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### Title

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### Permalink

<https://escholarship.org/uc/item/1hx154vs>

### Journal

Proceedings of the National Academy of Sciences, 114(44)

### ISSN

0027-8424 1091-6490

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### Publication Date

2017-10-31

### DOI

10.1073/pnas.1704652114

Peer reviewed



# Overcoming factors limiting high-solids fermentation of lignocellulosic biomass to ethanol

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Edited by Alexis T. Bell, University of California, Berkeley, CA, and approved September 20, 2017 (received for review March 21, 2017)

**Simultaneous saccharification and fermentation (SSF) of solid biomass can reduce the complexity and improve the economics of lignocellulosic ethanol production by consolidating process steps and reducing end-product inhibition of enzymes compared with separate hydrolysis and fermentation (SHF). However, a long-standing limitation of SSF has been too low ethanol yields at the high-solids loading of biomass needed during fermentation to realize sufficiently high ethanol titers favorable for more economical ethanol recovery. Here, we illustrate how competing factors that limit ethanol yields during high-solids fermentations are overcome by integrating newly developed cosolvent-enhanced lignocellulosic fractionation (CELf) pretreatment with SSF. First, fed-batch glucose fermentations by *Saccharomyces cerevisiae* D<sub>5</sub>A revealed that this strain, which has been favored for SSF, can produce ethanol at titers of up to 86 g·L<sup>-1</sup>. Then, optimizing SSF of CELf-pretreated corn stover achieved unprecedented ethanol titers of 79.2, 81.3, and 85.6 g·L<sup>-1</sup> in batch shake flask, corresponding to ethanol yields of 90.5%, 86.1%, and 80.8% at solids loadings of 20.0 wt %, 21.5 wt %, and 23.0 wt %, respectively. Ethanol yields remained at over 90% despite reducing enzyme loading to only 10 mg protein·g glucan<sup>-1</sup> [~6.5 filter paper units (FPU)], revealing that the enduring factors limiting further ethanol production were reduced cell viability and glucose uptake by D<sub>5</sub>A and not loss of enzyme activity or mixing issues, thereby demonstrating an SSF-based process that was limited by a strain's metabolic capabilities and tolerance to ethanol.**

biomass | pretreatment | fermentation | ethanol | yield

**A**bundant lignocellulosic biomass in the form of agricultural and woody residues or energy crops presents a near-term solution to supporting energy demands that can alleviate global climate change caused by the consumption of fossil resources (1–3). The biological conversion of lignocellulosic biomass to fuel ethanol typically centers around three major interdependent steps (4): (i) pretreatment by physical (5, 6) or thermochemical (7) methods to enhance the accessibility and reactivity of biomass polysaccharides to hydrolytic breakdown (8), (ii) enzymatic hydrolysis of (hemi) cellulose-rich solids produced from pretreatment to fermentable sugars (9, 10), and (iii) microbial fermentation of the sugars to produce ethanol (11). The latter two steps can be consolidated into a single operation known as simultaneous saccharification and fermentation (SSF) to potentially reduce capital and operating costs through integrating processes, reducing end-product inhibition of enzymes, and reducing enzyme demand (12, 13). Separate hydrolysis and fermentation (SHF) is a competing strategy that decouples enzymatic hydrolysis and fermentation steps as separate processes that relies on newer enzyme formulations to produce high-gravity sugar solutions (14). However, high enzyme costs and low yields still challenge overall competitiveness of ethanol production from lignocellulosic biomass, factors that an improved SSF strategy could overcome (13).

As outlined in Fig. 1, there are a number of limiting factors that prevent high-yield ethanol production from biomass using

SSF that depend on processing choices such as pretreatment severity, solids loading, culture conditions, and enzyme loading. The reaction conditions affecting pretreatment severity must be carefully optimized: too low severity reduces pretreatment effectiveness necessitating higher enzyme demand, whereas too high severity results in sugar loss and degradation to unwanted microbial inhibitors such as furfural. For SSF, culture temperatures must be compromised between high temperatures at about 50 °C that are optimal for fungal enzymes and lower temperatures of about 30 °C that are favored by many fermentative microorganisms. Furthermore, SSF must be capable of operating at high solids to achieve sufficiently high ethanol titers, but the high lignin content of biomass reduces the effective glucan loadings that can be mixed effectively and exacerbates mass transfer limitations. Finally, maintaining high enzymatic activity is crucial for preventing carbon starvation, but too high enzyme loadings lead to prohibitively high processing costs (15). To address these limiting factors, development of effective pretreatment methods is needed (8). Even then, saccharification and fermentation of substrates prepared by traditional hydrothermal or dilute acid pretreatments tend to be slow, particularly at reduced enzyme loadings that are more economical. However, if ethanol yield and titer from SSF can be improved to be comparable to those from starch/sugarcane-derived or pure sugar fermentations while minimizing enzyme demands, then significant cost savings can be achieved over current SHF strategies to advance cellulosic ethanol production (16).

## Significance

**Future production of renewable transportation fuels such as ethanol must rely on abundant nonfood plant sources also known as lignocellulosic biomass. However, a major historical barrier to low-cost production of ethanol from biomass is the low ethanol yields and titers that result from fermentation of biomass solids at high solids when compared with simple sugar fermentations. Here, we show that combining a cosolvent-enhanced lignocellulosic fractionation (CELf) pretreatment process with subsequent simultaneous saccharification and fermentation (SSF) can achieve similar high ethanol yields and titers that match that of separate pure glucose fermentations. We demonstrate a strategy whereby direct fermentation of biomass to ethanol is now limited by the microbe rather than by the process.**

Author contributions: T.Y.N., C.M.C., and C.E.W. designed research; T.Y.N. and C.M.C. performed research; T.Y.N., C.M.C., R.K., and C.E.W. analyzed data; and T.Y.N., C.M.C., R.K., and C.E.W. wrote the paper.

The authors declare no conflict of interest.

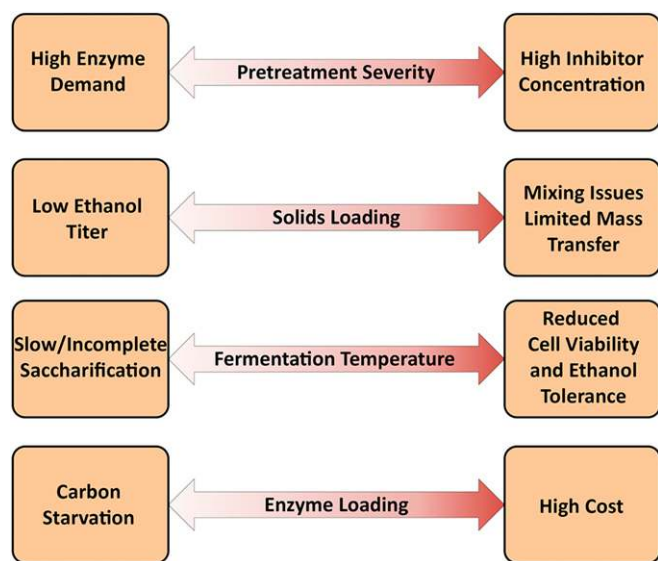
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This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.1704652114/-DCSupplemental](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1704652114/-DCSupplemental).



**Fig. 1.** Competing factors to different process considerations that limit economic ethanol production from pretreated biomass using SSF. Double arrows represent the different process parameters that can be reduced (Left) or increased (Right), leading to different limitations.

When applying SSF to solids prepared by current conventional pretreatment technologies such as dilute acid or steam explosion, ethanol yields suffered as solid loadings were increased past 15 wt %, and ethanol titers were unable to significantly exceed 50 g·L<sup>-1</sup>, a concentration that was determined as the lower threshold to supporting economic recovery of ethanol from water (17–19). For example, Mohagheghi et al. (19) showed that SSF of dilute acid-pretreated wheat straw at 24.2 wt %, 28.2 wt %, and 32.3 wt % solids loadings resulted in ethanol titers of 57, 40, and 34 g·L<sup>-1</sup> and corresponding yields of only 54.5%, 33.2%, and 23.9%, respectively. The reduced ethanol yields from SSF suffered as enzyme loadings were reduced or solids loadings were increased due to cellulolytic enzymes losing activity over extended hydrolysis times, high-solids loadings limiting mass transfer and mixing, and/or inhibition of the enzymes by sugars, oligomers, and/or ethanol (18). Thus, a strategy to sustain high ethanol yields during high-solids SSF is needed.

Recently, we developed a highly effective pretreatment method called cosolvent-enhanced lignocellulosic fractionation (CELf) that augments dilute acid pretreatment with tetrahydrofuran (THF) as a water cosolvent. THF promotes delignification of biomass and hydrolysis of recalcitrant cellulose in water to produce a highly digestible glucan-rich solid. We applied CELf pretreatment on corn stover to recover over 95% of total C5 and C6 sugars after enzymatic hydrolysis at enzyme loadings as low as 2 mg protein·g glucan<sup>-1</sup> (20). Surprisingly, enzyme activity was sustained over long culture times, highlighting the enhanced stability of cellulolytic enzymes when applied to CELf-pretreated material that enables comparable sugar release at 90% lower enzyme doses than from dilute acid or hydrothermal pretreatments. THF is also a low boiling solvent that can be easily removed after pretreatment resulting in two additional benefits: (i) concentration of hemicellulose sugars in the liquid stream, and (ii) precipitation of extracted lignin as a solid powder (20). It was then shown that SSF of CELf-pretreated corn stover could achieve ethanol titers exceeding 50 g·L<sup>-1</sup>, if solids loading could exceed 15.5 wt % while maintaining low enzyme loadings (21).

In our prior studies, maintaining moisture in the solids after corn stover pretreatment was beneficial to improved enzymatic digestibility; however, too high moisture limited effective solids loadings

during SSF and thereby constrained ethanol titers (21). To overcome that limitation, in this study, we pretreated corn stover with CELf and then hydraulically pressed the wet pretreated solids to reduce its moisture content without air/oven drying that would negatively impact its digestibility (22–25). As a result, a denser, more glucan-rich material was produced from corn stover that is suitable for high-solids SSF. In this study, we (i) evaluated the impact of fermentation stresses on ethanol production from *Saccharomyces cerevisiae* D<sub>5</sub>A in glucose fermentations; (ii) elucidated process limitations by mapping cell viability, glucose concentration, and ethanol yields at different solids loadings; (iii) determined the minimum enzyme loadings needed to maximize ethanol yield; and (iv) explored different culture strategies to improve performance at low enzyme loadings.

## Results and Discussion

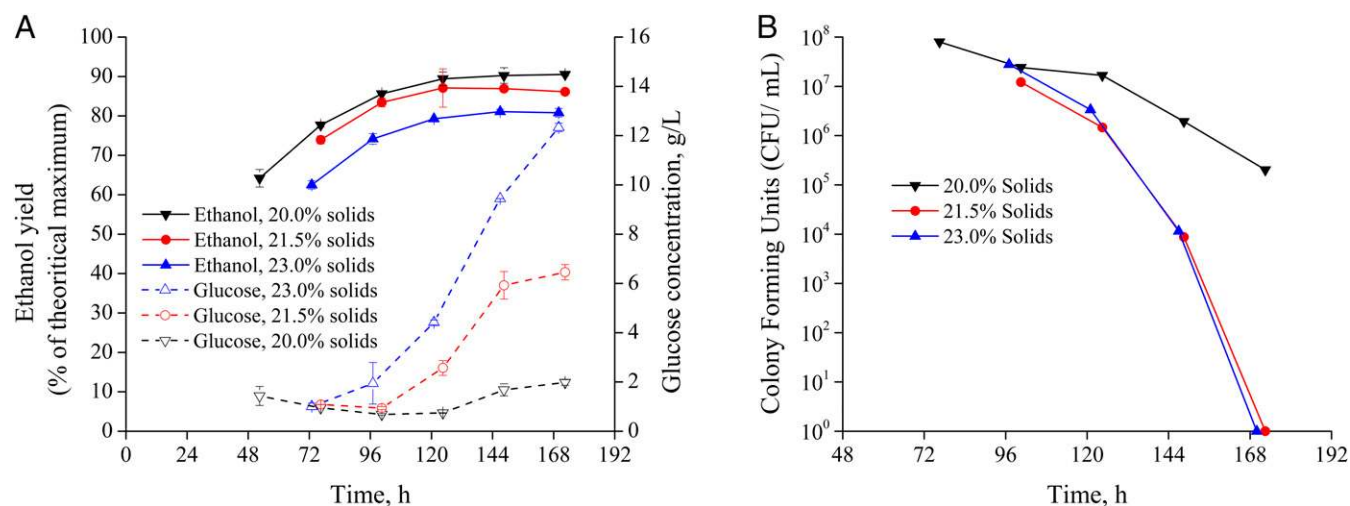
**Factors Limiting Ethanol Titers in Glucose Fermentations.** *S. cerevisiae* D<sub>5</sub>A was selected based on prior reports of its robustness for SSF compared with other native yeast strain (26). The most salient stress factors during SSF of pretreated lignocellulosic biomass are high-temperature culture, carbon starvation from incomplete sugar hydrolysis, and toxicity from high ethanol concentrations (27–30). Other stresses such as inhibitors released from pretreatment can be reduced through washing of the pretreated solids (20). Maintaining strict anaerobic conditions during ethanol production reduces oxidative stresses, and the immediate consumption of glucose released from cellulose in SSF can alleviate some osmotic stresses (31). While high ethanol concentration is a culture stress factor that cannot be avoided in maximizing SSF yields and titers, the effects of individual and additive stresses due to high temperature and carbon starvation on maximum achievable ethanol titers can be characterized. Carbon starvation can occur in SSF due to saccharification rates being slower than glucose consumption. To simulate the effects of carbon starvation in SSF in a simpler system, we first performed fed-batch glucose fermentations using *S. cerevisiae* D<sub>5</sub>A at two temperatures and two glucose feeding strategies to evaluate the impact of culture temperature and carbon availability on ethanol concentrations, as shown in Fig. 2.

Generally, cell growth and ethanol production is optimal at a culture temperature of about 30 °C (32), with the maximum temperature tolerance ranging between 37.5 and 39.8 °C (27). However, as cellulase enzymes are most active at higher temperatures of about 50 °C (33), 37 °C is often employed for SSF as a compromise to promote enzyme activity while also improving yeast viability (27, 33). Thus, with sugar cultures, we can observe temperature effects and ethanol toxicity on glucose consumption. In Fig. 2A, ethanol and glucose concentrations are tracked during glucose fermentations at 30 °C and 37 °C that employ an interval feeding strategy (outlined in *Methods*) allowing glucose to be nearly completely depleted, thus initiating carbon starvation, before feeding of additional glucose. Fig. 2B shows ethanol and glucose concentrations for a 37 °C culture while glucose was fed at shorter intervals to maintain adequate carbon supply throughout the culture.

As shown in Fig. 2A, the highest ethanol concentrations in this fed-batch experiment dropped from 87 g·L<sup>-1</sup> at 30 °C to 73 g·L<sup>-1</sup> at the typical for SSF temperature of 37 °C, demonstrating reduced tolerance to ethanol at higher temperatures during carbon-limited conditions. Carbon starvation was achieved at 24 and 48 h for both temperatures, but by 72 h, the 37 °C culture failed to reach carbon starvation compared with the 30 °C culture. As a result, the final glucose concentrations remaining after 37 °C culture were about 40 g·L<sup>-1</sup> higher, indicating a decline in glucose consumption resulting in glucose buildup as increased ethanol concentrations led to increased cell toxicity. Tracking of specific colony-forming units (colony-forming units per milliliter) over time (Fig. S1) supported this hypothesis in that colony-forming units were consistently severalfold lower for 37 °C fed-batch glucose fermentations compared with corresponding 30 °C





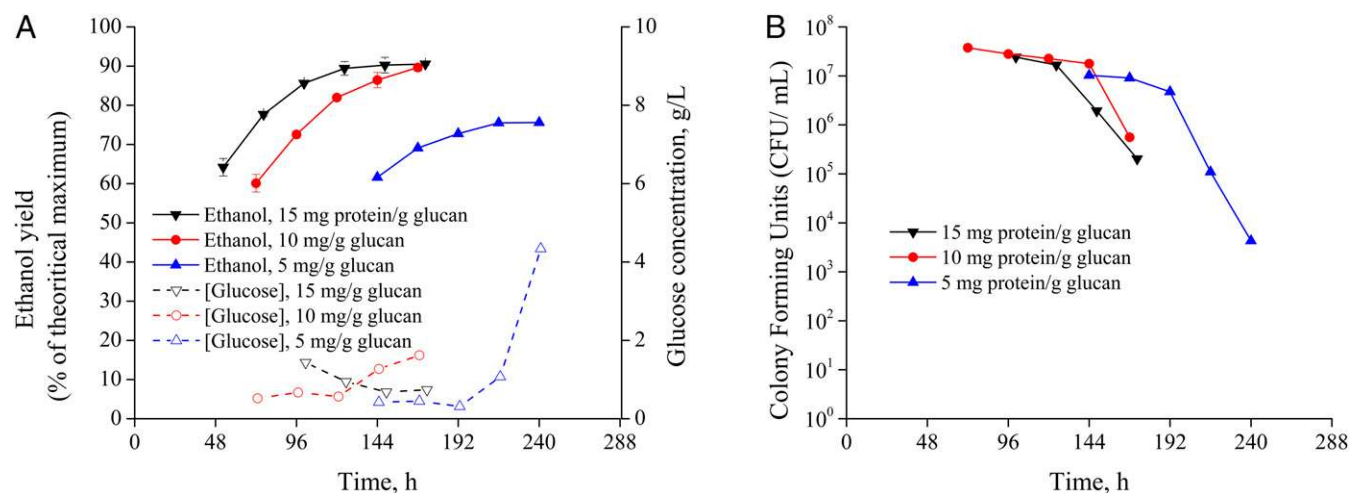


**Fig. 3.** The effects of solids loadings on (A) ethanol yields, glucose concentrations (in grams per liter), and (B) colony-forming units over time in the batch SSF of CELF-pretreated corn stover at a cellulase loading of  $15 \text{ mg protein-g glucan}^{-1}$ . Error bars represent upper and lower range of duplicate experiments.

due to cell death for solids loadings of 21.5 wt % and 23.0 wt %. Conversely, in a study by Zhang et al. (18), incomplete saccharification was evident at higher solids loadings of steam-exploded corn stover, with ethanol yields being 76.5%, 68%, and 64.8% at solids loadings of 15 wt %, 20 wt %, and 25 wt %, respectively, with no glucose accumulation. On the other hand, our results show that ethanol toxicity played a larger part in limiting ethanol production, with a titer of about  $86 \text{ g-L}^{-1}$  proving to be the upper limit. Coupling this outcome with the need to maximize ethanol yields translates into a recommended upper limit of 20 wt % solids loading for the following enzyme dosage studies and for potential commercial applications with this strain.

**Impact of Enzyme Loadings on Ethanol Production During High-Solids SSF.** As production of cellulolytic enzymes represents a significant cost for cellulosic ethanol production, it is vital to drive down enzyme loadings and enzyme formulation costs while still realizing high yields for a commercial operation to be economically feasible. Unfortunately, many studies report low ethanol yields at economically attractive enzyme loadings due to incomplete or slow

saccharification (17, 18, 36). For instance, Zhang et al. (18) showed that lowering the enzyme dosage from 30 to 15 and further to  $7.5 \text{ FPU-g dry matter (DM)}^{-1}$  for SSF of steam-exploded corn stover reduced yields from 82.8 to 75.9%, and then 52.1%, respectively. Similarly, Zhao et al. (36) reported that dropping enzyme loadings from 20 to 15 and then  $10 \text{ FPU-g DM}^{-1}$  for SSF of alkaline-pretreated corn stover reduced yields from 0.35 to 0.325 and then  $0.258 \text{ g ethanol-g substrate}^{-1}$ . To study the impact of reduced enzyme loadings on ethanol yield in our system, SSF was performed on 20 wt % solids loading of CELF-pretreated corn stover at enzyme loadings of 5, 10, and  $15 \text{ mg protein-g glucan}^{-1}$  with ethanol yields, glucose concentration, and cell viability reported in Fig. 4. As shown in Fig. 4A, a maximum 90% ethanol yield of theoretical was achieved at both enzyme loadings of 15 and  $10 \text{ mg protein-g glucan}^{-1}$  with minimal accumulation of glucose. However, at  $5 \text{ mg protein-g glucan}^{-1}$  loadings, ethanol yields dropped to 75.6% with a significant increase in glucose accumulation upon termination and an order of magnitude greater cell die-off. Thus, we find that  $10 \text{ mg protein-g glucan}^{-1}$  at solids loadings of 20 wt % to be the threshold before significant ethanol yield losses would occur. For comparison,



**Fig. 4.** The impact of different cellulase enzyme loadings of 15, 10, and  $5 \text{ mg protein-g glucan}^{-1}$  on (A) ethanol yields, glucose concentrations (in grams per liter), and (B) specific colony-forming units (colony-forming units per milliliter) over time in the SSF of CELF-pretreated corn stover at 20 wt % solids loadings. Error bars represent upper and lower range of duplicate experiments.

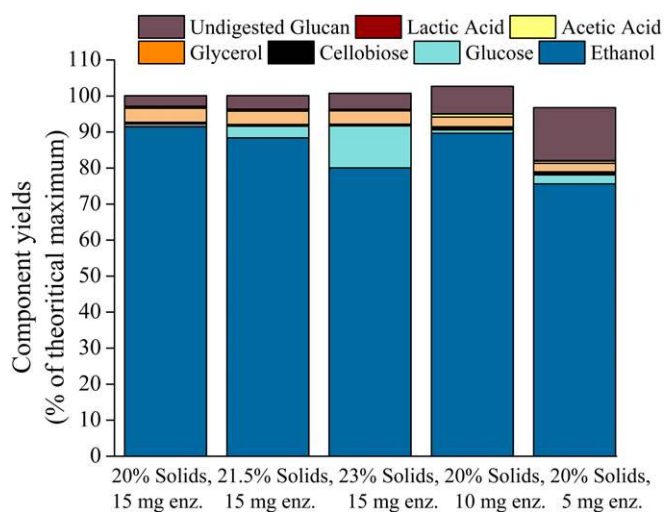
it was shown previously (21) that 15.5 wt % loading of unpressed CELF-pretreated corn stover can achieve over 90% ethanol yield corresponding to a titer of 56.4 g·L<sup>-1</sup> at 5 mg protein·g glucan<sup>-1</sup> enzyme loading. The need for higher enzyme loadings to achieve similar yields at 20 wt % pressed solids than at 15.5 wt % filtered solids are likely caused by a combination of ethanol toxicity and carbon starvation, as evidenced by the decline in yeast cell viability in Fig. 4B. As glucose concentrations shot up after ethanol yields plateaued and cell viability dropped, it is apparent that, although the enzymes were still active, the rate of saccharification may have been too slow to maintain cell viability.

Several strategies to further improve ethanol yields and cell viability were investigated at the enzyme loading of 5 mg protein·g glucan<sup>-1</sup>, including doubling the initial inoculum size from OD of 0.5–1.0, applying a fed-batch feeding strategy to increase initial effective enzyme loadings, and performing an 18-h prehydrolysis step at 50 °C to increase initial sugar availability. While prehydrolysis and fed-batch SSF strategies were intended to increase initial sugar concentrations to prevent a carbon starvation event, doubling the inoculum size was an attempt to enhance initial yeast cell viability. Unfortunately, none of these process strategies resulted in dramatic yield improvements or improved long-term cell viability, as shown in Fig. S2. In summary, while minimizing enzyme loading is an important goal to reducing processing costs, the lower limit to enzyme loadings is governed by late-stage cell viability and glucose consumption. Consequently, improving strain tolerance to fermentation stresses is needed to further improve ethanol yields.

**Total Mass Balance to Confirm Component Yields.** Ethanol yields can be misrepresented by up to 36% when solids loadings are increased to 10–40 wt % if they are calculated using initial liquid volume and final ethanol concentrations in solution (37). Consequently, to minimize this error, ethanol yields reported in this study account for changing liquid volumes, solute concentrations, and liquid density as the fermentations progressed. To confirm this, the fate of the total glucan mass added to each flask was compared with yields of the following glucan-derived fermentation products and by-products: ethanol, glycerol, acetic acid, lactic acid, and undigested glucan in the solid residues after SSF. Fig. 5 shows a summative mass of all components after culture to demonstrate mass closure well within the error range of ±5% and the sum of the component yields based on Eq. S1 equate to ~100%. As expected, the major fermentation by-product was glycerol (2.4–3.9%), with minimal losses to acetic acid (0.4–0.8%) and lactic acid (0.1–0.2%). It is important to note that losses to by-products were less than in previous studies at lower solids loadings of higher moisture content pretreated corn stover solids, in which the maximum glycerol, acetic acid, and lactic acid yields were 6%, 2.3%, and 1%, respectively (21). However, previous trends of increasing glycerol yields with increasing enzyme loadings were also found in this study, with values of 2.4%, 2.7%, and 3.9% at 5, 10, and 15 mg protein·g glucan<sup>-1</sup>, respectively. Also as shown, increasing glucose component mass after culture termination at higher solids loadings is consistent with yeast die-off from ethanol toxicity as enzymes still remain active at 15 mg protein·g glucan<sup>-1</sup> enzyme loading in the presence of ethanol.

## Conclusions

Here, we demonstrated how CELF pretreatment can overcome limitations to high ethanol yields and titers from biomass. First, using *S. cerevisiae* D<sub>5</sub>A, we simulated carbon-limited conditions in glucose fermentations and showed that cell viability at high ethanol concentrations was largely influenced by carbon availability, especially for fermentations at 37 °C culture. We then demonstrated that the highest ethanol titer that can be achieved from this strain was 86 g·L<sup>-1</sup>, representing the upper bound to its



**Fig. 5.** Summative yields of all measured components ethanol, glucose, cellobiose, glucose derived by-products, and undigested glucan from SSF of CELF-pretreated corn stover solids over a range of solids in wt % and enzyme loadings in milligrams protein·grams glucan<sup>-1</sup>.

ethanol tolerance. Using hydraulically pressed CELF-pretreated corn stover in an SSF configuration, we then achieved ethanol titers of 85.6 g·L<sup>-1</sup> at 23 wt % solids loading for an enzyme loading of only 15 mg protein·g glucan<sup>-1</sup>, matching the highest ethanol titer from glucose fermentation. Additionally, nearly complete saccharification was achieved, as demonstrated by mass closure. Our findings attribute cell viability as the primary factor limiting high ethanol yields in this process, highlighting the need for strain improvement to address fermentation stresses such as ethanol toxicity as process limitations are overcome. At a reduced enzyme loading of 10 mg protein·g glucan<sup>-1</sup>, we were able to maintain an ethanol yield of 90% at 20 wt % solids corresponding to 80 g·L<sup>-1</sup> ethanol titer. Further increasing solids loadings or reducing enzyme loadings reduced ethanol yields due to ethanol toxicity and carbon starvation, respectively, that different culture strategies could not overcome. Thus, CELF pretreatment represents a promising approach to improving cellulosic ethanol production by overcoming process limitations, thereby demonstrating an SSF process that was limited by a strain's metabolic capabilities and tolerance to ethanol.

## Materials and Methods

Below is a concise summary of the experimental methods. Detailed descriptions of the materials preparation, pretreatment procedure, culture conditions, sampling procedure, and calculations are found in *SI Materials and Methods*.

Corn stover was provided by the National Renewable Energy Laboratory (NREL) with composition reported previously (21). DuPont Industrial Biosciences generously provided the cellulolytic enzyme mixture Accellerase 1500 used in this study. The BCA protein concentration and enzyme activity was previously reported as 82 mg·mL<sup>-1</sup> and 50 filter paper units (FPU)·mL<sup>-1</sup>, respectively (38). *S. cerevisiae* D<sub>5</sub>A strain used here was kindly supplied by NREL from which a frozen culture stock was prepared as previously described (20). CELF pretreatment reaction conditions used in this study were previously optimized (20) and conducted at 150 °C for 25 min using 7.5 wt % solids loading, 0.5 wt % (aq.) sulfuric acid loading, and 1:1 (vol) THF:water cosolvent mixture. Reactions were performed in a 1-L Hastelloy Parr autoclave reactor and heated by fluidized sand bath. The pretreated solids were vacuum filtrated, water washed, and then pressed to a moisture content of ~70 wt % with a hydraulic press (Westward; model 3ZC62G) to final composition of 69.7 ± 1.0% glucan, 4.7 ± 0.2% xylan, and 11.9 ± 0.3% Klason-lignin, and 13.7% remaining components, as measured by NREL protocol (39). Reducing moisture of pretreated solids by air drying must be avoided as it negatively impacts saccharification performance between 2 and 30 mg enzyme·g glucan<sup>-1</sup> as shown in Fig. S3. Glucose and SSF cultures were

performed in duplicate in 125-mL flasks and prepared based on modified NREL protocol (40). Carbon starvation studies were performed in fed-batch configuration with 50 g·L<sup>-1</sup> initial glucose concentration. To induce carbon starvation, 500 g·L<sup>-1</sup> glucose solution was fed in 5-mL aliquots at 24-, 48-, and 72-h time points that were predetermined to allow glucose levels to nearly completely deplete before each subsequent feeding. In the studies that prevent carbon starvation, additional glucose feeding was performed at 12, 36, and 84 h to maintain glucose levels sufficiently above 20 g·L<sup>-1</sup>. All flask cultures were performed in a shaker-incubator set at 130 rpm. **Table S1** outlines three substrate feeding strategies applied for fed-batch SSF: (i) all at once, (ii) in two equal amounts, or (iii) in three equal amounts to vary initial glucan and enzyme loadings. Colony-forming units were determined with agar plates that were incubated at 37 °C for 48 h. Calculation of product yields are as outlined in previous study (21), and the density of the culture liquid at a specific weight percent of ethanol was

determined from published data (41). At the end of each high-solids SSF run, the dry mass and the composition of the remaining solids in each flask were measured to close mass balances and confirm ethanol yields.

**ACKNOWLEDGMENTS.** We acknowledge the Center for Environmental Research and Technology (CE-CERT) of the Bourns College of Engineering for providing the facilities and the Ford Motor Company for funding the Chair in Environmental Engineering that facilitates projects such as this one. We also acknowledge support from the Office of Biological and Environmental Research in the Department of Energy Office of Science through the BioEnergy Science Center (BESC) at Oak Ridge National Laboratory (Contract DE-P502-06ER64304). The award of a fellowship to the lead author by the National Science Foundation (Grant 2013142496) made her participation in this project possible.

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