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## Review

# Oxidative stress and autophagy: the clash between damage and metabolic needs

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Autophagy is a catabolic process aimed at recycling cellular components and damaged organelles in response to diverse conditions of stress, such as nutrient deprivation, viral infection and genotoxic stress. A growing amount of evidence in recent years argues for oxidative stress acting as the converging point of these stimuli, with reactive oxygen species (ROS) and reactive nitrogen species (RNS) being among the main intracellular signal transducers sustaining autophagy. This review aims at providing novel insight into the regulatory pathways of autophagy in response to glucose and amino acid deprivation, as well as their tight interconnection with metabolic networks and redox homeostasis. The role of oxidative and nitrosative stress in autophagy is also discussed in the light of its being harmful for both cellular biomolecules and signal mediator through reversible posttranslational modifications of thiol-containing proteins. The redox-independent relationship between autophagy and antioxidant response, occurring through the p62/Keap1/Nrf2 pathway, is also addressed in order to provide a wide perspective upon the interconnection between autophagy and oxidative stress. Herein, we also attempt to afford an overview of the complex crosstalk between autophagy and DNA damage response (DDR), focusing on the main pathways activated upon ROS and RNS overproduction. Along these lines, the direct and indirect role of autophagy in DDR is dissected in depth.

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#### **Facts**

- Reactive oxygen species (ROS) production and thiol redox state imbalance are induced immediately upon nutrient deprivation and represent important mediators of autophagy.
- ROS and reactive nitrogen species (RNS) irreversibly oxidize DNA and cellular biomolecules, thereby representing the primary source of damage in biological systems.
- Autophagy contributes to clearing the cells of all irreversibly oxidized biomolecules (proteins, DNA and lipids), this is all the more reason why it could be included in the antioxidant and DNA damage repair systems.

#### **Open Questions**

- How do ROS and oxidative stress affect autophagy?
- Which are the main ROS able to signal autophagy being activated and going on?
- Does nitric oxide act as a real inhibitor of autophagy?
- How does autophagy sense DNA damage?
- How can autophagy contribute to DNA damage repair?

In the 1950s, Christian de Duve, 1,2 contextually with the discovery of glucagon, clarified the intracellular localization of several enzymes by setting up centrifugation-based tissue fractionation of rat liver homogenates. 3 During his work, he

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Abbreviations: 4E-BP1, EIF4E-binding protein 1; 53BP1, p53 binding protein 1; 8-OHdG, 8-hydroxydeoxyguanosine; 8-OHG, 8-hydroxyguanine; Ambra1, activating molecule in Beclin1-regulated autophagy; AMPK, AMP-dependent protein kinase; ARE, antioxidant-responsive element; Atg4, autophagy related gene 4; Atg5, autophagy related gene 5; Atg7, autophagy related gene 7; Atg13, autophagy related gene 13; ATM, Ataxia telangiectasia mutated; Bnip3, Bcl-2/adenovirus E1B 19-kDa-interacting protein 3; Cvt, cytoplasm-to-vacuole targeting; DDR, DNA damage response; DMPK, myotonic dystrophy protein kinase; DSB, double-strand break; eEF, eukariotic elongation factor; eIF, eukaryotic initiation factor; Esp1, extra spindle pole bodies homologue 1; FIP200, FAK-family interacting protein of 200 kDa; GABARAP, GABA receptor-associated protein; GSH-Px or GPx, glutathione peroxidise; Grx, glutaredoxin; GSH, reduced glutathione; GSNOR, S-nitrosoglutathione reductase; GSSG, disulphide glutathione; HKII, hexokinase II; IKKβ, IkB kinase β; JNK1, c-Jun-N-terminal kinase 1; Keap1, Kelch-like ECH- associated protein 1; LC3, light chain 3; LKB1, liver kinase B1; MRP1, multidrug resistance protein 1; mtDNA, mitochondrial DNA; mTORC1, mammalian target of rapamycin complex 1; NO, nitric oxide; NOX, NADPH oxidase; Nrf2, nuclear factor erythroid 2-related factor 2; OGG1, 8-oxoguanine glycosylase; p70S6K, p70 ribosomal protein S6 kinase; PAR, polyADP-ribose; PARP1, polyADP-ribose polymerase 1; PHD, prolyl hydroxylase; PISK, phosphoinositide 3-kinase; PINK1, PTEN-induced putative kinase 1; PMN, piecemeal microautophagy; PSd1, pleckstrin and Sec7 domain containing 1; PTEN, phosphatase and tensin homologue; PTP, permeability transition pore; RAG, RAS-related GTP-binding protein; RHEB, RAS homologue enriched in brain; RNS, reactive nitrogen species; ROS, reactive oxygen species; Sae2, sporulation in the absence of SPO11 protein 2; SOD, superoxide dismutase; SQSTM1, sequestosome 1; SSB, single-strand break; TCA, tricarboxylic acid; Trx, thioredoxin; TSC2

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discovered and coined the names of many organelles, whose purification, characterization and distribution contributed to earning him the Nobel Prize for Physiology and Medicine in 1974. In his studies on carbohydrate metabolism and insulin action, he described for the first time the Ivsosomes as the intracellular granules containing the enzymes glucose-6phosphatase and acid phosphatase, in addition to a set of hydrolases that were deputed to digest, recycle and remove intracellular material,4 such as worn-out or damaged organelles, and engulfed pathogens, by means of a process that he named autophagy.

More than 10 years later, in 1966, he also defined the structure and composition of microbodies.5 the cellular districts in which hydrogen peroxide is endogenously produced to a high extent as a side effect of the reactions catalyzed by many oxidases involved in amino acid, purines and fatty acid metabolism, and for this reason named peroxisomes. Although the toxicity of hydrogen peroxide had been reported many years before, 6 only in the late 1950s its real implications in biology were coming up. Progress in the field of metallobiology and the fine characterization of metalloenzyme-mediated catalysis provided compelling evidence for an endogenous and physiological production of partially reduced oxygen species (nowadays usually referred to as reactive oxygen species (ROS)), such as superoxide anion  $(O_{\overline{2}})$ , hydrogen peroxide  $(H_2O_2)$  and hydroxyl radical ('OH). Their being highly reactive towards lipids, proteins and DNA, 7-9 and severely harmful for cell survival when present at very high concentrations, both led to the concept of oxidative stress as detrimental condition occurring in all living systems and arising from the imbalance between oxidants species and antioxidant defence. It is not a coincidence that in the same years, Denham Harman<sup>10</sup> postulated the 'free radical theory of ageing' in which he stated that free radicals were the primary cause of massive damage to DNA and all cellular macromolecules, culminating in cancer and in a diffuse cell dysfunction distinctive of ageing.

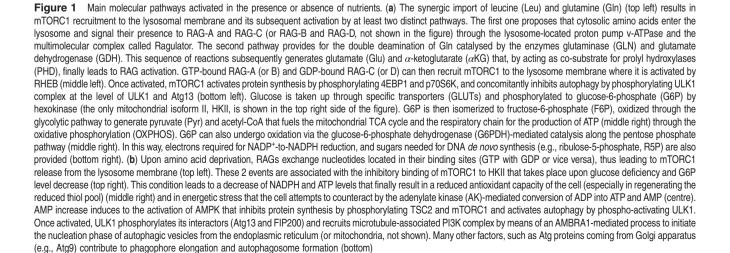
When de Duve characterized the peroxisomes and found out that they were the organelles in which the antioxidant enzyme catalase resides, he probably did not realize that all

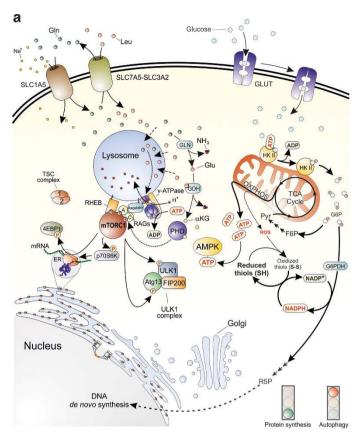
his findings could be basically interconnected by a finely organized signalling system, where primary/primitive stimuli (e.g., nutrient availability and oxidative insults) differently impinge on the maintenance of biomolecule integrity and cell viability through the intermediate activity of homeostatic processes (mainly based on repair and degradation), the most complex and versatile of which was the very same autophagy he discovered 10 years before.

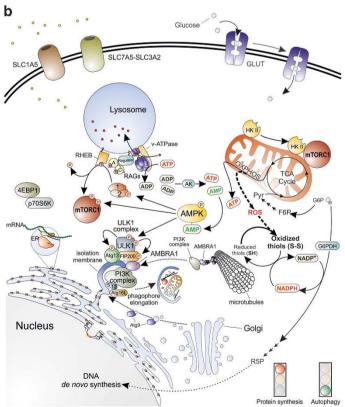
# **Autophagy: Converging Point of Different Stimuli**

There are three main types of autophagy culminating to lysosome-mediated degradation: (1) macroautophagy (hereafter referred to as autophagy) that involves the formation of a double-membrane vesicle (autophagosome) deputed to sequester damaged organelles and biomolecules: (2) microautophagy, by which the cytosolic material is directly engulfed by the lysosome; and (3) chaperone-mediated autophagy. It is now well established that autophagy is a very sensitive process underlying cell response induced by almost every stressful condition affecting cellular homeostasis. 11 Through autophagy, cells coordinate energy and building blocks demanded for vital processes (e.g., growth and proliferation) with the extracellular stimuli and carbon source availability, such as amino acids and glucose. If they are not sufficient to maintain the rate of protein synthesis, or to provide the required amount of ATP needed to sustain metabolic reactions, then cells activate autophagy in order to rapidly degrade the old or burned-out components and reuse the generated pool of biomolecules.

Both glucose and amino acids signals converge on a unique molecular transducer of cellular needs, the mammalian target of rapamycin complex 1 (mTORC1) (Figure 1).12 Active mTORC1 controls the activity of translation eukaryotic initiation factors (eIFs) and eukariotic elongation factors (eEFs), namely eIF2, -3 and -4 and eEF2, by direct phosphorylation of two key protein targets, EIF4E-binding protein 1 (4E-BP1) and protein S6 kinase (p70S6K). 13 Both are required for a correct and efficient protein synthesis, as they regulate the interactions between the mRNA 5' cap, the









poly(A)-tail and the 40S and 60S ribosomal subunits (Figure 1). <sup>14</sup> Concomitantly, active mTORC1 prevents autophagy by phospho-inhibiting the UNC51-like kinase 1 (ULK1) at Ser<sup>757</sup> and its interacting partner, the autophagy related gene 13 (Atg13) that, together with the FAK-family interacting protein of 200 kDa (FIP200), form the so-called ULK1 complex (Figure 1). <sup>12,15</sup> Upon autophagic stimuli, mTORC1 is inhibited, thus leading to the activation of ULK1. Once activated, ULK1 is able to phosphorylate Atg13 and FIP200 inducing to the following activation of the class III phosphoinositide 3-kinase (PI3K) complex via the activating molecule in Beclin1-regulated autophagy 1 (Ambra1). <sup>15,16</sup> The formation of PI3K complex is required to initiate phagophore nucleation that represents the first step leading to autophagosome formation (Figure 1). <sup>12,15,16</sup>

Amino acid signal. Among the amino acids that are able to signal their presence to the cell, and then let autophagy be induced in case of any deficiency, leucine and glutamine play the most important roles because of their essentiality and their tight interdependence in the mechanism regulating their uptake. 17,18 Leucine is an essential amino acid indispensible for cell survival as it is nonsynthesizable de novo through alternative pathways, such as by transformation of other amino acids or by transamination of carboxylates coming up from glycolysis and the tricarboxylic acid (TCA) cycle. Glutamine, instead, though nonessential, represents the most abundant amino acid in the human body and one of the main substrates of anaplerotic reactions fuelling the TCA cycle. It has been estimated that hypercatabolism or other stressful conditions (e.g., infection and severe injuries) are accompanied by a significant decrease of glutamine in skeletal muscle cells,19 thus arguing for it being a reliable marker of both amino acid and energetic status.

At the molecular level, the presence of an adequate amount of amino acids induces members of the RAS-related GTPbinding protein (RAG) family of small GTPases (i.e., RAG A-D) to bind guanine nucleotides (GTP or GDP in dependence of the member), leading to their activation and, subsequently, to the recruitment of mTORC1 on the lysosome membrane (Figure 1).<sup>20,21</sup> Here, mTORC1 can be targeted by RAS homologue enriched in brain (RHEB) that, upon binding to GTP, acts as positive regulator of mTORC1.<sup>22</sup> In agreement with this model, RAGs are the primary sensors that, by means of at least two distinct mechanisms, signal amino acid availability to mTORC1 and modulate its activation state. 23 One mechanism suggests that RAGs sense the amino acid pool (mainly leucine) present within the lumen of the lysosome by the vacuolar H+-ATPase (v-ATPase) and a molecular complex called Ragulator (Figure 1).24,25 This mechanism could underlie the negative feedback acting on autophagy (because of mTOR reactivation) once amino acid levels have been successfully restored. Alternatively, it has been proposed that RAGs are activated by glutamine, specifically by  $\alpha$ -ketoglutarate generated upon double deamination occurring in the glutaminolytic pathway.26 Although mTOR activation through this mechanism needs to be still clarified, it seems to require prolyl hydroxylase (PHD) activity that, in fact, is positively regulated by  $\alpha$ -ketoglutarate (Figure 1). As above reported, this mechanism has been shown to be responsive to

leucine also, as it binds to and activates glutamate dehydrogenase, the enzyme catalysing the last deamination step leading to  $\alpha$ -ketoglutarate production. <sup>27</sup> In line with these pieces of evidence, compartmentalization of mTORC1 at the level of the lysosomes could provide some explanations regarding mTOR negative regulation on autophagy. <sup>13</sup>

**Glucose signal.** Glucose is the primary carbon source that, upon sequential oxidation steps taking place during glycolysis and TCA cycle, provides the electrons (energy) coming from the breakdown of its chemical bonds, required for ATP production. The maintenance of endergonic processes strictly depends on the maintenance of ATP levels, and for this reason, cells (1) actively synthesize ATP and (2) have evolved sophisticated mechanisms to face up energetic stress.<sup>28</sup>

AMP-dependent protein kinase (AMPK) is the genuine sensor of the energetic state of the cell, and directly responds to the so-called *adenylate energy charge* as the enzyme is activated by very low increases of AMP levels (and, to certain extent, of ADP), and deactivated by ATP.<sup>28–30</sup> In order to restore the correct adenylate energy charge, phospho-active AMPK concertedly stimulates catabolic pathways (e.g., glycolysis and fatty acid oxidation), inhibits the rate of anabolic reactions (protein and fatty acid synthesis)<sup>28</sup> and activates autophagy.

At the molecular level, active AMPK stimulates autophagy by means at least three distinct mechanisms. These include (1) phosphorylation of the mTORC1 inhibitor, tuberous sclerosis 2 (TSC2) at Ser<sup>1387</sup>,<sup>31</sup> which induces RHEB GTPase activity; (2) phosphorylation of the mTORC1 component Raptor at Ser<sup>722</sup> and Ser<sup>792</sup>,<sup>32</sup> which is preparatory for its binding to 14–3–3; and (3) phosphorylation of ULK1 at Ser<sup>317</sup> and Ser<sup>777</sup> (Figure 1).<sup>33</sup> From a mechanistic point of view, the first two phosphorylations catalysed by AMPK inhibit mTORC1 and reduce its inhibitory effects on ULK1. ULK1 is then free to interact with and to be phosphoactivated by AMPK (Figure 1).

Fascinatingly, it has very recently been reported that glucose sensing by the cells does not only depend on AMPK. as indirect transducer of the intracellular energy state, but also relies upon more direct mechanisms, thereby making the system redundant and controlled at multiple levels. Roberts et al.34 demonstrated that hexokinase II (HKII), the mitochondria-located enzyme responsible for the first step of alveolvsis, binds to and inhibits mTORC1, and that this interaction is enhanced by glucose deprivation, namely by a decrease in glucose-6-phosphate levels (Figure 1). As proposed by the authors, this new mechanism could contribute to the modulation of cell metabolism in circumstances of glucose deficiency,34 but could also have deep implications in redox homeostasis. Indeed, besides many indications arguing for a direct role of HKII in preventing ROS generation from mitochondria, 35–37 it should be also taken into account that glucose-6-phosphate, via the pentose phosphate pathway, is also the primary source of electrons for NADP+to-NADPH reduction (Figure 1). NADPH directly participates in bioreductive synthesis and provides the electrons required for thiol redox homeostasis (Figure 1). In particular, NADPH acts as a co-substrate of glutathione reductase - the enzyme



responsible for the reduction of the disulphide (GSSG) to the sulphhydryl (GSH) form of glutathione - as well as of many other reductases deeply implicated in sulphhydryl regeneration and, in turn, in the defence against oxidative stress.

#### **Oxidative Stress**

Living cells are always subjected to the hazardous effects of exogenously or endogenously produced highly reactive oxidizing molecules. These can be radicals and nonradicals (e.g., H<sub>2</sub>O<sub>2</sub>), but have in common the ability to easily take electrons from (oxidize) molecules with which they remain in contact, such as all cellular biomolecules, generating chain reactions and ultimately leading to cell structure damage. Among these classes of molecules, those deriving from ROS and reactive nitrogen species (RNS) have the main biological impact because they are endogenously produced at the highest concentration, and for this reason the concept of oxidative stress can be widened so as to nitro-oxidative stress.

Oxidative damage. It is commonly accepted that the principal source of ROS in the cell is the mitochondrial respiratory chain. Indeed, mitochondrial complexes (mainly complexes I and III) can leak electrons, leading to the partial reduction of oxygen to  $O_2^-$  that spontaneously, or by the superoxide dismutase (SOD)-mediated catalysis, very rapidly disproportionates into H<sub>2</sub>O<sub>2</sub>. It has been estimated that ROS produced by mitochondria are  $\sim 1-2\%$  of the total rate of oxygen consumption.<sup>38</sup> This at first glance could appear very low; yet, if one considers that the average rate of oxygen utilization in each single cell of human body is  $\sim 2.5 \times 10^{-18}$ mol/s (that means 2.2×10<sup>10</sup> molecules everyday),<sup>39</sup> the amount of ROS daily generated intracellularly reaches ~1 billion molecules. Multiplying this value for the number of cells in human body (~50 trillion) gives an idea of the intensity of ROS flux to which we are exposed physiologically. Moreover, considering that in some circumstances, the electron flux through the mitochondrial respiratory chain is intensified (e.g., upon increased energetic demand), or that mitochondrial efficiency might decrease (e.g., during ageing), along with the fact that other exogenous (e.g., UV radiation) and endogenous sources of ROS (e.g., oxidases and oxygenases) can operate as well, it becomes evident that a highly efficient antioxidant response has probably been selected by evolution to protect and preserve, as far as possible, the cellular components.40

The antioxidant enzymes SOD, catalase and glutathione peroxidases (GSH-Px or GPx) are those responsible for removal of  $O_2^-$ ,  $H_2O_2$  and peroxides in general. They are present in all cellular districts and act in concert with other proteins, such as peroxiredoxins, thioredoxins (Trx) and glutaredoxins (Grx), as well as low-molecular-weight antioxidants (e.g., GSH, tocopherols and ascorbate) to fully scavenge ROS and restore the reduced protein and lipid pool.41-43 The efficiency of the antioxidant defence is also important to modulate the levels of RNS, a class of molecules deriving from peroxynitrite (ONOO-), a very dangerous compound generated by reaction between O2 and nitric oxide (NO). 44,45 Nitric oxide is a highly reactive gaseous radical, soluble in water and diffusible across cell membranes. 45,46 It is

endogenously produced by NO synthases (NOS1-3), a family of constitutive or inducible enzymes with different tissue distribution and that use arginine and NADPH as substrates for reaction. As already described for ROS. NO-derived oxidant species contribute to establishing oxidative conditions as well, resulting in irreversible damage to biomolecules when produced at an extent high enough to overcome the antioxidant response.47

Redox signal. In the late 1990s, a new 'radical free' concept for free radicals began to take root.<sup>48</sup> and a new signalling role for ROS and RNS emerged. Many lines of evidence were accumulating, indicating that ROS and RNS were able to modify proteins in a reversible manner at the level of the sulphur-containing residues, cysteine and methionine, thus providing evidence for the existence of a redox-based signal. 40,49 In particular, *reactive* cysteine thiol groups (SH) of a growing number of proteins were revealed to be able to rapidly undergo reactions with H2O2 and NO in biological systems, thus forming the S-hydroxylated (S-OH) and S-nitrosylated (S-NO) derivatives, respectively. Upon reaction with other cysteines (e.g., those belonging to GSH or other protein thiols), both these adducts usually covert to disulphide (S-S), and are finally reduced back to sulphhydryl at the expense of the reducing equivalents provided by NADPH through the Trx/Trx reductase (or Grx/Grx reductase) system. 40,41 Oxidative modifications of reactive cysteines cause changes in protein structure and function; they affect localization and physical interactions, as well as the capability to undergo further posttranslational modifications (e.g., phosphorylation).<sup>40</sup> This is the reason that reactive cysteines are deemed to be the primary molecular switches that are able to transduce a redox signal.48

## **Autophagy and Oxidative Stress**

ROS have been copiously reported as early inducers of autophagy upon nutrient deprivation.<sup>50</sup> However, to date, it is still unclear as to which species exactly drives the process. A detailed work from Chen et al.51 proposes that O2 is the primary ROS involved in autophagy induced by glucose, glutamine, pyruvate or serum deprivation. Further lines of evidence indicate, instead, that H2O2 is the molecule produced immediately after starvation, 52,53 whereas many others just hypothesize that ROS are crucial for autophagy execution as treatment with antioxidants partially or completely reverts the process.54

Mitochondria as main source of ROS in autophagy signalling. Although the question is still far from being solved, there are at least other two issues that deserve to be considered. The first is 'where ROS are so rapidly produced'. It would be actually more logical that a stimulus coming from the outside of the cell is transduced by a ROS-producing system located at, or nearby, the plasma membrane, such as the NADPH oxidase (NOX) complexes. Nevertheless, although attractive, this hypothesis has been verified only in macrophages upon bacterial infection, where ROS generated by NOX2 are indispensable for the recruitment of the microtubule-associated protein light chain 3 (LC3) on



phagosomes that, thus modified, are degraded by autophagy to prevent pathogen escape. 55 A large amount of data, instead, converge to state that the mitochondria represent the principal source of ROS required for autophagy induction, 56,57 although they are not in close proximity to the plasma membrane. A possible explanation for this unexpected evidence is that nutrient deprivation suddenly results in energetic stress that, in turn, increases ATP demand and causes mitochondrial overburden to face up adverse conditions. As a consequence, electron leakage and ROS production also increase. Another hypothesis is that a still uncharacterized factor could act as transducer, linking the upstream autophagic signal with mitochondria. A good candidate could be HKII that, by sequestering mTORC1, could loosen its inhibition on permeability transition pore (PTP) and its ability to decrease ROS. 34,35 In support of this hypothesis, it has been reported that the two protein kinases positively regulating HKII activity, Akt and myotonic dystrophy protein kinase (DMPK), are negative modulators of autophagy. 58,59

ROS and mitophagy. As principal sites of ROS production, mitochondria are the organelles that are able to turn on and tune autophagy. However, upon chronic impairment of mitochondrial function, ROS can be generated at high extent, thus shifting their role from bulk autophagy inducers into a self-removal signal for mitochondria through a selective process called *mitophagy*. This represents a fine mechanism of negative feedback regulation by which autophagy eliminates the source of oxidative stress and protects the cell from oxidative damage (see below).

Although necessary, mitophagy represents an 'extreme decision' for a cell subjected to nutrient deprivation because of at least two main reasons. The first reason is that mitochondria underpin ATP production that is fundamental upon carbon source limitation. The second reason lies in the fact that mitochondria are relatively large organelles that require being beforehand fragmented in order to be properly recognized and engulfed within the autophagosomes. 60 Both these issues contribute to explain why mitochondria are in general refractory to undergo mitophagy, unless they are severely damaged. Recently, it has been proposed that under nutrient deprivation, mitochondria attempt to protect themselves from autophagic removal by promoting fusion and inhibiting fission events. 61,62 The combination of these two inputs results in mitochondrial elongation that further impedes organelle engulfment within the autophagosomes and, concomitantly, allows to maximize ATP production.<sup>62</sup> Only upon prolonged starvation, mitochondria depolarize and become fragmented in order to assist their removal by mitophagy.

So far, at least two different molecular mechanisms underlying mitophagy have been described and characterized. The first one is mediated by NIX/Bnip3L (Bcl-2/adenovirus E1B 19-kDa-interacting protein 3, long form), <sup>63,64</sup> an atypical BH3 protein that is able to directly recognize the autophagosomesited GABA receptor-associated protein (GABARAP), a functional homologue of LC3 and, in turn, allow mitochondria to be removed. This is a 'programmed' event, independent on any damage response that is required, for example, in mitochondrial elimination during erythrocyte differentiation. <sup>65,66</sup> The second

mechanism is activated for the selective dismissal of impaired or dysfunctional mitochondria. It is responsive to mitochondrial depolarization and is regulated by the PTEN-induced putative kinase 1 (PINK1) and Parkin, <sup>67</sup> a ubiquitin E3 ligase whose mutations have been associated with familial form of Parkinson's disease. PINK1 is a Ser/Thr kinase that translocates on the outer mitochondrial membrane where it is stabilized by low mitochondrial transmembrane potential, thereby acting as real sensor of mitochondrial depolarization.<sup>68</sup> Here, PINK1 recruits Parkin<sup>68</sup> that ubiquitylates a number of outer mitochondrial membrane-located proteins, for example, the voltagedependent anion channel 1 (VDAC1).69 Once ubiquitylated, these proteins are recognized by p62/sequestosome 1 (p62/ SQSTM1, or simply p62), <sup>69,70</sup> a ubiquitin-binding protein acting as a scaffold for several protein aggregates and triggering their degradation through the proteasome, or the lysosome pathway via autophagy. 71,72 p62 contains an LC3 interacting region (LIR)<sup>73</sup> that has been indicated being fundamental for p62 to mediating mitophagy. Indeed, by means of this motif, p62 can bridge autophagy-targeted mitochondria to LC3 located on the autophagosomes surface, thereby driving their degradation.

Interestingly, our group has recently identified a role for Ambra1 in mitophagy, driven by its selective interaction with LC3 and independent from Parkin and p62.<sup>74</sup>

Redox signalling in autophagy. Another issue to be considered is 'how oxidative stress can crosstalk with autophagic machinery'. As previously mentioned, antioxidant treatment prevents autophagy, suggesting that redox imbalance has a pivotal role in driving the process. The very fast induction of autophagy upon ROS production from mitochondria argues for a rapid (ON/OFF) response mediated by redox-sensitive proteins, among which AMPK could be a good candidate. Indeed, AMPK has been proposed as being activated upon H<sub>2</sub>O<sub>2</sub> exposure, particularly through S-glutathionylation (formation of a mixed disulphide with GSH) of reactive cysteines located at the  $\alpha$ - (Cys<sup>299</sup> and Cys<sup>304</sup>) and  $\beta$ -subunits (still not identified) (Figure 2).<sup>30,75</sup> Although the role of redox regulation in AMPK activation is still a matter of debate, these results are in line with the recent observations indicating that, once deprived of nutrients, cells actively extrude GSH by the drug efflux pump, multidrug resistance protein 1 (MRP1) in order to shift intracellular redox environment towards more oxidizing conditions and prime redox-sensitive proteins to be oxidatively modified (Figure 2).<sup>76</sup> The evidence that the sole chemically induced oxidation of GSH is able to induce autophagy even in the absence of any autophagic stimulus<sup>76</sup> underlines the importance of thiol redox homeostasis in autophagy commitment. This assumption is in line with the evidence indicating that a number of proteins involved in both induction and execution of autophagy act by means of Cys residues. Among them, the two ubiquitin-like systems Atg7-Atg3 and Atg7-Atg10, some members of Rab GTPase (e.g., Rab33b), and the phosphatase and tensin homologue deleted (PTEN)<sup>50</sup> are included. Along this line, it is worthwhile to note that p62 contains a zinc-finger motif (ZZ) rich in cysteine residues that are necessary for metal binding and that could be redox regulated. Although no evidence has been provided yet about a possible redox sensitivity of p62, it could be conceived that,

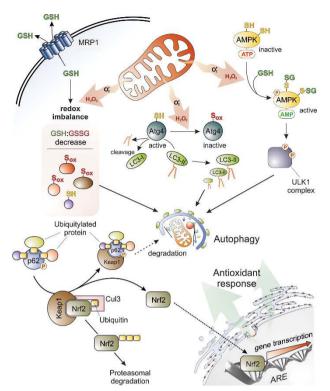


Figure 2 Crosstalk between autophagy and oxidative stress. Superoxide  $(O_2^-)$  and  $H_2O_2$  are the main ROS produced by mitochondria upon nutrient deprivation. They positively regulate autophagy by means of at least three different mechanisms, including: (1) S-glutathionylation (SH → S-SG) of cysteines located in the  $\alpha$  and  $\beta$  subunits of AMPK (top right); (2) oxidation of Cys81 (SH  $\rightarrow$  Sox) of Atg4 that in turn leads to the inactivation of its 'delipidating' activity on LC3 and to the accumulation of the pro-autophagic LC3-II isoform (top centre); and (3) wide alteration of thiol redox state (e.g., decrease of GSH/GSSG ratio and general increase of oxidized thiols, Sox) that is facilitated by the release of reduced glutathione (GSH) to the extracellular milieu through the multidrug resistance protein 1 (MRP1) (top left). In a redox-independent manner, it has also been demonstrated that p62, when bound to ubiquitylated protein aggregates, can undergo phosphorylation on Ser351, thereby sequestering Keap1 and leading to its detachment from Nrf2 (bottom left). Consequently, Nrf2 is no longer degraded by the ubiquitin-3 proteasome system, but translocates in the nucleus, binds to antioxidant-responsive elements (AREs) located in the promoter regions of antioxidant genes and activates their transcription (bottom right)

similar to other ZZ-containing proteins,77 p62 could also undergo oxidation and structural alterations that are able to modify/regulate its role in autophagy.

Notwithstanding the large amount of data supporting the hypothesis of a redox regulation of autophagic signalling, so far the only redox-based mechanism demonstrated to be able to regulate an autophagic protein goes back to 2007, when Scherz-Shouval et al. 78 proved that H<sub>2</sub>O<sub>2</sub>-mediated oxidation of Atg4 at Cys was required for inactivating its hydrolyzing (delipidating) activity on LC3, thus allowing autophagosome to be correctly elongated (Figure 2).52 No further protein has been added to the list since then, suggesting that redox modifications of proteins - although reasonably proposed as likely modulators of autophagic signal transduction 50 – are not the main mechanism linking ROS and autophagy.

Conflicting role of NO and nitrosative stress in autophagy. Results emerging in the past 5 years suggest that NO, by means of S-nitrosylation mechanisms, has also a role in modulating autophagy. However, rather than a positive effector of the process, it seems that it could act as an inhibitory molecule. This assumption is completely in contrast with that described above for ROS, and contributes to making the functional relationship between oxidative stress and autophagy even more complex. Sarkar et al.,79 indeed, demonstrated that treatment with NO donors or enhancement of NOS activity in HeLa cells results in autophagy prevention because of S-nitrosylation, and subsequent inhibition of the c-Jun-Nterminal kinase 1 (JNK1) and  $I\kappa B$  kinase  $\beta$  (IKK $\beta$ ) that regulate Beclin1 detachment from Bcl2 and AMPK activation, respectively. This is in line with results reporting that S-nitrosylation of TSC2 prevents its inhibitory activity on mTOR,80 thereby preventing autophagy and inducing proliferation of melanoma cells. However, these data are in contrast with the welldocumented role of NO and nitrosative stress in the activation of AMPK-TSC2 pathway via Ataxia telangiectasia mutated (ATM) in response to DNA damage (see below).81 This discrepancy is likely because of the fact that the biological effects of NO range from prosurvival to apoptotic depending on the real concentrations used (reason why NO has been identified as Janus-faced molecule) that frequently are completely unknown (e.g., upon treatment with NO donors). In support to this, very recently it has been reported that genetic ablation of the main denitrosylating enzyme, S-nitrosoglutathione reductase (GSNOR) – which is the elective experimental approach to study the effects of S-nitrosylation in biological contexts - does not absolutely affect bulk autophagy (Figure 3, unpublished results from Filomeni's laboratory), but exclusively results in an impairment of the sole mitochondrial autophagy (mitophagy) in skeletal muscle models.<sup>78</sup>

Altogether, these observations indicate that a straightforward idea about how oxidative stress functions in autophagy is still lacking, despite abundant evidence corroborating its implication in each phase of this process.

p62/Keap1/Nrf2 system: how autophagy couples with redox response. In the past few years, autophagy and oxidative stress have been shown to be interconnected in a more intimate and coordinated maner than by a simple ON/ OFF signal. Particularly, in 2010, it was discovered that p62 activates the antioxidant transcription factor Nrf2 (nuclear factor erythroid 2-related factor 2) by a 'non-canonical' pathway (Figure 2). The underlying mechanism is completely redox independent, and involves the recruitment of Kelch-like ECH- associated protein 1 (Keap1) that functions as an adapter protein of the Cul3-ubiquitin E3 ligase complex responsible for degrading Nrf2.82,83 In agreement with this model, p62 binds to aggregates of ubiquitylated proteins and increases its affinity for Keap1 when phosphorylated at Ser<sup>351</sup> (Figure 2).<sup>84</sup> This event induces Keap1 degradation via autophagy<sup>85</sup> and leaves Nrf2 free to accumulate and translocate in the nucleus. Here, Nrf2 binds to the antioxidant-responsive elements (ARE) in the promoter regions of antioxidant and detoxifying genes,86 as well as genes involved in DNA damage response, such as 8-oxoguanine glycosylase (OGG1)<sup>87</sup> and p53 binding protein

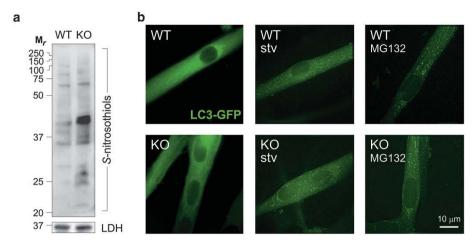


Figure 3 Autophagy is not affected by *S*-nitrosylation. (a) Western blot analyses of *S*-nitrosothiols in total homogenates of skeletal muscles obtained from GSNOR-KO (KO) and wild-type (WT) mice, subjected to biotin-switch assay and revealed by HRP-conjugated streptavidin. Lactate dehydrogenase (LDH) was selected as loading control. Results show that even in the absence of any treatment with NO-delivering drugs, *GSNOR* ablation induces a significant increase of *S*-nitrosylated proteins. (b) Representative fluorescence microscopy images of satellite cell-derived myotubes isolated from KO and WT mice expressing LC3-conjugated green fluorescent protein (GFP-LC3) in heterozygosis. Cells were then subjected to two different autophagic stimuli: (1) they were treated for 6 h with 5 μM of the proteasome inhibitor MG132 or, alternatively, (2) allowed to grow for 6 h in a nutrient-deprived cell medium (stv). Images are representative of three independent experiments that gave similar results. Both genotypes displayed a significant and similar increase of fluorescent dots, plausibly representing autophagosomes, thereby indicating that autophagy is not impaired by *S*-nitrosylation

1 (53BP1), <sup>88</sup> inducing their transcription (Figure 2). It has also been suggested that Nrf2 activation by this pathway is sustained by Sestrins, <sup>89</sup> a highly conserved family of small 'antioxidant-like' proteins transcriptionally induced by p53 upon stressful conditions, which are involved in autophagy since function as AMPK activators and mTORC1 inhibitors. <sup>90,91</sup>

# Antioxidant Role of Autophagy: Focus on Nucleus and DNA Damage

On the basis of what has been reported so far, antioxidant response and autophagy are mechanisms simultaneously induced by oxidative stress conditions in order to concomitantly decrease ROS and RNS concentration (upstream causes) and reduce the oxidative damage to biomolecules and organelles (downstream effect). This finely orchestrated repair system perfectly fits the needs of a cell attempting to find a new homeostatic state. By responding very rapidly to oxidative stress, and by decreasing the toxicity of oxidized molecules and organelles through their selective removal, autophagy can be in principle encompassed in the large family of antioxidant processes. However, at variance with proteins and organelles that are present in several copies inside the cell (e.g., mitochondria and ribosomes), autophagy cannot mediate nucleus degradation, even though it is severely damaged, because this could lead to the complete loss of genetic information. Genomic DNA cannot be destroyed, de novo synthesised or entirely replaced like the other biomolecules. Its integrity should be prevented and maintained, and any damage accurately repaired.

An exception to the rule is a highly selective and unusual nuclear DNA degradation by means of the so-called piecemeal microautophagy (PMN). Indeed, in a way resembling nucleophagy occurring in fungi and nematodes, <sup>92,93</sup> mammalian cells can specifically remove part of nuclei containing

damaged DNA. <sup>94,95</sup> In particular, this phenomenon has been observed in nuclear envelopathies <sup>94</sup> where the presence of perinuclear autophagosomes or autophagolysosomes containing DNA clearly represented an operative autophagy. It has been reported that micronuclei containing chromosomes, or parts of them, that are not properly incorporated in the daughter nuclei during cell division can be removed by autophagy as well, <sup>95</sup> thus providing this process with a direct role in cleaning up damaged content in the nucleus and in maintaining genomic stability.

However, besides PMN, a number of observations indicate that autophagy is deeply involved in DNA damage repair, although without any direct degradative activity on DNA. This phenomenon, mainly occurring upon ROS and RNS-mediated damage to DNA, represents an issue that deserves discussion so as to comprehend how autophagy acts as a preventive and reparative process upon genotoxic stress. Understanding the underlying molecular mediators and the mechanisms would make it possible to clarify once and for all the antioxidant activity of autophagy.

# **Oxidative Stress and DNA Damage**

ROS and RNS are one of the major sources of DNA damage<sup>96</sup> as they could directly modify the DNA or indirectly generate different lesions, both affecting cell viability. Among ROS, 'OH can directly attack the DNA backbone by generating five classes of oxidative damage: oxidized bases, abasic sites, DNA–DNA intrastrand adducts, single-strand break (SSB), double-strand break (DSB) and DNA–protein crosslinks.<sup>97,98</sup> Among the nucleobases, guanine is the most susceptible to ROS because of its low redox potential, and the main products of its oxidation are 8-hydroxyguanine and 8-hydroxydeoxyguanosine (8-OHG and 8-OHdG). Both are highly mutagenic and carcinogenic as they can match with both cytosine and adenine, thus leading to GC-to-AT

transversions. <sup>99,100</sup> The 8-OHG and 8-OHdG are the most commonly used markers of DNA damage as they easily accumulate, thus being measured as good index of oxidative lesions to DNA. Nitric oxide and RNS (i.e., NO<sub>2</sub>, ONOO<sup>-</sup>, N<sub>2</sub>O<sub>3</sub> and HNO<sub>2</sub>) can cause DNA damage as well, and are considered potentially mutagenic<sup>96</sup> as they can induce nitration, nitrosylation and deamination of DNA bases.

ROS and RNS are also harmful for mitochondrial DNA (mtDNA) integrity. This feature can deeply affect the transcription of mtDNA-coded proteins and RNAs that underlie the synthesis of a number of subunits belonging to the complexes of the mitochondrial respiratory chain (except Complex II). A vicious cycle is then established in which mitochondria, with oxidized mtDNA, become dysfunctional and produce a high rate of ROS, leading to further mitochondrial impairment. This condition can ultimately result in severe nuclear DNA damage and cell death. <sup>101</sup>

#### DNA Damage and Autophagy: A Complex Crosstalk

When the DNA is damaged by ROS and RNS, cells activate a number of pathways in order to maintain genomic integrity, these being associated to the DNA damage response (DDR). Different classes of proteins are implicated in DDR, among which the sensors specifically recognize the lesions to DNA, whereas the *mediators* and the *effectors* transduce the signal from the nucleus to the cytosol where several processes are contextually activated in order to better face up to adverse conditions. 102,103 For instance, cell cycle checkpoints are soon activated to block proliferation until lesions are repaired. However, if DNA is severely damaged or unrepaired, cells remain quiescent or undergo cell death. 104 Autophagy is considered both a pro-survival mechanism and a type of cell death. Therefore, once induced by DNA injury, it makes a crucial contribution in regulating cell fate. 105,106 For example, many lines of evidence argue that autophagy can delay apoptotic cell death upon DNA damage by sustaining the energy demand required to support DNA repair processes, 107,108 a phenomenon that concurs to the development of chemoresistance mechanisms in cancer cells. 109 Conversely, in cells where DNA is unrepaired and apoptosis is defective, DNA damage-induced autophagy has been reported to contribute to cell death, thereby acting as a tumour suppressor process. 110

It is worthwhile noting that cases where autophagy impairment results in DNA damage have been reported as well, leading to the assumption that the interplay might be broader, and suggesting that many molecular players could exist to biunivocally link the two processes. In particular, it has been demonstrated that the deficiency of autophagy genes, such as Beclin 1, UV irradiation resistance-associated gene (UVRAG), Atg5 and Atg7, leads to DNA damage accumulation and promotes tumourigenesis. In line with this, the suppression of the ULK1-interacting protein FIP200 has been reported to impair DDR, thus triggering cell death upon ionizing radiation-induced oxidative stress.

**Direct and indirect roles of autophagy in the DDR.** All this evidence strongly suggests that autophagy participates, directly or indirectly, in the DDR to ROS and RNS-mediated

genotoxic stress. However, how this occurs is still a matter of debate. In yeast, for example, it has been demonstrated the selective degradation of specific proteins, mostly through the so-called 'cytoplasm to vacuole targeting' (Cvt), <sup>117</sup> is directly involved in: (1) inducing cell cycle arrest in G2/M phase <sup>118</sup> by degrading proteins involved in cell cycle progression (e.g., Psd1 and Esp1); (2) optimizing dNTP production and DNA synthesis by targeting the subunit 1 of the ribonucleotide reductase complex; <sup>119</sup> and (3) regulating the dynamics of homologous recombination by degrading the endonuclease Sae2 once catalysed the resection of DNA ends. <sup>120</sup>

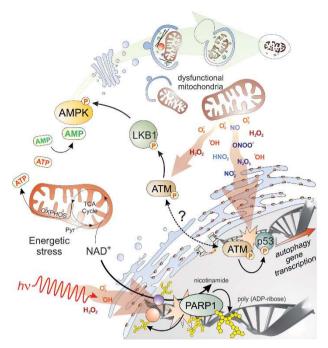
In higher eukaryotes, no Cvt has ever been identified, nor has any orthologue of proteins belonging to this pathway been revealed. One of the most accredited hypotheses explaining a role of autophagy in supporting the DDR is that by degrading damaged mitochondria (mitophagy) and toxic aggregates, autophagy eliminates putative sources of ROS, reduces their levels and, if only indirectly, decreases DNA damage accumulation. 114,121,122 This assumption provides a general explanation of the important role of autophagy in maintaining genomic stability that is strictly related to its tumour suppressor function. 106,113,114 However, evidence supporting a direct role for autophagy in the DDR, at least in mammals, is still lacking.

Sensor proteins transduce the signal of DNA damage to autophagy. A number of works in recent years indicate that once ROS and RNS damage the DNA, the event is transduced in order to activate the DDR, and concomitantly is signalled to the autophagic pathway in order to orchestrate the response. PolyADP-ribose polymerase 1 (PARP1) is among the proteins directly linking the DDR and autophagy (Figure 4). 123,124 It is a nuclear enzyme that catalyses polyribosylation of nuclear proteins by converting NAD+ into polymers of polyADP-ribose (PAR), and deeply participates in SSB repair, thereby regulating nuclear homeostasis. PARP1 is hyperactivated upon ROS-induced DNA damage; this leads to NAD+ consumption and ATP depletion (Figure 4). Such energetic imbalance results in the activation of autophagy via AMPK pathway (Figure 4)123,124 in order to recycle metabolic precursors for ATP and to provide energy for the DDR.

Another DNA repair protein linking the DDR to autophagy is ATM (Figure 4), a DNA damage sensor orchestrating the cell cycle with damage response checkpoints and DNA repair to safeguard the integrity of the DNA. 125 It has been demonstrated that under ROS-induced cellular damage, cytosolic ATM, through the LKB1/AMPK pathway, can activate TSC2 tumour suppressor to inhibit mTORC1 and induce autophagy (Figure 4). 126 These new findings integrate different stress response pathways occurring in different cellular compartments. From this perspective, ATM would be required to both initiate (nucleus) and mediate (cytosol) the DDR.

The principal regulator of DDR, however, remains p53 that, together with the other members of its family, p63 and p73, has been demonstrated to modulate many autophagic genes (Figure 4). <sup>105,127–129</sup> In particular, p53 is very rapidly activated when DNA damage occurs, and transcriptionally activates both DNA repair and cell cycle checkpoints proteins to allow repair of DNA lesions. <sup>104</sup> It has been recently demonstrated that the strength of the stimulus and the dynamics of the





**Figure 4** Implication of autophagy in DNA damage repair. Endogenous (e.g., dysfunctional mitochondria, top right) or exogenous (e.g., radiations or genotoxic stimuli, bottom left) sources of ROS and RNS induce DNA damage, whose primary sensors are PARP1 and ATM. Once activated by DNA breaks, PARP1 catalyses poly-ADP ribosylation of itself, as well as of other nuclear proteins, thereby leading to a massive decrease of NAD<sup>+</sup> and to a subsequent energetic stress (bottom left). Upon DNA damage, ATM can activate p53-mediated transcription of autophagic genes (bottom right). Alternatively, cytosolic pool of ATM could be directly activated by ROS through a still unidentified mechanism and it directly induces the activation of LKB1 (centre). The issue of whether cytosolic and nuclear pool of ATM are interconnected still waits to be demonstrated. Both PARP1 and ATM signalling pathways converge on AMPK, whose activation induces the autophagic machinery to remove the main source of DNA damage and contribute to its repair through a negative feedback loop (top)

binding to DNA underlies the genes transactivated by p53. For instance, in case of inefficient repair, p53 can shift transcription from cell cycle modulators (e.g., p21 waf) to genes regulating cell senescence and apoptosis (e.g., PUMA and BAX). 104 In addition, autophagy genes are also induced and their transcription finely orchestrated by p53 (Figure 4). Targets of p53 are, indeed, both upstream regulators of autophagy (e.g., PTEN, TSC2,  $\beta$ 1,  $\beta$ 2 and  $\gamma$  subunits of AMPK) and proteins directly involved in autophagosome formation (e.g., ULK1, UVRAG, ATG2, 4, 7, 10). 129 It is worth noting that p63 and p73 also share some autophagic genes as transcriptional targets, such as ATG 4, 7, 10, ULK1 and UVRAG, hence suggesting a redundancy with p53.128 In fact, p53 has also been shown to function in an opposite way depending on its subcellular localization, with the cytosolic pool of p53 inhibiting, rather than activating, autophagy. 130

The dual role of p53 and p53-related members, as well as of many other proteins that have been demonstrated having a role in regulating both autophagy and apoptosis, is an issue that deserves to be deeply investigated in the future. Indeed, several lines of evidence clearly indicate that the molecular pathways – from the upstream stimuli (e.g., mitochondrial ROS or genotoxic stress) through the mediators (e.g., BH3 proteins or transcription factors like p53) and the effectors of

the signal (e.g., Bnip3 and AMPK) – are shared between autophagy and apoptosis. <sup>131</sup> How can they be differently induced? Which are the signals, or how much high should be the threshold level to allow cell response being switched from stress adaptation and survival (autophagy) to cell dismantling (apoptosis)? These issues are still debated. Speculations and hypotheses based on the 'strength' of the signal have been reasonably done, but no direct evidence of the causative event underlying the decision by the cell to activate autophagy or apoptosis has been provided as yet.

#### **Concluding Remarks**

Several lines of evidence indicate that ROS and RNS are the upstream modulators of autophagy, likely acting at multiple levels in the process. In line with this assumption, ROS and RNS would act as the intracellular 'alarm molecules' of the extracellular availability of nutrients (primitive stimuli) by signalling their presence to the autophagic machinery. Through a negative feedback regulation, autophagy could be then induced to provide energy and building blocks in order to restore homeostasis and, concomitantly, remove oxidative damage. From this perspective, autophagy is required for the cell to simultaneously overcome starvation and oxidative stress conditions. Therefore, any dysfunction in this regard has been found to be involved in the onset of pathological states where a primary role for oxidative stress and/or alterations in metabolic demand (such as cancer) has also been reported. Accordingly, genetic defects of autophagic genes lead to an increased production of ROS and accumulation of damaged organelles and DNA that in turn promote metabolic reprogramming and induce tumourigenesis.

Although a number of possible mechanisms underlying the intimate interplay between oxidative stress and autophagy have been postulated, to date only a few of them have been shown to have a role in tuning autophagy. Understanding the fine molecular regulation of autophagy by ROS and RNS, as well as the tight relationship between metabolism and redox state, could therefore provide valuable information that could be useful in the future to improve anticancer treatment and develop new selective therapies.

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