



**Paleolimnology of thermokarst lakes: a window into permafrost landscape evolution**

Journal:	<i>Arctic Science</i>
Manuscript ID	AS-2016-0022.R1
Manuscript Type:	Article
Date Submitted by the Author:	19-Aug-2016
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Keyword:	thermokarst lakes, permafrost, paleolimnology, lake sediments

1 **Paleolimnology of thermokarst lakes: a window into permafrost**  
2 **landscape evolution**

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21 21 November 2016 – Revised manuscript

22 *Submitted to Arctic Science – Permafrost special issue*

## 23 **Abstract**

24 Widespread across northern permafrost landscapes, thermokarst ponds and lakes provide vital  
25 wildlife habitat and play a key role in biogeochemical processes. Stored in the sediments of these  
26 typically shallow and dynamic waterbodies are rich sources of paleoenvironmental information  
27 whose potential has not yet been fully exploited, likely because of concerns over stratigraphic  
28 preservation and challenges to develop reliable sediment core chronologies. Here, we present an  
29 overview of recently-derived informative paleolimnological reconstructions based on multi-  
30 parameter analysis of sediment archives from permafrost aquatic basins. We include examples  
31 from across the Canadian North, Alaska, and Siberia that illustrate their value for providing  
32 insights into temporal patterns of lake inception, catchment erosion, aquatic productivity,  
33 hydrological evolution, and landscape disturbances. Although not captured in our survey,  
34 emerging research directions focused on carbon accumulation, storage, and balance hold much  
35 promise for contributing to global climate change science.

36

37 **Key words:** thermokarst lakes, permafrost, paleolimnology, lake sediments

38

## 39 **1. Introduction**

40 Thermokarst refers to a suite of landscape processes associated with the thawing of ice-rich  
41 permafrost, or melting of massive ground ice, which modify the local topography (Kokelj and  
42 Jorgenson 2013). Among the various landscape features resulting from permafrost thawing and  
43 erosion, thermokarst ponds and lakes (hereafter referred to collectively as lakes) are formed by  
44 localized ground subsidence resulting in water accumulation within closed topographic  
45 depressions. These aquatic systems form where excess ground ice is present, typically in soils  
46 where volumetric ice content is greater than 30% (Grosse et al. 2013). Permafrost thaw and  
47 related thermokarst processes transfer water, inorganic and organic matter, and dissolved  
48 chemical constituents from terrestrial to aquatic environments. These processes exert strong  
49 control on the physical (thermal and optical properties), geochemical (dissolved and particulate  
50 matter), and biological conditions in thermokarst lakes (Vonk et al. 2015, and references therein).  
51 Changes affecting the catchment and water column are filtered, integrated, and recorded in the  
52 lake bottom sediments as natural archives.

53 Thermokarst lakes are widespread across circumpolar regions, although detailed numbers  
54 and distribution maps are not available (Grosse et al. 2013) (Figure 1). Smith et al. (2007)  
55 estimated that nearly 75% of all lakes north of 45.5 °N are located in permafrost landscapes, with  
56 a cumulative area of > 400,000 km<sup>2</sup> and representing nearly 150,000 lakes, most of which  
57 originate from thermokarst processes. However, these estimates only include waterbodies with  
58 surface areas between 0.1 and 50 km<sup>2</sup>, and because many thermokarst lakes are smaller, this  
59 number is likely underestimated. According to more recent estimates based on high-resolution  
60 remote sensing, the total number and cumulative surface area of lakes across the Arctic (north of

61 60 °N), regardless of their origin (i.e., not only thermokarst) and including smaller waterbodies (<  
62 0.1 km<sup>2</sup>), might range from 3.5 to 5.0 x10<sup>6</sup> and from 400,000 to 3 x10<sup>6</sup> km<sup>2</sup>, respectively  
63 (Verpoorter et al. 2014; Paltan et al. 2015). Indeed, thermokarst lakes vary greatly in surface area,  
64 from small ponds of a few meters across (Breton et al. 2009) to large lakes spanning many square  
65 kilometers (Côté and Burn 2002). Most thermokarst lakes are generally shallow, not deeper than  
66 10 m and frequently much less depending on ground-ice content and distribution, lake age,  
67 hydro-climatic conditions, and local topography (West and Plug 2008). However, some other  
68 lakes located within Pleistocene-age, ice-rich permafrost deposits underlying sectors of Siberia,  
69 Alaska, and western Canada ('Yedoma' deposits) can be much deeper (i.e. several tens of meters  
70 deep; e.g., Schirrmeister et al. 2011; Morgenstern et al. 2011). Thermokarst lakes provide  
71 important ecosystem services (e.g., fishing and hunting grounds, water supply to indigenous  
72 communities, habitat for wildlife) and also play a key role in water and biogeochemical cycles in  
73 northern landscapes. Numerous remote sensing studies have recently examined changes in the  
74 areal extent of thermokarst lakes during the past few decades, often as a means to determine  
75 hydrological consequences of climate change (e.g., Smith et al. 2005; Riordan et al. 2006;  
76 Labrecque et al. 2009; Jones et al. 2011a; Lantz and Turner 2015).

77 Temporal insight into hydrological and geomorphological processes influencing  
78 thermokarst lakes, and their drivers, can be obtained using a paleolimnological approach – the  
79 analysis of physical, geochemical, and biological information preserved in their sediment records.  
80 However, their generally shallow depth can negatively affect the coherence of stratigraphic  
81 records as a result of wind-caused disturbance and desiccation. Also, thermo-erosion of  
82 shorelines can increase rates of supply of older organic and inorganic sediment to the coring  
83 location. This can confound the ability to date cores accurately using some radiometric methods

84 (e.g.,  $^{14}\text{C}$ ), although other dating techniques based on short-lived atmospheric radionuclides  
85 ( $^{210}\text{Pb}$ ,  $^{137}\text{Cs}$ ) or long-term luminescence can be applied with success (Appleby 2001; Lian and  
86 Huntley 2001). Furthermore, thermokarst lake sediments can be affected by post-deposition  
87 ('early diagenesis') processes such as organic matter mineralization (e.g., methane production) or  
88 trace element redistribution (Audry et al. 2011). These factors, as well as the often-brief existence  
89 and remoteness of thermokarst lakes, likely account for the relatively poor representation of  
90 thermokarst lake sedimentary records in the 'northern paleolimnology' literature (Pienitz et al.  
91 2004; MacDonald et al. 2012). A survey of papers published in *Journal of Paleolimnology* during  
92 the past three decades (1987-2016) produced only three papers upon searching the term  
93 'thermokarst lake' (Dallimore et al. 2000; Biskaborn et al. 2013a; Frolova et al. 2014). Evidently,  
94 these waterbodies remain virtually untapped for their paleolimnological potential, yet these  
95 shallow systems offer advantages for paleoenvironmental reconstructions in northern regions.  
96 Thermokarst lakes are widespread in permafrost landscapes, often possess relatively high  
97 sedimentation rates enabling highly resolved reconstructions, and contain great diversity of  
98 littoral habitats and shallow-water bio-indicators (Smol 2016; Coulombe et al. 2016).

99 As demonstrated in this review paper, thermokarst lakes represent more than just 'by-  
100 products' of permafrost degradation; they are unique 'sediment sinks' that can collect useful  
101 environmental archives over their life span (e.g., Dallimore et al. 2000; Pienitz et al. 2008;  
102 Edwards et al. 2016; Lenz et al. 2016). For example, using a set of thermokarst lakes spanning an  
103 eco-climatic gradient at a given site, it is possible to gain knowledge about past environmental  
104 changes related to local geomorphological and hydrological processes, in addition to regional  
105 climate (Dallimore et al. 2000; Wolfe et al. 2011b). Most paleolimnological investigations that  
106 have focused specifically on thermokarst lakes are based on short sediment core analyses and

107 generally report on recent (i.e., past several decades to centuries) environmental changes within  
108 thermokarst basins and their catchments. This includes documenting terrestrial vegetation  
109 change, transport of dissolved organic matter related to the thawing of peat-rich permafrost, and  
110 lake expansion and subsequent drainage caused by increased summer rainfall (e.g., Bouchard et  
111 al. 2011, 2013a, 2014; MacDonald et al. 2012; Coleman et al. 2015). Yet, some thermokarst lakes  
112 have persisted for several thousand years, spanning the Holocene and beyond, and their sediment  
113 records have yielded temporal information about the succession of cool and warm climate  
114 episodes, influence of thermokarst activity on sediment input to lakes, as well as carbon exchange  
115 with the atmosphere (e.g., Dallimore et al. 2000; Biskaborn et al. 2012; 2013a; Lenz et al. 2013;  
116 2016; Walter-Anthony et al. 2014; Edwards et al. 2016). Thus, sediments that accumulate in  
117 thermokarst lakes provide promising archives to examine a multitude of environmental changes,  
118 including temporal insights into permafrost landscape evolution. Knowledge gained can help  
119 place spatial analyses into a longer temporal context (e.g., MacDonald et al. 2012; Coleman et al.  
120 2015; Farquharson et al. 2016), be used to test models of the consequences of climate change and  
121 related feedbacks from thawing permafrost (Stepanenko et al. 2011; Gao et al. 2013), and to  
122 anticipate future trajectories of thermokarst lake change (van Huissteden et al. 2011; Kessler et  
123 al. 2012).

124 Here, we first review processes that influence thermokarst lakes, which are important to  
125 consider in the interpretation of their sedimentary records. Then, we present an overview of key  
126 findings stemming from the recent use of paleolimnological data obtained from thermokarst lake  
127 studies to reconstruct hydrological conditions and sedimentological processes, organic matter and  
128 nutrient balance, catchment disturbances, and extreme hydro-climatic events affecting lake  
129 ecology and evolution in permafrost landscapes. We focus mostly on case studies from northern

130 Canada (Nunavik, Hudson Bay Lowlands, South Slave region, Mackenzie Delta, and northern  
131 Yukon), and also include recent investigations from Alaska and Siberia. Finally, we comment on  
132 emerging research directions in thermokarst lake paleolimnology.

133

## 134 **2. Thermokarst lake formation and evolution**

135 Conditions affecting lake-rich permafrost landscapes across the Arctic (e.g., climate, vegetation,  
136 geology, topography, frozen-ground properties such as ground-ice content) are strongly  
137 heterogeneous at the local to regional scales. There is thus a remarkable diversity of thermokarst  
138 lake formation processes, morphology, and hydrological and limnological conditions. In regions  
139 of continuous permafrost, thermokarst lake inception generally starts with the coalescence of  
140 polygonal and/or ice-wedge trough pools overlying melting ice-wedge networks (Czudek and  
141 Demek 1970), whereas in the discontinuous permafrost zone initial lake formation often results  
142 from the thawing of ice-rich cryogenic mounds called palsas (organic) or lithalsas (mineral)  
143 (Luoto and Seppälä 2003; Calmels et al. 2008) (Figure 2). Climatic factors (e.g., increasing  
144 temperature and/or precipitation) and other drivers such as forest fires and human activity (e.g.,  
145 active layer disturbance, inadequate drainage) can trigger thermokarst lake inception (e.g., Burn  
146 and Smith 1990; Burn 2002; Payette et al. 2004; Kokelj and Jorgenson 2013). When thaw depth  
147 exceeds the maximum thickness of winter ice cover, annual lake-bottom temperatures above 0 °C  
148 enhance further thawing and subsidence, and the formation of an unfrozen ground layer  
149 underneath a lake called a *talik*, or thaw bulb (Burn 2002; West and Plug 2008). Once initiated,  
150 thermokarst lakes also tend to develop laterally by thermal and mechanical erosion into the  
151 surrounding ice-rich permafrost soils, resulting in characteristic sedimentation patterns and



152 lakewater chemistry (e.g., Murton 1996; Kokelj et al. 2009a). Active shoreline erosional  
153 processes (e.g., erosional niche development by wave action, mass wasting through thaw  
154 slumping and block failures, ice-shove during breakup) are typical in thermokarst basins and can  
155 lead to drainage as part of their hydrological evolution (Marsh et al. 2009; Jones et al. 2011a;  
156 Kokelj and Jorgenson 2013).

157         The final ‘demise’ of thermokarst lakes generally involves one of the following: rapid  
158 drainage resulting from shoreline breaching after higher-than-average precipitation (Turner et al.  
159 2010; Lantz and Turner 2015), lake-level drawdown due to factors that lead to increased  
160 evaporation (Riordan et al. 2006; Bouchard et al. 2013b), subsurface drainage (groundwater  
161 infiltration) through an open talik (Yoshikawa and Hinzman 2003), or terrestrialization via rapid  
162 peat accumulation and lake infilling (Payette et al. 2004; Roach et al. 2011). Local landscape  
163 conditions and individual catchment characteristics (e.g., soil type, vegetation cover, topography)  
164 will interact with regional climate, resulting in a broad range of processes (both autogenic and  
165 allogenic, respectively) that influence the evolution of thermokarst lakes (Figure 2).

166         Although some studies (summarized by Jorgenson and Shur 2007) have proposed that  
167 thermokarst lake stages from inception to termination may be cyclical, field observations  
168 focussing specifically on ground-ice content and aggradation prior to lake inception, rates of  
169 changes and associated processes during the Holocene, and diatom-based paleoecological  
170 reconstructions, do not support such a recurrent succession (Jorgenson and Shur 2007; Ellis et al.  
171 2008; Grosse et al. 2013; Lenz et al. 2016). Instead, these findings indicate that 1)  
172 geomorphological and limnological processes occurring in thermokarst terrain do not allow the  
173 surface to return to original conditions (i.e. prior to the onset of a cycle), and 2) such processes  
174 are too slow to counterbalance surface stabilization that occurred during the Holocene.

175 Thermokarst lakes thus likely follow a complex sequential development, often characterized by  
176 distinct initial and secondary lake inception stages, lateral expansion accompanied by spatially  
177 heterogeneous sorting and redistribution of surface sediments, and lake stabilization and  
178 persistence possibly over millennia, contradicting a strictly cyclical succession. This complex  
179 development is further demonstrated by the co-existence – and sometimes overlapping – of  
180 multiple lake stages within a given region, from the continuous to the sporadic permafrost zones  
181 (e.g., Jorgenson and Shur 2007; Ellis et al. 2008; Calmels et al. 2008; Bouchard et al. 2014).

182 Below, we show that thermokarst lakes can collect and record, over time, a broad  
183 spectrum of useful environmental information about hydrological and limnological processes,  
184 some of which are unique to permafrost aquatic systems (e.g., ground-ice melting triggering soil  
185 and lake-bottom subsidence, thermal erosion of shorelines, thaw slump activity and impacts on  
186 lakewater chemistry). We highlight key results from recent studies that utilized lake sediment  
187 properties to reconstruct processes and timing of thermokarst lake formation, as well as the  
188 temporal evolution of their limnological and hydrological conditions, from their inception to the  
189 present (generally the past few centuries). The location of the study sites referred to in the text is  
190 indicated in Figure 1.

191

### 192 **3. Key paleolimnological findings from thermokarst lake archives**

#### 193 **3.1 Western Nunavik, northern Québec**

194 Nunavik (the Inuit territory in northern Québec) encompasses, in its western part, most of the  
195 eastern coast of Hudson Bay and covers a latitudinal gradient crossing the treeline, ranging from  
196 isolated to continuous permafrost (Brown et al. 1998) (Figure 1). Postglacial land emergence of

197 the area occurred around 6000 years ago, after which tree and shrub vegetation and peatlands  
198 started to colonize the underlying marine silty clays (Arlen-Pouliot and Bhiry 2005). Permafrost  
199 inception started in the mid/late Holocene, culminating during the Little Ice Age. Palsas and  
200 lithalsas, formed by ground ice aggradation and related surface heaving, are widespread in the  
201 region and typical of the discontinuous permafrost landforms in subarctic Québec (Allard and  
202 Seguin 1987). Recent increases in air/ground temperatures and snow cover along the eastern  
203 shore of Hudson Bay have contributed to widespread reduction of permafrost extent, resulting in  
204 increasing surface areas occupied by subarctic thermokarst ponds (Payette et al. 2004; Vallée and  
205 Payette 2007; Jolivel and Allard 2013). However, the exact timing of their inception, as well as  
206 the processes controlling their sedimentological and limnological evolution in response to past  
207 paleoenvironmental changes in their vicinity, were poorly known until recently.

208 Combining high-resolution X-ray scanning techniques (micro-fluorescence, micro-  
209 radiography) with more 'classical' methods (e.g., grain size analysis, thin sections for micro-  
210 facies analysis, loss-on-ignition), Bouchard et al. (2011) examined the physico-chemical  
211 properties of sediments in small thermokarst systems covering a wide range of limnological  
212 properties near Kuujjuarapik-Whapmagoostui (Great Whale River), along the southeastern shore  
213 of Hudson Bay (Figure 3). They were able to identify the main processes controlling sediment  
214 erosion, transport, and deposition, and characterize lake inception and temporal evolution of  
215 sediment inputs and limnological conditions in the recent past. Identified sedimentary facies (or  
216 units) were, from oldest to youngest: 1) massive marine silts and clays deposited during the  
217 postglacial Tyrrell Sea transgression (~8000 to 6000 cal yr BP), subsequently emerged by glacio-  
218 isostatic rebound and more recently (~1500 to 400 cal yr BP) affected by permafrost inception  
219 and growth; 2) a transitional organic-rich unit containing macro- and microscopic peat debris

220 derived from ancient summits of palsas that were partially eroded and subsequently submerged;  
221 3) laminated organic-rich lacustrine muds deposited as a consequence of permafrost thawing and  
222 subsidence (i.e., since thermokarst lake inception) during the past few centuries. Moreover,  
223 down-core profiles of redox-sensitive elements (Fe, Mn) documented the progressive  
224 development, since lake inception, of seasonal thermal stratification in the water column and  
225 anoxic/hypoxic conditions in bottom waters, a prominent feature of these limnologically diverse  
226 systems today (Breton et al. 2009). This pioneering lithostratigraphic work served as a baseline to  
227 further investigate permafrost landscape dynamics since the 1950s based on remote sensing  
228 images of the same study area (Bouchard et al. 2014), and also led to assessments of sediment  
229 inputs and the 'life span' of shallow thermokarst ecosystems within the discontinuous permafrost  
230 zone based on sediment trap studies (Coulombe et al. 2016).

231 In a companion paper focused on biological aspects, Bouchard et al. (2013a) analyzed  
232 fossil diatom assemblages in thermokarst lake sediments, thereby confirming the occurrence and  
233 nature of the three distinct stratigraphic units mentioned above. They also used a diatom-based  
234 inference model (developed for western subarctic Québec, including eastern Hudson Bay region;  
235 Fallu and Pienitz 1999) to reconstruct past concentrations of dissolved organic carbon (DOC).  
236 Diatom-inferred DOC revealed decreasing concentrations during the past few centuries, in  
237 contrast to the general trend in this region (Saulnier-Talbot et al. 2003), suggesting the interplay  
238 of local drivers such as exhaustion of external DOC sources from small catchments and important  
239 peat inputs (from former palsa surfaces) as a source of organic carbon during the initial stages of  
240 lake formation. In the same study, Bouchard et al. (2013a) compared fossil diatom data to visible  
241 near infrared (VNIR) spectral sediment properties, which confirmed anoxia/hypoxia development  
242 in bottom waters following lake inception. These results indicate that, in the recent past, diatom

243 community changes and limnological evolution of thermokarst ecosystems were controlled also  
244 by autogenic processes (e.g., local vegetation/soil development, peat accumulation and erosion,  
245 adsorption of organic matter onto settling clays), rather than by allogenic forcing mechanisms  
246 alone (e.g., precipitation and temperature, geochemical leaching of the surrounding catchment).  
247 Indeed, the optical diversity of these small and shallow thermokarst lakes was found to be mainly  
248 controlled by two optically-active substances (DOC and settling mineral particles; Watanabe et  
249 al. 2011), which varied greatly among lakes in relation to surrounding landscape properties. This  
250 underscored the major influence of local geomorphological and ecological conditions on  
251 thermokarst lake inception and limnological evolution through time.

252

### 253 **3.2 Western Hudson Bay Lowlands, northern Manitoba**

254 The Hudson Bay Lowlands (HBL) is the world's second largest contiguous wetland (Figure 1).  
255 Continuous and discontinuous permafrost that underlies the western portion of the HBL impedes  
256 infiltration, and consequently, water pools on the surface creating thousands of lakes, ponds and  
257 vast wetlands, which are mainly of thermokarst origin and serve a variety of ecosystem services.  
258 These shallow waterbodies are a dominant feature of the land surface, which spans a vegetation  
259 gradient from boreal forest to coastal tundra.

260 Two primary stressors influence thermokarst lakes in this region. Warming has occurred  
261 during the past century and models predict that mean annual temperatures will increase by a  
262 further 3.1 °C by 2070 (Macrae et al. 2014). Concomitant increases in the length of the ice-free  
263 season and open-water evaporation, as well as shifts in seasonality of precipitation, have potential  
264 to strongly alter lake water balances. In the northwestern coastal region of the HBL, the  
265 population size and geographic range of the Lesser Snow Goose (LSG, *Chen caerulescens*

12

266 *caerulescens*) have increased rapidly during the past ~40 years (Jefferies et al. 2006). Grubbing  
267 and the removal of grasses, construction and occupation of nests, and deposits of feces are  
268 evident in catchments of many lakes in this region. As summarized below, paleolimnological  
269 results have shed new light on the sensitivity of thermokarst lakes to climate change and  
270 waterfowl disturbance in this region.

271         Snowmelt runoff is an important hydrological process that sustains water balance of  
272 shallow subarctic lakes (Schindler and Smol 2006). Yet, evidence suggests that spring snow  
273 cover extent over the Northern Hemisphere has declined substantially during the past four  
274 decades (Derksen and Brown 2012). Such trends are expected to continue although models  
275 predict considerable spatial and temporal heterogeneity in snow cover (AMAP 2011; Derksen  
276 and Brown 2012; Krasting et al. 2013). Bouchard et al. (2013b) examined the consequences of  
277 low snowmelt runoff on shallow thermokarst lakes in the HBL, as well as in the Old Crow Flats,  
278 Yukon, using contemporary and paleolimnological isotopic approaches (Figure 4). Measurement  
279 of lake water  $\delta^{18}\text{O}$  was systematically and positively offset from lake water  $\delta^{18}\text{O}$  inferred from  
280 aquatic cellulose in recently deposited sediments from many lakes situated in low-relief, open-  
281 tundra catchments where snow cover is redistributed by wind (Figure 4bc). This isotopic offset  
282 was attributed to marked evaporation and  $^{18}\text{O}$ -enrichment in surface waters, stemming from  
283 lower-than-average snowmelt runoff in recent years. These results demonstrated the potential for  
284 lake-level drawdown in shallow thermokarst lakes that are situated in catchments lacking features  
285 (i.e., shrub vegetation, relief) that promote snowmelt runoff. Further paleolimnological  
286 investigations by Bouchard et al. (2013b) showed that recently observed near-complete  
287 desiccation of one open-tundra thermokarst lake in western HBL, following a year of particularly  
288 low snowmelt runoff, may be unprecedented during the past 200 years (Figure 4d). Findings

289 support the contention that reduction in snowmelt runoff could lead to widespread desiccation of  
290 shallow thermokarst lakes in these regions.

291         Although a number of studies have examined the effects of LSG disturbance on terrestrial  
292 ecosystems in the coastal region of the western HBL (e.g., Batt et al. 1997; Handa et al. 2002;  
293 Jefferies et al. 2004, 2006; Abraham et al. 2005a, 2005b), comparatively less was known of the  
294 effects of LSG catchment disturbance on the numerous thermokarst lakes in their nesting grounds  
295 until recently. MacDonald et al. (2015) combined paleolimnological analyses with three years of  
296 water chemistry measurements to assess the dual effects of climate warming and LSG population  
297 expansion on three thermokarst lakes – two that were in catchments strongly disturbed by the  
298 LSG based on field observations and one that had no visual evidence of recent LSG disturbance  
299 in its catchment. Results identified limnological phases characterized by regime shifts in  
300 productivity, nutrient cycling, and aquatic habitat during the past two centuries (Table 1). Low  
301 productivity, turbid, and nutrient-poor conditions transitioned to higher productivity, low  
302 nitrogen availability, and development of a benthic biofilm habitat as climate warmed at the end  
303 of the Little Ice Age. A second regime shift beginning in the mid-1970s was uniquely recorded at  
304 the LSG-disturbed lakes. Accelerated productivity, and increased nitrogen availability leading to  
305 high carbon demand, occurred as a consequence of an increase in catchment-derived nutrients  
306 from LSG disturbance in the catchment. Results distinguish the consequences of warming and  
307 LSG disturbance on limnological conditions of coastal tundra thermokarst lakes in HBL, and  
308 provide a suite of sensitive measures that are being used to inform aquatic ecosystem monitoring  
309 (MacDonald et al. 2015; White et al. 2015).

310

### 311 **3.3 South Slave Taiga Plains, Northwest Territories**

312 Permafrost in the South Slave Taiga Plains, NWT, is discontinuous and generally restricted to  
313 treed peat plateaus (Heginbottom and Dubreuil 1995) (Figure 1). The presence of ice-rich  
314 permafrost raises soils above the surrounding wetland complexes, forming plateaus that are  
315 elevated by 1-3 m. The drier soil conditions on peat plateaus allow for the growth of spruce trees,  
316 and the landscape consists of a mosaic of forested permafrost plateaus and non-permafrost bogs  
317 and fens. Permafrost thaw under peat plateaus causes the conversion of treed plateaus into  
318 wetlands. As ground ice melts, collapse scars form, either along the margins of the plateau,  
319 leading to the expansion and merger of bogs and fens, or isolated within the plateau, forming an  
320 ombrotrophic bog. The trees become waterlogged and die, and collapse scars are vegetated by  
321 hydrophilic taxa such as sedges and mosses (Beilman et al. 2001). Because peat plateaus act as  
322 barriers to the lateral flow of water, re-directing surface and subsurface flow into channel fens,  
323 the loss of permafrost peat plateaus leads to substantial hydrological changes (Quinton et al.  
324 2009). Peat subsidence and the loss of permafrost plateaus generally promote increased  
325 connectivity of drainage networks and export of DOC to aquatic ecosystems (Quinton et al. 2009;  
326 Olefeldt and Roulet 2014). Permafrost thaw can be initiated by warming temperatures or  
327 landscape disturbances such as seismic cut lines and forest fires. Although permafrost thaw has  
328 been occurring in this region since the end of the Little Ice Age (Halsey et al. 1995), the rate of  
329 peat subsidence has accelerated in recent decades (Quinton et al. 2011).

330 Two recently published paleolimnological studies have used multiple biological and  
331 biogeochemical sedimentary parameters to track peat subsidence in the South Slave Taiga Plains,  
332 and assess implications for lake ecosystems (Figure 5ab). Coleman et al. (2015) integrated a  
333 diatom-based paleolimnological study of two lakes (informally named TAH-7 and KAK-1)



334 located south of the community of Kakisa with a remote sensing investigation of landscape  
335 changes since ~1950 to understand how recent increases in peat subsidence have altered aquatic  
336 biota. In addition, they analyzed macroscopic charcoal in their sediment cores to investigate  
337 potential links between forest fires and the initiation of peat subsidence. Both lakes exhibited a  
338 substantial increase in the proportion of the landscape covered by collapsed peat scars between  
339 1970 and 2012 based on remotely sensed images. In TAH-7, the appearance and increase in  
340 benthic *Fragilaria* diatom taxa after ~1930 indicated an increase in coloured DOC and decreased  
341 water clarity (Figure 5c). No post-warming increases in chlorophyll *a* were observed in TAH-7,  
342 likely due to reduced light availability for photosynthesis. The authors concluded that recent  
343 (post-1970) peat subsidence is part of a longer-term trend that began prior to the earliest remote  
344 sensing records, and has led to the crossing of an important ecological threshold for DOC. In  
345 contrast, no changes in diatom taxa were observed in KAK-1 that would indicate an increase in  
346 DOC.

347 Korosi et al. (2015) analyzed plant biomarkers (n-alkanes and lignin-derived phenols),  
348 stable isotopes, and mercury in the same sediment cores analyzed by Coleman et al. (2015) to  
349 investigate how the loss of permafrost-supported peat plateaus alters the transport of terrestrial  
350 organic matter to lakes. In both KAK-1 and TAH-7, organic matter biomarkers (specifically the  
351 C<sub>23</sub> and C<sub>29</sub> n-alkanes) tracked the changes in catchment vegetation that occur following peat  
352 subsidence (loss of spruce forests, colonization of collapse scars by wetland taxa). In general,  
353 however, KAK-1 and TAH-7 displayed differences in the timing and trajectory of sedimentary  
354 organic matter changes. In TAH-7, total yield of lignin-derived phenols was significantly and  
355 positively correlated with sedimentary mercury concentrations, suggesting that peat subsidence  
356 may increase the delivery of mercury to aquatic environments adsorbed onto terrestrial organic

357 matter (Figure 5c). Collectively, the findings of Coleman et al. (2015) and Korosi et al. (2015)  
358 show that the integration of multiple paleolimnological parameters provide important insights  
359 into local variability in lake biological and biogeochemical responses to peat subsidence.

360

### 361 **3.4 Mackenzie Delta Uplands, Northwest Territories**

362 The Mackenzie Delta of Canada's western Arctic is the second largest Arctic delta globally, after  
363 the Lena River Delta in Siberia. The low-lying delta is bordered on the west by the Richardson  
364 Mountains, and the east by elevated upland terrain. Permafrost in the uplands is thick and  
365 continuous, except where taliks exist under waterbodies (Rampton 1988) (Figure 1). In addition,  
366 permafrost is ice-rich (Mackay 1963; Rampton 1988) and enriched in solutes (especially calcium  
367 and sulfate originating from glaciogenic carbonate and shale-derived surficial deposits; Kokelj  
368 and Burn 2003, 2005). Thermokarst activity is common in the region (Mackay 1963), especially  
369 retrogressive thaw slumps, which occur on the margin of approximately 10% of lakes greater  
370 than 1 ha in area (Lantz and Kokelj 2008). The rate of growth, as well as the size and area  
371 impacted by retrogressive thaw slumps has increased significantly in the western Canadian Arctic  
372 (Segal et al. 2016). In the Mackenzie Delta uplands region, lakes impacted by thaw slumping  
373 exhibit higher concentrations of major ions and anions, lower DOC concentrations (and thus  
374 much greater water clarity due to the chromophoric nature of DOC) (Kokelj et al. 2005, 2009a;  
375 Thompson et al. 2012), and lower nutrient (total phosphorus and total dissolved nitrogen)  
376 concentrations (Thompson et al. 2012) (Table 2). Lakes impacted by thaw slumping have also  
377 been shown to undergo significant changes to sediment and lake bottom processes (Kokelj et al.  
378 2009b). These changes in water chemistry can result in rapid shifts in the sedimentary  
379 environment as well as for lake biota, which can be tracked through sediment-based analytical

380 techniques. Deison et al. (2012) showed that sedimentation rate, in particular inorganic  
381 sedimentation, increased significantly coincident with the onset or re-initiation of thaw slumping.  
382 Likely related to this changing sediment accumulation, benthic macroinvertebrate abundance was  
383 found to be greater in lakes impacted by thaw slumps, driven primarily by increased abundances  
384 of nematodes and ostracods, though chironomids were found to be less abundant (Moquin et al.  
385 2014) (Table 2).

386 Thienpont et al. (2013a) used sedimentary diatoms to infer the timing of slump initiation,  
387 an important step for reconstructing the limnological changes associated with this form of  
388 permafrost disturbance, since the precise time of slump initiation is often unknown, and the  
389 majority of inferences on the limnological impact of thaw slump activity are derived from  
390 modern-day comparisons of conditions in lakes impacted by slumps with unimpacted sites. They  
391 observed that the primary mechanism of diatom floristic change in response to slump  
392 development was an increase in diatom species associated with varied substrate colonization  
393 (greater periphytic abundance and diversity) as well as increased planktonic taxa. The mechanism  
394 for this diatom floristic response is likely due to the rapid increase in water clarity, resulting in  
395 colonization of open-water and periphytic habitats. Aquatic macrophyte biomass and production  
396 are known to be greater in lakes impacted by slumping (Mesquita et al. 2010). This  
397 paleolimnological change was found to be a strong indicator of the onset and/or reinitiation of  
398 slump activity when compared to indirectly-inferred methods (Thienpont et al. 2013a).

399 Thermokarst processes, such as retrogressive thaw slumping, lead to the translocation of  
400 terrestrial material to downstream aquatic ecosystems. Thus, in addition to the limnological  
401 change and subsequent biological response, potential exists for contaminants that may have been  
402 trapped in the terrestrial environment to enter aquatic ecosystems. However, Deison et al. (2012)

403 showed that total and methyl-mercury were lower in lakes with retrogressive thaw slumping, due  
404 to dilution with inorganic material, and concluded thaw slumps were not a significant source of  
405 mercury to lakes of the Mackenzie Delta uplands. On the other hand, polychlorinated biphenyls  
406 (PCBs), a banned class of persistent organic pollutant, as well as organochlorine pesticides were  
407 found in greater concentrations in sediment cores taken from lakes with retrogressive thaw slump  
408 activity in their catchments (Eickmeyer et al. 2016). The dilution by inorganic matter was  
409 implicated by the elevated PCB concentrations observed in sediments, as these hydrophobic  
410 organic contaminants were associated with, and concentrated on the smaller pool of available  
411 organic carbon in slump-impacted lakes (Eickmeyer et al. 2016).

412 In the Mackenzie Delta uplands region, the thawing of permafrost has also been shown to  
413 have an influence on indirect sources of contaminants to aquatic ecosystems, through the loss of  
414 containment of materials associated with hydrocarbon exploration (Thienpont et al. 2013b).  
415 Drilling mud sumps, relict pits excavated into the permafrost to house the wastes associated with  
416 oil and gas exploratory well development, were previously thought to be a permanent  
417 containment mechanism for these by-products. However, as permafrost thaws, and due to poor  
418 construction practices, it has become clear these sumps are leaching their contents (Dyke, 2001).  
419 One of the major constituents of the slurry deposited in slumps is saline-rich cuttings, and  
420 elevated salt concentrations have been observed beyond the boundaries of drilling sumps  
421 previously (Dyke 2001). Using paleolimnological techniques, Thienpont et al. (2013b) showed  
422 that cladoceran assemblages became dominated by a taxon known to be tolerant of elevated ionic  
423 concentrations (and observed to be decreasing in other northern regions). Paleolimnological  
424 techniques appear effective for tracking both the direct and indirect inputs of contaminants due to  
425 thermokarst processes.

426

427 **3.5 Old Crow Flats, northern Yukon Territory**

428 Old Crow Flats (OCF) is the largest (5600 km<sup>2</sup>) of three lake-rich permafrost landscapes across  
429 northern Yukon, centered ~45 km north of the village of Old Crow (Figure 1). OCF is recognized  
430 as a Wetland of International Importance for its ecological integrity and cultural significance to  
431 the Vuntut Gwitchin First Nation (VGFN; The Ramsar Convention 1982). Occupying the former  
432 lakebed of Glacial Lake Old Crow, over 2700 lakes, primarily thermokarst in origin, cover ~23%  
433 of OCF (Turner et al. 2014). The lakes, and the habitat they provide, have long been an important  
434 natural resource for wildlife, while also supporting the traditional lifestyle of the VGFN. In recent  
435 decades, local land users and managers have observed changes in the landscape, including  
436 drastically changing and unpredictable lake and river water levels that have negative effects on  
437 aquatic habitat and impede community member access to traditional territory. Of particular  
438 concern to the community of Old Crow are observations of lake-level decline such as the  
439 drainage of Zelma Lake in 2007, formerly one of the largest lakes in OCF (Wolfe and Turner  
440 2008; Turner et al. 2010). As part of a suite of multidisciplinary investigations into the natural  
441 history of OCF, supported by the Government of Canada International Polar Year Program  
442 (Wolfe et al. 2011a), paleolimnological studies were conducted to generate insight into  
443 hydrological variability and its causes. Although there was widespread evidence of recent lake-  
444 level decline at many locations, it was unknown whether this was a result of drainage events  
445 and/or evaporation. However, such knowledge is needed to better anticipate future lake  
446 hydrological responses to climate change.

447 MacDonald et al. (2012) investigated whether such evidence may be stored in the  
448 stratigraphic record of a lake in OCF ('OCF 48'), where historical images documented marked

20

449 decline in water level between 1972 and 2001. Utilizing physical, geochemical, and biological  
450 approaches, sediment core analyses identified four distinct hydroecological phases post-1870,  
451 with the most recent phases closely corresponding with evidence of lake-level changes in the  
452 historical images (Figure 6a). Phases included: 1) a ~100-year stable interval (~1874-1967), 2)  
453 active thermokarst expansion (~1967-1989), 3) rapid lake drainage (~1989), and 4) lake re-filling  
454 (~1989-2008). Notably, the drainage event was well-preserved in the stratigraphic record of  
455 organic matter content, a simple measure derived from loss-on-ignition. Immediately above the  
456 inferred drainage event horizon, organic matter content abruptly increased (and mineral matter  
457 content decreased). This was interpreted to reflect an increase in concentration of nutrients in the  
458 residual shallow waterbody and combined with greater light availability due to decreased  
459 shoreline erosion, aquatic productivity rapidly increased (as was also suggested by other  
460 indicators including increase in the carbon isotope composition of organic matter). Given the  
461 clarity of this stratigraphic record for documenting a paleo-drainage event, MacDonald et al.  
462 (2012) proposed that use of organic matter content in sediment cores may distinguish lake-level  
463 drawdown due to drainage versus evaporation (Figure 6b).

464         Here we employ the characteristic organic matter content stratigraphic profiles portrayed  
465 in Figure 6b to speculate on past hydrological conditions for several additional lakes in OCF  
466 (Figure 6c). Of the seven additional organic matter content records shown, five appear to contain  
467 evidence of former drainage events following an interval of lake expansion analogous to OCF 48,  
468 and include Zelma Lake (OCF 6) as well as OCF 29, 34, 35, and 46. Notably, organic matter  
469 content at Zelma Lake does indeed increase following observed drainage in 2007 (sediment core  
470 was obtained in 2010) providing additional support for the use of Figure 6b, although other  
471 evidence suggests that aquatic productivity during the post-drainage phase has been much greater

472 than prior to expansion (Tondou et al. 2016). It is notable that the timing of these drainage events,  
473 based on  $^{210}\text{Pb}$  chronologies extrapolated downcore (where available), is highly variable  
474 suggesting episodic occurrence. An exception is OCF 35 whose profiles display roughly similar  
475 timing as OCF 48. In contrast, OCF 11 and 19 appear to have experienced relatively stable  
476 hydrological conditions during the time captured by the cores. However, the increase in organic  
477 matter content at OCF 19 may reflect gradually increasing evaporative-concentration of nutrients  
478 and subsequently increasing productivity (as depicted in the right-hand panel of Figure 6b).  
479 Multi-parameter paleolimnological analysis of these sediment core records would likely shed  
480 further light on past hydrological conditions. Nonetheless, these results suggest that thermokarst  
481 lake paleohydrology is highly individualistic in this landscape, akin to isotope-based assessments  
482 of contemporary hydrology (Turner et al. 2010, 2014), and is likely related to complex  
483 interactions over time among thermokarst evolutionary processes, meteorological conditions, and  
484 lake-specific catchment characteristics (e.g., area, relief, vegetation). Hence, this presents  
485 challenges to scale up to the landscape level with respect to both former hydrological conditions  
486 and predictions of future change.

487

### 488 **3.6 Southern Seward Peninsula, Alaska**

489 The landscape of the Southern Seward Peninsula (SSP) today is still heavily influenced by the  
490 last glacial period. Located on the eastern shore of the Bering Strait in Alaska, the region contains  
491 the transition from tundra to the boreal forest, which follows the transition from continuous to  
492 discontinuous permafrost (Jones et al. 2011a). The climate of the Seward Peninsula has been  
493 rapidly changing since deglaciation (Kaufman and Hopkins 1986; Calkin et al. 1998). Rising sea  
494 levels associated with decreased summer insolation, and a greater maritime influence, led to a

22

495 reduction in seasonality, temperatures, and an increase in moisture. However, there has been  
496 pronounced recent warming in the SSP, with an increase of  $\sim 2$  °C in mean annual temperature  
497 since 1979 (Medeiros et al. 2014). As the SSP is exposed to prevailing winds from the south  
498 during the ice-free season, summer temperatures are warmer than in the northern Seward.  
499 Likewise, the southern region is primarily underlain by discontinuous permafrost, with  
500 continuous permafrost restricted to mountain ranges and adjacent valleys (Jones et al. 2011a).

501 Even though the expansion of thermokarst lakes has likely been occurring for centuries to  
502 millennia since deglaciation (Lenz et al. 2016), increases in the extent of permafrost degradation  
503 has been observed in the northern Seward (Jones et al. 2011a) and the interior of Alaska  
504 (Jorgenson et al. 2006). Likewise, several studies have also noted a recent expansion of tall  
505 woody shrubs in response to earlier snowmelt, a deeper and drier active layer, and longer  
506 growing seasons linked to permafrost degradation throughout the Seward Peninsula (Sturm et al.  
507 2001; Lloyd et al. 2003; Tape et al. 2012). Changes in the density of vegetation in lake  
508 catchments can influence the contribution of snowmelt to lakes (Pomeroy et al. 2006), which can  
509 alter water balances (Turner et al. 2014), influence nutrient cycling (Stewart and Lamoureux  
510 2011), and shift the trophic structure of aquatic systems (Taylor et al. 2016).

511 Thermokarst ecosystems in the SSP are thought to be especially sensitive to warming due  
512 to the fragile and discontinuous extent of the underlying permafrost horizon in this region. The  
513 ecological trajectory of these thermokarst systems in a warming future is uncertain, however,  
514 shifts in their biotic communities are already occurring. Taylor et al. (2016) noted widespread  
515 establishment and expansion of boreal aquatic zooplankton predators in newly formed  
516 thermokarst lakes across the SSP. This shift in trophic structure, despite top-down controls of  
517 established endemic keystone predators, may signal the threshold at which a tundra-to-boreal



518 meta-community occurs (Taylor et al. 2016). Medeiros et al. (2014) compared the influence of  
519 catchment condition, specifically thermokarst development and shrub growth, on Alaskan lakes  
520 of the SSP in the context of recent warming using a multi-proxy paleolimnological approach. The  
521 sediment record of a thermokarst lake examined indicated a shift from a high input of terrestrial  
522 organic matter (i.e., high C/N ratios), yet nitrogen-limiting conditions ( $\delta^{15}\text{N}$  values  $\sim 0$  ‰; Figure  
523 7a), to decreasing aquatic productivity, and a lower nitrogen demand from the 1920s to 1960s.  
524 This also marked a major shift in the biotic community (Figure 7a). For example, a decline in the  
525 abundance of acidophilus diatoms, and a large increase in the abundance of productivity-  
526 associated chironomids in the 1960s, corresponded with an increase in  $\delta^{15}\text{N}$  and decline in  $\delta^{13}\text{C}_{\text{org}}$   
527 values. Both diatom and chironomid assemblages were also observed to have a similar second  
528 transition at  $\sim 1985$ , where further reductions of the cold-water adapted chironomids and increases  
529 of epiphytic diatoms suggest warmer water temperatures and the development of a more diverse  
530 benthic habitat. The shift observed in the biological and geochemical records occurred prior to a  
531 prominent increase in temperature in 1979 (Figure 7a), and was likely associated with increasing  
532 supply of dissolved inorganic carbon and nitrogen to the lake from active shoreline thermokarst  
533 processes. This is consistent with Jones et al. (2011a), who noted that a majority of thermokarst  
534 lakes in the northern Seward Peninsula have expanded since the 1950s, and that elevated nitrogen  
535 export occurs from thawing permafrost (Jones et al. 2011b).

536 Medeiros et al. (2014) contrasted this thermokarst-driven change in nutrient supply and  
537 biotic response to that of a lake whose catchment has experienced substantial shrub development  
538 since the 1980s. Nutrient input to the shrub-dominated lake in the early part of the record highly  
539 contrasted that of the thermokarst lake, reflected by low C/N ratios throughout the record until  
540 the 1980s, indicating ample supply of nitrogen to support aquatic production (Figure 7b). A shift

541 in the geochemical and biological record was not observed until after ~1986, when a trend to  
542 lower  $\delta^{13}\text{C}_{\text{org}}$  values and higher C/N ratios likely reflected an increase in terrestrial particulate  
543 organic matter deposition and corresponding increasing aquatic production following enhanced  
544 shrubification of the lake catchment. Prior to ~1970, the lake was mainly represented by cold-  
545 water adapted stenothermic chironomids, however, an increase of *Aulacoseira sp.* diatoms  
546 following ~1970 may indicate an increase in terrestrially-derived particulate organic matter  
547 inputs and humic conditions. A reduction in planktonic habitat beginning ~1973 was inferred by  
548 a marked decrease in small planktonic diatoms consistent with increasing evaporation as  
549 suggested by an increase in cellulose-inferred lakewater  $\delta^{18}\text{O}$  (Figure 7b). Subsequently, large  
550 reductions of cold-water stenotherms at ~2000 indicate an increase in water temperature.

551 These results suggest that the evolution of aquatic ecosystems in the SSP is variably  
552 influenced by catchment-mediated processes, in addition to the direct effects of climate, as  
553 documented on a different, much longer, time frame in northern Seward Peninsula (Lenz et al.  
554 2016). In the study by Medeiros et al. (2014), an increase in supply of dissolved inorganic carbon  
555 and nitrogen related to shoreline erosion appeared to be associated with enhanced productivity in  
556 an otherwise nutrient-limited thermokarst lake. In contrast, increase in supply of particulate  
557 organic matter following an increase in shrub growth in the catchment of another lake had less  
558 apparent influence on aquatic biota whereas more direct responses were linked to warming and  
559 hydrological changes.

560

### 561 **3.7 Lena Delta transect, northeastern Siberia**

562 Arctic Russia experienced severe winters during the last ice ages. As most of the ground was not  
563 protected by an ice sheet, cold air deeply penetrated into the soils, forming continuous permafrost

25

564 of up to 1600 m thick (French 2007) (Figure 1). The investigated area, extending from the Lena  
565 River Delta towards central Yakutia, is typical of the continuous permafrost zone in northern  
566 Siberia, ranging in thickness from 500 to 700 m (Romanovskii et al. 2004). In this area, fluvial  
567 sediments of spatially variable stages of the Lena River are overlain by Quaternary loess-like  
568 syngenetic permafrost material, the so-called Yedoma complex, with high organic and ice  
569 contents (Schirrmeister et al. 2011). The landscape is dominated by thermokarst depressions as a  
570 result of varying degrees of permafrost thaw, subsidence, and reworking processes of the initial  
571 Pleistocene sequences. Associated with alases (depressions caused by thawing of ice-rich  
572 permafrost), numerous thermokarst lakes provide insight into the landscape dynamics typical of  
573 ice-rich permafrost. The majority of thermokarst lakes in Siberia started to form during the early  
574 Holocene Thermal Maximum (HTM), and lake-landscape dynamics include lake initiation,  
575 expansion, drainage, and re-initiation of thermokarst lakes (Morgenstern et al. 2011) (Figure 8).

576 Stemming from a long-term strategy based on high-resolution lake-sediment-core  
577 analyses spanning a north-south transect along the Lena River, the ‘SibLake-Programm’ at the  
578 Alfred Wegener Institute (Potsdam, Germany) investigates the potential of thermokarst-lake  
579 sediment sequences for reconstructing regional climate change in the past and the impacts of  
580 local thermokarst phenomena on aquatic ecosystem dynamics. The main objective is to detect  
581 and explain the spatial pattern of the onset and termination of the HTM across Russia. The  
582 studied lakes mentioned in this review comprise small, oligotrophic, and cold-monomictic  
583 thermokarst basins in the Lena Delta, the open Lena hinterland tundra and the northern taiga zone  
584 of central Yakutia (Figure 1). Studied lakes are usually shallow (~ 3 m) but can sometimes be  
585 deeper than 10-20 m in upland permafrost settings with high excess ground ice in deep  
586 permafrost layers (Yedoma). Lake bathymetry can also vary significantly within a lake, related to

587 1) spatial variability in ice content and associated differential subsidence rates, 2) restriction of  
588 talik development within unfrozen areas during winter, and 3) spatial differences in  
589 sedimentation rates associated with river input and permafrost-specific processes such as thaw  
590 slumping. Yedoma thermokarst lakes generally penetrate directly into the surrounding ice  
591 complex often surrounded by steep slopes, thermo-erosion gullies and retrogressive thaw slumps  
592 associated with alluvial fans (Biskaborn et al. 2013a, 2013b).

593         Based on multiple parameters, mainly aquatic (diatoms) and terrestrial (pollen) bio-  
594 indicators and sediment geochemical proxies from radiocarbon dated sediment cores, several  
595 studies (synthesized in Biskaborn et al. 2016) revealed the timing and magnitude of the onset of  
596 the HTM. These authors documented a temporal delay from north to south along the lower Lena  
597 River due to climatic tele-connections with the Laurentide Ice Sheet in North America. Such a  
598 southward delay in HTM onset appeared to be up to 3000 years, although the termination of the  
599 HTM is still under debate. Based on bio- and litho-stratigraphic reconstructions, Biskaborn et al.  
600 (2012, 2013a; 2016) reported that climate warming in the Lena Delta hinterland caused major  
601 changes in aquatic ecosystems (e.g., decrease in lake-ice cover extent and duration, decrease in  
602 alkalinity, increase in habitat availability). Furthermore, these studies demonstrated that use of  
603 bio-indicators for climate reconstruction requires differentiation between summer and winter  
604 seasons. In cold continental environments in particular, seasonal lake-ice cover can have a  
605 significant impact on the distribution of diatom species (Rühland et al. 2015), whereas terrestrial  
606 vegetation (e.g., pollen) likely reflects summer conditions.

607         Alas-stage succession in Siberia led to complex lake evolution (Bosikov 1991; van  
608 Huissteden et al. 2011; Schleusner et al. 2014). No general temporal pattern in alas cycles has  
609 been found, suggesting that thermokarst lake development is highly dependent on local

610 morphological, lithological, and hydroclimatic properties. Accordingly, sedimentological  
611 investigations of thermokarst lakes in the Lena Delta region revealed that limnogeological  
612 processes are not driven by climate changes alone, but also reflect differential permafrost  
613 degradation (Figure 8). For example, drainage processes associated with lakeshore thermo-  
614 erosion in northwestern Lena Delta caused strong fluctuations in water level, changing the abiotic  
615 and biotic lake status. Dramatic short-term lake-level shifts were evidenced by changes in fossil  
616 diatom species assemblages around 1300 cal. yr BP (Biskaborn et al. 2013a). In a thermokarst  
617 setting within ice-rich Yedoma, Biskaborn et al. (2013b) tracked block failure events from  
618 retrogressive thaw slumping in sediment cores from Lake El'gene Kyuele using end-member  
619 modeling of grain size and elemental composition. Their results indicated repetitive phases of  
620 bluff stability and instability along the shoreline associated with differential degradation of the  
621 orthogonal oriented ice-wedge pattern. As a consequence, in geomorphologically pronounced  
622 catchment settings with steep slopes and active thaw slumping, thermo-erosion of ice- and  
623 carbon-rich permafrost (i.e., Yedoma) significantly contributed as a sediment source, resulting in  
624 challenges for establishing reliable age-depth models. Including sedimentological and  
625 geochemical impacts of patterned cryological permafrost features (i.e., ice wedges) in the  
626 interpretation of abiotic and biotic sedimentological indicators is thus essential for yielding sound  
627 paleoenvironmental implications.

628

#### 629 **4. Emerging research directions**

630 Knowledge of short- and long-term environmental change in high-latitude regions has  
631 substantially advanced during the past few decades, partly due to significant methodological and

632 conceptual progress in paleolimnology. Since rising temperature in subarctic and Arctic regions  
633 will increase active-layer thickness, enhance microbial activity, and increase supply of dissolved  
634 and particulate carbon and other nutrients to lakes (Hobbie et al. 2002; Fritz and Anderson 2013),  
635 knowledge of changing catchment condition is crucial for anticipating aquatic ecosystem  
636 responses. Although we provide a few recent examples in this review paper, there is a wealth of  
637 research potential and thermokarst lake archives still untapped. As we demonstrate above, these  
638 shallow aquatic ecosystems can indeed provide useful archives for paleolimnological  
639 investigations. Furthermore, when coupled with carbon balance and remote sensing approaches,  
640 these two emerging axes of research have great potential to significantly enhance our  
641 understanding of thermokarst lake evolution through space and time, and their response to  
642 ongoing and future climate changes.

643 Long-term patterns in carbon storage and emissions in the past are of great relevance to  
644 the scientific community, and paleolimnology is now showing great promise to occupy a central  
645 position in global change research (Heathcote et al. 2015; McGowan et al. 2015, and references  
646 therein). Because of the enormous quantities of carbon stored in permafrost compared to the  
647 atmosphere (Hugelius et al. 2014), thermokarst lakes have been identified as a potentially major  
648 global source of greenhouse gas (GHG) such as methane ( $\text{CH}_4$ ) if mobilized to the atmosphere  
649 (Walter et al. 2007a; 2007b). Conversely, widespread mineral (organic-poor) Arctic soils may  
650 rather consume methane under a warmer climate (Lau et al. 2015). Moreover, some thermokarst  
651 ecosystems may have shifted from carbon sources to sinks during the past millennia (Walter  
652 Anthony et al. 2014). Hence, many uncertainties remain about carbon cycle modeling and  
653 upscaling to the global scale, as shown for example by the strong spatial heterogeneity of GHG  
654 fluxes from permafrost aquatic systems, including thermokarst lakes (Bouchard et al. 2015). Yet,

655 useful information about carbon dynamics within permafrost landscapes in the past can be  
656 obtained from thermokarst lake archives. Key potential measures include carbon inventories and  
657 accumulation rates (through the loss-on-ignition technique), fossil biomarkers (e.g., pigments,  
658 fatty acids) indicating the presence of methanogenic or methanotrophic bacteria, and sedimentary  
659 geochemistry related to the different fractions and sources of organic matter (e.g., organic carbon  
660 and nitrogen elemental and stable isotope composition, organic matter biomarkers; McGowan et  
661 al. 2015; Korosi et al. 2015; MacDonald et al. 2015). Moreover, sources and accumulation rates  
662 of mineral and organic particles in lakes can be characterized by sediment trap techniques, which  
663 have not yet been widely used in thermokarst aquatic systems (Coulombe et al. 2016). There is  
664 thus a need to foster such approaches based on the study of thermokarst lake sediments with a  
665 special focus on carbon dynamics in aquatic systems.

666 Several remote-sensing studies, based on historical air photos and satellite imagery, have  
667 documented recent lake-level drawdown and widespread occurrence of drainage events in lake-  
668 rich thermokarst landscapes, although with notable differences between continuous and  
669 discontinuous permafrost regions (e.g., Yoshikawa and Hinzman 2003; Smith et al. 2005;  
670 Riordan et al. 2006; Plug et al. 2008; Jones et al. 2011a). It is not clear if such major hydrological  
671 shifts are driven solely by climate, or thermokarst activity, or a combination of both (Lantz and  
672 Turner, 2015). Yet, identifying the processes responsible for water-level changes in thermokarst  
673 landscapes is important to better anticipate ecological consequences that will affect local wildlife  
674 species and traditional lifestyle of northern communities. Investigations combining remote  
675 sensing imagery and multi-proxy paleolimnological analyses are scarce (MacDonald et al. 2012;  
676 Edwards et al. 2016), but offer great promise for disentangling factors controlling hydrological  
677 trajectories of thermokarst lakes. Moreover, key findings stemming from such studies could help

678 to better inform modeling and lake-surface mapping efforts. We therefore anticipate that future  
679 progress in thermokarst knowledge will result from a better integration of remotely sensed data  
680 and lake-sediment archives.

681

## 682 **Acknowledgements**

683 We are grateful to the many people who provided help in the field and in the laboratory, as well  
684 as the many funding agencies and organizations that supported our research. This paper is a  
685 contribution to the NSERC Discovery Frontiers program, Arctic Development and Adaptation to  
686 Permafrost in Transition (ADAPT).

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1240

1241 **Figure captions**

1242

1243 **Figure 1.** Circum-Arctic map of permafrost and lake distribution. Numbers refer to thermokarst  
1244 paleolimnological studies highlighted in the text, as follows: Western Nunavik, northern Québec  
1245 (1); Western Hudson Bay Lowlands, northern Manitoba (2); South Slave Taiga Plains, Northwest  
1246 Territories (3); Mackenzie Delta Uplands, Northwest Territories (4); Old Crow Flats, northern  
1247 Yukon Territory (5); Southern Seward Peninsula, Alaska (6); Lena Delta transect, northeastern  
1248 Siberia (7).

1249

1250 **Figure 2.** Thermokarst lake formation and evolution in ice-rich permafrost in the continuous (a-  
1251 d) and discontinuous (e-h) zones (modified from Grosse et al. 2013 and Calmels et al. 2008,  
1252 respectively). In continuous permafrost, where ice-wedge terrains dominate (a), thermokarst lake  
1253 inception generally starts with water pooling above low-center polygons and melting ice wedges  
1254 (b). These small ponds eventually merge to create shallow lakes (c), which further deepen and  
1255 develop laterally by thermo-erosion, resulting in larger and deeper mature lakes with an  
1256 underlying thaw bulb or talik (d). In discontinuous permafrost, where ice-rich cryogenic mounds  
1257 (palsas and lithalsas) are widespread (e), the melting of segregation ice lenses results in surface  
1258 subsidence and water pooling in topographic depressions (f-g). Once permafrost has completely  
1259 thawed, a mature thermokarst pond/lake surrounded by a peripheral ridge can stabilize if  
1260 underlain by impermeable silts and clays (h). The final stage of thermokarst lakes can involve:  
1261 rapid drainage (resulting from shoreline breaching after higher-than-average precipitation), lake-

1262 level drawdown (due to factors that lead to increased evaporation), subsurface drainage  
1263 (groundwater infiltration through an open talik), or terrestrialization (via rapid peat accumulation  
1264 and/or lake infilling). See text for details and references.

1265  
1266 **Figure 3.** Lithostratigraphic properties of thermokarst lake sediments in eastern Hudson Bay,  
1267 near Kuujjuarapik-Whapmagoostui along the Great Whale River (modified from Bouchard et al.  
1268 2011). Chronological ( $^{210}\text{Pb}$ ,  $^{137}\text{Cs}$ ), physical (bulk density, LOI), sedimentological (thin sections,  
1269 grain size), and geochemical (XRF) data are combined to distinguish three distinct  
1270 lithostratigraphic facies, from bottom to top: a marine lower facies (LF), an organic-rich (peat)  
1271 transition zone (TZ), and a lacustrine upper facies (UF).

1272  
1273 **Figure 4.** Hydrological sensitivity of shallow subarctic lakes to low snowmelt runoff western  
1274 Hudson Bay Lowlands, Manitoba (modified from Bouchard et al. 2013b). a) Location of the  
1275 study area, including sampled lakes colour-coded based on their classification. b) Winter  
1276 precipitation during years of water isotope sampling (red) and the five years prior (blue),  
1277 including the 1971-2000 climate normal (dashed black line). c) Comparison of measured (water;  
1278 open symbols) with cellulose-inferred (sediment; full symbols) lakewater oxygen-isotope  
1279 composition ( $\delta^{18}\text{O}$ ). d) Cellulose-inferred  $\delta^{18}\text{O}$  record from coastal fen lake WAP12, where near-  
1280 complete desiccation occurred during midsummer of 2010.

1281  
1282 **Figure 5.** Generalized depiction of permafrost thaw under peat plateaus in the South Slave Taiga  
1283 Plains, and its potential downstream impacts based on the findings of Korosi et al. (2015). a) As  
1284 ground ice melts, the margins of peat plateaus collapses, and tree roots are inundated. As trees die

1285 off, wetland taxa such as *Sphagnum* mosses colonize. b) Peat subsidence may increase the  
1286 transport of DOC to aquatic ecosystems, leading to a decrease in water clarity in the small ponds  
1287 and lakes common in this landscape. c) Summary of key results from paleolimnological analysis  
1288 of Lake TAH-7, recreated from Korosi et al. (2015), that show peat subsidence led to a long-term  
1289 increase in mercury transport to the lake, and the crossing of an ecological threshold for DOC.  
1290 Top: the appearance and eventual dominance of the diatom assemblage by small benthic  
1291 *Fragilaria* taxa adapted to low light conditions (indicating ecological changes related to  
1292 increased DOC); Bottom: A positive correlation between total lignin yield (vanillyls + syringyls  
1293 + vanillyls) and total mercury in the sediment core from TAH-7 indicates a long-term increase in  
1294 sedimentary mercury was related to increased runoff of terrestrial organic matter (line shows the  
1295 approximate timing of the industrial revolution and enhanced mercury deposition). Drawings (a-  
1296 b) by Jessica Korosi (University of Waterloo).

1297  
1298 **Figure 6.** a) Summary of key paleolimnological indicators and historical images from  
1299 MacDonald et al. (2012) for OCF 48, plotted versus time derived from  $^{210}\text{Pb}$  analysis. b)  
1300 Expected sedimentary organic matter (%) profiles for thermokarst lakes experiencing lake-level  
1301 drawdown by rapid drainage versus evaporation (from MacDonald et al. 2012). c) Organic matter  
1302 (%) and mineral matter (%) content from seven OCF lakes plotted versus time derived from  $^{210}\text{Pb}$   
1303 analysis or depth. Dashed lines represent expansion (lower dashed line) and drainage (upper  
1304 dashed line) events interpreted from the loss-on-ignition records.

1305  
1306 **Figure 7.** Relative abundance (%) of selected geochemical parameters, and chironomid and  
1307 diatom taxa from the core of a) a thermokarst lake, and b) a shrub-dominated kettle lake in the

1308 Southern Seward Peninsula, Alaska, plotted using the  $^{210}\text{Pb}$  estimated age-depth profile.  
1309 Historical images (indicated on the profiles by dashed lines) and a present-day image (2012),  
1310 showing surrounding catchment conditions at different periods, are displayed on the right  
1311 (source: U.S. Geological Survey's Earth Resources Observation and Science (EROS) Center).  
1312 The mean-annual temperature record from the nearest climate station (Nome, Alaska) is plotted  
1313 in panel a). Note that x-axis scaling varies as a percentage of the assemblage (adapted from  
1314 Medeiros et al. 2014).

1315  
1316 **Figure 8.** Generalised compilation of typical NE Siberian thermokarst development in ‘Yedoma’  
1317 deposits (Pleistocene-age, ice-rich loess), considering the findings of Soloviev (1973), French  
1318 (2007), and van Huissteden et al. (2011). Thermokarst processes depend upon temperature and  
1319 precipitation as well as local permafrost characteristics (i.e., excess ice and geomorphology).  
1320 Initial thermokarst lake development (1, upper panel) results from the melting of excess ground  
1321 ice, generally in the form of syngenetic ice wedges (i.e., formed at the same time as sediment  
1322 deposition). Lake depth is controlled by ice wedge depth, as well as local factors (especially  
1323 excess ground ice content). An underlying talik (thaw bulb) forms underneath when lake depth is  
1324 greater than winter lake-ice cover thickness. Lake expansion and migration (2, middle panel)  
1325 proceeds through shoreline erosion, while former lake sediments can be exposed to atmospheric  
1326 conditions, thus refrozen and uplifted. Finally, partial or complete lake drainage (3, lower panel)  
1327 can result in the new development of permafrost, including epigenetic ice wedges (i.e., formed  
1328 after sediment deposition).

1329

## Tables

**Table 1.** Summary of limnological changes, nutrient behaviour and aquatic community responses to climate warming and LSG catchment disturbance in the Hudson Bay Lowlands, Manitoba.

Driver of Limnological Change	Limnology	Nutrient Behaviour	Aquatic Community
LSG catchment disturbance	Erosional input of dissolved nutrients and ions ↑ sedimentation rate	Increase in C demand → CO <sub>2</sub> invasion ↓ δ <sup>13</sup> C <sub>org</sub>	Decrease in cyanobacteria ↓ cyanobacteria pigments
	Increase in productivity ↑ organic matter ↑ Chlorophyll <i>a</i>	Increase in N availability and rapid uptake ↑ δ <sup>15</sup> N ↓ C/N	
Climate warming	Increase in light availability ↑ organic matter ↓ mineral matter	Increase in C demand ↑ δ <sup>13</sup> C <sub>org</sub>	Development of benthic biofilm Benthic mat dwelling <i>Denticula kuetzingii</i> dominate ↑ <i>Denticula kuetzingii</i> ↓ <i>Fragilaria pinnata</i>
	Increase in productivity ↑ Chlorophyll <i>a</i>	Increase in N demand → N limitation δ <sup>15</sup> N ≈ 0‰ ↓ C/N	Increase in cyanobacteria ↑ cyanobacteria pigments
Cool climate (Little Ice Age)	Low light availability ↓ organic matter ↑ mineral matter	Low nutrient availability ↑ C/N ↓ N % ↓ C <sub>org</sub> %	Episammic <i>Fragilaria pinnata</i> dominate ↑ <i>Fragilaria pinnata</i> ↓ <i>Denticula kuetzingii</i>
	Low productivity ↓ Chlorophyll <i>a</i>	Low C Demand ↓ δ <sup>13</sup> C <sub>org</sub>	

**Table 2:** Summary of limnological changes, biological responses and changes in contaminants in lakes impacted by shoreline retrogressive thaw slumping in the Mackenzie Delta uplands, western Canadian Arctic.

<b>Landscape/ Geomorphic Disturbance</b>	<b>Limnological Changes</b>	<b>Biological Responses</b>	<b>Impact on Contaminants</b>
Retrogressive thaw slumping	↑ Ion concentrations / conductivity <sup>a</sup>	↑ Benthic macrophyte production <sup>e</sup>	↑ PCB / pesticide concentrations (per gram organic carbon) <sup>h</sup>
	↓ DOC / water colour <sup>a,b</sup>	↑ Periphytic diatom diversity <sup>g</sup>	↓ Total mercury (per gram dry weight) <sup>d</sup>
	↑ pH <sup>c</sup>	↑ Exposure to UV radiation <sup>b</sup>	
	↓ TP <sup>b</sup>	↑ Macroinvertebrate abundance <sup>f</sup>	
	↓ TDN <sup>b</sup>	↓ water column <sup>b</sup> and sedimentary <sup>d</sup> chlorophyll <i>a</i>	
	↑ Inorganic sedimentation <sup>d</sup>		
	↓ Sedimentary organic carbon <sup>d,e,f</sup>		

<sup>a</sup>Kokelj et al. 2009a; <sup>b</sup>Thompson et al. 2012; <sup>c</sup>Kokelj et al. 2005; <sup>d</sup>Deison et al. 2012; <sup>e</sup>Mesquita et al. 2010; <sup>f</sup>Moquin et al. 2014; <sup>g</sup>Thienpont et al. 2013a; <sup>h</sup>Eickmeyer et al. 2016

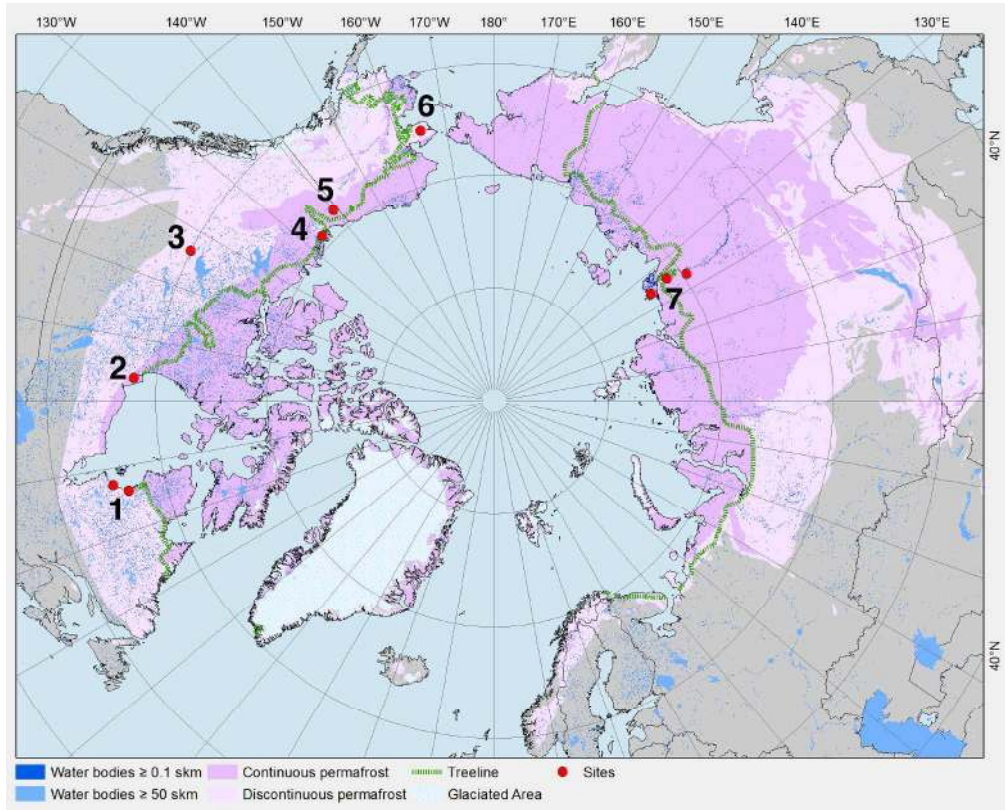


Figure 1



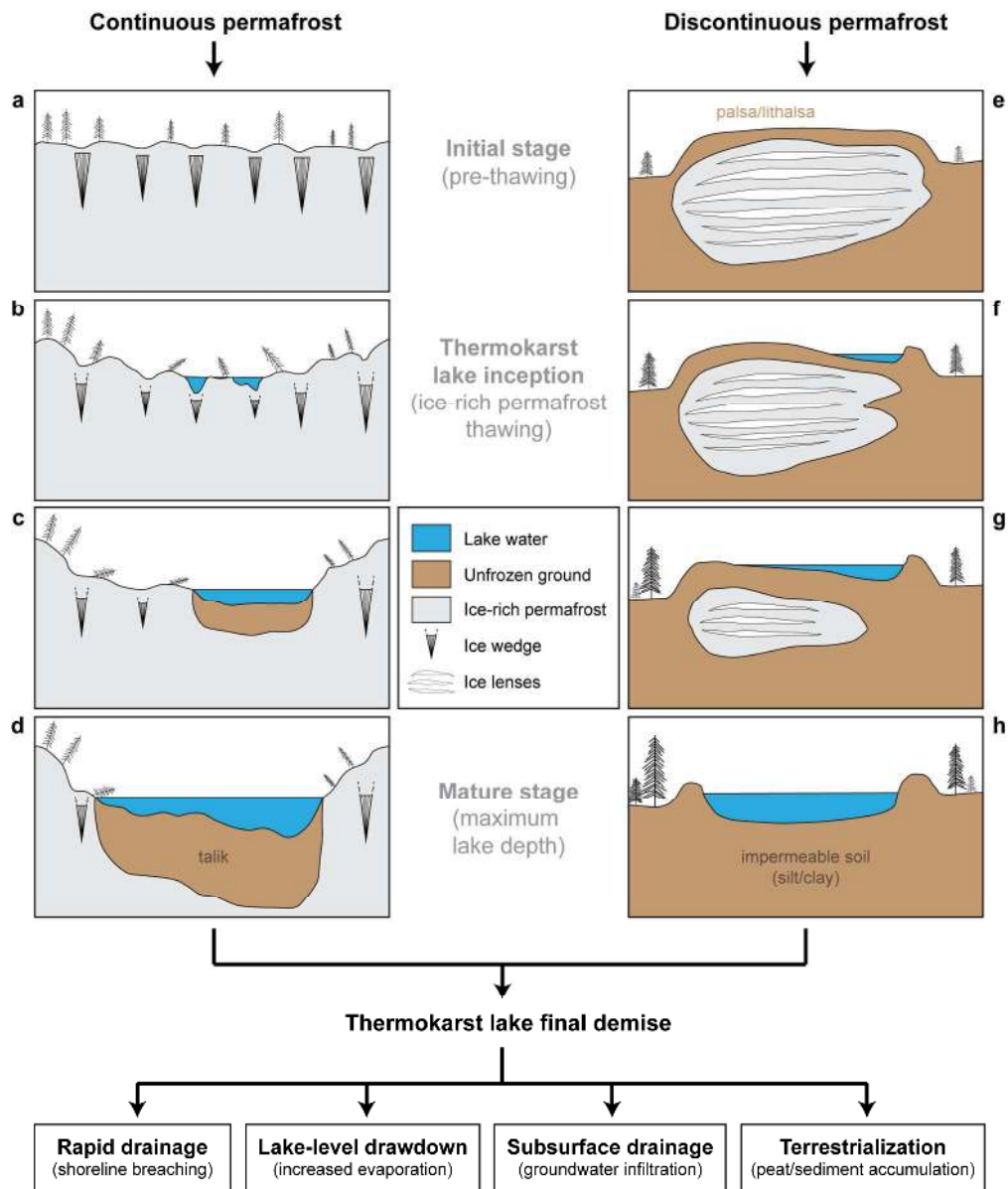


Figure 2

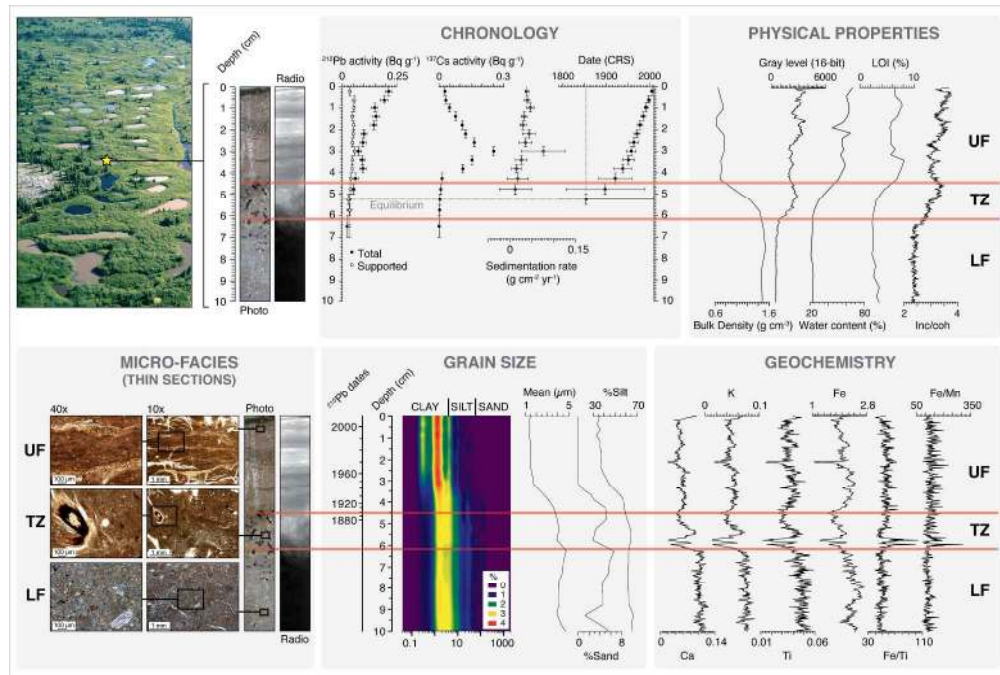


Figure 3

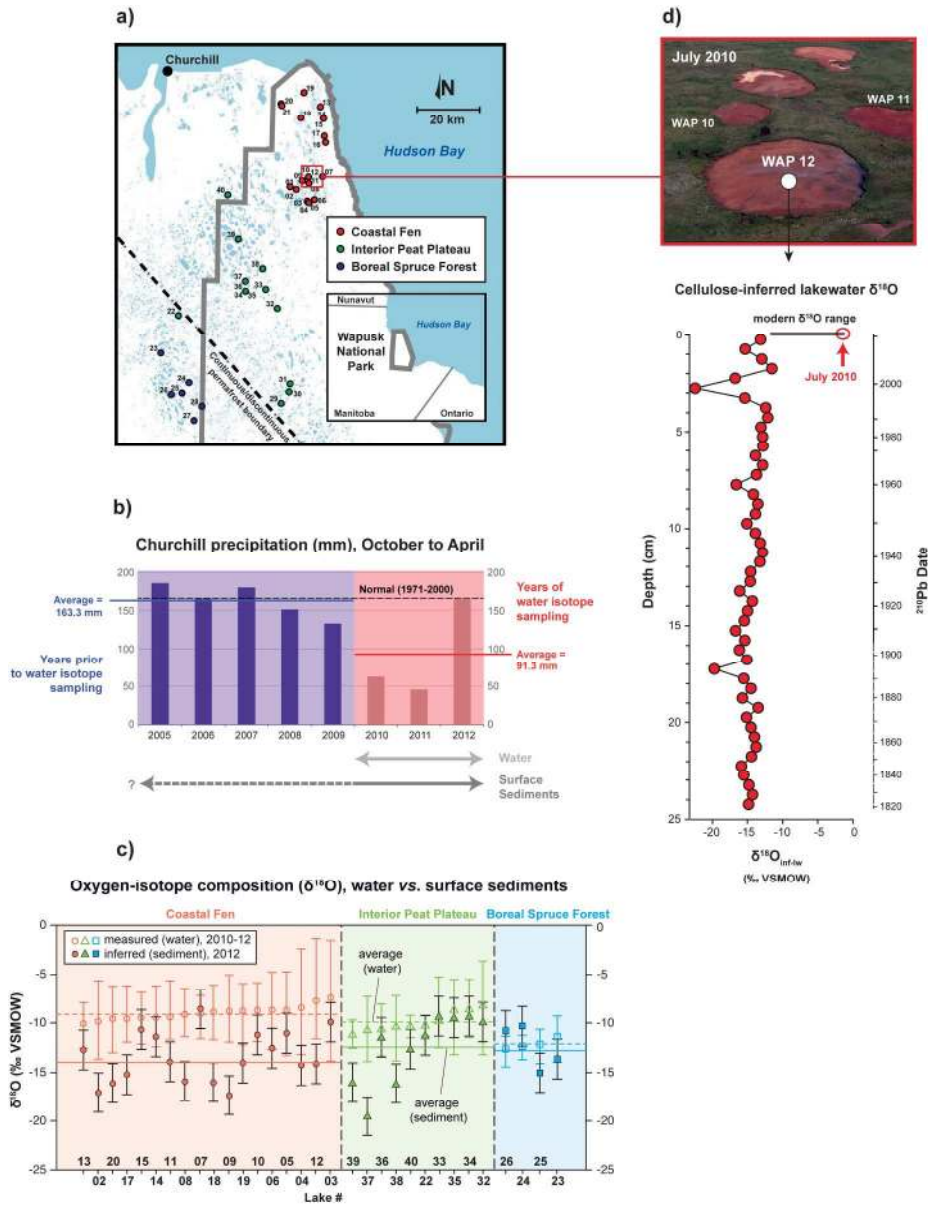


Figure 4

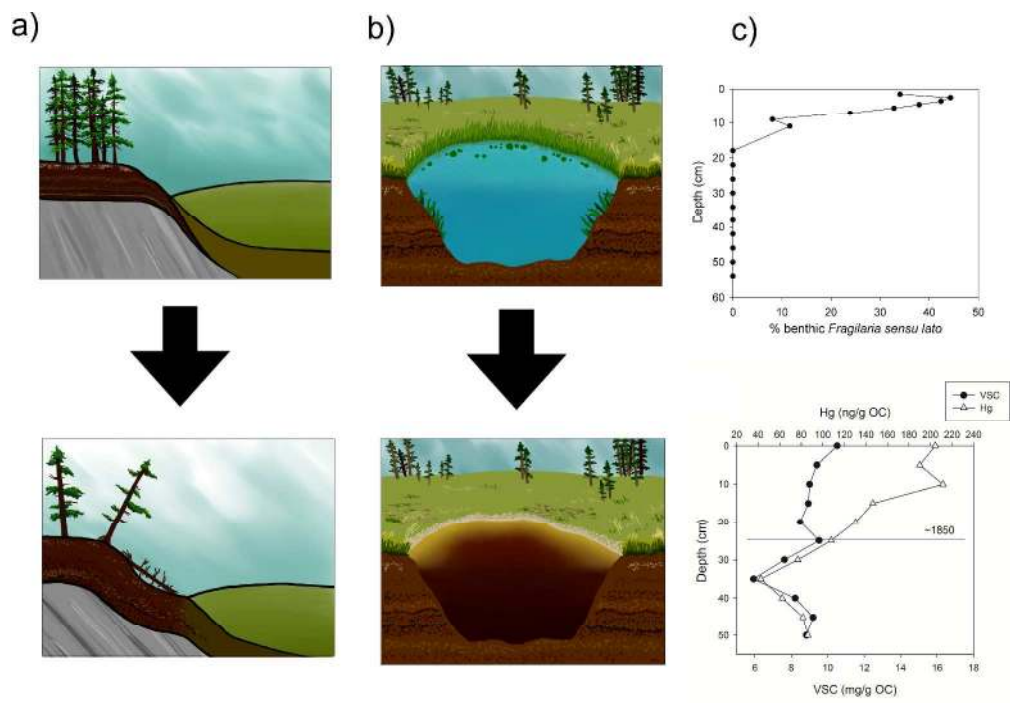


Figure 5

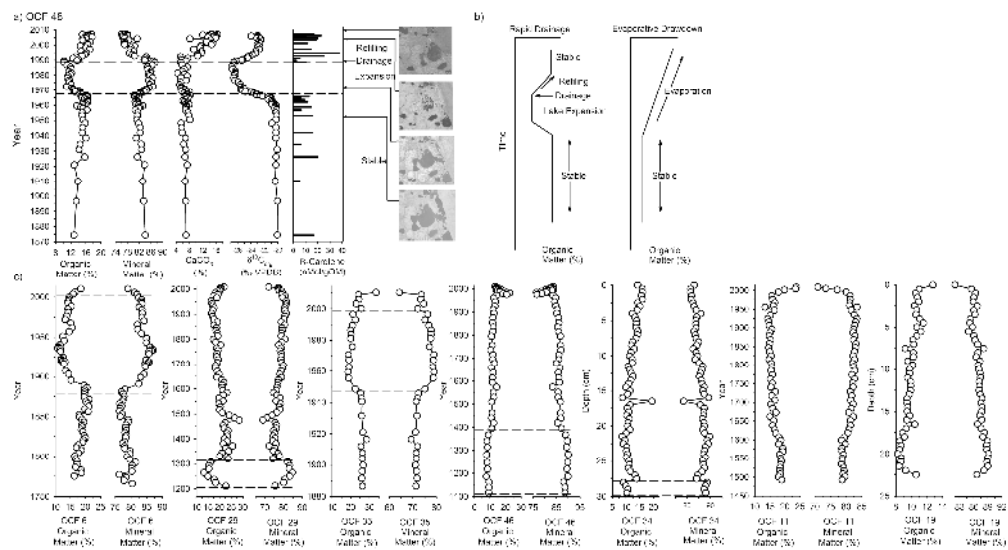


Figure 6

2822x1564mm (72 x 72 DPI)

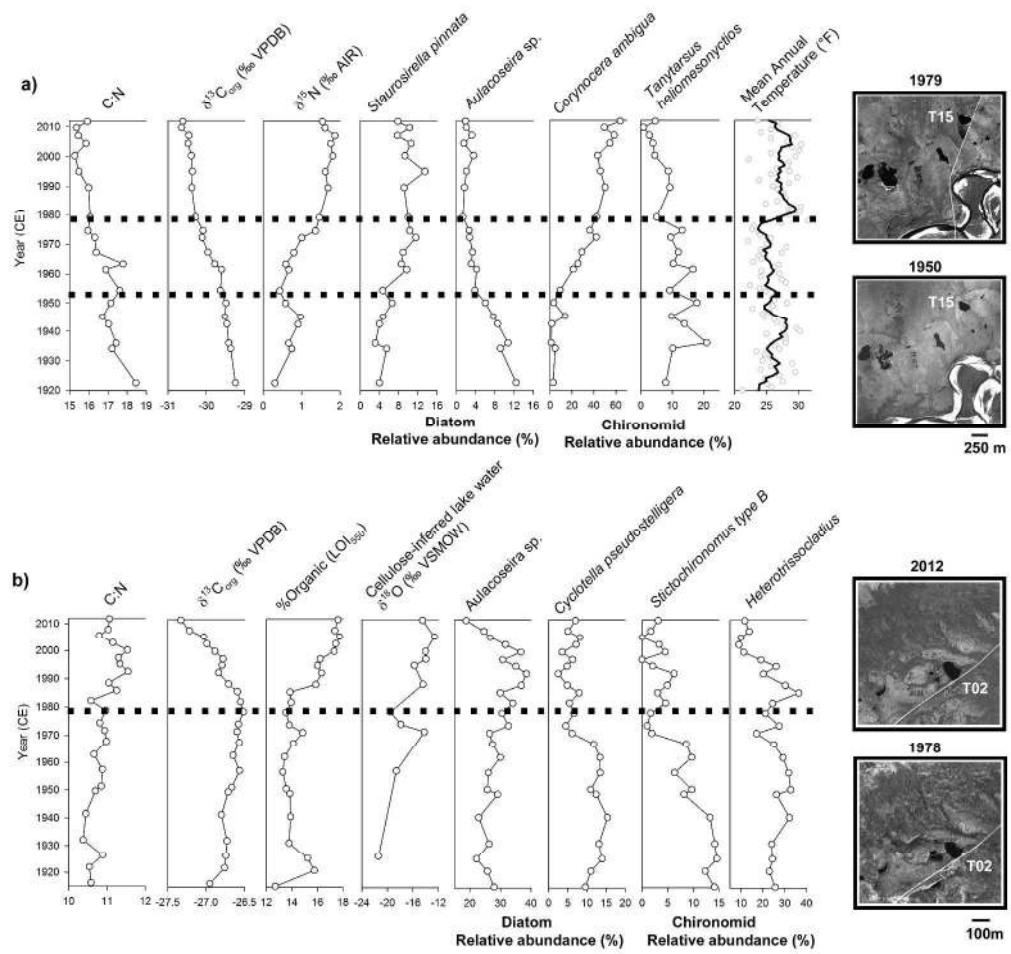


Figure 7

331x318mm (300 x 300 DPI)

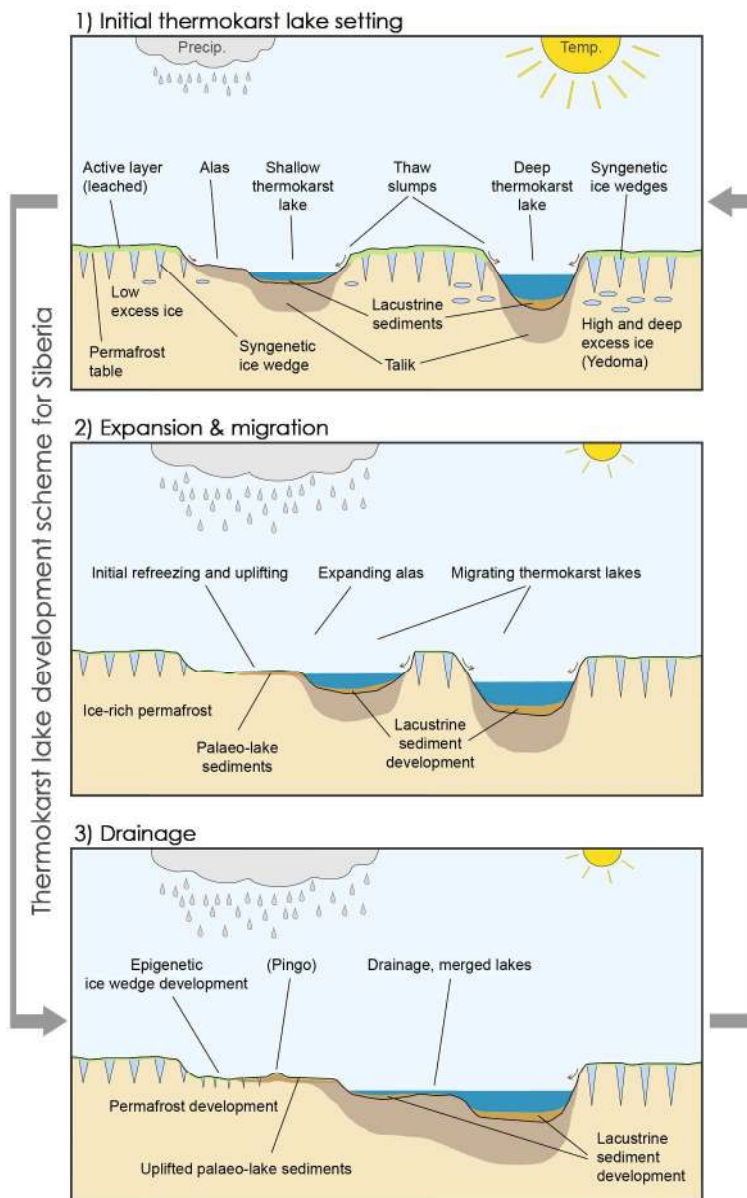


Figure 8