John Leavitt

Faryar Jabbari¹ e-mail: fjabbari@uci.edu

James E. Bobrow

Henry Samueli School of Engineering, Department of Mechanical and Aerospace Engineering, University of California, Irvine, CA 92697

Optimal Performance of Variable Stiffness Devices for Structural Control

This paper addresses control of structural vibrations using semi-active actuators that are capable of manipulating stiffness and/or producing variable stiffness. Usually vibration suppression is achieved using damping devices rather than stiffness ones. However, stiffness devices can produce large forces and have significant advantages for shock isolation purposes. In this work we use a passivity approach to establish the requirements for the control law for a structure equipped with semi-active stiffness devices. We also solve an optimal control problem that demonstrates that our passive, resetting feedback control law approximates the optimal control. Simulation and experimental results are presented in support of the proposed approach. [DOI: 10.1115/1.2432360]

1 1 Introduction

Recently, there has been a great deal of interest in actuation mechanisms that require low to negligible levels of power for operation. Although the applications can be varied, here we focus our discussion on structural control, where traditional control approaches often dictate actuator forces that do not meet typical cost or reliability requirements. This has lead to mechanisms that produce sizable forces through manipulating structural characteristics (e.g., damping and stiffness), based on relatively simple control logic. Often, with a slight abuse of notation, these are called seminactive devices due to their very low power consumption, often provided by compact batteries. Generically, the term semi-active often is used for devices that cannot add energy to the system.

By now, there is extensive literature showing the benefits of the 15 semi-active control approach. Although a comprehensive survey is 16 not feasible here, applications to structures and aerospace can be 17 found in [1,2], respectively, and applications to bridges and shock **18** absorbers are presented in [3,4]. Typical early devices were hy-19 draulic, but recent progress in electrorheological and magne-20 torheological material has lead to a variety of new semi-active 21 devices based on these materials, which essentially manipulate the 22 damping characteristics (see [5–8], and the references within for a 23 representative sample). Approaches that manipulate stiffness go 24 back to variable stiffness models used in [9], in the context of 25 variable structure control and quadratic stability, respectively, al-26 though the concept of semi-active (or low energy) was not present **27** in such early work. The concept of semi-active stiffness devices **28** was discussed in [8,10] and later in the work of [4,11–13] ([13] uses piezomaterial to develop variable stiffness devices that have 30 a great deal in common, conceptually, with devices discussed **31** here).

Roughly speaking, these devices act as additional stiffness elements that store energy during compression or elongation. By reducing the stiffness (e.g., opening a valve or releasing a locking mechanism), the stiffness is reduced suddenly, resulting a rapid loss of stored energy. The control logic is often aimed at finding suitable points for reducing the stiffness and then increasing it back to the high value. If the stiffness can be increased without energy input, the resulting device will meet the semi-active characterization.

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Here, we focus on a new class of semi-active devices, intro-41 duced in [11]. These stiffness devices are capable of producing 42 large resisting forces. The basic design is feasible for both pneu-43 matic and hydraulic implementation, thus offering a great deal of 44 reliability due to its dependence on standard hydraulic or pneu-45 matic concepts, particularly when compared to devices employing 46 novel materials. Naturally, it possesses the low power, semi-47 active, and decentralized properties that many of these devices 48 share. More importantly, in addition to the traditional variable 49 stiffness implementation, it can be used in a "resetting" arrangement that has many additional advantages (see [14] for some of 51 the benefits and advantages of the resetting devices, as compared 52 to other semi-active approaches).

Concepts similar to our resetting (originally developed in [11]) 54 have been proposed by others. In [15], a version of this approach 55 (though not necessarily the semi-active form) was studied, includ- 56 ing the homogeneity property, in which the nonlinear system re- 57 tains the same eigenvalues and eigenvectors. Similarly [2] consid- 58 ers an approach quite similar to our resetting approaches, but the 59 logic is not decentralized and often depends on the large dimen- 60 sional modal representations. Here, we discuss the resetting de- 61 vices and techniques of [11]. Basic properties, particularly for 62 structural applications, were reported in [16]. Recently, a bench- 63 mark problem was used for evaluation and comparison for differ- 64 ent semi-active approaches (see [17] and references therein). 65 More recently, large capacity devices have been developed (see 66 [18]), whose effectiveness and reliability have been verified 67 through full-scale testing [19]. While we review these results, 68 briefly, our main focus in this paper is to present analytical results 69 regarding the motivation (from optimal control) as well as stabil- 70 ity and performance measured (based on passivity arguments). We 71 thus avoid the often ad hoc (or strictly device based) approaches 72 used in much of the semi-active field. For example, our results can 73 easily accommodate the inevitable delays that are faced when the 74 stiffness is to be increased.

In Sec. 2, we provide a preliminary discussion on the basic 76 design. Section 3 deals with motivation from an optimal control 77 viewpoint. Next, for feedback operation in response to general 78 disturbances, we examine the properties of the device through a 79 passivity framework which naturally leads to a semi-active variable stiffness switching logic as well as a semi-active resetting 81 logic. Both of these are then generalized for a generic 82 multidegree-of-freedom (MDOF) structural model, preserving 83 their main properties, including the decentralized nature of the 84 overall approach. In Sec. 4, we show a set of representative ex-

¹Corresponding author.

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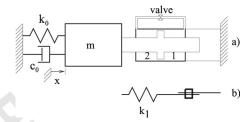


Fig. 1 Schematic representing the variable stiffness device.

86 perimental results regarding their characteristics, whereas in Sec.87 5 we show some simulation results about their feasibility in struc-88 tural applications.

89 2 Description of Hardware and Model

The main idea is to find a control law for a device that acts like a spring, whose stiffness can be manipulated in real time, without adding significant amounts of energy. As discussed throughout this paper, we are interested in two forms of control logic: (i) when the stiffness of the device can be switched between zero and the maximum value at appropriate times (i.e., variable stiffness form) or (ii) when the stiffness can be changed from the maximum value to zero and *immediately* increased back to the maximum value (i.e., resetable from).

The basic concept can be demonstrated in the schematic shown 100 in Fig. 1, where we show a simple mass-spring system connected to the proposed device, which is depicted as a double-acting cylinder with an external line that connects the chambers on sides 1 and 2 of the cylinder through an on/off, or a proportional valve. 104 When this valve is closed, motion of the piston compresses the gas, and as shown in [16], the force produced by the gas can be **106** closely approximated by a linear spring with stiffness k_1 **107** = $2A^2 \kappa p_o/v_o$, where A is the piston area, p_o is initial pressure, v_o 108 is the initial volume, and κ is the ratio of constant pressure specific heat of the gas to constant volume specific heat (c_p/c_v) of the gas. It was assumed for this derivation that p_o and v_o are equal on 111 both sides of the piston. The linear spring approximation is rep-112 resented in the Fig. 1 below the cylinder as spring of stiffness k_1 connected to ground through a collar. When the valve is open, no 114 force is produced by motion of the piston because the gas flows 115 easily between the two sides of the cylinder. This corresponds to 116 the collar being unlocked and sliding freely. Otherwise, closing 117 the valve is analogous to locking the collar with zero force from **118** the spring k_1 at some position $x=x_s$.

119 Our hardware implementation of this device is shown in Fig. 2.
120 The cylinder is a standard Parker hydraulic cylinder capable of a
121 peak pressure of 5000 psi (34.4 MPa) with a 4 in. (10.16 cm)
122 bore and a 3 in. (7.62 cm) stroke. The valve connecting the two
123 sides of the cylinder is a Moog direct-drive proportional valve
124 capable of <5 ms response times with the orifice area propor-

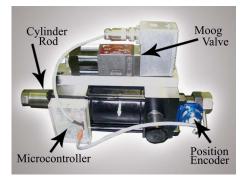


Fig. 2 Variable stiffness device capable of 30,000 lb output

tional to the control voltage. We filled both sides of the hydraulic 125 cylinder with nitrogen gas up to about p_o =800 lb/in.² (55 atm). 126 Note that standard hydraulic cylinders can handle up to 127 5000 lb/in.², so that peak force level of about 30,000 lb can be 128 achieved with this actuator. In Sec. 4, we present preliminary 129 experimental results obtained from several high-capacity devices. 130

Next, we study ways to control this stiffness value, first for a 131 simple one-degree-of-freedom system, taking the flow dynamics 132 into account, and then in Sec. 3, by using the linear stiffness 133 approximation.

2.1 Optimal Control of a Gas-Filled Actuator. Given the ability to create a variable valve orifice area as the control $u_v(t)$ 136 for this system, we first consider solving the following problem: 137 "Which control extracts energy from the structure most quickly?" 138 Given an initial condition, and/or assuming that a disturbance is 139 known in advance, we can obtain a solution to this problem using 140 tools from optimal control theory. Although it is generally not 141 possible to know what the disturbance or the initial conditions are 142 going to be ahead of time, knowing the optimal solution to this 143 problem sheds light on the form of the feedback control law actually used.

In order to solve the optimal control problem, we first obtain 146 the equations for motion for the structure and gas-filled actuator. 147 For a single degree of freedom system like the one shown in Fig. 148 1, the equations of motion are

$$m\ddot{x} = -k_o x + (p_2 - p_1)A$$
 (1) **150**

where k_o is the structural stiffness, A is the area of the piston in 151 the actuator, p_1 and p_2 are the fluid pressures in chambers 1 and 2, 152 and we have neglected viscous damping. 153

The dynamics of the gas flow and the chamber pressure are 154 found by considering a power balance of the system [20].

$$c_p T \dot{m} - p \dot{v} + \dot{Q} = \frac{c_v}{R} \frac{d}{dt} (pv) \tag{2}$$

where p is the pressure inside the chamber, v is the chamber 157 volume, \dot{m} is the gas mass flow rate into the chamber, T is the gas 158 temperature, R is the universal gas constant, \dot{Q} is the heat transfer 159 rate through the cylinder wall, and c_p, c_v are the gas constant 160 pressure and constant volume specific heats, respectively. In (2), 161 $c_pT\dot{m}$ is the internal energy of the air flowing into the chamber, $p\dot{v}$ 162 is the power output by the moving piston, and $(c_v/R)(d/dt)(pv)$ is 163 the time derivative of the total internal energy of the air in the 164 chamber. We assume $\dot{Q}=0$ because the heat transfer process has a 165 much slower time constant than the air flow dynamics. We rewrite 166 (2) by using $c_p/c_v \equiv \kappa$ and the fact $R=c_p-c_v$, to obtain to a differential equation for gas flow into chambers 1 and 2

$$\dot{p}_1 = \frac{\kappa}{v_1} (RT\dot{m}_1 - p_1\dot{v}_1) \tag{3}$$

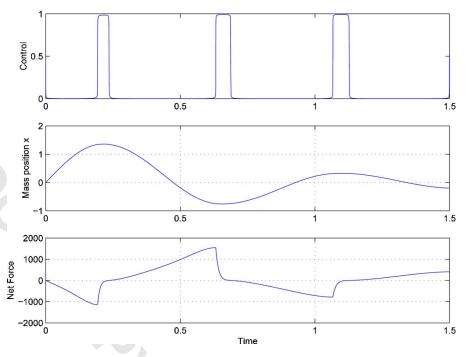
$$\dot{p}_2 = \frac{\kappa}{v_2} (RT\dot{m}_2 - p_2\dot{v}_2) \tag{4}$$

The mass flow rates m_1, m_2 are controlled by the proportional 171 valve. As shown experimentally in [20], the flow rates can be 172 approximated reasonably well by 173

$$\dot{m}_1 = -\dot{m}_2 = cu_v(p_2 - p_1)$$
 (5) 174

where c is a constant that depends on the valve orifice area, and u_v 175 is the valve control voltage, which can vary from zero (value 176 closed) to one (valve completely open).

Equations (1)–(5) define the dynamics of the system, and given 178 an initial condition for example, the control $u_v(t)$ that minimizes 179 the mechanical energy can be found. To accomplish this, we 180 solved the following nonlinear optimal control problem: 181



 u_{ν} pulses for a short time while the actuator resets.

$$\frac{\text{Min}}{u_v(t)} J[u_v(t)] = \frac{1}{2} \left\{ kx(t_f)^2 + m\dot{x}(t_f)^2 + \int_0^{t_f} \epsilon u_v(t)^2 dt \right\}$$
(6)

183 subject to (1)–(5) and $u_v(t) \in [0,1]$. With t_f fixed and ϵ a small 184 positive constant, we are minimizing the energy in the structure at **185** the final time. The nonzero weighting on the u_n^2 term in (6) was **186** needed for the numerical algorithm used to solve the problem. 187 This term allows the nonlinear problem to be solved via a se-**188** quence of manageable linear quadratic subproblems [21]. For ϵ small, the solution has little sensitivity to this parameter. For large t_f , the energy terms outside the integral are easily driven to zero since a small $u_v(t)$ produces a dampinglike effect. As t_f is decreased, at some point the energy terms can no longer be driven to zero for any control $u_n(t) \in [0,1]$. The least time for which the energy terms can be driven to zero is denoted as the minimum time t_f^* . To render the energy minimization reasonable, we are interested in finding solutions for relatively small final times t_f 196 197 $\leq t_f^*$

Figure 3 shows one sample solution to the control problem in which $t_f \leq t_f^*$. Note that the optimal $u_v(t)$ is usually zero, which means that the valve is usually closed so that no gas flows between the two chambers. But at instants when x(t) is maximum or minimum, the optimal $u_v(t)$ pulses to one for a short time. The fact that the control is bang-bang in this manner is also a necessary condition for the optimal control. This is a standard result for minimum time problems for systems that are affine to the control (see, e.g., [22]). Physically, this solution corresponds to keeping the valve closed until the gas in the actuator is most compressed, 208 and opening the valve for a brief time so that the pressure equal-209 izes between the two sides of the cylinder. In doing so, the maxi-**210** mum amount of energy is transformed from the vibrating structure into heat in the cylinder.

Inspection of the time-optimal control and comparison to the 213 idealization as a simple controllable spring element suggests that 214 to maximize the energy transfer, the value is opened (spring is set 215 to zero) at the peak displacement, before some of the stored strain **216** energy is returned to the structure. In Fig. 1, this related to set **217** k_1 =0 at peak x to remove the energy stored in the spring (when **218** $(1/2)k_1x^2$ is maximum). Similar results (qualitatively) are obtained for different initial conditions or disturbances. As Sec. 3 219 shows, a passivity approach can be used to obtain similar results, 220 for all possible initial conditions and disturbances, in a feedback 221 form.

223

Design of the Switching Law

As seen in Fig. 3, the optimal approach often results in a 224 switching law that maintains the valve closed most of the time and 225 occasionally opens the valve for short periods of time. In the 226 linear spring analogy, this corresponds to keeping the stiffness at 227 high values most of the time, while occasionally (e.g., at peak 228 displacements) reducing the stiffness to drain energy and restoring 229 or resetting the stiffness to the high value rapidly. In this section, 230 we derive a feedback switching law, based on the linear spring 231 approximation for the actuator, that can be applied to general dis- 232 turbances, multidegree-of-freedom systems, etc.

At any given time t, we use x_s to denote the position of the 234 piston at the last resetting of the device to its "high" stiffness 235 value; i.e., x_s is a piecewise constant function, whose values are 236 changed due to resetting. For a spring, this corresponds to the 237 setting the unstretched position of the spring to x_s . As a result, the 238 energy stored in the actuator is $(1/2)k_1(x-x_s)^2$; i.e., the energy 239 stored is determined by the compression or extension of the spring 240 is determined from the last resetting time. Adding this to the po- 241 tential energy of the system (i.e., the structure plus the actuator), 242 and application of Lagrange's equations, leads to the equation of 243 motion

$$m\ddot{x} + [k_o + \alpha(x)k_1]x + c_o\dot{x} = u(t) + \alpha(x)k_1x_s$$
 (7) 245

where $\alpha(x)$ is either zero (low stiffness) or one (high stiffness), 246 and thus x_s is the value of x(t) the last time α was set to 1 (or 247) "reset"). Here, u denotes additional inputs due to disturbances.

We note here that the model for any passive variable stiffness 249 device must take into account the position x_s for which the change 250 in stiffness occurs. The reasoning for this statement is as follows. 251 Assume the device has two stiffness values, k_{high} and k_{low} . A 252 switch in stiffness from low to high at $x \neq 0$ would require an 253 addition of energy equal to $(1/2)(k_{\text{high}}-k_{\text{low}})x^2$, if x_s is not taken **254** into account. Thus, an injection of energy is needed, and this 255

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256 contradicts the assumption that the device is passive. Some re-**257** searchers do not include x_s in their models, which will lead to **258** erroneous results. This statement applies to alternative variable 259 stiffness mechanisms such as passive piezoelectric devices.

As with most of the semi-active devices, the resulting system is 260 261 nonlinear due to the state dependent stiffness. As a result, analyti-262 cal results in study of stability and performance (e.g, L_2 or energy gain) have been rare. Here, we rely on standard passivity results (see [23]). We use the mechanical energy in the nominal system 265 (i.e., structure without the device) as the storage function

$$V = \frac{1}{2}m\dot{x}^2 + \frac{1}{2}k_o x^2$$

with the nominal output $y=\dot{x}$, to get 267

268
$$\dot{V} = -c_o y^2 + yu + \alpha(x)k_1 \dot{x}(x_s - x)$$
 (8)

269 where the first two terms on the right-hand side are from the 270 nominal system. Without the last term, following standard steps as **271** in [23], these terms would ensure that the nominal system is **272** strictly output passive (lossless if $c_0 = 0$); i.e., the rate of energy **273** storage in the system is less than (or for c_0 =0 equal to) the energy **274** injected by the input u. The last term in (8) is due to the actuator. 275 It is clear that to preserve the passivity of the system (i.e., to avoid 276 increasing the stored energy by this device at any time—i.e., keeping the device semiactive), we need

$$\alpha(x)\dot{x}(x_s - x) \le 0, \quad \forall t$$
 (9)

 Once the passivity is preserved, given that the storage function is positive definite, without external disturbances (i.e., u=0) the sys- tem is asymptotically stable (for c_o =0, a simple application of LaSalles' invariance principle will be needed).

Note that (9) concerns the rate of energy flow into the rest of 284 the system (i.e., the structure) from the actuator, thus a positive sign implies an undesirable direction for energy flow. The fail-safe mechanism here is to ensure that α is set to zero (i.e., stiffness is 287 lowered or valve is opened) if $(x_s - x)\dot{x} \ge 0$.

288 We now introduce the basic switching logic used. From now 289 on, by setting α to zero, we mean setting the stiffness to its lower value (e.g., the valve is opened). A "reset" of the device means α 291 is set to one by increasing the stiffness to its high value (e.g., closing the device). Suppose the device is reset at $t=t_1$. At this **293** time, by definition, $x_s = x(t_1)$. Because of the continuity of \dot{x} , there exists a $t_2 > t_1$ such that for $t \in (t_1, t_2]$ the sign of \dot{x} does not change. During this period, we can write $x(t) - x_s = \int_{t_1}^{t} \dot{x} dt$ and thus $sign[x(t)-x_s]=sign(\dot{x})$. Therefore, during the time interval after reset that \dot{x} does not change sign, we have $\alpha[x_s-x(t)]\dot{x} \leq 0$, (recall α is either zero or one) and the semiactive property [i.e., (9)] **299** holds.

300 The above discussion implies that the stiffness ideally *should* be **301** reduced (i.e., α =0) when \dot{x} changes its sign, though it *can* be 302 reduced at other times as well. Once this is accomplished, the stiffness can be reset to the high value (i.e., $\alpha=1$), i.e., it can be 304 reset, at any time that is physically possible; e.g., as soon as the **305** valve can be closed. Finally, note that as long as $\alpha = 1$, and (x_s) **306** -x) \dot{x} < 0, the actuators are draining energy from the structure and 307 storing it in form of potential energy (to be drained again when **308** α =0). Thus, it is desirable to avoid resetting for as long as pos-309 sible, since energy stored is proportional to the square of the **310** stretched length [i.e., $(1/2)k_1(x_1+x_2)^2 \ge (1/2)k_1x_1^2 + 1/2k_1x_2^2$]. As **311** a result, lowering the stiffness with α =0 while (9) holds is not desirable, while resetting α to one as soon as possible is desirable. This leads to the following ideal resetting rule, which is a modified form of the switching logic proposed in [16]

315
$$\alpha = 0$$
 when \dot{x} changes sign

316
$$\alpha = 1$$
 otherwise (10)

The ideal case above assumes that the energy in the actuator 317 can be drained instantaneously. In most practical situations, how- 318 ever, removing energy takes some nonzero duration of time (for 319 example, the plot in Fig. 5 discussed in the next section for the 320 prototypes discussed in this paper). In such cases, we modify (10) 321 to the following:

$$\alpha = 0$$
 when \dot{x} changes sign 323

$$\alpha = 1$$
 as soon as possible (11) **324**

by which we mean that as soon as the energy in the device is 325 drained, set $\alpha = 1$. The above development is summarized by the 326 following key technical results:

- For the system (7), mechanical energy is drained from the 328 system as long as (9) holds. 329
- After any reset, or switch from $\alpha=0$ to $\alpha=1$, there is a 330 time interval for which (9) holds.
- Both the ideal (10) and the practical (11) switching rules 333 ensure that (9) holds.

3.1 Control of Multiple Degree-of-Freedom Structures. 335 Next, we generalize this approach to a multidegree-of-freedom 336 systems, in which a number of these devices are installed. For 337 small motion, x_i , the displacement along the length of the *i*th 338 device can be represented by

$$x_i = T_i^T z 340$$

for some transformation T_i , where z is the vector of generalized 341 coordinates and x_i is the motion along the main axis of the device. 342 The energy stored in the ith device is thus

$$U_{i} = \frac{1}{2}\alpha_{i}(z)k_{i}(x_{i} - x_{s,i})^{2} = \frac{1}{2}(z - z_{s,i})^{T}T_{i}[k_{i}\alpha_{i}(z)]T_{i}^{T}(z - z_{s,i})$$
344

$$= \frac{1}{2}\alpha_i(z)(z - z_{s,i})^T K_i(z - z_{s,i})$$
345

where K_i is the contribution of the *i*th device to the overall stiff- 346 ness (i.e., $K_i = k_i T_i T_i^T$), with k_i the stiffness of the element and $\alpha_i(\cdot)$ 347 is the switching law. Here, $z_{s,i}$ is the state vector at the last time 348 the *i*th actuator was reset (i.e., the last time when α_i became 1). **349** Ideally, we seek a decentralized switching law, i.e., $\alpha_i(x_i)$, which **350** is possible as shown below.

After using the above expression for the potential energy in the 352 actuators and applying Lagrange's equations, the equations of mo- 353 tion for the m-degree-of-freedom structure become

$$M\ddot{z} + \left[K_o + \sum \alpha_i(z)K_i\right]z + C_o\dot{z} = Bu(t) + \sum \alpha_i(z)K_iz_{s,i}$$
 355

where B is the influence vector associated with disturbances (or 356 other inputs), while M and K_o are the nominal mass and stiffness 357 matrices. Next, we define outputs $y = \mathbf{B}^T \dot{z}$, and apply the same 358 approach as before by using the positive definite storage function 359 to be the mechanical energy of the system (without the energy 360 stored in the actuators)

$$V = \frac{1}{2}\dot{z}^{T}M\dot{z} + \frac{1}{2}z^{T}K_{o}z$$
s
$$\dot{V} = -\dot{z}^{T}C_{o}\dot{z} + y^{T}u + \sum \alpha_{i}(z)\dot{z}^{T}K_{i}(z_{s,i} - z)$$
362
363
363

363

which yields

$$\dot{V} = -\dot{z}^T C_o \dot{z} + y^T u + \sum_i \alpha_i(z) \dot{z}^T K_i(z_{s,i} - z)$$
364

Recalling that $K_i = k_i T_i T_i^T$ and $x_i = T_i z$, we get 365

$$\dot{V} = -\dot{z}^{T} C_{o} \dot{z} + y^{T} u + \sum_{i} \alpha_{i}(z) k_{i} \dot{x}_{i}(x_{s,i} - x_{i})$$
366

Similar to the one-degree-of-freedom system, we seek to design α_i 367 such that the actuators do not increase the rate energy storage in 368 the rest of the system (i.e., the structure). Thus, to preserve the 369 semi-active property, we obtain the same switching logic for each 370 α_i which is the same as (9) for the *i*th device, 371

372
$$\alpha_i(x_i, \dot{x}_i)\dot{x}_i(x_{s,i} - x_i) \le 0, \quad \forall t, \quad i = 1, 2, \dots, l$$
 (12)

373 which is decentralized and depends only on local coordinates (i.e.,374 motion along the length of the device), and independent of nomi-375 nal mass and stiffness properties.

376 If all modes are damped (i.e., $C_0 > 0$), we can write

$$\dot{V} \leq -\delta y^T y + y^T u + \sum_i \alpha_i(x_i) k_i \dot{x}_i (x_{s,i} - x_i)$$

378 where $\delta = \lambda_{\min}(C_o)/\lambda_{\max}(B^TB)$. Then standard passivity results 379 show that the decentralized switching logic above preserves the 380 estimate for the L_2 or energy gain from u to y (i.e., $1/\delta$) and 381 asymptotic stability of the system (in the absence of external dis-382 turbances), under mild controllability or observability conditions. 383 As discussed in [16], when damping matrix is not positive defi-

As discussed in [10], when damping matrix is not positive deli-384 nite, asymptotic stability is not necessarily guaranteed and the 385 state vector converges to the intersection of sets or manifolds 386 $\dot{z}^T C_o \dot{z} = 0$ and $\dot{z}^T K_i \dot{z} = 0$. In such cases, zero-state observability with 387 K_i or similar concepts may be used to establish asymptotic stabil-388 ity, though depending on C_o and location of the devices (i.e., 389 structure of K_i) the system may be stable only.

Remark. The switching law above was developed by defining $y=B^T\dot{z}$, to exploit the passivity framework and to establish the semiactive nature of the switching law. In practice, other (addisoral tional or different) outputs may be used for different purposes, without compromising the semi-active property as long as the variables needed for the switching law are measured. For example, implementing the switching law in (12) requires, at a minimum, \dot{x}_i . Also note that we have assumed continuity of the differential equations for the structural motion. This is a relatively mild assumption and is met in all realistic cases (to ensure chattering is avoided, one can introduce a small threshold in the control logic).

3.2 Variable Stiffness Feedback Control. Let us now review
and compare the resetting approached discussed above with a
simple variable stiffness technique where it is assumed that the
actuator can operate at two distinct stiffness values. In general,
this leads to a system for which a variety of results from variable
structure or switched systems can used.

407 In this case, the equations of motion for the one-degree-of-**408** freedom system is

409
$$m\ddot{x} + [k_o + \alpha(x)k_1]x + c_o\dot{x} = u(t)$$
 (13)

410 where c_o , u(t), k_1 are as in (7), and $\alpha(x)$ is the switching law that **411** controls the stiffness of the device. Note that here the device alters **412** the stiffness only (ie., no x_s). We also assume that it is possible to **413** develop devices that allow all stiffness values between zero and k_1 **414** (i.e., $1 \ge \alpha(x) \ge 0$).

415 At a given deformation, increasing the stiffness of a spring
416 requires the input of energy unless it is done at its unstretched
417 position. Since we are interested in developing a low-power or
418 semiactive device, this issue plays an important role in developing
419 control logic for this device. As before, we start with a storage

420 function

421
$$V = \frac{1}{2}m\dot{x}^2 + \frac{1}{2}k_o x^2$$

422 i.e., the mechanical energy in the nominal system. It is easy to see **423** that with velocity measurement, i.e., $y = \dot{x}$, we have

424
$$\dot{V} = -c_0 y^2 + yu - \alpha(x)k_1 \dot{x}x$$

425 The first two terms on the right-hand side are from the nominal 426 system and establish passivity and stability of the nominal system 427 (similar to the resetting case). It is clear that in order not to alter 428 the passivity of the system (e.g., to avoid increasing the stored 429 energy at any time), thus satisfying the basic property of the semi-430 active approach, we need $\alpha(x)k_1\dot{x}x \ge 0$. Given the range of values 431 for $\alpha(x)$, the resulting semi-active switching law becomes

432
$$\alpha(x, \dot{x}) = 1 \quad \text{if } \dot{x}x \ge 0$$

$$\alpha(x, \dot{x}) = 0$$
 if $\dot{x}x < 0$ (14) **433**

that is, given the desire to remove as much energy as possible 434 yields an "on-off" or two-state logic even if intermediate values of 435 α were feasible. Also, passivity properties of the nominal system 436 is preserved and following standard steps, we can show that stability and L_2 gain of the nominal system is preserved, as well. The 438 generalization to multidegree-of-freedom system follows exactly 439 as before, leading to a decentralized control law of (14). For brevity, the details are omitted.

approaches similar to it, have been used before. For example, [9] 443 used a similar logic for a single degree of freedom system to 444 demonstrate a simple variable structure system, whereas [1,2,10] 445 had used variable stiffness devices to move energy to different 446 modes, depending the excitation. In particular, [10] included a 447 discussion on changing the stiffness to high values at zero deflections. More recently, the variable stiffness approach has been used 449 by Patten and co-workers (e.g., [3]) and Dawson and co-workers (e.g., [13], when the stiffness is altered with piezoactuators). Typically, the stiffness is increased to the higher value according to a logic similar to (14).

The resetting method coincides with the variable stiffness approach if we wait and reset (i.e, setting the stiffness to high) only 455 when x(t)=0, which results in $x_s=0$. In such a case, the device is 456 not in operation, and thus is not collecting energy, during the 457 period of time from reset and when x(t) crosses zero. This implies 458 that the resetting approach is often more effective than variable 459 stiffness since it is collecting energy, to be drained at peak storage, 460 at all times, whereas the variable stiffness device is "off" roughly 461 half the time. For results regarding rate decay (in simple first-order systems), or placement of devices (in MDOF structures), 463 one can consult Ref. [11,16], respectively.

Remark. In [24], the term "reset control" is used to address a 465 generalization of the Clegg integral from the 1950s, which has 466 shown benefits in improving overshoot properties of linear controllers. There are similarities between these approaches, in the 468 sense the equations of motion here can be presented as a special 469 case of the model used there, and the devices discussed here have 470 shown strong overshoot suppression properties (see [12]). The reset control of [24], however, is a modification to a traditional 472 (active) compensator, whereas the reset logic discussed here is 473 vibration suppression device that is added to the structure or can 474 be combined with a variety of other actuators, if desired (in which 475 case the switched or hybrid systems approach might be an appropriate framework). Also, the passivity approach has led to stability 477 and performance guarantees in relatively simple steps, consistent 478 with the suggested future work in [24].

4 Preliminary Experimental Results

Figure 4 shows the behavior of a prototype, obtained from 481 shaking table testing at the National Center for Research on Earth-482 quake Engineering in Taiwan (see [19] for a more comprehensive 483 description and additional results). Here, the device is subjected to 484 sinusoidal motion with peak to peak distance of 20 mm, with a 485 peak resisting force of 30 kN. The sudden drop in Fig. 4(b) corresponds to resetting of the actuator, when the valve is opened at 487 the extreme end of the motion to drain energy and reset the effective stiffness to zero. This is more pronounced in the hysteresis 489 plot in Fig. 4(c), where at each extreme end of the motion, reseting reduces the stiffness and thus the energy stored in the device. 491 Also, note that Fig. 4(c) shows that the effective stiffness is quite 492 close to a linear spring (as used in the development of Sec. 3) 493 throughout the range of motion.

Given the scale used in Fig. 4, it is difficult to estimate the 495 amount of time it takes to drain the energy and reset the actuator. 496 Figure 5 gives a more detailed look at the response of the resetting 497 controller, operating in an experimental single-degree-of-freedom 498 test apparatus, subjected to initial displacement, in a setup quite 499

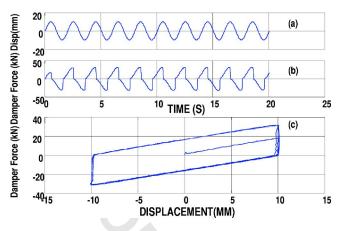


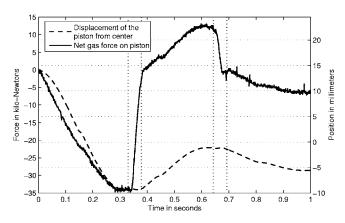
Fig. 4 (a) Piston displacement versus time, (b) net actuator force versus time, and (c) force versus diplacement

500 similar to the schematic in Fig. 1. The plot shows the time it takes **501** for the actuator force to reach equilibrium (i.e., all stored energy is drained) after the valve is opened fully. The signal labeled net force on piston is the net force exerted by the gas on the piston. Within the three narrow bands seen in Fig. 5, the valve is com-505 manded to fully open, while outside these bands the valve is commanded to fully close. Note that within these bands, the force 507 exerted by the gas on the piston decays to zero, taking 30-40 ms. Also note that the controller detects peaks in the position of the piston, and initiates the resetting (i.e., closing the valve). As discussed earlier, from an energy standpoint, we would like to open the valve when the force reaches a peak. The shape of the plot 512 reflects the fast decay in motion in the free response case. Further experimental results are presented in [18,19].

The results here support the main characteristics used in devel-514 **515** oping the results of Sec. 3: the validity of using a linear spring to **516** approximate the behavior of a closed cylinder over a wide range of peak forces (at least up to 30,000 kN), the existence of modest delays in closing the valve, consistent with the control logic discussed earlier, and the feasibility of the concept for large-scale devices.

521 5 Performance Comparison and Benchmark Simula-

To evaluate the effects of resetting devices, the following com-**524** parison is made. In a one-degree-of-freedom system, similar to the 525 schematic of Fig. 1, we introduce a base motion in the form of a **526** simple sine-wave and obtain the magnitude of the resulting mo-**527** tion (similar to moving one of the side walls in Fig. 1 and mea-528 suring the displacement of the mass). By sweeping through fre-



Resetting response of a single actuator

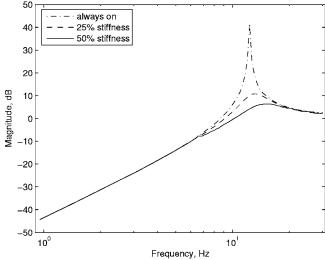


Fig. 6 Response of a single actuator to sine-wave base motion

quencies, we can obtain a form of frequency response for the 529 device. Note that in general, semi-active devices are nonlinear (in 530 this case, stiffness is state dependent) and notions of frequency 531 response should be used carefully. The resetting technique, as dis- 532 cussed in [25], has the homogeneity property that results in 533 magnitude-independent frequency response, unlike many other 534 semi-active techniques (assuming no physical limits on the stroke 535 of the device).

The results are presented in Fig. 6, where the dashed-dotted line 537 ("always on") is the frequency response of the system if the stiff- 538 ness of device is simply added to the overall stiffness by prevent- 539 ing any resetting. The other plots correspond to the cases where 540 the stiffness of the resetting element (which is turned on) is 25% 541 or 50% of the total stiffness available. The 25% level might be 542 more practical, and provides significant attenuation. The 50% case 543 shows a drastic reduction in response consistent with the results 544 forming suppressing vibration due to initial conditions, discussed 545 in [11]. Overall, it shows the main benefit to be precisely in the 546 critical frequency ranges.

Among the advantages of a variable stiffness device for extract- 548 ing energy from the structure, as opposed to damping devices, is 549 that in cases of shock loading, large forces are not transmitted to 550 the structure. This is because high velocities create large forces in 551 traditional dampers, but create no force in the variable stiffness 552 device (see [12] for an example application to an automotive sus- 553 pension where the force transmitted through a conventional 554 damper is more than an order of magnitude higher than the force 555 transmitted through the resetting device). Here, we compare the 556 performance of the resetting approach to that of an MR damper 557 using the model developed in [8], where the NS component of the 558 1940 El Centro earthquake was the input to a three-story structure. **559** For the same structure, we simulate the results of placing a single 560 resetable device between the first and second floors. The device 561 has an effective stiffness of about 9 kN/cm. In Table 1, we show 562 the peak displacement (x_i) of each story relative to ground, the **563** peak interstory drifts (d_i) , the peak absolute acceleration of each 564 story (a_{ia}) , and the peak force (f) for the uncontrolled systems as **565** well as those obtained with either an MR damper or a resetable 566 device. The controller used for the MR device is the so-called 567 clipped optimal control (i.e., an optimal control law, such as LQR 568 or H_2 , which is clipped if the device cannot provide the maximum 569 forces needed by the controller). As discussed in [8], this rather 570 complex and centralized approach often results in the best perfor- 571 mance in ER- and MR-based approaches.

As Table 1 shows, the performance of the two devices are quite **#73**

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Table 1 Effects on a three-story building

	Uncontr.	Clipped opt. (MR)	Resetting
x_1 (cm)	0.20	0.04	0.04
x_2 (cm)	0.31	0.07	0.08
x_3 (cm)	0.36	0.10	0.12
$a_1 \text{ (cm/s}^2)$	421	341	363
$a_1 \text{ (cm/s}^2\text{)}$	430	363	318
$a_1 \text{ (cm/s}^2\text{)}$	571	341	340
f (N)	0	492	470
d_1 (cm)	0.20	0.04	0.04
d_2 (cm)	0.11	0.04	0.03
d_3 (cm)	0.05	0.03	0.03

similar, and both deliver significant improvements from the openloop or uncontrolled case. This is not unexpected, since several studies (see [8] and references therein) have shown similar patterns; a relatively large number of devices with roughly equal capacity (e.g., maximum resistive force) showing more or less similar results. Generally, the resetable devices perform better for higher-frequency disturbances (recall the discussions on their benefits in shock-type disturbances). Overall, these devices offer similar performance at far lower complexity (e.g., decentralized logic) with standard and reliable hydraulic technologies. More extensive comparisons can be found in [17], in which a variety of semi-active devices are compared on this benchmark.

586 6 Conclusions

We have shown, using an optimal control approach, that the resetting techniques is the fastest method for removing energy from a vibrating structure, using variable stiffness actuators. We developed a feedback control law, based on passivity arguments, that implements the optimal control and extends previous results to account for switching delays in practical hardware. Finally, we have presented experimental and simulation results that demonstrate that resetting is a viable method for applications in full scale structures.

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