**Atmospheric
Chemistry
and Physics**

# Organic matter and non-refractory aerosol over the remote Southeast Pacific: oceanic and combustion sources

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**Abstract.** Submicron aerosol physical and chemical properties in remote marine air were measured from aircraft over the Southeast Pacific during VOCALS-REx in 2008 and the North Pacific during IMPEX in 2006, and aboard a ship in the Equatorial Pacific in 2009. A High Resolution – Particle Time of Flight Aerosol Mass Spectrometer (HR-ToF-AMS) measured non-refractory submicron aerosol composition during all campaigns. Sulfate ($SO_4$) and organics (Org), during VOCALS and the cruise show lower absolute values than those reported for previous "clean air" studies. In the marine boundary layer, average concentrations for $SO_4$ were $0.52 \, \mu g \, m^{-3}$ for the VOCALS region and $0.85 \, \mu g \, m^{-3}$ for the equatorial region while average Org concentrations were 0.10 and $0.07 \, \mu g \, m^{-3}$, respectively. Campaign average $Org/SO_4$ ratios were 0.19 (VOCALS) and 0.08 (equatorial Pacific). Black carbon (BC) measurements from a single particle soot photometer (SP2) and carbon monoxide (CO) concentrations over the Southeast Pacific provided sensitive indicators of pollution. CO and BC were used to identify the least polluted air, which had average concentrations of $SO_4$ and Org of 0.14 and $0.01 \, \mu g \, m^{-3}$, respectively, with an average $Org/SO_4$ of 0.08. Data from IMPEX was constrained to similar clean air criterion, and resulted in an average $Org/SO_4$ ratio of 0.19. Under the cleanest MBL conditions during VOCALS, identified by CO below 61 ppbv, a robust linear relationship between Org and BC concentrations revealed that even at very low pollution levels, combustion sources dominated organic aerosol, suggesting little to no marine source of submicrometer Org to the atmosphere over the eastern South Pacific. This means marine organics cannot be identified by merely setting a standard for background conditions below which anthropogenic influence can be disregarded. Other methods must be used to exclude non-marine sources.

## 1 Introduction

Aerosols play an important role in the radiative balance of Earth's atmosphere, as they affect planetary albedo, thus climate, through the scattering of solar radiation (direct effect) and their ability to alter the lifetime and optical properties of clouds (indirect effect) (Charlson et al., 1987, 1992; Twomey, 1974). In the marine boundary layer (MBL) over the remote ocean and far removed from anthropogenic influences, the ocean surface is a major source of aerosol mass and number. This includes the primary emission of sea-salt particles from wave breaking and bubble bursting, as well as gas to particle conversion of vapors emitted to the atmosphere from oceanic phytoplankton (i.e. dimethylsulfide (DMS)) (Andreae and Raemdonck, 1983; Grenfell et al., 1999). Another source of aerosols to the remote MBL is entrainment from the free troposphere (FT) (Clarke et al., 1998). Long range transport of pollution, as well as local sources of aerosols, can increase aerosol and cloud condensation nuclei (CCN) concentrations in these remote areas, thus potentially affecting the local albedo and cloud properties (Clarke et al., 2001; Clarke and Kapustin, 2010; Jaffe et al., 1999).

The roles of sea-salt aerosol and non-sea-salt sulfates in climate processes (Andreae and Barnard, 1984; Cline and Bates, 1983) have long motivated investigations of marine aerosol. However, as significant concentrations of organic aerosol (OA) have been observed at sites believed to represent clean marine conditions (Hoffman and Duce, 1976;

Kleefeld et al., 2002; Middlebrook et al., 1998; Novakov et al., 1997; Putaud et al., 2000), the possibility of an oceanic OA source to the fine aerosol mode has been under investigation. More recently, at Mace Head, Ireland, an oceanic sampling site in the North Atlantic, relatively large amounts of OA (up to 72 % of total aerosol mass) have been linked to increased biological production (O'Dowd et al., 2004; Spracklen et al., 2008), suggesting that biogenic emissions are an important source of both water-soluble and insoluble organic matter to the MBL. Satellite-derived mean chlorophyll-$a$ concentrations have been weakly correlated ($R^2 \sim 0.25$) with OA concentrations in clean marine aerosols collected there. In other studies, correlations between trajectory weighted chlorophyll-$a$ and OA were also found for aerosols collected at Amsterdam Island ($R^2 = 0.60$) and Mace Head ($R^2 = 0.75$), however no relationship between chlorophyll-$a$ and OA was found for clean marine aerosols collected at the Azores (Spracklen et al., 2008). Zorn et al. (2008) found up to 51 % of submicron non-refractory mass attributed to OA during a phytoplankton bloom in the South Atlantic but noted trajectories were recently over land.

Sulfates (ultimately from dimethylsulfoniopropionate (DMSP)) and organic matter (primary and secondary) are of particular interest as they provide mechanisms for marine biota to affect aerosol population. Initially most scientific attention was paid to the DMS branch, as $SO_4$ typically dominates submicron aerosol under clean marine conditions (e.g. Charlson et al., 1987). However, DMSP is only produced by a subset of phytoplankton, notably including dinoflagellates, prymnesiophytes, and perhaps some picoeukaryotes (Gabric et al., 2008). Similarly, production of primary marine organics varies dramatically between species, with some species such as the diatom *Melosira arctica* producing prodigious quantities of organic material that have been detected in aerosol (Orellana et al., 2011). Thus it is unsurprising that the ratio of marine-origin sulfates to organic matter varies regionally and with season as a function of plankton composition and physiological condition. Such differences are evident in the studies mentioned above. As sulfates and organics have disparate hygroscopic properties, regional differences in this ratio may influence cloud condensation nuclei.

Given the ubiquity of anthropogenic pollution, it is a challenge to isolate the physical and chemical properties of those aerosols that are actually of marine origin. Many studies have attempted to describe background conditions by taking marine aerosol measurements from land sites and ships (Allan et al., 2004; Andreae et al., 1999; Lohmann et al., 2005; Phinney et al., 2006; Quinn and Bates, 2003; Yoon et al., 2007). During these campaigns, various criteria for "clean" marine conditions were implemented, and included parameters such as clean sector wind direction (Andreae et al., 1999; Yoon et al., 2007), particle number concentration below a certain threshold, Air Mass Back Trajectories (AMBTs) used to indicate air masses with no continental influence a certain number of days before collection took place (Quinn and

Bates, 2003), or volatile organic carbon (VOC) tracers (Allan et al., 2004). However, unless aerosols from continental sources far upwind have been effectively removed by precipitation, AMBTs and clean sectors cannot effectively exclude non-marine influence. Coastal sites can also be influenced by high productivity near shorelines (Spracklen et al., 2008). As a result, most of these studies make no claims about what fraction of "clean" aerosol is actually from the ocean.

As a product of incomplete combustion, black carbon (BC) is an unambiguous indicator of non-marine aerosol; whenever BC aerosol is found in a marine air mass it must be accompanied by other aerosol products of combustion, including OA. There are three obvious ways to handle the presence of continental influence in order to define background marine conditions: (1) try to subtract out the non-marine aerosol; (2) develop a tracer that directly indicates the marine contribution; or (3) develop thresholds which, when met, assure that contamination is negligible compared with marine sources (the methodology used in some of the studies described above). The first is difficult, as it requires a tracer quantitively linked to continental organic matter (OM). BC itself is an obvious choice, but reported OM:BC ratios near source regions range widely: 20:1 and 34:1 for southwesterly and northwesterly flow from the northeast coast of the US (Bates et al., 2005), 85:1 for fresh and 25:1 for aged Canadian forest fires (Singh et al., 2010), 1:1 from southwest India and 8:1 from northeast Asia (Quinn and Bates, 2005; INDOEX and ACE-Asia:polluted), and 8:1 from southern Africa (Haywood et al., 2003). Reid et al. (2005) show OC:BC ranging from 2 to 17 for a wide range of biomass burning, though they cluster around 11. Carbon isotopes have been used as tracers of marine organics, allowing a few groups to calculate marine and continental contributions to OM. Turekian et al. (2003), Narukawa et al. (2008), and Miyazaki et al. (2010) used $^{13}C$ and Ceburnis et al. (2011) used $^{14}C$ as well. In general, evidence for large contributions of OA to marine aerosol come from studies at high latitudes or during phytoplankton blooms. Even at high latitude sites, air from the subtropics tends to have much lower organic content. At Mace Head, Dall'Osto et al. (2010) found that winds from the south had Org/$SO_4 \approx 0.17$. At Amsterdam Island, Sciare et al. (2009) found low OM during Austral winter, and when back trajectories were from the north. Both the Dall'Osto et al. and Sciare et al. studies found BC (45 and $7 \, \text{ng m}^{-3}$, respectively) and neither attempted to isolate the purely marine contributions, which must have been lower. Claeys et al. (2010) found Org mass contributes less than 10 % to total submicron mass in aerosols collected at Amsterdam Island, even during periods of high biological production. Zorn et al. (2008), reported Org/$SO_4$ ratios of 0.07 and 0.17 for the Antarctic and South Atlantic oceans, but had no BC or CO data. Of the carbon isotope tracer studies mentioned above, only Miyazaki et al. (2010) documented air masses from subtropical regions during non-bloom periods. They found that only 8–36 % of the OM was

due to marine sources during samples with back trajectories that originated over the oligotrophic central North Pacific before crossing narrow high-productivity areas to reach the ship they were sampling from. It's not clear how much of the aerosol was contributed by the low-productivity region. Elemental carbon (EC) during the subtropical events in the Miyazaki et al. (2010) study averaged $43 \, \text{ng m}^{-3}$. Less directly, hygroscopic growth experiments in the Southern Atlantic and Indian Oceans (Maßling, 2003), the Pacific and Southern Oceans (Berg et al., 1998), near Puerto Rico (Allan et al., 2008) and the Eastern Atlantic (Allan et al., 2009) all found hygroscopic growth of aerosol particles consistent with particles composed chiefly of sulfate salts rather than OM during clean marine periods.

Here we present two studies conducted in the central and southeast Pacific Ocean that show significantly lower absolute and relative contributions of Org to the total submicron aerosol mass than previously reported. A rapid, accurate BC measurement showed a linear relationship between BC and Org over the southeast Pacific, even at low concentrations of CO and BC. Another campaign that took place over the North Pacific Ocean showed similar low Org/SO$_4$ ratios to those in the Southeast Pacific (SEP), when constrained to increasingly more stringent CO and BC concentrations. These results will be discussed and compared with previous investigations of clean marine aerosol. The use of the mass fraction of Org relative to SO$_4$ (Org/SO$_4$) will be used to demonstrate that under the clean conditions established in this study, little of the submicron non-refractory aerosol mass can be attributed to Org. Org and SO$_4$ are typically the two largest components of submicron aerosol mass and are therefore two components that are commonly and consistently measured. Though Org and SO$_4$ have different production rates and chemical reactivity in the atmosphere, the ratio of Org/SO$_4$ is a useful and convenient tool for comparing non-refractory submicron aerosol chemical composition across different geographical regions.

## 2 Methods

### 2.1 Field campaigns

Submicron aerosols were collected during two campaigns over the Southeast and Central Pacific shown in Fig. 1. The VAMOS Ocean-Cloud-Land-Study Regional Experiment (VOCALS-REx), took place in October/November 2008 out of Arica, Chile. The campaign involved 14 research flights aboard the National Center for Aerosol Research (NCAR) C-130, with three distinct flight patterns (Wood et al., 2011b). These included (1) flights along 20° S with 10 min legs above-cloud, in-cloud and below cloud, (2) flights investigating pockets of open cells (POCs) in the stratocumulus deck (Wood et al., 2011a), and (3) two pollution surveys to 30° S parallel to the coast of Chile. Submicron

particles were also sampled in the MBL on board the NOAA ship R/V *Ka'imimoana* over the central Pacific during August/September 2009. The cruise originated in Hawaii and serviced Tropical Atmosphere Ocean (TAO) buoys along the 140° W and 125° W longitudes, from 8° N to 8° S.

A third campaign, the Intercontinental and Megacity Pollution Experiment (IMPEX), also took place aboard the C-130 in April 2006, with 12 research flights from Seattle, WA over the Northeast Pacific Ocean. Most of these flights were designed to intercept transported Asian pollution layers as identified by chemical transport models (Dunlea et al., 2009) in between continents, namely between Asia and North America. Both the aging of aerosols and the structure of the pollution layers were investigated. For comparison against pollution aerosol, several instances of clean marine air were measured, even though clean aerosol was not the focus of the campaign.

### 2.2 Instrumentation

#### 2.2.1 Inlets

A Solid Diffuser Inlet (SDI) was used on board the C-130 for the majority of sample collection during VOCALS and IMPEX. The inlet was kept isokinetic during sampling by adjusting flows as flight parameters (i.e. speed, altitude, etc.) changed. Inlet losses from the SDI are most severe for large, supermicron particles (Moore et al., 2004). Moore et al. (2004) performed an inlet comparison study and found the SDI to effectively pass submicrometer, as well as optically relevant coarse mode aerosol. McNaughton et al. (2007) tested University of Hawai'i's SDI against ground based measurements during the DC-8 Inlet Characterization Experiment (DICE) and found that submicrometer scattering agreed within 16 %. The inlet efficiently transmits both dust and sea salt particles smaller than about 4 μm (50 % cut-off) in dry diameter. While most of the instrument inlets are not relative humidity (RH) controlled, several mechanisms are in place to ensure aerosol is dry (<40 %) before it is measured by any instrument used in the discussion of this paper. For example, ram heating decreases aerosol RH as it enters the aircraft. We also use dilution with equal amounts of desiccated and filtered air to drop the RH to between 20 and 30 % in some instruments (i.e. Optical Particle Counter, Howell et al., 2006). Aerosol sampled by the aerosol mass spectrometer is not desiccated, but the drop in pressure from the inlet to the intermediate pressure chamber ensures aerosol is below a RH of 40 % before it is ionized. Five-minute filter periods were conducted a minimum of twice per 9-h flight, usually in the beginning and at the end of flights. Filter periods during the cruise occurred once a day, and were at least 30 min.

On the R/V *Ka'imimoana*, 3/4 inch copper tubing (∼30 m) was used to bring air from the bow of the ship (forward of the stack) to the instruments housed inside the ship. The flow rate was approximately 40 l per minute (lpm), and

gravitational losses and diffusional losses for particles between 0.1 and 1 μm were estimated at <5 % (using Baron and Willeke, 2001). The sampling RH throughout the campaign was 56.2 ± 4.8 %.

### 2.2.2 Aerosol mass spectrometer

During the VOCALS and IMPEX campaigns, as well as the TAO cruise, non-refractory chemical composition of submicron aerosols was determined using an Aerodyne High Resolution Time of Flight Mass Spectrometer (HR-ToF-AMS). The HR-ToF-AMS uses an aerodynamic lens assembly to focus 35 nm–1 μm vacuum aerodynamic diameter particles onto a 600 °C heated surface (Zhang et al., 2002, 2004). Particles are evaporated off the heater, ionized by electron impaction (70 eV), and mass analyzed by ToF-MS. The AMS was typically operated in high-sensitivity mode (V-mode), though on the ship and occasionally during VOCALS, the instrument was operated in a high resolution mode (W-mode), which offers more detailed chemical composition of ion fragments. A detailed description of the instrument and its operation is given in DeCarlo et al. (2006) and Canagaratna et al. (2007). During VOCALS the AMS was operated with an intermediate-pressure chamber that kept the pressure at the aerodynamic lens constant (600 mbar) regardless of pressure changes due to changing altitude. The pressure drop also reduced RH, as mentioned in the previous section.

Typical detection limits for one-minute averaged V-mode data have been reported as <0.04 μg m$^{-3}$ for all chemical species (SO$_4$, Org, nitrate (NO$_3$), and ammonium (NH$_4$)) (DeCarlo et al., 2006). However, these detection limits were derived from ground-based experiments. Aircraft-based AMS detection limits are typically 2–5 times higher due to higher background because the instrument has to be turned off between flights. When the turbo pumps on the AMS are off it allows the build up of material inside the vacuum chamber. For several hours after the AMS is turned back on, this material is driven out of the system by the heater. For some material, e.g. Org, this can take longer than our typical 2 h preflight warm up. Consequently, early in the flights there can be a high Org background which contributes to more noise and a higher detection limit. Detection limits for our campaigns were calculated as twice the standard deviation of the species signal during a filter period. For example, during VOCALS the detection limit varied depending on the duration of AMS operation over a flight, and with lower detection limits reached after several hours. The detection limit changed from 0.02 μg m$^{-3}$ at the beginning of a flight (2 h after the turbo pumps were turned on), to 0.014 μg m$^{-3}$ in the middle of a flight (6.5 h into the flight, or 8.5 h after the pumps were turned on). Detection limits are for one-minute integrated data, which is the highest time resolution of data reported in this study. Data which were under the detection limit of the instrument were removed from the analysis.

Due to the high Org background at the beginning of the flight that was made apparent by a combination of operating parameters and low Org signal in the VOCALS region, the Org closed signal was adjusted to help account for the high background, and change negative Org values to physically plausible values. A new closed signal was calculated by averaging the closed signals on either side of an open signal. The difference between the new closed signal and the corresponding open signal equaled the new Org concentration. The result of the Org background correction was a maximum of 0.05 μg m$^{-3}$ increase in Org values in the beginning of the flight (for the first hour of the flight), after which the correction had negligible impact on Org values (≪0.01 μg m$^{-3}$).

Processing of the AMS data was done using the standard AMS data analysis software (SQUIRREL v.1.48C and PIKA v.1.07B, Sueper, 2010) within Igor Pro 6 (Wavemetrics, Lake Oswego, OR). The fragmentation table in SQUIRREL was adjusted to give zero Org mass concentrations during filter periods. The adjustment was achieved by altering the air mass fragment coefficient at *m/z* 29 (frag_air[29]). The default coefficient is used to represent an isotopic factor and describes the relative amount of N$^{15}$N at *m/z* 29, which is related to the signal of N$_2$ at *m/z* 28. However, depending on the threshold setting and possible saturation effects, this isotopic factor may be slightly different than predicted, and may be multiplied by a factor, close to 1. In our case, the isotopic factor was adjusted up to give zero Org signal at *m/z* 29 during a filter period. The constant applied to the default coefficient of the air peak at *m/z* 29 did not change during a flight, and changed very little over the campaign. The average value was 0.77 ± 0.08, representing a 10 % variation which corresponds to an uncertainty of 0.02 μg m$^{-3}$ for Org values.

The overall ability of the AMS to transmit and detect particles is called the collection efficiency (CE) and takes into account the transmission efficiency of the aerodynamic lens, the loss of transmission because of non-sphericity, and the efficiency with which a vaporized particle is detected (Huffman et al., 2005). The CE of the AMS for the inorganic ions was estimated by comparing the molar ratio of NH$_4$ to SO$_4$ to determine the acidity of the aerosol. More acidic aerosols (i.e. lower NH$_4$/SO$_4$ molar ratio) are collected more efficiently than neutralized aerosol (Drewnick et al., 2003). The CE correction factor was determined by finding the NH$_4$/SO$_4$ over the desired collection time, and assuming CE varies linearly (from 50 to 100 %) as the ratio of NH$_4$/SO$_4$ decreases from 1 to 0 (Matthew et al., 2008). Any time the NH$_4$/SO$_4$ ratio is above 1, the CE is assumed to be 50 %.

The ionization efficiency (IE) calibration determines the ionization efficiency of ammonium nitrate (NH$_4$NO$_3$). The quantification of all non-refractory AMS components (SO$_4$, NH$_4$, and Org) are based on the linearity of the IE of NO$_3$ (Jimenez, 2010). These are typically done in the field using Brute-Force Single Particle Mode (BFSP). IE calibrations were run once a week (every three flights) throughout
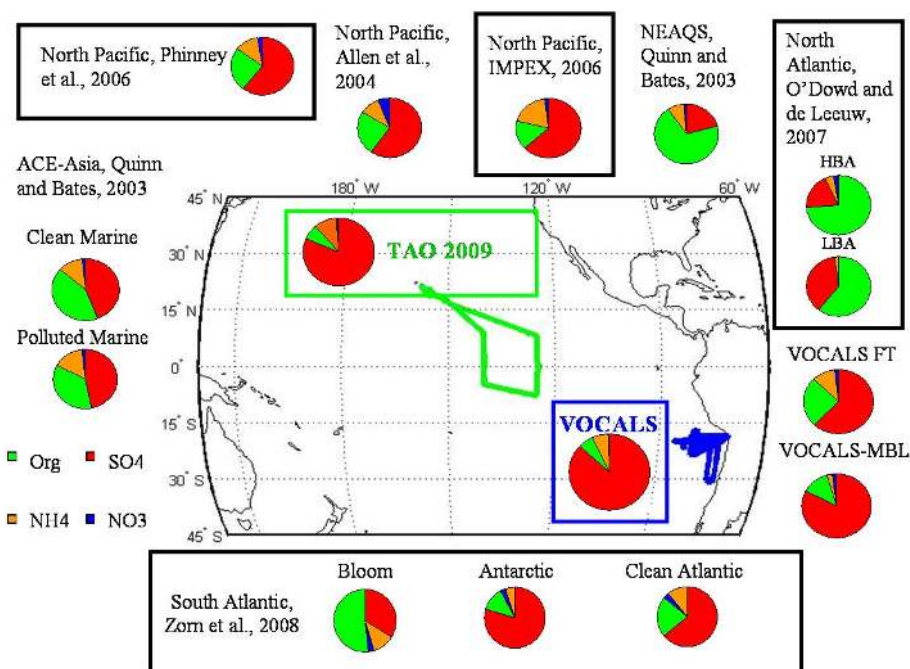
**Fig. 1.** Study region for VOCALS (blue) and TAO 2009 cruise (green). Pie charts indicate relative contributions of submicron non-refractory species. All studies are of marine boundary layer aerosols, with the exception of the VOCALS Free Troposphere data (FT). Studies in bold boxes indicate those which focus on "clean" marine aerosol, (i.e. based upon various approaches to minimize continental influence). NEAQS = New England Air Quality Study, HBA = High Biological Activity, LBA = Low Biological Activity.

VOCALS using generated $NH_4NO_3$ aerosol. An IE calibration was run at the beginning of the TAO 2009 cruise. However, the chopper wheel stopped working half way through the campaign, and therefore no additional IE calibrations were run.

Total uncertainty for the AMS, including errors due to fluctuations of voltages, filament current, particle losses, counting statistics, and IE calibrations (Drewnick, 2006) as well as collection efficiency and fragmentation table corrections, is $\pm 28\% + 0.06\,\mu g\,m^{-3}$ for Org values and $\pm 27\% + 0.01$ for $SO_4$ values.

For the purposes of this study the term Org, which is used in the AMS community to represent the amount of particulate organic matter (POM) resolved by the AMS, is used interchangeably with POM from e.g. Quinn and Bates (2003).

### 2.2.3 Single particle soot photometer

A single particle soot photometer (SP2) was also used to measure BC particle number and mass during IMPEX and VOCALS. The detector configuration and triggering method were set up according to the manufacturer (Droplet Measurement Technologies (DMT)) as described in Stephens et al. (2003) and Schwarz et al. (2006). The detector configuration was set to use photomultiplier tubes (PMTs) for two incandescence channels (broad/narrow) and avalanche photodiodes (APDs) employed for two scattering channels. Dur-

ing VOCALS, events were triggered from broad incandescence channels. The incandescence channel response was converted to refractory BC mass using a calibration curve generated with laboratory black carbon (Aquadag), sized using a long differential mobility analyzer (LDMA, described in Sect. 2.2.4). The effective density of Aquadag was generated according to (Moteki and Kondo, 2010). Detection limits of 0.087–400 nm were calculated assuming a BC density of $2\,g\,cm^{-3}$. For a sampling interval of 1 min, the total uncertainty of the SP2 is $\pm 23\%$ including calibration errors, fluctuations in laser power, pressure dependencies (Schwarz et al., 2006) and counting statistics. While it is common that a significant number of BC particles may exist below the limit of SP2 detection, in the case of remote aged atmospheric soot we generally find the SP2 misses less than 10 % of the ambient BC mass (Schwarz et al., 2010). If particle losses were significant we would expect a positive intercept for regression of absorption against SP2 BC mass but this is not what we find (e.g. McNaughton et al., 2011). We note that recently it has been argued by Gysel et al. (2011) that the effective density of Aquadag is about 35 % lower than found by Moteki and Kondo (2010). If so, the BC measurements reported here are correspondingly too high. However, this does not change the nature of the dependencies identified in this study and our BC measurements have not been altered to reflect the Gysel et al. findings.

### 2.2.4 Other aerosol instrumentation

This study utilizes data from several other aerosol instruments used on board the C-130. Particle concentrations were continuously monitored with condensation nuclei (CN) counters (TSI 3010). Two CN counters were operated in parallel; one with an inlet heated to 360 °C. Non-volatile CN (CNhot) refers to those particles which do not volatilize at 360 °C, i.e. BC, sea-salt, non-volatile organic species and some larger sizes that are incompletely volatilized. In the absence of sea-salt (e.g. in the FT) these characteristics allow CNhot to serve as a proxy for pollution (Clarke and Kapustin, 2002, 2010). An Optical Particle Counter (OPC), LAS-X with modified electronics, was also operated using a heated inlet which cycled between non-heated, 150, 300, and 400 °C. The OPC sampling time varied between 1–2 min depending on temperatures selected for each cycle (typically 30 s per temperature). Total and non-volatile size distributions of the aerosol (Clarke, 1991) over about 120–1000 nm can be measured with the OPC. Uncertainties estimated by Shinozuka et al. (2004) for the OPC are ±15 %. A long differential mobility analyzer (LDMA, model TSI 3934) with modified flow control, electronics, and data acquisition was used to acquire size distributions in the 10–500 nm range. The LDMA drew air from an all-aluminum lagged aerosol grab (LAG) chamber, which is open for ∼20 s every 2 min (Clarke et al., 1998). The LDMA was operated in a scanning mode, with an upscan time of 60 s. The inversions were done using a LabView program written by J. Zhou and described in his dissertation (Zhou, 2001). The LDMA uncertainties include flow rate (both sheath and sample flow), and errors in sizing, including those resulting from non-sphericity of the particles. Total uncertainties for the instrument are estimated at ±30 %. A three-wavelength TSI nephelometer (model 3563) and impactor system provided data on total and submicrometer dry aerosol light scattering. CO was measured on the C-130 (IMPEX and VOCALS) using a vacuum UV resonance fluorescence instrument similar to that of Gerbig et al. (1999). Precision is reported as ±3 ppb and accuracy as better than 10 % for a mixing ratio of 100 ppb (Pfister, 2010). Instrumentation aboard the *Ka'imimoana* included the AMS, CN counters (heated and unheated), nephelometer, and LDMA.

## 3 Results

### Data stratification

As a test of AMS performance, submicron non-refractory AMS mass was compared to submicron aerosol volume determined from size distributions measured by the LDMA, assuming a particle density of 1.7 g cm$^{-3}$ for dry sulfate. Although OM has been shown to have a lower density than that of SO$_4$ when sampled by the AMS (Cross et al., 2007), given the high mass fraction of SO$_4$ (>75 %) and the low

contribution of Org (<10 %) in the VOCALS and TAO regions, the assumption of 1.7 g cm$^{-3}$ is justified for the purposes of this analysis. This comparison between LDMA and AMS mass provided an independent assessment of potential particle losses by the AMS. Figure 2 shows a plot of AMS mass and LDMA mass for the VOCALS marine boundary layer (MBL) that yields a linear regression equation with a slope of 0.65, $R^2 = 0.68$, suggesting that the AMS was under sampling submicron aerosol compared to the LDMA (LDMA$_{before}$). Consequently, LDMA volumes were corrected for non-volatile mass determined independently by the OPC. The volatile mass from the OPC was calculated as the difference between the non-heated mass (M1) and the mass that volatilized at 400 °C (M4) over the same size bins as the LDMA (∼10–500 nm). The fraction of volatile OPC mass (Vol) was then calculated using Vol = (M1–M4)/M1. A histogram of the Vol fraction is shown in Fig. 2. The measured Vol fraction from the OPC was multiplied by the measured LDMA mass over the closest available time period (within approximately 90 s) in order to estimate only the volatile LDMA mass expected to be detected by the AMS. This LDMA volatile mass was then compared with the AMS, and is plotted in Fig. 2 as black circles over the uncorrected data in grey. After this correction, the slope of the linear regression between the LDMA and AMS improved to 0.81, $R^2 = 0.65$, indicating better quantitative agreement with the non-refractory aerosol component, and within the expected uncertainties of the instruments (between 25–30 %). The comparison between LDMA, OPC, and AMS is complicated by incommensurate timescales which make more direct comparisons difficult. The AMS and OPC data are averaged over 90 s timescales in order to compare with the LDMA, and even then the AMS spends half the sampling time in a "blanking" mode. The LDMA size distributions represent a 20 s grab sample that is then scanned over a 60 s period. Selecting only periods of most stable conditions might reduce variability at the expense of fewer data, but would not affect the overall slope.

Throughout VOCALS, dedicated intercomparison periods took place between sampling platforms. These consisted of level legs where aircraft and/or the R/V *Ron Brown* sampled the same air mass for a given amount of time, allowing direct comparison of instrument performance across platforms. Detailed descriptions of intercomparison periods can be found in Allen et al. (2010). Other aircraft involved in the campaign, and with an AMS on board, included the United Kingdom (UK) British Aerospace-146 (BAe-146), and the United States Department of Energy Gulfstream-1 (DoE-G1). AMS data across all platforms was found to contain no systematic sampling biases, and mean quantities from intercomparison runs agreed within one standard deviation (Allen et al., 2010). The comparison between the BAe-146 and C-130 AMS data showed agreement within 20 % for the absolute values of Org and SO$_4$, and showed less than 6 % disagreement in the Org/SO$_4$ ratio.
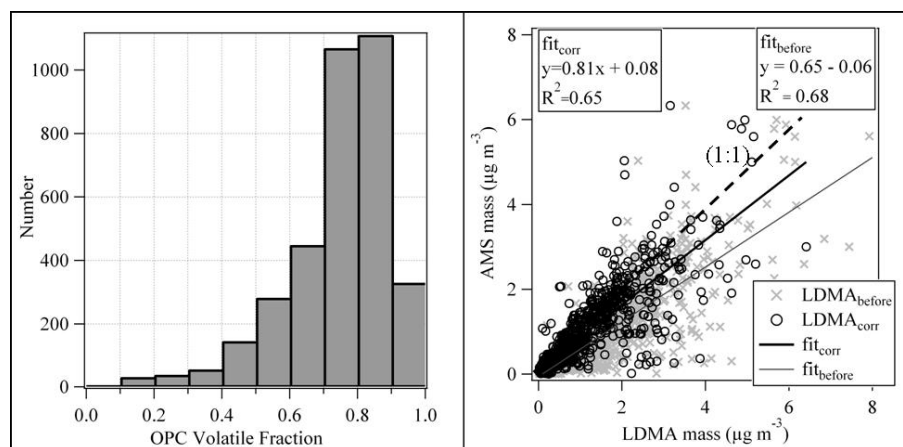
**Fig. 2.** (Left) Histogram of the volatile fraction established from the OPC. (Right) Relationship between LDMA volatile mass and AMS mass for the VOCALS MBL before and after the non-volatile correction factor.

However, the agreement between the *Ron Brown* and C-130 AMS data during intercomparison periods was not as consistent. The AMS on board the *Ron Brown* was an Aerodyne Quadrupole AMS (Q-AMS), with significantly higher detection limits for Org ($0.16 \, \mu g \, m^{-3}$) than the ToF-AMS operated aboard the aircraft. Therefore, when intercomparisons were conducted during periods with Org concentrations near the detection limit of the Q-AMS, the discrepancies between platforms were worse than during periods of elevated Org concentrations. During one of the latter periods, the C-130 AMS measured $0.26 \pm 0.06 \, \mu g \, m^{-3}$ Org and $0.96 \, \mu g \, m^{-3} \pm 0.02$ $SO_4$ while the *Ron Brown* AMS measured $0.42 \pm 0.09 \, \mu g \, m^{-3}$ Org and $0.90 \pm 0.11 \, \mu g \, m^{-3}$ $SO_4$. Given the uncertainties of the two different AMS instruments for Org; C-130 AMS uncertainty $\pm 28 \% + 0.06 \, \mu g \, m^{-3}$ from Sect. 2.2.2 and *Ron Brown* AMS uncertainty of $\pm 25 \% + 0.16 \, \mu g \, m^{-3}$ (Canagaratna et al., 2007), the Org measurements agree within the expected uncertainties.

Table 1 shows the average concentrations for $SO_4$, Org, $NO_3$, and $NH_4$ for VOCALS and TAO 2009, as well as average BC mass and CO for VOCALS. In addition, average values of these aerosol constituents and the average Org/$SO_4$ ratio from several previous clean marine investigations (Fig. 1) are shown for comparison. While some previous investigations focus on clean marine aerosol by excluding only local sources, others (shown in bold boxes in Fig. 1) attempt to quantify a background marine aerosol for a particular region. In Table 1, our VOCALS data is averaged based on three different criteria. The first is simply campaign-averaged MBL, the second is the nominally clean MBL, with data restricted to BC mass less than $4.5 \, ng \, m^{-3}$ and CO less than 61 ppb. Finally, our criteria for the natural unperturbed MBL as determined during this study, also described in Sect. 4.1.

Data from the TAO 2009 cruise had to be screened for ship contamination. Periods influenced by the ship's plume were removed from the AMS data based upon exceeding a criteria of $700 \, cm^{-3}$ for CNhot and $15 \, Mm^{-1}$ for submicron scattering values from the TSI nephelometer. Next, CNhot (1 Hz data) was smoothed with a 12-point median filter. The smoothed data was subtracted from the raw data in order to capture any rapid changes in the concentration possibly related to stack contamination. Any data point where the difference in raw and smoothed data, on a one second time scale, was greater than a concentration of 200 particles $cm^{-3}$ was removed. After this screening the same CE scheme employed for the VOCALS data was applied. The resulting average concentration of $SO_4$ was $0.85 \, \mu g \, m^{-3}$, while Org was $0.07 \, \mu g \, m^{-3}$. $NH_4$ concentrations averaged $0.11 \, \mu g \, m^{-3}$. Time series of TAO cruise data for $SO_4$, Org, $NH_4$ and $NO_3$ are shown in Fig. 3, along with the time series of Org/$SO_4$. Figure 3 also includes the cruise track with date labels, rain events, and wind direction indicated. Excursions from the average Org/$SO_4$ ratio are pronounced along the easternmost leg of the cruise, along $125° \, W$ from $8° \, S$ to $5° \, N$, where Org concentrations increase gradually from $0.07 \, \mu g \, m^{-3}$ at the southern end of the cruise track, to $0.17 \, \mu g \, m^{-3}$ near the equator. As the ship moved north after 15 September, and north of the Intertropical Convergence Zone (ITCZ), the Org/$SO_4$ ratio decreased to values below 0.1, typical of those observed elsewhere during the cruise, while the absolute value of Org also decreased back to concentrations typical of the cruise average $0.07 \, \mu g \, m^{-3}$.

The AMS was operated in both V and W modes during the cruise, cycling between the two modes every one and four minutes, respectively. When cycling between the two modes, ten-minute averages include only two minutes of V-mode data, compared to ten minutes of data when operating solely in V-mode. Due to the condition of the AMS, we were able to operate the instrument only in V-mode after 10 September. Since the current study focuses on V-mode data exclusively, the data are therefore noisier before 10 September than after.

**Table 1.** Average submicron mass concentrations for major aerosol constituents from current and previous investigations of "clean" marine submicron aerosol[a].

| Location | Period of Sampling | SO$_4$ | Org | NH$_4$ | NO$_3$ | BC | CO | Org/SO$_4$ | Criteria[b] |
|---|---|---|---|---|---|---|---|---|---|
| North Pacific, Trindad Head, California[c] | Apr–May 02 | 0.93 | 0.38 | 0.2 | 0.09 | | | 0.41 | 7 |
| North Pacific, Ocean Station Papa (50.0° N, 145.0° W)[d] | Jul 02 | 0.74 | 0.3 | 0.2 | 0.03 | | | 0.41 | 2 |
| North Atlantic, Mace Head, Ireland[e] | Apr–Jun, Sep–Oct 02 | 0.26 | 0.91 | 0.1 | 0.02 | 20 | 130 | 3.5 | 1, 2, 6 |
| Ace-Asia, R/V *Ron Brown*[f] | Apr 02 | 0.25 | 0.31 | 0.07 | <0.01 | | | 1.2 | 2 |
| North Pacific, Seattle, Washington[g] | Apr 06 | 0.52 | 0.15 | 0.16 | 0.02 | | | 0.2 | |
| South Atlantic, R/V *Marion Dufresne* (20–60° S, 70° W–60° E)[h] | Jan–Mar 07 | | | | | | | | |
| "Clean Atlantic" | | | 0.18 | 0.03 | 0.06 | < 0.01 | | 0.17 | 2 |
| "Antarctic" | | | 0.31 | 0.02 | 0.05 | 0.01 | | 0.06 | |
| "Bloom" | | | 0.21 | 0.32 | 0.07 | 0.02 | | 1.5 | |
| Southeast Pacific, R/V *Ron Brown*[i] | Oct–Nov 08 | 0.67 | 0.1 | | <0.2 | | | 0.15 | 5,6 |
| Southeast Pacific, VOCALS[j] | Oct–Nov 08 | 0.52 | 0.10 | 0.06 | <0.01 | 10 | 60.4 | 0.19 | 3,4 |
| clean MBL current study[k] | | 0.17 | 0.02 | <0.01 | <0.01 | 2.0 | 57.1 | 0.1 | |
| natural MBL current study[l] | | 0.20 | 0.02 | <0.01 | <0.01 | <1.0 | 56.8 | 0.1 | |
| Central Pacific, TAO[j,m] | Aug–Sep 09 | 0.79 | 0.07 | 0.1 | <0.01 | | | 0.08 | 6 |

[a] All concentrations are in µg m$^{-3}$ except BC (ng m$^{-3}$) and CO (ppb); [b] abbreviations for clean air criteria: 1 = Clean air sector, 2 = Air Mass Back Trajectories, 3 = BC threshold, 4 = CO threshold, 5 = radon, 6 = particle number concentration, 7 = Volatile Organic Carbon (VOC) tracers; [c] Allen et al. (2004); [d] Phinney et al. (2006); [e] Cavalli et al. (2004); [f] Quinn et al. (2004); [g] IMPEX*, [h] Zorn et al. (2008); [i] Hawkins et al. (2010); [j] current study; [k] data restricted to BC < 4.5 ng m$^{-3}$, CO < 61 ppb; [l] data restricted to BC < 1.8 ng m$^{-3}$, CO < 56 ppb; [m] CN < 700 cm$^{-3}$.
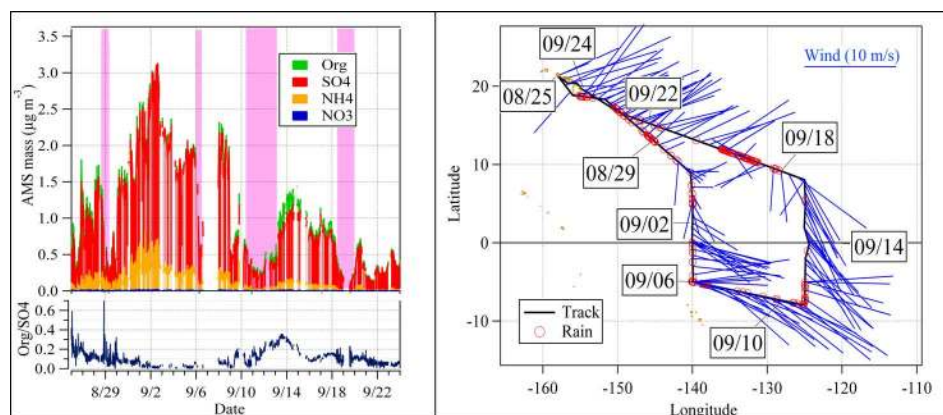


**Fig. 3.** (Left) Time series of AMS Org, SO$_4$, NH$_4$, NO$_3$ and Org/SO$_4$ for TAO 2009 cruise. Shading indicates rain events; (Right) Cruise track with date tags, rain events, and wind direction (blue lines point from ship location into the wind). Length of wind line is proportional to the wind speed (see scale).

## 4   Discussion

### 4.1   Isolating marine organic aerosol over the Southeast Pacific

Both CO and BC can be used as tracers for combustion, though BC is more directly useful. Particulate species such as BC and Org are removed from the atmosphere by precipitation but CO is not. CO is only slowly removed by reaction with OH with an e-folding time of about 1–2 months (Jaffe et al., 1997) in the tropics and over a year in high latitudes

during the winter (Staudt et al., 2001). In addition, CO has a fairly uniformly distributed background source from oxidation of methane and other organic vapors, which can be a significant fraction of total concentrations. To use it as a combustion tracer one must calculate deviations from background levels, while the presence of any BC at all indicates the presence of combustion aerosol. Nevertheless, CO measurements have been historically available and remain useful as pollution tracers.

The Southern Hemisphere has lower background levels of CO and BC than the Northern Hemisphere, where there is
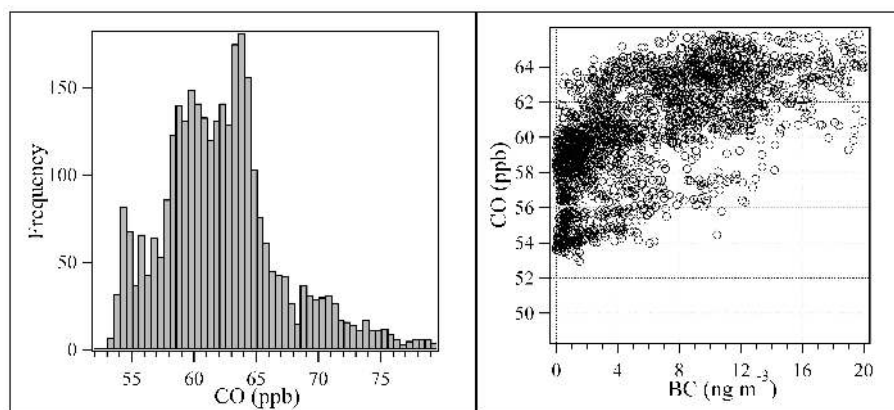
**Fig. 4.** (Left) Histogram of MBL CO concentrations during VOCALS; (Right) CO versus BC for VOCALS MBL.

more land mass, more human population, and more combustion. This should make it easier to find instances where continental Org are dwarfed by marine sources. Histograms of CO in the VOCALS MBL (Fig. 4) reveal two modes that occur below and above 61 ppb. A plot of MBL CO versus BC (Fig. 4) indicates that when BC approaches zero (e.g. no combustion aerosol), CO values range from ∼53–61 ppb, another indication that this CO value might represent the upper limit of background conditions in the SEP.

Trajectory analysis (Allen et al., 2011) indicates coastal zone trajectories (those initiated at 72° W) are seen to consistently pass over the continental and coastal landmass and have mean CO values of 74 ppb with lower and upper deciles ranging from 68 to 80 ppb, respectively. Trajectories initiated further offshore (i.e. at 76° W and 85° W) demonstrate that land contact is made only in some trajectories, while others have not passed over land at all in at least the past five days. Mean CO values for the further offshore trajectories are 63 ppb, with an inter-quartile range of 2 ppb (Figs. 4 and 5 in Allen et al., 2011). The Allen et al. (2011) trajectory analysis provides further justification that a background CO concentration of 61 ppb is a reasonable background threshold in the SEP region.

A BC concentration of $4.5 \, \text{ng} \, \text{m}^{-3}$ was chosen as the BC threshold because it represents a rounded value of the average BC concentration associated with the low CO mode (CO < 61 ppb) (actual average was $4.09 \pm 4.75 \, \text{ng} \, \text{m}^{-3}$). Therefore, CO < 61 ppb and BC < $4.5 \, \text{ng} \, \text{m}^{-3}$ were the thresholds selected with which to characterize background conditions for the SEP.

Org vs. BC and $SO_4$ vs. BC, as well as CO in the VOCALS MBL are plotted in Fig. 5. Figure 5a shows Org vs. BC and Fig. 5b shows the $SO_4$ vs. BC relationships for the free troposphere (FT) and MBL, all colored by CO. Figure 5c shows the strong relationship between Org and BC for CO < 61 ppb. The regression has an intercept of 0, and a $R^2$ value of 0.66, suggesting a significant linear relation-

ship and a common combustion source even for these low CO values. This strongly suggests that even at the lowest pollution levels we experienced, most of the Org was not of marine origin. Thus we conclude that in this region there is no threshold value for "clean" air below which pollution can be dismissed.

A similar relation between BC and $SO_4$ is not seen, as evident by the poorer correlation ($r^2 = 0.46$) and non-zero intercept between $SO_4$ and BC over this same range (Fig. 5d). Residual $SO_4$ as BC mass goes to zero is expected for clean background marine air, since $SO_4$ has a known and well-documented oceanic source (Andreae and Barnard, 1984; Andreae and Raemdonck, 1983; Cline and Bates, 1983). Visual inspection of Fig. 5d suggests that at concentrations of BC mass < $1.8 \, \text{ng} \, \text{m}^{-3}$ there is no relationship between $SO_4$ and BC. This $SO_4$ is likely to be of marine origin, so any correlation with Org may represent Org with a similar marine origin. We limited CO to lower and lower concentrations until the low CO branch of the $SO_4$ vs. BC relationship was highlighted. The data were reduced at a CO concentration of 56 ppb. For data with CO < 56 ppb, $SO_4$ varies from 0.05–0.5 µg m$^{-3}$ (average $0.14 \pm 0.11$) while CO and BC vary little: CO varies from 52.9–55.9 ppb with an average of $54.6 \pm 0.7$ ppb, and BC mass varies from 0.0–2.0 ng m$^{-3}$ with an average of $0.8 \pm 0.5 \, \text{ng} \, \text{m}^{-3}$. The relationship between $SO_4$ and Org for cases with CO < 56 ppb is shown in Fig. 6. Values of Org and $SO_4$ were then bin-averaged for every 0.05 increment of $SO_4$, and are superimposed on the one-minute data from Fig. 5 with $1\sigma$ error bars. A linear fit to the raw data suggests a relationship between Org and $SO_4$, with a slope of $0.08 \pm 0.02$, possibly indicative of an oceanic source for this Org.

## 4.2 Comparisons with other studies of marine aerosol

Under the clean criteria (CO < 56 ppb and BC mass < $1.8 \, \text{ng} \, \text{m}^{-3}$), designed to isolate marine sulfate aerosol, Org constitutes only 6 % of total submicron,
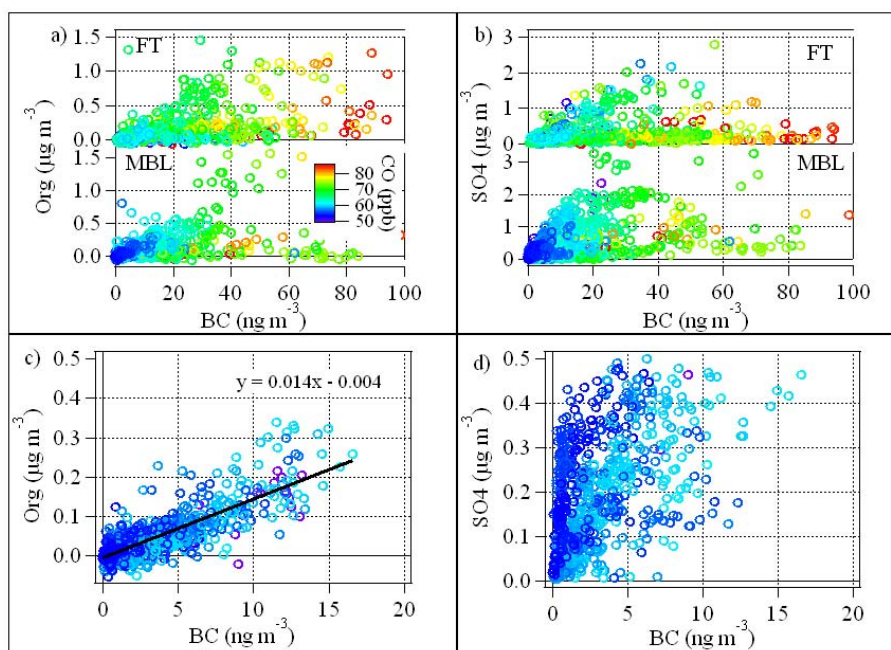
**Fig. 5. (a)** Org vs. BC mass, colored by CO, both above (FT) and below (MBL) the inversion, **(b)** $SO_4$ vs. BC mass, colored by CO, and **(c)** Org and **(d)** $SO_4$ vs. BC mass under $0.02\,\mu g\,m^{-3}$ and CO less than 61 ppb.
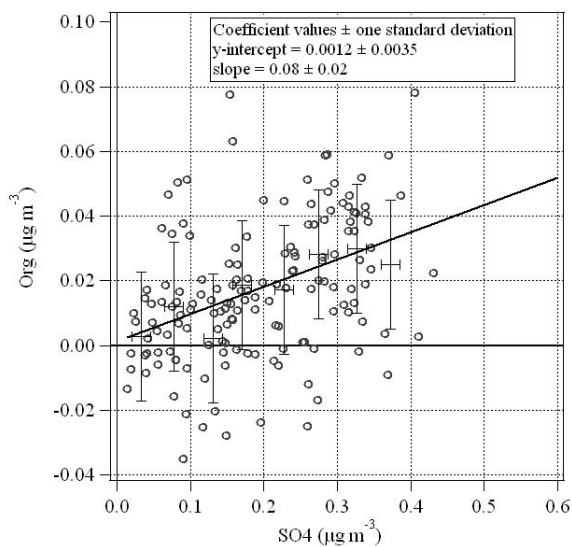


**Fig. 6.** Natural Org vs. $SO_4$ (e.g. Org vs. $SO_4$ for cases when CO < 56 ppb and BC mass < $1.8\,ng\,m^{-3}$), one minute and bin-averaged data.

non-refractory aerosol mass, while $SO_4$ constitutes 87 % of the total submicron non-refractory mass in the MBL. These results are up to 50 % lower than other studies in the tropics and subtropics and far smaller than those reported for high latitudes and phytoplankton blooms (Fig. 1) which find Org to make up 25–40 % of the total submicron non-refractory

mass, and even up to 77 % in North Atlantic aerosols (O'Dowd and de Leeuw, 2007).

Above cloud air in the FT often has higher concentrations of Org, BC, and CO than below cloud, but lower $SO_4$ concentrations (Fig. 5a and b). Entrainment evident in this region (Bretherton et al., 2010) would therefore raise concentrations of Org and BC in the MBL while lowering $SO_4$ by dilution. Furthermore, the range of relationships evident between OC and BC in the FT in Fig. 5a suggest the involvement of variable sources and aerosol removal processes.

The data discussed above and approximate slopes of the Org/$SO_4$ ratio are drawn in Fig. 7 along with slopes included as visual representation of the relationships found from various studies that all focused on clean marine aerosols: Trinidad Head (TH), Mace Head (MH), VOCALS *Ron Brown* (RB), Ace-Asia (AA), IMPEX (IMP) and Ocean Station Papa (OSP). The plot also includes Org vs. $SO_4$ data for the entire cruise (100 min averaged data), as well as VO-CALS data (10 min, or leg-averaged, data). The TAO and VOCALS Org/$SO_4$ data shows a considerable fraction of the measurements lie on or near to a line with a slope ∼0.1 (TAO and VOC in Fig. 7). The before mentioned excursions from the TAO cruise-average Org/$SO_4$ ratio of 0.08 are indicated by TAO* in Fig. 7. VOCALS FT data (VOC FT), where the Org/$SO_4$ is significantly higher, is also plotted and reveals the potential to increase MBL values of Org/$SO_4$ through entrainment.
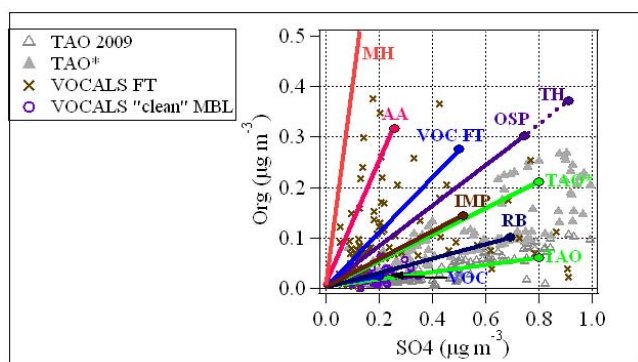
**Fig. 7.** AMS organics (Org) versus sulfate (SO$_4$) during TAO 2009 cruise, VOCALS "clean" MBL (VOC), and VOC FT. Also shown are approximate implicit slopes for the Org/SO$_4$ relationship from Trinidad Head (TH), Mace Head (MH), Ace-Asia (AA), IMPEX (IMP), Ocean Station Papa (OSP), and VOCALS *Ron Brown* (RB). Average reported clean values are shown as filled, colored circles, and the line drawn from the origin to the reported average is the implicit slope. Excursions from the TAO average Org/SO$_4$ of 0.08 are indicated as TAO*.

### 4.2.1 Comparisons with shipboard VOCALS data

It is striking that at the same time and place, the *Ron Brown* found higher Org concentrations, and thus a higher Org/SO$_4$ ratio (Sect. 3.1, Hawkins et al., 2010). Unfortunately, incompatible instrumentation made it hard to do direct comparisons. The C-130 lacked the radon (Rn) measurements used aboard the ship to detect continental influence and the ship lacked CO and SP2 measurements, so a common definition of clean air cannot be made. On the *Ron Brown* Rn was used to classify roughly 40 % of the cruise as having Marine Air Mass (MAM) characteristics. However, results from their PMF analysis showed that approximately 75 % of the OM during those periods fit their combustion factor rather than the marine factor. Therefore of their average MAM OM of 0.4 µg m$^{-3}$ determined from FTIR, only about 0.1 µg m$^{-3}$ is of potential marine origin. It is not clear what fraction of the SO$_4$ is marine, so their Org/SO$_4$ ratio from marine sources is between 0.15 and 0.6. The lower end of their range is still >50 % above our estimate of 0.08. Differences in the campaign Org/SO$_4$ ratios between the C-130 and *Ron Brown* are shown in Fig. 8a and b as histograms of the ratio. *Ron Brown* AMS Org were reported as 0 for concentrations below their instrument's detection limit (<0.16 µg m$^{-3}$), biasing average concentrations low. In order to decrease this bias, for the purpose of this comparison, Org concentrations below instrument detection limits were replaced with half of that detection limit (0.08 µg m$^{-3}$). For the unrestricted cases, i.e., no clean air selection criteria applied, the *Ron Brown* observed higher Org/SO$_4$ ratios (geometric mean and geometric standard deviation of 0.28 ± 2.11) than the C-130 (0.18 ± 2.08). When CN is used as a clean air indicator, and is restricted

to cases CN < 700 cm$^{-3}$ and CN < 350 cm$^{-3}$, the frequency distributions of Org/SO$_4$ for the two platforms remain consistent with the unrestricted cases: *Ron Brown* geometric means and standard deviations for CN < 700 cm$^{-3}$ and < 350 cm$^{-3}$ are 0.28 ± 2.08 and 0.27 ± 1.95, respectively. For VOCALS geometric means and standard deviations for CN <700 cm$^{-3}$ and <350 cm$^{-3}$ are 0.18 ± 2.75 and 0.19 ± 2.95, respectively. Although CN is not as direct an indicator of pollution as the use of CO and BC, it was the only common measurement across the sampling platforms. The consistently higher Org/SO$_4$ values for the *Ron Brown* suggest that during VOCALS, the *Ron Brown* was in contact with more continentally influenced air than the C-130, and therefore observed higher absolute and relative concentrations of Org throughout the campaign.

We think a dramatic under-sampling of OM by the AMS during VOCALS is unlikely, but there are a few mechanisms by which it could occur. Hawkins et al. (2010) found their AMS had a particularly low CE for Org in the VOCALS region. Measurements of Org from FTIR showed 2.4 times as much as their AMS (at CE = 1, which worked well for SO$_4$ and NH$_4$). Much of this difference in Org correlated with dust elements, so they attributed low CE to refractory Org found on submicron dust particles originating from South America. As dust particles are of continental origin, low CE values associated with Org on dust would not impact the results of our study.

Another possible source of error that might bias the absolute values of Org low is through application of CE correction values to the data. In the unlikely condition of completely externally mixed aerosol where Org are collected with CE ≈ 0.5 and the SO$_4$ is not neutralized, and is therefore being collected with CE = 1 the resulting Org/SO$_4$ would be a factor of 2 low. However, the CE we applied lies between 0.5 and 0.7 64 % of the time. Therefore, externally mixed Org might be underestimated by up to 30 %. A third possibility for under sampling of Org by our AMS could be the inlet efficiency. Calibrations have shown that there are significant particle losses by our AMS inlet at particle diameters greater than 600 nm, vacuum aerodynamic diameter. Hence, a significant Org fraction present on coarse sea-salt remains possible, although prior measurements of size resolved OM in marine aerosol find over 90 % concentrated in sizes well resolved by our AMS (Fig. 2; O'Dowd et al., 2004; Keene et al., 2007). Plots and regression (not shown) of Org vs. OPC coarse nonvolatile mass (the latter a sea-salt surrogate in the MBL) also revealed no evidence of a relation between the OM concentrations measured by our AMS and sea-salt concentrations.

Some organics are not volatile at the 600 °C temperature of the AMS heater. It is conceivable that the AMS cannot detect some primary marine organics.

While the issues raised in the above paragraphs show a possibility that the AMS samples marine organics inefficiently, previous studies in laboratories (Matthew et al., 2008), in urban pollution (Middlebrook et al., 2011) and in
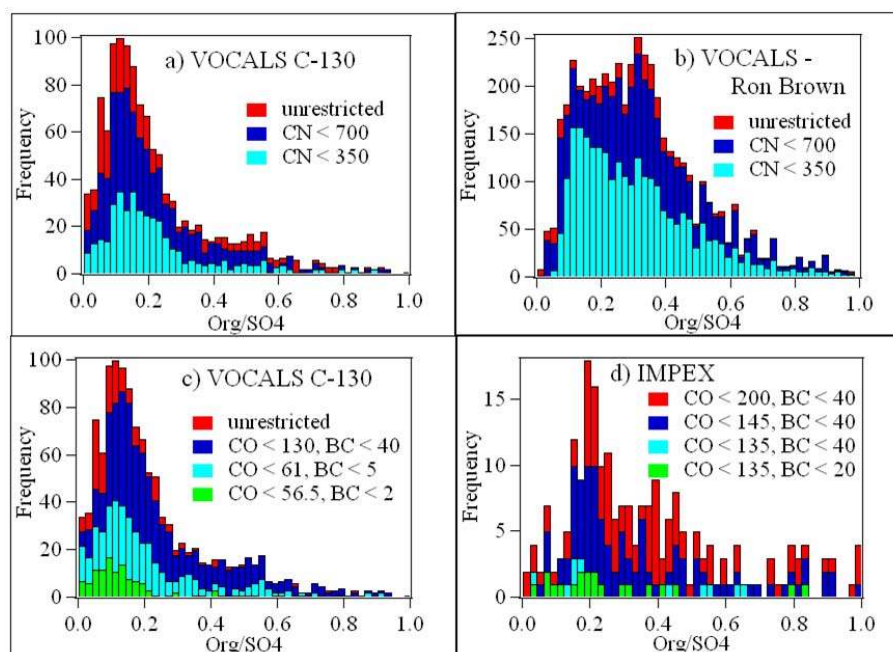
**Fig. 8.** Histograms of Org/SO$_4$ from different platforms and campaigns, restricted to varying clean air criteria. Units are as follows: CN (cm$^{-3}$), CO (ppb), and BC (ng m$^{-3}$).

clean conditions in the far north Atlantic and Arctic Oceans (Russell et al., 2010) all demonstrated near 1:1 agreement between AMS Org and other OM measurements after the application of CE corrections based on sulfate neutralization. It appears very unlikely that our Org/SO$_4$ values are low by a factor of 2.

One issue that remains unresolved is that Keene et al. (2007) found an order of magnitude higher OM ($\sim$0.1 µg m$^{-3}$) than our values when bubbling air through seawater from Bermuda with dissolved OM concentrations typical of oligotrophic areas. Their OM was enriched in exactly the particle size range the AMS samples well. It is not clear why their numbers are so much higher. We look forward to other experiments of this type to see whether the disagreement persists.

### 4.2.2 IMPEX

Figure 8c shows the Org/SO$_4$ histograms during VOCALS, but constrained to clean air cases using varying, and increasingly more restrictive, concentrations of BC and CO. When VOCALS C-130 data are constrained to CO $< 61$ ppb, BC $< 4.5$ ng m$^{-3}$ the geometric mean and standard deviation decrease from $0.18 \pm 2.08$ (for unrestricted cases) to $0.15 \pm 3.08$. Further restriction, to CO $< 56$ ppb and BC $< 1.8$ ng m$^{-3}$, yields a geometric mean of $0.10 \pm 2.85$. Histograms of Org/SO$_4$ ratios from another campaign (IM-PEX), in which an identical SP2 and AMS were operated, are shown in Fig. 8d. The Northern Hemisphere is generally

more polluted, and therefore CO and BC concentrations were not at the low levels observed in the Southeast Pacific. As in VOCALS, the frequency distributions of the Org/SO$_4$ ratio are shifted to lower values (lower geometric means) as the clean thresholds of BC and CO concentrations are lowered, as opposed to the use of CN to restrict clean air cases as discussed above. At the cleanest IMPEX levels (CO $< 135$ ppb and BC $< 20$ ng m$^{-3}$) the geometric mean of the Org/SO$_4$ ratio is $0.17 \pm 1.78$. Unfortunately, the clean IMPEX data suffers from poor statistics, as the main objective of the campaign was measure pollution transport across the North Pacific so cases of clean air were sparse.

### 4.2.3 Patterns of Org/SO$_4$

Our results are in broad agreement with the established pattern that Org/SO$_4$ is lower in remote oligotrophic tropical and subtropical regions than at high latitude, productive regions (see Fig. 1 and Table 1). At 0.17, the IMPEX ratio (45° N) exceeds that of VOCALS (0.08 at 20° S) and TAO2009 (0.09 at the Equator). If we had an SP2 on the TAO cruise, we would probably have found that some of the Org was of combustion origin, lowering Org/SO$_4$ to or below the VOCALS ratio. This pattern is very likely due to the different plankton communities.

While our latitudinal pattern agrees with earlier studies, the Org/SO$_4$ values we report here are significantly below the other studies mentioned in Table 1. The obvious reason is that we could use the fast response of the SP2 to establish the

presence of even minimal BC influence. That turned out to be substantial, with combustion-related Org comprising roughly 2/3rds of total Org even at BC < 15 ng m$^{-3}$ (Fig. 5c). Other studies in Table 1 characterized aerosol under relatively clean conditions, but did not attempt to eliminate all pollution influence, which would be very difficult to do with long integrating periods like those necessary in filter sampling. If we neglected BC < 20 ng m$^{-3}$, our Org/SO$_4$ during VOCALS would be 0.19, in agreement with the *Ron Brown* VOCALS data, the "clean Atlantic" data of Zorn et al. (2008), and just a factor of 2 below the North Pacific sampling sites, which are subject to cross-Pacific transport of Asian aerosol (Table 1).

Our Org/SO$_4$ values are far below those from ACE-Asia and Mace Head. While the presence of significant BC at the latter site indicates some contamination by combustion aerosols, much of that difference is undoubtedly due to different plankton populations: the isotope analyses of Ceburnis et al. (2011) and the correlations with chlorophyll-*a* in O'Dowd et al. (2008) demonstrate that a large fraction of OM there is of marine origin.

There are some other differences between our sampling techniques and other projects that may be partly responsible for our lower Org/SO$_4$ values, though we have no reason to believe they are major effects. One such difference is that winds in the tropics are often weaker than those at high latitudes, perhaps limiting primary organic aerosol production. Roughly 7 m s$^{-1}$ is required for whitecap formation, and wind speeds during the VOCALS clean periods ranged from ~5 to 13 m s$^{-1}$. Whitecaps are plainly visible in onboard video recordings during these clean periods, but may be less common than at higher latitudes.

Not all measurements of Org compared in this study are equal. Some studies (O'Dowd et al., 2004; Cavalli et al., 2004; Quinn and Bates, 2003; Phinney et al., 2006) rely on filter-based measurements of OA. Filter measurements have significant biases, including negative artifacts from volatilization of particulate-phase organics from the filter surface, and positive artifacts from adsorption of gas-phase organics onto the filter (Turpin et al., 2000). There is also the issue of particle bounce off the collection substrate of an impactor stage during sampling, leading to inaccurate size classifications. Also, in order to convert total organic carbon (TOC) from bulk filter measurements to water soluble and insoluble organic carbon (e.g. Cavelli et al., 2004) and POM (e.g. Quinn and Bates, 2003), TOC measurements from filters are multiplied by a conversion factor that represents a ratio between molecular mass and carbon mass. Filter measurements do not, however, suffer from the potential refractory-organics or size-dependent losses as the AMS does. All of the above mentioned factors must be considered when comparing studies of OM measurements.

The same issue is true for the different methods of measuring black carbon. Filter-based techniques for measuring black carbon, such as with instruments as the aethelometer (as used in Cavelli et al., 2004), and the particle soot absorp-

tion photometer (PSAP), use the decrease in light transmission through a filter as it becomes loaded with aerosol. This measure of aerosol light absorption is then related to total BC through formulas (Lack et al., 2008). Errors to filter-based instruments include deposit and filter matrix interactions that may change the physical and optical properties of the system, leading to inaccurate light absorption measurements, as well as the use of corrections which can alter the measured change in transmission, limiting accuracies to between 20–30 % (Bond et al., 1999; Virukkula et al., 2005; Weingartner et al., 2003). In addition, the PSAP suffers from positive artifacts that may result in higher than actual measurements of BC, and facilitate the necessity to set a higher threshold for clean conditions. An arbitrarily higher threshold has additional implications for comparing BC measured by the PSAP to those measured by other methods (i.e. SP2).

### 4.3 Org enrichment during the TAO cruise

In order to explore the relation of the Org enhancement during the cruise (TAO* in Fig. 7) to possible ocean sources, eight-day composites of chlorophyll-*a* concentration were produced using SeaWIFS (Sea-viewing Wide Field-of-view-Sensor) Level 3 products provided by NASA/Goddard Space Flight Center (Ocean Color Web (http://oceancolor.gsfc.nasa.gov) accessed June 2010). Surface chlorophyll-*a* concentrations do not indicate a significant increase in biological production for corresponding aerosol measurements on the western boundary of the cruise track (Fig. 9a), and the eastern edge of the cruise (Fig. 9b, 11 September to 15 September).

Fifteen-day Air Mass Back Trajectories (AMBTs) were performed using the National Oceanic and Atmospheric Administration's (NOAA) Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model access via NOAA ARL READY website (http://www.arl.noaa.gov/ready/hysplit4.html). Isentropic trajectories were run at 4 altitudes (100 m, 1000 m, 1250 m, and 1500 m) using the GDAS meteorological dataset. The 100 m trajectory (red) origin was varied spatially by 1° north, south, east, and west, in order to capture spatial variability in the model. Within this spatial variation the trajectories were consistent for approximately the first 6 days of the AMBT, after which the 100 m trajectories tended to diverge. For simplicity, only one of the 100 m trajectories is displayed in Fig. 9. Several sets of trajectories were run, half during the peak of the Org/SO$_4$ excursion on the eastern most edge of the cruise track, and half on the western edge of the cruise track, where Org/SO$_4$ is close to the cruise-average 0.08 value. However, for clarity, only two sets of trajectories are plotted in Fig. 9. Altitude profiles for the AMBTs are shown in Fig. 9c and d.

AMBTs from one day, chosen to represent the western edge of the cruise track (3 September), indicate that influencing air masses have (a) passed through the Inter-Tropical Convergence Zone (ITCZ), where convection and rainfall
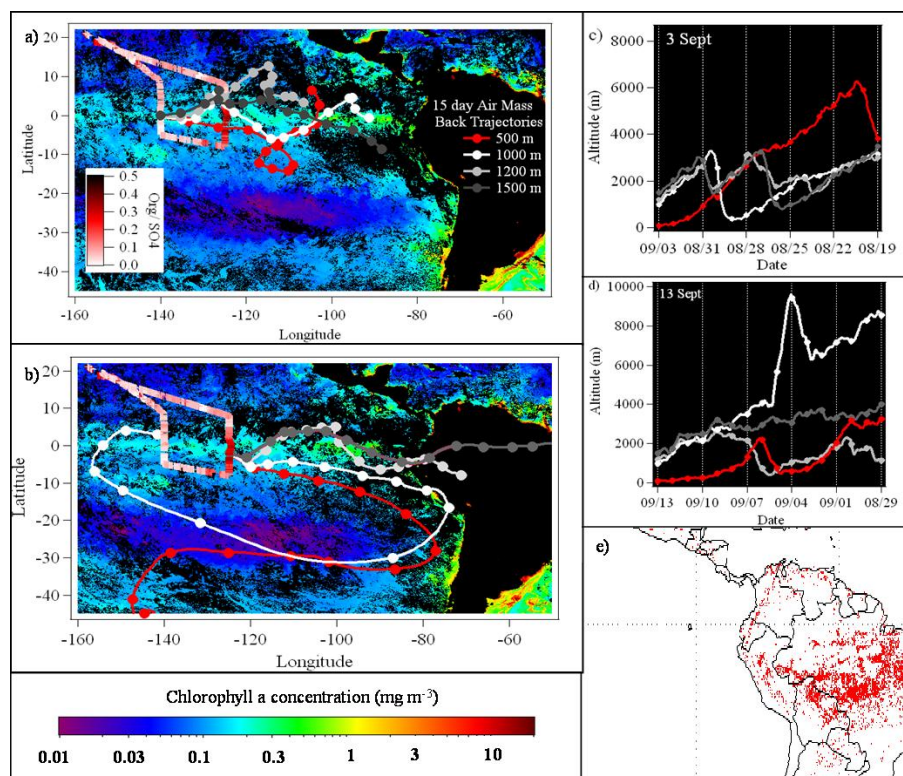
**Fig. 9.** SeaWIFS chlorophyll-*a* 8 day composite for periods **(a)** 29 August–5 September 2009 overlaid by AMBTs from 3 September 2009 and **(b)** 6 September–13 September 2009 overlaid by AMBTs from 13 September 2009. Cruise track is shown, colored by Org/SO$_4$. AMBT altitude profiles are shown for **(c)** 3 September 2009 and **(d)** 13 September 2009. Altitude profiles are colored using the same legend as **(a)**. Panel **(e)** biomass burning events from 1 September–8 September 2009 (FLAMBE').

could have removed particulate matter, and (b) spent the past 15 days over the ocean, with no indication of continental influence. The influence of ITCZ precipitation upon aerosol concentrations is clearly evident in Fig. 3 where they are reduced by up to a factor of four on 11 September and recover by 14 September. During the time period 12 September–14 September SO$_4$ concentrations increase by a factor of 4 ranging from 0.25 to 0.95, with an average $0.58 \pm 0.24 \, \mu g \, m^{-3}$, while the Org/SO$_4$ ratio ranges from 0.17 to 0.41 with an average of $0.28 \pm 0.04$. The relatively consistent Org/SO$_4$ ratio indicates no preferential removal of either species. All of the sets of high altitude trajectories (1000, 1200, and 1500 m) during the peak in the Org/SO$_4$ ratio from 13 September indicate that air masses have had possible continental influence in the past 15 days (Fig. 9b). While one may question 15 day back trajectories we note that the stability of the prevailing flow makes trajectories less variable in this region than most and at typical wind speeds it takes 10 days or more to reach South America from Christmas Island.

As previously noted for our VOCALS data, biomass burning in South America serves as a potential source of Org to the FT, and data from the Fire Locating and Modeling of Burning Emissions (FLAMBE' http://www.nrlmry.navy.mil/flambe/) accessed July 2010) indicates widespread fires

in the Amazon at the beginning of September, approximately 1–2 weeks before sampling occurred (Fig. 9e). Levoglucosan is a chemical tracer for biomass burning, as it is formed during the pyrolysis of cellulose (Simoneit et al., 1998). Lee et al. (2010) found that the AMS peak at *m/z* 60, more specifically C$_2$H$_4$O$_2$, a fragment resulting from the breakdown of levoglucosan and other anhydrosugars, including mannosan, galactosan, arabinosan, and xylosan, is an even better indication of biomass burning than levoglucosan itself. However, Russell et al. (2010) has determined that marine Org in the North Atlantic are primarily carbohydrate-like, and also shows up at *m/z* 60 and 44, so the AMS mass spectra cannot by themselves exclude marine sources of elevated Org.

Using the high resolution data analysis and elemental analysis package for the AMS (Aiken et al., 2008), C$_2$H$_4$O$_2$ was identified and quantified by averaging the cruise data over ~12 h periods. Figure 10 shows the fraction of C$_2$H$_4$O$_2$ to total Org concentration, along with 12 h averaged Org/SO$_4$ overlaying the 10 min Org/SO$_4$ from Fig. 3. Because the signal to noise level is lower for the C$_2$H$_4$O$_2$ peak, error bars ($1\sigma$) are shown as well.

The C$_2$H$_4$O$_2$ data are characterized by high errors due to low Org signal, therefore there is no statistical change in the C$_2$H$_4$O$_2$/Org value during the Org/SO$_4$ excursion on the 13
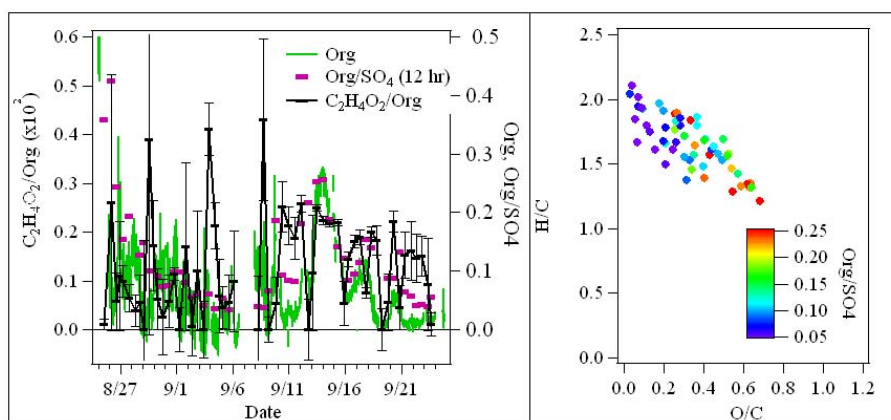
**Fig. 10.** (Left) Time series of Org (10 min average), Org/SO$_4$ (12 h average), and C$_2$H$_4$O$_2$ (12 h). One sigma errors for the data are indicated. (Right) H/C vs. O/C for the 12 h averaged data (Van Krevelen plot).

September. However, levoglucosan was detected at a ground-site in Paposo, Chile (25.0° S, 70.5° W, 690 m above mean sea level) during VOCALS (Chand et al., 2010). The prevailing wind at the ground-site in Paposo is southerly, representing a region upwind of the TAO cruise area. Trajectory and MODIS analysis suggested the biomass burning source for the levoglucosan during the Chand et al. study came from the Chilean lowlands, west of the Andes, in the latitude range 35–40° S. While the detection and identification of the C$_2$H$_4$O$_2$ fragment is consistent with both biomass burning and marine sources of Org, the trajectory analysis and lack of change in surface chlorophyll-$a$ concentrations suggests a biomass burning source for the increased Org concentrations during the 13 September Org/SO$_4$ excursion. Transport in the FT appears reasonable as a potential source of Org to the Central Pacific MBL, similar to what was observed during VOCALS, but unfortunately no above-cloud data is available during the TAO cruise. However, such transport in the FT over this equatorial region has been noted in other papers (Hsu et al., 1996; Kim and Newchurch, 1996).

Twelve hour averages of the elemental ratios H/C versus O/C are shown on a Van Krevelen plot (Heald et al., 2010) in Fig. 10 as well, and are colored by the Org/SO$_4$ ratio. Heald et al. (2010) showed that a Van Krevelen diagram provides an indication of the amount of aging an aerosol has undergone, i.e. the longer an aerosol is in the atmosphere, the more oxidized it will become, and the H/C ratio will decrease while the O/C ratio will increase (Heald et al., 2010). Figure 10 reveals the more aged aerosol during TAO to be generally associated with the higher Org/SO$_4$ ratio, indicating a non-local source for these aerosols. The lower values of Org/SO$_4$ are associated with higher H/C and lower O/C, suggesting a more local, perhaps oceanic, source. However, Van Krevelen diagrams were developed to illustrate oxidation of hydrocarbon-like pollutants and it is not clear how well it applies to natural marine organics.

### 4.4 Implications for modeling studies

Modeling sea-spray aerosol, and the contribution of marine organic aerosol to global emissions, has been the subject of recent studies (Langmann et al., 2008; O'Dowd et al., 2008; Vignati et al., 2010). Several relationships have been used to relate water insoluble organic mass fraction to surface chlorophyll-$a$ concentrations upwind of the measurements. While modeling studies that use no marine OA contribution underestimate field observations of Org, those that employ the previously mentioned functions to extrapolate OA production globally often overestimate Org aerosol concentrations by a factor of 4 or 5 compared to observations (Lapina et al., 2011).

Under the cleanest conditions determined for each campaign the VOCALS, TAO, and IMPEX Org contributions to total submicron mass are 6 %, 7 %, 18 %, respectively. Monthly averaged chlorophyll-$a$ concentrations (from Sea-WIFS) in surface water upwind of these study areas averaged 0.15, 0.20, and 0.5 mg m$^{-3}$ for VOCALS, TAO, and IMPEX, respectively. If plotted along with the O'Dowd et al. (2008) data, the slope of the relationship from our data is similar to that established by Langmann et al. (2008) and Vignati et al. (2010), however our data points plot in the low percent Org mass (0–20 %), low chlorophyll-$a$ (0.15–0.2 mg m$^{-3}$) portion of the O'Dowd (2008) plot. Our data suggests a relationship that falls along the lower envelope of points in that plot and passes through the origin rather than having a significant intercept at zero chlorophyll-$a$. At first glance, a non-zero intercept is implausible: if there were no chlorophyll-$a$ then there should be no production of OM. A finite intercept suggests either a non-marine source or a more complicated relationship between productivity and OM emissions. Given the difficulties of connecting chlorophyll-$a$ to DMS concentrations (e.g. Bell et al., 2010), a complex relationship between chlorophyll-$a$ and other organics is perhaps to be expected but may not generalize easily across the globe. As a

crude calculation, if a linear relationship that encompasses our data were used for models then the modeled Org percent mass for the mean global chlorophyll of 0.36 mg m$^{-3}$ (Siegel et al., 2002) should drop by a factor of 2, from 30 % OM to values near 15 % OM. That is approximately the conclusion arrived at in the recent parameterization paper by Lapina et al. (2011), which included the *Ron Brown* VOCALS data.

## 5 Conclusions

Our measurements in marine boundary layer air over the remote South Pacific during VOCALS revealed low Org concentration in marine aerosol with values that trended linearly with combustion derived BC mass concentrations down to values of BC $< 2$ ng m$^{-3}$. The slope indicates about 13 times as much Org mass is present as BC mass, a ratio consistent with biomass burning emissions (Reid et al., 2005). Data stratification to low concentrations of BC mass was made possible by the relatively rapid measurements of Org and BC made by an HR-ToF-AMS and an SP2. The significant relationship between Org and BC indicates that most of the Org was combustion related ($R^2 = 0.66$, $y = -0.004 \pm 0.002$) even at very low CO and BC concentrations and raises questions about the appropriate choice of a clean threshold for BC to eliminate influences of combustion aerosol when characterizing background marine aerosol. The concept of establishing background conditions is insufficient; it is necessary to either demonstrate that the natural aerosols overwhelm the anthropogenic influences such that they can be ignored, or employ some technique to isolate marine from continental aerosols, such as the use of carbon isotopes (e.g. Ceburnis et al., 2011). Correlations of Org with SO$_4$ at very low BC and CO concentrations suggest that marine Org/SO$_4 \approx 0.08$ in this region. This conclusion is consistent with the TAO 2009 cruise, where only a small percentage of submicron non-refractory aerosol mass is Org ($\sim 6$ % for VOCALS, $\sim 7$ % for TAO). While the low values we found of Org/SO$_4$ due to marine sources is smaller than many studies from tropical and subtropical regions, those studies generally characterized the air during relatively clean periods; isolating marine from continental fractions was not feasible. Therefore, our conclusion that OA is only a small fraction of the aerosol over oligotrophic waters in the remote, clean, tropical Pacific is not inconsistent with earlier studies. While highly productive regions of the ocean are well documented as a major source of OA, Org may have a smaller role over the vast, relatively unproductive majority of the oceans. Data from IMPEX (average Org/SO$_4 \approx 0.17$) in the eastern North Pacific were limited, but consistent with VOCALS and TAO, where the mode Org/SO$_4$ ratios decreased to smaller values as clean air criteria were restricted to lower BC and CO concentrations.

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