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## **Expert Review of Respiratory Medicine**



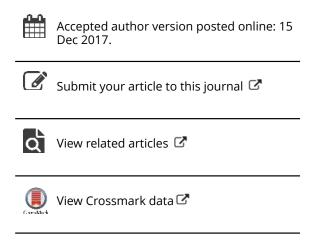
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Review

Oxygen saturation measurements in telemonitoring of patients with COPD: a systematic review

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Abstract

**Introduction**: Telemonitoring applications are expected to become a key component in future

healthcare. Despite the frequent use of SpO<sub>2</sub> measurements in telemonitoring of patients with

chronic obstructive pulmonary disease (COPD), no profound overview is available about these

measurements.

Areas covered: A systematic search identified 71 articles that performed SpO<sub>2</sub> measurements in

COPD telemonitoring. The results indicate that long-term follow-up of COPD patients using

daily SpO<sub>2</sub> spot checks is practically feasible. Very few studies specified protocols for

performing these measurements. In many studies, deviating SpO<sub>2</sub> values were used to raise alerts

that led to immediate action from healthcare professionals. However, little information was

available about the exact implementation and performance of these alerts. Therefore, no firm

conclusions can be drawn about the real value of SpO<sub>2</sub> measurements. Future research could

optimize performance of alerts using individualized, time-dependent thresholds or predictive

algorithms to account for individual differences and SpO<sub>2</sub> baseline changes. Additionally, the

value of performing continuous measurements should be examined.

Expert commentary: Standardization of the measurements, data science techniques and

advancing technology can still boost performance of telemonitoring applications. All these

opportunities should be thoroughly explored to assess the real value of SpO<sub>2</sub> in COPD

telemonitoring.

Keywords: COPD; Chronic Obstructive Pulmonary Disease; Telemonitoring; Remote

monitoring; Oxygen saturation; Oximetry; Exacerbation

#### 1 Introduction

Chronic obstructive pulmonary disease (COPD) is a highly prevalent chronic non communicable disease affecting 64 million people [1]. By 2030, COPD will become the third leading cause of death worldwide [2]. Total costs associated with this disease are estimated to be €141 billion in Europe [3]. Major contributors to the high economic and societal burden of COPD are exacerbations [4]. Exacerbations of COPD (defined as "a sustained worsening of the patient's condition, from the stable state and beyond normal day-to-day variations") [5] are typically stressful events in the natural history of the disease [6]. Low blood oxygen concentrations (hypoxemia) increase the risk of exacerbation, while exacerbations can also induce hypoxemia [7]. The risk of hypoxemia rises with increasing disease severity [6] and can lead to long-term adverse effects such as pulmonary hypertension and systematic inflammation, reducing quality of life in patients with COPD [7]. Long-term administration of oxygen decreases mortality in patients with severe resting hypoxemia [8] and is prescribed based on blood gas measurements [6].

Pulse oximetry is a non-invasive method to assess arterial blood oxygen concentrations that uses light absorption characteristics of hemoglobin [9]. Pulse oximeters photoplethysmography (PPG) waveform, from which both peripheral oxygen saturation (SpO<sub>2</sub>) and heart rate (HR) can be derived [10]. An SpO<sub>2</sub> value below 92% indicates blood gas measurements should be performed to assess the need for supplemental oxygen therapy [11]. Pulse oximetry is also used in telemonitoring applications [12,13]. These applications make use of information technology to monitor patients at a distance without a healthcare professional present at the monitoring site [14]. Telemonitored patients are able to consult healthcare professionals through video or phone calls (remote consultations) or send information gathered via questionnaires or physiological measurements (e.g. HR or SpO<sub>2</sub>) to healthcare professionals. In the case of management of COPD patients, this information can be used to detect exacerbations. Early detection of exacerbations can reduce hospitalizations and improve recovery and health-related quality of life [15]. Furthermore, it has been suggested that telemonitoring applications could even predict these events [12], which could lead to the prevention of detrimental hospitalizations [15]. Generally, telemonitoring applications are expected to become a key component in future healthcare [16].

Despite the frequent use of  $SpO_2$  measurements in COPD telemonitoring [13], no profound overview is available about these measurements. Therefore, this systematic review will evaluate the scientific literature on the application of  $SpO_2$  measurements in telemonitoring of patients with COPD.

#### 2 Methods

#### 2.1 Search strategy

The literature search was performed in PubMed and Web of Science on the 15<sup>th</sup> of March 2017. The systematic search terms consisted of the following keywords: (mHealth OR "mobile health" OR tele(-)health OR tele(-)monitoring OR tele(-)medicine) AND (COPD OR "chronic obstructive pulmonary disease"). Search terms were not narrowed down to only SpO<sub>2</sub> measurements to avoid exclusion of potentially valuable articles that did not mention SpO<sub>2</sub> measurements in the abstract. Meta-analyses and reviews were excluded a priori. Article screening excluded duplicates, non-English articles, editorials, conference proceedings, articles without full-text availability and articles that were not applying SpO<sub>2</sub> measurements in telemonitoring of patients with COPD. Additional articles were included based on reference list

screenings from the articles retrieved via PubMed or Web of Science. Results were discussed with all co-authors and inventoried by the first author.

#### 2.2 Information extraction

First, articles originating from the same study were grouped. If a project name was provided, this name was assigned to the corresponding study. Then, studies applying remote consultations were identified, as they have significantly different characteristics compared to telemonitoring implementations not requiring personal contact. Afterwards, participant numbers were extracted and in the case of a randomized controlled trial (RCT), participant numbers of both telemonitoring and usual care group were denoted. When possible, the distinction between COPD patients and other participants was made. In a next step, characteristics of the studies were extracted by identifying study duration, SpO<sub>2</sub> measuring frequency and type of oximeter and associated telemonitoring system (used for storage and/or transmission of SpO<sub>2</sub> data). Finally, information was extracted on the application and value of SpO<sub>2</sub> measurements.

This review specifically aims to provide an overview of the use of SpO<sub>2</sub> measurements in telemonitoring of COPD patients. However, most of the reviewed studies primarily focused on the results of whole telemonitoring systems, which depend on several technical and organizational factors of the whole telemonitoring set-up. Therefore, reporting on the overall results of the included studies is outside the scope of this systematic review.

#### 3 Results

#### 3.1 Article selection

The initial PubMed and Web of Science search retrieved 532 articles (Figure 1). After removal of duplicates (n=169), non-English articles (n=15), editorials (n=13), conference proceedings (n=12) and articles without full text availability (n=11), 312 articles remained. Full text

screenings excluded articles that were not applying telemonitoring in COPD or performing SpO<sub>2</sub> measurements (n=243). Addition of articles from reference lists (n=2) resulted in a final set of 71 articles.

#### 3.2 Study description

Table 1 provides a description of the 71 included articles, originating from 50 distinct studies. The first article was published in 2004. Sixty-three of the 71 articles (89%) have been published after 2010.

The 50 included studies can be divided into studies with supervised measurements (during remote consultations; n=6) and independent patient measurements (n=44). Two types of remote consultations were performed: (i) short-term (≤ 14 days) follow-up of patients released from the hospital after an exacerbation [17–23] (n=4); or (ii) long-term (12 months) remote monitoring [24–27] (n=2). Regarding the 44 studies with independent patient measurements, most implemented telemonitoring for at least 2 months (n=39) and almost two third of the studies lasted for at least 6 months (n=28). In almost half of the 50 included studies, less than 30 COPD patients were included for telemonitoring (n=24). Very few studies reported on analyses of specific patient subpopulations (e.g. patients with and without oxygen therapy) and methods to characterize patients were not consistent. Therefore, no analyses of specific patient subpopulations could be made in this review.

#### 3.3 Measurements, oximeters and telemonitoring systems

Forty-nine of the 50 included studies (98%) used pulse oximeters for spot check measurements. Continuous measurements were only performed in the study of Faria et al. [28] (sampling frequency not specified). Frequency of spot check measurements ranged from 3 times in 5 hours to once weekly, with most of the studies measuring once daily (n=38). Only three articles specified a protocol for performing the measurements. In Hurst et al. [29], participants performed

SpO<sub>2</sub> measurements each morning at the same time after 10 minutes of rest, on the same finger, but before taking medication. The highest obtained value during the measurement was retained. Jodar-Sanchez et al. [30] specified that measurements were taken 20 minutes after taking medication while seated, rested and on oxygen therapy. Shany et al. [31] had patients perform measurements after taking medications and while on oxygen therapy.

The pulse oximeter was specified in 26 of the 50 studies (Table 1). Almost half (n=12) of the 26 specified oximeters are manufactured by Nonin Medical (7 Nonin Onyx; 1 Nonin Avant; 4 unspecified Nonin). Nonin Onyx is a finger clip oximeter for spot check measurements; Nonin Avant is a wrist worn device with a linked finger clip able to perform continuous measurements. Considering all studies, the oximeters were used in combination with 15 different types of telemonitoring systems (Table 1).

#### 3.4 Application of SpO<sub>2</sub> measurements

In many studies, deviating SpO<sub>2</sub> values were used to raise alerts (SpO<sub>2</sub> alerts) that led to immediate action from healthcare professionals, ranging from phone calls to hospital admissions (n=34; Table 1). Other studies developed methods to predict exacerbations through retrospective analysis of the measured SpO<sub>2</sub> values (n=5). An additional retrospective analysis was also performed in three of the 34 studies that carried out immediate action [32–34]. The other eleven studies (i) did not specify the exact SpO<sub>2</sub> use during remote consultations (n=5); or (ii) were set up for evaluation of a telemonitoring system and did not further use the measured SpO<sub>2</sub> values (n=6).

#### 3.5 SpO<sub>2</sub> alerts leading to immediate action

The SpO<sub>2</sub> alerts in the 34 studies that carried out immediate action were based on (i) abnormal values (n=10; no further information was provided to describe what was considered to be an abnormal value), (ii) thresholds values (n=23; as discussed in next paragraph) or (iii) the output of an algorithm (n=1) (Table 1). This algorithm used oximetry measurements in combination with questionnaire answers and spirometry measurements to predict exacerbation probability. High probabilities raised alerts, but the exact algorithm was not further specified [35].

Two types of thresholds can be distinguished: generic (n=6) or individualized (n=12). Five studies did not specify the type of threshold (n=5). Generic thresholds were fixed on one value (88% [36,37], 90% [24–26] or 92% [38]) or on multiple values for a graded severity indication. Koff et al. [39] specified thresholds of 90% and 88%, Ho et al. [40] on 92% and 90% and

(88% [36,37], 90% [24–26] or 92% [38]) or on multiple values for a graded severity indication. Koff et al. [39] specified thresholds of 90% and 88%, Ho et al. [40] on 92% and 90% and Colantonio et al. [41] made the distinction between breathing room air (thresholds of 92% and 90%) and breathing O<sub>2</sub> (thresholds of 93%, 90% and 80%). Three studies that applied individual thresholds did not specify the method for determining the threshold values [42–44]. The other nine studies with individual thresholds based the threshold values on caregiver assessments or baseline measurements. Seven of these nine studies did not further clarify the exact determination of the threshold values. The other two studies denoted a quantitative method for determining the individualized threshold value: Segrelles Calvo et al. [45] set the threshold 3% below the average of first 3 measuring days. The articles originating from the EDGE project [34,46–48] defined the threshold value as the 95% percentile of the cumulative density function of the measured values of the first 6 weeks.

#### 3.6 Exacerbation prediction through retrospective analysis

Eight articles developed methods to predict exacerbations through retrospective analysis of the measured SpO<sub>2</sub> values: five originated from retrospective studies and three from studies that performed an additional retrospective analysis. Brown-Connolly used retrospective analysis to calculate the optimal generic threshold value by taking into account the accuracy of alerts to warn for hospitalizations, emergency admissions and home visits. A Receiver Operating Characteristics (ROC) analysis indicated 85-86% as the generic SpO<sub>2</sub> threshold with both the highest sensitivity (0.62) and specificity (0.91) [32].

The other seven articles extracted features from daily measured SpO<sub>2</sub> and used these features in addition to the measured SpO<sub>2</sub> values to retrospectively develop methods to predict exacerbations. Mohktar et al. [33] calculated four features, based on the combination of daily measurements and the measurements of the previous 30 days: 30-day distribution mean and standard deviation, percentage change of daily measured values compared to distribution mean and the z-score of measured values compared to the 30-day distribution. The same features were calculated from five other variables (i.e. HR, blood pressure, lung function parameters, weight and temperature), after which they were used as input for a classification and regression tree algorithm to predict next-day risk of exacerbation. Shah et al. [34] calculated mean and linear fit gradient from 7-day periods of SpO<sub>2</sub>, HR and respiratory rate to be used as input for a logistic classifier to predict exacerbations. Jensen et al. [49] and Riis et al. [50] calculated features based on differing types (mean, standard deviation, skewness, kurtosis or linear regression between SpO<sub>2</sub> and HR) and durations (ranging between 5 and 30 days) and used them for prediction of exacerbation risk in the following 30 days or as input for a k-nearest neighbor classifier for prediction of exacerbation onset, respectively. Hurst et al. [29] calculated an oximetry score, based on the z-scores of SpO2 and HR from daily measurements compared to the distribution of measurements from the first 30 stable days. An oximetry-peak expiratory flow (PEF) score was calculated similarly by adding z-scores of PEF measurements to the equation. These scores were used to discriminate exacerbation onset from normal day-to-day symptom variations. Merone et al. [51] further specified this oximetry score by applying weights to the elements in the oximetry score formula and used this in a finite-state machine model for prediction of different types of adverse events (e.g. onset of exacerbation, hypoxemia or dyspnea). Lastly, Clarke et al. [52] decomposed time series of daily SpO<sub>2</sub> measurements of 4 patients in a long-term trend, a short-term trend and a residual signal. In one patient, the declining long-term trend clearly indicated the worsening health status of this patient. The residual signal outperformed the short-term trend for exacerbation predictions.

#### 3.7 Value of SpO<sub>2</sub> measurements

Most studies that carried out immediate action (n=31) did not provide information about the correctness or effectiveness of the implemented SpO<sub>2</sub> alerts and only considered general, long-term outcomes of the whole telemonitoring set-up (e.g. quality of life, morbidity or healthcare costs). Three studies that carried out immediate action and five studies with retrospective analyses did quantitatively evaluate the performance of stand-alone SpO<sub>2</sub> measurement to detect or predict exacerbations (Table 2). Except for Hurst et al., alerts based on SpO<sub>2</sub> measurements performed better than alerts based on other physiological variables, as can be seen in Table 2 by means of the higher AUC [32,34], the higher Cohen's kappa [33], because SpO<sub>2</sub> was better able to differentiate between exacerbation days or usual days [53], or because SpO<sub>2</sub> raised more accurate alerts [44,45]. The four articles that examined the ability to predict exacerbations of both stand-alone SpO<sub>2</sub> measurements and of measurements of a combination of variables (including SpO<sub>2</sub>), all found an increase in performance when other physiological variables were

added to the measurements of SpO<sub>2</sub>, indicated by the higher AUC [29,34,49] or Cohen's kappa [33] (Table 2).

#### 4 Discussion

This systematic review identified and evaluated the use of SpO<sub>2</sub> measurements in telemonitoring of patients with COPD. In many studies, daily SpO<sub>2</sub> measurements were performed for more than 6 months, indicating that long-term follow-up of COPD patients using daily SpO<sub>2</sub> measurements is practically feasible. However, very few studies specified protocols for performing the measurements. Often the used oximeter was not mentioned. When specified, the oximeters from Nonin Medical were most frequently used. Overall, participant numbers were relatively low and the articles had no consistent method for characterizing the included patients. In many studies, deviating SpO<sub>2</sub> values were used to raise alerts that led to immediate action from healthcare professionals (e.g. patient visits or hospital admissions). However, little information has been made available about the exact implementation of these alerts. Almost a third of the studies carrying out immediate action indicated that alerts were based on abnormal values, without further specifying when values were considered abnormal. Other alerts were based on exceeded threshold values, but these values were often not specified or only based on unspecified caregiver assessments. In addition, little attention was given to the optimal implementation of alerts. When generic threshold values were specified, they ranged between 88% and 92%. However, the retrospective analyses of Brown-Connolly suggested lower values (85-86%) as the optimal SpO<sub>2</sub> threshold [32]. Moreover, COPD patients are generally considered a very heterogeneous population [88] with an overall decline in health status [6] and a variable baseline SpO<sub>2</sub> [52]. Generic thresholds cannot take this variability into account. It can thus be assumed that individualized, time-dependent thresholds (i.e. personal thresholds that can change over time) will outperform generic thresholds [64]. Individualized thresholds were already implemented in some studies that carried out immediate action, but except for Segrelles Calvo et al. [45] and the articles originating from the EDGE project [34,46–48], no information was provided on how these thresholds were determined. All these elements illustrate the current lack of knowledge and transparency concerning alert implementations.

The studies with retrospective analyses focused more on alert optimizations. Brown-Connolly used retrospective analyses to calculate the optimal generic threshold value [32]. The other retrospective studies calculated additional features from daily measured SpO2 values and used these features to retrospectively predict exacerbations by applying thresholds to the feature values or by using the features as input for predictive algorithms. The calculated features were based on a combination of individual present day values and individual values from the last week or month, which allowed accounting for both individual differences and longer-term health status changes. This is important because general health status changes can lead to a different baseline SpO<sub>2</sub> [52]. A decline in baseline SpO<sub>2</sub>, not related to exacerbations, could thus lead to a high amount of false alerts when the individual thresholds are not able to change accordingly. In addition, these features have the possibility to provide valuable information about the general, long-term health status of the monitored patients, as has been suggested by Clarke et al. [52]. Surprisingly, most of the calculated features were still based on simple, basic statistics such as mean, standard deviation or a linear fit gradient of past periods [33,34,49,50]. Only Clarke et al. [52] approached the daily measurements as a time series. The authors proposed a method to monitor both health status and the onset of exacerbations by using specific time-series analyses. However, their method is derived from data of only 4 patients and thus needs further validation.

Little information was provided about the correctness and effectiveness of the SpO<sub>2</sub> alerts in studies that performed immediate action, as these studies mostly only considered general, longterm outcomes of the whole telemonitoring set-up (e.g. quality of life, morbidity or healthcare costs). Nonetheless, the three articles that did evaluate the performance of SpQ<sub>2</sub>-alerts quantitatively, indicate that these alerts could have the potential to detect or predict exacerbations: Martin-Lesende et al. [44] found that SpO<sub>2</sub> alerts were mostly triggered in the five days prior to hospitalization, Segrelles Calvo et al. [45] found that most alerts for exacerbations were triggered by SpO<sub>2</sub> and Burton et al. [53] indicated that SpO<sub>2</sub> measurements could differentiate between exacerbation days and regular days. The retrospective analyses provide more information about the value of SpO2 measurements and indicate that stand-alone SpO2 measurements could have predictive power for exacerbations. Furthermore, the results in Table 2 suggest that exacerbation predictions based on stand-alone SpO<sub>2</sub> measurements perform better than predictions based on measurements of other stand-alone physiological variables. Nevertheless, adding other physiological variables to SpO<sub>2</sub> measurements can increase performance. A combination of SpO<sub>2</sub> and HR might be especially valuable, having the additional advantage that both can be calculated from the same PPG signal as measured by oximeters [10]. Overall, this systematic review identified multiple shortcomings of the included articles concerning the SpO<sub>2</sub> measurements and applications: measurement protocols and used oximeters were often not specified, participant numbers were generally low, little information was available about the implementation and performance of the alerts and alert implementations were not optimized. Therefore, no firm conclusions can be drawn on the real value of SpO<sub>2</sub> measurements. Nevertheless, the few studies that assessed the value of SpO<sub>2</sub> measurements indicated that these measurements could be valuable for exacerbation detections or predictions.

Still, future research could optimize alerts based on regular SpO<sub>2</sub> measurements by using individualized, time-dependent thresholds or predictive algorithms to account for individual differences and SpO<sub>2</sub> baseline changes.

This systematic review identified only one study that performed continuous SpO<sub>2</sub> measurements [28]. According to the authors of this review, future research should consider performing more continuous measurements of SpO<sub>2</sub> instead of spot checks, possibly in combination with measurements of other variables that acutely influence SpO<sub>2</sub> (e.g. physical activity and sleep [28]). This will provide a completer picture of the dynamics of SpO<sub>2</sub>, which can further lead to new features that can be used to determine individualized and time-dependent thresholds or predictive algorithms. Additionally, these measurements and features could be used to optimize oxygen therapy or training intensities in telerehabilitation programs [89]. In the study of Faria et al., patients treated according to conventional methods for long-term oxygen therapy carried out simultaneous continuous measurements of SpO<sub>2</sub> and physical activity during activities of daily living, in combination with continuous SpO<sub>2</sub> measurements during sleep [28]. Low SpO<sub>2</sub> values were still found in continuous measurements during exercise, rest, and sleep, indicating that current long-term oxygen therapy guidelines (based on spot check measurements) could further be optimized by using continuous measurements. The dynamics of continuously measured SpO<sub>2</sub> have so far only been examined during sleep in laboratory conditions, e.g. in obstructive sleep apnea [90] or apnea-hypopnea syndrome diagnosis [91]. In these studies, new insights were obtained by calculating a variety of features from continuously measured nocturnal SpO<sub>2</sub>.

Future research should assess the real value of continuous measurements through a formal

comparison between spot check and continuous measurements, also considering differences in

e.g. user acceptance or costs. Technological improvements can still increase the accuracy of

continuous measurements taken with user friendly wearable devices (e.g. accurate measurements on the wrist, arm, or earlobe).

#### 5 Conclusions

This review evaluates the scientific literature on the application of SpO<sub>2</sub> measurements in telemonitoring of patients with COPD. The results indicate that long-term follow-up of COPD patients using daily spot check SpO<sub>2</sub> measurements is practically feasible. However, very few studies specified protocols to perform SpO<sub>2</sub> measurements. In many studies, deviating SpO<sub>2</sub> values were used to raise alerts that led to immediate action from healthcare professionals. Other studies developed methods to predict exacerbations through retrospective analysis of the measured SpO<sub>2</sub> values.

Little information was available about the exact implementation and performance of these alerts. Therefore, no firm conclusions can be drawn about the real value of SpO<sub>2</sub> measurements. Nevertheless, the few studies that assessed the value of SpO<sub>2</sub> measurements indicated that these measurements could be valuable for exacerbation detections or predictions.

Future research could optimize alerts based on daily measured SpO<sub>2</sub> by using individualized, time-dependent thresholds or predictive algorithms to account for individual differences and SpO<sub>2</sub> baseline changes. Additionally, the value of performing more continuous measurements should be examined, as these can make it possible to examine the SpO<sub>2</sub> dynamics and account for factors that acutely influence the measurements (e.g. physical activity or sleep).

#### 6 Expert commentary

The demographic shift towards an older population contributes to the increased burden of chronic non communicable diseases. These diseases are becoming more prominent causes of morbidity and mortality, and the treatment costs will keep increasing. New ways of managing

chronic diseases are necessary to reach a sustainable healthcare system, including a shift in focus from treatment only towards prevention. Prevention does not only include keeping diseases from developing, it also includes preventive actions to gain control over the course of the disease. For the latter, telemonitoring can be used to timely indicate when preventive actions are required. Unfortunately, telemonitoring is not yet generally accepted because of a lack of compelling evidence of its beneficial long-term effects on healthcare costs, morbidity or mortality.

This lack of evidence is not surprising. Until now, very little attention has been directed towards the optimal exploitation of the gathered data, as has been confirmed by the results of this review. Standardizations, data science techniques and advancing technology can still boost performance of telemonitoring applications. Better performances will lead to higher acceptance, providing more opportunities to embed telemonitoring applications in daily practice, ultimately leading to a more sustainable healthcare system.

This review exposed some of the opportunities to increase performance of telemonitoring applications that measure oxygen saturation (SpO<sub>2</sub>) in COPD patients. Telemonitoring of patients with COPD is mostly applied for detection of exacerbations to enable earlier and better treatment, but exacerbation detection has not been proven sufficiently accurate yet. A first step that must be taken to reach acceptable accuracies is the standardization of the SpO<sub>2</sub> measurements. Very few articles provide information about a protocol to perform the measurements, which leads to unwanted variability in the measured values. Secondly, only articles with retrospective analyses explored some of the possibilities of data science techniques. This makes it impossible to assess the real added value of data science on longer-term outcomes such as healthcare costs or mortality. In addition, the applied techniques were mostly only based on simple, basic statistics such as means or standard deviations. More advanced data analysis

techniques using time series analyses and predictive modelling should be applied in studies that can initiate immediate action to explore the real potential of telemonitoring in patients with COPD. Lastly, the advancing technology can make it possible to accurately measure SpO<sub>2</sub> continuously instead of through spot checks only. This will allow exclusion of unwanted influences on the measurements (e.g. physical activity) and provide a completer picture of the dynamics of SpO<sub>2</sub> during the day and/or night. Applying the appropriate analyses on these continuous measurements can give rise to a whole new set of individualized and time-dependent features that can further improve the performance of telemonitoring applications. Only when all these opportunities are thoroughly explored, the real value of telemonitoring SpO<sub>2</sub> in COPD patients can be assessed.

#### 7 Five-year view

No firm conclusions can be drawn about the real value of SpO<sub>2</sub> measurements in telemonitoring applications because many improvements that could lead to better performances are still underexplored. Therefore, the focus of future research will be increasingly on incorporating data science techniques in telemonitoring applications for COPD patients. The use of more complex predictive algorithms will improve exacerbation predictions and the constant technological advancements will make it possible to use wearables to continuously and accurately measure SpO<sub>2</sub> without interfering in the patient's daily life. Using appropriate data science techniques on these continuous SpO<sub>2</sub> measurements can further boost the performance of telemonitoring applications, which will ultimately lead to a higher acceptance of telemonitoring applications in daily practice.

Figure 1: Article selection resulted in 71 included articles

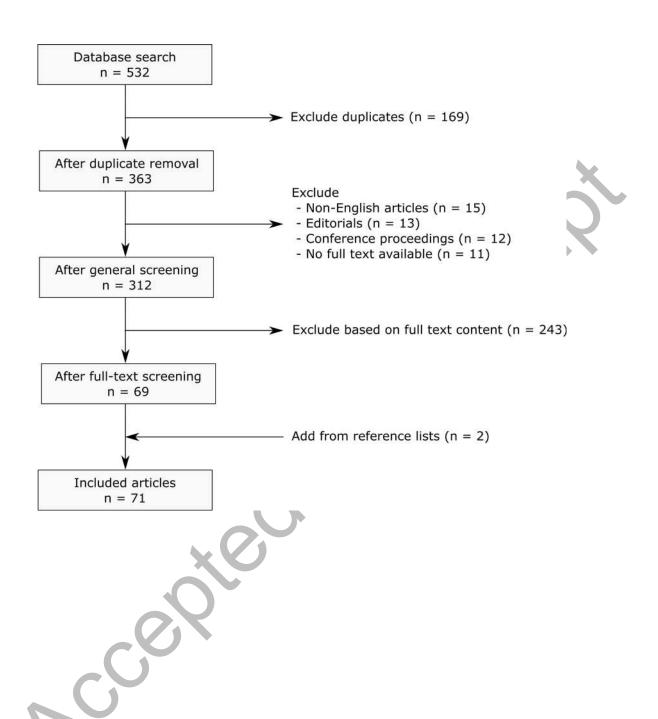


Table 1 Overview of the 71 included articles, based on 50 individual studies <sup>1</sup>											
Author	Yea	Project	Parti	cipant		EV1	Study	Measurin	Oximeter	Telemonitori	SpO <sub>2</sub>
	r	name	S	(n)	% <u>p</u>	ored	duratio	g	type	ng	applicatio
						ean	n	frequenc		systems	n
Vitacca	200		17*		33		176 ±		Nonin	Digicom 30	Alert:
Vitacca	200	/	57*	44*	39	34	12	1x/week	Onyx or	EM	Threshold
Vitacca	201		181		/		6 or 12		2500		– Generic
Koizumi	200	/	2		/		12	1x/week	Nihon	Nihon	No alert:
[27]	5	,					months	TA/ WOOK	Kohden	Kohden	Use not
Schou [18]		Virtual	22	22	39	44	2-8				No alert:
Schou	201	Hospital	22	22	39	44	2-8	Once	/	Touch screen	
Emme	201	Trial	25	25	31	29	1-11	daily		PC	specified
G 1	201		28	29	33	37	7	0	) (ID		(RC) No alert:
Sorknaes	201	/	132	134	33 ±	3 / ±	5-9	Once	MIR	/	Use not
[23]	201						days	daily	Spirotel		No alert:
Gottlieb [21]	4	TELEKOL	72		/		14 days	Once daily	/	/	Use not
	201							Once			No alert:
Saleh [22]	4	/	99		/		14 days	daily	/	Computer	Use not
Venter	201		10*	10*			12	duity	TMC	TMC Health	Alert:
[54]	2	/	*	*	/		months	/	Health	Monitor	Abnormal
Jensen	201						4	Prescribe	Nonin	TVIOIII (OI	Retrospect
[49]	2	TELEKAT	57		/	/	months	d	Onyx	/	ive
Lilholt	201		60		/	/	2	First 2	- 7		
Lilholt	201	Danish	60		/	/	2	weeks:	Nonin	Samsung	Alert:
Haesum	201	TeleCare North Trial	60	56	48	50	2	1x/day	Onyx	Galaxy Tab	Abnormal values
	201	North Trial	263	290	49	48	12	Afterwar		2	values
Achelrod	201	,	(51	704	,	,	12		,	,	Alert:
[35]	6	/	651	7	/	/	months	2x/week	/	/	Algorithm
Colantoni	201	/	26		38		115 ±	3x/week	/	/	Alert:
o [41]	5	,			±		40 days	JA/ WCCK	,	/	Threshold
Finkelstei	200	TeleHomeC	11*	14*	/	/	/	Once	Nonin	/	Alert:
	200	are	11*	14*	/	/	/	daily		,	Threshold
Lamothe	200	/	82*		/		6-243	Once	Honeywe	Honeywell	Alert:
[43]	6						days	daily	11	HomMed	Threshold
Smaradotti	201	/	6		/		7 days	Once	Nonin	Tablet	No alert: System
r [61] van der								daily	Onyx		No alert:
Heijden	201	/	5		/		9 days	Once	Nonin	Smartphone	System
[62]	3							daily		& Mobi	evaluation
Author	Yea	Project	Partici	pant	FE		Study	Measuri	Oximeter	Telemonitori	$SpO_2$
	r	name	s (n	)	%pr		duratio	ng	type	ng	application
					(mea	n ±	n	frequenc		systems	
Cardozo	201	/	119		/		60 days	Once	/	Bosch	Alert:
[63]	0		*				,	daily		Health	Abnormal Alert:
Hamad [64]	201	/	183		/		80.7 days	Once daily	/	Docobo	Threshold –
	201				46		uays	Once	Nonin		Retrospecti
Hurst [29]	0	/	31		±		87 days	daily	Onyx	/	ve analysis
	201			174				Once	Ollyn		Alert:
Davis [65]	5	/	58*	*	/		90 days	daily	/	Cardiocom	Abnormal
	201		_		62	62	2	Once			Alert:
Ho [40]	6	/	53	53	±	±	months	daily	/	/	Threshold
** 00	200	,		•	34	31	3	Once	GE	Bosch	Alert:
Koff [39]	9	/	20	20	±	±	months	daily	Healthca	Health	Threshold –
Gellis	201	I-TEAM or	11*	15*	/	/	3	Once	Honeywe	Honeywell	Alert:
Gellis	201	Tele-	11*	15*	/	/	3	daily	11	HomMed	Abnormal
								,	- 1		

Jodar-	201	/	24	21	37	38	4	Once	MIR	Aerotel	Alert: Threshold –
Jodar- Ure [69]	201 201	TELESCOT	24 20	21	45	/	6	daily Once	Spirotel /	TeleModem Touch screen	No alert:
Burton Teijeiro	201 201	/	19		/		> 200 6	daily Once	,	PC	System No alert:
[70] De San	3 201	/	18		/		months 6	daily Once	/	Smartphone	System Alert:
Miguel	3	/	36	35	/	/	months	daily	/	Docobo	Threshold –
Ding [72]	201 4	/	10		51 ±		6 months	Once daily	/	Smartphone	No alert: System
Segrelles Calvo	201 4	PROMETE	29	30	/	/	6 months	Once daily	MIR Spirotel	Aerotel TeleModem	Alert: Threshold –
MacNab [38]	201 5	/	51		/		6 months	Once daily	/	/	Alert: Threshold –
Kenealy [73]	201 5	/	98* *	73* *	/		6 months	Once daily	/	Docobo	Alert: Threshold –
McDowel 1 [74]	201 5	/	55	55	46 ±	43 ±	6 months	Once daily	Honeywe ll	Honeywell HomMed	Alert: Threshold –
Chatwin [42]	201 6	TeleCRAFT	19*	20*	/		6 months	Once daily	/	Philips MOTIVA	Alert: Threshold –
Brown- Connolly	201 4	/	34		/		> 6 months	Once daily	/	/	Alert: Threshold –
Zamith [75]	200 9	REALITY	21*		/		9 months	Once daily	Smiths Medical	Docobo	No alert: System
Steventon [76]	201 6	/	467 *	446 *	/	/	10.4 months	Once daily	/	Tunstall MyMedic	Alert: Threshold –
Author	Yea	Project	Partic	cipant	FE	V1	Study	Measuri	Oximeter	Telemonitori	$SpO_2$
	r	name	s (	(n)	_	red	duratio	ng	type	ng	application
						CT TO	70	fugguage		Conct area	
Clarke	201	/	6		(med	an ±	<i>n</i> 347.4	<i>frequenc</i> Once	/	systems	Retrospecti
[52]	6	/	6	20*	/ (med	an ±	347.4 days	Once daily	/	systems /	ve analysis
	6 201 3	/ TELBIL	6 28* *	30*	/ (med	an ±	347.4 days 12 months	Once	/		•
[52] Martin-	6 201	·	28*		/	an ±	347.4 days 12	Once daily Once	·	/	ve analysis Alert:
[52] Martin- Lesende	6 201 3 201 201 201	TELBIL	28* * 22 21 128	* 22 128	/ / / 34 44	/ 40	347.4 days 12 months 12 12	Once daily Once daily Once daily Once	/ TMC	PDA TMC Health Monitor Touch screen	ve analysis Alert: Threshold – Alert: Abnormal Alert:
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[52] Martin- Lesende Antoniade	6 201 3 201 201 201	TELBIL	28* * 22 21 128	* 22 128	/ / / 34 44	/ 40	347.4 days 12 months 12 12	Once daily Once daily Once daily Once	TMC Health	PDA TMC Health Monitor Touch screen	ve analysis Alert: Threshold – Alert: Abnormal Alert: Threshold – Alert:
[52] Martin- Lesende Antoniade Pinnock Steventon	6 201 3 201 201 201 201 201 201 201	TELBIL  /  Whole Systems Demonstrat	28* * 22 21 128 128 739 549 334	* 22 128 128 128 786 520 244	/ / 34 44 44 / /	/ 40 40 / /	347.4 days 12 months 12 12 12 12 12 12 12	Once daily Once daily Once daily Once daily	/ TMC	PDA TMC Health Monitor Touch screen	ve analysis Alert: Threshold – Alert: Abnormal Alert: Threshold –
[52] Martin-Lesende Antoniade Pinnock Steventon Rixon Vianello	6 201 3 201 201 201 201 201 201 201 201	TELBIL / Whole Systems	28* * 22 21 128 128 739 549	* 22 128 128 128 786 520	/ / 34 44 44 /	/ 40	347.4 days 12 months 12 12 12 12 12 12 12 12 12 12 12	Once daily Once daily Once daily Once daily Once daily Once daily Once	TMC Health	PDA TMC Health Monitor Touch screen	ve analysis Alert: Threshold – Alert: Abnormal Alert: Threshold – Alert: Threshold – Individual Alert:
[52] Martin-Lesende Antoniade Pinnock Steventon  Rixon Vianello [84] Shany	6 201 3 201 201 201 201 201 201 201 201 6 201	TELBIL  /  Whole Systems Demonstrat	28* * 22 21 128 128 739 549 334 334	* 22 128 128 786 520 244 244	/ / / 34 44 44 / / / / 40	/ 40 40 / / / / 32	347.4 days 12 months 12 12 12 12 12 12 12 12 12 12 12 12 12	Once daily Once	TMC Health /  MCWC TMC	PDA TMC Health Monitor Touch screen PC  / TMC Health	ve analysis Alert: Threshold – Alert: Abnormal Alert: Threshold – Alert: Threshold – Individual Alert: Abnormal Alert: Abnormal Alert:
[52] Martin-Lesende Antoniade Pinnock Steventon  Rixon Vianello [84]	6 201 3 201 201 201 201 201 201 201 201 201 6	TELBIL  /  Whole Systems Demonstrat or /	28* * 22 21 128 128 739 549 334 334 230	* 22 128 128 786 520 244 244 104	/ / 34 44 44 / / / /	/ 40 40 / / / /	347.4 days 12 months 12 12 12 12 12 12 12 12 12 12 12 12 12	Once daily Once daily Once daily Once daily Once daily Once daily	TMC Health /  MCWC	PDA TMC Health Monitor Touch screen PC  /  TMC Health Monitor	ve analysis Alert: Threshold – Alert: Abnormal Alert: Threshold – Alert: Threshold – Individual Alert: Abnormal Alert: Abnormal Alert: Threshold –
[52] Martin-Lesende Antoniade Pinnock Steventon  Rixon Vianello [84] Shany [31] Williams	6 201 3 201 201 201 201 201 201 201 201 6 201 6 201 201	TELBIL  /  Whole Systems Demonstrat or  /  EDGE	28* * 22 21 128 128 739 549 334 334 230 21 19 18	* 22 128 128 786 520 244 244 104	/ // 34 44 44 / / / / 40 ± / /	/ 40 40 / / / / / 32 ±	347.4 days 12 months 12 12 12 12 12 12 12 4 or 12 12 months 12 months 6	Once daily	/ TMC Health /  MCWC TMC Health	PDA TMC Health Monitor Touch screen PC  /  TMC Health Monitor Samsung	ve analysis Alert: Threshold – Alert: Abnormal Alert: Threshold – Alert: Threshold – Individual Alert: Abnormal Alert: Abnormal Alert: Threshold –
[52] Martin-Lesende Antoniade Pinnock Steventon  Rixon Vianello [84] Shany [31] Williams  Velardo	6 201 3 201 201 201 201 201 201 201 201 6 201 6 201 201 201	TELBIL  /  Whole Systems Demonstrat or /	28* * 22 21 128 128 739 549 334 334 230 21 19 18 110	* 22 128 128 786 520 244 244 104	/ // 34 44 44 / / / / 40 ± /	/ 40 40 / / / / 32 ±	347.4 days 12 months 12 12 12 12 12 12 12 4 or 12 12 months 12 months 6 6	Once daily	TMC Health  /  MCWC TMC Health	PDA TMC Health Monitor Touch screen PC  /  TMC Health Monitor	ve analysis Alert: Threshold – Alert: Abnormal Alert: Threshold – Alert: Threshold – Individual Alert: Abnormal Alert: Abnormal Alert: Threshold –
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Merone [51]	201 7	/	22		/		6 months	3x/day	/	Smartphone	Retrospecti ve analysis
Pedone [87]	201 3	/	50	49	53 ±	55 ±	9 months	5x/3hour s	Nonin	Smartphone	Alert: Abnormal
Faria [28]	201 4	TELEMOL D	22*		/		7.6 ± 4.5	Continuo us	Nonin Avant	Smartphone	Alert: Abnormal

<sup>&</sup>lt;sup>1</sup> Indented articles originate from the same study as the article above. The articles are sorted by measuring frequency and study duration; SD – Standard Deviation; RC – Remote consultation; TM – Telemonitoring group; UC – Usual care group; FEV1%pred – Percentage predicted of the forced expiratory volume in 1 second; \* Non-COPD participants excluded; \*\*Non-COPD participants included; MCWC - Medical Concierge Wrist Clinic

Table	2: Dete		f exacerbations based on SpO2 and other	
Author	Year	$SpO_2$	Other physiological variables	Combination of variables
Martin- Lesende [44]	2013	74.3% of all SpO <sub>2</sub> -based alerts were generated in the five days prior to a hospitalization	RR: 69.4% alerts generated prior hospitalization Systolic BP: 38.9% alerts generated prior hospitalization Diastolic BP: 36.1% alerts generated prior hospitalization	
			HR: 27.8% alerts generated prior hospitalization Body weight: 31% alerts generated prior hospitalization Temperature: 27.8% alerts generated prior hospitalization	
Segrelles Calvo [45]	2014	SpO <sub>2</sub> triggered an alert in 30 of 50 detected exacerbations	PEF: 7 of 50 alerts BP: 4 of 50 alerts	
Burton [53]	2015	SpO <sub>2</sub> can differentiate between exacerbation days and usual days (p-value = 0.002) SpO <sub>2</sub> cannot differentiate between exacerbation days and isolated bad days (p-value = 0.61)	HR: cannot differentiate between exacerbation days and usual days (p-value = 0.12)  HR: cannot differentiate between exacerbation days and isolated bad days (p-value = 0.22)	
Brown- Connolly [32]	2014	Exacerbation prediction based on measured SpO <sub>2</sub> AUC = 0.693	Exacerbation prediction based on measured BP: AUC = 0.553 HR: AUC = 0.540 Systolic BP: AUC = 0.540 Diastolic BP: AUC = 0.527	/
Shah [34]	2017	Exacerbation prediction based on mean and linear fit gradient over seven days of SpO <sub>2</sub> AUC = 0.658	Exacerbation prediction based on mean and linear fit gradient over seven days of:  RR: AUC = 0.605  HR: AUC = 0.578	Exacerbation prediction based on mean and linear fit gradient over seven days of: $SpO_2 + HR: AUC = 0.664$ $SpO_2 + RR: AUC = 0.672$ $SpO_2 + HR + RR: AUC = 0.682$
Jensen [49]	2012	Exacerbation prediction based on SD over 25 days of SpO <sub>2</sub> AUC = 0.61	/	Exacerbation prediction based on linear regression between SpO <sub>2</sub> and HR over 30 days:  AUC = 0.78  SpO <sub>2</sub> SD over 25 days + linear regression between SpO <sub>2</sub> and HR over 30 days: AUC = 0.73
Hurst [29]	2010	Exacerbation prediction based on measured SpO <sub>2</sub> AUC = 0.712	Exacerbation prediction based on measured HR: AUC = 0.819 PEF: AUC = 0.805	Exacerbation prediction based on oximetry score (SpO <sub>2</sub> + HR): AUC = 0.849  oximetry-PEF score (SpO <sub>2</sub> + HR + PEF): AUC = 0.897
Mohktar [33]	2015	Exacerbation prediction based on distribution mean of SpO <sub>2</sub> over 30 days Cohen's kappa =	Exacerbation prediction based on FEV1 SD: Cohen's kappa = 0.21 measured weight: Cohen's kappa = 0.21 measured FEV1: Cohen's kappa = 0.18 weight distribution mean: Cohen's kappa = 0.15	Exacerbation prediction based on features of SpO <sub>2</sub> , FEV1, weight, temperature HR, RR: Cohen's kappa = 0.42 Accuracy = 71.8%

	0.27	weight SD: Cohen's kappa = 0.13	
		temperature percentage change: Cohen's	
		kappa = 0.13	
		FEV1 percentage change: 0.13	
		FEV1 distribution mean: 0.11	

<sup>2</sup>SpO<sub>2</sub> – Oxygen saturation; RR – Respiratory rate; BP – Blood pressure; HR – Heart rate; PEF – Peak expiratory flow; AUC – Area under the curve;

#### FEV1 - Forced expiratory volume in 1 second; SD - Standard Deviation

### **Key issues**

- Long-term follow-up of COPD patients using daily spot check measurements of oxygen saturation (SpO<sub>2</sub>) is practically feasible.
- Very few studies specified protocols to perform SpO<sub>2</sub> measurements
- In many studies, deviating SpO<sub>2</sub> values were used to raise alerts that led to immediate action from healthcare professionals. Other studies developed methods to predict exacerbations through retrospective analysis of the measured SpO<sub>2</sub> values.
- Little information was available about the exact implementation and performance of SpO<sub>2</sub> alerts.
- No firm conclusions can be drawn about the real value of SpO<sub>2</sub> measurements. Nevertheless, the few studies that assessed the value of SpO<sub>2</sub> measurements indicated that these measurements could be valuable for exacerbation detections or predictions.
- Future research could optimize alerts based on daily measured SpO<sub>2</sub> by using individualized, time-dependent thresholds or predictive algorithms to account for individual differences and SpO<sub>2</sub> baseline changes.
- The value of performing more continuous measurements should be examined, as these measurements can make it possible to examine the SpO<sub>2</sub> dynamics and account for factors that acutely influence the measurements (e.g. physical activity or sleep).

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- \* Of interest
- \*\* Of considerable interest
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# \* The only included article that approached the daily oxygen saturation measurements as a time series and used specific time series analyses on the measured values

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