Optimizing Binding Energies of Key Intermediates for CO2 Hydrogenation to Methanol over Oxide-Supported Copper

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Abstract

Rational optimization of catalytic performance has been one of the major challenges in catalysis. Here we report a bottom-up study on the ability of TiO_2 and ZrO_2 to optimize the CO_2 conversion to methanol on Cu, using a combined density functional theory (DFT) calculations, kinetic Monte Carlo (KMC) simulations, in-situ diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) measurements and steady-state flow reactor tests. The theoretical results from DFT and KMC agree with in-situ DRIFTS measurements, showing that both TiO_2 and ZrO_2 help to promote methanol synthesis on Cu via carboxyl intermediates and the reverse water-gas-shift (RWGS) pathway; the formate intermediates on the other hand likely act as a spectator eventually. The origin of the superior promoting effect of ZrO_2 is associated with the fine-tuning capability of reduced Zr^{3+} at the interface, being able to bind the key reaction intermediates, e.g. $*CO_2$, $*CO$, $*HCO$, and $*H_2CO$ moderately to facilitate methanol formation. This study demonstrates the importance of synergy between theory and experiments to elucidate the complex reaction mechanisms of CO_2 hydrogenation for the realization of a better catalyst by design.

1. Introduction

Metal-oxide catalysts are widely used as catalysts to facilitate many important processes.[1-3] Extensive efforts have been made to provide mechanistic understanding of the variation in catalytic properties on going from metal to metal/oxide catalysts. The special synergy between metals and oxides can reflect large electronic perturbations in the metals,[4,5] introduce special sites at the metal/oxide interface,[1,6-10] induce the structural variation of metal nanostructures,[11,12] which directly affect the bonding strength of the catalyst and therefore the catalytic performances. The present study aims to provide fundamental insight into the effect of varying oxide supports on the catalysis of metal oxide catalysts, using a combination of density functional theory (DFT) calculations, kinetic Monte Carlo (KMC) simulations, in-situ diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) measurements and steady-state flow reactor experiments. Carbon dioxide (CO_2) hydrogenation to methanol on Cu/oxide catalysts was taken as a case study.

CO_2 concentration in atmosphere has severe impact on global climate change and ocean acidification. The conversion of CO_2 to chemicals, e. g. carbon monoxide (CO), and methanol (CH_3OH), represents one of the potential ways to reduce the CO_2 level and has attracted enormous attention in recent years.[1,13-28] Industrially, the CH_3OH synthesis from CO_2 hydrogenation is conducted over a $Cu/ZnO/Al_2O_3$ catalyst at 220-300 ^{0}C.[29] Even though the reaction is exothermic, the conversion of CO_2 to CH_3OH is kinetically limited to 15-25% at elevated pressures of 50-100 atm.[1,30] Significant efforts have been devoted to improve the catalytic performance of the Cu-based catalysts. An enhancement in CO_2 conversion and CH_3OH selectivity was achieved by forming Cu alloys,[31,32] and using reducible metal oxides as support for Cu. Among the Cu/oxides studied, ZrO_2 [33-35] and TiO_2 [1,36,37] are very promising catalyst

supports and/or promoters. However, little has been done on mechanistic comparison of the catalytic performance of Cu/ZrO_2 and Cu/TiO_2 using both theory and experiment, where the catalysts are synthesized and tested under comparable conditions. Furthermore, the origin of the promoting effects of TiO_2 and ZrO_2 on the catalytic performance of Cu toward CO_2 hydrogenation is not well understood at molecular level. Both ZrO_2 and TiO_2 are reducible and likely adopt similar structures. Understanding their different behaviors in modifying catalysis of Cu will help in optimizing the performance of metal/oxide catalyst.

The present combined study set a detailed mechanistic comparison in catalytic performance of metal/oxide introduced by varying oxide, where the catalytic CO_2 hydrogenation on Cu/TiO_2 and Cu/ZrO_2 was extensively studied in term of activity, selectivity and stability. Both DFT calculations and the in-situ DRIFTS measurements demonstrate that Cu/TiO_2 and Cu/ZrO_2 promote the CO_2 hydrogenation to CH_3OH via the reverse water-gas-shift (RWGS) reaction to produce CO followed by its hydrogenation to CH_3OH through the formation of methoxy (*H_3CO) as a reaction intermediate, while the formate (*HCOO) species are likely spectators and poison the active sites on the surface. A superior performance of ZrO_2 over TiO_2 is observed in both theory and steady-state flow reactor experiment for promoting the overall conversion and CH_3OH selectivity of Cu during CO_2 hydrogenation. According to the detailed mechanistic study, the synergistic interaction between Cu and reduced Zr^{3+} ions at the metal/oxide interface is able to increase the binding properties of Cu to facilitate CO_2 conversion to CH_3OH, but not too much to generate poisoning of active sites by adsorbates.

2. Methods

2.1 Computational Methods

Spin-polarized density functional theory (DFT)[38,39] calculations were carried out using the Vienna *ab-initio* simulation package (VASP)[40] code. The generalized gradient approximation of Perdew and Wang (PW91)[41] was employed for the electronic exchange and correlation. The plane wave pseudopotential with a kinetic cutoff energy of 400 eV within the projector augmented wave (PAW)[42,43] method was used. Gaussian smearing method with an electronic temperature of $k_BT = 0.05$ eV was employed to obtain faster convergence. The Cu(111) surface was modeled by a four layer 5×5 unit cell. The bottom two layers in the unit cell were fixed in their optimized bulk positions while the top two layers along with the metal-oxide clusters were allowed to relax until the forces were below 0.02 eV/Å. The Brillouin-zone integration was performed on a grid of $3 \times 3 \times 1$ Monkhorst-Pack[44] special k-points. A vacuum layer of 20 Å thick was applied perpendicular to the slab to avoid artificial interactions between the slab and its periodic images. The electronic structure of Ti was treated in DFT + U[45] formalism with a U value of 4.5 eV.[46,47] The transition state of a chemical reaction was located using the climbing image nudged elastic band (CI-NEB) method implemented in VASP.[48] The activation energy (E_a) of a chemical reaction is defined as the energy difference between the initial and transition states while the reaction energy (ΔE) is defined as the energy difference between the initial and final states.

An inverse model was used to determine the effect of the oxide support on the activity of Cu toward CO_2 hydrogenation, where the oxide clusters were deposited on the Cu(111) surface. Such inverse model has been recently shown to be appropriate to describe the catalytic properties of Cu/oxide catalysts under CO_2 hydrogenation conditions.[1,49] It is able to capture the structural motif at the metal/oxide interface, which plays a key role in promoting the

activity.[50-53] The metal-oxide cluster adsorption energy (ΔE_{ads}) on the Cu(111) surface is defined as:

$$\Delta E_{ads} = E[\text{metal-oxide cluster/Cu(111)}] - E[\text{Cu(111)}] - E[\text{metal-oxide cluster(g)}].$$

where E[metal-oxide cluster/Cu(111)], E[Cu(111)], and E[metal-oxide cluster(g)] are the total energies of optimized Cu(111) with the metal-oxide cluster, the clean Cu(111) surface, and the metal-oxide cluster in the gas phase, respectively. Similarly, the binding energy (BE) of an adsorbate on the metal-oxide/Cu(111) surface is defined as:

$$BE(\text{adsorbate}) = E(\text{adsorbate} + \text{metal-oxide/Cu(111)}) - E(\text{metal-oxide/Cu(111)}) - E(\text{adsorbate}),$$

where E(adsorbate + metal-oxide/Cu(111)), E(metal-oxide/Cu(111)) and E(adsorbate) are the total energies of optimized adsorbate + metal-oxide/Cu(111), clean metal-oxide/Cu(111), and adsorbate in the gas phase, respectively.

2.2 Experimental Methods

2.2.1 Catalysts Synthesis

The Cu/ZrO$_2$ and Cu/TiO$_2$ catalysts were synthesized by deposition–precipitation on commercial supports (ZrO$_2$ with a surface area of 90 m^2/g, and TiO$_2$ (anatase) with a surface area larger than 150 m^2/g, from Alfa Aesar). The supports were dried for 12 h at 100 °C before Cu deposition. The Cu precursor (Cu(NO$_3$)$_2$·3H$_2$O from Sigma-Aldrich) and 200 ml distilled water were added to a beaker under stirring to form a 8 mM Cu(NO$_3$)$_2$ solution. Then, urea (CO(NH$_2$)$_2$ from Sigma-Aldrich) was added to the solution in a concentration 100 times of Cu(NO$_3$)$_2$ at room temperature. Afterwards, the pre-weighed support was added to the beaker and the solution was heated to 80 °C at a heating rate of 1 °C/min to make urea slowly hydrolyze, homogeneously producing ammonium hydroxide through the solution. Since an effective mixing

is very important, the solution was stirred vigorously for 5 h. The solution pH gradually rose to about 7.6 at the beginning and then remained practically constant. The Cu loading of the catalysts in this work was 5 wt%. After the deposition-precipitation step, the solution was filtered and washed with 200 ml distilled water for three times. The recovered samples was dried at 90 °C for 12 h and then calcined in air at 350 °C for 4 h with a heating rate of 0.8 °C/min.

2.2.2 In-situ DRIFTS Measurements

In-situ DRIFTS measurements were performed in order to detect and characterize the surface adsorbates and reaction intermediates over Cu/ZrO_2 and Cu/TiO_2 catalyst under reaction conditions. The spectra were collected using a FTIR spectrometer (Thermo, Nicolet 6700) equipped with a MCT detector. Before measurement, each catalyst was reduced in a hydrogen/helium mixture (10 ml/min hydrogen with 10 ml/min helium) at 350 °C for 30 min, and then purged with a 20 ml/min He at 360 °C for 20 min. Subsequently the catalyst was cooled down to 235 °C (i.e., reaction temperature). The background spectrum (256 scans) with a resolution of 4 cm^{-1} was obtained at 235 °C in He flow (20 ml/min). The in-situ DRIFT spectra during CO_2 hydrogenation reaction at 235 °C were recorded by collecting 64 scans at 4 cm^{-1} resolution, according to the following procedure after the pretreatment: (1) the sample (Cu/ZrO_2 or Cu/TiO_2) was exposed to a CO_2/helium mixture (5 ml/min CO_2 with 15 ml/min helium) at 235 °C for 10 min; (2) the CO_2 hydrogenation reaction was then conducted with a CO_2/hydrogen mixture (5 ml/min CO_2 with15 ml/min hydrogen) for 30 min; (3) the reaction cell was purged with a 20 ml/min helium for 30 min. The in-situ DRIFT spectra shown in this work were expressed in units of Kubelka-Monk, in which only bands attributed to adsorbed species were shown.

2.2.3 Steady-state Flow Reactor Testing

Flow reactor studies for CO_2 hydrogenation under atmospheric pressure were carried out in a quartz tube reactor at 220 °C. The powder catalysts (40-80 mesh) were reduced under a 1:1 hydrogen and argon mixture (40 ml/min total flow rate) at 350 °C for 1 h prior to reaction. The flow rates of CO_2 and hydrogen were set at 10 and 30 ml/min, respectively. For each experiment, the temperature was ramped to 220 °C and held for over 12 h until the reaction was under steady-state. The products at the reactor outlet were detected by an online gas chromatography (Agilent 7890B) equipped with a thermal conductivity detector (TCD) and a flame ionization detector (FID).

3. Results and discussion

3.1 Mechanistic studies of CO_2 hydrogenation using DFT and KMC

Two major reaction pathways have been proposed for CO_2 hydrogenation over Cu,[32,54-61] as illustrated in Figure 1. The first pathway is featured by the CO intermediate, which is produced from the reverse-water-gas-shift (RWGS: $CO_2 + H_2 \rightarrow CO + H_2O$) reaction via carboxyl (*HOCO) species and is further hydrogenated to the final product CH_3OH (designated as the CO-Hydro pathway); the other pathway is associated with the formate (*HCOO) intermediate formed via CO_2 hydrogenation, which eventually produces CH_3OH via the C-O bond cleavage and *HCO or *H_2CO intermediates (designated as the Formate pathway).[62] In the present study, the mechanistic study of CO_2 hydrogenation on Cu/oxides was carried out using self-consistent periodic DFT calculations. By adapting an inverse model, which has been shown to be a reasonable model for describing the catalytic properties of metal–oxide catalysts under CO_2 hydrogenation conditions,[1] the Cu/oxides interface is modeled by depositing small oxide clusters Ti_3O_6 and Zr_3O_6 on the Cu(111) surface. Although the oxide trimmers may be too small

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to describe those observed in the experiment, they are likely to resemble the low coordinated sites and structural flexibility of the interfacial oxides. Such small oxide cluster was successfully employed to describe the experimentally observed trend in activity toward CO_2 hydrogenation on metal/oxide catalysts.[63] In addition, H_2 dissociation on the Cu(111) surface is facile;[64] yet the dissociated *H is not stable on Cu and recombines to desorb as H_2. With the presence of the oxide cluster on Cu(111), *H favors the spillover to the O atoms of the oxide clusters to form OH groups. These results agree well with previous studies, where the spillover of H from metal particles to oxide supports including TiO_2 and ZrO_2 was clearly detected experimentally.[65] Therefore the hydroxylation of oxide clusters was considered and the Cu/oxide interface was modeled by depositing hydroxylated Ti_3O_6 and Zr_3O_6 clusters, i.e., $Ti_3O_6H_6$ and $Zr_3O_6H_6$ clusters on the Cu(111) surface as shown in Figure 2.

3.1.1 Hydroxylated Ti_3O_6/Cu(111)

The $Ti_3O_6H_6$/Cu(111) system was constructed with all six O atoms of the Ti_3O_6 cluster saturated by H atoms (Figure 2a). As a result, O atoms saturated by H atoms no longer interact with the Cu(111) surface. Instead the $Ti_3O_6H_6$ luster is anchored to Cu(111) only through Ti-Cu bonds and tilted by ~45° to the Cu(111) surface, while the binding energy (-3.14 eV) is only slightly weakened (-3.36 eV for Ti_3O_6/Cu(111)) . According to Bader charge analysis, there is a ~+1 e shift going from Ti in $Ti_3O_6H_6$/Cu(111), which directly interacts with Cu(111) and is identified to be active for the reaction as seen in the following, to Ti in rutile TiO_2 bulk. This suggests that active Ti has an oxidation state of +3.

To obtain insights into the reaction pathways, intermediates and the rate limiting steps, the potential energy diagrams of the catalytic CO_2(g) hydrogenation to CH_3OH(g) on $Ti_3O_6H_6$/Cu(111) was calculated, where both the RWGS + CO-Hydro and Formate pathways

were included. It is evident that the metal-oxide interfacial sites directly participate in binding and stabilizing most of the reaction intermediates: $*CO_2$, $*HOCO$, $*CO$, $*HCO$, $*H_2CO$, $*HCOH$, $*H_2COH$ species via η^2-$C_{Cu}O_{Ti\delta+}$ as well as $*HCOO$, $*H_2COOH$ and $*OH$ via η^2-$O_{Cu}O_{Ti3+}$. Only four of the reaction intermediates, $*H_3CO$, $*HCOOH$, $*H_2O$ and $*CH_3OH$, interact with the Ti^{3+} sites of $Ti_3O_6H_6$ via η^1-O_{Ti3+} (Figure 3 and Table 1).

The reaction starts with CO_2 adsorption at the $Ti_3O_6H_6$/Cu(111) interfacial sites in a bent configuration, where the C atom sits on Cu and one of the O atoms interacts with Ti (BE = -0.32 eV, Figure 3a). In comparison, on unmodified Cu(111) , CO_2 stays in a linear configuration as that in gas phase.[31] This difference indicates that CO_2 is activated by depositing TiO_2 on Cu, which forms carboxylate species ($*CO_2^-$) and can promote the overall CO_2 conversion. Along the RWGS + CO-Hydro pathway (Figure 4), $*CO_2$ hydrogenation leads to the formation of $*HOCO$ ($\Delta E = 0.07$, $E_a = 0.89$ eV), which dissociates into $*CO$ and $*OH$ ($\Delta E = -0.20$, $E_a = 0.68$ eV). $*OH$ is hydrogenated to form $*H_2O$ ($\Delta E = -0.12$, $E_a = 0.61$ eV). $*CO$ produced via the RWGS reaction either desorbs by overcoming a barrier of 1.21 eV, or undergoes hydrogenation to $*HCO$ ($\Delta E = 0.47$ eV, $E_a = 0.73$ eV); however, the entropic contribution under reaction conditions, which significantly lowers the barrier for CO desorption, e.g. by ~1 eV at 220 °C. Therefore, it is likely that CO is the major product for CO_2 hydrogenation on $Ti_3O_6H_6$/Cu(111), while only a small amount of $*CO$ is available for further hydrogenation to $*HCO$, $*H_2CO$ ($\Delta E = -0.23$ eV, $E_a = 0.54$ eV), $*H_3CO$ ($\Delta E = -0.86$ eV, $E_a = 0.14$ eV) and the final product $*CH_3OH$ ($\Delta E = -0.08$ eV, $E_a = 0.77$ eV). In addition, there is another obstacle to hinder the CH_3OH yield, besides the preferential CO desorption. As shown in Figure 4, although the formation ($E_a = 0.73$ eV) and the hydrogenation ($E_a = 0.54$ eV) of $*HCO$ are not highly activated, both steps cannot

compete with its decomposition to *CO (E_a = 0.26 eV). It suggests that *HCO is not stable and prefers to decompose back to produce *CO.

Along the Formate pathway (Figure 4), the initial hydrogenation of *CO_2 to *HCOO is exothermic (ΔE = -0.63 eV, E_a = 0.46 eV). *HCOO then undergoes hydrogenation to form *HCOOH (ΔE = 0.30 eV, E_a = 0.85 eV) and then *H_2COOH (ΔE = 0.01 eV, E_a = 0.67 eV). *H_2COOH is the precursor for C-O bond cleavage, which produces *H_2CO (ΔE = 0.57 eV, E_a = 0.79 eV) and finally *CH_3OH via the similar route as that in the CO-Hydro pathway. The *CO_2 hydrogenation to *HCOO is more facile than to *HOCO (E_a = 0.89 eV), suggesting the preference of the Formate pathway over the RWGS + CO-Hydro pathway. However, the stability of *H_2CO is rather low along the Formate pathway. The formation of *H_2CO via *H_2COOH decomposition (E_a = 0.79 eV) is much less favorable than the reverse reaction (E_a = 0.22 eV). As a result, the CH_3OH yield should be limited and the *HCOO species would accumulate on the surface and do not contribute to the overall production of CH_3OH.

According to the DFT calculations, the deposition of TiO_2 is able to promote the CO_2 adsorption and therefore the overall CO_2 conversion on Cu; however, the effect is relatively small on the selective production of CH_3OH, and CO likely remains as the major product. Along the RWGS + CO-Hydro pathway, the low stability of the intermediates such as *CO, *HCO or *H_2CO hinder the complete conversion of CO_2 to CH_3OH, rather resulting in the partial hydrogenation to CO, while the highly stabile *HCOO species along the Formate pathway likely lead to surface poisoning.

3.1.2 Hydroxylated Zr_3O_6/Cu(111)

$Zr_3O_6H_6$ adopts similar structures as $Ti_3O_6H_6$, where a +1 e shift in the Bader charge is also observed going from Zr in $Zr_3O_6H_6$/Cu(111), which is active to bond with O-containing

species as shown in the following, to that in bulk cubic-ZrO_2 atom, indicating a reduction of Zr to an oxidation state equivalent to +3 by the formation of $Zr_3O_6H_6$/Cu(111). Differently, by deposition on Cu(111), $Zr_3O_6H_6$ is in close contact with the surface via Zr^{3+}-Cu bonds (Figure 2b), rather than tilting away as $Ti_3O_6H_6$ (Figure 2a). That is, Zr^{3+} displays higher activity in binding than Ti^{3+}, as indicated by the higher density of states of Zr^{3+} near the Fermi level than Ti^{3+} (Figure S1 in Supporting Information). For most reaction intermediates, the binding sites on $Zr_3O_6H_6$/Cu(111) are similar to those of $Ti_3O_6H_6$/Cu(111) (Table 1 and Figure 5). Differences are seen for *OH and *H_2COOH, where the stabilization is achieved by Zr^{3+} alone. This is strongly associated with the higher binding property of Zr^{3+} than Ti^{3+}, being able to stabilize the adsorbate more in cooperation with Cu via η^2-$C_{Cu}O_{Zr3+}$ or by itself via η^1-O_{Zr3+} (Table 1). The CO_2 binding is enhanced on $Zr_3O_6H_6$/Cu(111) by 0.86 eV, suggesting a potentially significant enhancement in the overall CO_2 conversion compared to $Ti_3O_6H_6$/Cu(111). In addition, the key intermediates to control the CH_3OH selectivity on $Ti_3O_6H_6$/Cu(111),*CO, *HCO and *H_2CO, are also stabilized from $Ti_3O_6H_6$ to $Zr_3O_6H_6$ (Table 1). To understand whether such stabilization promotes the CO_2 conversion to CH_3OH, the potential energy diagram was calculated in Figure 6.

Along the RWGS + CO-Hydro pathway (Figure 6), the initial hydrogenation of *CO_2 to *HOCO is more favorable (ΔE = 0.18 eV, E_a = 0.76 eV) than that of $Ti_3O_6H_6$/Cu(111), while the dissociation to *CO + *OH is energetically comparable with a difference in barrier being 0.04 eV. Different from the case of $Ti_3O_6H_6$/Cu(111), the formation of *H_2O is highly activated (ΔE = 1.03 eV, E_a = 1.36 eV) due to the strong binding of OH at the $Zr_3O_6H_6$/Cu(111) interface (Table 1). In the case of *CO, E_a for desorption (1.86 eV) is highly competitive with the hydrogenation to *HCO (ΔE = 0.39 eV, E_a = 0.88 eV) on $Zr_3O_6H_6$/Cu(111) after including the

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entropy contribution. In addition, the stability of *HCO is greatly increased. Consequently, the decomposition of *HCO to *CO is less favorable (E_a = 0.49 eV). Finally, *HCO hydrogenation to *H$_2$CO (ΔE = -0.69 eV, E_a = 0.44 eV) is slightly more preferred than *HCO decomposition. The hydrogenation of *H$_2$CO leads to the formation of *H$_3$CO (ΔE = -1.10 eV, E_a = 0.43 eV) and then CH$_3$OH (ΔE = 1.07 eV, E_a = 1.11 eV). Overall, the increased stability of *CO, *HCO and *H$_2$CO on Zr$_3$O$_6$H$_6$/Cu(111) compared to Ti$_3$O$_6$H$_6$/Cu(111) promotes the reactions via the CO-Hydro pathway to produce CH$_3$OH.

The strengthened bindings in the case of Zr$_3$O$_6$H$_6$/Cu(111) result in some drawbacks. Along the reaction channel, there are two possible bottle-neck steps. One is *OH hydrogenation (E_a = 1.36 eV), which hinders H$_2$O production via the RWGS reaction; the other is *H$_3$CO hydrogenation (E_a = 1.11 eV), which slows down the CH$_3$OH yield. Both steps display higher barrier on Zr$_3$O$_6$H$_6$/Cu(111) than that on Ti$_3$O$_6$H$_6$/Cu(111). In this case, *OH and *H$_3$CO are too strongly bound to react (Table 1). However, each step is followed by a facile desorption, H$_2$O or CH$_3$OH, which can drive the reaction going forward under the reaction conditions with the help from entropic contributions.

Along the Formate pathway, the initial hydrogenation of *CO$_2$ to *HCOO (ΔE = -0.58 eV, E_a = 0.14 eV) on Zr$_3$O$_6$H$_6$/Cu(111) is even more facile than that on Ti$_3$O$_6$H$_6$/Cu(111) (Figure 6), due to the increased stability of *HCOO by 0.72 eV (Table 1). The further hydrogenation to *HCOOH (ΔE = 0.70 eV, E_a = 0.80 eV) can be hindered by the facile reverse reaction (E_a = 0.10 eV). In fact, the decomposition of *HCOOH to *HCOO is faster than not only the *HCOO hydrogenation, but also the rest of steps including hydrogenation of *HCOOH to *H$_2$COOH (ΔE = -0.98 eV, E_a = 0.28 eV), *H$_2$COOH dissociation to *H$_2$CO and *OH (ΔE = 0.67 eV and E_a = 1.32 eV) and *H$_2$CO hydrogenation to *CH$_3$OH. Therefore, the CO$_2$ hydrogenation on

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Zr$_3$O$_6$H$_6$/Cu(111) via the Formate pathway is likely slowed down due to the highly stabilized *HCOO over time, which occupies the active sites and prevents the production of CH$_3$OH.

3.1.3 KMC simulations

The KMC simulations were performed based on the DFT-calculated potential energies for CO$_2$ hydrogenation on Ti$_3$O$_6$H$_6$/Cu(111) and Zr$_3$O$_6$H$_6$/Cu(111) (Figures 4 and 6), aiming to gain a qualitative understanding of the reaction mechanism, the formation of reaction intermediates on surfaces, and the rate controlling steps. In the present work, the KMC simulations (for details see Supporting Information, SI) were performed with a Kinetix module implemented in Material Studio 5.5.[66] For the reactions involving gases, the contribution from the entropy was included according to NIST database.[67] The KMC simulations including the RWGS + CO hydrogenation and the Formate pathways (Table S1) for CO$_2$ hydrogenation to CH$_3$OH were carried out at the experimental temperature of 220 °C for 60 s. It results in the same trend in activity and coverage of reaction intermediates as compared to that for 300 s, which approaches to the steady state (Figure S2).

On both Cu/TiO$_2$ and Cu/ZrO$_2$ catalysts, *HCOO are the most abundant surface species according to the KMC simulations for the duration of 60 s (Figure S3), and the amount kept increasing in consistent with the observation after 300 s (Figure S2). In addition, the stronger binding to *HCOO on Cu/ZrO$_2$ (Table 1) results in higher coverage of *HCOO species than that on Cu/TiO$_2$ (Figure S3). Although *H$_2$COOH species are also observed on Cu/ZrO$_2$, the amount is much lower than *HCOO. The presence of *H$_3$CO on both catalysts is also observed, which display higher coverage on Cu/ZrO$_2$ than on Cu/TiO$_2$; yet the corresponding coverage is lower than that of *HCOO, in particular for Cu/ZrO$_2$ (Figure S3).

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In addition, the preferential reaction pathways can be also identified. On Cu/ZrO$_2$ *CO production is only via the RWGS, where about 50% desorb as CO gas and the rest undergo hydrogenation to produce CH$_3$OH. The Formate pathway also helps for the CH$_3$OH production, and the contribution from both pathways is about same at the initial stage. However, due to the high stability of *HCOO on the surface, the kinetics of the CH$_3$OH production along the Formate pathway can be limited over time due to the poisoning of the catalytically active surface sites. In contrast, it is found that the reaction proceeds continuously via the RWGS+ CO-Hydro pathway with no surface poisoning. Compared to Cu/ZrO$_2$, Cu/TiO$_2$ is less selective to CH$_3$OH. The Formate pathway mainly results in *HCOO with very small amount of CH$_3$OH produced. The RWGS reaction yields CO, while the further hydrogenation to CH$_3$OH is diminished compared to Cu/ZrO$_2$ due to weaker binding of CO and consequently more facile CO desorption. Given that, the RWGS + CO-Hydro pathway eventually should dominate the overall production of CH$_3$OH on both catalysts.

The sensitivity analysis, in which each parameter in the KMC model is shifted by a small amount from its original value by keeping the other parameters constant, is performed to predict the most rate or selectivity controlling steps.[32] In the case of Cu/ZrO$_2$, four rate-controlling steps for the CH$_3$OH production are identified, and the yield can be promoted by facilitating *CO hydrogenation to *HCO and/or suppressing *CO desorption via the RWGS + CO-Hydro pathway; the counter variations in lead to the enhancement in CO production, which can also be achieved by accelerating *CO$_2$ hydrogenation to *HOCO (Figure S4); by comparison the effect associated with the *H$_2$COOH dissociation to *H$_2$CO and *HCOO hydrogenation to *HCOOH along the Formate pathway is smaller. For Cu/TiO$_2$, the CH$_3$OH production is dominated by the Formate pathway, which is very sensitive to the *HCOO activation to *HCOOH; while the CO

production is only limited by the CO_2 hydrogenation to *HOCO along the RWGS + CO-Hydro pathway (Figure S5).

According to sensitivity analysis, on Cu/ZrO_2 the CO binding energy is likely the descriptor to scale the CH_3OH production via the RWGS + CO-Hydro pathway. To maximize the CH_3OH yield in this case, CO binding should be moderate, being strong enough to prevent desorption, but weak enough to allow the facile hydrogenation to *HCO. Along the Formate pathway, the CH_3OH production can be effectively promoted by increasing $*H_2CO$ binding and therefore facilitating $*H_2COOH$ dissociation. In the case of Cu/TiO_2, *HCOO binding is too strong, which slows down the formation of CH_3OH via the Formate pathway due to the highly activated hydrogenation. Previously, the binding energy of O on metal catalysts has been identified as a descriptor of selectivity between the RWGS and methanation pathways[68] on late transition metal surfaces as well as the CH_3OH selectivity on metal alloy catalysts.[14] By comparison, on metal/oxide catalysts as shown in this case, the situation is more complicated. The interface provides multiple active sites to enable multiple pathways running in parallel, and the rate-controlling steps or the key intermediates vary from one pathway to the next and from one system to the next. As a result, the CH_3OH production rate does not depend on a single descriptor or the binding energy of a common key intermediate.

The combined DFT and KMC results show general similarities between TiO_2 and ZrO_2. Using either oxide leads to an increase in CO_2 conversion and CH_3OH production of Cu catalysts during CO_2 hydrogenation along the RWGS + CO-Hydro and the Formate pathways. Although the CO_2 hydrogenation to *HCOO is more favorable than to *HOCO along the RWGS pathway due to the highly stable *HCOO species formed on both catalysts, the accumulation of *HCOO species on the surface occur over-time and leads to surface poisoning. By comparison,

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the contribution from the RWGS + CO-Hydro pathway is more practical. Different catalytic behaviors of Cu/TiO_2 and Cu/ZrO_2 are also observed. The promoting effect induced by ZrO_2 is more significant than that of TiO_2. The CO_2 conversion is increased by stronger CO_2 interaction with ZrO_2 than with TiO_2. The selectivity to CH_3OH is also raised over Cu/ZrO_2 due to the enhanced stability of the *CO, *HCO and *H_2CO intermediates, which enables the *CO hydrogenation to CH_3OH to be more competitive with CO desorption and therefore greatly increases the CH_3OH yield via the Formate and RWGS + CO-Hydro pathways. The modification introduced by ZrO_2 increases binding of Cu slightly, strongly enough to facilitate the reaction, but weakly enough to hinder the poisoning of active sites; however, along the Formate pathway the surface poisoning by *HCOO can be more sever over time by using ZrO_2 than TiO_2. To verify these theoretically predicted similarities and differences between TiO_2- and ZrO_2-supported Cu for CO_2 hydrogenation, a combination of the in-situ DRIFTS measurements and steady-state flow reactor was carried out as shown in the following.

3.2 Experimental results

3.2.1 In-situ DRIFTS results

In-situ DRIFTS experiments were preformed to identify the possible intermediates during CO_2 hydrogenation over Cu/ZrO_2 and Cu/TiO_2. Figures 7 and 8 show the transient evolution of the principal surface species during CO_2 hydrogenation at 235 °C over Cu/ZrO_2 and Cu/TiO_2, respectively.

As shown in Figure 7, two surface species, *HCOO and *H_3CO were observed and identified[69-74]. The assignment of these absorption bands over Cu/ZrO_2 during CO_2 hydrogenation are listed in Table 2. The band at 1581 and 1359 cm^{-1} were assigned to the

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antisymmetric and symmetric OCO stretching vibrations of adsorbed bidentate *HCOO species on Cu/ZrO_2. The band at 1384 and 2872 cm^{-1} were assigned to the CH bending (δ(CH)) and stretching (ν(CH)) vibrations of the same species. The feature at 2965 cm^{-1} band was attributed to a combination of the CH bending and asymmetric OCO stretching modes. The bands at 2926 and 2820 cm^{-1} were attributed to the ν(CH_3) modes of the $*H_3CO$ species on Cu/ZrO_2; while the features at 1149 and 1047 cm^{-1} were assigned to the ν(CO) modes of bridged and terminal methoxide species, respectively.

For CO_2 hydrogenation over Cu/TiO_2, besides *HCOO and $*H_3CO$, $*CO_2$ species were also observed, as shown in Figure 8. The assignments of these vibrational features are listed in Table 3.[75-81] The ν_{as}(OCO) at 1567 cm^{-1} and ν_s(OCO) at 1359 cm^{-1} were contributed by both $*H_3CO$ and $*CO_2$ species on Cu/TiO_2.

The observations for the IR agree well with the KMC predictions (Figure S3). As shown in Figure 9, the IR peaks at 2872 and 2820 cm^{-1} were used to follow the concentration changes of *HCOO and $*H_3CO$ species on Cu/ZrO_2, respectively; while the bands at 2886 and 2832 cm^{-1} were used to follow these two species on Cu/TiO_2, respectively. For the $CO_2 + H_2$ reaction over Cu/ZrO_2, the surface bidentate *HCOO species are populated rapidly by introducing H_2 to the reaction cell and reaching a steady-state level after approximately 5 min. The intensity of $*H_3CO$ species on Cu/ZrO_2 becomes apparent after 5 min, then continues to increase but reaching a stable value after 30 min. As a comparison, that for surface bidentate *HCOO species on Cu/TiO_2 increases gradually after the introduction of H_2 and reaching to a steady-state level after 30 min. The $*H_3CO$ species on Cu/TiO_2 are barely visible until 7.5 min, and then increase linearly with time. The only difference is that $*H_2COOH$ species observed on Cu/ZrO_2 in KMC

simulations are not detected by the IR. This can be due to the fact that the amount of $*H_2COOH$ is much lower than $*HCOO$.

It can be seen from Figure 9 that the formation rate of $*H_3CO$ does not rely on the formation rate of $*HCOO$. In agreement with the KMC results, it suggests that the reaction do not occur via the Formate pathway for both catalysts. $*HCOO$ and $*H_3CO$ species on Cu/ZrO_2 are clearly much more than that on Cu/TiO_2, though the amount of OCO species on both catalyst is almost the same according to the peak intensity of the vibrational bands attributed to $v_{as}(OCO)$ and $v_s(OCO)$ modes (Figures 7 and 8). Besides, the formation rate of $*HCOO$ and $*H_3CO$ species on Cu/ZrO_2 are much larger than that on Cu/TiO_2, indicating that Cu/ZrO_2 is more effective in CO_2 activation and CH_3OH formation.

3.2.2 Steady-state Flow Reactor Results

The CO_2 hydrogenation on Cu/ZrO_2 and Cu/TiO_2 catalysts were further evaluated in a fixed bed flow reactor at 220 ^{o}C. The steady-state conversion, the ratio of CH_3OH to CO, and the corresponding space-time yield to CH_3OH over the two catalysts with same weight (approximately 100 mg) are listed in Table 4. Under similar reaction conditions, the CO_2 and H_2 conversions over Cu/ZrO_2 are much higher than those over Cu/TiO_2. Due to the weak adsorption of hydrogen and CO over the Cu/ZrO_2 and Cu/TiO_2 catalysts, it is not possible to determine the numbers of active sites from hydrogen or CO chemisorption, which would be required to estimate the turn-over frequency (TOF) values. Instead the space-time yield to CH_3OH is used to compare the activities of these two catalysts, showing that Cu/ZrO_2 is more active for CO_2 hydrogenation and CH_3OH production. In order to compare the selectivity of these two catalysts at a similar CO_2 conversion, the experiments with less amount of Cu/ZrO_2 and more amount of Cu/TiO_2 under the same reaction conditions were performed. The total flow rate of reactants was

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changed in order to vary the space-time while the volume ratio of H_2 to CO_2 was kept at 3:1. As summarized in Table 5, the selectivity and space-time yield to CH_3OH on Cu/ZrO_2 is much higher than those on Cu/TiO_2 for each case, suggesting that CH_3OH formation is more favorable on Cu/ZrO_2. More details regarding the relationship between selectivity and conversion are provided in the SI (Figure S6). Therefore the flow reactor results also indicate that Cu/ZrO_2 presents better activity and selectivity for CH_3OH production than Cu/TiO_2.

Overall, the theoretical calculations and the corresponding experiments using in-situ DRIFTS and the steady-state flow reactor are consistent. Although the quantitative comparison between theory and experiment cannot be conducted due to the complexity of the catalysts, the qualitative agreement can be reached. Both TiO_2 and ZrO_2 were found to modify the reaction mechanism on Cu, where the reaction prefers to follow the Formate pathway according to the previous study.[82] The mechanistic studies based on DFT and KMC simulations agree with the in-situ DRIFTS measurements, showing that the RWGS + CO-Hydro pathway via $*H_3CO$ intermediate is likely dominate for CH_3OH synthesis on Cu/TiO_2 and Cu/ZrO_2. Furthermore, the theory-predicted trend in activity and selectivity is verified by the experiments using the steady-state flow reactor, where ZrO_2 is more effective than TiO_2 in enhancing the activity and CH_3OH selectivity on Cu. Upon going from Cu/TiO_2 to Cu/ZrO_2, the CO_2 conversion is facilitated due to the fine-tuning capability of ZrO_2, being strong enough to stabilize $*CO_2$, $*CO$, $*HCO$ and $*H_2CO$ at the Cu/ZrO_2 interface and therefore promote its hydrogenation to CH_3OH via the RWGS + CO-Hydro pathway, but weak enough to prevent the poisoning of active sites. The Formate pathway produces $*HCOO$ species, which are too stable and limit the conversion to CH_3OH. As $*HCOO$ species are the more stable on Cu/ZrO_2 than Cu/TiO_2, more severe $*HCOO$ accumulation on Cu/ZrO_2 is expected during the reaction, in consistent with the in-situ DRIFTS

results. Ideally, to achieve further improvement for CO_2 hydrogenation, the modified Cu should provide additional stabilization selectively to species such as $*CO_2$, $*CO$, $*HCO$ and $*H_2CO$ to facilitate the CH_3OH yield via the RWGS + CO-Hydro pathway. Of course, one also has to avoid the over-stabilization, which can result in complete C-O bond cleavage and $*C$ condensation; at the meantime the bindings of the $*HCOO$ species should be weakened to release the surface poisoning and promote the contributions of CH_3OH formation via the Formate pathway. Our results highlight that the combination of DFT calculations, KMC simulations and experimental measurements is essential to provide significant insight into the complex reaction mechanisms such as CO_2 hydrogenation to CH_3OH. Although the current study focuses on comparing the trend in activity and selectivity between theory and experiments, additional theoretical studies to provide a quantitative comparison will be an important area for future research.

4. Conclusions

DFT and KMC simulations were combined with in-situ experimental measurements to study the reaction mechanism of CO_2 hydrogenation to CH_3OH on Cu/TiO_2 and Cu/ZrO_2 catalysts, aiming to set a mechanistic comparison of catalysis on metal/oxide systems and gain a better understanding of the capability of different reducible oxides in tuning activity and selectivity. Consistent agreements between theoretical predictions and experimental observations are achieved. The DFT calculations and in-situ DRIFTS measurements observe a significant amount of $*HCOO$ species on both Cu/TiO_2 and Cu/ZrO_2 catalysts during the reaction; however, the production of CH_3OH via the Formate pathway seems not efficient over time due to the poising of $*HCOO$ species; by comparison the RWGS + CO-Hydro pathway via the $*H_3CO$ intermediate is more likely. In term of catalytic performance, both the DFT calculations and experiments

using steady-state flow reactor demonstrate that ZrO_2 is more effective than TiO_2 to promote the activity and selectivity of CO_2 hydrogenation to CH_3OH on Cu. This is associated with the slightly strengthened interactions with $*CO_2$, $*CO$, $*HCO$ and $*H_2CO$ by taking advantage of the synergy between reduced Zr^{3+} and Cu sites, being strong enough to facilitate the reaction via the RWGS + CO-Hydro pathway, but weak enough to prevent the poisoning of active sites; however, along the Formate pathway more severe surface poisoning by $*HCOO$ is observed. Ideally, the binding property of Cu/oxide catalysts should be tuned selectively, improving the stability of $*CO_2$, $*CO$, $*HCO$ and $*H_2CO$ on Cu, rather than $*HCOO$, to achieve a high selectivity and yield of CH_3OH.

Supporting Information. Details of electronic structures and kinetics from both theory and experiment for CO_2 hydrogenation on Cu/oxide catalysts. This material is available free of charge via the Internet at http://pubs.acs.org.

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Table 1. Binding energies (BE in eV) of chemical species involved in CH_3OH synthesis from CO_2 hydrogenation via the RWGS + CO-Hydro and Formate pathways.

Species	Binding site	$Ti_3O_6H_6$/Cu(111)	Binding site	$Zr_3O_6H_6$/Cu(111)
H	η^1-H_{Cu}	-2.51	η^1-H_{Cu}	-2.52
CO_2	η^2-$C_{Cu}O_{Ti\delta+}$	-0.32	η^2-$C_{Cu}O_{Zr\delta+}$	-1.18
OH	η^2-$C_{Cu}O_{Ti\delta+}$	-3.70	η^1-$O_{Zr\delta+}$	-5.00
H_2O	η^1-$O_{Ti\delta+}$	-0.83	η^1-$O_{Zr\delta+}$	-0.99
CO	η^2-$C_{Cu}O_{Ti\delta+}$	-1.21	η^2-$C_{Cu}O_{Zr\delta+}$	-1.86
HOCO	η^2-$C_{Cu}O_{Ti\delta+}$	-2.37	η^2-$C_{Cu}O_{Zr\delta+}$	-3.10
HCOO	η^2-$O_{Cu}O_{Ti\delta+}$	-3.46	η^2-$O_{Cu}O_{Zr\delta+}$	-4.18
HCO	η^2-$C_{Cu}O_{Ti\delta+}$	-2.07	η^2-$C_{Cu}O_{Zr\delta+}$	-2.91
HCOH	η^2-$C_{Cu}O_{Ti\delta+}$	-2.43	--	--
H_2CO	η^2-$C_{Cu}O_{Ti\delta+}$	-0.82	η^2-$C_{Cu}O_{Zr\delta+}$	-2.07
H_2COH	η^2-$C_{Cu}O_{Ti\delta+}$	-1.70	--	--
H_3CO	η^1-$O_{Ti\delta+}$	-3.01	η^1-$O_{Zr\delta+}$	-4.41
HCOOH	η^1-$O_{Ti\delta+}$	-0.76	η^1-$O_{Zr\delta+}$	-1.19
H_2COOH	η^2-$O_{Cu}O_{Ti\delta+}$	-2.89	η^1-$O_{Zr\delta+}$	-4.25
CH_3OH	η^1-$O_{Ti\delta+}$	-0.88	η^1-$O_{Zr\delta+}$	-1.10

Table 2. Infrared band assignments of the surface species for CO_2+H_2 reaction over Cu/ZrO_2 at 235 °C and atmospheric pressure (5 ml/min CO_2 + 15 ml/min H_2, 0.1 MPa)

Surface species	Wavenumber (cm^{-1})	Assignment	Literature value (cm^{-1})
Bidentate formate	2965	$\delta(CH) + \nu_{as}(OCO)$	2969 [74]; 2975 [73]; 2967 [72]; 2974 [71]
	2872	$\nu(CH)$	2883 [74]; 2885-2895 [73]; 2880 [72]; 2892 [71]
	2751, 2737	$\delta(CH) + \nu_s(OCO)$	2745 [74]; 2740 [72]
	1581	$\nu_{as}(OCO)$	1563 [74]; 1562 [73]; 1580 [72]; 1565 [71]
	1384	$\delta(CH)$	1386 [74]; 1390 [73]; 1381 [72]; 1386 [71]
	1359	$\nu_s(OCO)$	1366 [74]; 1370 [73]; 1360 [72]; 1369 [71]
Methoxy	2926	$\nu_{as}(CH_3)$	2936 [74]; 2936 [73]; 2942 [71]; 2930 [70]
	2820	$\nu_s(CH_3)$	2836 [74]; 2837 [73]; 2842 [71]; 2825 [70]
	1460	$\delta(CH)$	1474 [74]; 1463 [71]; 1460 [70]
	1149	$\nu(CO)$ of bridged (b-OCH_3)	1142 [74]; 1150 [73]; 1144 [70]; 1154 [69]
	1047	$\nu(CO)$ of terminal (t-OCH_3)	1039 [74]; 1052 [69]

Table 3. Infrared band assignments of the surface species for CO_2+H_2 reaction on Cu/TiO_2 at 235 ^oC and atmospheric pressure (5 ml/min CO_2 + 15 ml/min H_2, 0.1 MPa)

Surface species	Wavenumber (cm^{-1})	Assignment	Literature value (cm^{-1})
Bidentate formate	2955	$\delta(CH) + \nu_{as}(OCO)$	2955 [81]; 2958 [80]; 2956 [79]
	2886, 2874	$\nu(CH)$	2875 [81]; 2880 [80]; 2883, 2874 [79]
	2735	$\delta(CH) + \nu_s(OCO)$	2739 [81]
	1567	$\nu_{as}(OCO)$	1560 [81]; 1568, 1555 [80]; 1560, 1558 [79]
	1388	$\delta(CH)$	1381 [78]; 1388 [77]
	1359	$\nu_s(OCO)$	1360 [81]; 1370, 1360 [80]; 1359, 1351 [79]
Methoxy	2928	$\nu_{as}(CH_3)$	2925 [81]; 2930 [80]; 2923 [76]
	2832	$\nu_s(CH_3)$	2820 [81]; 2835 [80]; 2817 [76]
	1124	$\nu(CO)$	1128 [76]
Carboxylate	1595, 1567	$\nu_{as}(OCO)$	1595, 1569 [79]; 1570-1630 [75]
	1377, 1359	$\nu_s(OCO)$	1382, 1355 [79]; 1350-1390 [75]

Table 4. CO_2 and H_2 conversions and CH_3OH and CO space-time yields over Cu/ZrO_2 and Cu/TiO_2 catalysts with same catalyst weight (reaction conditions: 220 ^{o}C, 10 ml/min CO_2 + 30 ml/min H_2, 100 mg catalyst)
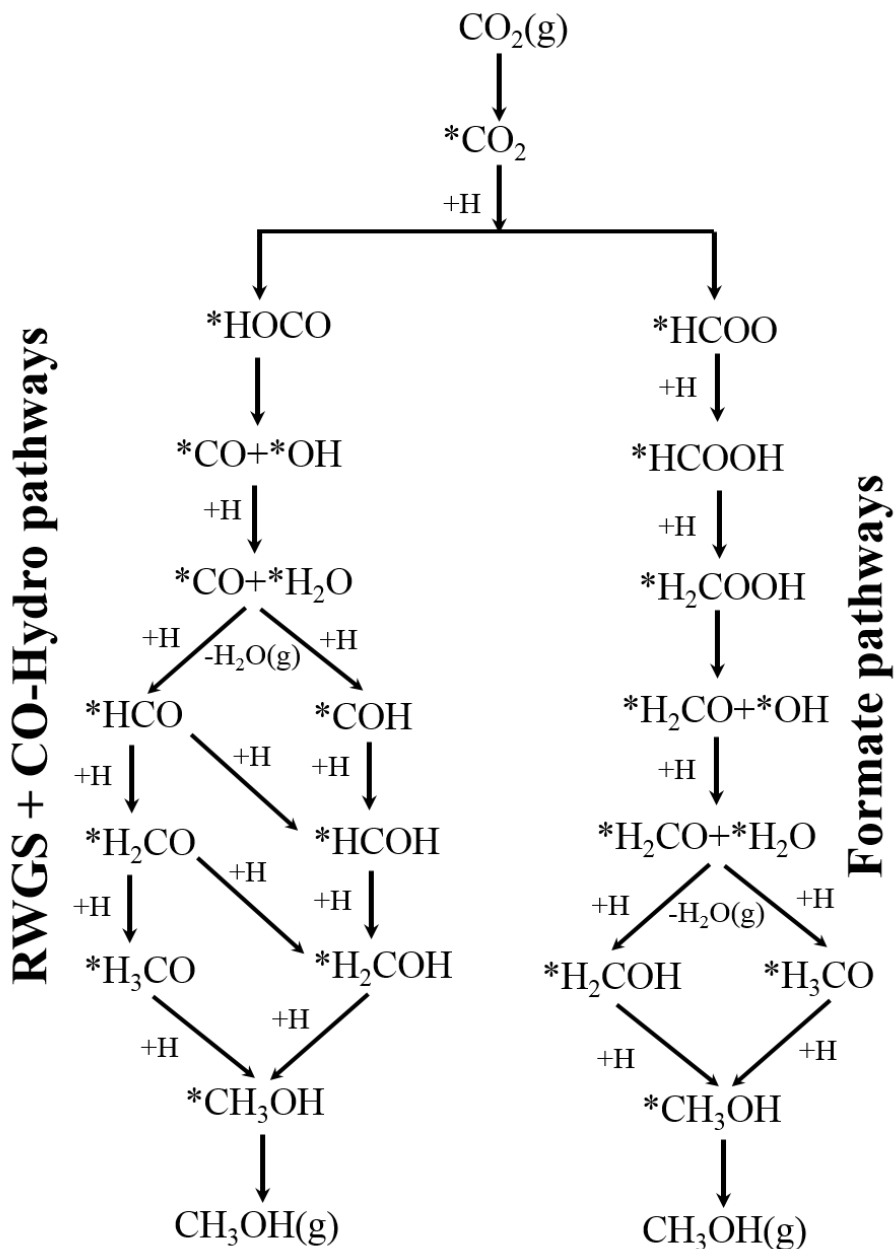
Catalyst	Cu/ZrO_2	Cu/TiO_2
CO_2 conversion (%)	0.80	0.15
H_2 conversion (%)	0.39	0.08
CH_3OH:CO ratio (-)	0.29	0.27
Space-time yield (g_{CO} kg_{cata}^{-1} h^{-1})	42.41	7.89
Space-time yield (g_{MeOH} kg_{cata}^{-1} h^{-1})	13.84	2.45

Table 5. Selectivity and space-time yield to CH_3OH and CO of Cu/ZrO_2 and Cu/TiO_2 catalysts at similar conversions (reaction conditions: 220 ^{o}C, CO_2:H_2:N_2 = 1:3:1, 30 mg Cu/ZrO_2, 400 mg Cu/TiO_2)

Total flow rate (ml/min)	Catalyst	Conversion (%)		Selectivity (%)		Space-time yield (g kg_{cata}^{-1} h^{-1})	
		CO_2	H_2	CO	CH_3OH	CO	CH_3OH
37.5	Cu/ZrO_2	0.53	0.24	80.2	19.8	78.8	22.3
	Cu/TiO_2	0.54	0.23	83.6	13.8	5.8	1.1
50	Cu/ZrO_2	0.43	0.21	78.3	21.7	83.3	26.4
	Cu/TiO_2	0.44	0.19	81.9	15.7	6.1	1.3
62.5	Cu/ZrO_2	0.37	0.18	76.0	24.0	86.7	31.3
	Cu/TiO_2	0.36	0.17	80.3	17.3	6.1	1.5
75	Cu/ZrO_2	0.32	0.16	75.6	24.4	89.9	33.2
	Cu/TiO_2	0.31	0.15	79.3	18.2	6.3	1.7
87.5	Cu/ZrO_2	0.29	0.15	73.0	27.0	92.1	38.9
	Cu/TiO_2	0.28	0.13	78.6	19.0	6.6	1.8
100	Cu/ZrO_2	0.27	0.14	71.7	28.3	95.7	43.1
	Cu/TiO_2	0.26	0.12	78.6	19.1	7.0	1.9

Figure and Caption:

$$CO_2(g)$$

$$\downarrow$$

$$*CO_2$$

+H

*HOCO   *HCOO

+H

$$\downarrow$$ $$\downarrow$$

*CO+*OH   *HCOOH

+H   +H

$$\downarrow$$ $$\downarrow$$

CO+H_2O   *H_2COOH

+H $-H_2O(g)$ +H $$\downarrow$$

*HCO   *COH   *H_2CO+*OH

+H   +H   +H   +H

$$\downarrow$$ $$\downarrow$$

*H_2CO   *HCOH   *H_2CO+*H_2O

+H   +H   +H   +H $-H_2O(g)$ +H

$$\downarrow$$

*H_3CO   *H_2COH   *H_2COH   *H_3CO

+H   +H   +H   +H

*CH_3OH   *CH_3OH

$$\downarrow$$ $$\downarrow$$

$$CH_3OH(g)$$ $$CH_3OH(g)$$

RWGS + CO-Hydro pathways

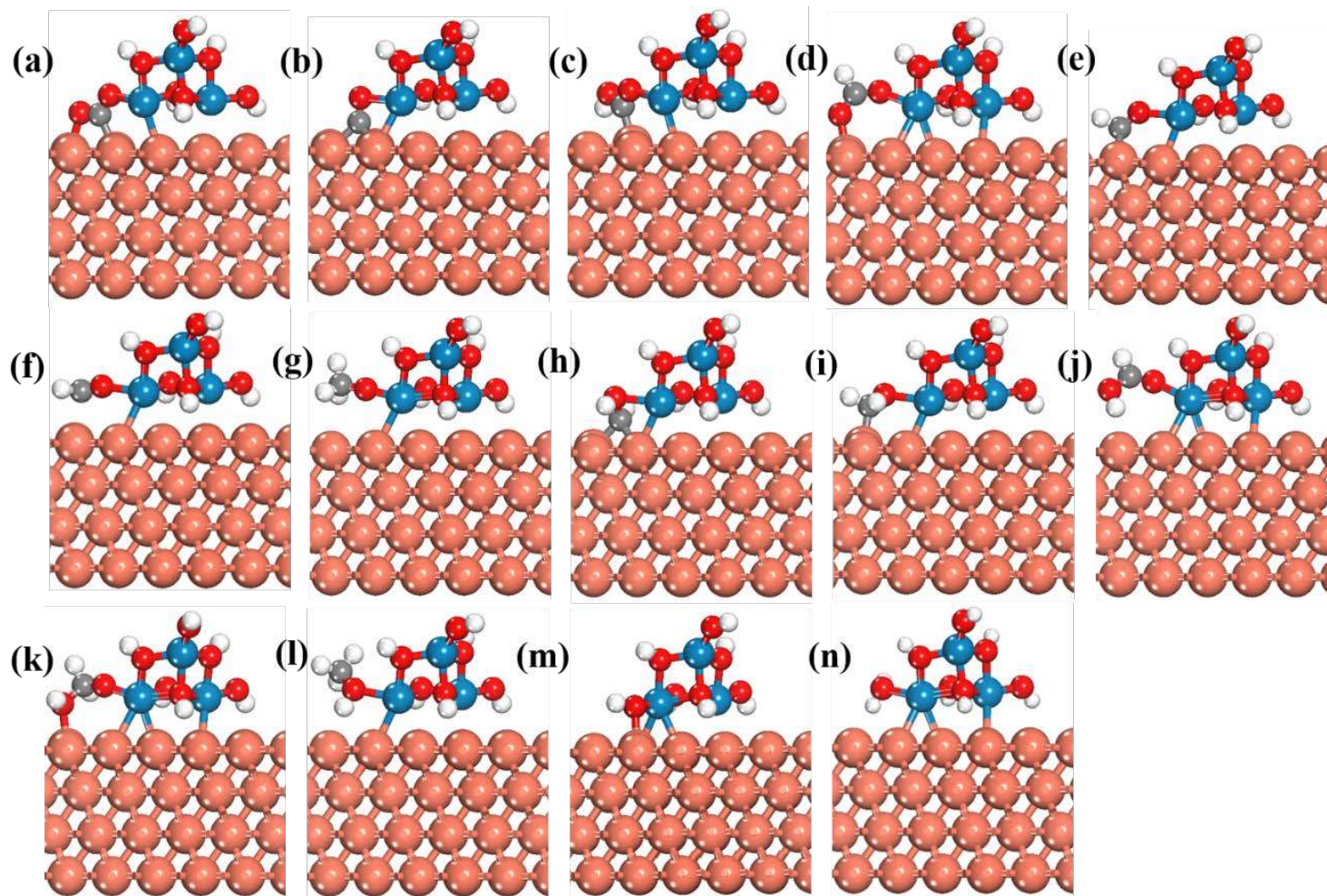**Formate pathways**

Figure 1. Reaction scheme for $CO_2(g)$ hydrogenation to $CH_3OH(g)$ via the RWGS + CO-Hydro and Formate pathways. *(X) indicates adsorbed species.
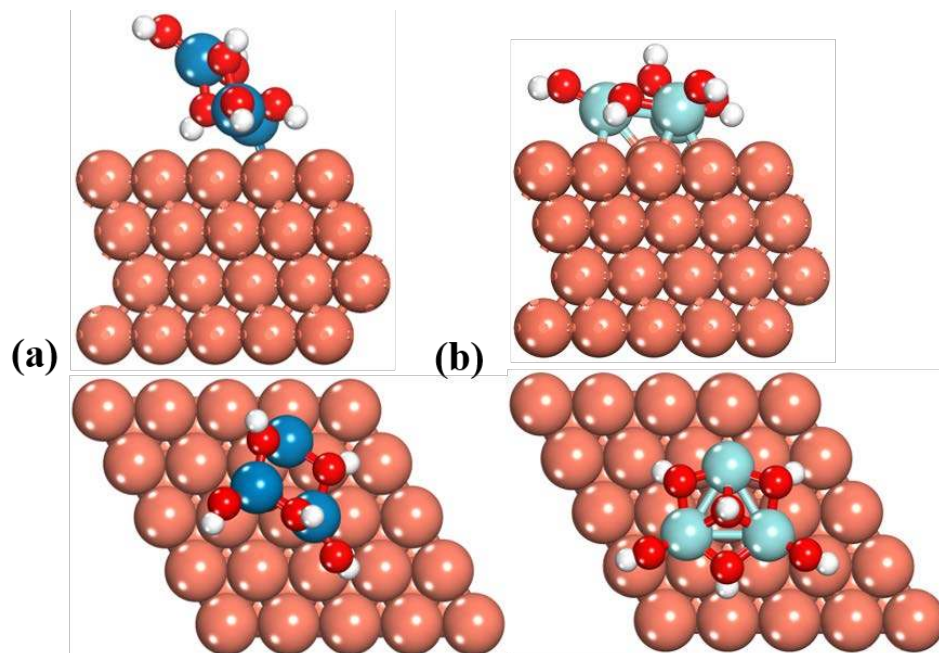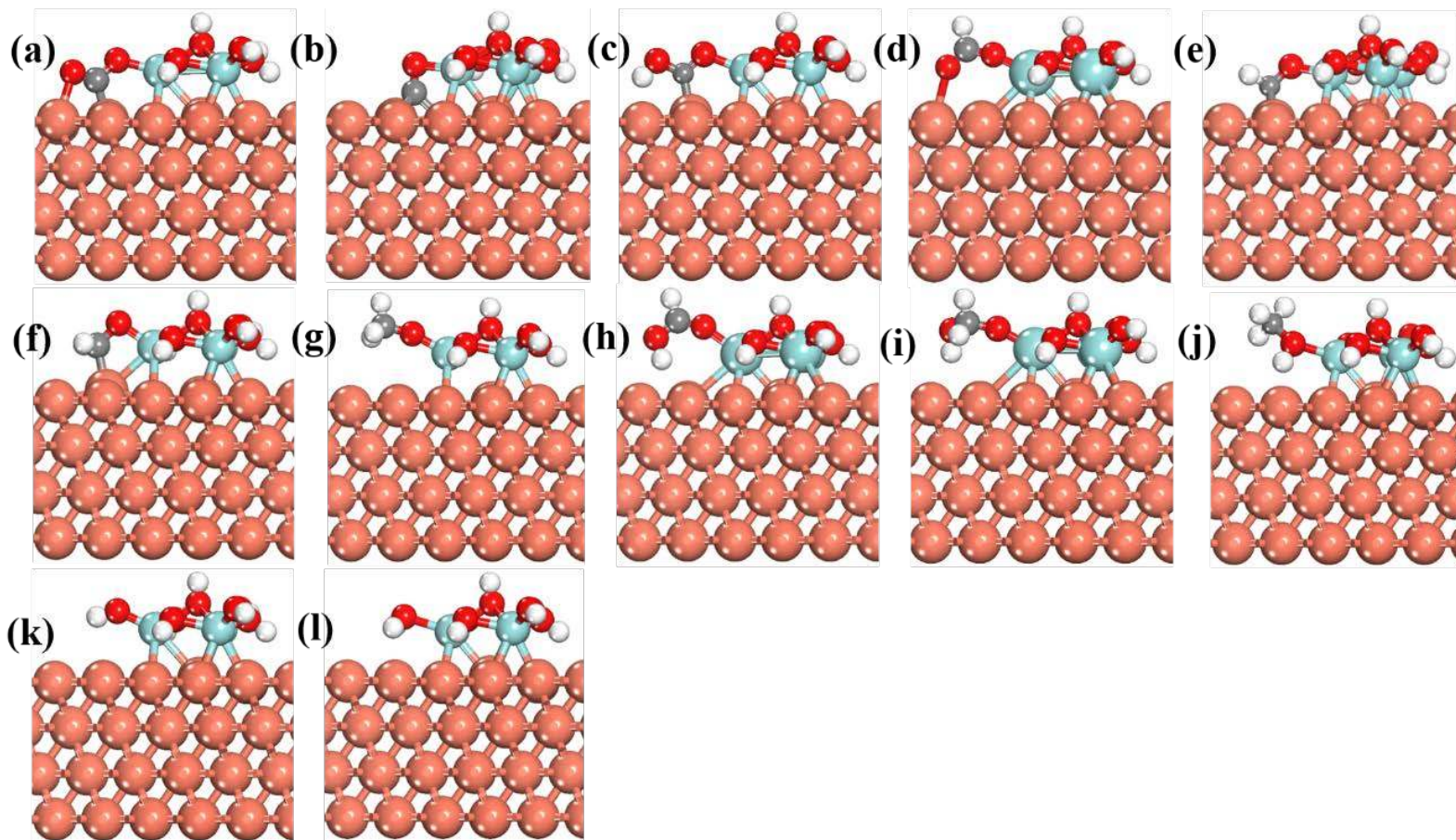
Figure 2. DFT optimized geometries: top (side view), bottom (top view) of (a) $Ti_3O_6H_6$/Cu(111) and (b) $Zr_3O_6H_6$/Cu(111). Cu: reddish-orange, Ti: dark blue, Zr: light blue, O: red, and H: white.

Figure 3. DFT optimized geometries. (a) $*CO_2$, (b) $*CO$, (c) $*HOCO$, (d) $*HCOO$, (e) $*HCO$, (f) $*H_2CO$, (g) $*H_3CO$, (h) $*HCOH$, (i) $*H_2COH$, (j) $*HCOOH$, (k) $*H_2COOH$ (l) $*CH_3OH$, (m) $*OH$ and (n) $*H_2O$ on $Ti_3O_6H_6/Cu(111)$. Cu: reddish-orange, Ti: blue, O: red, C: grey and H: white.

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**Figure 4.** Potential energy diagrams for the hydrogenation of $CO_2(g)$ to $CH_3OH(g)$ on $Ti_3O_6H_6/Cu(111)$ via the RWGS + CO-Hydro and Formate pathways. "TS" corresponds to the transition state.

Figure 5. DFT optimized geometries. (a) $*CO_2$, (b) $*CO$, (c) $*HOCO$, (d) $*HCOO$, (e) $*HCO$, (f) $*H_2CO$, (g) $*H_3CO$, (h) $*HCOOH$, (i) $*H_2COOH$, (j) $*CH_3OH$, (k) $*OH$ and (l) $*H_2O$ on $Zr_3O_6H_6$/Cu(111). Cu: reddish-orange, Zr: light blue, O: red, C: grey and H: white.
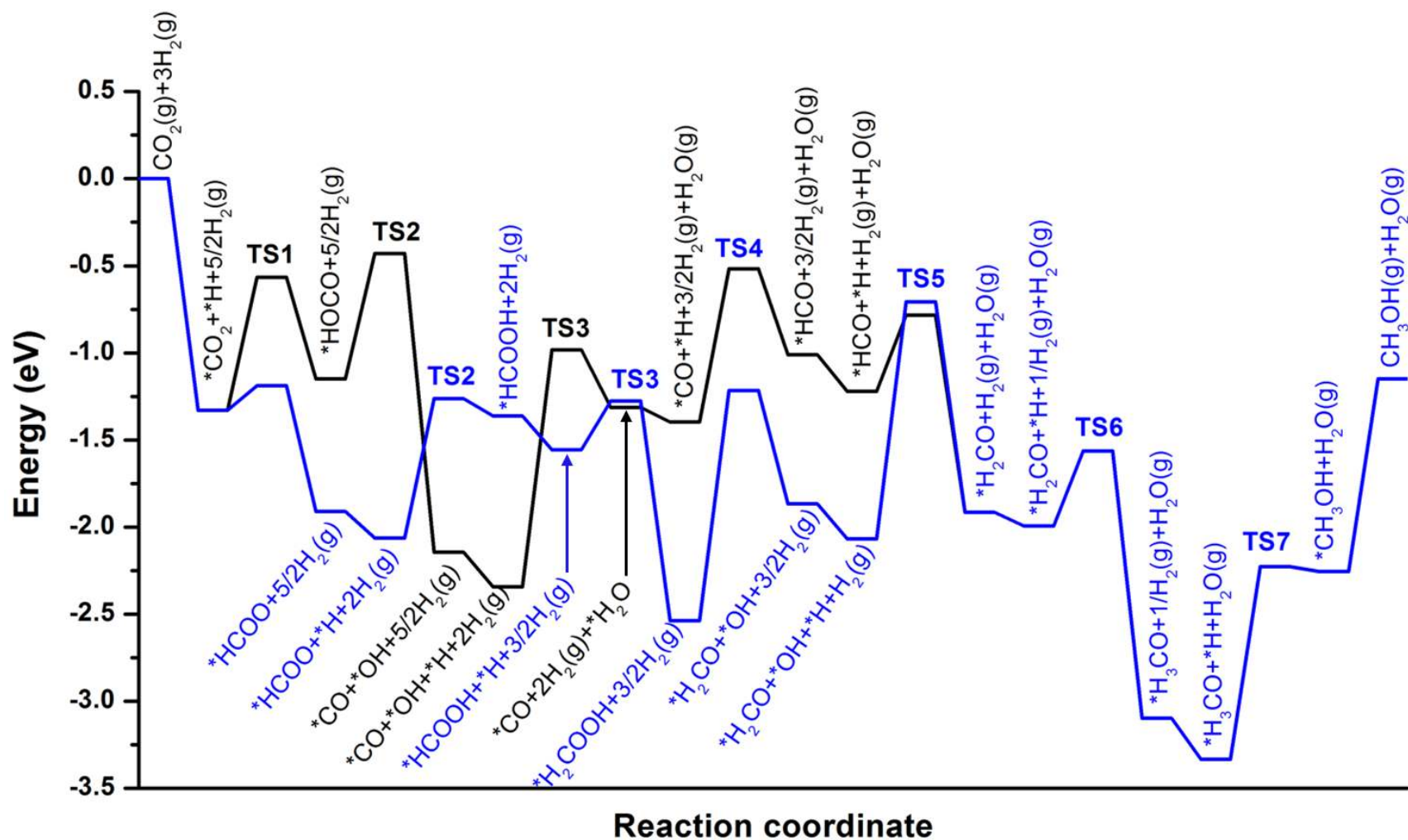
Figure 6. Potential energy diagram for the hydrogenation of $CO_2(g)$ to $CH_3OH(g)$ on $Zr_3O_6H_6/Cu(111)$ via the RWGS + CO-Hydro and Formate pathways. "TS" corresponds to the transition state.
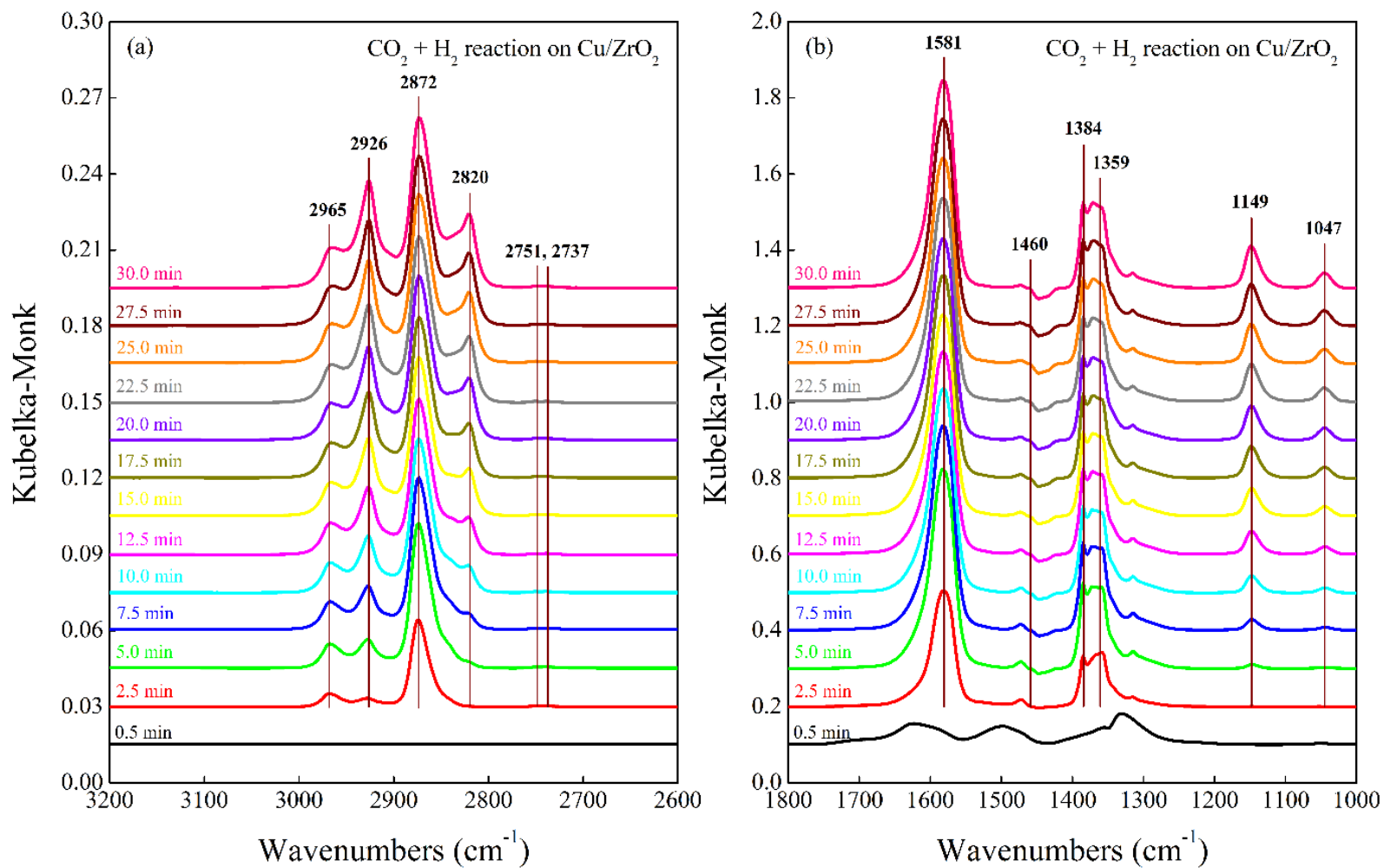
Figure 7. In-situ DRIFT spectra of CO_2 + H_2 reaction after CO_2 absorption on Cu/ZrO_2 catalyst in the region of (a) 3200-2600 cm^{-1} and (b) 1800-1000 cm^{-1} (Reaction conditions: 5 ml/min CO_2 + 15 ml/min H_2, 0.1 MPa, 235 ^{o}C).
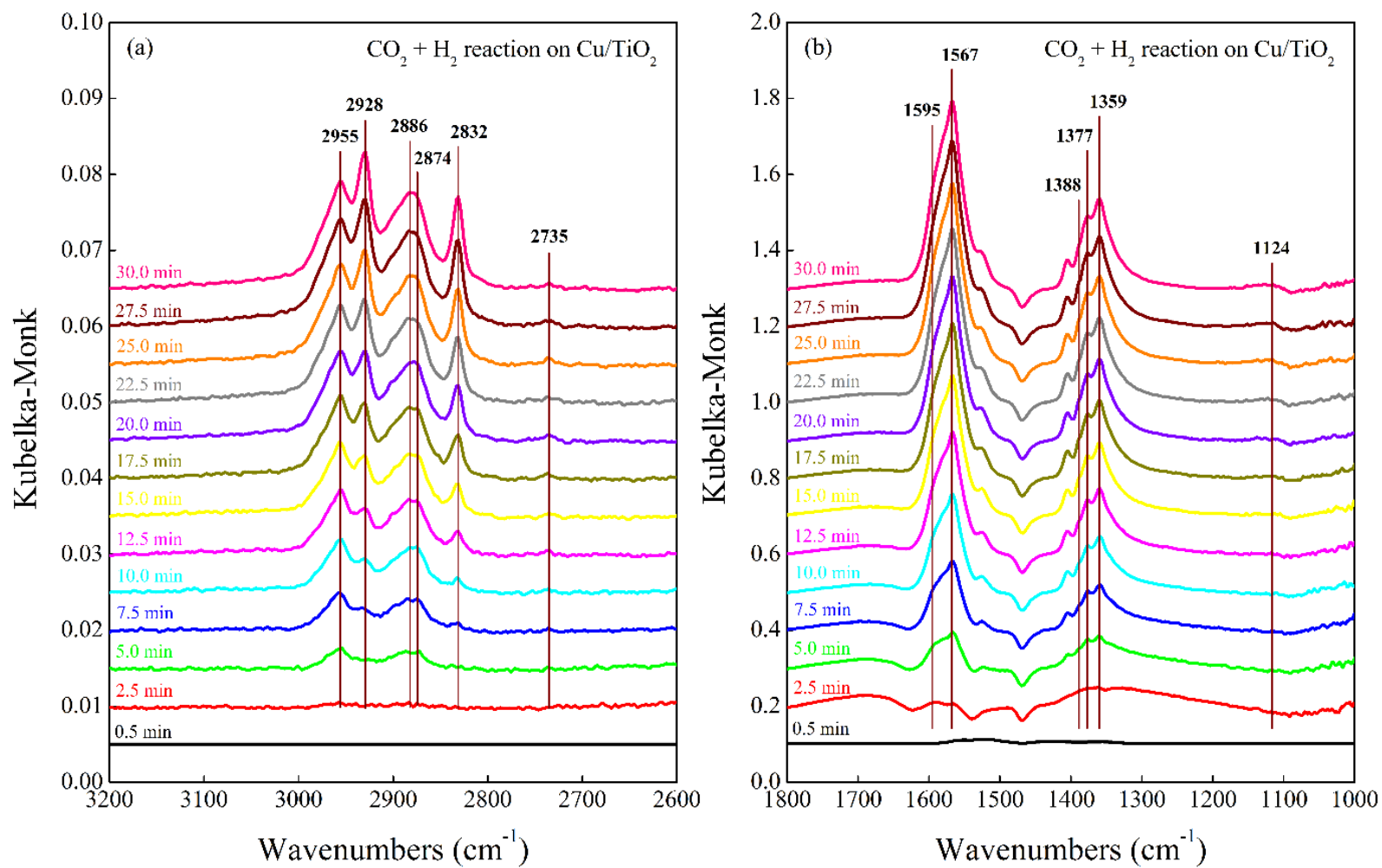
Figure 8. In-situ DRIFT spectra of CO_2 + H_2 reaction after CO_2 absorption on Cu/TiO$_2$ catalyst in the region of (a) 3200-2600 cm^{-1} and (b) 1800-1000 cm^{-1} (Reaction conditions: 5 ml/min CO_2 + 15 ml/min H_2, 0.1 MPa, 235 ^{o}C).
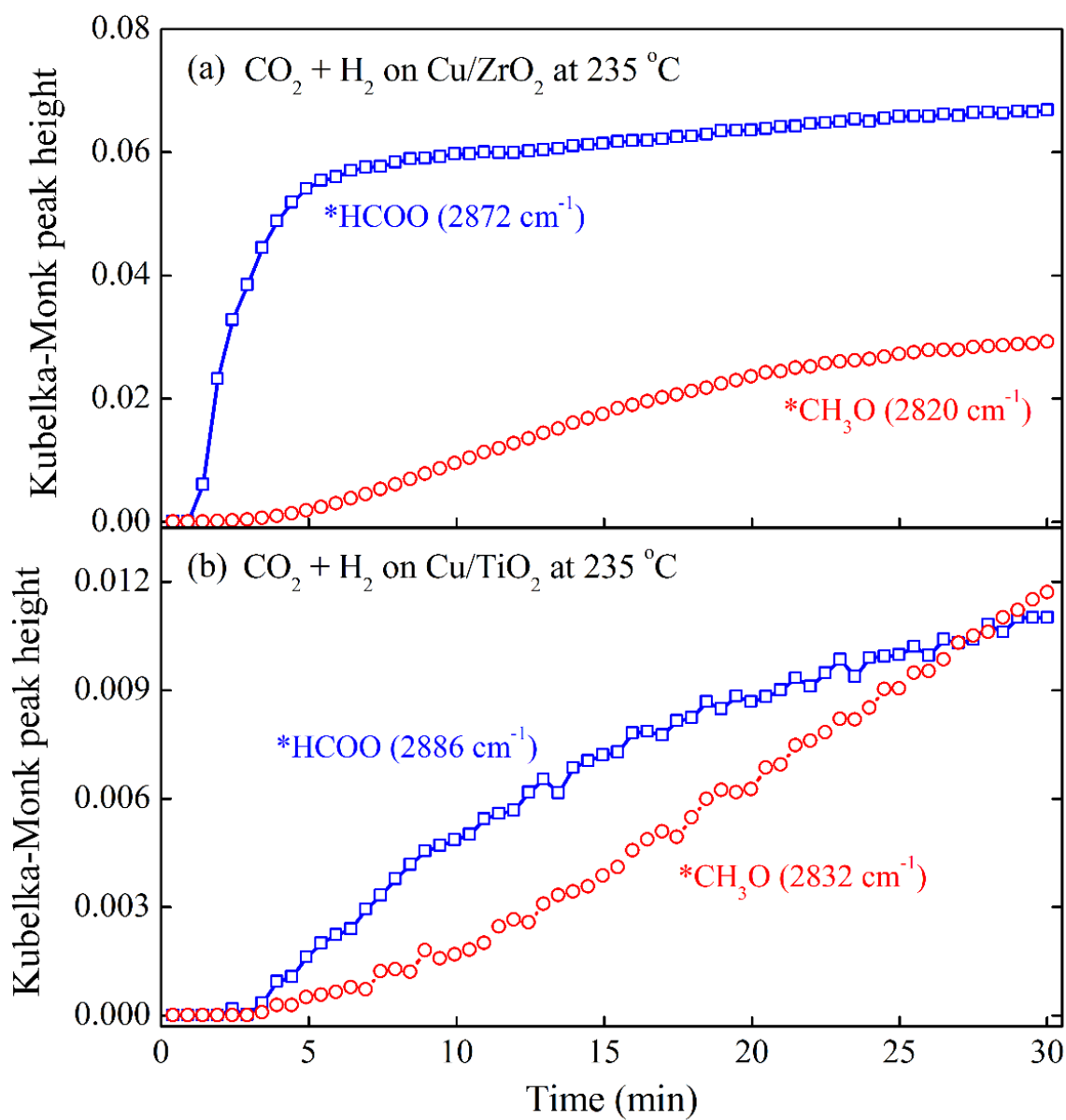
Figure 9. IR peak intensities of surface formate and methoxy species versus time during CO_2 + H_2 reaction over (a) Cu/ZrO_2 and (b) Cu/TiO_2 catalysts. (Reaction conditions: 5 ml/min CO_2 + 15 ml/min H_2, 0.1 MPa, 235 ^{o}C).

TOC Graphic