

# Origin and Evolution of Human microRNAs From Transposable Elements

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## ABSTRACT

We sought to evaluate the extent of the contribution of transposable elements (TEs) to human microRNA (miRNA) genes along with the evolutionary dynamics of TE-derived human miRNAs. We found 55 experimentally characterized human miRNA genes that are derived from TEs, and these TE-derived miRNAs have the potential to regulate thousands of human genes. Sequence comparisons revealed that TE-derived human miRNAs are less conserved, on average, than non-TE-derived miRNAs. However, there are 18 TE-derived miRNAs that are relatively conserved, and 14 of these are related to the ancient L2 and MIR families. Comparison of miRNA *vs.* mRNA expression patterns for TE-derived miRNAs and their putative target genes showed numerous cases of anti-correlated expression that are consistent with regulation via mRNA degradation. In addition to the known human miRNAs that we show to be derived from TE sequences, we predict an additional 85 novel TE-derived miRNA genes. TE sequences are typically disregarded in genomic surveys for miRNA genes and target sites; this is a mistake. Our results indicate that TEs provide a natural mechanism for the origination miRNAs that can contribute to regulatory divergence between species as well as a rich source for the discovery of as yet unknown miRNA genes.

**M**ICRORNAS (miRNAs) are small, ~22-nt-long, noncoding RNAs that regulate gene expression (AMBROS 2004). In animals, miRNA genes are transcribed into primary miRNAs (pri-miRNAs) and processed by Droscha to yield ~70- to 90-nt pre-miRNA transcripts that form hairpin structures. Mature miRNAs are liberated from these longer hairpin structures by the RNase III enzyme Dicer (BARTEL 2004). Droscha acts in the nucleus, cleaving the pri-miRNA near the base of the hairpin stem to yield the pre-miRNA sequence. The pre-miRNA is then exported to the cytoplasm where the stem is cleaved by Dicer to produce a miRNA duplex. One strand of this duplex is rapidly degraded and only the mature ~22-nt miRNA sequence remains. The mature miRNA associates with the RNA-induced silencing complex (RISC), and together the miRNA-RISC targets mRNAs for regulation. miRNA target specificity is determined by partial complementarity with the 3'-untranslated region (UTR) sequence of the mRNA, and regulation is achieved by translational repression and/or mRNA degradation. miRNAs have been implicated in a variety of functions, including developmental timing (LEE *et al.* 1993; REINHART *et al.* 2000), apoptosis (BRENNECKE *et al.* 2003), and hematopoietic differentiation (CHEN *et al.* 2004).

miRNAs were first discovered in *Caenorhabditis elegans* through genetic analysis of developmental mutants

(LEE *et al.* 1993). The small RNA product of the *lin-4* gene was found to negatively regulate *lin-14* expression via interaction with a complementary region in the *lin-14* 3'-UTR. This system appeared to be unique until a second example of a similar small regulatory RNA in *C. elegans*, *let-7*, was discovered 7 years later (REINHART *et al.* 2000). Shortly thereafter, *let-7* homologs and transcripts were detected among a phylogenetically diverse set of animals (PASQUINELLI *et al.* 2000). The realization that miRNAs represent a distinct, coherent, and abundant class of regulatory genes was finally crystallized in 2001 with the publication of three back-to-back articles in *Science*, reporting the discovery of numerous novel miRNA genes (LAGOS-QUINTANA *et al.* 2001; LAU *et al.* 2001; LEE and AMBROS 2001). These articles introduced the term miRNA to refer to all small RNAs with similar genomic features but unknown functions, and miRNAs have now been found in all metazoans surveyed for their presence (BARTEL 2004).

Given their relatively recent discovery and characterization, a number of open questions concerning the function and evolution of miRNAs remain. In particular, the evolutionary origins of miRNAs are not well appreciated. For instance, many miRNA genes were found to be evolutionarily conserved and this was thought to be a general characteristic of miRNAs. However, a number of nonconserved miRNAs have been recently discovered (BENTWICH *et al.* 2005). The extent to which miRNA genes evolve as paralogous gene families is also unknown. Even the upper bound on the number of miRNA genes encoded by any given genome is not

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known (BEREZIKOV *et al.* 2006), and the number of new entries in the miRBase registry of miRNA genes continues to grow steadily (GRIFFITHS-JONES *et al.* 2006).

We sought to evaluate the contribution of transposable elements (TEs) to the origin and evolution of human miRNA genes. Another class of regulatory RNAs, small interfering RNAs (siRNAs), are known to be related to TEs. Interestingly, this has been pointed out as a distinction between miRNAs and siRNAs, which are closely related in terms of structure, function, and biogenesis. As opposed to siRNAs, miRNAs were thought to derive from loci distinct from other genes or TEs (BARTEL 2004). However, several examples of miRNA genes that are derived from TEs have been recently identified (SMALHEISER and TORVIK 2005; BORCHERT *et al.* 2006; PIRIYAPONGSA and JORDAN 2007). We wanted to look at this phenomenon more closely to identify the full extent of human miRNA genes that are related to TEs and to characterize how these genes evolve as well as their regulatory and functional potential.

TEs have several characteristics that make them interesting candidates for donating miRNA sequences. First of all, TEs are ubiquitous and abundant genomic sequences. Thus, they could provide for the emergence of paralogous miRNA gene families as well as multiple target sites dispersed throughout the genome. Since TEs tend to be among the most rapidly evolving of all genomic sequences, they may also provide a mechanism for the emergence of lineage-specific miRNA genes that could exert diversifying regulatory effects. Finally, the full contribution of TEs to miRNA sequences is likely to be underestimated due to ascertainment biases. This is because computational methods aimed at the detection of novel miRNAs tend to purposefully exclude TE sequences (BENTWICH *et al.* 2005; LINDOW and KROGH 2005; NAM *et al.* 2005; LI *et al.* 2006). This is often done for reasons of tractability, but also reflects the widely held notion that TEs are genomic parasites that do not play any functional role for their host species (DOOLITTLE and SAPIENZA 1980; ORGEL and CRICK 1980). However, many studies have identified a variety ways in which TEs have been domesticated (MILLER *et al.* 1992) to provide functions to their hosts (KIDWELL and LISCH 2001). These cases include the donation of coding sequences (VOLFF 2006) as well as numerous instances of TE-derived regulatory sequences (BRITTEN 1996; JORDAN *et al.* 2003; VAN DE LAGEMAAT *et al.* 2003).

To evaluate the contribution of TEs to human miRNAs, we compared the genomic locations of TEs to the locations of experimentally validated human miRNA sequences reported in the miRBase database (GRIFFITHS-JONES *et al.* 2006). The evolutionary dynamics of TE-related miRNAs were evaluated by within- and between-genome sequence comparisons. The potential regulatory and functional significance of TE-derived miRNAs was explored by combining information on miRNA target-site prediction, expression data for miRNA–mRNA pairs, and gene functional annotations. We also sought to discover putative cases of

novel TE-derived miRNA genes in the human genome through *ab initio* prediction.

## MATERIALS AND METHODS

**Detection:** Human miRNA sequences and predicted target sites were taken from version 8.2 of the miRBase database (GRIFFITHS-JONES *et al.* 2006). These data do not include *ab initio* miRNA gene predictions. The UCSC Genome Browser (KENT *et al.* 2002) and Table Browser (KAROLCHIK *et al.* 2004) tools were used to search for miRNA genes collocated with TEs and to compare the evolutionary rates of miRNA genes. Human miRNA sequences were mapped to the hg18 (NCBI build 36.1) version of the human genome sequence and a generic feature format “custom track” was created (available upon request). Genomic locations of the miRNAs were compared to the locations of TEs annotated with the RepeatMasker program (SMIT *et al.* 1996–2004). For this purpose, precomputed RepeatMasker annotations of hg18 were combined with RepeatMasker-determined genomic locations of a set of 96 “conserved” TE families recently added to Repbase (JURKA *et al.* 2005). These conserved consensus sequences correspond to low-copy-number TEs that show anomalously low levels of between-genome orthologous sequence divergence and can be found by searching Repbase (<http://www.girinst.org/>) with the keyword “conserved.”

Sequences of TE-derived miRNAs were compared to the human genome sequence using BLAT (KENT 2002). The criteria used for genome sequence hits were (1)  $\geq 80\%$  sequence identity with the query miRNA sequence and (2) the genomic hit region must be  $\geq 80\%$  and  $\leq 120\%$  of the length of the miRNA query sequence. The latter requirement was used to ensure that long genomic insertions were not identified as putative paralogous miRNAs.

**Evolution:** Comparative genomic sequence data from the UCSC Genome Browser were used to analyze the relative evolutionary rates of human miRNAs. Evolutionary rates were derived from multiple whole-genome sequence alignments between the human and 16 other vertebrate genomes (KENT *et al.* 2003; BLANCHETTE *et al.* 2004). Human miRNA evolutionary rates were calculated in two ways: (1) by evaluating the number of conserved sites per miRNA and (2) by evaluating the per-site conservation scores of miRNA sequences. Conserved human genome sites were predicted by the phastCons program, which uses a phylogenetic hidden Markov model to calculate the probabilities of sites being either conserved or nonconserved (SIEPEL *et al.* 2005). Conservation scores for human genome sites were also taken from the phastCons analysis of the vertebrate multiple genome sequence alignment, and these scores correspond to the posterior probability that a site is conserved or nonconserved.

**Regulation and function:** Human miRNA target-site predictions were taken from miRBase, which uses a modified protocol based on the miRanda algorithm (ENRIGHT *et al.* 2003). The locations of target-site sequences in the human genome were compared to the RepeatMasker-based TE annotations. Expression levels for human miRNAs across five tissues (thymus, brain, liver, placenta, and testis) were taken from an oligonucleotide-based microarray study (BARAD *et al.* 2004). Human mRNA expression levels from corresponding mRNA targets were taken from the Novartis SymAtlas data set (SU *et al.* 2004). Corresponding miRNA and mRNA expression profiles were normalized using standard z-score transformation with the program Spotfire (<http://www.spotfire.com>) and compared using the Pearson correlation coefficient. Gene expression data were visualized using the Genesis program (STURN *et al.* 2002).

Gene ontology (GO) analysis (ASHBURNER *et al.* 2000) was done using the GO Tree Machine program (ZHANG *et al.* 2004). GO Tree Machine was used to identify significantly over-represented biological process GO terms from a set of genes predicted to be regulated by a particular miRNA and to plot the location of these GO terms along the GO-directed acyclic graph.

**TE-miRNA prediction:** TE locations in the human genome were considered together with the output of the program EvoFold, which combines RNA secondary structure prediction with the evaluation of multiple sequence alignments to identify conserved secondary structures (PEDERSEN *et al.* 2006). TE sequences that encode conserved hairpin structures with length  $\geq 55$  bp, a single terminal loop  $\leq 20$ bp, and at least six paired bases in the stem region (BENTWICH *et al.* 2005) were chosen for further analysis. For conserved TE-encoded hairpins of  $< 55$  bp that met all other criteria, the predicted secondary structure sequences were extended manually and rechecked for the ability to form hairpin structures using the program RNAfold from the Vienna RNA package (HOFACKER *et al.* 1994). Sequences that were able to encode hairpins  $\geq 55$  bp after manual extension were chosen for further analysis. The potential for putative TE-derived miRNAs identified in this way to be expressed was evaluated using EST and mRNA data. Our TE-miRNA prediction protocol is represented in supplemental Figure 1 at <http://www.genetics.org/supplemental/>.

## RESULTS

**Transposable-element-derived miRNAs:** miRBase is an online database of miRNA gene sequences and predicted target sites (GRIFFITHS-JONES *et al.* 2006); version 8.2 of miRBase contained 462 human miRNA gene sequences. Of these human miRNA genes, 379 are defined on the basis of experimental information, cloning of mature miRNA sequences for the most part, while 83 are predictions on the basis of sequence similarity with miRNAs that have been experimentally characterized in related species. We mapped these human miRNA genes to the complete genome sequence and compared their locations to the locations of annotated TEs. A total of 68 human miRNA genes share sequences with TEs, and all but 7 of these correspond to miRNAs experimentally characterized from human samples. The absence of *ab initio* miRNA gene predictions in the miRBase data set ensures that we are uncovering *bona fide* TE-miRNA relationships. Of these TE-related miRNAs, 49 are found in intron sequences while 19 are intergenic.

TE-related miRNAs differ in terms of the extent of overlap with TE sequences and the number of distinct TE sequences from which they are derived. For each individual TE-related human miRNA, a schematic in supplemental Figure 2 (at <http://www.genetics.org/supplemental/>) illustrates the identity of all colocalized TE sequences along with the extent and position of the TE-miRNA overlap and the relationship between the strand-specific orientation of the TE and the miRNA. The majority (50 of 68) of TE-related miRNAs consist of  $> 50\%$  TE-derived positions (Figure 1A), and this figure is likely to be an underestimate since many TE sequences are known to have diverged beyond the ability to be

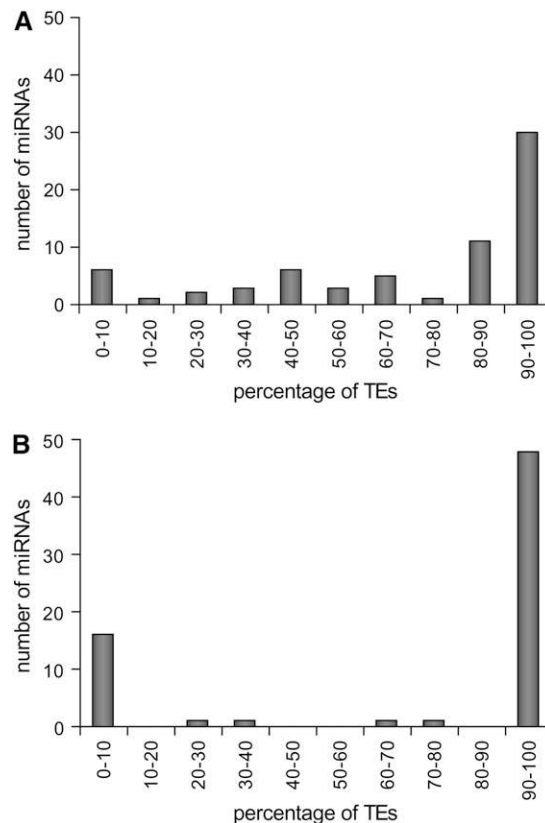


FIGURE 1.—Percentage of TE-derived residues in miRNA genes. Frequency distributions are shown for the percentages of TE-derived residues relative to miRNA gene sequences (A) and mature miRNA sequences (B).

recognized by the RepeatMasker annotation software. The TE-miRNA overlap distribution for the region of the miRNA gene that corresponds to the processed (mature) regulatory sequence is even more bimodal (Figure 1B); 47 sequences have  $> 95\%$  of mature miRNA positions covered by TE sequence. Nevertheless, there are a handful (7 of 68) of TE-related miRNA genes that have  $< 20\%$  of their sequences colocalized with TE sequence. These may represent spurious cases of TE-miRNA overlap. Visual inspection of the TE-miRNA alignments (supplemental Figure 2 at <http://www.genetics.org/supplemental/>) was used to eliminate these unreliable cases. Only the 55 cases with at least 50% TE coverage of the pre-miRNA sequence and/or 100% TE coverage of the mature miRNA sequence were considered as actual TE-derived miRNAs and used for further analysis (Table 1). These 55 TE-derived miRNAs represent  $\sim 12\%$  (55/462) of all human miRNAs reported in miRBase version 8.2.

The TE-related miRNAs that we identified are derived from all four major classes of human TEs: long- and short-interspersed nuclear elements (LINE and SINE), long-terminal-repeat-containing elements (LTR) and DNA-type transposons (Table 1). Specific classes and families of TEs show marked over- or underrepresentation among

**TABLE 1**  
**TE-derived human miRNAs**

miRNA name (from miRBase)	miRBase accession no.	Coordinates <sup>a</sup>	Colocated TE	Overlap <sup>b</sup>	Average conservation score	Targets <sup>c</sup>
hsa-mir-130b	MI0000748	Chromosome 22: 20337593–20337674(+)	MIRm	65.85	0.8492	865 (10.75)
hsa-mir-151	MI0000809	Chromosome 8: 141811845–141811934(–)	L2	100.00	0.9317	863 (12.28)
hsa-mir-28	MI0000086	Chromosome 3: 189889263–189889348(+)	L2	93.02	0.9979	1136 (10.21)
hsa-mir-325	MI0000824	Chromosome X: 76142220–76142317(–)	L2	89.80	0.9905	751 (13.32)
hsa-mir-330	MI0000803	Chromosome 19: 50834092–50834185(–)	MIRm	53.19	0.9867	927 (5.18)
hsa-mir-345	MI0000825	Chromosome 14: 99843949–99844046(+)	MIR	39.80	0.8265	895 (7.82)
hsa-mir-361	MI0000760	Chromosome X: 85045297–85045368(–)	MER5A	81.94	0.9998	882 (14.51)
hsa-mir-370	MI0000778	Chromosome 14: 100447229–100447303(+)	MIRm	100.00	0.9893	1006 (4.77)
hsa-mir-374	MI0000782	Chromosome X: 73423846–73423917(–)	L2	54.17	0.9970	773 (7.50)
hsa-mir-378	MI0000786	Chromosome 5: 149092581–149092646(+)	MIRb	90.91	1.0000	0 (0)
hsa-mir-421	MI0003685	Chromosome X: 73354937–73355021(–)	L2	89.41	0.9999	1023 (14.47)
hsa-mir-422a	MI0001444	Chromosome 15: 61950182–61950271(–)	MIR3	100.00	0.0018	940 (7.34)
hsa-mir-493	MI0003132	Chromosome 14: 100405150–100405238(+)	L2	66.29	0.9990	0 (0)
hsa-mir-513-1	MI0003191	Chromosome X: 146102673–146102801(–)	MER91C	100.00	0.0543	1065 (7.14)
hsa-mir-513-2	MI0003192	Chromosome X: 146115036–146115162(–)	MER91C	100.00	0.0003	1065 (7.14)
hsa-mir-544	MI0003515	Chromosome 14: 100584748–100584838(+)	MER5A1	100.00	0.9337	1056 (10.42)
hsa-mir-545	MI0003516	Chromosome X: 73423664–73423769(–)	L2	82.08	0.9958	1065 (16.345)
hsa-mir-548a-1	MI0003593	Chromosome 6: 18679994–18680090(+)	MADE1	78.35	0.0391	1255 (7.09)
hsa-mir-548a-2	MI0003598	Chromosome 6: 135601991–135602087(+)	LTR16A1, MADE1	100.00	0.0047	1255 (7.09)
hsa-mir-548a-3	MI0003612	Chromosome 8: 105565773–105565869(–)	MLT1G1, MADE1	100.00	0.0044	1255 (7.09)
hsa-mir-548b	MI0003596	Chromosome 6: 119431911–119432007(–)	MADE1	83.51	0.0175	1197 (5.93)
hsa-mir-548c	MI0003630	Chromosome 12: 63302556–63302652(+)	MADE1	83.51	0.0092	1302 (6.76)
hsa-mir-548d-1	MI0003668	Chromosome 8: 124429455–124429551(–)	MADE1	83.51	0.0076	1055 (10.24)
hsa-mir-548d-2	MI0003671	Chromosome 17: 62898067–62898163(–)	MADE1	83.51	0.0000	1055 (10.24)
hsa-mir-552	MI0003557	Chromosome 1: 34907787–34907882(–)	L1MD2	100.00	0.0000	1067 (11.62)
hsa-mir-558	MI0003564	Chromosome 2: 32610724–32610817(+)	MLT1C	45.74	0.0112	778 (7.58)
hsa-mir-562	MI0003568	Chromosome 2: 232745607–232745701(+)	L1MB7	100.00	0.0019	954 (11.64)
hsa-mir-566	MI0003572	Chromosome 3: 50185763–50185856(+)	AluSg	100.00	0.0000	1184 (80.07)
hsa-mir-570	MI0003577	Chromosome 3: 196911452–196911548(+)	MADE1	82.47	0.0000	1115 (4.22)
hsa-mir-571	MI0003578	Chromosome 4: 333946–334041(+)	L1MA9	96.88	0.0000	948 (8.33)
hsa-mir-575	MI0003582	Chromosome 4: 83893514–83893607(–)	MIR	61.70	0.0001	1048 (7.35)
hsa-mir-576	MI0003583	Chromosome 4: 110629303–110629400(+)	L1MB7	100.00	0.0121	921 (10.53)
hsa-mir-578	MI0003585	Chromosome 4: 166526844–166526939(+)	L2	44.79	0.0064	1012 (7.61)
hsa-mir-579	MI0003586	Chromosome 5: 32430241–32430338(–)	MADE1, L1MB8	100.00	0.3543	1202 (6.32)
hsa-mir-582	MI0003589	Chromosome 5: 59035189–59035286(–)	L3, L3	85.71	0.9954	1017 (8.06)
hsa-mir-584	MI0003591	Chromosome 5: 148422069–148422165(–)	MER81	92.78	0.0008	794 (10.96)
hsa-mir-587	MI0003595	Chromosome 6: 107338693–107338788(+)	MER115	100.00	0.0053	970 (6.39)
hsa-mir-588	MI0003597	Chromosome 6: 126847470–126847552(+)	L1MA3	100.00	0.0000	873 (10.77)
hsa-mir-603	MI0003616	Chromosome 10: 24604620–24604716(+)	MADE1	84.54	0.0102	1008 (7.44)
hsa-mir-606	MI0003619	Chromosome 10: 76982222–76982317(+)	L1MCc	100.00	0.0014	776 (8.38)
hsa-mir-607	MI0003620	Chromosome 10: 98578416–98578511(–)	MIR	100.00	0.9990	985 (8.83)
hsa-mir-616	MI0003629	Chromosome 12: 56199213–56199309(–)	L2	100.00	0.0004	922 (10.30)
hsa-mir-619	MI0003633	Chromosome 12: 107754813–107754911(–)	L1MC4, AluSx	100.00	0.0008	765 (8.89)
hsa-mir-625	MI0003639	Chromosome 14: 65007573–65007657(+)	L1MCa	100.00	0.0018	1065 (4.41)
hsa-mir-626	MI0003640	Chromosome 15: 39771075–39771168(+)	L1MB8, L1MCa	56.38	0.0086	1022 (6.65)
hsa-mir-633	MI0003648	Chromosome 17: 58375308–58375405(+)	MIRb	100.00	0.0136	843 (7.12)
hsa-mir-634	MI0003649	Chromosome 17: 62213652–62213748(+)	L1ME3A	48.45	0.0019	886 (5.08)
hsa-mir-640	MI0003655	Chromosome 19: 19406872–19406967(+)	MIRb	100.00	0.0074	853 (28.49)
hsa-mir-644	MI0003659	Chromosome 20: 32517791–32517884(+)	L1MB3	61.70	0.1035	970 (4.95)
hsa-mir-645	MI0003660	Chromosome 20: 48635730–48635823(+)	MER1B	62.77	0.0002	682 (13.49)
hsa-mir-648	MI0003663	Chromosome 22: 16843634–16843727(–)	L2	98.94	0.0008	943 (6.15)

(continued)

**TABLE 1**  
(Continued)

miRNA name (from miRBase)	miRBase accession no.	Coordinates <sup>a</sup>	Colocated TE	Overlap <sup>b</sup>	Average conservation score	Targets <sup>c</sup>
hsa-mir-649	MI0003664	Chromosome 22: 19718465–19718561(–)	L1M4, MER8, AluSx	100.00	0.0005	1033 (10.65)
hsa-mir-652	MI0003667	Chromosome X: 109185213–109185310(+)	MER91C	100.00	0.9883	803 (39.36)
hsa-mir-659	MI0003683	Chromosome 22: 36573631–36573727(–)	Arthur1	46.39	0.0027	890 (8.20)
hsa-mir-95	MI0000097	Chromosome 4: 8057928–8058008(–)	L2	95.06	0.9862	847 (16.06)

<sup>a</sup> Human genome (hg 18) coordinates of the miRNA.

<sup>b</sup> Percentage of miRNA overlapping with TE sequence.

<sup>c</sup> Total number of targets with the percentage derived from TEs in parentheses.

human miRNAs (Figure 2). The related L2 (LINE) and MIR (SINE) families, as well as DNA elements, show far more overlap with miRNA genes than is expected on the basis of their relative frequency in the genome (37 observed *vs.* 11 expected;  $\chi^2 = 30.74$ ,  $P = 3.0 \times 10^{-8}$ ). Most of the DNA-type elements that contribute to miRNA genes are short nonautonomous derivatives of full-length transposons known as miniature inverted-repeat transposable elements (MITEs). This includes a group of seven closely related miRNA genes (hsa-mir-548), which are all derived from the Made1 family of MITEs (PIRIYAPONGSA and JORDAN 2007). Alu (SINE) elements and LTR type TEs are generally underrepresented among TE-derived miRNA genes. Most TE-related miRNA genes are derived from a single TE insertion, but there are several examples where nested insertion events have led to the origin of a single miRNA gene from two or even three TEs (supplemental Figure 2 at <http://www.genetics.org/supplemental/>). For instance, there are two cases where a Made1 element inserted into an LTR element yielded a miRNA gene (examples 24 and 27 in supplemental Figure 2 at <http://www.genetics.org/supplemental/>), and an insertion of an Alu into a L1 (LINE) sequence also gave rise to a

miRNA gene (example 46 in supplemental Figure 2 at <http://www.genetics.org/supplemental/>).

TE-derived human miRNA genes were used as queries in BLAT searches against the human genome sequence to search for putative paralogs. There are 19 cases of TE-derived miRNA genes with closely related paralogs in the human genome (Table 2). The number of paralogs per miRNA ranges from 1, for the L1-derived hsa-mir-552, to 145, for the Made1-derived hsa-mir-548d-2.

**Evolution of TE-derived miRNAs:** Comparative genomic sequence data were used to assess the relative evolutionary rates of TE-derived miRNAs. This analysis was based on whole-genome sequence alignments between humans and 16 other vertebrate species. Two related approaches were used to evaluate the conservation of individual miRNA sequence sites across vertebrate genomes; the first approach results in a binary characterization of either conserved or nonconserved for each site, while the second rests on a more continuous score that relates the probability of a site being conserved. All genome sites for human miRNAs were considered using these two metrics, and the relative conservation levels for TE-derived *vs.* non-TE-derived miRNA genes were compared. A total of 32.1% of sites in TE-derived miRNAs map to the most conserved elements in the human genome. This is far greater than the ~5% of conserved sites seen for the entire human genome but significantly less than seen for non-TE-derived miRNAs, which have 63.2% conserved sites ( $t = 4.39$ ,  $P = 1.4e-5$ , Student's *t*-test) (Figure 3A). When the per-site conservation probabilities of human miRNAs were measured, a similar pattern was observed. The average conservation score of TE-derived miRNAs was 0.33 compared to 0.63 for non-TE-derived miRNAs ( $t = 4.37$ ,  $P = 1.5e-5$ , Student's *t*-test) (Figure 3B). In addition, the frequency distribution of the average conservation scores for all human miRNA genes reveals that, compared to non-TE-derived miRNAs, there are far more TE-derived miRNAs that show little or no conservation and fewer that are highly conserved (Figure 3C). Thus, on the whole, TE-derived miRNAs are significantly less conserved than non-TE-derived miRNAs.

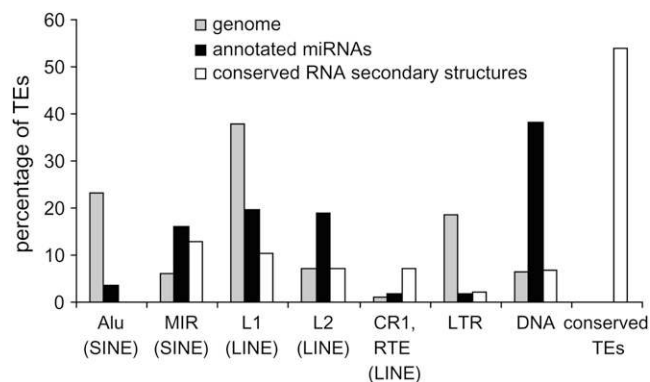


FIGURE 2.—Percentage of TE sequences among different classes and families for the human genome (shading) and for TE-derived miRNA genes (solid). Relative percentages are shown such that the total will sum to 100% for the genome and for miRNAs.

**TABLE 2**  
Putative TE-derived miRNA paralogs

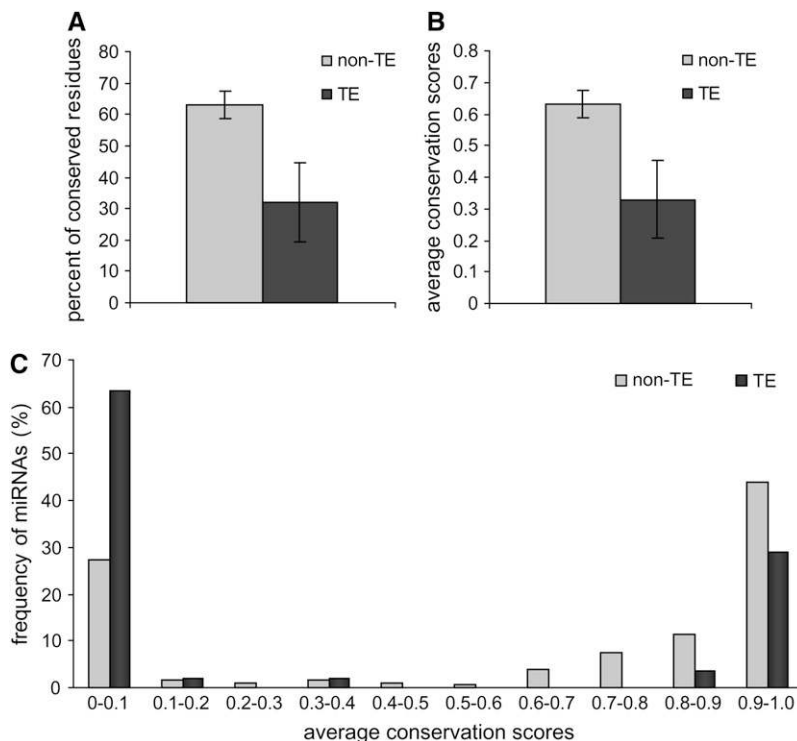
miRNA name (from miRBase)	miRBase accession no.	Colocated TE	Paralogs <sup>a</sup>
hsa-mir-513-1	MI0003191	MER91C	3
hsa-mir-513-2	MI0003192	MER91C	3
hsa-mir-548a-1	MI0003593	MADE1	24
hsa-mir-548a-2	MI0003598	LTR16A1, MADE1	81
hsa-mir-548a-3	MI0003612	MLT1G1, MADE1	82
hsa-mir-548b	MI0003596	MADE1	23
hsa-mir-548c	MI0003630	MADE1	124
hsa-mir-548d-1	MI0003668	MADE1	71
hsa-mir-548d-2	MI0003671	MADE1	145
hsa-mir-552	MI0003557	L1MD2	1
hsa-mir-562	MI0003568	L1MB7	2
hsa-mir-566	MI0003572	AluSg	87
hsa-mir-570	MI0003577	MADE1	48
hsa-mir-571	MI0003578	L1MA9	4
hsa-mir-579	MI0003586	MADE1, L1MB8	3
hsa-mir-603	MI0003616	MADE1	30
hsa-mir-607	MI0003620	MIR	1
hsa-mir-649	MI0003664	L1M4, MER8, AluSx	4
hsa-mir-652	MI0003667	MER91C	4

<sup>a</sup>Number of paralogous sequences in the human genome.

We used the frequency distribution of average conservation scores to divide TE-derived miRNAs into conserved ( $\geq 0.8$  average conservation probability) and nonconserved ( $< 0.8$  average conservation probability) groups. Using this criteria, there are 37 nonconserved and 18 conserved TE-derived miRNAs (Table 1). The

least-conserved TE-derived miRNAs are primate specific, having orthologous sequences in the chimpanzee only or both the chimpanzee and Rhesus genomes. Of 18 conserved miRNAs, 14 are derived from the L2 and MIR families; this is far more than would be expected on the basis of the overall frequency of L2 and MIR sequences among TE-derived miRNAs ( $\chi^2 = 17.8$ ,  $P = 3.6 \times 10^{-5}$ ). The conservation of L2 and MIR TE-derived miRNAs is consistent with a previous study that found many anomalously conserved L2 and MIR sequences (SILVA *et al.* 2003). Indeed, L2 and MIR are relatively ancient TE families with many sequences that inserted prior to the divergence of the human and mouse evolutionary lineages. We observed 10 of the conserved L2- and MIR-derived miRNA sequences to have orthologous sequences in the mouse genome, and there are 9 orthologous mouse miRNAs in these regions that are annotated in miRBase (Table 3). All of the 8 conserved L2 miRNAs are derived from the same region near the 3'-end of the L2 consensus sequence (approximately positions 3200–3400), while the 6 MIR-derived miRNAs are found in dispersed locations on the MIR consensus sequence.

A frequency distribution of conserved *vs.* nonconserved TE-derived miRNA genes, compared to genome-wide relative TE frequencies, reveals distinct conservation levels for miRNAs derived from particular TE classes/families (Figure 4). For instance, L2 and MIRs contribute far more conserved than nonconserved miRNAs, and the fraction of conserved L2 and MIR elements in miRNAs is much higher than seen for these same elements in the genome as a whole. DNA-type elements show the opposite pattern. There is a higher fraction of



**FIGURE 3.**—Evolutionary conservation of human miRNA genes. (A) The percentage of conserved residues for non-TE-derived miRNAs (shading) *vs.* TE-derived miRNAs (solid) with 95% confidence intervals shown. (B) The average per-site conservation score for non-TE-derived miRNAs (shading) *vs.* TE-derived miRNAs (solid) with 95% confidence intervals shown. (C) Frequency distribution of the average per-site conservation scores for non-TE-derived miRNAs (shading) *vs.* TE-derived miRNAs (solid).

TABLE 3  
Human-mouse orthologous miRNAs derived from L2 and MIR TEs

miRBase names and accession nos. for human orthologous miRNAs	Genome coordinates for human orthologous regions	Related TE sequence	miRBase names and accession nos. for mouse orthologous miRNAs	Genome coordinates for mouse orthologous regions
hsa-mir-345: MI0000825	Chromosome 14: 99843949–99844046(+)	MIR	mmu-mir-345: MI0000632	Chromosome 12: 109,284,780–109,284,874(+)
hsa-mir-130b: MI0000748	Chromosome 22: 20337593–20337674(+)	MIRm	mmu-mir-130b: MI0000408	Chromosome 16: 17,037,626–17,037,705(-)
hsa-mir-151: MI0000809	Chromosome 8: 141811845–141811934(-)	L2	mmu-mir-151: MI0000173	Gap
hsa-mir-95: MI0000097	Chromosome 4: 8057928–8058008(-)	L2	—	Gap
hsa-mir-330: MI0000803	Chromosome 19: 50834092–50834185(-)	MIRm	mmu-mir-330: MI0000607	Chromosome 7: 18,339,991–18,340,084(+)
hsa-mir-370: MI0000778	Chromosome 14: 100447229–100447303(+)	MIRm	mmu-mir-370: MI0001165	Chromosome 12: 110,066,065–110,066,139(+)
hsa-mir-325: MI0000824	Chromosome X: 76142220–76142317(-)	L2	mmu-mir-325: MI0000597	Chromosome X: 101,581,801–101,581,898(-)
hsa-mir-545: MI00003516	Chromosome X: 73423664–73423769(-)	L2	—	Chromosome X: 99,818,159–99,818,260(-)
hsa-mir-374: MI0000782	Chromosome X: 73423846–73423917(-)	L2	mmu-mir-374: MI0004125	Chromosome X: 99,818,306–99,818,361(-)
hsa-mir-28: MI0000086	Chromosome 3: 189889263–189889348(+)	L2	mmu-mir-28: MI0000690	Chromosome 16: 24,743,204–24,743,289(+)
hsa-mir-493: MI0003132	Chromosome 14: 100405150–100405238(+)	L2	—	Chromosome 12: 110,028,035–110,028,123(+)
hsa-mir-607: MI00003620	Chromosome 10: 98578416–98578511(-)	MIR	—	Gap
hsa-mir-421: MI00003685	Chromosome X: 73354937–73355021(-)	L2	—	Chromosome X: 99,775,634–99,775,718(-)
hsa-mir-378: MI0000786	Chromosome 5: 149092581–149092646(+)	MIRb	mmu-mir-378: MI0000795	Gap

“Gap” indicates no orthologous region.

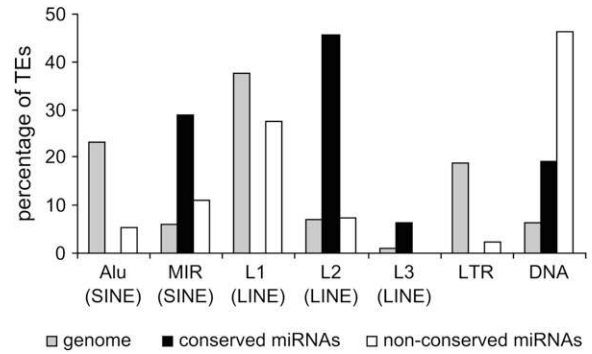


FIGURE 4.—Percentage of TE sequences among different classes and families for the human genome (shading), for conserved TE-derived miRNAs (solid), and for nonconserved TE-derived miRNAs (open). Relative percentages are shown such that the total will sum to 100% for the genome and for each group of miRNAs.

nonconserved DNA-type elements among miRNAs than is seen for the whole genome. All of the miRNAs derived from Alu and L1 elements are nonconserved.

**Regulation and function:** Given their high copy numbers, there is a potential for TE-derived miRNAs to regulate multiple genes via homologous target sites dispersed throughout genome. Using the miRBase target predictions, TE-derived miRNAs were found to have hundreds of putative target sites (Table 1; Figure 5A). However, while many of these target sites are also derived from TEs, in most cases the proportion of TE-derived target sites is ~10% (Table 1; Figure 5B). Thus, TE-derived miRNAs also have the potential to regulate host genes with non-TE-derived targets. The relative paucity of TE-derived target sites can be attributed, in part, to the fact that target-site prediction methods employ conservation of 3'-UTR sequences as one criteria and TEs tend to be lineage specific and nonconserved.

There are several outliers that have a substantially higher fraction of TE-derived target sites. For instance, hsa-mir-566 is derived from Alu and it has 1184 predicted targets with 948 (80%) derived from TEs. Most of these TE-derived hsa-mir-566 target sites are related to Alu insertions and this is consistent with previous studies that have found numerous putative Alu-related miRNA target sites in the human genome (DASKALOVA *et al.* 2006; SMALHEISER and TORVIK 2006).

The predicted target sites analyzed here are all putative sites and it is difficult to know with certainty whether they are actually involved in miRNA-mediated gene regulation. Another way to evaluate the regulatory potential of miRNAs is to compare the expression patterns of miRNAs to the expression patterns of the genes they are thought to regulate (FARH *et al.* 2005; STARK *et al.* 2005; HUANG *et al.* 2006; SOOD *et al.* 2006). The rationale behind the miRNA-mRNA expression pattern comparison is based on the mRNA degradation model of miRNA action. According to this model, miRNA binding to mRNA target sites causes the mRNA transcripts to be degraded.

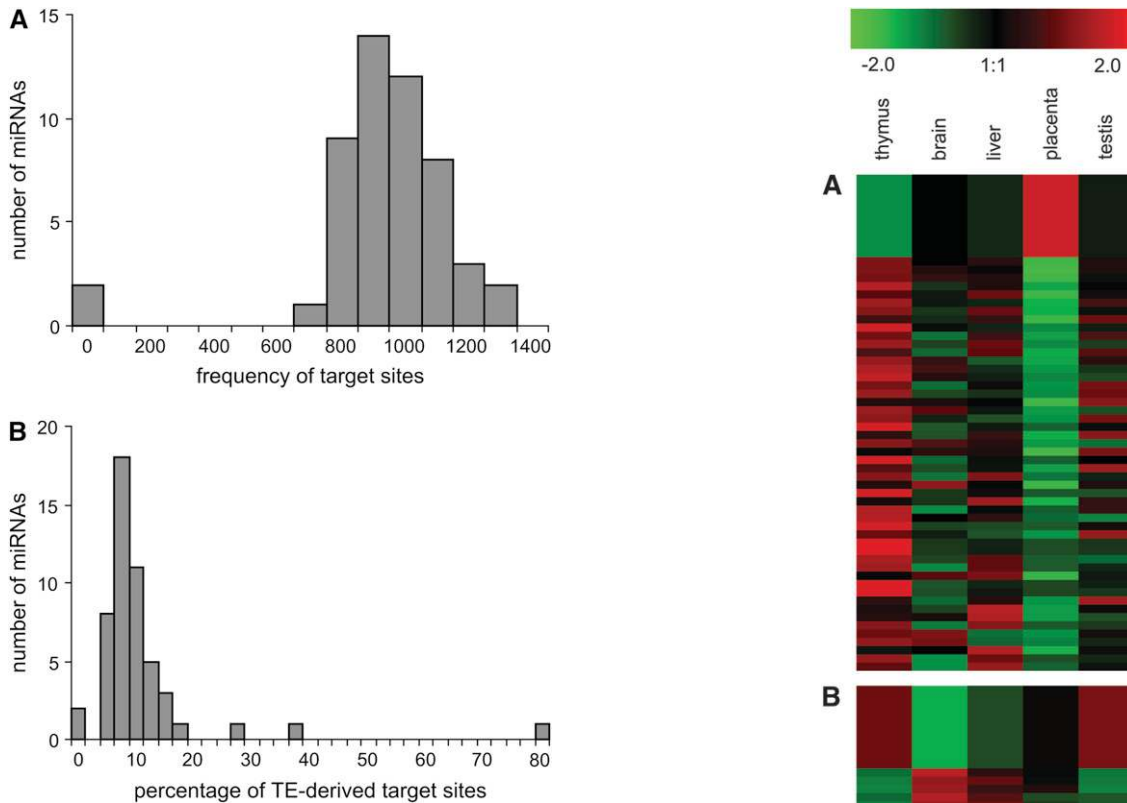


FIGURE 5.—Target-site frequencies for TE-derived miRNAs. (A) Frequency distribution showing the number of target sites per TE-derived miRNA. (B) Frequency distribution showing the percentage of TE-derived target sites per TE-derived miRNA.

This model predicts anti-correlations between expression levels of miRNAs and the mRNAs of their target genes; *i.e.*, high levels of miRNA would lead to decreased levels of targeted mRNA.

We sought to compare miRNA expression levels for TE-derived miRNA genes to mRNA expression levels of their target genes to look for anti-correlations that are consistent with regulation via mRNA degradation. miRNA expression data were taken from a microarray study of 150 human miRNAs across five tissue samples (BARAD *et al.* 2004), and mRNA expression data were taken from the Novartis SymAtlas (SU *et al.* 2004). Pairs of miRNA–mRNA gene expression profile vectors were compared using the Pearson correlation coefficient ( $r$ ). There were only three TE-derived miRNA genes with expression data available. Despite this small sample size and the fairly low resolution afforded by the comparison of only five tissues, we found numerous cases of strongly anti-correlated miRNA–mRNA pairs (Figure 6). Since this

FIGURE 6.—Anti-correlated expression patterns for TE-derived miRNAs and their targeted mRNAs. Results for three TE-derived miRNAs with expression data are shown: hsa-mir-130b (A), hsa-mir-28 (B), and hsa-mir-95 (C). The top row in A–C shows the relative miRNA expression across five human tissues, and the subsequent rows show relative ex-

pression levels for targeted mRNAs. The 50 most-negative Pearson correlation coefficients (range  $r = -0.99$  to  $-0.51$ ;  $P = 1.2 \times 10^{-10}$ – $1.3 \times 10^{-1}$ ) are shown for each plot.



anti-correlation is consistent with the mRNA degradation model of miRNA gene regulation, it provides an additional source of support for putative miRNA target sites and the regulatory action of TE-derived miRNAs.

We also evaluated the GO biological process annotations of the anti-correlated gene sets to look for overrepresented functional categories that may indicate specific functional roles for TE-derived miRNAs. The top 10% of anti-correlated mRNAs (*i.e.*, those with the lowest *r*-values) for each of the three TE-derived miRNAs with expression data were evaluated for overrepresented GO terms. The miRNA hsa-mir-130b gave the strongest signal of GO term overrepresentation; 39 of 80 genes were found to correspond to significantly overrepresented GO terms (supplemental Table 1 at <http://www.genetics.org/supplemental/>). Many of these genes correspond to metabolism and transcriptional regulation in general as well as to several negative regulators of DNA metabolism (supplemental Figure 3 at <http://www.genetics.org/supplemental/>). This negative regulation is achieved in part by chromatin remodeling, silencing, and heterochromatin formation. Thus, hsa-mir-130b may act to indirectly upregulate DNA metabolism by downregulating chromatin-based repressors.

**Prediction of novel TE-derived miRNAs:** The function of miRNAs, and of noncoding RNAs in general, is related to their secondary structure (MATTICK and MAKUNIN 2006). Selective constraint on such sequences often leads to compensatory mutations that maintain the base-pair interactions in the double-stranded regions of the structures, such as miRNA stem regions. Sequence alignments can be evaluated for the signal of conserved base-pair interactions as well as compensatory mutations to identify conserved, and thus presumably functionally relevant, secondary structural elements. Recent application of such techniques has led to the discovery of many novel putative regulatory RNA sequences (WASHIETL *et al.* 2005; PEDERSEN *et al.* 2006). It has even been shown that orthologous regions that are not constrained at the level of primary sequence may nevertheless encode conserved secondary structural elements (TORARINSSON *et al.* 2006). Given the contribution of TEs to experimentally characterized miRNAs shown here and elsewhere (SMALHEISER and TORVIK 2005; BORCHERT *et al.* 2006; PIRIYAPONGSA and JORDAN 2007), we sought to evaluate human TE sequences for the ability to form hairpin structures along with the signals of conserved base pairs and compensatory mutations that indicate putatively functional secondary structures. This approach provides a way to predict further contributions of TEs to miRNAs.

Human genome TE sequences were evaluated for the potential to encode conserved secondary structures (PEDERSEN *et al.* 2006) that meet the criteria of miRNA genes (BENTWICH *et al.* 2005). This approach is conservative in the sense that it relies on sequence conservation and most of the experimentally characterized TE-derived miRNAs that we observe (37 of 55) are not

evolutionarily conserved. Using this conservative approach, we found 587 human TEs with the potential to encode conserved secondary structures (supplemental Table 2 at <http://www.genetics.org/supplemental/>); 4 of these sequences corresponded to previously known human miRNAs annotated in miRBase. Evaluation of these conserved secondary structures was used to identify 85 TE-derived sequences that meet the structural criteria of putative miRNA genes, and 70 of these sequences also show evidence of being expressed (Table 4). These 70 putative TE-derived miRNA sequences meet the previously defined biogenesis, conservation, and, at least in principle, expression criteria used for the identification of miRNA genes (AMBROS *et al.* 2003).

An example of a predicted TE-derived miRNA gene is shown in Figure 7. The MER135 sequence shown is a member of a family of recently characterized nonautonomous DNA-type elements, *i.e.*, MITEs, with ~500 copies in the human genome (JURKA 2006). Since MITEs have palindromic structures with terminal inverted repeats that flank short internal regions, their expression as RNA results in the formation of the kinds of hairpins seen for pre-miRNAs. Indeed, MITEs have previously been shown to contribute miRNA genes in the Arabidopsis and human genomes (METTE *et al.* 2002; PIRIYAPONGSA and JORDAN 2007).

## DISCUSSION

**Abundance of TE-derived miRNAs:** Noncoding regulatory RNAs, such as miRNAs, are a recently discovered class of genes, and the number of miRNA genes that exist among eukaryotic genomes is very much an open question (BEREZIKOV *et al.* 2006). Sustained efforts at high-throughput characterization of miRNA genes, based on both experimental and computational approaches, continue to result in the discovery of many novel miRNAs (BENTWICH *et al.* 2005; CUMMINS *et al.* 2006). This can be appreciated by examining the release statistics of miRBase (<ftp://ftp.sanger.ac.uk/pub/mirbase/sequences/CURRENT/README>). Plotting the number of miRNA gene entries against the miRBase release dates suggests that the number of known miRNA genes has experienced two distinct phases of linear increase, before and after the June 2005 release, and the current rate of increase in known miRNA genes is even greater than for the initial phase (supplemental Figure 4 at <http://www.genetics.org/supplemental/>).

For the most part, the miRBase data do not include substantial numbers of computationally predicted miRNA genes. The only computational predictions represented in miRBase are highly conserved sequences that are orthologous to experimentally characterized miRNA genes in other species. Consideration of computationally identified miRNAs would suggest that miRNA gene numbers are substantially higher than currently appreciated. However, a number of computational methods for miRNA

**TABLE 4**  
**Predicted TE-derived miRNA genes**

Name <sup>a</sup>	Coordinates <sup>b</sup>	Colocated TE	Expression data <sup>c</sup>
3715_0_+_61	Chromosome 1: 3131597–3131629(+)	MER121	EST/mRNA/KG/RS
15086_0_–_78	Chromosome 1: 15041842–15041859(–)	HAL1	EST/mRNA/KG/RS
25288_0_–_83	Chromosome 1: 23621848–23621877(–)	MIRb	EST/mRNA/KG/RS
30647_0_+_38	Chromosome 1: 27752374–27752433(+)	MIRb	EST/mRNA/KG/RS
52664_0_–_50	Chromosome 1: 44571346–44571464(–)	Eulor9A	EST/mRNA/KG/RS
67626_0_–_76	Chromosome 1: 57127400–57127465(–)	Eulor1	EST/mRNA/KG/RS
85615_0_+_83	Chromosome 1: 76474930–76474947(+)	MIRb	EST/mRNA/KG/RS
120809_0_+_79	Chromosome 1: 111021701–111021719(+)	MIR	EST/mRNA
122080_0_–_62	Chromosome 1: 112177611–112177631(–)	MIR	EST/mRNA/KG/RS
124780_0_–_66	Chromosome 1: 114214379–114214407(–)	MIRb	EST/mRNA/KG/RS
154818_0_–_64	Chromosome 1: 162825371–162825437(–)	MER135	EST/mRNA/KG/RS
188052_1_–_92	Chromosome 1: 198460508–198460590(–)	Eulor3	—
204532_0_–_104	Chromosome 1: 211522027–211522054(–)	UCON31	EST
230542_0_–_67	Chromosome 1: 244286075–244286098(–)	L1MB3	EST/mRNA/KG/RS
1231553_0_+_75	Chromosome 2: 67238894–67239028(+)	Eulor4	EST/mRNA
1258257_0_+_85	Chromosome 2: 104314401–104314489(+)	MER134	—
1361323_0_+_57	Chromosome 2: 213067475–213067509(+)	Eulor5A	EST/mRNA/KG/RS
1573547_0_+_44	Chromosome 3: 61643441–61643518(+)	MER126	EST/mRNA/KG/RS
1573643_0_+_95	Chromosome 3: 61718341–61718381(+)	MER134	EST/mRNA/KG/RS
1620066_0_–_64	Chromosome 3: 116298434–116298458(–)	Eulor1	EST/mRNA/KG/RS
1651767_0_+_52	Chromosome 3: 146074810–146074873(+)	Eulor3	—
1668216_0_–_58	Chromosome 3: 168436231–168436447(–)	MER126	—
1730972_0_–_56	Chromosome 4: 46681709–46681733(–)	L1ME3B	EST/mRNA/KG/RS
1747758_0_–_63	Chromosome 4: 74275595–74275629(–)	L1M5	EST/mRNA/KG/RS
1757379_0_+_70	Chromosome 4: 85466757–85466855(+)	MER134	—
1827751_0_+_75	Chromosome 4: 181988895–181988914(+)	MIRb	EST
1830405_0_+_49	Chromosome 4: 183690755–183690850(+)	MER135	EST/mRNA/RS
1873731_0_+_53	Chromosome 5: 58495675–58495729(+)	UCON9	EST/mRNA/KG/RS
1902777_0_+_53	Chromosome 5: 90643387–90643420(+)	AmnSINE1_GG	EST/mRNA
1920501_0_+_72	Chromosome 5: 113735156–113735173(+)	L2	EST/mRNA/KG/RS
1966281_0_+_83	Chromosome 5: 156681824–156681841(+)	MIR3	EST/mRNA/KG/RS
1975838_0_–_80	Chromosome 5: 165688874–165688944(–)	Eulor5A	—
1979031_0_+_61	Chromosome 5: 167506770–167506888(+)	Eulor9A	EST/mRNA/RS
1987527_0_+_59	Chromosome 5: 175727565–175727628(+)	L2	EST/mRNA/KG/RS
2000476_0_–_85	Chromosome 6: 8499794–8499914(–)	Eulor6C	EST/mRNA
2031067_0_+_44	Chromosome 6: 39048083–39048162(+)	Eulor5A	EST/mRNA/KG/RS
2075048_0_–_91	Chromosome 6: 94484941–94484963(–)	ERVL-E	EST/mRNA
2115069.5_0_+_82	Chromosome 6: 141179709–141179763(+)	Eulor5B	—
2165103_0_+_104	Chromosome 7: 28447122–28447144(+)	MER121	EST/mRNA/KG/RS
2195049_0_+_117	Chromosome 7: 73161289–73161306(+)	MIR3	EST/mRNA/KG/RS
2232211_0_+_45	Chromosome 7: 113190696–113190791(+)	Eulor6B	—
2247695_1_+_65	Chromosome 7: 129521966–129521985(+)	L1ME4a	EST/mRNA/KG/RS
2265159_0_+_85	Chromosome 7: 146833245–146833271(+)	UCON4	EST/mRNA/KG/RS
2330918_0_–_108	Chromosome 8: 79081399–79081462(–)	Eulor3	—
2344217_0_+_65	Chromosome 8: 97188471–97188580(+)	MER135	EST
2348773_0_+_51	Chromosome 8: 102229956–102230022(+)	Charlie9	—
2401146_0_–_96	Chromosome 9: 16787222–16787246(–)	MIR	EST/mRNA/KG/RS
2421368_0_–_79	Chromosome 9: 37811135–37811158(–)	L1MC4a	EST/mRNA/KG/RS
2426661_0_+_64	Chromosome 9: 70297285–70297306(+)	MER91A	EST/KG/RS
2455634_0_–_64	Chromosome 9: 105918396–105918420(–)	MER5A	EST/mRNA/KG/RS
2469999_0_+_79	Chromosome 9: 118715772–118715795(+)	UCON11	EST/mRNA/KG/RS
2500550_0_–_83	Chromosome X: 10899595–10899617(–)	L4	EST/mRNA/KG/RS
2519737_0_+_67	Chromosome X: 24557155–24557175(+)	L1ME4a	EST/mRNA/KG/RS
2598753_0_+_171	Chromosome X: 123865376–123865447(+)	Eulor11	EST/mRNA/KG/RS
2607024_0_–_68	Chromosome X: 131689852–131689873(–)	L1MB5	EST/mRNA/KG/RS
2625375_0_+_86	Chromosome X: 152562536–152562556(+)	L2	EST/mRNA/KG/RS
276291_0_+_66	Chromosome 10: 62836157–62836220(+)	L1M5	—
285555_0_+_63	Chromosome 10: 72980870–72980944(+)	MER125	EST/mRNA/KG/RS

(continued)

**TABLE 4**  
(Continued)

Name <sup>a</sup>	Coordinates <sup>b</sup>	Colocated TE	Expression data <sup>c</sup>
334961_0_+_78	Chromosome 10: 117579937–117579954(+)	L2	EST/mRNA/KG/RS
335779_0_+_54	Chromosome 10: 118027456–118027512(+)	Eulor6D	EST
377681_0_+_96	Chromosome 11: 19331037–19331062(+)	L3	mRNA/KG
425555_0_+_71	Chromosome 11: 71985685–71985701(+)	MIR	EST/mRNA/KG/RS
438439_0_+_83	Chromosome 11: 83316376–83316398(+)	L2	EST/mRNA/KG/RS
486187_0_+_68	Chromosome 11: 130861130–130861151(+)	MIRb	EST/mRNA/KG/RS
487071_2_+_103	Chromosome 11: 131453921–131453949(+)	MER122	EST/mRNA/KG/RS
492576_0_–_95	Chromosome 12: 2125422–2125443(–)	MIRb	mRNA/KG/RS
533638.0_0_–_122	Chromosome 12: 50492331–50492353(–)	MIRb	mRNA/KG
542148_0_–_83	Chromosome 12: 55246557–55246574(–)	LTR37B	EST/mRNA/KG/RS
551096_0_–_85	Chromosome 12: 64538090–64538148(–)	Eulor5A	EST/mRNA/KG/RS
596947_0_+_93	Chromosome 12: 115505370–115505426(+)	MER123	EST/mRNA
697653_0_+_69	Chromosome 14: 33093444–33093479(+)	UCON11	EST/mRNA/KG/RS
700890_0_–_65	Chromosome 14: 35855217–35855366(–)	Eulor6A	EST/mRNA/KG/RS
775713_0_+_77	Chromosome 15: 25703141–25703162(+)	L1MCc	EST/mRNA/KG/RS
787092_0_–_65	Chromosome 15: 35993736–35993832(–)	Eulor5A	—
896537_0_+_81	Chromosome 16: 30749660–30749680(+)	MIR	EST
928869_0_+_74	Chromosome 16: 70304015–70304037(+)	MIR3	EST/mRNA/KG/RS
976169_0_+_86	Chromosome 17: 24040248–24040268(+)	L1ME4a	EST/mRNA/KG/RS
989909_0_+_100	Chromosome 17: 34009010–34009024(+)	MIR3	EST/mRNA/KG/RS
1000039.8_0_+_109	Chromosome 17: 39468501–39468532(+)	L1MC4	EST/mRNA/KG/RS
1077028_0_–_58	Chromosome 18: 33875730–33875789(–)	MIRb	—
1105916_0_–_78	Chromosome 18: 71369451–71369514(–)	UCON11	—
1435354_0_–_79	Chromosome 20: 44235903–44235921(–)	MIR	EST/mRNA/KG/RS
1443968_0_–_61	Chromosome 20: 53838763–53838824(–)	UCON29	—
1466070_0_–_70	Chromosome 21: 33853177–33853203(–)	L2	EST/mRNA
1496941_0_+_79	Chromosome 22: 35289947–35289989(+)	L1MC4	EST

<sup>a</sup> Name of the EvoFold locus from the hg18 UCSC Genome Browser annotation. The last field in the name corresponds to the EvoFold score.

<sup>b</sup> Genome coordinates and strand of the EvoFold locus.

<sup>c</sup> Source of the expression data for the locus: KG, UCSC Genome Browser known gene annotation; RS, NCBI RefSeq annotation.

prediction do not consider TE-derived miRNAs (BENTWICH *et al.* 2005; LINDOW and KROGH 2005; NAM *et al.* 2005; LI *et al.* 2006). This is because, mainly for reasons of tractability, one of the first steps in computational analysis of eukaryotic genome sequences is the exclusion of repetitive DNA by RepeatMasking. TEs will also tend to be excluded from predictions based solely on conservation between species because they are rapidly evolving and lineage-specific genomic elements. This is underscored by the fact that the set of TE-derived human miRNAs that we identify here is enriched for genes experimentally characterized in humans (93% for TE-derived *vs.* 81% for non-TE-derived miRNAs;  $\chi^2 = 4.76$ ,  $P = 0.03$ ).

The factors described above that suggest the exclusion of TE-derived miRNAs led us to speculate as to how many more miRNA genes would be discovered if TE sequences were not eliminated from consideration *a priori*. To investigate this, we employed our own *ab initio* computational approach to try and predict TE-derived miRNA sequences. Application of this method to the human genome revealed 587 cases of human TE sequences that encode conserved RNA secondary structures, 85 of which are most likely to represent *bona fide*

miRNA genes. Fifteen of the TE-derived miRNA genes that we predicted using this approach overlap with previous miRNA computational predictions (BEREZIKOV *et al.* 2005; PEDERSEN *et al.* 2006) as well as experimentally characterized miRNAs from miRBase.

**Conservation of TE-derived miRNAs:** Many miRNA genes are evolutionarily conserved and may have functional orthologs in multiple species. Indeed, sequence conservation is one of the criteria used to aid the computational discovery of miRNAs. While the TE-derived miRNA genes analyzed here are less conserved, on average, than non-TE-derived miRNAs, there are a number of well-conserved miRNAs that evolved from TE sequences (Table 1). The majority of these conserved miRNAs are related to the ancient L2 and MIR TE families, and some of these sequences have been previously identified (SMALHEISER and TORVIK 2005). This is particularly interesting because numerous L2 and MIR sequences have been shown to be anomalously conserved between the human and mouse genomes (SILVA *et al.* 2003). Specifically, SILVA *et al.* (2003) demonstrated that many L2 and MIR sequences found in orthologous human–mouse intergenic regions were present in the common ancestor of the two species and,

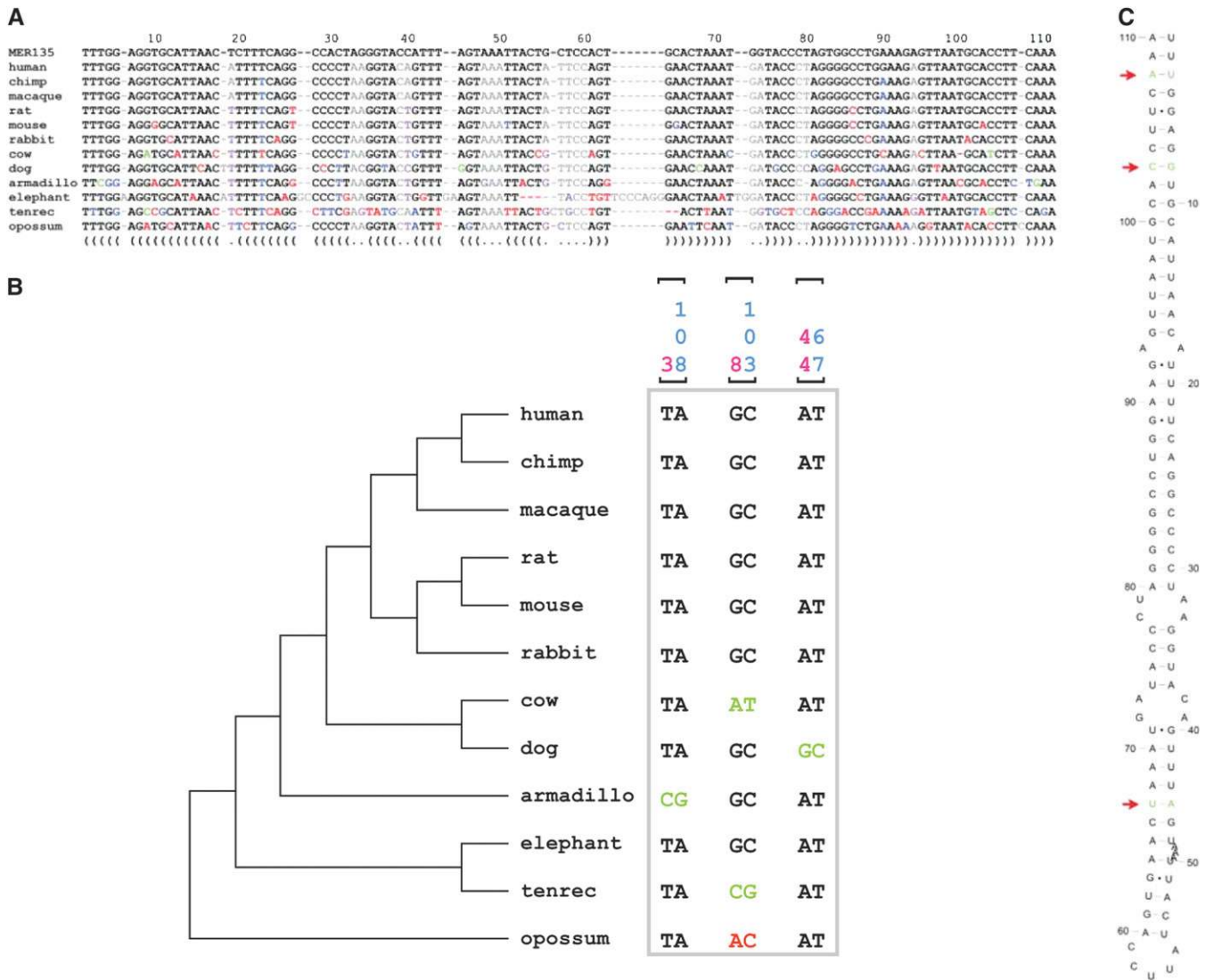


FIGURE 7.—*Ab initio* prediction of human TE-derived miRNA genes. (A) Multiple sequence alignment of the MER135 consensus sequence with the human genome sequence and orthologous genomic regions from 11 other vertebrate genomes. The predicted secondary structure is shown below the alignment with paired and unpaired positions indicated by parentheses and dots, respectively. Residues are colored according to the annotated secondary structure base pairs and their substitutions: gray, unpaired and no substitution; purple, unpaired and substitution; black, paired and no substitution; blue, paired and single substitution; green, paired and double substitution; red, not compatible with annotated pair. (B) Phylogenetic tree of the aligned species showing the double substitutions that maintain the secondary structure. Paired double substitutions are indicated with brackets and their positions in the alignment are shown. (C) Secondary structure of the predicted miRNA gene. Positions of the double substitutions are indicated by red arrows.

following their divergence, evolved under strong selective constraint. From this, they reasoned that these selectively constrained sequences probably play some role related to gene regulation, although no specific functional role was ascribed to them. Here, we show that at least some of these conserved L2 and MIR fragments provide miRNA sequences with the potential to regulate numerous human genes.

As in the case of L2 and MIR (SILVA *et al.* 2003), comparative genomic approaches are used to infer functionally important genomic regions, particularly noncoding regions, by virtue of their high sequence conservation

(ZHANG and GERSTEIN 2003). It is becoming increasingly apparent that a number of such highly conserved genomic sequences correspond to TEs (BEJERANO *et al.* 2006; KAMAL *et al.* 2006; NISHIHARA *et al.* 2006; XIE *et al.* 2006). While enhancer activity has been demonstrated for one of these conserved TEs (BEJERANO *et al.* 2006), for the most part, the specific function encoded by conserved TE sequences remains unknown. The collection of conserved TE sequences recently assembled by Repbase corresponds to <1% of all human genome TEs, but these sequences contribute >50% of all TE-encoded conserved secondary structures that we detected (Figure 2). Thus, our results

suggest that many conserved TE sequences may encode miRNAs or perhaps other noncoding regulatory or structural RNAs.

**Lineage-specific effects of TE-derived miRNAs:** Most of the TE-derived miRNAs analyzed here are not evolutionarily conserved (Table 1). This is not surprising when you consider that TEs are the most lineage-specific and nonconserved elements found in eukaryotic genomes (LANDER *et al.* 2001). The overrepresentation of non-conserved sequences among TE-derived miRNAs is also consistent with previous work that has shown TE-derived *cis*-regulatory binding sites to be more divergent than non-TE-derived *cis* sites (MARIÑO-RAMIREZ *et al.* 2005). From a practical perspective, this means that computational discovery methods that employ conservation as a criterion will necessarily overlook many TE-derived regulatory sequences. In terms of evolution, this means that the greatest differences between eukaryotic genomes will correspond to TE sequences. In this sense, TEs can be considered as drivers of genome diversification. This may be uninteresting if TEs serve only to replicate themselves and do not play any role for their host genomes as the selfish DNA theory of TEs holds (DOOLITTLE and SAPIENZA 1980; ORGEL and CRICK 1980). However, if some TEs are in fact functionally relevant to their hosts, as we have shown here for the case of TE-derived miRNAs, then their divergence may have important evolutionary implications. Indeed, TE-derived regulatory sequences may be particularly prone to contribute to regulatory differences among species that lead to lineage-specific phenotypes. This has been shown for the case of TE-derived regulatory sequences that are associated with high levels of expression divergence between humans and mice (MARIÑO-RAMIREZ and JORDAN 2006).

While most computational efforts to discover non-coding regulatory sequences have focused on conserved genomic elements, recent studies have begun to emphasize rapidly evolving regions as well (POLLARD *et al.* 2006a,b; PRABHAKAR *et al.* 2006). The rationale behind this is the notion that rapidly evolving regulatory regions may yield species-specific differences. An emphasis on the discovery of TE-derived regulatory sequences would complement current approaches to the discovery of rapidly evolving regulatory regions that are likely to contribute to the phenotypic divergence among species.

**Genome defense and global gene regulatory mechanisms:** Finally, we speculate that our results point to a connection between genome defense mechanisms necessitated by TEs and the emergence of global gene regulatory systems that may have allowed for the complex regulatory phenotypes characteristic of multicellular eukaryotes. TE insertions are highly deleterious and, as a consequence, a number of global gene-silencing mechanisms, including methylation (YODER *et al.* 1997), imprinting (MCDONALD *et al.* 2005), and heterochromatin (LIPPMAN *et al.* 2004), may have evolved originally as TE defense mechanisms. siRNAs are also thought

to have evolved as a defense mechanism against TEs (MATZKE *et al.* 2000; VASTENHOUW and PLASTERK 2004; SLOTKIN *et al.* 2005), and the results reported here and elsewhere (SMALHEISER and TORVIK 2005; BORCHERT *et al.* 2006; PIRIYAPONGSA and JORDAN 2007) indicate that miRNAs can emerge from TEs as well. More recently, an analogous TE defense mechanism based on small RNAs complementary to TEs in *Drosophila* has been reported (BRENECKE *et al.* 2007). Apparently, different RNA interference systems may have evolved convergently on multiple occasions to help silence TEs. Later, these regulatory mechanisms could have been co-opted to exert controlling effects over thousands of host genes as is the case for miRNAs. The evolution of such complex gene regulatory systems can be considered non-adaptive (LYNCH 2007) in the sense that they did not evolve by virtue of selection for the role that they play now. However, neither did these global regulatory mechanisms evolve passively since they were swept to fixation by selective pressure to defend against TEs. Therefore, the emergence of TE-related global regulatory systems, exemplified by RNA interference, can be considered to be exaptations (GOULD and VRBA 1982) driven by the internal mutational dynamics (STOLTZFUS 2006) of the genome.

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