

promoting access to White Rose research papers



Universities of Leeds, Sheffield and York
<http://eprints.whiterose.ac.uk/>

This is an author produced version of a paper published in **International Journal of Machine Tools and Manufacture**.

White Rose Research Online URL for this paper:
<http://eprints.whiterose.ac.uk/43226>

Published paper

Yusoff, A.R., Sims, N.D. (2011) *Optimisation of variable helix tool geometry for regenerative chatter mitigation*, International Journal of Machine Tools and Manufacture, 51 (2), pp. 133-141
<http://dx.doi.org/10.1016/j.ijmachtools.2010.10.004>

Optimisation of variable helix tool geometry for regenerative chatter mitigation

Ahmad R Yusoff^{a,b,*}, Neil D Sims^{b,**}

^a*Faculty of Mechanical Engineering, Universiti Malaysia Pahang, Pekan Campus, 26 600 Pekan, Pahang, Malaysia*

^b*Department of Mechanical Engineering, The University of Sheffield, Mappin Street, Sheffield, S1 3JD, UK*

Abstract

It is well known that regenerative chatter can result in excessive tool wear, poor surface finish, and hence limited productivity during metal machining. Various mitigation methods can be applied to suppress chatter; however, the current paper focuses on applying optimal variable helix tool geometry. A semi discretisation method is combined with Differential Evolution to optimise variable helix end milling tools so as to avoid chatter by modifying the variable helix and variable pitch tool geometry. The semi discretisation method is first validated experimentally. The numerical optimisation procedure is then used to optimise tool geometry for a machining problem involving a flexible workpiece. The analysis predicted total mitigation of chatter using the optimised variable helix milling tool at a low radial immersion. However, in practice a five fold increase in chatter stability was obtained, compared to the traditional milling tool.

Key words: regenerative chatter, variable helix, optimisation, milling

1. Introduction

High productivity of metal cutting processes in the aerospace, mould/die and automotive industries is limited by the occurrence of regenerative chatter. Chatter also causes lower machining quality, poor accuracy and surface finish, unpleasant noise and sound, accelerated tool wear, and can even damage the cutting spindle and machined part. Various approaches can be used to avoid the above catastrophic problems, such as active damping [1, 2], passive damping [3, 4], spindle speed variation [5, 6, 7] and variable pitch tools [8, 9, 10, 11, 12, 13].

The present contribution investigates an approach to chatter suppression that disrupts chatter vibration using variable helix tools. These tools possess different geometry to regular

*Now at Faculty of Mechanical Engineering, Universiti Malaysia Pahang, Pekan Campus, 26 600 Pekan, Pahang, Malaysia

**Corresponding author

Email addresses: n.sims@sheffield.ac.uk (Neil D Sims)

URL: www.dynamics.group.shef.ac.uk/people/neil (Neil D Sims)

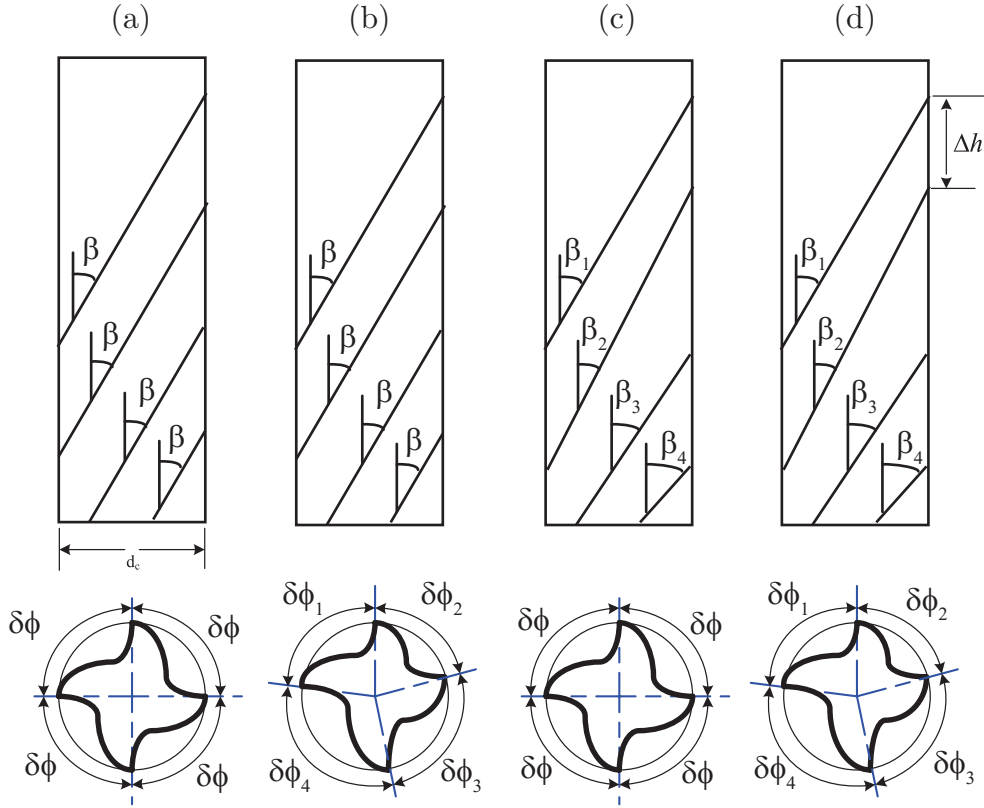


Figure 1: Variable pitch tool geometry for a 4 flute tool. (a) Regular tool - uniform pitch, uniform helix; (b) Variable pitch tool; (c) Variable helix tool - special case with regular pitch at the tool tip; (d) Variable helix tool

tools (with uniform helix and uniform pitch) or variable pitch tools, as shown in Fig. 1. Variable pitch tools were initially proposed by Slavicek [12]. Later, Opitz *et al* [11] studied irregular tooth pitches that produce a higher stable depth of cut. Variable pitch tools were re-considered by Altintas *et al* [8] who utilised an invariant time constant and a non uniform multiple regeneration time delay to optimise pitch geometry. Meanwhile, Budak [9] modelled and optimised a non constant pitch tool. Recently, Olgac and Sipahi [10] maximised the material removal rate in simultaneous machining by applying an irregular pitch cutter that was optimised using the ‘cluster treatment of characteristic roots’ approach.

Variable helix tools have often been disregarded in previous research for suppressing chatter. To the authors’ knowledge, only Stone [13] and Turner *et al* [14] considered variable helix milling tools in their studies. Sims *et al* [15] modelled variable helix and variable pitch milling tools using a Semi-Discrete Method (SDM). However, they only predicted the chatter stability, and did not optimise the tool design for minimising chatter. Furthermore they did not perform their own experimental validation of the modelling approach.

The aim of the present contribution is to design optimal variable helix tools and to experimentally determine their chatter stability. The tool design and optimisation algorithm is based upon the semi-discrete method [15], that is suitable for variable helix and pitch

tools. An experimental study is first conducted to validate the modelling procedure. An optimisation method is then developed so that the variable helix geometry can be chosen to avoid chatter of a flexible workpiece. The optimisation approach is based upon a Differential Evolution (DE) strategy. The optimal tool geometry is then used to fabricate a custom-built variable pitch milling tool, and the experimental performance of this tool is compared to a regular tool geometry. Following a discussion some conclusions are drawn regarding the potential benefits of optimised variable helix tools.

2. Variable helix modelling and validation

Before considering the optimisation of variable helix tools, the chosen chatter prediction method (semi discretisation method, or SDM) is briefly described and its performance is validated experimentally.

2.1. Semi discretisation method

The Semi Discretisation Method (SDM) is a well known technique for analysing the stability of linear retarded dynamical systems. The effects of time delays and time periodicity are considered to produce a high dimensional linear discrete system. Details of SDM are given in [16]. Recently, Sims *et al* [15] applied SDM to model irregular helix and pitch tools. They showed that in order to account for a variable helix on a tool, the stability must be considered for one complete tool rotation period, rather than one tooth-passing period. Furthermore, this work demonstrated that the SDM must be recast in a state-space formulation in order to allow for variable helix geometry. This approach will be used in the present study and so for completeness the SDM modelling procedure is now briefly summarised.

Consider the schematic representation of milling shown in Fig. 2. Here, an axial layer l of the tool is considered, with tooth j engaged in the workpiece. The resulting cutting forces in the normal ($f_{n,l,j}$) and tangential ($f_{t,l,j}$) directions are shown, and these are assumed to be proportional to the chip thickness h and depth of cut b . These cutting forces can be summed for all teeth on the tool, and all axial layers of the tool up to the axial depth of cut. These forces can be expressed as resultant forces F_x and F_y in the x and y directions respectively.

These forces act to cause relative vibration between the tool and workpiece, due to the structural dynamics of the system. These flexible components could be the cutting tool, workpiece, or the machine-tool structure. The relative vibrations cause a change in the instantaneous chip thickness h of the teeth engaged in the workpiece. This results in a feedback process that is illustrated by the block diagram shown in Fig. 3.

Discretising the continuous time structural dynamics gives the following state-space representation:

$$\begin{aligned} \mathbf{x}_m(kT + T) &= \mathbf{A}_m \mathbf{x}_m(kT) + \mathbf{B}_m \begin{Bmatrix} F_x(kT) \\ F_y(kT) \end{Bmatrix} \\ \begin{Bmatrix} u_x(kT) \\ u_y(kT) \end{Bmatrix} &= \mathbf{C}_s \mathbf{x}_m(kT) \end{aligned} \tag{1}$$

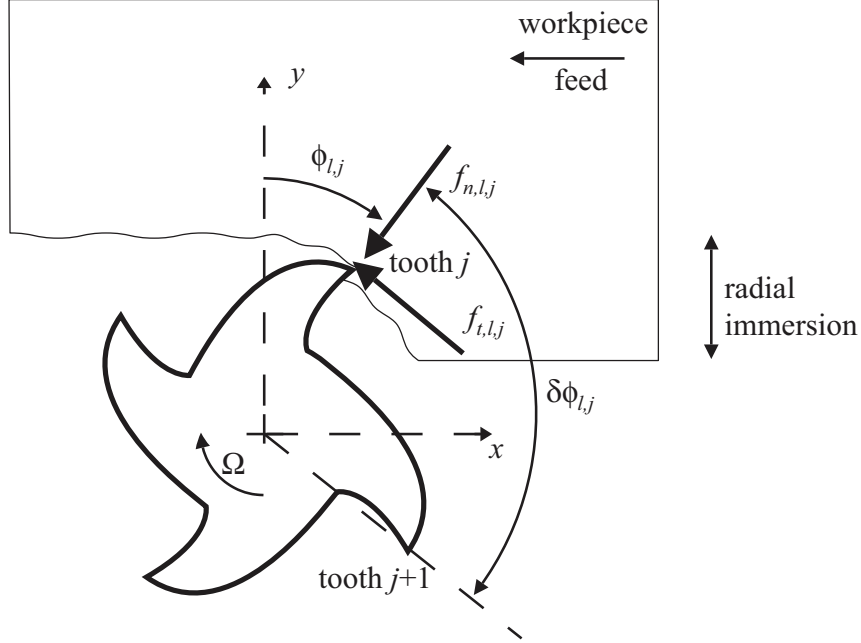


Figure 2: Schematic representation of cutting forces during milling

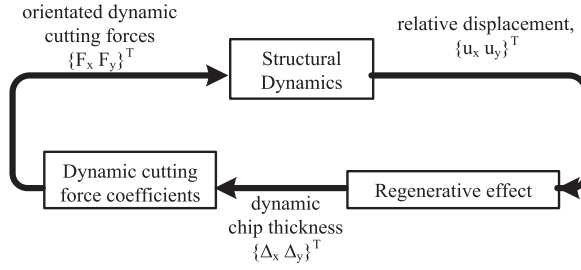


Figure 3: Block diagram of forces and displacements during milling

where \mathbf{A}_m and \mathbf{B}_m are given by the matrix exponential:

$$\begin{bmatrix} [\mathbf{A}_m]_{[D \times D]} & [\mathbf{B}_m]_{[D \times 2]} \\ - & - \end{bmatrix}_{[(D+2) \times (D+2)]} = \exp \left(T \begin{bmatrix} [\mathbf{A}_s]_{[D \times D]} & [\mathbf{B}_s]_{[2 \times D]} \\ [\mathbf{0}]_{[2 \times D]} & [\mathbf{0}]_{[2 \times 2]} \end{bmatrix} \right) \quad (2)$$

In Eq. (1) and (2), \mathbf{A}_s , \mathbf{B}_s , and \mathbf{C}_s define the continuous time structural dynamics (with D states) in state space form, and T is the sampling time used for discretisation. This is chosen so that there are an integral number N of samples per tool revolution. With reference to Fig. 2, the use of a variable helix tool means that the pitch $\delta\phi_{l,j}$ of the flutes changes on each layer l . In [15] this was handled by defining the so-called ‘delay states’ Δ , which represent the difference between the current discrete-time displacements and the N previous discrete-time displacements within the last revolution. The relationship between the relative

vibration \mathbf{u} and the delay state Δ can be represented in discrete-time state-space form as:

$$\begin{aligned} \mathbf{x}_d(kT + T) &= \mathbf{A}_d \mathbf{x}_d(kT) + \mathbf{B}_d \begin{Bmatrix} u_x(kT) \\ u_y(kT) \end{Bmatrix} \\ \begin{Bmatrix} \Delta_x(kT) \\ \Delta_y(kT) \end{Bmatrix} &= \mathbf{C}_d \mathbf{x}_d(kT) + \mathbf{D}_d \begin{Bmatrix} u_x(kT) \\ u_y(kT) \end{Bmatrix} \end{aligned} \quad (3)$$

The terms in Eq. (3) are given in the Appendix. Finally, the cutting forces can be related to Δ by the time-periodic matrix coefficient \mathbf{R} :

$$\begin{Bmatrix} F_x(kT) \\ F_y(kT) \end{Bmatrix} = \mathbf{R}(nT) \begin{Bmatrix} \Delta_x(kT) \\ \Delta_y(kT) \end{Bmatrix} \quad (4)$$

The schematic block diagram shown in Fig. 3 can now be replaced by a mathematical model by combining Eq. (1), (2), and (3) to give:

$$\begin{Bmatrix} \mathbf{x}_m(kT + T) \\ \mathbf{x}_d(kT + T) \end{Bmatrix} = \mathbf{A} \begin{Bmatrix} \mathbf{x}_m(kT) \\ \mathbf{x}_d(kT) \end{Bmatrix} + \mathbf{BC}(nT) \begin{Bmatrix} \mathbf{x}_m(kT) \\ \mathbf{x}_d(kT) \end{Bmatrix} \quad (5)$$

where:

$$\begin{aligned} \mathbf{A} &= \begin{bmatrix} \mathbf{A}_m & [\mathbf{0}] \\ \mathbf{B}_d \mathbf{C}_s & \mathbf{A}_d \end{bmatrix} \\ \mathbf{B} &= \begin{bmatrix} \mathbf{B}_m \\ [\mathbf{0}]_{[2N \times 2]} \end{bmatrix} \\ \mathbf{C}(nT) &= [\mathbf{R}(nT) \mathbf{D}_d \mathbf{C}_s \quad \mathbf{R}(nT) \mathbf{C}_s] \end{aligned} \quad (6)$$

Consequently the states of the system vary between one tool revolution and the next tool revolution as follows:

$$\begin{Bmatrix} \mathbf{x}_m(kT + NT) \\ \mathbf{x}_d(kT + NT) \end{Bmatrix} = (\mathbf{A} + \mathbf{BC}(NT)) (\mathbf{A} + \mathbf{BC}((N-1)T)) (\dots) (\mathbf{A} + \mathbf{BC}(T)) \begin{Bmatrix} \mathbf{x}_m(kT) \\ \mathbf{x}_d(kT) \end{Bmatrix} \quad (7)$$

The asymptotic stability of the system is therefore governed by the eigenvalues or characteristic multipliers of $(\mathbf{A} + \mathbf{BC}(NT)) (\mathbf{A} + \mathbf{BC}((N-1)T)) (\dots) (\mathbf{A} + \mathbf{BC}(T))$. Characteristic Multipliers (CMs) with magnitude less than unity indicate a stable system, and the type of instability can be determined by the location at which the CM crosses the unit circle in the marginally stable condition [17].

Complex-valued CMs of unity magnitude are associated with a secondary Hopf bifurcation, which lead to quasi-periodic behaviour where the chatter frequency differs from the forced vibration frequency (due to tool rotation). This is the most common form of chatter instability.

A CM equal to -1 is associated with a period doubling or flip bifurcation, where there are two periods of chatter vibration for each period of forced vibration. This can be observed as alternate tools missing contact with the workpiece, which is sometimes referred to as the ‘fly over effect’. This phenomenon is more likely to occur at lower radial immersions, and can be influenced by the constant helix angle on a regular (non-variable pitch, non variable-helix) tool.

Natural frequency (Hz)	200
Modal effective mass (kg)	1.41
Damping Ratio	0.0078

Table 1: Modal parameters of the x direction mode of vibration of the flexible workpiece

Finally, a CM equal to +1 is associated with a cyclic fold bifurcation, where the chatter frequency equals the forced vibration frequency. This form of instability has not been commonly observed during milling experiments or milling chatter predictions.

2.2. Experimental validation

In previous work [15], this modelling procedure was described in detail, and confidence in the stability predictions was improved by comparing the model to previously published experimental data for regular pitch tools and variable pitch tools. For variable helix tools, the model predictions were compared to time domain simulations. The aim of the present section is to perform experimental validation of the model’s predictions.

The experiments were conducted on a Mori Seiki SV500 3 axis CNC vertical milling machine. A four-flute variable helix (37° , 40° , 37° , 40°) and variable pitch (78.4° , 80.4° , 78.4° , 80.4°) commercially available 12 mm diameter milling tool was used in present study. It was used to down-mill (at 5 percent radial immersion) an aluminium 7075-T6 block mounted on a flexible support that could be modelled as a single-degree-of-freedom system. The cutting stiffness of the tool/workpiece was estimated to be $K_n = 283 \text{ MN/m}^2$ and $K_t = 143 \text{ MN/m}^2$ and the structural dynamics of the flexible workpiece are shown in Table 1.

The experimental configuration is shown in Fig. 4. An eddy-current displacement sensor signal was used to measure the onset of chatter vibrations. A pulse signal produced from a hall-effect probe monitoring the milling spindle was to produce once-per-revolution samples of the eddy-current measurement. The response of the system could be then analysed in terms of the frequency domain, the once/rev vibration samples, and the Poincaré section in delayed coordinates.

Fig. 5a illustrates the predicted chatter stability using the SDM approach. There is a large region that is associated with secondary Hopf-bifurcations, as found in classical machining chatter. However, in addition there are isolated regions of instability that arise due to period-one bifurcations. Similar behaviour was predicted in [15], where it was pointed out that the existence of period-one bifurcations has previously only been associated with tool runout [18].

This finding is explored experimentally in Fig. 5b, which summarises the experimental results from multiple test cuts at different depths of cut and spindle speed. The results are classified as a secondary Hopf bifurcation if quasi-periodic motion was observed from the once/revolution samples and the Poincaré section. Meanwhile the results are classified as period-one bifurcation if high amplitude vibrations occurred that were periodic at the force excitation frequency (due to tool rotation). Finally, some cases were classified as marginally

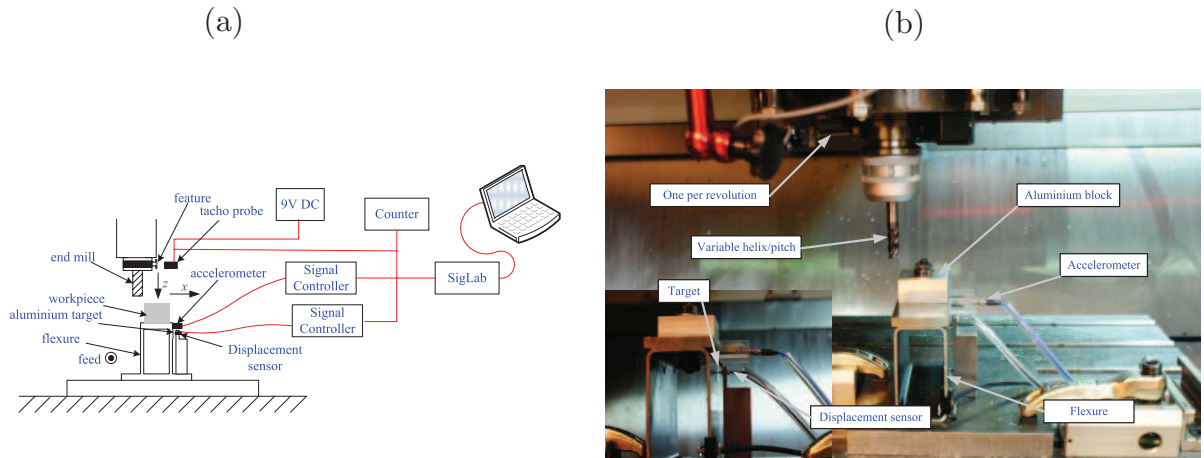


Figure 4: Experimental setup. (a) Schematic; (b) photograph.

stable when some portions of the test cut exhibited unstable behaviour, and some portions exhibited stable behaviour.

The most interesting feature is the isolated island of period one behaviour, labelled C in Fig. 5b. This was only predicted for a variable helix tool, and not for a variable pitch tool, as illustrated by the stability boundaries that are superimposed. This behaviour is illustrated in more detail in Fig. 5c, which shows the FRF of the displacement signal as the depth of cut increases through tests A, B, C, D. The vibration has a low amplitude non sinusoidal behaviour in cut A, but cuts B and C exhibit high amplitude vibrations at the chatter frequency. If the depth of cut is increased further (cut D) then the vibration amplitude drastically reduces and the Fourier analysis resembles that for cut A.

Fig. 5d shows the once per revolution samples and Poincaré section of the displacement signal for cut C. This clearly illustrates the period one behaviour of the high amplitude vibrations for this test cut.

Returning to Fig. 5b, one discrepancy between prediction and experiment can be seen, namely the experimentally observed period one behaviour at higher spindle speeds (2600 - 2800 rev/min). Although secondary Hopf bifurcations were expected here, the predicted stability boundary still closely matched the experimental stability boundary. The period one behaviour could be attributed to unmodelled tool runout or the closeness of the chatter frequency to the forced vibration frequency.

To summarise, this section has experimentally validated the SDM stability model for variable helix tools. Isolated regions of period one chatter were predicted and observed, and this behaviour was not predicted for the case of a regular helix tool, even if a variable pitch was included. This demonstrates that variable helix tools can behave quite differently to variable pitch tools. This behaviour could be optimised through judicious design of the tool's helix angle, and this will be considered in the next section.

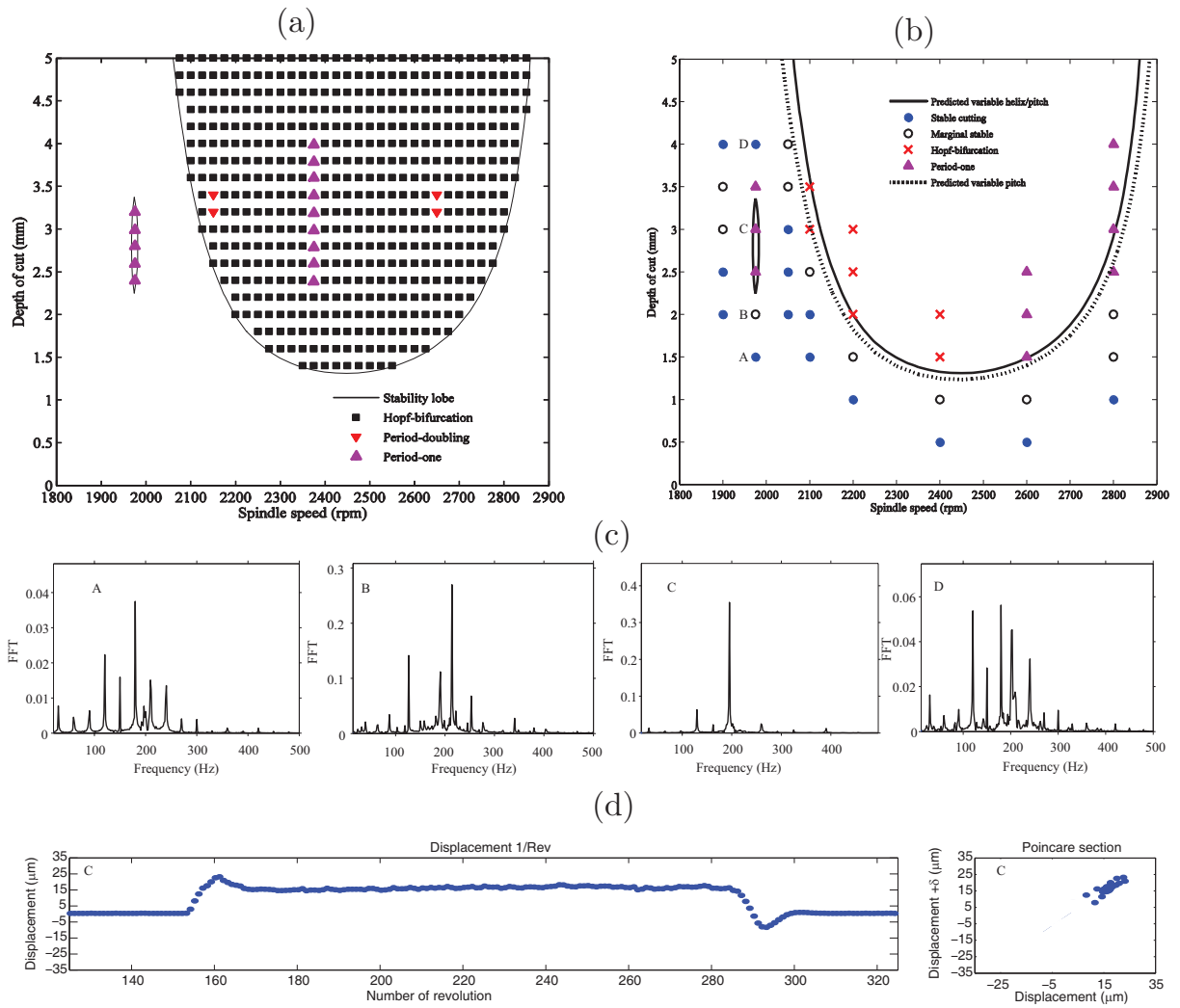


Figure 5: Experimental validation of the semi-discretisation method. (a) prediction; (b) experimental results with predicted stability boundary shown superimposed; (c) frequency-domain analysis for cases labelled A to D in (b) - note the different scales for each axis; (d) once/revolution samples and Poincaré section for case C.

3. Numerical Optimisation

The previous section has demonstrated the model's ability to predict chatter stability for variable helix tools. The present section will introduce an optimisation algorithm that will allow the tool's variable helix angles to be designed so as to avoid chatter.

3.1. Problem formulation

To begin, the optimisation problem must be posed such that potential algorithms can be employed to search for solutions. The stability analysis takes as inputs the structural dynamics of the system, the empirical cutting force coefficients, and the tool's geometry (helix, pitch angles). For each spindle speed and depth of cut, the stability is then predicted by way of the Characteristic Multipliers, where a CM greater unity indicates instability. Consequently, the maximum CM (at any depth of cut or spindle speed) must be minimised in order to avoid chatter. In order to obtain good performance over a range of spindle speeds and depths of cut, the maximum CMs can be obtained for a range of permutations of these parameters, and then an average maximum CM obtained.

In the present study, the tool's geometry will be considered to be input parameters that can be optimised so as to avoid chatter. Meanwhile, the structural dynamics, radial width of cut, and cutting force coefficients will be assumed to be fixed. From a practical perspective, the tool geometry must be constrained so as to only consider physically meaningful solutions. For example, the helix angles of consecutive teeth must still provide room for the chip to travel up the flute. With reference to Fig. 1, this can be encoded by introducing the variable Δh_i :

$$\Delta h_i = \frac{d_c - d_c (2N_c)^{-1}}{\tan \beta_i} - \frac{(d_c - 2d_c (N_c)^{-1}) \sin (2^{-1} \Delta \phi_i)}{\tan \beta_{i+1}} \quad (8)$$

Here, d_c is the tool diameter, N_c the number of teeth, β_i the helix angle for flute i , and $\Delta \phi$ the pitch between tooth i and tooth $i + 1$. Using this as a constraint leads to the following definition of the optimisation problem:

Minimise mean of maximum CMs, where $CM = f(\beta_i, \phi_i)$

Subject to constraints

$$\text{Helical Angle} \begin{cases} 25^\circ \leq \beta_i \leq 55^\circ \\ i = 1, 2, 3 \dots N_c \end{cases}$$

$$\text{Pitch Angle} \begin{cases} \phi + 22.5^\circ \leq \phi_i \leq \phi + 22.5^\circ \\ i = 1, 2, 3 \dots N_c \end{cases}$$

Helical height difference $\Delta h_i \geq 5$ mm

This optimisation problem will be tackled using a Differential Evolution algorithm.

3.2. Differential Evolution procedure

Evaluation Algorithms such as Genetic Algorithm (GA), Evolutionary Programming and Evolution Strategy have been researched for several decades. Differential Evolution (DE) was introduced by Price *et al* [19], and can be considered to be an improved GA version with different strategies for faster optimisation. It is similar to other evaluation algorithms

in which mutation plays the key role, with real valued parameters that directly search for the global optimum. A basic idea in DE is that of adapting the search during the evolution process. Compared to other algorithms, DE has the advantages of simple structure, ease of use, speed and robustness. In machining applications, Saikumar and Shunmugan [20] applied DE to select the best cutting speed, feedrate and depth of cut to achieve optimum surface finish while Krishna [21] applied DE in a grinding process.

DE can solve objective functions that are non differentiable, non linear, noisy, flat, multi dimensional, and with multiple local minima. Such functions are difficult to solve analytically, and the variable helix optimisation problem fits within this scope. DE begins using initial samples at multiple random chosen initial points. With simple algorithms, DE can search for the optimal condition very quickly with minimal control parameters such as mutation, crossover, selection and population.

The differential evolution approach is similar to GAs in that populations of function evaluations are allowed to evolve based upon certain rules. However, instead of a binary encoded population, differential evolution deals with a real coded population. Furthermore, the evolution rules, namely mutation and crossover, are different. The mutation process is created randomly from the selection of three individual vector differences. In the crossover process, any individual population member has equal opportunity to survive in the next generation based on its fitness value. The process of evolving mutation, recombination and selection through generations or new population is repeated until the optimum solution is achieved. In the present work, the DE source code by Markus Buehren [22] was used. The code is based on the DE algorithm of Price *et al* [19].

The values of the DE parameters used in the present work were a crossover rate CR of 0.9, a scaling factor F of 0.9, a size of population NP equal to 10 times the dimensions of the input variables, and 70 generations. Meanwhile, there are various strategies or configuration of DE algorithm. In the present study, the strategy ‘DE/rand/1/bin’ was used as it is the most successful and widely used in many applications [21, 20]. This notation implies that the trial vector is perturbed randomly, the difference vectors are considered for the perturbation step in the mutation process, and the type of crossover is binomial.

The DE optimisation procedure must be combined with the analytical method for chatter stability prediction, in order to optimise the tool geometry so as to avoid chatter. The resulting procedure is summarised in Fig. 6. Here, four processes can be seen, namely initialisation, semi-discretisation method, objective function evaluation, and DE optimisation. This procedure is as follows:

1. DE parameters are first set to create an initial population for the optimisation process.
2. For each member of the population, predicted stability values are obtained from the SDM algorithm, for the selected range of spindle speeds and depths of cut. The average stability is then calculated.
3. With each new generation of candidate solutions, the DE algorithm produces new input values for the SDM process, i.e. variable helix parameters, β_i and variable pitch parameters, ϕ_i .

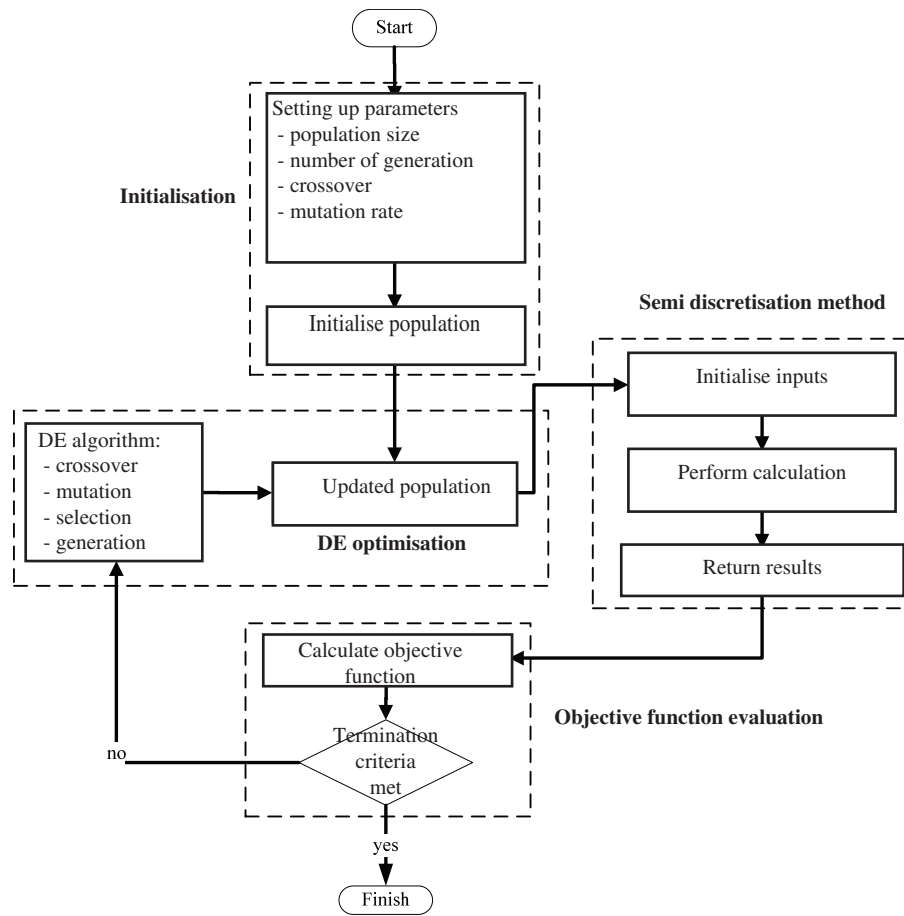


Figure 6: Optimisation methodology

4. This sequence of operations continues and repeats until a termination criteria is achieved, and the most stable tool geometry is then returned.

Preliminary numerical results [23] have demonstrated that this optimisation approach should enable substantial increases in chatter stability. In the next section, this capability will be investigated experimentally.

4. Experimental testing

4.1. Experimental setup

In this section, an experimental procedure is described that will be used to investigate the performance of optimised variable helix tools compared to traditional uniform pitch uniform helix tools.

The same experimental configuration was used as described in Section 2.2, i.e. a custom-built flexible Aluminium 7075-T6 workpiece. Machining was performed on a Haas VF6 CNC milling machine. The workpiece was to be down-milled at 10 percent radial immersion using a 16 mm diameter 3 flute end mill cutter. For the regular tool, the tangential cutting force coefficient was estimated to be 1250 MN/m^2 , and the radial cutting force coefficient was estimated to be 188 MN/m^2 . To minimise the static milling force magnitudes and to prevent large free vibration amplitude because of the interrupted cutting that was applied on the workpiece, a nominal chip thickness of 0.04 mm per tooth was used. A sequence of experiments were performed for a range of spindle speeds and axial depths of cut. At the end of each cutting test, it was necessary to perform a clean-up pass to ensure a sufficiently smooth surface for later tests. Signal acquisition and processing was the same as that described in Section 2.2, except that during each cutting test, the flexure acceleration was measured using a piezoelectric accelerometer (PCB 352C68) rather than a displacement probe.

4.2. Results

The results for regular and optimised tools will be now presented to measure the effectiveness of the variable helix approach to mitigating chatter.

The three flute of regular cutter had a uniform helix of $(30^\circ, 30^\circ, 30^\circ)$ and uniform pitch of $(120^\circ, 120^\circ, 120^\circ)$. It should be pointed out that this cutter was substantially stiffer than the flexible workpiece. Consequently the structural dynamics of the cutter can be neglected when optimising its geometry and when predicting the chatter stability.

A corresponding variable helix and variable pitch milling cutter was first designed using the optimisation procedure as described. The optimisation algorithm converged to an average CM value of 0.923 after 47 generations, as shown in Fig. 7. Note that this is an average value of the maximum CMs across a selected range of spindle speeds and depths of cut. Consequently this value does not necessarily indicate complete stability of the system, despite the value being less than unity. In fact the optimised cutter was completely stable over the selected range of spindles speeds and depths of cut. This cutter consisted of a variable helix geometry $(43^\circ, 44^\circ, 48^\circ)$ and variable pitch geometry $(84^\circ, 221^\circ, 55^\circ)$.

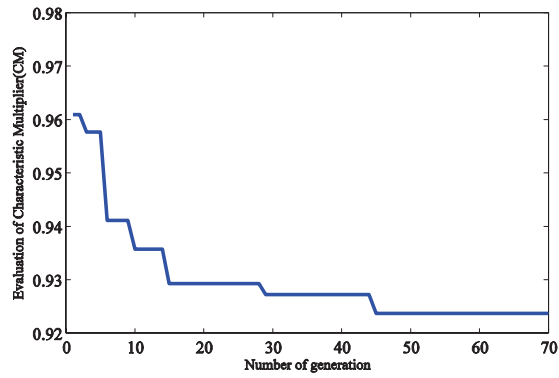


Figure 7: Optimisation results - evaluated objective function for increasing number of generations.

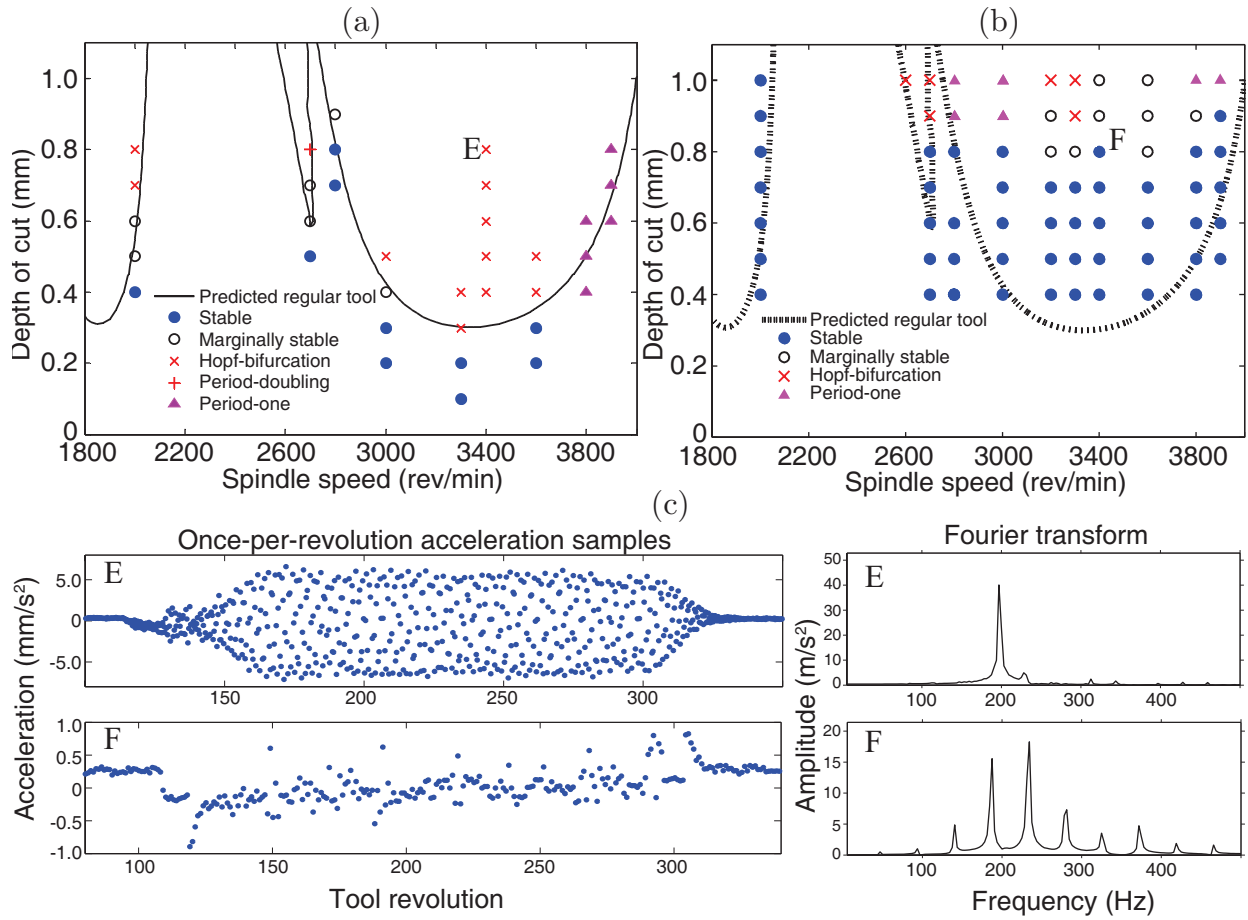


Figure 8: Analysis of experimental results. (a) Predicted and experimental stability of regular tool; (b) predicted and experimental stability of optimised variable helix tool; (c) Analysis of labelled data points E and F.

The chatter stability of the original (regular helix, regular pitch) cutter and the optimised cutter is shown in Fig. 8. It can be seen that the regular cutter (Fig. 8a) is relatively unstable in the selected range of spindle speeds and depths of cut. There is good agreement between the predicted and experimental behaviour. However, at high spindle speed, resonance occurred due to similarities of the chatter and spindle frequencies. Note that the so-called 'flip lobe' that is associated with period doubling behaviour occurs at 2700 rev/min. This lies on to the left of the main stability lobe due to the use of down-milling rather than up-milling, as illustrated by [24]. It can be seen that the critical depth of cut for the original cutter was experimentally confirmed to be less than 0.3 mm. Meanwhile, the optimised tool (Fig. 8b) is predicted to be completely stable across this range of cutting conditions, so that the stability boundary cannot be seen on Fig. 8b. In fact, the critical depth of cut is predicted to increase 8 fold when compared with the regular cutter. For comparison purposes, the stability boundary for the regular tool is shown superimposed on Fig. 8b, and a large number of stable cuts can be observed under conditions where the *regular* tool was predicted to become unstable. However, some unexpected unstable behaviour occurred at the highest depths of cut. Further work is needed to investigate whether this can be attributed to tool runout effects in conjunction with the use of a very flexible workpiece.

A closer comparison between the two tools is shown in Fig. 8c. Here, test cut 'E' (regular tool, 0.8mm, 3600 rev/min) is compared to test cut 'F' (variable helix tool, same conditions). The once-per-revolution acceleration signals are plotted since a high variance in this data is a well-known indicator of regenerative chatter [24]. It can be seen that test cut 'E' exhibits a much higher variance in once-per-revolution samples than test cut 'F'. Meanwhile, the Fourier analysis in Fig. 8c shows that the vibration in test cut 'E' is completely dominated by vibration at the regenerative chatter frequency (200 Hz) unlike the vibration in test cut 'F'. Consequently, the increased stability of the variable helix tool is clearly illustrated.

5. Discussion

A number of issues are worthy of further discussion. First, it should be pointed out that in the present study, the structural dynamics of the system have been relatively simple, with a single constant mode of vibration of the flexible workpiece. In practice milling chatter is often associated with the structural dynamics of the tool. This raises an additional complication for the optimisation process, because the tool dynamics are likely to be sensitive to the tool helix angle. Two possible ways to overcome this issue are (a) the inclusion of an FE prediction of the tool dynamics within the optimisation algorithm, or (b) modifying the algorithm so as to also optimise the robustness to variations in the structural dynamics (that are assumed constant). Nevertheless, the Differential Evolution approach would be applicable to either of these methods.

Second, there have been a large number of studies that have focussed purely on variable pitch tools (i.e. with a constant helix angle) and so it is worthwhile to compare their performance to that of variable helix tools. Fig. 5 showed that the variable helix tool was nearly identical to the variable pitch tool, but the variable helix tool produced an additional small island of period-one chatter instability. The optimised tool (Fig. 8) had a

very extreme variable pitch angle, and a less extreme variable pitch angle. Consequently, at the low axial depths of cut that were considered, the overall behaviour of this tool could have been matched by that of a variable pitch tool, with no need for a variable helix. However, in other scenarios considered by the authors, the DE algorithm has shown that allowing a variable helix as well as a variable pitch can offer superior chatter stability. Clearly, the additional design variables that are provided by a variable helix tool can pave the way for potentially greater performance compared to variable pitch tools. However, this is at the cost of greater complexity and potentially greater sensitivity to other model parameters, such as the structural dynamics and the cutting force coefficients. Further work would be useful to explore these trade-offs in more detail.

Third, there are a number of ways in which the optimisation problem can be formulated, in addition to the choice of which algorithm to use. The present work focussed on a Differential Evolution strategy. The algorithm was benchmarked against a more traditional approach (Sequential Quadratic Programming, or SQP) during the development stages [23], and the DE strategy was found to consistently out-perform the SQP algorithm. However, there are a number of aspects of the optimisation algorithm that could be explored in more detail. For example, a multi-objective optimisation procedure would help to illustrate the compromise between metal removal rate and degree of chatter stability. Meanwhile, the fact that DE out-performed SQP indicates that the optimisation problem is nonlinear with locally optimal solutions that can cause problems for non-global optimisation strategies. Consequently more work could be done to improve the efficiency of the optimisation algorithm and to compare the performance with alternative approaches.

It should also be pointed out that the present study has focussed on machining of Aluminium 7075-T6 alloy, and that the machining stability of harder materials such as steel has not been considered. In the case of harder workpieces, other factors are likely to influence the choice of processing conditions (spindle speed, depth of cut, etc). For example, tool wear could be far more significant, and thermal conductivity issues (e.g. in titanium alloys) could limit the surface speed. In contrast, the productivity of machining aluminium alloys can be enhanced considerably by properly understanding regenerative chatter issues [25], and this has motivated many recent studies that have also focussed purely on aluminium alloys (e.g. [24, 26, 27, 28]). The present study provides a new tool for this approach which allows the regions of chatter stability to be tailored, by adjustment of the tool helix geometry, to suit a particular application. Nevertheless, the application of the approach to other workpiece materials remains a topic for future research.

Finally, the experimental tests in the present contribution were all concerned with the machining of a very flexible workpiece. This resulted in very low stable depths of cut, and high amplitude forced vibrations even in the stable cases. This may have been a factor in the experimentally observed period-one behaviour at higher spindle speeds. In any case, the model would benefit from the inclusion of tool runout effects, and also the inclusion of a surface location error algorithm. This would allow the optimisation algorithm to consider these factors as well as the chatter stability when optimising the tool helix angles.

6. Conclusions

This contribution has developed an optimisation procedure so that regenerative chatter can be avoided by using variable helix milling tools with a custom geometry. A recently proposed stability model for variable helix milling tools [15] has been experimentally validated, and a Differential Evolution algorithm was developed that incorporated the chatter stability model. This allowed the tool helix and pitch angles to be optimised so as to minimise chatter for a given set of conditions. The specific conclusions are as follows:

1. Variable helix tools can be designed to provide substantial performance improvements compared to traditional tools, due to their improved chatter stability. In the present study, a five-fold improvement in chatter stability (compared to a regular tool) was experimentally observed.
2. Variable helix tools suffer from period-one chatter instability, which (to the authors' knowledge) has been experimentally observed for the first time in this work. This type of instability is difficult to identify because the vibration frequency coincides with the force vibration frequency from tool rotation. Furthermore, the instability can occur in small isolated regions of the stability diagram, so that small changes in the process parameters can have a large effect on the stability.
3. Variable helix tools give greater flexibility over the process variables that influence chatter. In particular, they introduce additional design variables (helix angles and pitch angles) that can be used to optimise process parameters. This optimisation process is non-trivial due to the nonlinear relationship between the design variables and the objective (to reduce chatter). In the present study, this was overcome using a Differential Evolution algorithm, which was shown to produce viable tool geometries with substantial improvements in chatter stability compared to regular tools.

Finally, further work is needed to include the effects of tool runout in the modelling and optimisation process. It is also important to consider the more complex scenario where the tool's structural dynamics are included in the optimisation algorithm.

Acknowledgement

The authors extend their sincere thanks to the support of the EPSRC (EP/D052696/1), the Advanced Manufacturing Research Centre with Boeing at the University of Sheffield, Technicut Limited, and Dormer Tools.

Appendix

$$\begin{aligned}
 \mathbf{A}_d &= \begin{bmatrix} \begin{bmatrix} \{ 0 \ \cdots \ 0 \}_{[N-1]} & 0 \\ [I]_{[(N-1) \times (N-1)]} & \{ 0 \ \cdots \ 0 \}_{[N-1]}^T \end{bmatrix} & [0] \\ [0] & \begin{bmatrix} \{ 0 \ \cdots \ 0 \}_{[N-1]} & 0 \\ [I]_{[(N-1) \times (N-1)]} & \{ 0 \ \cdots \ 0 \}_{[N-1]}^T \end{bmatrix} \end{bmatrix} \\
 \mathbf{B}_d &= \begin{bmatrix} \left\{ \begin{matrix} 1 & \{ 0 \ \cdots \ 0 \}_{[N-1]}^T \\ 0 & \cdots \\ 0 & \cdots \\ 0 & \cdots \end{matrix} \right\}_{[N-1]} & \left\{ \begin{matrix} 0 & \cdots & 0 \\ \cdots & & \cdots \\ 1 & \{ 0 \ \cdots \ 0 \}_{[N-1]}^T \end{matrix} \right\}_{[N-1]} \end{bmatrix} \\
 \mathbf{C}_d &= \begin{bmatrix} -[\mathbf{I}]_{[N \times N]} & [0] \\ [0] & -[\mathbf{I}]_{[N \times N]} \end{bmatrix} \\
 \mathbf{D}_d &= \begin{bmatrix} \left\{ \begin{matrix} 1 & \cdots & 1 \\ \cdots & & \cdots \\ 0 & \cdots & 0 \end{matrix} \right\}_{[N]}^T & \left\{ \begin{matrix} 0 & \cdots & 0 \\ \cdots & & \cdots \\ 1 & \cdots & 1 \end{matrix} \right\}_{[N]}^T \end{bmatrix}
 \end{aligned} \tag{9}$$

References

- [1] J. L. Dohner, J. P. Lauffer, T. D. Hinnerichs, N. Shankar, M. Regelbrugge, C.-M. Kwan, R. Xu, B. Winterbauer, K. Bridger, Mitigation of chatter instabilities in milling by active structural control, *Journal of Sound and Vibration* 269 (2004) 197.
- [2] Y. Zhang, N. D. Sims, Milling workpiece chatter avoidance using piezoelectric active damping: a feasibility study, *Smart materials and structures* 14 (2005) 65–70.
- [3] N. D. Sims, Vibration absorbers for chatter suppression: A new analytical tuning methodology, *Journal of Sound and Vibration* 301 (2007) 592–607.
- [4] Y. Yang, J. Muoa, Y. Altintas, Optimization of multiple tuned mass dampers to suppress machine tool chatter, *International Journal of Machine Tools and Manufacture* In Press, Corrected Proof (????).
- [5] Y. S. Liao, Y. C. Young, A new on-line spindle speed regulation strategy for chatter control, *International Journal of Machine Tools and Manufacture* 36 (1996) 651.
- [6] E. Soliman, F. Ismail, Chatter suppression by adaptive speed modulation, *International Journal of Machine Tools and Manufacture* 37 (1997) 355.
- [7] S. Jayaram, S. G. Kapoor, R. E. DeVor, Analytical stability analysis of variable spindle speed machining, *Journal of Manufacturing Science and Engineering* 122 (2000) 391–397.
- [8] Y. Altintas, S. Engin, E. Budak, Analytical stability prediction and design of variable pitch cutters, *Journal of Manufacturing Science and Engineering, Transactions of the ASME* 121 (1999) 173.
- [9] E. Budak, An analytical design method for milling cutters with non-constant pitch to increase stability, part 1: Theory and part 2: Application, *Journal of Manufacturing Science and Engineering* 125 (2003) 29–38.
- [10] N. Olgac, R. Sipahi, Dynamic and stability of variable pitch milling, *Journal of Vibration and Control* 13 (2007) 1031–1043.
- [11] H. Opitz, E. U. Dregger, H. Rose, Improvement of the dynamics stability of the milling process by irregular tooth pitch, *Advances in Machine Tool Design and Research, Proc. of MTDR Conference* 7 (1966) 213–227.
- [12] J. Slavicek, The effect of irregular tooth pitch on stability of milling, *Proceedings of the 6th MTDR Conference* (1965) 15–22.

- [13] B. J. Stone, The effect on the chatter behaviour of cutters with different helix angles on adjacent teeth, *Advances in Machine Tool Design and Research, Proc. of 11th International MTDR Conference University of Birmingham A* (1970) 169–180.
- [14] S. Turner, D. Merdol, Y. Altintas, K. Ridgway, Modelling of the stability of variable helix end mills, *International Journal of Machine Tools and Manufacture* 47 (2007) 1410.
- [15] N. D. Sims, B. Mann, S. Huyanan, Analytical prediction of chatter stability for variable pitch and variable helix milling tools, *Journal of Sound and Vibration* 317 (2008) 664–686.
- [16] T. Insperger, G. Stepan, Semi discretization method for delayed system, *International Journal for Numerical Methods in Engineering* 55 (2002) 503–518.
- [17] J. M. T. Thompson, H. B. Stewart, John Wiley & Sons, West Sussex, 2002.
- [18] T. Insperger, B. P. Mann, T. Surmann, G. Stepan, On the chatter frequencies of milling processes with runout, *International Journal of Machine Tools and Manufacture* 48 (2008) 1081–1089.
- [19] K. Price, R. Storn, J. Lampinen, *Differential Evolution: A Practical Approach to Global Optimization*, Springer, Berlin Heidelberg, 2005.
- [20] S. Saikumar, M. Shunmugan, Parameter selection based on surface finish in high speed finish in high speed end milling using differential evolution, *Materials and Manufacturing Processes* 21 (2008) 341–347.
- [21] A. Krishna, Selection of optimal conditions in the surface grinding process using a differential evolution approach, *Proceedings of the Institution of Mechanical Engineers, Part B: Journal of Engineering Manufacture* 221 (2007) 1185–1192.
- [22] B. Markus, *Differential evolution*, 2008.
- [23] A. Yusoff, N. D. Sims, Optimisation of variable helix end milling tools by minimising self-excited vibration, *Journal of Physics: Conference Series on 7th International Conference on Modern Practice in Stress and Vibration Analysis* 181 (2009) 012026.
- [24] B. Patel, B. Mann, K. Young, Uncharted islands of chatter instability in milling, *International Journal of Machine Tools and Manufacture* 48 (2008) 124–134.
- [25] J. Tlustý, *Manufacturing Processes and Equipment*, Prentice Hall, New Jersey, 1999.
- [26] U. Bravo, O. Altuzarra, L. Lpez de Lacalle, J. Snchez, F. Campa, Stability limits of milling considering the flexibility of the workpiece and the machine, *International Journal of Machine Tools and Manufacture* 45 (2005) 1669–1680.
- [27] F. Campa, L. Lpez de Lacalle, A. Celaya, Chatter avoidance in the milling of thin floors with bull-nose end mills: Model and stability diagrams, *International Journal of Machine Tools and Manufacture* In Press, Accepted Manuscript (????) –.
- [28] Z. Dombovari, Y. Altintas, G. Stepan, The effect of serration on mechanics and stability of milling cutters, *International Journal of Machine Tools and Manufacture* 50 (2010) 511–520.