

# Packing of Graphic $n$ -tuples

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## Abstract

An  $n$ -tuple  $\pi$  (not necessarily monotone) is *graphic* if there is a simple graph  $G$  with vertex set  $\{v_1, \dots, v_n\}$  in which the degree of  $v_i$  is the  $i$ th entry of  $\pi$ . Graphic  $n$ -tuples  $(d_1^{(1)}, \dots, d_n^{(1)})$  and  $(d_1^{(2)}, \dots, d_n^{(2)})$  *pack* if there are edge-disjoint  $n$ -vertex graphs  $G_1$  and  $G_2$  such that  $d_{G_1}(v_i) = d_i^{(1)}$  and  $d_{G_2}(v_i) = d_i^{(2)}$  for all  $i$ . We prove that graphic  $n$ -tuples  $\pi_1$  and  $\pi_2$  pack if  $\Delta \leq \sqrt{2\delta n} - (\delta - 1)$ , where  $\Delta$  and  $\delta$  denote the largest and smallest entries in  $\pi_1 + \pi_2$  (strict inequality when  $\delta = 1$ ); also, the bound is sharp.

Kundu and Lovász independently proved that a graphic  $n$ -tuple  $\pi$  is realized by a graph with a  $k$ -factor if the  $n$ -tuple obtained by subtracting  $k$  from each entry of  $\pi$  is graphic; for even  $n$  we conjecture that in fact some realization has  $k$  edge-disjoint 1-factors. We prove the conjecture in the case where the largest entry of  $\pi$  is at most  $n/2 + 1$  and also when  $k \leq 3$ .

**Keywords:** Degree sequence, graphic sequence, graph packing,  $k$ -factor, 1-factor

## 1 Introduction

An integer  $n$ -tuple  $\pi$  is *graphic* if there is a simple graph  $G$  with vertex set  $\{v_1, \dots, v_n\}$  such that  $d_G(v_i) = d_i$ , where  $\pi = (d_1, \dots, d_n)$  and  $d_G(v)$  denotes the degree of vertex  $v$  in graph  $G$ . Such a graph  $G$  *realizes*  $\pi$ . Two  $n$ -vertex graphs  $G_1$  and  $G_2$  *pack* if they can be expressed as

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edge-disjoint subgraphs of the complete graph  $K_n$ . We study an analogue of graph packing for graphic  $n$ -tuples. Let  $\pi_1$  and  $\pi_2$  be graphic  $n$ -tuples, with  $\pi_1 = (d_1^{(1)}, \dots, d_n^{(1)})$  and  $\pi_2 = (d_1^{(2)}, \dots, d_n^{(2)})$  (they need not be monotone). We say that  $\pi_1$  and  $\pi_2$  *pack* if there exist edge-disjoint graphs  $G_1$  and  $G_2$  with vertex set  $\{v_1, \dots, v_n\}$  such  $d_{G_1}(v_i) = d_i^{(1)}$  and  $d_{G_2}(v_i) = d_i^{(2)}$  for all  $i$ . In graph packing, vertices may be reordered, but in packing of graphic  $n$ -tuples no reordering of the indices is allowed. Graphic  $n$ -tuples are often called *graphic sequences*; we use “ $n$ -tuple” partly to emphasize that the order of entries matters. When not specifying the length, we use “list”.

The condition that  $\pi_1 + \pi_2$  is graphic is obviously necessary for  $\pi_1$  and  $\pi_2$  to pack, but the following small example shows that it is not sufficient.

**Example 1.1.** Let  $\pi_1 = (3, 1, 2, 2, 0, 0)$  and  $\pi_2 = (1, 3, 0, 0, 2, 2)$ , with sum  $(4, 4, 2, 2, 2, 2)$ . Both  $\pi_1$  and  $\pi_2$  are graphic, and the complete bipartite graph  $K_{2,4}$  realizes their sum. However, in every realization of  $\pi_j$ , the vertex  $v_j$  of degree 3 has three nonisolated neighbors. Thus  $v_1$  and  $v_2$  are adjacent in every realization of  $\pi_1$  or  $\pi_2$ , and the lists do not pack.  $\square$

In fact, Dürr, Guiñez, and Matamala [4] showed that determining whether two graphic  $n$ -tuples pack is NP-complete. Hence we focus on finding sharp sufficient conditions. In 1978, Sauer and Spencer [14] published the classical result that  $n$ -vertex graphs  $G_1$  and  $G_2$  pack if  $\Delta(G_1)\Delta(G_2) < n/2$ , where  $\Delta(G)$  denotes the maximum vertex degree in  $G$ . In Section 2, we prove an analogue for  $n$ -tuples, showing that graphic  $n$ -tuples  $\pi_1$  and  $\pi_2$  pack if  $\Delta \leq \sqrt{2\delta n} - (\delta - 1)$ , where  $\Delta$  and  $\delta$  denote the largest and smallest values in  $\pi_1 + \pi_2$ , except that strict inequality is needed when  $\delta = 1$ . Furthermore, the bound is sharp; we construct lists that do not pack when the maximum entry in the sum is larger by 1. We conjecture the stronger statement that two graphic  $n$ -tuples pack if the product of corresponding terms is always less than  $n/2$ ; this would be a more direct analogue of the Sauer–Spencer Theorem.

Kundu’s Theorem [9], published in 1973 and proved independently by Lovász [10] at about the same time, characterizes when a graphic  $n$ -tuple has a realization containing a spanning subgraph that is “almost”  $k$ -regular. In the language of packing, the result states that if  $\pi_1$  is graphic and each term in  $\pi_2$  is  $k$  or  $k - 1$ , then  $\pi_1$  and  $\pi_2$  pack if  $\pi_1 + \pi_2$  is graphic.

In Section 3, we consider extensions of the  $k$ -factor case of Kundu’s Theorem, where a  $k$ -factor of a graph is a spanning  $k$ -regular subgraph. Kundu’s Theorem implies that a graphic  $n$ -tuple  $\pi$  is realizable by a graph having a  $k$ -factor if the list obtained by subtracting  $k$  from each entry is graphic. We conjecture the stronger statement that in fact when  $n$  is even there is a realization containing  $k$  edge-disjoint 1-factors (that is, a  $k$ -edge-colorable  $k$ -factor). We prove the conjecture when the largest entry is at most  $n/2 + 1$ . We also prove the more

difficult result that the conjecture holds when  $k \leq 3$ , by proving in general that there is a realization containing a  $k$ -factor that has two edge-disjoint 1-factors.

## 2 An Analogue of the Sauer-Spencer Theorem

The Sauer–Spencer Theorem immediately implies that  $n$ -vertex graphs  $G_1$  and  $G_2$  pack when their maximum degrees sum to less than  $\sqrt{2n}$ . Chen [2] gave a short proof of Kundu’s Theorem; we use a similar technique to prove our result for packing of graphic  $n$ -tuples. When the least entry in the sum is 1, the maximum allowed by the hypothesis is the same as in the Sauer–Spencer Theorem. Note that when we prove directly that  $\pi_1$  and  $\pi_2$  pack, it follows immediately that  $\pi_1 + \pi_2$  is graphic.

Again let  $\Delta$  and  $\delta$  denote the largest and smallest entries in  $\pi_1 + \pi_2$ . Before proving that our condition is sufficient for  $\pi_1$  and  $\pi_2$  to pack, we present a simple construction that proves sharpness when  $\delta = 1$  (see also Remark 2 of [10]). We later obtain sharpness for  $\delta \geq 2$  via a slight modification of this construction.

**Example 2.1.** For  $\delta, m \in \mathbb{N}$  with  $m > 1$ , let  $n = 2\delta m^2$ . We construct graphic  $n$ -tuples  $\pi_1$  and  $\pi_2$  with  $\Delta = \sqrt{2\delta n}$  that do not pack. Let

$$\pi_1 = (\delta m, \delta m, (2\delta m)^{\delta(m-1)}, 0^{\delta(m-1)}, (\delta m)^{\delta-1}, 0^{\delta-1}, \delta^{\delta(m^2-m)}, 0^{\delta(m^2-m)})$$

and

$$\pi_2 = (\delta m, \delta m, 0^{\delta(m-1)}, (2\delta m)^{\delta(m-1)}, 0^{\delta-1}, (\delta m)^{\delta-1}, 0^{\delta(m^2-m)}, \delta^{\delta(m^2-m)}),$$

where the exponents denote multiplicity (lengths of constant sublists). The lists have length  $2\delta m^2$ , as desired. Also, the largest and smallest entries in  $\pi_1 + \pi_2$  are  $2\delta m$  and  $\delta$ , respectively, so  $\Delta = \sqrt{2\delta n}$ . (The Erdős–Gallai conditions [6] readily imply that  $\pi_1 + \pi_2$  is graphic, but this is not important). It remains to show that  $\pi_1$  and  $\pi_2$  are graphic but do not pack.

To show that  $\pi_i$  is graphic, start with  $K_{\delta m+1}$ , split its vertices into sets  $V_1, \dots, V_{m-1}$  of size  $\delta$  plus  $\delta + 1$  leftover vertices, for each  $i$  make the vertices of  $V_i$  adjacent to a set  $X_i$  of  $\delta m$  new vertices, and add to these  $\delta m^2 + 1$  vertices a set of  $\delta m^2 - 1$  isolated vertices.

Given any realization of  $\pi_1$ , let  $S$  be the set of  $\delta m + 1$  vertices with degree exceeding  $\delta$ . Their degree-sum is  $2\delta^2 m^2 - \delta m(\delta - 1)$ , which equals  $2\binom{\delta m+1}{2} + \delta^2(m^2 - m)$ . To reach this total,  $S$  must induce a complete graph, and all other edges must join  $S$  to vertices of degree  $\delta$ . Thus  $v_1$  and  $v_2$  are adjacent in every realization of  $\pi_1$ . The same argument applies to  $\pi_2$ ; again  $v_1$  and  $v_2$  are adjacent in every realization. Since  $v_1$  and  $v_2$  are adjacent in all realizations of both lists,  $\pi_1$  and  $\pi_2$  do not pack.  $\square$

Given a graph  $G$  and a set  $S \subseteq V(G)$ , let  $G[S]$  denote the induced subgraph of  $G$  with vertex set  $S$ , and let  $N_G(S)$  be the set of vertices having a neighbor in  $S$ . A *clique* is a pairwise adjacent set of vertices.

**Theorem 2.2.** *Let  $\pi_1$  and  $\pi_2$  be graphic  $n$ -tuples. If*

$$\Delta \leq \sqrt{2\delta n} - (\delta - 1),$$

*where  $\Delta$  and  $\delta$  denote the maximum and minimum values in  $\pi_1 + \pi_2$ , then  $\pi_1$  and  $\pi_2$  pack, except that strict inequality is required when  $\delta = 1$ .*

*Proof.* Let  $\pi_1$  and  $\pi_2$  be graphic  $n$ -tuples. If  $\delta = 0$ , then  $\Delta \leq \sqrt{2\delta n} - (\delta - 1)$  implies that realizations are edgeless or consist of matchings on disjoint vertex sets, so  $\pi_1$  and  $\pi_2$  pack. Therefore, we may assume  $\delta \geq 1$ . We prove that if  $\pi_1$  and  $\pi_2$  fail to pack, then  $\Delta \geq \sqrt{2\delta n} - (\delta - 1)$ , with strict inequality when  $\delta > 1$ .

Among realizations of  $\pi_1$  and  $\pi_2$  on vertices  $v_1, \dots, v_n$  that have the required degrees at each vertex, choose  $G_1$  and  $G_2$  to minimize the number of edges that appear in both graphs. Since  $\pi_1$  and  $\pi_2$  do not pack, we may consider an edge  $xy$  in  $E(G_1) \cap E(G_2)$ .

Let  $G = G_1 \cup G_2$ , and let  $I = V(G) - (N_G(x) \cup N_G(y))$ . With  $\delta \geq 1$ , we have  $\Delta < \sqrt{n}$ , so  $I \neq \emptyset$ . Let  $Q = N_G(I)$ . Suppose that  $G_1$  or  $G_2$  has an edge  $uv$  such that  $u \in I$  and  $\{x, y\} \not\subseteq N_G(v)$ ; by symmetry, we may assume  $uv \notin E(G)$ . Replacing  $\{xy, uv\}$  with  $\{xu, yv\}$  in that graph reduces the number of shared edges without changing any vertex degrees, contradicting the choice of  $G_1$  and  $G_2$  (see Figure 1a). Thus  $Q \subseteq N_G(x) \cap N_G(y)$ .

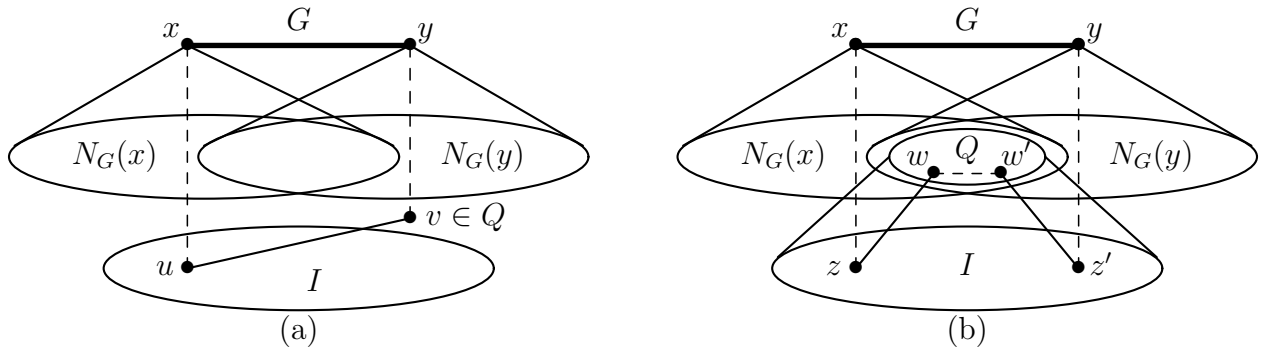


Figure 1: Properties of  $Q$

For  $j \in \{1, 2\}$ , let  $Q_j = N_{G_j}(I)$ ; we claim that  $Q_j$  is a clique in  $G$ . Otherwise, choose  $w, w' \in Q_j$  with  $ww' \notin E(G)$ . Let  $z$  and  $z'$  be (not necessarily distinct) vertices in  $I$  such that  $zw, z'w' \in E(G_j)$ . Since  $ww' \notin E(G_j)$ , replacing  $\{z'w', wz, xy\}$  with  $\{w'w, zx, yz'\}$  in  $E(G_j)$  reduces the number of shared edges without changing vertex degrees (see Figure 1b).

Since  $Q = Q_1 \cup Q_2$ , and  $Q_1$  and  $Q_2$  are cliques in  $G$ , the complement of  $G[Q]$  is bipartite. Letting  $r$  be the number of edges in  $G[Q]$ , we obtain

$$r \geq \binom{|Q|}{2} - \frac{|Q|^2}{4} = \frac{|Q|^2}{4} - \frac{|Q|}{2}. \quad (1)$$

Next, note that  $|I| = n - |N_G(x) \cup N_G(y)| = n - |N_G(x)| - |N_G(y)| + |N_G(x) \cap N_G(y)|$ . Since  $xy$  is a shared edge,  $|N_G(x)|$  and  $|N_G(y)|$  are at most  $\Delta - 1$ . With  $Q \subseteq N_G(x) \cap N_G(y)$ ,

$$|I| \geq n - 2\Delta + 2 + |Q|. \quad (2)$$

Each vertex  $v \in I$  has at least  $\delta$  incident edges in  $G_1$  and  $G_2$  together, and each neighbor is in  $Q$ . Since  $Q \subseteq N_G(x) \cap N_G(y)$ , at most  $(\Delta - 2)|Q| - 2r$  edges of  $G_1$  and  $G_2$  together have endpoints in  $I$  and  $Q$ . Therefore,

$$|I| \leq \frac{(\Delta - 2)|Q| - 2r}{\delta}. \quad (3)$$

Together, (2) and (3) yield

$$(\Delta - 2)|Q| - 2r \geq \delta(n - 2\Delta + 2 + |Q|). \quad (4)$$

Using (1) to substitute for  $r$ , letting  $q = |Q|$ , and simplifying brings us to

$$q(\Delta - 1 - \delta - q/2) \geq \delta(n - 2\Delta + 2). \quad (5)$$

The left side is maximized when  $q = \Delta - 1 - \delta$ . Since the inequality must hold there,  $(\Delta - 1 - \delta)^2 \geq 2\delta(n - 2\Delta + 2)$ . Adding  $4\delta(\Delta - 1)$  to both sides yields  $(\Delta - 1 + \delta)^2 \geq 2\delta n$ , or

$$\Delta \geq \sqrt{2\delta n} - (\delta - 1). \quad (6)$$

To complete the sufficiency proof, we show that equality cannot hold in (6) when  $\delta \geq 2$ . Equality in (6) requires equality in the inequalities that produced it. Equality holds in (5) only when  $q = \Delta - 1 - \delta$ . Equality in (4) (equivalent to (5)) requires equality in (3) and (2). Thus  $\delta|I|$  equals both sides of (4), and also  $Q = N_G(x) \cap N_G(y)$  and  $|N_G(x)| = |N_G(y)| = \Delta - 1$ . By this last equality,  $G_1$  and  $G_2$  share no edges incident to  $x$  or  $y$  except  $xy$ .

Equality in (3) requires  $N_G(w) = Q$  whenever  $w \in I$ . Since exactly  $(\Delta - 2)|Q| - 2r$  edges have endpoints in  $Q$  and  $I$ , and by definition  $G[Q]$  has  $r$  edges, the edges joining  $Q$  to  $I \cup \{x, y\}$  and within  $Q$  exhaust the total degree sum available to vertices of  $Q$ . We conclude that in  $G$  each vertex of  $Q$  has degree  $\Delta$  and has no neighbor in  $N_G(x) \cup N_G(y)$  outside  $Q$ .

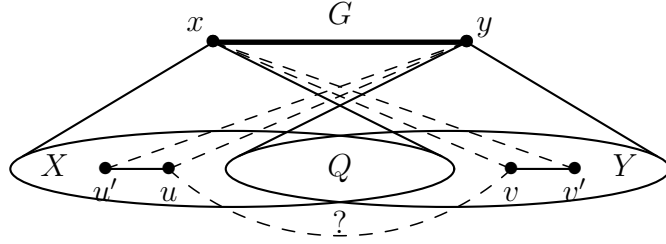


Figure 2:  $Q = N_G(I) \subseteq N_G(x) \cap N_G(y)$

Let  $X = N_G(x) - N_G(y) - \{y\}$ , and let  $Y = N_G(y) - N_G(x) - \{x\}$  (see Figure 2). Since  $|N_G(x)| = |N_G(y)| = \Delta - 1$  and  $|N_G(x) \cap N_G(y)| = q = \Delta - 1 - \delta$ , we have  $|X| = |Y| = \delta - 1$ . If  $G_j$  has edges within both  $X$  and  $Y$ , say  $uu' \in G_j[X]$  and  $vv' \in G_j[Y]$ , then consider whether  $uv \in E(G_j)$ . If so, then replacing  $\{xy, uv\}$  with  $\{yu, vx\}$  in  $G_j$  reduces the number of shared edges; if not, then replacing  $\{vv', xy, u'u\}$  with  $\{v'x, yu', uv\}$  does so. Hence by symmetry we may assume that edges of  $G[X]$  lie only in  $G_1$  and edges of  $G[Y]$  lie only in  $G_2$ . Now vertices of  $X$  are isolated in  $G_2$  and have at most  $\delta - 1$  neighbors in  $G_1$  (including  $x$ ). If  $X$  is nonempty, then this contradicts the definition of  $\delta$ . Hence equality in (6) requires  $X = \emptyset$  and  $\delta = 1$ .  $\square$

**Theorem 2.3.** *The result of Theorem 2.2 is sharp: for  $\delta, m \in \mathbb{N}$  with  $m \geq \delta \geq 2$ , there exist  $\pi_1$  and  $\pi_2$  with  $n = 2\delta m^2$  such that  $\Delta = \sqrt{2\delta n} - (\delta - 2)$  but  $\pi_1$  and  $\pi_2$  do not pack.*

*Proof.* We consider only  $\delta \geq 2$  since the construction in Example 2.1 proves sharpness for  $\delta = 1$ . Choose  $m \in \mathbb{N}$  with  $m \geq \delta$ , and let  $n = 2\delta m^2$ . Let  $G$  be the construction using these parameters in Example 2.1. We modify  $G$  to reduce the maximum degree by  $\delta - 1$ . This will also reduce  $\Delta$  by  $\delta - 1$  in the sum of two specified orderings of the vertex degrees.

Recall that the construction of  $G$  begins with a complete graph  $K_{\delta m + 1}$  whose vertex set is composed of sets  $V_1, \dots, V_{m-1}$  of size  $\delta$  plus  $\delta + 1$  additional vertices. For each  $i$  the set  $V_i$  is adjacent to a set  $X_i$  of  $\delta m$  new vertices, and there are  $\delta m^2 - 1$  additional isolated vertices. Each vertex in  $\bigcup_i V_i$  has degree  $2\delta m$ , each extra vertex in the clique has degree  $\delta m$ , and  $\delta m(m - 1)$  vertices outside the clique have degree  $\delta$ .

Modify  $G$  by removing  $\delta - 1$  of the extra vertices from the clique, reducing the degrees of the other vertices by  $\delta - 1$ . For  $1 \leq i \leq \delta - 1$ , put one of the removed vertices into  $X_i$ . Hence the number of vertices remains  $2\delta m^2$ , the vertices of  $V_1, \dots, V_{\delta-1}$  have degree  $2\delta m - \delta + 2$ , those of  $V_\delta, \dots, V_{m-1}$  have degree  $2\delta m - \delta + 1$ , the two unmoved extra vertices have degree  $\delta m - \delta + 1$ , and the remaining vertices have degree  $\delta$ . The new graph  $G'$  realizes the  $n$ -tuples

$\pi'_1$  and  $\pi'_2$  given by

$$((\delta m - \delta + 1)^2, (2\delta m - \delta + 2)^{\delta(\delta-1)}, 0^{\delta(\delta-1)}, (2\delta m - \delta + 1)^{\delta(m-\delta)}, 0^{\delta(m-\delta)}, \delta^{\delta(m^2-m)+\delta-1}, 0^{\delta(m^2-m)+\delta-1})$$

and

$$((\delta m - \delta + 1)^2, 0^{\delta(\delta-1)}, (2\delta m - \delta + 2)^{\delta(\delta-1)}, 0^{\delta(m-\delta)}, (2\delta m - \delta + 1)^{\delta(m-\delta)}, 0^{\delta(m^2-m)+\delta-1}, \delta^{\delta(m^2-m)+\delta-1}).$$

By construction,  $\pi'_1$  and  $\pi'_2$  are graphic. To show that they do not pack, we argue as in Example 2.1. In any realization of  $\pi'_1$ , let  $S$  be the set of  $\delta m - \delta + 2$  vertices with degrees exceeding  $\delta$ . Their degrees sum to

$$2\delta m\delta(m-1) - (\delta-1)\delta(m-1) + \delta(\delta-1) + 2\delta m - 2(\delta-1),$$

which equals  $2\binom{\delta m - \delta + 2}{2} + \delta^2(m^2 - m) + \delta(\delta - 1)$ . To achieve this total, again  $S$  must be a clique. As in Example 2.1,  $v_1$  and  $v_2$  must be adjacent in all realizations of both graphs; hence  $\pi_1$  and  $\pi_2$  do not pack.  $\square$

If  $a+b < \sqrt{2n}$ , then also  $ab < n/2$ . Hence the conjecture below would strengthen Theorem 2.2 when  $\delta = 1$  and provide a more direct analogue to the Sauer-Spencer Theorem.

**Conjecture 2.4.** *Let  $\pi_1$  and  $\pi_2$  be graphic  $n$ -tuples, with  $\delta$  the least entry in  $\pi_1 + \pi_2$ . If  $\delta \geq 1$  and the product of corresponding entries in  $\pi_1$  and  $\pi_2$  is always less than  $n/2$ , then  $\pi_1$  and  $\pi_2$  pack.*

For fixed  $\delta$ , a suitable bound on the product of corresponding entries to guarantee packing may be something like  $\delta n/2 - O(\delta\sqrt{\delta n})$ .

### 3 Extensions of Kundu's Theorem

Let  $D_k(\pi)$  denote the  $n$ -tuple obtained from an  $n$ -tuple  $\pi$  by subtracting  $k$  from each entry. The ‘‘regular’’ case of Kundu's Theorem states that if  $\pi$  and  $D_k(\pi)$  are graphic, then some realization of  $\pi$  has a  $k$ -factor. To extend the theorem, one could try to guarantee that some realization of  $\pi$  has edge-disjoint regular factors of degrees  $k_1, \dots, k_t$ , where  $\sum_{i=1}^t k_i = k$ .

When  $n$  is odd, no regular  $n$ -vertex graph has odd degree, so existence requires all  $k_1, \dots, k_t$  even. In that case, existence then follows immediately from Kundu's Theorem and Petersen's 2-Factor Theorem [12]; the latter states that every  $2r$ -regular graph decomposes into 2-factors. It remains to consider even  $n$ .

**Conjecture 3.1.** *Let  $n$  be an even integer. If  $\pi$  is a graphic  $n$ -tuple such that  $D_k(\pi)$  is also graphic, and  $k_1, \dots, k_t$  are positive integers with sum  $k$ , then some realization of  $\pi$  has edge-disjoint regular factors with degrees  $k_1, \dots, k_t$ .*

Conjecture 3.1 is immediately equivalent to the following conjecture.

**Conjecture 3.2.** *Let  $n$  be an even integer. If  $\pi$  is a graphic  $n$ -tuple such that  $D_k(\pi)$  is also graphic, then some realization of  $\pi$  has  $k$  edge-disjoint 1-factors.*

Our main result (Theorem 3.9) toward Conjecture 3.2 combines with Petersen's Theorem to yield Conjecture 3.1 when  $k$  is even and at most two of  $k_1, \dots, k_t$  are odd, and when  $k$  is odd and at most one of  $k_1, \dots, k_t$  is odd.

We have proved several special cases of Conjecture 3.2. The first uses a lemma proved by A.R. Rao and S.B. Rao [13] in their study of what was called the “ $k$ -Factor Conjecture” before it became Kundu's Theorem.

**Lemma 3.3.** *Fix  $k \in \mathbb{N}$ , and let  $\pi$  be a graphic  $n$ -tuple such that  $D_k(\pi)$  is also graphic. If  $r$  is a positive integer such that  $r \leq k$  and  $rn$  is even, then  $D_r(\pi)$  is also graphic.*

Let  $\Delta(G)$  and  $\delta(G)$  denote the largest and smallest vertex degrees in a graph  $G$ .

**Theorem 3.4.** *Fix  $k, n \in \mathbb{N}$  with  $n$  even, and let  $\pi$  be a graphic  $n$ -tuple such that  $D_k(\pi)$  is also graphic. If every entry in  $\pi$  is at most  $n/2 + 1$ , then some realization of  $\pi$  has  $k$  edge-disjoint 1-factors.*

*Proof.* The proof is by induction on  $k$ . For  $k = 0$ , the statement is vacuous, and the case  $k = 1$  is a special case of Kundu's Theorem. Suppose then that  $k \geq 2$  and that  $D_k(\pi)$  is graphic. By Lemma 3.3,  $D_2(\pi)$  is graphic, and since  $D_k(\pi)$  is graphic the induction hypothesis implies that there is a realization  $G$  of  $D_2(\pi)$  having  $k - 2$  disjoint 1-factors.

The hypothesis on  $\pi$  yields  $\Delta(G) \leq n/2 - 1$ , so  $\delta(\overline{G}) \geq n/2$ . Dirac's Theorem [3] now implies that  $\overline{G}$  has a spanning cycle  $C$ . Since  $n$  is even,  $C$  decomposes into two edge-disjoint 1-factors. Therefore,  $G \cup C$  is a realization of  $\pi$  having  $k$  edge-disjoint 1-factors.  $\square$

We also obtain Conjecture 3.2 in those cases where every entry in  $\pi$  is large, by applying Theorem 3.4 to the  $n$ -tuple obtained by subtracting every entry of  $D_k(\pi)$  from  $n - 1$ .

**Corollary 3.5.** *Fix  $k, n \in \mathbb{N}$  with  $n$  even, and let  $\pi$  be a graphic  $n$ -tuple such that  $D_k(\pi)$  is also graphic. If every entry in  $\pi$  is at least  $n/2 + k - 2$ , then some realization of  $\pi$  has  $k$  edge-disjoint 1-factors.*



Our main result in this section is that, under the conditions of Conjecture 3.2, there is a realization of  $\pi$  having edge-disjoint factors  $M_1, M_2, F$  that are regular of degrees 1, 1, and  $k - 2$ . This implies Conjecture 3.2 for  $k \leq 3$ ; for Conjecture 3.1, it allows one or two of  $k_1, \dots, k_t$  to be odd when  $k$  is odd or even, respectively.

We use a well-known description of the maximum matchings in a graph. Say that a matching  $M$  *avoids* a vertex  $x$  if  $M$  has no edge incident to  $x$ . The *Gallai–Edmonds decomposition* of a graph  $G$  is a partition of  $V(G)$  into three sets defined as follows (the presentation by Lovász and Plummer [11] uses  $(D, A, C)$  instead of our  $(A, B, C)$ ):

$$\begin{aligned} A &= \{x \in V(G) : \text{some maximum matching avoids } x\}, \\ B &= \{x \in V(G) - A : x \text{ has a neighbor in } A\}, \\ C &= V(G) - (A \cup B). \end{aligned}$$

A *near-perfect* matching in  $G$  is a matching that avoids exactly one vertex. A graph is *factor-critical* if each vertex is avoided by some near-perfect matching. The *deficiency*  $\text{def}(G)$  of a graph  $G$  is defined to be  $\max_{X \subseteq V(G)} (o(G - X) - |X|)$ , where  $o(H)$  is the number of odd components (odd number of vertices) in  $H$ . It is immediate that every matching in  $G$  avoids at least  $\text{def}(G)$  vertices, and the Berge–Tutte Formula [1] states that equality holds for a maximum matching.

The Gallai–Edmonds Structure Theorem [5, 7, 8] describes the maximum matchings in a graph in terms of its Gallai–Edmonds Decomposition. We state only the parts we need.

**Theorem 3.6.** *If  $(A, B, C)$  is the Gallai–Edmonds Decomposition of a graph  $G$ , then (a) the components of  $G[A]$  are factor-critical, and (b) every maximum matching in  $G$  consists of a near-perfect matching in each component of  $G[A]$ , a perfect matching in  $G[C]$ , and a matching of  $B$  into vertices in distinct components of  $G[A]$ .*

Consider the decomposition  $(A, B, C)$  of a graph  $G$  having an even number of vertices but no 1-factor. Say that a component of  $G[A]$  is *missed* by a matching  $M$  if it has no vertex matched with a vertex of  $B$  in  $M$ . By Theorem 3.6, a maximum matching in  $G$  misses at least two components of  $G[A]$ . Our structural lemma, which may be of independent interest, is that when  $G$  is regular we can ensure that two such components will be nontrivial, where a graph is *nontrivial* if it has at least one edge.

**Lemma 3.7.** *Let  $(A, B, C)$  be the Gallai–Edmonds decomposition of a regular graph  $F$  with an even number of vertices. If  $F$  does not have a 1-factor, then some maximum matching in  $F$  misses two nontrivial components of  $F[A]$ .*

*Proof.* When  $F$  has no 1-factor, the set  $A$  is nonempty. Let  $S$  be the set of isolated vertices in  $F[A]$ . By Theorem 3.6, every maximum matching in  $F$  pairs  $B$  with vertices of distinct components of  $F[A]$ . Since the number of vertices is even, every maximum matching misses at least two components of  $F[A]$ .

Among the maximum matchings in  $F$ , choose  $M$  to miss the most nontrivial components of  $F[A]$ . If  $M$  does not miss two such components, then  $|S| \geq 1$  and  $M$  misses at least one vertex  $a$  of  $S$ . Let  $B_S$  be the subset of  $B$  matched into  $S$  by  $M$ , and let  $B'_S = B - B_S$ .

Let  $R$  be the set of vertices reachable from  $a$  by  $M$ -alternating paths in  $F$ . Since  $M$  matches  $B$  into  $A$ , such paths move from  $A$  to  $B$  by edges not in  $M$  and return to  $A$  via  $M$ . If  $a, \dots, b, a'$  are the vertices of such a path with  $b \in B'_S$ , then exchanging membership between  $M$  and  $E(F) - M$  along the path produces a new matching  $M'$  that misses one more nontrivial component of  $F[A]$  than  $M$ . The choice of  $M$  thus implies  $R \subseteq S \cup B_S$ .

As we explore  $M$ -augmenting paths from  $a$ , reaching a vertex in  $B_S$  also immediately adds a new vertex of  $S$ . Thus  $|R \cap S| = |R \cap B_S| + 1$ . This contradicts  $k$ -regularity, since  $N(R \cap S) \subseteq R \cap B_S$ . We conclude that a maximum matching missing the most nontrivial components must miss at least two.  $\square$

Our second lemma concerns an auxiliary graph used in the proof of the theorem.

**Lemma 3.8.** *Let  $l$  and  $m$  be positive odd integers. Let  $H$  be the graph with vertices  $v_{i,j}$  for  $i \in \mathbb{Z}_l$  and  $j \in \mathbb{Z}_m$  such that each  $v_{i,j}$  is adjacent to the four vertices of the form  $v_{i\pm 1, j\pm 1}$ . Let  $S$  be an independent set in  $H$ . If the first coordinates of the vertices in  $S$  are distinct, and the second coordinates of the vertices in  $S$  are distinct, then  $H - S$  contains an odd cycle.*

*Proof.* When we arrange the vertices in the natural  $l$ -by- $m$  grid, the condition on  $S$  implies each row and column has at most one vertex of  $S$ . It suffices to find an odd closed walk avoiding  $S$ . The vertices  $v_{1,1}, \dots, v_{lm,lm}$  form an odd closed walk; it suffices unless  $v_{r,r} \in S$  for some  $r$ . Since  $S$  is independent,  $v_{r-1,r+1} \notin S$ . Also,  $v_{r-2,r}, v_{r,r+2} \notin S$ . Replacing  $v_{r,r}$  with  $v_{r-2,r}, v_{r-1,r+1}, v_{r,r+2}$  increases the length of the walk by 2 but decreases the number of vertices of  $S$  on it by 1. Doing this independently for each vertex of  $S$  on it yields an odd closed walk avoiding  $S$ .  $\square$

We can now prove the main result of this section.

**Theorem 3.9.** *Fix  $n, k \in \mathbb{N}$  with  $n$  even and  $k \geq 2$ . If  $\pi$  is a graphic  $n$ -tuple such that  $D_k(\pi)$  is also graphic, then some realization of  $\pi$  has a  $k$ -factor with two edge-disjoint 1-factors.*

*Proof.* Since  $D_k(\pi)$  is graphic, Kundu's Theorem provides a realization of  $\pi$  with a  $k$ -factor. Among all such realizations, choose a realization  $G$  and  $k$ -factor  $F$  in it to lexicographically maximize  $(r, s)$ , where  $r$  is the maximum number of edge-disjoint 1-factors in  $F$  and  $s$  is the maximum size of a maximum matching in the graph  $\hat{F}$  left by deleting  $r$  1-factors from  $F$ .

If  $r \geq 2$ , then the claim holds. Otherwise,  $r \leq 1$  and  $0 < s < n/2$ . If  $r = 1$ , let  $\hat{M}$  be the specified matching; if  $r = 0$ , then  $\hat{M} = \emptyset$ . View  $\overline{G}$ ,  $\hat{M}$ ,  $\hat{F}$ , and  $G - E(F)$  as a decomposition of  $K_n$  into edge-disjoint subgraphs.

Let  $(A, B, C)$  be the Gallai–Edmonds decomposition of  $\hat{F}$ . By Lemma 3.7,  $\hat{F}$  has a maximum matching  $M$  that misses two nontrivial components of  $\hat{F}[A]$ ; call them  $Q$  and  $Q'$ . Since  $Q$  and  $Q'$  are components of  $\hat{F}[A]$ , each edge of  $K_n$  joining them is not in  $\hat{F}$ .

If edges  $xy$  in  $Q$  and  $x'y'$  in  $Q'$  exist such that  $xx'$  and  $yy'$  lie in the same graph among  $\{\overline{G}, \hat{M}, G - E(F)\}$ , then switching  $\{xy, x'y'\}$  into it and  $\{xx', yy'\}$  into  $\hat{F}$  yields a realization  $G'$  of  $\pi$  with a  $k$ -factor  $F'$  (having a 1-factor if  $r = 1$ ). Since  $Q$  and  $Q'$  are factor-critical (by Theorem 3.6),  $Q - x$  and  $Q' - x'$  have 1-factors. Since  $M$  misses  $Q$  and  $Q'$ , replacing the edges of  $M$  in  $Q$  and  $Q'$  with  $xx'$  and 1-factors of  $Q - x$  and  $Q' - x'$  yields a matching  $M'$  in  $F'$  that is larger than  $M$ . By the choice of  $G$  and  $F$ , no such  $xx'$  and  $yy'$  exist.

Being factor-critical and nontrivial,  $Q$  and  $Q'$  are nonbipartite; hence each contains an odd cycle. Let  $\{u_1, \dots, u_l\}$  and  $\{w_1, \dots, w_m\}$  be the vertices along odd cycles chosen in  $Q$  and  $Q'$ , respectively. Form the auxiliary graph  $H$  of Lemma 3.8, with vertices  $v_{i,j}$  for  $i \in \mathbb{Z}_l$  and  $j \in \mathbb{Z}_m$ . Let  $S$  be the subset of  $V(H)$  corresponding to edges of the form  $u_i w_j$  that belong to  $\hat{M}$ . If  $r = 0$ , then  $S$  is empty; if  $r = 1$ , then  $S$  has at most one vertex in each row and column, because  $\hat{M}$  is a matching.

The vertices of  $H - S$  correspond to other edges  $u_i w_j$  in  $K_n$ , each belonging to  $\overline{G}$  or to  $G - E(F)$ . By Lemma 3.8,  $H - S$  contains an odd cycle, and hence two adjacent vertices in  $H - S$  correspond to edges from the same subgraph. These edges have the form  $xx'$  and  $yy'$  previously forbidden. We conclude that  $r \geq 2$ , as desired.  $\square$

**Corollary 3.10.** *Conjecture 3.2 is true for  $k \leq 3$ .*

We believe that the conclusion of Lemma 3.8 remains true when two such independent sets  $S$  and  $S'$  are deleted. This would improve Theorem 3.9 to produce a realization having a  $k$ -factor with three edge-disjoint 1-factors, yielding Conjecture 3.2 for  $k \leq 4$  and Conjecture 3.1 with one more odd value in  $k_1, \dots, k_t$  than allowed by Theorem 3.9. The method cannot extend beyond that, because when  $l = m = 3$  there may be three independent sets of size 1 in  $H$  that together occupy one column, and then what remains is bipartite.

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