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ORIGINAL RESEARCH

Outbreak Of Klebsiella pneumoniae Carbapenemase-Producing Klebsiella aerogenes Strains In A Tertiary Hospital In China

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Min Hao,^{1,2} Zhen Shen,^{1,2} Meiping Ye,^{1,2} Fupin Hu,^{1,2} Xiaogang Xu,^{1,2} Yang Yang,^{1,2} Shi Wu,^{1,2} Dongfang Lin,^{1,2} Xiaohua Qin,^{1,2} Minggui Wang^{1,2}

¹Institute of Antibiotics, Huashan Hospital, Fudan University, Shanghai, People's Republic of China; ²Key Laboratory of Clinical Pharmacology of Antibiotics, Ministry of Health, Shanghai, People's Republic of China



Correspondence: Xiaohua Qin Institute of Antibiotics, Huashan Hospital, Fudan University, No. 12 Middle Urumuqi Road, Huashan Hospital, Shanghai, People's Republic of China Tel +862152888195 Email 0456240@fudan.edu.cn



Purpose: This study aimed to evaluate the molecular characteristics and prevalence of clinical carbapenem-resistant *Klebsiella aerogenes* (CRKA), collected during an outbreak in a Chinese tertiary hospital.

Methods: Antimicrobial susceptibility test, using 17 antibiotics, was performed on 14 CRKA isolates. The strains were examined for the presence of β -lactamase genes by PCR, and efflux pump phenotype was determined by efflux pump inhibition test. Presence of outermembrane porins was examined. Clonal relatedness among the isolates was investigated by pulsed-field gel electrophoresis (PFGE). S1 nuclease-PFGE and plasmid incompatibility group analysis were performed to determine plasmids, and the genetic environment of bla_{KPC-2} was analyzed. Epidemiological data were collected via chart review.

Results: The 14 CRKA isolates were all resistant to carbapenems; five distinct groups (PFGE types A–E) were observed. All 14 isolates carried the $bla_{\rm KPC-2}$ gene. S1 nuclease-PFGE indicated the size of $bla_{\rm KPC-2}$ -carrying plasmids to range from 20 kb to 200 kb, and the 14 plasmids belonged to various incompatibility groups. The most frequent genetic environment of $bla_{\rm KPC-2}$ was Tn1721- $bla_{\rm KPC-2}$ - Δ Tn3-IS26. PFGE type A group, including 11 KPC-2-producing clinical isolates, was primarily responsible for dissemination.

Conclusion: Our findings suggest both transposons and vertical transmission to contribute to the transformation of bla_{KPC-2} . The results strongly suggest strict implementation of infection control of CRKA, in healthcare facilities.

Keywords: carbapenem resistance, *Klebsiella aerogenes*, *Klebsiella pneumoniae* carbapenemase, plasmid, genetic environment, outbreak

Introduction

Carbapenem is a broad-spectrum β -lactam antibiotic with high stability to β -lactamases mediated by plasmids and chromosomes. However, carbapenem-resistant *Enterobacteriaceae* (CRE) strains have recently been reported frequently worldwide. CRE isolates show multiple-drug resistance, and even pan-drug resistance, to existing antimicrobial agents, resulting in a serious clinical challenge, treatment failure, and high mortality rates.^{1–3} *Klebsiella aerogenes*, formerly known as *Enterobacter aerogenes*, is one of the major bacterial species responsible for nosocomial infections. The prevalence of carbapenem-resistant *K. aerogenes* (CRKA) has reached 21% in a Chinese hospital in Shanghai⁴ and 12.5% in the USA,⁵ thus undoubtedly becoming a major public health concern.^{6,7} Reported mechanisms of resistance to carbapenems⁸ include production of carbapenemases,

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overproduction of β -lactamases, efflux pumps, porin deficiency, and a change in penicillin-binding proteins. The most common carbapenemases that are overproduced by these strains include Klebsiella pneumoniae carbapenemase (KPC) and metalloenzymes.9-11 KPC-type carbapenemases remain the major determinant of carbapenem resistance in K. pneumoniae, and in many other *Enterobacteriaceae.*¹² Plasmids harboring the $bla_{\rm KPC}$ gene, in association with the mobile element Tn1721, are largely responsible for the effective spread of these genes among K. pneumoniae in People's Republic of China, especially among the ST11 isolates, which is in contrast to their spread via Tn4401 transposons reported in other countries. However, little is known about the genetic environment of Enterobacteriaceae, besides K. pneumoniae, in People's Republic of China.

In the present study, we evaluated the molecular characteristics and prevalence of clinical CRKA isolates in a tertiary teaching hospital in Shanghai, People's Republic of China. These data should provide valuable insight into the development of strategies for treatment and control of the spread of CRKA isolates.

Materials And Methods

Clinical Isolates

All the 61 clinical *K. aerogenes* strains, which were isolated from January 2013 to December 2013 at Huashan Hospital (Fudan University, Shanghai, People's Republic of China), were screened to identify carbapenem-resistant isolates based on disc diffusion method results (imipenem or meropenem inhibition zone diameters \leq 19 mm, or ertapenem inhibition zone diameters \leq 18 mm). All the isolates in this manuscript were part of the routine hospital laboratory procedure. They are obtained from our hospital bacteria bank. They were not specifically isolated for this research. Based on this screen, a total of 14 non-duplicate carbapenem-resistant isolates were detected, and species confirmation was performed by 16S RNA amplification.¹³

Antimicrobial Susceptibility

Antimicrobial susceptibility test was performed with all 14 CRKA isolates using disc diffusion and broth microdilution methods based on Clinical and Laboratory Standards Institute (CLSI), 2019 guidelines.¹⁴ The minimum inhibitory concentrations (MICs) of imipenem, meropenem, ertapenem, piperacillin-tazobactam, cefepime, cefotaxime, cefoxitin, ceftazidime, amikacin, ciprofloxacin, aztreonam,

cefuroxime, levofloxacin, gentamicin, and fosfomycin were determined according to CLSI standards, whereas those of tigecycline and colistin were determined according to European Committee on Antimicrobial Susceptibility Testing guidelines. In addition, the MICs of imipenem were determined in the presence of 25 g mL^{-1} of the efflux pump inhibitor Phe-Arg β -naphthylamide dihydrochloride (PA β N) to investigate the role of efflux pump in the carbapenem resistance mechanism.¹⁵

Pulsed-Field Gel Electrophoresis (PFGE)

PFGE of XbaI (Takara, Dalian, People's Republic of China)-digested genomic DNA samples of *K. aerogenes* isolates was performed according to the manufacturer's instructions. The DNA fragments were separated with a CHEF-Mapper XA PFGE system (Bio-Rad, USA). Electrophoresis was performed for 20 hrs at 14°C with pulse times ranging from 3 to 40 s at 6 V cm⁻¹. The DNA fingerprints generated were analyzed according to the criteria proposed by Tenover et al¹⁶.

Detection Of β -Lactamase Genes

All *K. aerogenes* isolates were screened for carbapenemase-encoding genes ($bla_{\rm SME}$, $bla_{\rm KPC}$, $bla_{\rm NDM}$, $bla_{\rm IMP}$, $bla_{\rm VIM}$, and $bla_{\rm OXA-48-like}$), plasmid-mediated AmpC β -lactamase-encoding genes ($bla_{\rm AAC}$, $bla_{\rm FOX}$, $bla_{\rm MOX}$, $bla_{\rm DHA}$, $bla_{\rm CIT}$, and $bla_{\rm EBC}$) and β -lactamase-encoding genes (bla-TEM, $bla_{\rm SHV}$, $bla_{\rm CTX-M}$, and $bla_{\rm OXA-1}$) by PCR using previously reported primers.¹⁷ To identify all genes detected in the PCR assays, PCR amplicons were sequenced, and the sequences were compared with those available in the National Center for Biotechnology Information GenBank database using BLAST searches (<u>http://www.ncbi.nlm.nih.</u> gov/BLAST).

Analysis Of Outer-Membrane Proteins

Outer-membrane proteins were extracted and quantified as described previously.¹⁸ After purification, most proteins, except for outer-membrane porins, were removed, and subsequently loaded onto a 12% sodium dodecyl sulfate-polyacrylamide gel. After 150-min electrophoresis at 80 V in a Mini-PROTEAN Tetra set-up (Bio-Rad, People's Republic of China), the gel was stained with 0.1% Coomassie brilliant blue (Sangon, Shanghai, People's Republic of China). Based on the molecular weight of Omp35 and Omp36, porins could be easily identified on the gel. The coding sequences of *omp35* and *omp36*, along with their respective promoter regions, were amplified as

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previously described,¹⁹ and aligned with the respective sequences of *E. aerogenes* strain NCTC 10336 (GenBank accession no. KT894105.1 and KT894106.1, respectively).

Transformation And SI Nuclease-PFGE

The transfer experiment was carried out in mixed lysogeny broth cultures. The 14 CRKA strains producing $bla_{\rm KPC-2}$ served as the donors while *Escherichia coli* DH5 α served as the recipient strain. After a short incubation in ice, a mixture of chemically competent bacteria and plasmids, extracted from donor, was placed at 42°C for 45 s (heat shock) and then placed back in ice. SOC medium was added and the transformed cells were incubated at 37°C for 30 mins with shaking. Transformed bacteria were then plated and incubated at 37°C for 20 hrs.²⁰ Transformants were selected on lysogeny broth agar plates containing 50 mg L⁻¹ ampicillin. S1 nuclease-PFGE was then performed to determine the location of $bla_{\rm KPC-2}$ gene, as described previously.²¹

Incompatibility Group Analysis Of *bla*_{KPC-2}-Carrying Plasmids

Next-generation sequencing, using the Illumina Miseq system, was performed to sequence the 14 bla_{KPC-2} -carrying plasmids.²² Sequencing reads were assembled de novo into contigs using SPAdes,²³ and manually inspected thereafter using Geneious 9. Gene predictions were conducted using the most popular ab-initio methods, Glimmer (v3.02) and Prodigal (v2.6.2). The consensus genes sets (with the same start or stop positions in parent scaffolds), predicted by both tools, were chosen as candidate gene models. The potential plasmids were identified by PlasmidFinder, and plasmid typing was performed using pMSI software.²⁴

Genetic Environment Of The *bla*_{KPC-2} Gene

A PCR mapping approach was adopted to compare the genetic context of bla_{KPC-2} gene in the 14 CRKA isolates with that of carbapenem-resistant *K. pneumoniae* strains reported in our hospital previously, using the same primers.²⁵ The obtained amplification products were sequenced and compared with those available in the National Center for Biotechnology Information GenBank database using BLAST searches (<u>http://www.ncbi.nlm.nih.gov/BLAST</u>).

Outbreak Characteristics

Outbreak data were collected via chart review for each patient from the hospital's uniform electronic database. The following parameters were assessed: 1) general demographics, such as age, sex, and other background information; 2) the ward to which the patient was assigned after

Table I In-Vitro Activities Of Antimicrobial Agents Against CRKA Isolates

	Range	MIC50	MIC90	R%	S %	1%
Imipenem	0.125–16	16	>16	100	0	0
Ertapenem	0.125-32	16	32	100	0	0
Meropenem	0.125–16	16	>16	100	0	0
Cefepime	0.25–32	>32	>32	100	0	0
Cefotaxime	0.5–32	>32	>32	100	0	0
Ceftazidime	I-32	>32	>32	92.9	0	7.1
Piperacillin/tazobactam	8/4-256/4	>256	>256	100	0	0
Cefuroxime	4–64	>64	>64	100	0	0
Cefoxitin	4–128	>128	>128	100	0	0
Amikacin	8-128	>128	>128	78.6	21.4	0
Aztreonam	2–64	>64	>64	100	0	0
Ciprofloxacin	0.25–8	2	>8	85.7	0	14.
Levofloxacin	0.5–16	2	>16	78.6	21.4	0
Gentamicin	2–32	>32	>32	85.8	14.2	0
Fosfomycin	8–512	>512	>512	100	0	0
Colistin	I–I6	≤1	2	7.1	92.9	1
Tigecycline	0.25–8	≤0.25	0.5	0	100	1

Note: MIC presented as $\mu g/mL$.

Abbreviations: CRKA, carbapenem-resistant Klebsiella aerogenes; R, resistance; S, susceptibility; I, Intermediate; MIC, minimum inhibitory concentration.

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admission; and 3) previous use of antibiotics, particularly carbapenems.

Results

Prevalence, Antibiotic Susceptibility, And Resistance Mechanism Of CRKA Isolates

A total of 14 isolates were screened out as CRKA based on the disc diffusion results and confirmed by broth microdilution method. This accounts for a rate of 23.0% of the 61 non-duplicated clinical K. aerogenes strains isolated during 2013.

MICs of the 14 isolates to the 17 antimicrobial agents tested are listed in Table 1. All the isolates were non-susceptible to ertapenem, imipenem, meropenem, cefotaxime, ceftazidime, cefoxitin, cefepime, piperacillin/tazobactam, cefuroxime, cefoxitin, aztreonam, and fosfomycin. Treatment with the efflux pump inhibitor PABN did not decrease the MICs of carbapenems in any of the CRKA isolates tested (Table 2).

Moreover, SDS-PAGE analysis revealed the loss of Omp36 porin from isolate A52, whereas no such loss was found in the other 13 CRKA isolates (Figure 1); omp36 in isolate A52 failed to be amplified after several trials with different primers. No mutation in omp35 or omp36 was found in the other isolates.

β-Lactamase Characterization And Genetic Diversity

The PFGE results indicated that the 14 CRKA isolates belonged to five distinct PFGE groups (types A-E), with type A being the most dominant, including 71.4% (10/14) of the K. aerogenes isolates (Figure 2).

All 14 isolates carried the bla_{KPC-2} gene. Six isolates (42.9%) carried the $bla_{\text{CTX-M-15}}$ gene, two isolates (14.3%) carried the $bla_{CTX-M-14}$ gene, and three isolates (21.4%) carried the bla_{SHV-1} gene. No PCR product was obtained for any of the other genes investigated (Table 2). The 14 *bla*_{KPC-2}-carrying plasmids, obtained in the present study, belonged to various incompatibility groups, with IncFII and IncN being the most dominant.

Carbapenem resistance was successfully transferred from the 14 CRKA strains to E. coli DH5a by transformation. S1 nuclease-PFGE indicated that the size of the blaKPC-2-carrying plasmid ranged from approximately 20 kb to 200 kb (Figure S1). Notably, the 14 transformants simultaneously harbored the bla_{KPC-2} and bla_{CTX-M} genes while bla_{SHV} was not detected in any of the transformants (Table 2).

Size (bp) Plasmid ≈I 50 ≈50 ~85 ₹ 5 5 ≈95 ~95 ≈95 «75 Type RFLP \geq _ _ = = Type PFGE CTX-M-15 CTX-M-15 CTX-M-15 CTX-M-14 CTX-M-15 CTX-M-15 CTX-M-14 **B-lactamases In** Transformants CTX-M-I KPC-2, KPC-2, KPC-2, KPC-2, KPC-2, KPC-2, KPC-2, KPC-2, KPC-2 KPC-2 KPC-2 KPC-2 KPC-2 Table 2 Carbapenem MICs, β-Lactamase Detection, And *bla_{KPC-2}-C*arrying Plasmid Analysis In The Isolates Studied SHV-I, CTX-M-I4 <PC-2, SHV-1, CTX-M-15</pre> β-lactamases In Clinical Isolates CTX-M-15 CTX-M-15 CTX-M-I5 CTX-M-15 CTX-M-15 CTX-M-14 SHV-I KPC-2, KPC-2, KPC-2, KPC-2, KPC-2, KPC-2, KPC-2, KPC-2, KPC-2 KPC-2 KPC-2 KPC-2 ≤0.25 ≤0.25 ≤0.25 ≤0.25 ≤0.25 ≤0.25 ≤0.25 ≤0.25 ≤0.25 ≤0.25 ≤0.25 Ч ~ IV AMK ≤8 >128 >128 ≤8 >128 >128 >128 >128 >I 28 80 VI Ž 8 4 4 2 2 2 1 2 2 2 5 0.5 4 4 ≤0.5 CAZ ΙΡΜ+ΡΑβΝ Μd MEM 16 16 88 8 8 8 8 8 8 8 8 >16 9 ЕТР Isolates A25 A27 A31 A31 A32 A33 A33 A33 A33 A40 A41 A41 A41 A47 A47 A47 A47 A47

≈105

KPC-2

KPC-2

0.5

CT, colistin; TGC, tigecycline AMK, amikacin; levofloxacin; imipenem; CAZ, ceftazidime; LVX, meropenem; IPM, ertapenem; MEM, Abbreviations: ETP,

A6I

A25 A27 A31 A32 A33 A35 A39 M A40 A41 A42 A44 A47 A52 A61 M

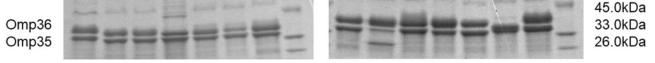


Figure I Outer-membrane protein profiles of 14 clinical *K. aerogenes* isolates on a 12% sodium dodecyl sulfate-polyacrylamide gel. Abbreviations: *K. aerogenes, Klebsiella aerogenes*; M, marker.

Genetic Environment Of The bla_{KPC-2} Gene

The $bla_{\rm KPC-2}$ -bearing genetic structure of the 12 KPC-2producing CRKA isolates was determined to be Tn1721 $bla_{\rm KPC-2}$ - Δ Tn3-IS26 with (6 isolates) or without (6 isolates) a truncated IS26 element fused at the left-most end of Tn1721. The other two strains only showed a partial version of this structure, which indicated variation in the Tn1721 region (Figure 3).

Outbreak Characteristics

The epidemiological data of three patients (A25, A31, and A32) were not available since they had been hospitalized in a branch hospital. The remaining 11 patients (eight males and three females, aged 14–67 years) were all hospitalized in the neurosurgery ward of Huashan Hospital, except for one patient (A61) that was hospitalized in the intensive care unit. The patients' clinical characteristics are listed in Table 3. Patient 1, from whom the CRKA isolate A27 was identified (from a

sputum sample), had undergone surgery in February 2013 and developed post-operative pneumonia. Nearly 3 weeks after this, the first CRKA isolate was identified. Patient 2, who was in the same ward, was diagnosed with an invasive infection with CRKA (A33, PFGE type A). After 3 months, five additional patients successively tested positive for CRKA isolates within 12 weeks (PFGE type A). All these patients experienced actual pneumonia caused by CRKA. Overall, 63.6% of the patients (7/11) had undergone surgical procedures and 81.8% (9/11) had received mechanical ventilation.

Discussion

Although CRKA generally represents a smaller proportion of CRE, compared to carbapenem-resistant *K. pneumoniae* and *E. coli*, our findings demonstrated a quite relevant proportion of such strains, thus demanding more attention in this regard. Resistance rates against amikacin and gentamicin were higher than 75%. One isolate was found to be resistant to colistin; there were, indeed, some reports of colistin

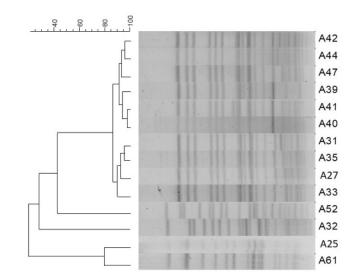


Figure 2 PFGE patterns for CRKA. Isolates with 85% similarity were considered the same type. Abbreviations: PFGE, pulsed-field gel electrophoresis; CRKA, carbapenem-resistant Klebsiella aerogenes.

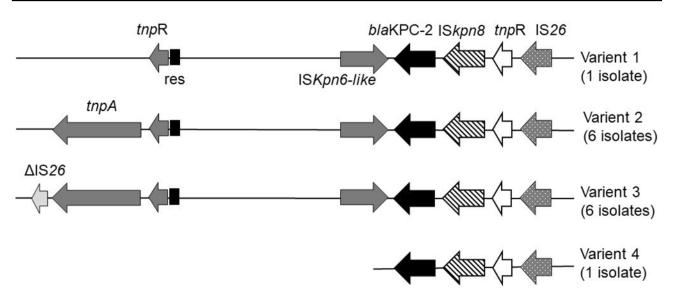


Figure 3 Genetic environment of the bla_{KPC-2} gene from clinical isolates. Genes are depicted as arrows according to the direction of transcription. Variant 1 included isolate A25. Variant 2 included isolates A27, A31, A33, A35, A44, and A47. Variant 3 included isolates A32, A39, A40, A41, A42, and A61. Variant 4 included isolate A52.

resistance in *K. aerogenes* during this time.^{26,27} Since colistin is generally considered a "last-line" antibiotic, this emerging resistance is highly concerning and worth highlighting.

Study of the resistance mechanism indicated that efflux pumps are not involved in the resistance, despite a previous report of their important role in carbapenem resistance.²⁸ Moreover, porin dysfunction is probably not the main mechanism of resistance in these isolates.

A study conducted in Italy demonstrated $bla_{\rm KPC}$ as the major mechanism (97%) of carbapenem resistance in *K*.

Patient	lsolate No.	Sex (Age, Years)	Underlying Condition	Ward	Days Of Hospital Stay Before Infection/total Days	Specimen	Antimicrobial Therapy
I	A27	Female (64)	Craniocerebral trauma	Neurosurgery Department	13/19	Sputum	amk, tzp, lvx
2	A33	Male (39)	Craniocerebral trauma	Neurosurgery Department	23/66	Sputum	TGC, MEM, FOS, CSL
3	A35	Male (67)	Craniocerebral trauma	Neurosurgery Department	12/50.5	Sputum	MEM, VAN, AMK, TGC
4	A39	Male (34)	Craniocerebral trauma	Neurosurgery Department	13/14.5	Sputum	MEM, VAN
5	A40	Male (14)	Cerebellar hemorrhage	Neurosurgery Department	18/33.5	Sputum	MEM, FOS, TGC
6	A41	Female (61)	Subarachnoid hemorrhage	Neurosurgery Department	23/42	Sputum	CFZ, MEM, VAN, SAM
7	A42	Male (50)	Craniocerebral trauma	Neurosurgery Department	5/18.5	Sputum	TZP
8	A44	Male (62)	Craniocerebral trauma	Neurosurgery Department	5/23.5	Sputum	CSL, VAN, AMK
9	A47	Male (62)	Craniocerebral trauma	' Neurosurgery Department	4/24	Sputum	CSL, MEM, VAN
10	A52	Male (55)	Cervical spinal fractures	' Intensive Care Unit	5/44	Sputum	CSL, AMK, VAN, IMP, TZP, FOS
11	A61	Female (44)	Craniocerebral trauma	Intensive Care Unit	7/31	Sputum	MEM, FOS, CSL

 Table 3 Clinical Characteristics Of Patients Infected With CRKA

Abbreviations: AMK, amikacin; TZP, piperacillin/tazobactam; LVX, levofloxacin; TGC, tigecycline; MEM, meropenem; FOS, fosfomycin; CSL, cefoperazone/sulbactam; VAN: vancomycin; CFZ, cefazolin; SAM, ampicillin/sulbactam; IMP, imipenem.

pneumoniae.²⁹ In contrast, in Thailand and Hong Kong, the rate of KPC-producing isolates was reported to be very low among CRE isolates.^{30,31} Therefore, although KPC-producing isolates have clearly spread worldwide, there are still regional differences in their prevalence. KPC was first discovered in the USA in 1996³² and has spread worldwide since. Till date, KPC has been divided into 22 types, with KPC-2 dominating in People's Republic of China.^{33,34}

Transposable element Tn1721 is a proven transposon that has often played an important role in the dissemination of *bla*_{KPC-2} gene in People's Republic of China. On the contrary, Tn4401 is the dominant transposon in Western countries. Most KPC-2 carrying Tn1721 transposons were reported in ST11 K. pneumoniae. Since studies of Tn1721 transposon acting in other species are rare,35 in this study, we investigated Tn1721- bla_{KPC-2}-ΔTn3-IS26 transposon in CRKA that shared the same genetic background with KPC-2-producing K. pneumoniae isolates, collected from the same hospital during the same period, as determined previously,²⁵ thus suggesting a common genetic structure for Enterobacteriaceae. Results indicated that Tn1721 transposons could not only transfer horizontally through K. pneumoniae, but could also transfer across different species, in this case through K. pneumoniae to K. aerogenes. Since IncFII and IncN are two dominant plasmid incompatibility groups detected in 14 isolates, the possibility of contribution of transposon as well as vertical transmission is stressed for the transformation of bla_{KPC-2}

The 11 CRKA strains in the dominant subgroup A that produced KPC-2 were likely to be primarily responsible for the first isolation and subsequent dissemination in the neurosurgery ward. The outbreak characteristic data showed mechanical ventilation, and previous use of broad-spectrum antibiotics could be potential risk factors for the acquisition of CRKA across the hospitalized patients.

Thus, the increased prevalence of carbapenem-resistant isolates in our hospital may have been caused by a failure to control the spread of these resistant strains, pointing at the importance of raising awareness of this situation in People's Republic of China, as well as worldwide.

Conclusion

In this study, we identified KPC-2 production as the major carbapenem-resistance mechanism of CRKA. Moreover, we confirmed that Tn1721 transposon could transfer through the same species or via different ones. KPC-producing isolates can readily cause clonal spread and transposon transformation/vertical transmission across different species in a hospital environment. However, the proportion of transposon transformation and plasmid vertical transmission in KPC-2 dissemination would require further study. Our current study investigated KPC-2 dissemination in K. aerogenes and shed light on transposable element and plasmid transmission for antibiotic resistance.

Author Contributions

All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

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Disclosure

The authors report no conflicts of interest in this work.

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