

Order Bounded Elements of Topological *-algebras

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Abstract. Several different notions of *bounded* element of a topological *-algebra \mathfrak{A} are considered. The case where boundedness is defined via the natural order of \mathfrak{A} is examined in more details and it is proved that under certain circumstances (in particular, when \mathfrak{A} possesses sufficiently many *-representations) *order boundedness* is equivalent to *spectral boundedness*.

1. Introduction and preliminaries

Let \mathfrak{A} be a *topological *-algebra* (i.e., a *-algebra equipped with a locally convex topology τ such that for each $a \in \mathfrak{A}$ the mappings $x \mapsto ax$, $a \mapsto xa$ and the involution $*$ are continuous in $\mathfrak{A}[\tau]$). The notion of *bounded element* of \mathfrak{A} was first introduced by Allan [1] with the aim of developing a spectral theory for topological *-algebras. This definition was suggested by the successful spectral analysis for closed operators in Hilbert space \mathcal{H} : a complex number λ is in the spectrum $\sigma(T)$ of a closed operator T if $T - \lambda I$ has no inverse in the *-algebra $\mathcal{B}(\mathcal{H})$ of bounded operators. What makes this definition particularly significant is the fact that $\sigma(T)$ is compact if, and only if, T is a bounded operator. Allan's definition sounds as follows: an element x of the topological *-algebra $\mathfrak{A}[\tau]$ is *Allan bounded* if there exists $\lambda \neq 0$ such that the set $\{(\lambda^{-1}x)^n; n = 1, 2, \dots\}$ is a bounded subset of $\mathfrak{A}[\tau]$.

There are, however, several other possibilities for defining bounded elements. For instance, one may say that x is *left τ -bounded*, if there exists $\gamma_x > 0$ such that

$$p_\alpha(xy) \leq \gamma_x p_\alpha(y), \quad \forall \alpha \in \Delta; \forall y \in \mathfrak{A},$$

where $\{p_\alpha; \alpha \in \Delta\}$ is a directed family of seminorms defining the topology τ of \mathfrak{A} [5]; or *spectrally bounded* if its spectrum is a bounded subset of the complex plane.

Moreover some attempts to extend this notion to the larger set-up of locally convex quasi *-algebras [9, 11, 12] or locally convex partial *-algebras [3, 4] has been done. But in these cases, Allan's notion cannot be adopted, since powers of a given element x need not be defined.

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In all cases, what one expects when dealing with bounded elements is that they are realized by bounded operators by *any* (continuous, in a certain sense) $*$ -representation of \mathfrak{A} in Hilbert space. This could be a reasonable definition in itself, if we were sure that \mathfrak{A} possesses sufficiently many $*$ -representations in Hilbert space.

Bounded elements in purely algebraic terms have been considered by Vidav [15] and Schmüdgen [8] with respect to some (positive) wedge. We extend this purely algebraic definition by considering as strongly positive elements those belonging to the τ -closure in \mathfrak{A} of the, say, algebraic cone of positive elements of a $*$ -algebra. The main result is that *order bounded* elements, as we will call them, allow equivalent characterizations in terms of continuous positive linear functionals and also in terms of $*$ -representations, that, in the case the positive wedge is a cone, are sufficiently many to separate points of \mathfrak{A} .

The following preliminary definitions will be needed in the sequel. For more details we refer to [7, 2].

Let \mathcal{H} be a complex Hilbert space and \mathcal{D} a dense subspace of \mathcal{H} . We denote by $\mathcal{L}^\dagger(\mathcal{D})$ the set of all (closable) linear operators X such that $D(X) = \mathcal{D}$, $D(X^*) \supseteq \mathcal{D}$ and $X\mathcal{D} \subset \mathcal{D}$, $X^*\mathcal{D} \subset \mathcal{D}$. The set $\mathcal{L}^\dagger(\mathcal{D})$ is a $*$ -algebra with respect to the ordinary operations of addition, multiplication by scalars and multiplication and the involution $X \mapsto X^\dagger := X^* \upharpoonright_{\mathcal{D}}$. We put $I_{\mathcal{D}} = I \upharpoonright_{\mathcal{D}}$. Then $I_{\mathcal{D}}$ is the unit of $\mathcal{L}^\dagger(\mathcal{D})$. A $*$ -subalgebra of $\mathcal{L}^\dagger(\mathcal{D})$ is called an *O*-algebra* [7].

Let \mathfrak{A} be a $*$ -algebra and \mathcal{D}_π a dense domain in a certain Hilbert space \mathcal{H}_π . A linear map π from \mathfrak{A} into $\mathcal{L}^\dagger(\mathcal{D}_\pi)$ such that:

- (i) $\pi(a^*) = \pi(a)^\dagger$, $\forall a \in \mathfrak{A}$,
- (ii) if $a, b \in \mathfrak{A}$, then $\pi(ab) = \pi(a)\pi(b)$, is called a *$*$ -representation* of \mathfrak{A} .

Moreover, if \mathfrak{A} has a unit $e \in \mathfrak{A}$, we assume $\pi(e) = I_{\mathcal{D}_\pi}$, the identity of \mathcal{D}_π .

A $*$ -representation π of a topological $*$ -algebra $\mathfrak{A}[\tau]$ is said to be a (τ, τ_w) -continuous if, for every $\xi, \eta \in \mathcal{D}_\pi$, there exists a τ -continuous seminorm p on \mathfrak{A} such that

$$|\langle \pi(a)\xi | \eta \rangle| \leq p(a), \quad \forall a \in \mathfrak{A}.$$

A linear functional ω on \mathfrak{A} is called positive if $\omega(a^*a) \geq 0$, for every $a \in \mathfrak{A}$. To every positive linear functional ω on \mathfrak{A} there corresponds a Hilbert space \mathcal{H}_ω and a linear map λ_ω from \mathfrak{A} into a dense subspace $\lambda_\omega(\mathfrak{A}) \subset \mathcal{H}_\omega$ and a $*$ -representation π_ω acting on a dense domain \mathcal{D}_{π_ω} such that $\lambda_\omega(\mathfrak{A}) \subset \mathcal{D}_{\pi_\omega} \subset \mathcal{H}_\omega$ and

$$\omega(b^*xa) = \langle \pi_\omega(x)\lambda_\omega(a) | \lambda_\omega(b) \rangle, \quad \forall a, b, x \in \mathfrak{A}.$$

The representation π_ω can be taken to be closed [7]. If \mathfrak{A} has a unit e , then there exists a vector ξ_ω such that $\lambda_\omega(\mathfrak{A}) = \{\pi_\omega(a)\xi_\omega, a \in \mathfrak{A}\}$ and

$$\omega(x) = \langle \pi_\omega(x)\xi_\omega | \xi_\omega \rangle, \quad \forall x \in \mathfrak{A}.$$

We will refer to π_ω as to the GNS $*$ -representation of \mathfrak{A} defined by ω .

2. Topological algebras with sufficiently many *-representations

Throughout this paper we will consider only topological *-algebras possessing sufficiently many continuous *-representations. More precisely

Definition 2.1. A topological *-algebra $\mathfrak{A}[\tau]$ is called *faithfully representable*, shortly an *FR*-algebra*, if for every $x \in \mathfrak{A} \setminus \{0\}$ there exists a (τ, τ_w) -continuous *-representation π of \mathfrak{A} such that $\pi(x) \neq 0$.

We denote by $\text{Rep}_c(\mathfrak{A})$ the family of all (τ, τ_w) -continuous *-representation of \mathfrak{A} .

The next result is easily proved.

Lemma 2.2. *Let $\mathfrak{A}[\tau]$ be a topological *-algebra. The following statements are equivalent.*

- (i) \mathfrak{A} is an FR*-algebra.
- (ii) For every $x \in \mathfrak{A} \setminus \{0\}$, there exists a τ -continuous positive linear functional ω such that $\omega(x^*x) > 0$

3. Order bounded elements

3.1. Order structure

Let \mathfrak{A} be a *-algebra. We denote by

$$\mathfrak{A}_{\text{alg}}^+ = \left\{ \sum_{k=1}^n x_k^* x_k, x_k \in \mathfrak{A}, n \in \mathbb{N} \right\}$$

the set (wedge) of positive elements of \mathfrak{A} .

If $\mathfrak{A}[\tau]$ is a topological *-algebra, *strongly positive* elements of \mathfrak{A} are then defined as members of $\overline{\mathfrak{A}_{\text{alg}}^+}^\tau$. We put $\mathfrak{A}^+ := \overline{\mathfrak{A}_{\text{alg}}^+}^\tau$.

The set \mathfrak{A}^+ is an *m-admissible wedge* in the sense of Schmüdgen [7, Sect. 1.4]; i.e.,

- (1) $e \in \mathfrak{A}^+$;
- (2) $x + y \in \mathfrak{A}^+, \forall x, y \in \mathfrak{A}^+$;
- (3) $\lambda x \in \mathfrak{A}^+, \forall x \in \mathfrak{A}^+, \lambda \geq 0$;
- (4) $a^* x a \in \mathfrak{A}^+, \forall x \in \mathfrak{A}^+, a \in \mathfrak{A}$.

\mathfrak{A}^+ defines an order on the real vector space $\mathfrak{A}_h = \{x \in \mathfrak{A} : x = x^*\}$ by $x \leq y \Leftrightarrow y - x \in \mathfrak{A}^+$.

The following statement is easily proved.

Proposition 3.1. *If $x \geq 0$, then $\pi(x) \geq 0$, for every $\pi \in \text{Rep}_c(\mathfrak{A})$.*

Theorem 3.2. *Assume that $\mathfrak{A}^+ \cap (-\mathfrak{A}^+) = \{0\}$. For every $a \in \mathfrak{A}^+, a \neq 0$, there exists a τ -continuous linear functional ω on \mathfrak{A} with the properties*

- (a) $\omega(x) \geq 0, \forall x \in \mathfrak{A}^+$;

(b) $\omega(a) > 0$.

Proof. Consider the real vector space \mathfrak{A}_h . The set $\{a\}$ is obviously convex and compact and does not intersect $(-\mathfrak{A}^+)$. Hence by [6, Chapter 2, §5, Proposition 4], there exists a closed hyperplane separating these two sets. Let $g(x) = 0$ be the equation of this hyperplane. Then, either $g(a) > 0$ and $g(-\mathfrak{A}^+) < 0$ (in which case we take $\omega = g$) or the contrary (in this case we take $\omega = -g$). \square

Definition 3.3. A linear functional ω on \mathfrak{A} is called *strongly positive* if $\omega(x) \geq 0$, $\forall x \in \mathfrak{A}^+$.

Clearly, if ω is positive and τ -continuous, then it is strongly positive.

The set of strongly positive linear functionals on \mathfrak{A} will be denoted by $\mathcal{P}(\mathfrak{A})$, while $\mathcal{P}_c(\mathfrak{A})$ will denote the subset of $\mathcal{P}(\mathfrak{A})$ consisting of its τ -continuous elements.

Definition 3.4. A family of strongly positive linear functionals \mathcal{F} on $\mathfrak{A}[\tau]$ is called *sufficient* if for every $x \in \mathfrak{A}^+$, $x \neq 0$ there exists $\omega \in \mathcal{F}$ such that $\omega(x) > 0$.

Corollary 3.5. Let $\mathfrak{A}[\tau]$ be a topological $*$ -algebra. The following statements are equivalent.

- (i) $\mathfrak{A}^+ \cap (-\mathfrak{A}^+) = \{0\}$, i.e. \mathfrak{A}^+ is a cone.
- (ii) $\mathcal{P}_c(\mathfrak{A})$ is sufficient.
- (iii) $\mathfrak{A}[\tau]$ is an FR^* -algebra.

Proof. (i) \Rightarrow (ii) is Theorem 3.2. As for (ii) \Rightarrow (i), if $x \in \mathfrak{A}^+ \cap (-\mathfrak{A}^+)$ and $\omega \in \mathcal{P}_c(\mathfrak{A})$, then $\omega(-x) = -\omega(x) \geq 0$. Hence $\omega(x) = 0$. Since ω is arbitrary, it follows that $x = 0$. (ii) \Leftrightarrow (iii) follows from Lemma 2.2. Finally we prove that (iii) \Leftrightarrow (i). Let $x \in \mathfrak{A}^+ \cap (-\mathfrak{A}^+)$, $x \neq 0$. Then there exist $\pi \in \text{Rep}_c(\mathfrak{A})$ and $\xi \in \mathcal{D}_\pi$ such that $\langle \pi(x)\xi | \xi \rangle \neq 0$. Since x is the limit of a net of elements of $\mathfrak{A}_{\text{alg}}^+$, we get $\langle \pi(x)\xi | \xi \rangle > 0$. Similarly, $\langle \pi(-x)\xi | \xi \rangle > 0$. This is a contradiction. \square

Proposition 3.6. Let $\mathfrak{A}[\tau]$ be an FR^* -algebra with $\mathcal{P}_c(\mathfrak{A})$ sufficient. Assume that the following condition (P) holds

If $y \in \mathfrak{A}$ and $\omega(a^*ya) \geq 0$, for every $\omega \in \mathcal{P}_c(\mathfrak{A})$ and $a \in \mathfrak{A}$, then $y \in \mathfrak{A}^+$.

Then, for an element $x \in \mathfrak{A}$, the following statements are equivalent.

- (i) $x \in \mathfrak{A}^+$;
- (ii) $\omega(x) \geq 0$, for every $\omega \in \mathcal{P}_c(\mathfrak{A})$
- (iii) $\pi(x) \geq 0$, for every $\pi \in \text{Rep}_c(\mathfrak{A})$.

Proof. (i) \Rightarrow (ii) is a trivial consequence of the definition of strongly positive element and of the continuity of every $\omega \in \mathcal{P}_c(\mathfrak{A})$ w. r. to τ .

(ii) \Rightarrow (iii): Let π be (τ, τ_w) -continuous $*$ -representation π of \mathfrak{A} . Define $\omega_\xi(x) := \langle \pi(x)\xi | \xi \rangle$ with $\xi \in \mathcal{D}_\pi$, $\|\xi\| = 1$. Then $\omega_\xi \in \mathcal{P}_c(\mathfrak{A})$, since

$$|\omega_\xi(x)| = |\langle \pi(x)\xi | \xi \rangle| \leq p(x)$$

for some τ -continuous seminorm p on \mathfrak{A} . Then, if a satisfies (ii), $\langle \pi(a)\xi | \xi \rangle \geq 0$, for every $\xi \in \mathcal{D}_\pi$.

(iii) \Rightarrow (i): Let $\omega \in \mathcal{P}_c(\mathfrak{A})$ and let π_ω be the corresponding GNS representation. Then, π_ω is (τ, τ_w) -continuous. Indeed,

$$|\langle \pi_\omega(x)\lambda_\omega(a) | \lambda_\omega(b) \rangle| = |\omega(b^*xa)| \leq p(x), \quad \forall x \in \mathfrak{A}; a, b \in \mathfrak{A},$$

for some τ -continuous seminorm p on \mathfrak{A} (due to the continuity of ω and of the multiplications). If (iii) holds, then $\pi_\omega(x) \geq 0$. This implies that $\omega(a^*xa) \geq 0$, for every $a \in \mathfrak{A}$. The statement then follows from the assumption (P). \square

Remark 3.7. If \mathfrak{A} has a unit, (P) is equivalent to the following

(P') If $y \in \mathfrak{A}$ and $\omega(y) \geq 0$, for every $\omega \in \mathcal{P}_c(\mathfrak{A})$, then $y \in \mathfrak{A}^+$.

Remark 3.8. The condition (P) together with $\mathfrak{A}^+ \cap (-\mathfrak{A}^+) = \{0\}$ implies that, for every nonzero $x \in \mathfrak{A}$, there exists $\omega \in \mathcal{P}_c(\mathfrak{A})$ such that $\omega(x) \neq 0$. Indeed, if $\omega(x) = 0$ for every $\omega \in \mathcal{P}_c(\mathfrak{A})$, then $x \in \mathfrak{A}^+$ and also $-x \in \mathfrak{A}^+$; hence $x = 0$.

3.2. Order bounded elements

Let $\mathfrak{A}[\tau]$ be a topological *-algebra with unit e . As we have seen in Section 3.1, $\mathfrak{A}[\tau]$ has a natural order related to the topology τ . This order can be used to define *bounded elements*. In what follows, we will assume that \mathfrak{A} has a unit e .

Let $x \in \mathfrak{A}$; put $\Re(x) = \frac{1}{2}(x + x^*)$, $\Im(x) = \frac{1}{2i}(x - x^*)$. Then $\Re(x), \Im(x) \in \mathfrak{A}_h$ (the set of selfadjoint elements of \mathfrak{A}) and $x = \Re(x) + i\Im(x)$.

Definition 3.9. An element $x \in \mathfrak{A}$ is called *order bounded* if there exists $\gamma \geq 0$ such that

$$\pm \Re(x) \leq \gamma e; \quad \pm \Im(x) \leq \gamma e.$$

We denote by \mathfrak{A}_b the family of order bounded elements.

Proposition 3.10. *The following statements hold:*

- (1) $\alpha x + \beta y \in \mathfrak{A}_b, \forall x, y \in \mathfrak{A}_b, \alpha, \beta \in \mathbb{C}$.
- (2) $x \in \mathfrak{A}_b \Leftrightarrow x^* \in \mathfrak{A}_b$.
- (3) $x, y \in \mathfrak{A}_b \Rightarrow xy \in \mathfrak{A}_b$.
- (4) $a \in \mathfrak{A}_b \Leftrightarrow aa^* \in \mathfrak{A}_b$.

Hence, \mathfrak{A}_b is a *-algebra.

Proof. See [8, Lemma 2.1]. \square

For $x \in \mathfrak{A}_h$, put

$$\|x\|_b := \inf\{\gamma > 0 : -\gamma e \leq x \leq \gamma e\}.$$

$\|\cdot\|_b$ is a seminorm on the real vector space $(\mathfrak{A}_b)_h$.

Lemma 3.11. *If $\mathfrak{A} \cap (-\mathfrak{A}^+) = \{0\}$, $\|\cdot\|_b$ is a norm on $(\mathfrak{A}_b)_h$.*

Proof. Put $E = \{\gamma > 0 : -\gamma e \leq x \leq \gamma e\}$. If $\inf E = 0$, then for every $\epsilon > 0$ there exists $\gamma_\epsilon \in E$ such that $\gamma_\epsilon < \epsilon$. This implies that $-\epsilon e \leq x \leq \epsilon e$. If $\omega \in \mathcal{P}_c(\mathfrak{A})$, we get $-\epsilon \omega(e) \leq \omega(x) \leq \epsilon \omega(e)$ (we may suppose $\omega(e) > 0$ for every $\omega \in \mathcal{P}_c(\mathfrak{A})$, since the Cauchy-Schwarz inequality implies that, if $\omega(e) = 0$, $\omega \equiv 0$). Hence, $\omega(x) = 0$. By the sufficiency of $\mathcal{P}_c(\mathfrak{A})$, it follows that $x = 0$. \square

Proposition 3.12. *If $x \in \mathfrak{A}_b$, then $\pi(x)$ is a bounded operator, for every (τ, τ_w) -continuous $*$ -representation of \mathfrak{A} . Moreover, if $x = x^*$, $\|\pi(x)\| \leq \|x\|_b$.*

Proof. It follows easily from Proposition 3.1 and from the definitions. \square

Theorem 3.13. *Let $\mathfrak{A}[\tau]$ be a topological $*$ -algebra with unit e and assume that condition (P) holds. For $x \in \mathfrak{A}$, the following statements are equivalent.*

- (i) x is order bounded.
- (ii) There exists $\gamma_x > 0$ such that

$$|\omega(a^*xa)| \leq \gamma_x \omega(a^*a), \quad \forall \omega \in \mathcal{P}_c(\mathfrak{A}), a \in \mathfrak{A}.$$

- (iii) There exists $\gamma_x > 0$ such that

$$|\omega(b^*xa)| \leq \gamma_x \omega(a^*a)^{1/2} \omega(b^*b)^{1/2}, \quad \forall \omega \in \mathcal{P}_c(\mathfrak{A}), a, b \in \mathfrak{A}.$$

- (iv) $\pi(x)$ is bounded, for every $\pi \in \text{Rep}_c(\mathfrak{A})$, and

$$\sup\{\|\overline{\pi(x)}\|, \pi \in \text{Rep}_c(\mathfrak{A})\} < \infty\}.$$

Proof. It is sufficient to consider the case $x = x^*$ and again we suppose $\omega(e) > 0$, for every $\omega \in \mathcal{P}_c(\mathfrak{A})$.

(i) \Rightarrow (ii): If $x = x^*$ is order bounded, then also x^2 is order bounded. Thus, for some $\mu > 0$, $a^*x^2a \leq \mu^2 a^*a$, for every $a \in \mathfrak{A}$. Hence,

$$|\omega(a^*xa)| \leq \omega(a^*a)^{1/2} \omega(a^*x^2a)^{1/2} \leq \mu \omega(a^*a), \quad \forall \omega \in \mathcal{P}_c(\mathfrak{A}), a \in \mathfrak{A}.$$

(ii) \Rightarrow (i): Assume now that there exists $\gamma_x > 0$ such that

$$|\omega(a^*xa)| \leq \gamma_x \omega(a^*a), \quad \forall \omega \in \mathcal{P}_c(\mathfrak{A}), a \in \mathfrak{A}$$

and define

$$\tilde{\gamma} := \sup\{|\omega(a^*xa)| : \omega \in \mathcal{P}_c(\mathfrak{A}), a \in \mathfrak{A}, \omega(a^*a) = 1\}.$$

Then, for an arbitrary $\omega' \in \mathcal{P}_c(\mathfrak{A})$, we get,

$$\omega'(\tilde{\gamma}e \pm x) = \tilde{\gamma} \omega'(e) \pm \omega'(x) = \omega'(e)(\tilde{\gamma} \pm \omega'(u^*xu)) \geq 0,$$

where $u = \frac{e}{\omega'(e)^{1/2}}$.

Hence, $\omega'(\tilde{\gamma}e \pm x) \geq 0$, for every $\omega' \in \mathcal{P}_c(\mathfrak{A})$. Then, by (P), $-\tilde{\gamma}e \leq x \leq \tilde{\gamma}e$; i.e. x is order bounded.

(i) \Rightarrow (iii): The GNS representation π_ω is (τ, τ_w) -continuous, hence, by Proposition 3.12, if $x = x^* \in \mathfrak{A}$, $\pi_\omega(x)$ is bounded and $\|\overline{\pi(x)}\| \leq \|x\|_b$. Thus,

$$\begin{aligned} |\omega(b^*xa)| &= |\langle \pi_\omega(x) \lambda_\omega(a) | \lambda_\omega(b) \rangle| \leq \|\pi_\omega(x)\| \|\lambda_\omega(a)\| \|\lambda_\omega(b)\| \\ &\leq \|x\|_b \omega(a^*a)^{1/2} \omega(b^*b)^{1/2} \end{aligned}$$

(iii) \Rightarrow (ii) is obvious.

(iii) \Rightarrow (iv): Let $\pi \in \text{Rep}_c(\mathfrak{A})$ and $\xi \in \mathcal{D}_\pi$. Put $\omega_\xi(y) := \langle \pi(y)\xi | \xi \rangle$, $y \in \mathfrak{A}$. Then $\omega_\xi \in \mathcal{P}_c(\mathfrak{A})$. Hence by (iii), $|\omega_\xi(x)| \leq \gamma_x \omega_\xi(e)$. Or, in other terms, $|\langle \pi(x)\xi | \xi \rangle| \leq \gamma_x \|\xi\|^2$. This, in turn easily implies that $|\langle \pi(x)\xi | \eta \rangle| \leq \gamma_x \|\xi\| \|\eta\|$, for every $\xi, \eta \in \mathcal{D}_\pi$. Hence $\pi(x)$ is bounded and $\|\overline{\pi(x)}\| \leq \gamma_x$.

(iv) \Rightarrow (i): Put $\gamma_x := \sup\{\|\overline{\pi(x)}\|, \pi \in \text{Rep}_c(\mathfrak{A})\}$. Then

$$|\langle \pi(x)\xi | \xi \rangle| \leq \|\pi(x)\xi\| \leq \gamma_x \|\xi\|^2, \quad \forall \xi \in \mathcal{D}_\pi.$$

Hence, $-\gamma_x I_{\mathcal{D}_\pi} \leq \pi(x) \leq \gamma_x I_{\mathcal{D}_\pi}$. In particular this holds for the GNS representation associated to every $\omega \in \mathcal{P}_c(\mathfrak{A})$. Therefore,

$$\omega(x + \gamma_x e) \geq 0 \quad \text{and} \quad \omega(x - \gamma_x e) \leq 0, \quad \forall \omega \in \mathcal{P}_c(\mathfrak{A}).$$

By (P) it follows that $-\gamma_x e \leq x \leq \gamma_x e$. □

Let x be order bounded and define

$$q(x) = \sup\{|\omega(b^* x a)|; \omega \in \mathcal{P}_c(\mathfrak{A}), a, b \in \mathfrak{A}; \omega(a^* a) = \omega(b^* b) = 1\}.$$

Lemma 3.14. $q(x) = \|x\|_b$, for every $x = x^* \in \mathfrak{A}_b$.

Proof. The proof of Proposition 3.12 shows that for $x = x^*$,

$$\|x\|_b \leq \sup\{|\omega(a^* x a)| : \omega \in \mathcal{P}_c(\mathfrak{A}), a \in \mathfrak{A}, \omega(a^* a) = 1\}.$$

Hence, $\|x\|_b \leq q(x)$, for every $x = x^* \in \mathfrak{A}_b$. For any $\gamma > 0$ such that $-\gamma e \leq x \leq \gamma e$, we have, by the proof of Theorem 3.13, $q(x) \leq \gamma$; whence the statement follows. □

Since q extends $\|\cdot\|_b$, we adopt the notation $\|\cdot\|_b$ for both. It is easy to see that $\|\cdot\|_b$ is a norm on \mathfrak{A}_b such that, for every $x, y \in \mathfrak{A}_b$,

- (i) $\|x^*\|_b = \|x\|_b$;
- (ii) $\|xy\|_b \leq \|x\|_b \|y\|_b$.

Moreover, for every $x \in \mathfrak{A}_b$,

$$\|x\|_b = \sup\{\|\overline{\pi(x)}\|, \pi \in \text{Rep}_c(\mathfrak{A})\}. \quad (3.1)$$

Proposition 3.15. Let \mathfrak{A} be a FR^* -algebra. Then $\|\cdot\|_b$ is C^* -norm on \mathfrak{A}_b .

Proof. This follows easily from (3.1). □

The family of functionals $\mathcal{P}_c(\mathfrak{A})$ may be used to define on \mathfrak{A} some more topologies. In what follows we will use the *strong** topology τ_{s^*} , defined by the family of seminorms

$$x \in \mathfrak{A} \rightarrow \max\{\omega(x^* x)^{1/2}, \omega(x x^*)^{1/2}\}, \quad \omega \in \mathcal{P}_c(\mathfrak{A}).$$

Proposition 3.16. \mathfrak{A} be a FR^* -algebra with unit e and assume that \mathfrak{A} is τ_{s^*} -complete. Then \mathfrak{A}_b is a C^* -algebra with norm $\|\cdot\|_b$.

Proof. Since $\|\cdot\|_b$ is a C^* -norm on \mathfrak{A}_b , we need only to prove the completeness of \mathfrak{A}_b .

Let $\{x_n\}$ be a Cauchy sequence with respect to the norm $\|\cdot\|_b$. Then $\{x_n^*\}$ is Cauchy too. Hence, for every $\omega \in \mathcal{P}_c(\mathfrak{A})$ and $a \in \mathfrak{A}$ we have

$$\omega(a^*(x_n^* - x_m^*)(x_n - x_m)a) \rightarrow 0, \text{ as } n, m \rightarrow \infty$$

and

$$\omega(a^*(x_n - x_m)(x_n^* - x_m^*)a) \rightarrow 0, \text{ as } n, m \rightarrow \infty.$$

Therefore, $\{x_n\}$ is Cauchy also with respect to τ_{s^*} . Then, there exists $x \in \mathfrak{A}$ such that $x_n \xrightarrow{\tau_{s^*}} x$. Since

$$\omega(a^*x^*xa) = \lim_{n \rightarrow \infty} \omega(a^*x_n^*x_na) \leq \limsup_{n \rightarrow \infty} \|x_n\|_b^2 \omega(a^*a)$$

and $\limsup_{n \rightarrow \infty} \|x_n\|_b^2 < \infty$ (by the boundedness of the sequence $\{\|x_n\|_b\}$), we conclude that x is order bounded. Finally, by the Cauchy condition, for every $\epsilon > 0$, there exists $n_\epsilon \in \mathbb{N}$ such that, for every $n, m > n_\epsilon$, $\|x_n - x_m\|_b < \epsilon$. This implies that

$$\omega(a^*(x_n^* - x_m^*)(x_n - x_m)a) < \epsilon \omega(a^*a), \quad \forall \varphi \in \mathcal{M}, a \in \mathfrak{A}.$$

Then if we fix $n > n_\epsilon$ and let $m \rightarrow \infty$, we obtain

$$\omega(a^*(x_n^* - x^*)(x_n - x)a) \leq \epsilon \omega(a^*a), \quad \forall \varphi \in \mathcal{M}, a \in \mathfrak{A}.$$

This, in turn, implies that $\|x_n - x\|_b \leq \epsilon$, for $n \geq n_\epsilon$. \square

3.3. Spectral boundedness

Once one has at hand the algebra \mathfrak{A}_b of bounded elements of a topological $*$ -algebra \mathfrak{A} , it is natural to use it for a coherent definition of spectrum and investigate on the relationship between the order boundedness of an element $x \in \mathfrak{A}$ and the boundedness of its spectrum. But for making this meaningful one has to suppose that \mathfrak{A}_b is large enough to avoid trivial situations. Thus, in this section we will consider only an FR^* -algebra \mathfrak{A} satisfying the following condition

(A) \mathfrak{A}_b is a C^* -algebra, τ_{s^*} -dense in \mathfrak{A} .

Definition 3.17. Let \mathfrak{A} be an FR^* -algebra \mathfrak{A} , with unit e , and satisfying (A). The *resolvent* $\rho_o(x)$ of x is defined by

$$\rho_o(x) = \{\lambda \in \mathbb{C} : (x - \lambda e)^{-1} \text{exists in } \mathfrak{A}_b\}.$$

The *spectrum* of x is defined as $\sigma_o(x) := \mathbb{C} \setminus \rho_o(x)$.

In similar way as in [9] it can be proved that: (a) $\rho_o(x)$ is an open subset of the complex plane; (b) the map $\lambda \in \rho_o(x) \mapsto (x - \lambda e)^{-1} \in \mathfrak{A}_b$ is analytic in each connected component of $\rho_o(x)$; (c) $\sigma_o(x)$ is nonempty.

As usual, we define the *spectral radius* of $x \in \mathfrak{A}$ by

$$r_o(x) := \sup\{|\lambda| : \lambda \in \sigma_o(x)\}.$$

Theorem 3.18. *Let \mathfrak{A} be an FR^* -algebra \mathfrak{A} , with unit e , and satisfying (A). Then $r_o(x) < \infty$ if and only if $x \in \mathfrak{A}_b$.*

Proof. If $x \in \mathfrak{A}_b$, then $\sigma_o(x)$ coincides with the spectrum of x as an element of the C^* -algebra \mathfrak{A}_b and so $\sigma_o(x)$ is compact. Conversely, assume that $r_o(x) < \infty$. Then the function $\lambda \mapsto (x - \lambda e)^{-1}$ is $\|\cdot\|_b$ -analytic in the region $|\lambda| > r_o(x)$. Therefore it has there a $\|\cdot\|_b$ -convergent Laurent expansion

$$(x - \lambda e)^{-1} = \sum_{k=1}^{\infty} \frac{a_k}{\lambda^k}, \quad |\lambda| > r_o(x),$$

with $a_k \in \mathfrak{A}_b$ for each $k \in \mathbb{N}$. As usual

$$a_k = \frac{1}{2\pi i} \int_{\gamma} \frac{(x - \lambda e)^{-1}}{\lambda^{-k+1}} d\lambda, \quad k \in \mathbb{N},$$

where $\gamma := \{\lambda \in \mathbb{C} : |\lambda| = R : R > r_o(x)\}$ and the integral on the r.h.s. is meant to converge with respect to $\|\cdot\|_b$.

Using the previous integral representation and the continuity, for every $\omega \in \mathcal{P}_c(\mathfrak{A})$ and $b, b' \in \mathfrak{A}$, we have

$$\omega(b'^* x a_k b) = \omega(b'^* a_{k+1} b).$$

This implies that $x a_k = a_{k+1}$.

In particular,

$$\begin{aligned} \omega(b'^* (x a_1) b) &= \frac{1}{2\pi i} \int_{\gamma} \omega(b'^* x (x - \lambda e)^{-1} b) d\lambda \\ &= -\omega(b'^* x b). \end{aligned}$$

Hence $x a_1 = -x$. Thus finally $x = -a_2 \in \mathfrak{A}_b$. \square

Corollary 3.19. *Let \mathfrak{A} be an FR^* -algebra \mathfrak{A} , with unit e , and satisfying (A). Then*

$$\begin{cases} r_o(x) \leq \|x\|_b & \text{if } x \in \mathfrak{A}_b \\ r_o(x) = +\infty & \text{if } x \notin \mathfrak{A}_b. \end{cases}$$

3.4. Concluding remark

As we have seen the notion of order boundedness for elements of a topological *-algebra \mathfrak{A} has plenty of interesting consequences on the structure of \mathfrak{A} , at least if \mathfrak{A} has sufficiently many representations. There are however several questions that remain unsolved. The first one concerns the *size* of the algebra \mathfrak{A}_b of order bounded elements, since the density of \mathfrak{A}_b in \mathfrak{A} cannot be deduced from the set-up presented in this paper and probably requires tighter assumptions on the topology τ of \mathfrak{A} . The second one is about the notion of *left τ -bounded* element given in the Introduction: as shown in [3] for the case of locally convex partial *-algebras, this definition leads to reasonable results on the spectral behavior. It is not difficult to see that left τ -boundedness implies, in the case of topological *-algebras, Allan

boundedness; thus it is really worth investigating it in detail. We leave both these questions to future papers.

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