

# Pairwise Classification as an Ensemble Technique

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**Abstract.** In this paper we investigate the performance of pairwise (or round robin) classification, originally a technique for turning multi-class problems into two-class problems, as a general ensemble technique. In particular, we show that the use of round robin ensembles will also increase the classification performance of decision tree learners, even though they can directly handle multi-class problems. The performance gain is not as large as for bagging and boosting, but on the other hand round robin ensembles have a clearly defined semantics. Furthermore, we show that the advantage of pairwise classification over direct multi-class classification and one-against-all binarization increases with the number of classes, and that round robin ensembles form an interesting alternative for problems with ordered class values.

## 1 Introduction

In a recent paper (Fürnkranz, 2001), we analyzed the performance of pairwise classification (which we call *round robin* learning) for handling multi-class problems in rule learning. Most rule learning algorithms handle multi-class problems by converting them into a series of two-class problems, one for each class, each using the examples of the corresponding class as positive examples, and all others as negative examples. This procedure is known as *one-against-all* class binarization. Round robin binarization, on the other hand, converts a  $c$ -class problem into a series of two-class problems by learning one classifier for each pair of classes, using only training examples for these two classes and ignoring all others. A new example is classified by submitting it to each of the  $c(c-1)/2$  binary classifiers, and combining their predictions via simple voting. The most important result of the previous study was that this procedure not only increases predictive accuracy, but that it is also no more expensive than the more commonly used one-against-all approach.

Obviously, round robin classifiers may also be interpreted as an ensemble classifier that, similar to error-correcting output codes (Dietterich and Bakiri, 1995), constructs an ensemble by transforming the learning problem into multiple other problems and learning a classifier for each of them.<sup>1</sup> In this paper, we will investigate the question whether round robin class-binarization can also improve

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<sup>1</sup> In fact, Allwein et al. (2000) show that pairwise classification (and other class binarization techniques) are a special case of a generalized version of error-correcting

performance for learning algorithms that can naturally handle multi-class problems, in our case decision tree learners. We will start with a brief recapitulation of our previous results on round robin learning (Section 2), and then investigate two questions: First, in Section 3, we will investigate the performance of round-robin binarization as a general ensemble technique and compare its performance to bagging and boosting. We will also evaluate a straight-forward integration of bagging and round robin learning. As more classes result in a larger ensemble of classifiers, it is reasonable to expect that the performance of round robin ensembles depends crucially on the number of classes of the problem. In Section 4, we will investigate this relation on classification problems with identical attributes but varying numbers of classes, which we obtain by discretizing the target variables of regression problems. Our results will show that round robin learning can indeed improve the performance of the `c4.5` and `c5.0` decision tree learners, and that a higher number of classes increases its performance, in particular in comparison to a one-against-all binarization.

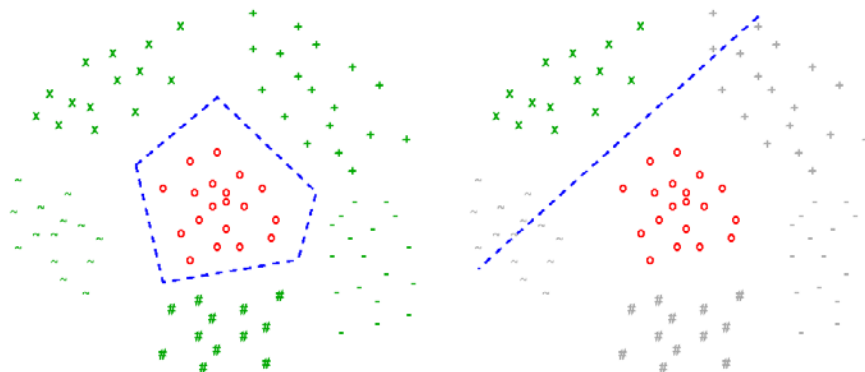
## 2 Round Robin Classification

In this section, we will briefly review round robin learning (aka pairwise classification) in the context of our previous work in rule learning (Fürnkranz, 2001; 2002). Separate-and-conquer rule learning algorithms (Fürnkranz, 1999) are typically formulated in a concept learning framework, where the goal is to find a definition for an unknown concept, which is implicitly defined via a set of positive and negative examples. Within this framework, multi-class problems, i.e., problems in which examples may belong to (exactly) one of several categories, are usually addressed by defining a separate concept learning problem for each class. Thus the original learning problem is split into a series of binary concept learning problems—one for each class—where the positive training examples are those belonging to the corresponding class and the negative training examples are those belonging to all other classes. This technique for dealing with multi-class problems in rule learning has been proposed by Clark and Boswell (1991), but is also well-known in other areas such as neural networks, support vector machines, or statistics (cf. multi-response linear regression). A variant of the technique, in which classes are first ordered (e.g., according to their relative frequencies in the training set) is used in the `ripper` rule learning algorithm (Cohen, 1995).

On the other hand, the basic idea of round robin classification is to transform a  $c$ -class problem into  $c(c-1)/2$  binary problems, one for each pair of classes. Note that in this case, the binary decision problems not only contain fewer training examples (because all examples that do not belong to the pair of classes are ignored), but that the decision boundaries of each binary problem may also be considerably simpler than in the case of one-against-all binarization.

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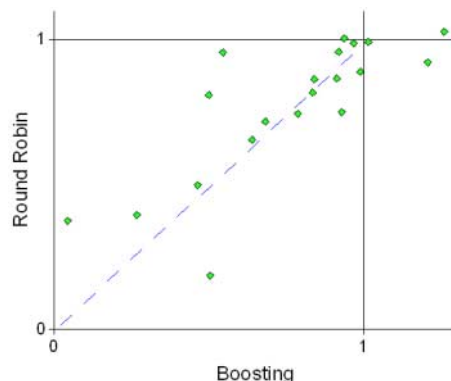
output codes, which allows to specify that certain classes should be ignored for some problems (in addition to assigning them to a positive or a negative class, as conventional output codes do).



**Fig. 1.** *One-against-all class binarization* (left) transforms each  $c$ -class problem into  $c$  binary problems, one for each class, where each of these problems uses the examples of its class as the positive examples (here  $\circ$ ), and all other examples as negatives. *Round robin class binarization* (right) transforms each  $c$ -class problem into  $c(c-1)/2$  binary problems, one for each pair of classes (here  $\circ$  and  $\times$ ) ignoring the examples of all other classes

In fact, in the example shown in Figure 1, each pair of classes can be separated with a linear decision boundary, while more complex functions are required to separate each class from all other classes.<sup>2</sup> While this idea is known from the literature (cf. Section 8 of (Fürnkranz, 2002) for a brief survey), in particular in the area of support vector machines (Hsu and Lin, 2002, and references therein), the main contributions of (Fürnkranz, 2001) were to empirically evaluate the technique for rule learning algorithms and to show that it is preferable to the one-against-all technique that is used in most rule learning algorithms. In particular, round robin binarization helps *ripper* to outperform *c5.0* on multi-class problems, whereas *c5.0* outperforms the original version of *ripper* on the same problems. Our second, more important contribution was an analysis of the computational complexity of the approach. We demonstrated that despite the fact that its complexity is quadratic in the number of classes, the algorithm is no slower than the conventional one-against-all technique. It is easy to see this, if one considers that in the one-against-all case each training example is used  $c$  times (namely in each of the  $c$  binary problems), while in the round robin approach each example is only used  $c - 1$  times, namely only in those binary problems, where its own class is paired against one of the other  $c - 1$  classes. Furthermore,

<sup>2</sup> Similar evidence was also seen in practical applications: Knerr et al. (1992) observed that the classes of a digit recognition task were pairwise linearly separable, while the corresponding one-against-all task was not amenable to single-layer networks, while Hsu and Lin (2002) obtained a larger advantage of round robin binarization over unordered binarization for support vector machines with a linear kernel than for support vector machines with a non-linear kernel.



**Fig. 2.** Error reductions ratios of boosting vs. round robin

the advantage of pairwise classification increases for computationally expensive learning algorithms. The reason is that super-linear learning algorithms learn many small problems faster than a few large problems. For details we refer to (Fürnkranz, 2002).

### 3 Round Robin Ensembles

In this section we suggest that round robin classification may also be interpreted as an ensemble technique, and its performance gain may be viewed in this context. Like with conventional ensemble techniques, the final prediction is made by exploiting the redundancy provided by multiple models, each of them being constructed from a subset of the original data. However, contrary to subsampling approaches like bagging and boosting, these datasets are constructed deterministically.<sup>3</sup> In this respect pairwise classification is quite similar to error-correcting output codes (Dietterich and Bakiri, 1995), but differs from them through its fixed procedure for setting up the new binary problems, and the fact that each of the new problems is smaller than the original problem. In particular, the latter fact may often cause the subproblems in pairwise classification to be conceptually simpler than the original problem (as illustrated in Figure 1).

In previous work (Fürnkranz, 2001), we observed that the improvements in accuracy obtained by  $r^3$  (a round robin version of *ripper*) over *ripper* were quite similar to those obtained by *c5.0-boost* (*c5.0* called with the option `-b`, i.e., 10 iterations of boosting) over *c5.0* on the same problems. Round robin binarization seemed to work whenever boosting worked, and vice versa. Figure 2 plots the error ratios of  $r^3$ /*ripper* versus those of *c5.0-boost*/*c5.0*. The correlation coefficient  $r^2$  is about 0.618, which is in the same range as correlation coefficients

<sup>3</sup> Boosting is also deterministic if the base learner is able to directly use weighted examples. Often, however, the example weights are interpreted as probabilities which are used for drawing the sample for the next boosting iteration.

**Table 1.** *Boosting*: A comparison between round robin binarization and boosting, both with c5.0 as a base learner. The first column shows the error-rate of c5.0, while the next three column pairs show the results of round robin learning, boosting, and the combination of both, all with c5.0 as a base learner. For these, we give both the error rate and the performance ratio relative to the base learner c5.0. The last line shows the geometric average of all ratios (except *letter*). The final four columns show the run-times of all algorithms

dataset	c5.0	round robin	boosting	both	run-times (for training)
letter	12.48	8.80 0.705	5.78 0.463	5.45 0.437	7.04 107.06 70.17 325.94
abalone	78.48	75.08 0.957	77.88 0.992	74.67 0.951	2.587 38.532 28.019 81.883
car	7.58	5.84 0.771	3.82 0.504	1.85 0.244	0.351 6.710 2.725 9.319
glass	35.05	24.77 0.707	27.57 0.787	22.90 0.653	0.228 5.252 1.960 8.710
image	3.20	2.90 0.905	1.60 0.500	1.73 0.541	0.230 5.259 2.150 7.523
lr spectrometer	51.22	51.79 1.011	46.70 0.912	51.98 1.015	0.051 2.520 0.184 3.863
optical	9.20	5.04 0.547	2.46 0.267	2.54 0.277	0.052 1.386 0.481 1.912
page-blocks	3.09	2.98 0.964	2.58 0.834	2.78 0.899	0.050 0.903 0.410 1.132
sat	13.82	13.16 0.953	9.32 0.675	9.00 0.651	5.582 93.241 58.605 102.235
solar flares (c)	15.77	15.69 0.995	16.41 1.041	16.70 1.059	0.033 2.351 0.265 2.883
solar flares (m)	4.90	4.90 1.000	5.90 1.206	5.83 1.191	5.398 44.581 44.319 92.370
soybean	9.66	6.73 0.697	6.59 0.682	6.44 0.667	0.991 6.559 8.653 19.376
thyroid (hyper)	1.11	1.14 1.024	1.03 0.929	1.33 1.190	4.854 21.997 44.672 70.576
thyroid (hypo)	0.58	0.69 1.182	0.32 0.545	0.53 0.909	0.662 6.181 5.260 9.000
thyroid (repl.)	0.72	0.74 1.037	0.90 1.259	0.90 1.259	0.111 9.245 0.788 9.578
vehicle	26.24	29.20 1.113	24.11 0.919	23.17 0.883	0.246 1.602 2.686 4.009
vowel	21.72	19.49 0.898	8.89 0.409	14.75 0.679	0.597 3.845 5.443 6.453
yeast	43.26	40.63 0.939	41.85 0.967	40.77 0.942	0.341 3.996 3.880 9.417
average		0.909	0.735	0.757	

for bagging and boosting (Opitz and Maclin, 1999). We interpreted this as weak evidence that the performance gains of round robin learning may be comparable to those of other ensemble methods and that it could be used as a general method for improving a learner’s performance on multi-class problems. We will further investigate this question in this section and will in particular focus upon a comparison of round robin learning with boosting (Section 3.1) and bagging (Section 3.2), and upon the potential of combining it with these techniques. Large parts of this section also appeared in (Fürnkranz, 2002).

### 3.1 Comparison to Boosting

As a first step, we perform a direct comparison of the performance of c5.0 and c5.0-boost to c5.0-rr, a round robin procedure with c5.0 as the base learning algorithm. It transforms each  $c$ -class problem into  $c(c-1)/2$  binary problems and uses c5.0 to learn a decision tree for each of them. For predicting its class, a test example is submitted to all  $c(c-1)/2$  classifiers and their predictions are combined via unweighted voting. Ties are broken in favor of larger classes. Table 1 shows the results of 18 datasets with 4 or more classes from the UCI repository (Blake and Merz, 1998). For all datasets we estimated error rates with a 10-fold

stratified cross-validation, except for *letter*, where we used the standard 4000 examples hold-out set.<sup>4</sup>

The first thing to note is that the performance of `c5.0` does indeed improve by about 10% on average<sup>5</sup> if round robin binarization is used as a pre-processing step for multi-class problems. This is despite the fact that `c5.0` can directly handle multi-class problems and does not depend on a class binarization routine. However, the direct comparison between round robin classification and boosting shows that the improvement of `c5.0-rr` over `c5.0` is not as large as the improvement provided by boosting; although there are a few cases where round robin outperforms boosting, `c5.0-boost` seems to be more reliable than `c5.0-rr`, producing an average error reduction of more than 26% on these 17 datasets. The correlation between the error reduction rates of `c5.0-boost` and `c5.0-rr` is very weak ( $r^2 = 0.276$ ), which refutes our earlier hypothesis, and brings up the question whether there is a fruitful combination of boosting and round robin classification. Unfortunately, the last column of Table 1 answers this question negatively: although there are some cases where the combination performs better than both of its constituents, the results of using round robin classification with `c5.0-boost` as a base learner does—on average—not lead to performance improvements over boosting. In some sense, these results are analogous to those of Schapire (1997) who found that integrating error-correcting output codes into boosting did not improve performance.

With respect to run-time, the performance of `c5.0-rr` (2nd column) cannot match that of `c5.0` (first column). This was not to be expected, as `c5.0` can directly learn multi-class problems and does not need to perform a class binarization (as opposed to `ripper`, where round robin learning is competitive; Fürnkranz 2001). However, in many cases, `c5.0-rr`, despite its inefficient implementation as a perl program that repeatedly writes training sets for `c5.0` to the disc, can match the performance of `c5.0-boost` (3rd column), which tightly integrates boosting into `c5.0`.

### 3.2 Comparison to Bagging

A natural extension of the round robin procedure is to consider training multiple classifiers for each pair of classes (analogous to sports and games tournaments where each team plays each other team several times). For algorithms with random components (such as `ripper`'s internal split of the training examples, or the random initialization of back-propagation neural networks) this could simply be performed by running the algorithm on the same dataset with different random seeds. For other algorithms there are two options: randomness could be injected into the algorithm's behavior (Dietterich, 2000) or random subsets of the available data could be used for training the algorithm. The latter procedure is

<sup>4</sup> For this reason, we did not include the *letter* dataset into the computation of averages in this and subsequent sections

<sup>5</sup> As these are relative performance measures, we use a geometric average so that  $x$  and  $1/x$  average to 1.

**Table 2.** *Bagging*: A comparison of round robin learning versus bagging and of the combination of both using `ripper`, `c5.0` and `c5.0-boost` as the base classifiers

	base	round robin	bagging	both
<code>ripper</code>	1.000	0.747	0.811	0.685
<code>c5.0</code>	1.000	0.909	0.864	0.838
<code>c5.0-boost</code>	1.000	1.029	0.977	1.019

more or less equivalent to bagging (Breiman, 1996). We will evaluate this option in this section.

Bagging was implemented by drawing 10 samples with replacement from the available data. Ties were broken in the same way as for round robin binarization, i.e., by simple voting using the *a priori* class probability as a tie breaker. Similarly, bagging was integrated with round robin binarization by drawing 10 independent samples of each pairwise classification problem. Thus we obtained a total of  $10c(c-1)/2$  predictions for each  $c$ -class problem, which again were simply voted. The number of 10 iterations was chosen arbitrarily (to conform to `c5.0-boost`'s default number of iterations) and is certainly not optimal (in both cases).

Table 2 shows the results of a comparison of round robin learning, bagging, and a combination of both for `ripper`, `c5.0`, and `c5.0-boost` as base learners. We omit the detailed results here and show only the geometric average of the improvement rates of the ensemble techniques.<sup>6</sup> The results show that the performance of the simple round robin (2nd column) can be improved considerably by integrating it with bagging (last column), in particular for `ripper`. The bagged round robin procedure reduces `ripper`'s error on the datasets to about 68.5% of the original error. Again, the advantage of the use of round robin learning is less pronounced for `c5.0` (it is even below the improvement given by our simple bagging procedure), and the combination of `c5.0-boost` and round robin learning does not result in additional gains.

Note that these average performance ratios are always relative to the base learner. This means they are only comparable within a line but not between lines. For example, `c5.0`'s performance as a base learner was considerably better than `ripper`'s by a factor of about 0.891. In terms of absolute performances, the best performing algorithm (on average) was bagged `c5.0-boost`, which has about 64% of the error rate of basic `ripper`. This confirms previous good results with combinations of bagging and boosting (Pfahringer, 2000; Krieger et al., 2001). In comparison, the combination of round robin and bagging for `ripper` (68.5% of `ripper`'s error rate) is relatively close behind, in particular if we consider the bad performance of `ripper` in comparison to `c5.0`. An evaluation of a boosting variant of `ripper` (such as `slipper`; Cohen and Singer, 1999) would be of interest.

Even though they do not reach the same performance level as alternative ensemble methods, we believe that round robin ensembles nevertheless deserve

<sup>6</sup> Detailed results for `ripper` can be found in (Fürnkranz, 2002).

attention because of the fact that each classifier in the ensemble has a clearly defined semantics, namely to predict whether an unseen example is more likely to be of class  $i$  or class  $j$ . This may result in a better comprehensibility of the predictions of the ensemble. In fact, Pyle (1999, p.16) proposes a very similar technique called *pairwise ranking* in order to facilitate human decision-making in ranking problems. He claims that it is easier for a human to determine an order between  $n$  items if one makes pairwise comparisons between the individual items and then adds up the wins for each item, instead of trying to order the items right away.

## 4 Dependence on Number of Classes

The size of a round robin ensemble depends on the number of classes in the problem. In this section, we will analyze the behavior of round-robin learning when varying the number of classes. With this goal in mind, we decided to follow the experimental set-up described by Frank and Hall (2001). They used a set of regression problems and transformed each of them into a series of classification problems, each with a different number of classes. The transformation was performed using equal-frequency discretization on the target variable. Thus the resulting problems were class-balanced. We use exactly the same datasets for our evaluation, and compare `j48` (the `c4.5` clone implemented in the Weka data mining library; Witten and Frank 2000) to `j48-rr`, a version that uses pairwise classification with `j48` as a base learner.<sup>7</sup>

Table 3 shows the 10-fold cross-validation error rates of each algorithm on the 29 problems, together with a sign that indicates whether `j48` (+) or the round robin version (−) had the higher estimated accuracy. No significance test was used to compute these individual differences, but in all three settings, `j48-rr` outperformed `j48` in 22 out of 29 datasets. Even with the conservative sign test, which has a comparably high Type II error, we can reject the null hypothesis that the overall performance of `j48` and `j48-rr` is identical on these 29 datasets with 99% confidence. However, four of the datasets (*Pole Telecom*, *MV Artificial*, *Auto MPG*, and *Triazines*) seem to be completely unamenable to pairwise classification, i.e., `j48` performs better in all three classification settings.

This, however, tell us nothing about the size of the improvement. Inspection of a few cases in Table 3 reveals that on several datasets the advantage of `j48-rr` over `j48` seems to increase with the number of classes, at least for the step from three to five classes (cf., e.g., *Abalone*). In an attempt to make this observation more objective, we summarized the results of these two algorithms in Table 4, and also included the results of `j48-1a`, a version of `j48` that uses a one-against-all binarization. We show the average performance of all algorithms, and

<sup>7</sup> The implementation of `j48-rr` was provided by Richard Kirkby, which gave us the opportunity to check our previous findings with an independent implementation of the algorithm.



**Table 3.** Comparison of the error rates of `j48` and `j48-rr` on 29 regression datasets, which were class-discretized to classification problems with 3, 5, and 10 class values.

dataset	3 classes		5 classes		10 classes				
	<code>j48</code>	<code>j48-rr</code>	<code>j48</code>	<code>j48-rr</code>	<code>j48</code>	<code>j48-rr</code>			
Abalone	36.10	35.37	-	53.66	49.37	-	73.16	68.83	-
Ailerons	25.21	24.87	-	43.02	41.83	-	63.35	61.17	-
Delta Ailerons	19.67	19.61	-	44.46	42.54	-	58.73	54.80	-
Elevators	37.76	35.30	-	52.24	47.80	-	71.38	66.29	-
Delta Elevators	30.13	29.17	-	52.31	49.00	-	63.09	57.64	-
2D Planes	13.39	13.39	-	24.63	24.66	+	46.95	45.75	-
Pole Telecom	4.37	4.40	+	4.95	5.08	+	9.16	9.38	+
Friedman Artificial	19.73	19.52	-	35.15	34.31	-	58.96	56.79	-
MV Artificial	0.47	0.48	+	0.81	0.82	+	1.82	1.91	+
Kinematics	36.29	35.74	-	56.37	53.70	-	75.70	72.92	-
CPU Small	21.54	21.01	-	36.19	34.32	-	57.81	54.83	-
CPU Act	19.25	18.82	-	33.23	31.46	-	54.27	51.63	-
House 8L	30.46	29.53	-	49.75	47.32	-	69.59	65.81	-
House 16H	31.79	30.52	-	50.98	47.65	-	70.72	65.97	-
Auto MPG	21.98	24.10	+	40.50	41.58	+	60.40	62.74	+
Auto Price	14.97	14.40	-	37.92	34.53	-	63.21	66.29	+
Boston Housing	25.10	24.11	-	40.38	38.44	-	61.05	58.16	-
Diabetes	48.84	53.26	+	74.42	64.19	-	77.44	75.12	-
Pyrimidines	50.54	46.35	-	58.24	60.81	+	75.81	78.51	+
Triazines	46.72	46.88	+	61.08	63.17	+	83.06	83.17	+
Machine CPU	28.04	25.79	-	42.87	39.86	-	63.44	60.96	-
Servo	24.31	19.88	-	44.67	39.52	-	65.33	57.72	-
Wisconsin Breast C.	63.20	63.35	+	76.60	74.43	-	88.87	86.65	-
Pumadyn 8NH	34.02	33.43	-	53.96	49.22	-	76.18	71.72	-
Pumadyn 32H	22.44	21.54	-	37.35	35.17	-	58.12	54.93	-
Bank 8FM	13.98	14.16	+	26.86	26.25	-	50.29	48.33	-
Bank 32NH	44.21	43.53	-	62.59	60.84	-	75.74	71.04	-
California Housing	20.97	20.68	-	36.66	35.45	-	57.30	56.41	-
Stocks	8.75	8.51	-	13.09	13.42	+	27.40	28.05	+

the geometric averages of the performance ratios of `j48-rr` over `j48`, and `j48-1a` over `j48`.<sup>8</sup>

The results show that the performance improvement of round robin over a one-against-all approach increases steadily by both measures. The performance improvement over `j48` also increases in absolute terms, but stays about the same in relation to the error rate of `j48` (the improvement is always approximately 3% of `j48`'s error rate). This seems to indicate that the one-against-all class binarization becomes more and more dangerous for larger numbers of classes. A possible reason could be that the class distributions of the binary problems in

<sup>8</sup> Note that both measures are somewhat problematic: the average is dominated by results with large variations among the algorithms (particularly so for the run-time results, which are discussed below), while the performance ratios, which may be viewed as differences normalized by the performance of `j48`, are somewhat influenced by the fact that the default accuracy of the problems decreases with an increasing number of classes. Consequently, error differences for problems with more classes receive a lower weight (assuming there is some correlation of the performance of the algorithms and the default accuracy of the problem).

**Table 4.** Error and training time for a round robin version of j48, a one-against-all version of j48, regular j48, and the binarization technique for ordered classification of Frank and Hall (2001)

	error rates					run-times (for training)		
	j48-rr	j48-1a	j48	j48-ORD	j48-rr	j48-1a	j48	
3	26.82	26.57 0.99	27.39 1.02	26.30	17.65	35.34 1.66	15.99 0.82	
5	40.92	42.48 1.04	42.93 1.04	41.43	27.90	53.52 1.53	24.58 0.78	
10	58.40	63.83 1.10	60.63 1.03	58.92	45.47	84.78 1.38	35.76 0.64	

the one-against-all case become more and more skewed for an increasing number of classes (because the number of examples for each class decreases).

The fact that we chose almost the same experimental setup as Frank and Hall (2001) allows us to evaluate the performance of round robin learning in domains with ordered classification. The only difference is that we only used a single 10-fold cross-validation, while Frank and Hall (2001) averaged ten 10-fold cross-validation runs. However, these differences are negligible: in the six experiments that we both performed—those using j48 and j48-1a—their average accuracy estimates and our estimates differed by at most 0.05. Hence we are quite confident, that the results for j48-ORD, which we computed from the tables published by Frank and Hall (2001), are comparable to our results for j48-rr. The interesting result is that there is almost no difference between the two. Apparently general round robin learning is as good for ordered classification as the modification to one-against-all learning that was suggested by Frank and Hall (2001). This opens up the question whether a suitable adaptation of round robin learning could further improve these results, which we leave open for future work.

We also used these experiments to get the confirmation of an independent implementation for round robin’s favorable run-time results over one-against-all. The right-most part of Table 4 shows the summaries for the training times. As expected, round robin binarization is considerably faster than a one-against-all approach, despite the fact that round robin binarization generates  $c(c-1)/2$  binary problems for a  $c$ -class problem, while the one-against-all technique generates only  $c$  problems. However, the advantage seems to decrease with an increasing number of classes. This is not consistent with our expectations that the performance loss induced by the class binarization decreases with an increasing number of classes (Fürnkranz, 2002, Theorem 11). We are not exactly sure about the reason for this failed expectation. One explanation could be that the overhead for initializing the binary learning problems (which we did not take into account in our theoretical analysis) is worse than expected and may dominate the total run-time. Another reason could be memory swapping if not all  $c(c-1)/2$  training sets can be held in memory. The first hypothesis is confirmed when we look at the average run-times, which are dominated by the performance on a few slow datasets. There, round robin is consistently almost twice as fast as one-against-all, which is approximately what we would expect from our theoretical results.

## 5 Conclusions

Pairwise classification is an increasingly popular technique for efficiently and effectively converting multi-class problems into binary problems. In this paper, we obtained two main results: First, we showed that round robin class binarization may be used as an ensemble method and improve classification performance even for learning algorithms that are in principle capable of directly handling multi-class problems, in particular the decision tree algorithms of the `c4.5` family. However, the observed improvements are not as significant as the improvements we have obtained in previous experiments for the `ripper` rule learning algorithm, and do in general not reach the same performance level as boosting and bagging. We also showed how a straight-forward extension of round robin learning (namely to perform multiple experiments for each binary problem) may improve over the performance of both its constituents, round robin and bagging. Despite the fact that they did not reach the performance levels of bagging and boosting, we believe that round robin ensembles have advantages that make them a viable alternative, most notably the clearly defined semantics of each member in the ensemble.

Our second main result shows that the performance improvements of round robin ensembles increase with the number of classes in the problem (at least for ordered classes). While the improvement over `j48` grows approximately linearly with `j48`'s error rate, the growth of the performance increase over one-against-all class binarization is even more dramatic. We believe that this illustrates that handling many classes is a major problem for the one-against-all binarization technique, possibly because the resulting binary learning problems have increasingly skewed class distributions. At the same time, we were unable to confirm our expectations that the relative efficiency of round robin learning should improve with a larger number of classes. This might be due to the fact that our previous theoretical results underestimated the effect of the constant overhead that has to be spent for each binary problem. Nevertheless, run-times are still comparable to those of regular `c4.5`, so that the accuracy gain provided by round robin classification comes at very low additional costs.

Finally, we also showed that round robin binarization is a valid alternative to learning from ordered classification. We repeated the experiments of [Frank and Hall \(2001\)](#) and found that round robin ensembles perform similar to the special-purpose technique that was suggested in their work.

The most pressing issue for further research is an investigation of the effects of different voting schemes. At the moment, we have only tried the simplest technique, unweighted voting where each classifier may vote for exactly one class. A further step ahead might be to allow multiple votes, each weighted with a confidence estimate provided by the base classifier, or to allow a classifier only to vote for a class if it has a certain minimum confidence in its prediction. Several studies in various contexts have compared different voting techniques for combining the predictions of the individual classifiers of an ensemble (e.g., [Mayoraz and Moreira, 1997](#); [Allwein et al., 2000](#); [Fürnkranz, to appear](#)). Although the final word on this issue remains to be spoken, it seems to be the

case that techniques that include confidence estimates into the computation of the final predictions are in general preferable, and should be tried for round robin ensembles (cf. also [Hastie and Tibshirani, 1998](#); [Schapire and Singer, 1999](#)).

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