99)
- Edge-directed methods (Allebach and Wong '96, Jensen and Anastassiou '95)
- B-spline class (Unser, Aldroubi and Eden '91, '95; Hou and Andrews '78)
- Maximum *a posteriori* estimation (Schultz and Stevenson '94)

Results for 4X image scaling



Pixel replication



Photoshop bicubic interpolation



Resolution Synthesis

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Optimal image scaling

- Pixel class: an unobservable discrete random variable J taking values in {1, ..., M}
 - Assume any information about pixel class is contained in a feature vector *Y* extracted from the observation vector *Z*
 - Formally, Y is a function of Z and $p_{J|Y}(j|y) = p_{J|Z,X}(j|z,x)$
 - This is a strong assumption, but it simplifies the analysis and enables better results
 - Assume distribution of Y is a Gaussian mixture

$$p_{Y}(y | \boldsymbol{q}) = \sum_{j=1}^{M} \frac{\boldsymbol{p}_{j}}{(2\boldsymbol{p}\boldsymbol{s}^{2})^{d/2}} \exp(\frac{-1}{2\boldsymbol{s}^{2}} \|\boldsymbol{y} - \boldsymbol{m}_{j}\|^{2})$$

where *d* is the dimension of *y*; we refer to $\boldsymbol{q} = \{\boldsymbol{m}_i, \boldsymbol{p}_i\}_{i=1}^{M}, \boldsymbol{s}$ as the "classifier parameters"

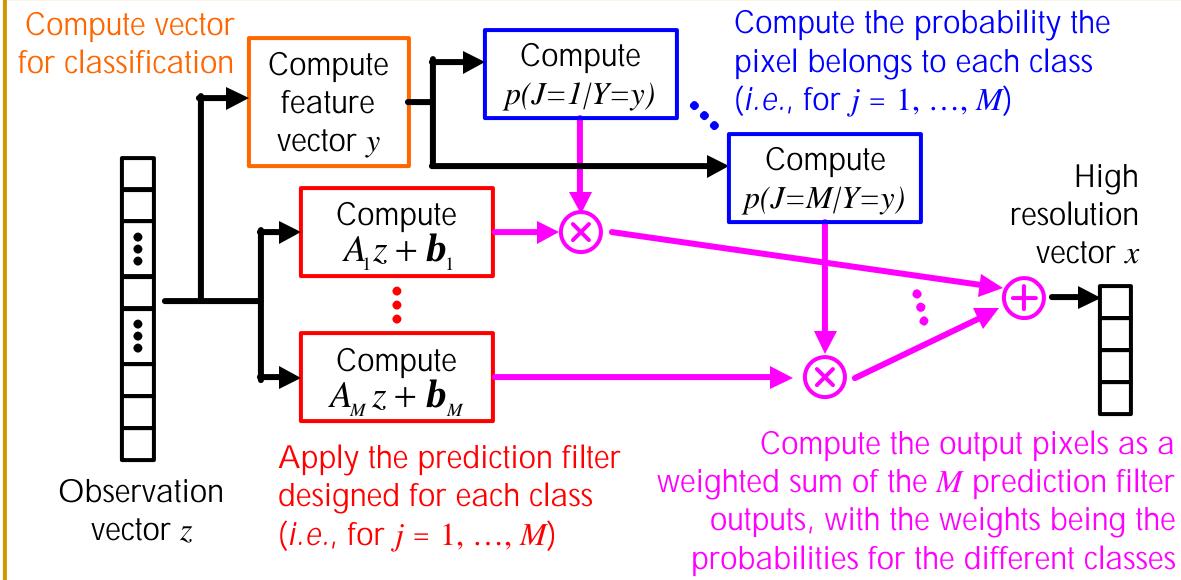
Assume distribution of X given Z and J is Gaussian with $\mathbf{E}[X \mid J, Z] = A_{I}Z + \boldsymbol{b}_{I}$

we refer to $\mathbf{y} = \{A_j, \mathbf{b}_j\}_{i=1}^{M}$ as the "filter parameters"

• Using the above assumptions, the MMSE estimate of X given Z is computed as

$$\hat{X} = \mathbf{E}[X | Z] = \sum_{j=1}^{M} \mathbf{E}[X | Z, J = j] p_{J|Z}(j | Z) = \sum_{j=1}^{M} (A_{j}Z + \boldsymbol{b}_{j}) p_{J|Y}(j | Z)$$
$$= \sum_{j=1}^{M} (A_{j}Z + \boldsymbol{b}_{j}) \frac{\exp(\frac{-1}{2\boldsymbol{s}^{2}} \|y - \boldsymbol{m}_{j}\|^{2}) \boldsymbol{p}_{j}}{\sum_{l=1}^{M} \exp(\frac{-1}{2\boldsymbol{s}^{2}} \|y - \boldsymbol{m}_{l}\|^{2}) \boldsymbol{p}_{l}}$$

• Detailed view of image scaling procedure:





Pixel replication



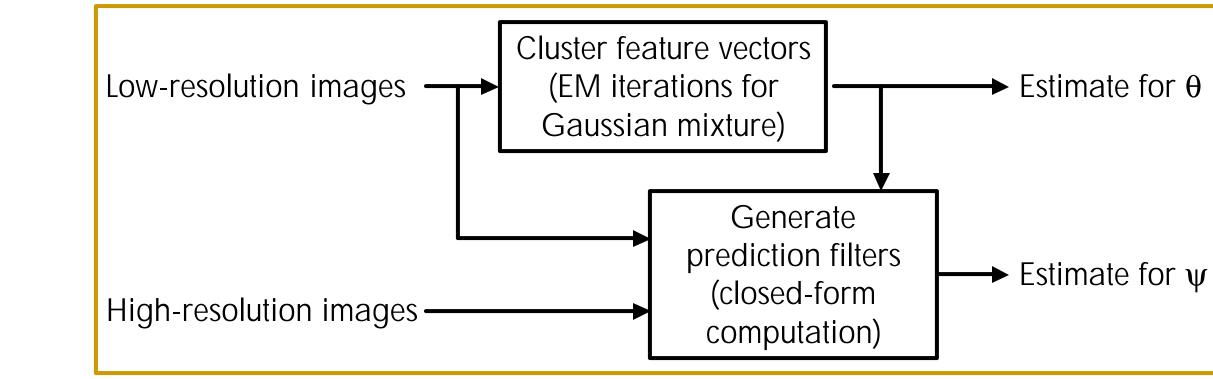
Photoshop bicubic interpolation



Resolution Synthesis

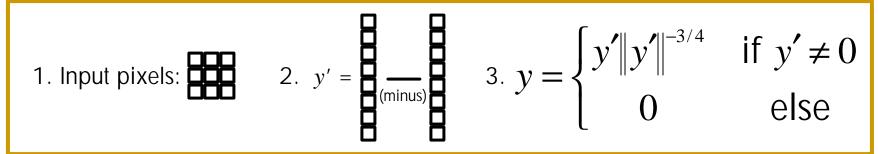
Estimating the predictor parameters

- Goal: estimate parameters θ and ψ from sample image pairs
 - To create a sample image pair, start with a high-resolution image, then block average by the desired scaling factor to create the corresponding low-resolution image
 - For built-in sharpening, can sharpen the high-resolution image
- Approach: maximum likelihood (ML)
 - Direct ML estimation is difficult since data is incomplete
 - Can only observe realizations of (Z,X)
 - The complete data would be (J,Z,X)
 - Solution: Expectation-Maximization (EM) algorithm
- Under our assumptions this can be achieved in a two-stage estimation



Feature vector used for pixel classification

- Formally a function of the observation vector
- Choice of feature vector significantly affects which classes are defined, and ultimately the overall results
- We use an 8-dimensional feature vector:
 - First, define vector y': subtract input pixel from 8 nearest neighbors
 - Feature vector y is computed by modifying the length of y'



Per-pixel RMSE computed from a random selection of monochrome images, with pixel values in [0,255], with gamma correction removed

image	RS	Photoshop bicubic	bilinear	Pixel replication
0	6.726	7.406	8.005	8.421
1	17.096	18.878	20.382	20.837
2	7.847	8.663	9.542	10.147
3	10.752	11.501	12.099	12.584
4	12.336	12.955	13.574	13.874

High vector x

