ORIGINAL ARTICLE

Panitumumab–FOLFOX4 Treatment and RAS Mutations in Colorectal Cancer

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ABSTRACT

BACKGROUND

Patients with metastatic colorectal cancer that harbors KRAS mutations in exon 2 do not benefit from anti–epidermal growth factor receptor (EGFR) therapy. Other activating RAS mutations may also be negative predictive biomarkers for anti-EGFR therapy.

METHODS

In this prospective–retrospective analysis, we assessed the efficacy and safety of panitumumab plus oxaliplatin, fluorouracil, and leucovorin (FOLFOX4) as compared with FOLFOX4 alone, according to RAS (KRAS or NRAS) or BRAF mutation status. A total of 639 patients who had metastatic colorectal cancer without KRAS mutations in exon 2 had results for at least one of the following: KRAS exon 3 or 4; NRAS exon 2, 3, or 4; or BRAF exon 15. The overall rate of ascertainment of RAS status was 90%.

RESULTS

Among 512 patients without RAS mutations, progression-free survival was 10.1 months with panitumumab–FOLFOX4 versus 7.9 months with FOLFOX4 alone (hazard ratio for progression or death with combination therapy, 0.72; 95% confidence interval [CI], 0.58 to 0.90; P=0.004). Overall survival was 26.0 months in the panitumumab–FOLFOX4 group versus 20.2 months in the FOLFOX4-alone group (hazard ratio for death, 0.78; 95% CI, 0.62 to 0.99; P=0.04). A total of 108 patients (17%) with nonmutated KRAS exon 2 had other RAS mutations. These mutations were associated with inferior progression-free survival and overall survival with panitumumab–FOLFOX4 treatment, which was consistent with the findings in patients with KRAS mutations in exon 2. BRAF mutations were a negative prognostic factor. No new safety signals were identified.

CONCLUSIONS

Additional RAS mutations predicted a lack of response in patients who received panitumumab—FOLFOX4. In patients who had metastatic colorectal cancer without RAS mutations, improvements in overall survival were observed with panitum-umab—FOLFOX4 therapy. (Funded by Amgen and others; PRIME ClinicalTrials.gov number, NCT00364013.)

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N Engl J Med 2013;369:1023-34. DOI: 10.1056/NEJMoa1305275 Copyright © 2013 Massachusetts Medical Society. RAS MUTATION IS AN ESTABLISHED PREdictive biomarker of resistance to antiepidermal growth factor receptor (EGFR) therapy in patients with metastatic colorectal cancer.¹⁻⁴ Specifically, patients with KRAS mutations in exon 2 do not have a response to anti-EGFR therapy and may have inferior outcomes if this therapy is combined with an oxaliplatin-containing chemotherapy regimen.^{2,5} More accurate selection of patients according to the genetic status of the tumor may improve the benefit–risk profile of anti-EGFR therapy.

Activating mutations in RAS (KRAS or NRAS) in addition to KRAS mutations in exon 2 have been suggested as negative predictive biomarkers for anti-EGFR therapy. This is biologically plausible on the basis of the existing biochemical and mutational data. KRAS and NRAS are closely related RAS oncogene family members, and mutations in either gene at codons 12, 13, 61, 117, and 146 result in increased levels of guanosine triphosphate-bound RAS proteins.^{6,7} In addition, colorectal tumors harbor KRAS and NRAS mutations at these codons, and mutations tend to be mutually exclusive; this suggests functional redundancy.8 Mutations in HRAS, the third member of the RAS family, occur infrequently in colorectal cancer.8,9

Clinical data have also implicated RAS genes as negative predictive biomarkers. In a randomized phase 3 study of panitumumab monotherapy¹⁰ and other studies,¹¹⁻¹⁵ most patients with metastatic colorectal-cancer tumors harboring a mutation in *KRAS* or *NRAS* did not have a response to anti-EGFR therapy.

BRAF mutations are typically exclusive of RAS mutations, and the clinical data suggest that the BRAF V600E mutation is prognostic of patient outcome with respect to survival, but not clearly predictive of treatment effects with anti-EGFR agents, in patients with metastatic colorectal cancer. Although no objective responses to panitumumab or cetuximab monotherapy have been reported in patients with metastatic colorectal cancer and BRAF mutations, to 20 the low prevalence of such mutations makes it difficult to evaluate them as predictive biomarkers.

Previous studies of anti-EGFR therapies combined with oxaliplatin-containing regimens have shown negative outcomes in subgroups of patients with *KRAS* mutations in exon 2. Identification of other biomarker-defined subgroups with

similar outcomes would influence the choice of therapy.^{2,5} Here, we present the results of a prospective–retrospective biomarker analysis of the treatment effect of the full spectrum of currently characterized RAS (KRAS and NRAS) and BRAF mutations on progression-free survival and overall survival in a randomized phase 3 study of panitumumab plus oxaliplatin, fluorouracil, and leucovorin (FOLFOX4) as compared with FOLFOX4 alone in patients with previously untreated metastatic colorectal cancer.

METHODS

STUDY DESIGN AND OVERSIGHT

The Panitumumab Randomized Trial in Combination with Chemotherapy for Metastatic Colorectal Cancer to Determine Efficacy (PRIME) compared the efficacy and safety of panitumumab–FOLFOX4 with those of FOLFOX4 alone in the first-line treatment of patients, according to KRAS exon 2 status. The primary end point was progression-free survival. The secondary end points included overall survival and safety.²

The study was designed by the sponsor, Amgen, in collaboration with the first author and the study steering committee. Clinical data were collected by the investigators, and sequencing analysis was conducted by Transgenomic under the direction of the sponsor. The sponsor performed all statistical analyses. All authors vouch for the accuracy of the data and analyses and for the fidelity of this report to the protocol, which is available with the full text of this article at NEJM.org. The preliminary draft of the manuscript was written by the second author with the assistance of a medical writer who was paid by the sponsor. Subsequent drafts were revised and reviewed by all the authors. All the authors made the decision to submit the manuscript for publication.

TUMOR SPECIMENS

Banked tumor specimens that were characterized as nonmutated *KRAS* exon 2 on the basis of an assay for investigational use only (TheraScreen *KRAS* Mutation Kit, Qiagen; LightCycler, Roche) were selected for analysis.² DNA was extracted from formalin-fixed, paraffin-embedded tumor specimens with the use of a DNA Extraction Mini Kit (Qiagen). Specimens that contained less than 50% tumor area were macrodissected. In a few cases, DNA was extracted from stored

slides that had been stained for immunohistochemical analysis.

MUTATIONAL ANALYSIS

Mutations in KRAS exon 3 (at codon 61) and exon 4 (at codons 117 and 146); NRAS exon 2 (at codons 12 and 13), exon 3 (at codon 61), and exon 4 (at codons 117 and 146); and BRAF exon 15 (at codon 600) were prespecified on the basis of previous studies.7,14,21,22 Gene alterations that were not prespecified (e.g., KRAS and NRAS exon 3 [codon 59] mutations) were analyzed as exploratory end points. Polymerase-chain-reaction (PCR) primer sequences amplified regions up to 200 bp in length to account for the fragmented nature of DNA in formalin-fixed, paraffin-embedded specimens. Separate data sets were generated by means of bidirectional Sanger sequencing and WAVEbased Surveyor Scan Kits (Transgenomic). 23-26 Double-stranded PCR amplicons were melted and cooled to form a heteroduplex-homoduplex mixture that was treated with Surveyor nuclease. The resulting DNA fragments were analyzed with the use of high-performance liquid chromatography (WAVE HS System). The formation of mutant:nonmutant heteroduplexes resulted in fragments of various sizes. The testing plan and methods were prespecified, and investigators in the testing laboratory were unaware of treatment assignments and clinical outcomes. Bidirectional Sanger sequencing and testing with WAVE-based Surveyor Scan Kits were validated according to the Clinical Laboratory Improvement Amendments of 1988.

STATISTICAL ANALYSIS

The statistical analysis plan was prespecified before the RAS and BRAF testing results became available. Two clinical data snapshots were used: the primary analysis (prespecified to be performed when >50% of patients with nonmutated KRAS exon 2 had died from any cause) and the updated analysis of overall survival (an exploratory analysis that was undertaken when >80% of patients in both the nonmutated and mutated KRAS exon 2 subgroups had died from any cause), which provided the most up-to-date estimate of overall survival in the PRIME study.

The primary objective of the current prospective—retrospective analysis was to evaluate the treatment effect of panitumumab—FOLFOX4 as compared with FOLFOX4 alone in patients without

RAS mutations (nonmutated KRAS and NRAS exons 2, 3, and 4) and in those without RAS and BRAF mutations (nonmutated KRAS and NRAS exons 2, 3, and 4, and BRAF exon 15) in the primary-analysis population of the PRIME study. Subsequent evaluation of the treatment effect on the basis of the updated overall-survival data was similarly prespecified in the statistical analysis plan, but only the results of the overall-survival end point are reported, since data collection was limited to survival information. Patients were characterized as having RAS mutations if any predefined activating mutation in KRAS or NRAS was detected, and patients were characterized as having RAS or BRAF mutations if any predefined RAS or BRAF mutation was detected.

The hypothesis testing in this analysis was exploratory in nature. An overall 5% significance level was used to compare the treatment effect on progression-free survival and overall survival in subpopulations without RAS mutations and in subpopulations without RAS and BRAF mutations. To control the overall type 1 error rate, a sequential testing scheme was used for evaluation of the treatment effects of panitumumab on progressionfree survival among patients with nonmutated RAS and nonmutated RAS and BRAF, followed by a test of the treatment effects on overall survival among patients in the same subgroups. No hypothesis testing was conducted in the subgroups with mutations. To estimate the treatment effects of panitumumab, we used Cox proportional-hazards models stratified according to randomization factors, with all randomly assigned patients in each biomarker subgroup included in the assessment. A log-rank test stratified according to randomization factors was used to compare the treatment effects on progression-free survival and overall survival in the panitumumab-FOLFOX4 group with the treatment effects on progression-free survival and overall survival in the FOLFOX4-alone group. Sensitivity analyses, including a multivariate Cox model and propensity-score analysis, were used to confirm the primary results. Interaction tests were performed to compare the treatment effects of panitumumab between the subgroup with nonmutated RAS and the subgroup with mutated RAS and between the subgroup with nonmutated RAS and the subgroup with nonmutated KRAS in exon 2 and other RAS mutations. Multivariate Cox models were also used to explore the prognostic relevance of baseline covariates.

RESULTS

PATIENTS

Of the 1183 patients who underwent randomization, 1096 (93%) had previously been evaluated for KRAS exon 2 (656 patients without KRAS mutations in exon 2 [60%] and 440 patients with KRAS mutations in exon 2 [40%]) (Fig. S1 in the Supplementary Appendix, available at NEJM.org).² The status of KRAS exon 3 or 4; NRAS exon 2, 3, or 4; or BRAF exon 15 (Table 1, and Table S1 in the Supplementary Appendix) was determined in 639 of the 656 patients without KRAS mutations in exon 2. Identical results were obtained by means of bidirectional Sanger sequencing and WAVE-based Surveyor analysis.

RAS status was ascertained in 1060 of the 1183 patients (90%) who underwent randomization. Of these 1060 patients, 512 (48%) were identified as having tumors with nonmutated RAS (no KRAS or NRAS mutations in exons 2, 3, or 4) and 548 (52%) were identified as having tumors with mutated RAS (any KRAS or NRAS mutations in exon 2, 3, or 4) (Fig. S1 in the Supplementary Appendix). Of 620 patients with data that could be evaluated for RAS, 108 (17%) who were originally categorized as not having KRAS mutations in exon 2 had other RAS mutations. Baseline clinical and demographic characteristics, including race or ethnic group, age, Eastern Cooperative Oncology Group (ECOG) performancestatus score (on a scale from 0 to 5, with 0 indicating no symptoms and full activity and higher scores indicating increasing levels of disability),27 primary tumor type, and number of metastatic lesions were generally similar between patients with nonmutated RAS and those with mutated RAS and were consistent with the reported results for KRAS exon 2 in patients with metastatic colorectal-cancer tumors.2

The rate of ascertainment of RAS and BRAF status was 89% (assessed in 1047 of 1183 patients). Of 619 patients without KRAS mutations in exon 2 who could be evaluated for BRAF, 53 (9%) had V600E mutations. Mutations in BRAF exon 15 were mutually exclusive of KRAS and NRAS mutations in patients without KRAS mutations in exon 2 who could be evaluated. The proportion of patients with each of the RAS or BRAF mutations (Table 1) was consistent with that reported in a recently published article.²¹

EFFICACY ACCORDING TO TUMOR RAS STATUS

At the time of the primary analysis (data-cutoff point, August 29, 2009), 54% of the patients had died.2 In patients without KRAS mutations in exon 2 who received panitumumab-FOLFOX4, as compared with those who received FOLFOX4 alone, there was a significant improvement in progression-free survival (9.6 vs. 8.0 months, P=0.02) and a 4.2-month improvement in overall survival, which was not significant (23.9 vs. 19.7 months, P=0.07) (Table 2). In an exploratory, updated analysis of overall survival (data-cutoff point, January 24, 2013), 82% of the patients had died. On the basis of this analysis, panitumumab-FOLFOX4 was associated with a 4.4-month improvement in overall survival (23.8 months in the panitumumab-FOLFOX4 group vs. 19.4 months in the FOLFOX4alone group, P=0.03).

These analyses were extended to evaluate the predictive value of mutations other than KRAS mutations in exon 2. In the subgroup of patients without RAS mutations (the primary-analysis population), panitumumab-FOLFOX4, as compared with FOLFOX4 alone, was associated with a significant improvement in progression-free survival (10.1 vs. 7.9 months, P=0.004) and a significant 5.8-month improvement in overall survival (26.0 vs. 20.2 months, P=0.04) (Table 2 and Fig. 1 and 2A and 2B). Consistently, significant results were observed in the exploratory, updated overall-survival analysis with respect to the magnitude of improvement with panitumumab-FOLFOX4 as compared with FOLFOX4 alone (Table 2 and Fig. 2C).

A total of 17% of patients without KRAS mutations in exon 2 had mutations in other RAS exons. In this subgroup of 108 patients, outcomes in the primary analysis and in the exploratory updated analysis of overall survival showed that progression-free survival (Fig. S2A in the Supplementary Appendix) and overall survival (Fig. S2B and S2C in the Supplementary Appendix) were shorter in the panitumumab-FOLFOX4 group than in the FOLFOX4-alone group, though the difference was not significant. These outcomes were consistent with those observed in the subgroup of patients with KRAS mutations in exon 2; in this subgroup, progression-free survival in the primary analysis was significantly shorter in the panitumumab-FOLFOX4 group than in the FOLFOX4-alone group (7.3 months

Variable	Panitumumab– FOLFOX4	FOLFOX4 Alone	Total
KRAS exon 2 at codons 12 and 13 — no. of patients			
Nonmutated	325	331	656
Mutated†	221	219	440
KRAS exon 2 tumors tested for RAS and BRAF — no./total no. (%)‡			
KRAS exon 3 at codon 61			
Nonmutated	308/320 (96)	306/321 (95)	614/641 (96)
Mutated	10/320 (3)	14/321 (4)	24/641 (4)
Not determined	2/320 (1)	1/321 (<1)	3/641 (0)
KRAS exon 4 at codon 117 or 146			
Nonmutated	288/320 (90)	296/321 (92)	584/641 (91)
Mutated	21/320 (7)	15/321 (5)	36/641 (6)
Not determined	11/320 (3)	10/321 (3)	21/641 (3)
NRAS exon 2 at codon 12 or 13			
Nonmutated	308/320 (96)	307/321 (96)	615/641 (96)
Mutated	8/320 (2)	14/321 (4)	22/641 (3)
Not determined	4/320 (1)	0/321 (0)	4/641 (1)
NRAS exon 3 at codon 61			
Nonmutated	305/320 (95)	305/321 (95)	610/641 (95)
Mutated	12/320 (4)	14/321 (4)	26/641 (4)
Not determined	3/320 (1)	2/321 (1)	5/641 (1)
NRAS exon 4 at codon 117 or 146			
Nonmutated	316/320 (99)	313/321 (98)	629/641 (98)
Mutated	0/320 (0)	0/321 (0)	0/641 (0)
Not determined	4/320 (1)	8/321 (2)	12/641 (2)
BRAF exon 15 at codon 600			
Nonmutated	286/320 (89)	280/321 (87)	566/641 (88)
Mutated	24/320 (8)	29/321 (9)	53/641 (8)
Not determined	10/320 (3)	12/321 (4)	22/641 (3)
All patients who underwent randomization — no.	593	590	1183
Ascertainment of mutation status — no./total no. (%)			
RAS	531/593 (90)	529/590 (90)	1060/1183 (90)§
BRAF	310/593 (52)	309/590 (52)	619/1183 (52)
RAS and BRAF	524/593 (88)	523/590 (89)	1047/1183 (89)

^{*} FOLFOX4 denotes oxaliplatin, fluorouracil, and leucovorin.

[†] A total of 440 patients with KRAS mutations in exon 2 were not retested for RAS or BRAF.

[†] Of 641 samples tested, 2 did not yield a result (and did not have a mutation) in at least one RAS or BRAF exon. Samples that had any RAS exon mutation, regardless of whether other RAS exons did not yield a result, were characterized as mutant RAS and thus could be evaluated for RAS mutation status.

[§] The total includes 440 patients with KRAS mutations in exon 2 and 620 patients with data that could be evaluated for RAS. Of 641 samples tested, 21 did not yield a result (and did not have a mutation) in at least one RAS exon.

 $[\]P$ Of 1060 samples with data that could be evaluated for RAS, an additional 13 did not yield a BRAF result.

Variable	Panitumumab- FOLFOX4	FOLFOX4 Alone	Hazard Ratio (95% CI)	P Value	P Value for Interaction Test*
No KRAS mutation in exon 2					
No. of patients	325	331			
Months of progression-free survival in primary analysis — median (95% CI)	9.6 (9.2–11.1)	8.0 (7.5–9.3)	0.80 (0.66–0.97)	0.02	
Months of overall survival — median (95% CI)					
Primary analysis	23.9 (20.3–28.3)	19.7 (17.6–22.6)	0.83 (0.67–1.02)	0.07	
Updated analysis	23.8 (20.0–27.7)	19.4 (17.4–22.6)	0.83 (0.70-0.98)	0.03	
KRAS mutation in exon 2					
No. of patients	221	219			
Months of progression-free survival in primary analysis — median (95% CI)	7.3 (6.3–8.0)	8.8 (7.7–9.4)	1.29 (1.04–1.62)	0.02	
Months of overall survival — median (95% CI)					
Primary analysis	15.5 (13.1–17.6)	19.3 (16.5–21.8)	1.24 (0.98–1.57)	0.07	
Updated analysis	15.5 (13.1–17.6)	19.2 (16.2–21.5)	1.16 (0.94–1.41)	0.16	
No RAS mutation					
No. of patients	259	253			
Months of progression-free survival in primary analysis — median (95% CI)	10.1 (9.3–12.0)	7.9 (7.2–9.3)	0.72 (0.58–0.90)	0.004	
Months of overall survival — median (95% CI)					
Primary analysis	26.0 (21.7–30.4)	20.2 (17.7–23.1)	0.78 (0.62-0.99)	0.04	
Updated analysis	25.8 (21.7–29.7)	20.2 (17.6–23.6)	0.77 (0.64–0.94)	0.009	
No KRAS mutation in exon 2, other RAS mutation					
No. of patients	51	57			
Months of progression-free survival in primary analysis — median (95% CI)	7.3 (5.3–9.2)	8.0 (6.4–11.3)	1.28 (0.79–2.07)	0.33	0.04
Months of overall survival — median (95% CI)					
Primary analysis	17.1 (10.8–19.4)	18.3 (13.0-23.2)	1.29 (0.79–2.10)	0.31	0.07
Updated analysis	17.1 (10.8–19.4)	17.8 (13.0–23.2)	1.39 (0.91–2.13)	0.12	0.01
RAS mutation					
No. of patients	272	276			
Months of progression-free survival in primary analysis — median (95% CI)	7.3 (6.3–7.9)	8.7 (7.6–9.4)	1.31 (1.07–1.60)	0.008	<0.001
Months of overall survival — median (95% CI)					
Primary analysis	15.6 (13.4–17.9)	19.2 (16.7–21.8)	1.25 (1.02–1.55)	0.03	0.004
Updated analysis	15.5 (13.4–17.9)	18.7 (16.5–21.5)	1.21 (1.01–1.45)	0.04	0.001

^{*} The interaction test is for the comparison with nonmutated RAS.

vs. 8.8 months, P=0.02) (Table 2). In the primary analysis, interaction testing between the subgroups that did not have RAS mutations and the subgroups that did not have KRAS mutations in exon 2 but did have other RAS mutations was significant for progression-free survival (P=0.04) but not for overall survival (P=0.07). In the up-

dated analysis of overall survival, which was based on a larger number of deaths from any cause, the results of interaction testing were significant (P=0.01). These results indicate that treatment effects differed between the subgroups of patients without RAS mutations and those without KRAS mutations in exon 2 but with other

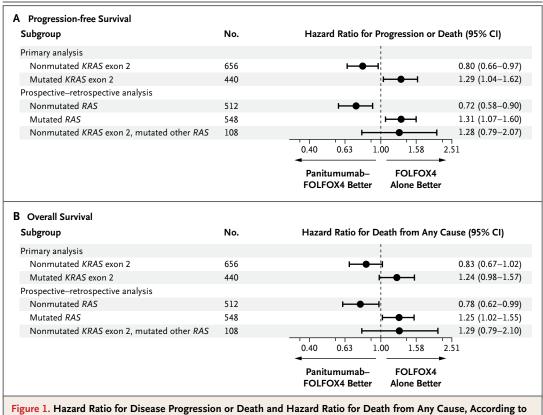


Figure 1. Hazard Ratio for Disease Progression or Death and Hazard Ratio for Death from Any Cause, According to KRAS and RAS Mutation Status.

RAS mutations, suggesting that RAS mutations, in addition to KRAS mutations in exon 2, were negative predictive factors (Table 2).

In the expanded subgroup of patients with mutated RAS tumors, progression-free survival (Fig. S3A in the Supplementary Appendix) and overall survival (Fig. S3B and S3C in the Supplementary Appendix) were significantly shorter in the panitumumab-FOLFOX4 group than in the FOLFOX4-alone group in the primary analysis and in the exploratory, updated analysis of overall survival. Interaction testing for progression-free survival and overall survival was significant in all data sets, further suggesting that RAS mutations had a negative predictive value (Table 2). Additional efficacy results for patients with data that could not be evaluated for RAS are shown in Table S2 in the Supplementary Appendix.

The treatment effect on progression-free survival and overall survival in favor of panitumumab—FOLFOX4 in patients with nonmutated RAS was observed across subpopulations predefined accord-

ing to baseline covariates, except an ECOG performance-status score of 2 (Fig. S4 in the Supplementary Appendix).

Subsequent to the prespecified analysis, previously reported mutations in *KRAS* and *NRAS* at codon 59 (A59G and A59T)^{9,28-30} were identified in seven patients. In an exploratory analysis involving this small patient population, exclusion of these mutated alleles slightly improved progression-free survival and overall survival (Table S3 and Fig. S5 in the Supplementary Appendix).

EFFICACY ACCORDING TO TUMOR BRAF STATUS

In the nonmutated RAS and nonmutated BRAF subgroup, panitumumab—FOLFOX4 was associated with a 1.6-month improvement in progression-free survival and a 7.4-month improvement in overall survival, as compared with FOLFOX4 alone (Table 3). The minor differences between FOLFOX4—panitumumab and FOLFOX4 alone in the subgroup of patients without RAS mutations but with BRAF mutations were not significant (Fig. S6 in the Supplementary Appendix).

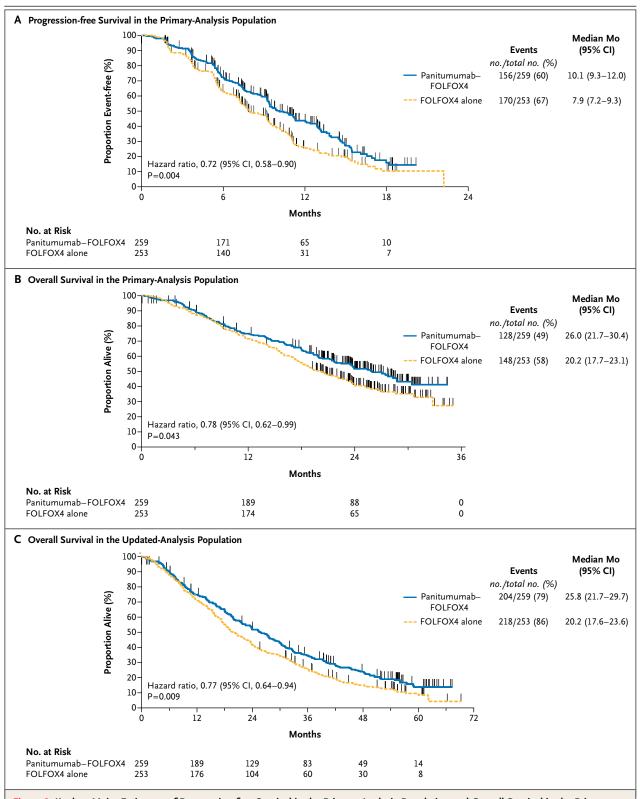


Figure 2. Kaplan—Meier Estimates of Progression-free Survival in the Primary-Analysis Population and Overall Survival in the Primary-Analysis and Updated-Analysis Populations, According to Treatment Group.

Table 3. Efficacy Results According to RAS a	nd BRAF Mutation Stat	us in the Primary-Ana	llysis Population.*	
Variable	Panitumumab- FOLFOX4	FOLFOX4 Alone	Hazard Ratio (95% CI)	P Value
No RAS or BRAF mutations				
No. of patients	228	218		
Months of progression-free survival — median (95% CI)	10.8 (9.4–12.4)	9.2 (7.4–9.6)	0.68 (0.54–0.87)	0.002
Months of overall survival — median (95% CI)	28.3 (23.7–NE)	20.9 (18.4–23.8)	0.74 (0.57–0.96)	0.02
No RAS mutation, BRAF mutation				
No. of patients	24	29		
Months of progression-free survival — median (95% CI)	6.1 (3.7–10.7)	5.4 (3.3–6.2)	0.58 (0.29–1.15)	0.12
Months of overall survival — median (95% CI)	10.5 (6.4–18.9)	9.2 (8.0–15.7)	0.90 (0.46–1.76)	0.76
RAS or BRAF mutation				
No. of patients	296	305		
Months of progression-free survival — median (95% CI)	7.3 (6.3–7.7)	8.0 (7.5–9.0)	1.24 (1.02–1.49)	0.03
Months of overall survival — median (95% CI)	15.3 (12.7–17.6)	18.0 (15.9–20.8)	1.21 (0.99–1.47)	0.06
No KRAS mutation in exon 2, other RAS or BRAF mutation				
No. of patients	75	86		
Months of progression-free survival — median (95% CI)	6.7 (5.3–8.2)	7.3 (5.7–8.0)	1.05 (0.73–1.52)	0.80
Months of overall survival — median (95% CI)	14.5 (10.4–18.5)	15.8 (11.9–18.8)	1.14 (0.78–1.66)	0.51

^{*} NE denotes not evaluated.

PROGNOSTIC EFFECTS OF RAS AND BRAF MUTATION STATUS

The prognostic effects of RAS and BRAF mutation status were evaluated by comparing the hazard ratios for death from any cause with no mutation versus mutation within each treatment group and across groups (Fig. S7 in the Supplementary Appendix). Most hazard ratios favored nonmutated status in the panitumumab–FOLFOX4 group and were neutral in the FOLFOX4-alone group. BRAF mutations were associated with reduced overall survival among patients without KRAS mutations in exon 2 and among those with NRAS mutations in exon 3.

SAFETY

The incidence rates, types, and severity of adverse events among patients with nonmutated RAS in the panitumumab–FOLFOX4 group (Table 4) were similar to those previously reported in the group of patients with nonmutated KRAS exon 2 who were treated with panitumumab–FOLFOX4.² Treatment exposure, disease-control rates, and the proportion of patients who discontinued any study drug due to an adverse event were also similar to those previously reported.² The safety profile for patients with RAS mutations was similar to that reported for patients with KRAS mutations in exon 2. No new safety signals were identified.

DISCUSSION

Testing for KRAS exon 2 tumor mutations is currently recommended to help guide decisions regarding eligibility for anti-EGFR therapy in patients with metastatic colorectal cancer. Although KRAS testing has facilitated the selection of patients who are most likely to have a response to anti-EGFR therapy, a substantial fraction of patients do not benefit from treatment. It is hoped

Table 4. Summary of Adverse Events, According to RAS Mutation Status in the Primary-Analysis Population.						
Adverse Event	Nonmutated RAS			Mutated RAS		
	Panitumumab– FOLFOX4 (N = 256)	FOLFOX4 Alone (N = 250)	Total (N = 506)	Panitumumab- FOLFOX4 (N=268)	FOLFOX4 Alone (N = 275)	Total (N = 543)
			number of pa	itients (percent)		
Any adverse event	256 (100)	248 (99)	504 (100)	266 (99)	273 (99)	539 (99)
Worst grade of 3	146 (57)	124 (50)	270 (53)	153 (57)	146 (53)	299 (55)
Worst grade of 4	71 (28)	51 (20)	122 (24)	63 (24)	55 (20)	118 (22)
Worst grade of 5	14 (5)	16 (6)	30 (6)	19 (7)	10 (4)	29 (5)
Any serious adverse event	110 (43)	92 (37)	202 (40)	121 (45)	84 (31)	205 (38)
Adverse event leading to per- manent discontinuation of any study drug	65 (25)	40 (16)	105 (21)	60 (22)	37 (13)	97 (18)
Not serious	48 (19)	28 (11)	76 (15)	50 (19)	24 (9)	74 (14)
Serious	24 (9)	15 (6)	39 (8)	17 (6)	14 (5)	31 (6)

that further refinement of tumor-specific genetic markers will allow more accurate selection of patients who are likely to have a response to a particular treatment and prevent toxic effects in those who are unlikely to benefit.

Biomarker exploration has been broadened to include EGFR pathway mutations, in addition to those in KRAS exon 2. In a retrospective biomarker analysis of a randomized phase 3 study of panitumumab monotherapy, EGFR signaling—pathway genes were assessed for their predictive ability. From this study, a hypothesis was generated that activating mutations in KRAS or NRAS would be predictive of nonresponse to panitumumab therapy. The current analysis, which was based on biologic plausibility and exploratory biomarker data, further assesses the hypothesis that additional activating RAS mutations predict unresponsiveness to panitumumab treatment. 7,10,21,28

Negative treatment effects of panitumumab—FOLFOX4 on progression-free survival and overall survival were observed among patients with tumors that did not have *KRAS* mutations in exon 2 but that did have other *RAS* mutations. In an interaction test, treatment effects were significantly worse than those in the group of patients with nonmutated *RAS*; this suggests that mutations in *RAS*, in addition to *KRAS* mutations in exon 2, are predictive of adverse outcomes for panitumumab—FOLFOX4 treatment. The magnitude of the treatment effect in the patients with

mutated RAS was similar to that previously observed in patients with mutated KRAS exon 2² and further indicated that patients with tumors that harbored any activating RAS mutations did not benefit from and may have been harmed by panitumumab–FOLFOX4 treatment.

Among patients in the primary-analysis population who did not have RAS mutations, an increase in overall survival of 5.8 months was noted with the addition of panitumumab to FOLFOX4 as compared with FOLFOX4 alone. The results of the exploratory, updated analysis of overall survival were consistent with these findings. The observed incidence, types, and severity of adverse events associated with panitumumab–FOLFOX4 in the nonmutated RAS and mutated RAS subgroups were similar to the previously reported safety findings for KRAS in PRIME,² and no new safety signals were identified.

In the subgroup of patients without RAS and BRAF mutations, a 7.4-month increase in overall survival was observed in the panitumumab–FOLFOX4 group. As suggested previously, ¹⁷ BRAF V600E mutations appeared to confer a poor prognosis, regardless of the treatment group.

This analysis was retrospective and exploratory in nature and therefore subject to limitations. The alpha error for hypothesis testing was previously allocated to the primary analysis,² and RAS and BRAF status may not be representative of the intention-to-treat population from the original

randomization. However, methodologic aspects of the analysis provided a rigorous framework for evaluating RAS and BRAF as biomarkers. Tissue samples were collected with appropriate informed consent before randomization. The biomarker hypothesis was restricted to RAS and BRAF, and the statistical analysis plan was finalized before RAS and BRAF status became available. In addition, the analysis was conducted with data from a large, randomized, controlled trial.² The high rate of ascertainment of RAS status (90%) minimized the potential for ascertainment bias. Two laboratory-developed tests, validated according to the Clinical Laboratory Improvement Amendments of 1988, provided mutual confirmation.

Two interaction tests showed a clear separation of panitumumab treatment effects between nonmutated RAS and mutated RAS as well as between nonmutated RAS and nonmutated KRAS exon 2 with other RAS mutations; the latter finding indicates the predictive value of RAS muta-

tions other than KRAS mutations in exon 2. These results were observed across all meaningful end points and in all relevant subgroups.

In conclusion, RAS mutations, in addition to KRAS exon 2 mutations, predict a lack of response to anti-EGFR therapy in patients with metastatic colorectal cancer. Panitumumab plus oxaliplatin-containing regimens have no value in patients with metastatic colorectal cancer and mutated RAS. The benefit—risk profile of panitumumab—FOLFOX4 was improved by excluding patients with mutated RAS metastatic colorectal-cancer tumors. Pooled trials or meta-analyses of anti-EGFR therapy are needed to confirm these findings.

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Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.

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Supplementary Appendix

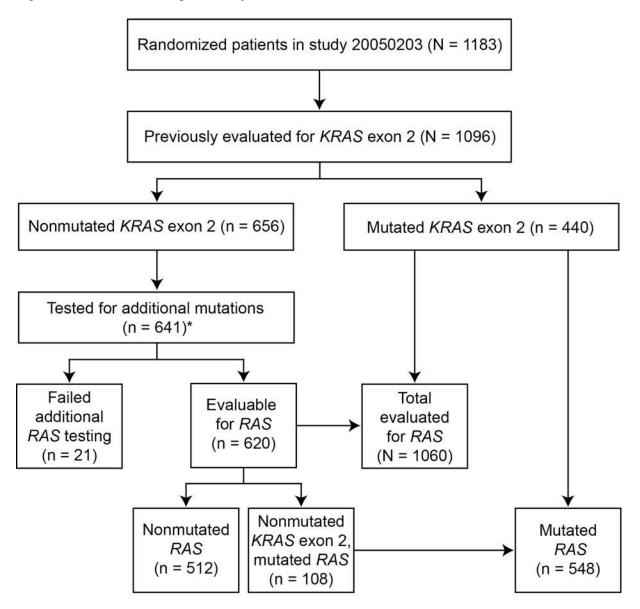
This appendix has been provided by the authors to give readers additional information about their work.

Supplement to: Douillard J-Y, Oliner KS, Siena S, et al. Panitumumab–FOLFOX4 treatment and RAS mutations in colorectal cancer. N Engl J Med 2013;369:1023-34. DOI: 10.1056/NEJMoa1305275

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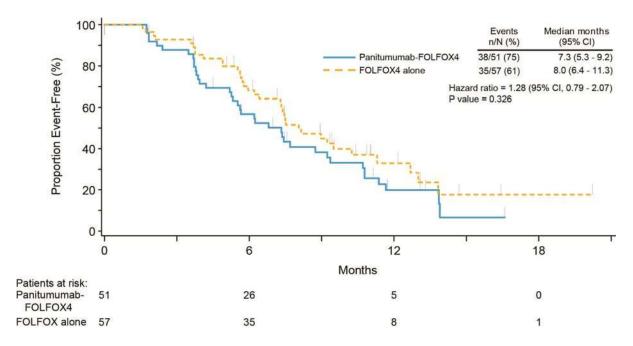
Figure S1. RAS Testing in Study 20050203.



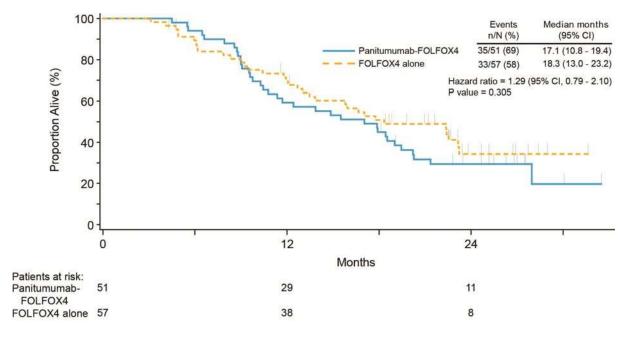
^{*}Two patient samples did not yield a result for any RAS or BRAF exon tested

Figure S2. Kaplan-Meier Plots in Patients With Nonmutated *KRAS* Exon 2, Mutated Other *RAS* Exons Tumors. The analysis was conducted for progression-free survival on the primary analysis dataset (Panel A) and for overall survival on the primary analysis dataset (Panel B) and the updated overall survival dataset (Panel C).

A. Progression-free Survival



B. Overall Survival (Primary Analysis Dataset)



C. Overall Survival (Updated Overall Survival Analysis Dataset)

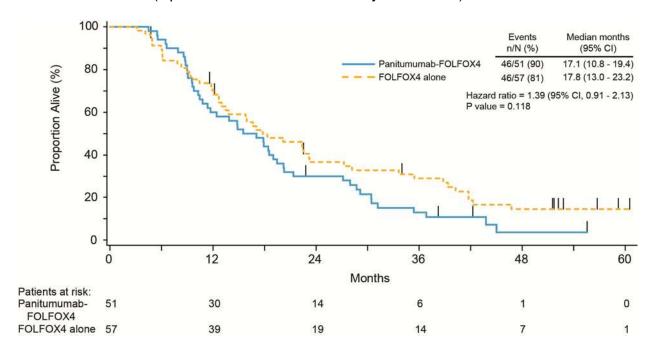
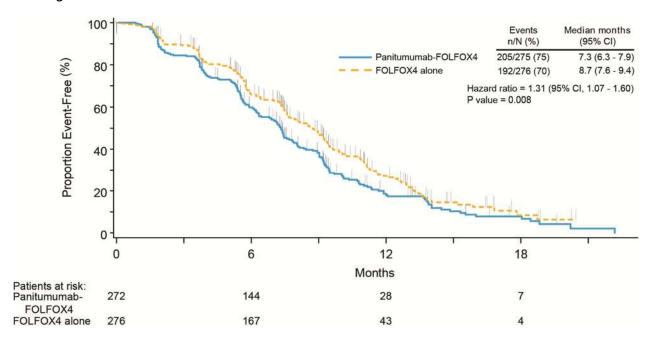
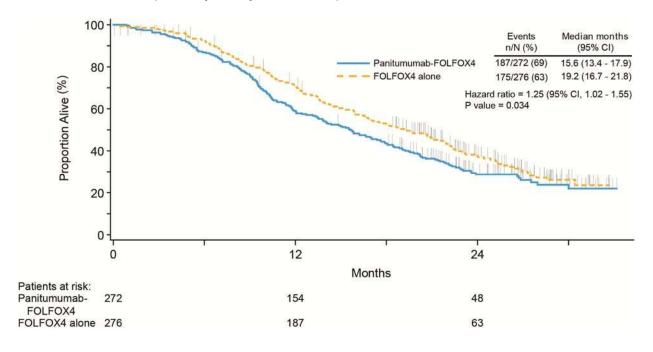


Figure S3. Kaplan-Meier Plots in Patients With Mutated *RAS* Tumors. The analysis was conducted for progression-free survival on the primary analysis dataset (Panel A) and for overall survival on the primary analysis dataset (Panel B) and the updated overall survival dataset (Panel C).

A. Progression-free Survival



B. Overall Survival (Primary Analysis Dataset)



C. Overall Survival (Updated Overall Survival Analysis Dataset)

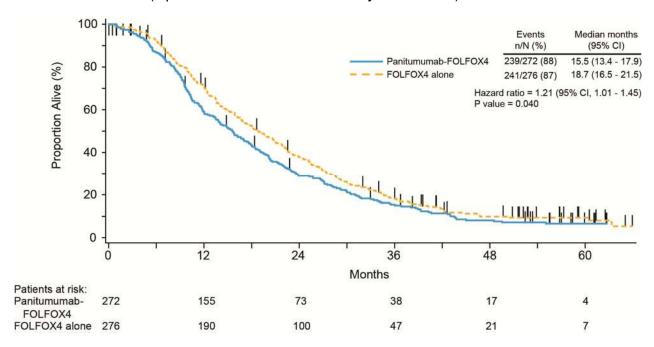
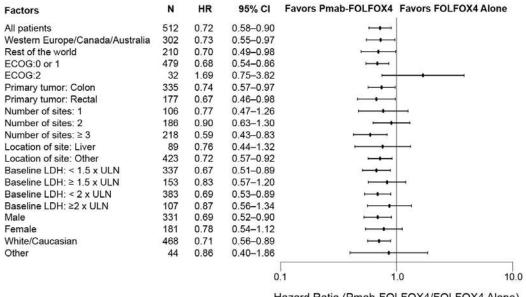


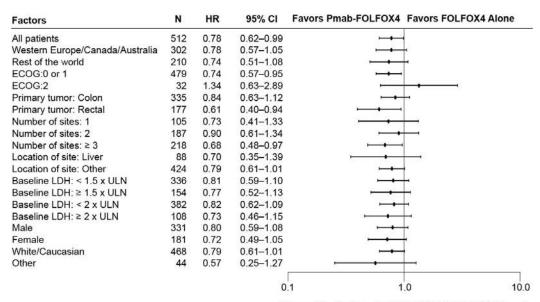
Figure S4. Nonmutated RAS Forest Plots of Treatment Hazard Ratios (HRs) with 95% Confidence Intervals (CIs) for Progression-Free Survival (Panel A) and Overall Survival (Panel B) Within Subpopulations (Primary Analysis Dataset).

A. Progression-free Survival



Hazard Ratio (Pmab-FOLFOX4/FOLFOX4 Alone)

B. Overall Survival



Hazard Ratio (Pmab-FOLFOX4/FOLFOX4 Alone)

Figure S5. Overall Survival Kaplan-Meier Plot in Patients With Nonmutated *RAS*Excluding Mutated Codon 59 Alleles (Exploratory Analysis of the Primary Analysis
Dataset). NE denotes not estimable.

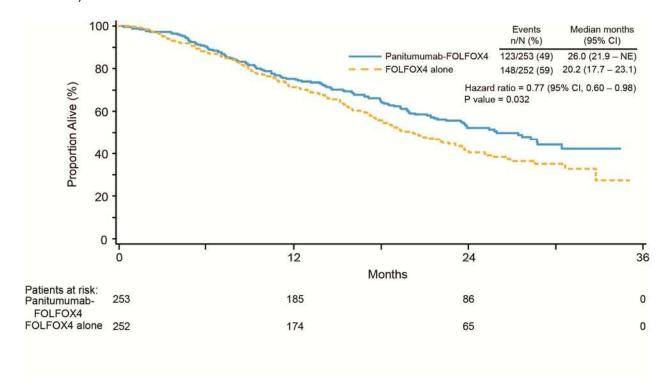
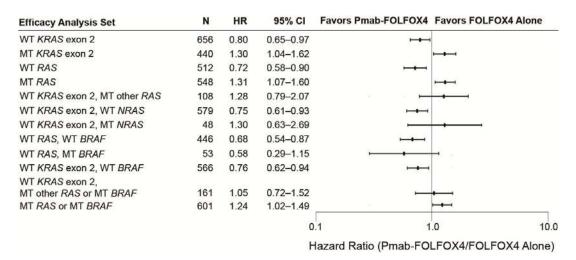


Figure S6. Forest Plots of Treatment Hazard Ratios (HRs) With 95% Confidence Intervals (CIs) for Progression-Free Survival (Panel A) and Overall Survival (Panel B) in *RAS* and *BRAF* subsets (Primary Analysis Dataset). Various nonmutated (wild-type [WT]) and mutated (MT) subsets are shown.

A. Progression-free Survival



B. Overall Survival

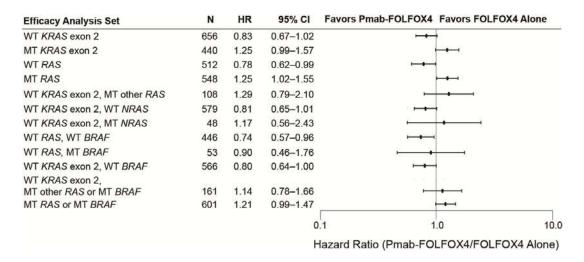


Figure S7. Forest Plots of Treatment Hazard Ratios (HRs) With 95% Confidence Intervals (CIs) of the Panitumumab (Pmab)-FOLFOX4 and FOLFOX4 Alone Arms for Overall Survival (Primary Analysis Dataset).

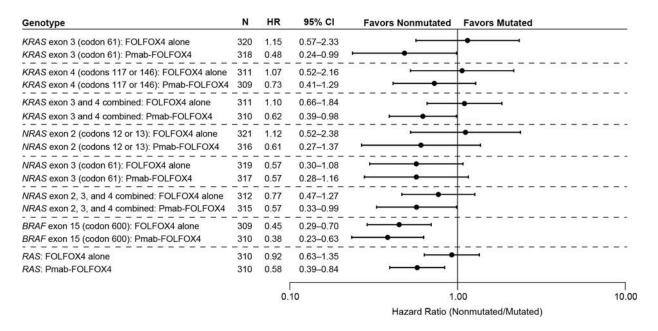


Table S1. Mutations Identified in Available Patient Tumor Specimens*

Patient	KRAS exon 2	KRAS exon 3	KRAS exon 4	NRAS exon 2	NRAS exon 3	NRAS exon 4	BRAF
1	WT	WT	WT	WT	WT	WT	WT
2	WT	WT	WT	WT	WT	WT	WT
3	WT	WT	WT	WT	WT	WT	WT
4	WT	WT	WT	WT	WT	WT	WT
5	WT	WT	WT	WT	WT	WT	WT
6	WT	WT	WT	WT	WT	WT	WT
7	WT	WT	WT	WT	WT	WT	WT
8	WT	WT	WT	G12D	WT	WT	WT
9	WT	WT	WT	WT	WT	WT	WT
10	WT	WT	WT	WT	WT	WT	WT
11	WT	WT	WT	WT	WT	WT	WT
12	WT	WT	WT	G13R	WT	WT	WT
13	WT	WT	WT	WT	WT	WT	WT
14	WT	WT	WT	WT	WT	WT	WT
15	WT	WT	WT	WT	WT	WT	WT
16	WT	WT	WT	WT	WT	WT	WT
17	WT	WT	WT	WT	WT	WT	WT
18	WT	WT	WT	WT	WT	WT	WT
19	WT	WT	WT	WT	WT	WT	WT
20	WT	WT	WT	WT	WT	WT	WT
21	WT	WT	WT	WT	WT	WT	WT
22	WT	WT	WT	WT	WT	WT	WT
23	WT	WT	WT	WT	Q61K	WT	WT
24	WT	WT	WT	WT	WT	WT	WT
25	WT	WT	WT	WT	WT	WT	WT
26	WT	WT	WT	WT	WT	WT	WT
27	WT	WT	WT	WT	WT	WT	WT
28	WT	WT	WT	G12D	WT	WT	WT
29	WT	WT	WT	WT	WT	WT	V600E
30	WT	WT	WT	WT	WT	WT	WT
31	WT	WT	WT	WT	WT	WT	WT
32	WT	WT	WT	WT	WT	WT	WT
33	WT	WT	WT	WT	WT	WT	WT
34	WT	WT	WT	WT	WT	WT	WT
35	WT	WT	WT	WT	WT	WT	WT
36	WT	WT	WT	WT	WT	WT	WT
37	WT	WT	WT	WT	WT	WT	WT
38	WT	WT	WT	WT	WT	WT	V600E
39	WT	WT	FAIL	WT	WT	WT	WT
40	WT	WT	WT	WT	WT	WT	WT
41	WT	WT	WT	WT	WT	WT	WT
42	WT	WT	A146T	WT	WT	WT	WT
43	WT	WT	WT	WT	WT	WT	V600E
44	WT	WT	WT	WT	WT	WT	WT
45	WT	WT	WT	WT	WT	WT	WT
46	WT	WT	WT	WT	WT	WT	WT

47	WT	WT	WT	WT	WT	WT	WT
48	WT	WT	WT	WT	WT	WT	WT
49	WT	WT	WT	WT	WT	WT	WT
50	WT	WT	WT	WT	WT	WT	WT
51	WT	WT	WT	WT	WT	WT	WT
52	WT	WT	WT	WT	WT	WT	WT
53	WT	WT	WT	WT	WT	WT	WT
54	WT	WT	WT	WT	WT	WT	WT
55	WT	WT	WT	G13R	WT	WT	WT
56	WT	WT	WT	WT	WT	WT	WT
57	WT	WT	WT	WT	WT	WT	WT
58	WT	WT	WT	WT	WT	WT	V600E
59	WT	WT	WT	WT	WT	WT	WT
60	WT	WT	WT	WT	Q61L	WT	WT
61	WT	WT	WT	WT	WT	WT	WT
62	WT	WT	WT	WT	Q61K	WT	WT
63	WT	WT	WT	WT	WT	WT	WT
64	WT	Q61H	WT	WT	WT	WT	WT
65	WT	WT	WT	WT	WT	WT	WT
66	WT	WT	WT	WT	WT	WT	WT
67	WT	WT	FAIL	WT	WT	WT	WT
68	WT	WT	WT	WT	WT	WT	V600E
69	WT	WT	WT	WT	WT	WT	V600E
70	WT	WT	WT	WT	WT	WT	WT
71	WT	WT	WT	WT	WT	WT	WT
72	WT	WT	WT	WT	WT	WT	WT
73	WT	WT	WT	WT	Q61R	WT	WT
74	WT	WT	WT	WT	WT	WT	WT
75	WT	WT	WT	WT	WT	WT	WT
76	WT	WT	WT	G12C	WT	WT	WT
77	WT	WT	WT	WT	WT	WT	WT
78	WT	WT	WT	WT	WT	WT	WT
79	WT	WT	WT	WT	WT	WT	WT
80	WT	WT	WT	WT	WT	WT	WT
81	WT	WT	WT	WT	WT	WT	WT
82	WT	WT	K117N	WT	WT	WT	WT
83	WT	WT	WT	WT	WT	WT	WT
84	WT	WT	K117N	WT	WT	WT	WT
85	WT	Q61H	WT	WT	WT	WT	WT
86	WT	WT	WT	WT	WT	WT	V600E
87	WT	WT	WT	WT	WT	WT	WT
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89	WT	WT	WT	WT	WT	WT	WT
90	WT	Q61H	WT	WT	WT	WT	WT
91	WT	WT	WT	WT	WT	WT	WT
92	WT	WT	WT	G12D	WT	WT	WT
93	WT	WT	WT	WT	WT	WT	WT
94	WT	WT	WT	WT	WT	WT	WT
95	WT	WT	WT	WT	WT	WT	WT
96	WT	WT	WT	WT	WT	WT	WT

97	WT	WT	WT	WT	WT	WT	WT
98	WT	WT	WT	WT	WT	WT	WT
99	WT	WT	WT	WT	WT	WT	WT
100	WT	WT	WT	WT	Q61H	WT	WT
101	WT	WT	WT	WT	WT	WT	WT
102	WT	WT	WT	WT	WT	WT	WT
103	WT	WT	WT	WT	WT	WT	WT
104	WT	WT	WT	WT	WT	WT	WT
105	WT	WT	WT	WT	WT	WT	WT
106	WT	WT	WT	WT	WT	WT	WT
107	WT	WT	WT	WT	WT	WT	WT
108	WT	WT	WT	WT	WT	WT	WT
109	WT	WT	WT	WT	WT	WT	V600E
110	WT	WT	WT	WT	WT	WT	WT
111	WT	Q61R	WT	WT	WT	WT	WT
112	WT	WT	WT	WT	WT	WT	WT
113	WT	WT	WT	WT	WT	WT	WT
114	WT	WT	WT	WT	WT	WT	WT
115	WT	WT	WT	WT	WT	WT	WT
116	WT	WT	WT	WT	WT	WT	WT
117	WT	WT	WT	WT	WT	WT	WT
118	WT	WT	K117N	WT	WT	WT	WT
119	WT	WT	WT	WT	WT	WT	V600E
120	WT	WT	WT	WT	WT	WT	WT
121	WT	WT	WT	WT	WT	WT	WT
122	WT	WT	WT	WT	WT	WT	WT
123	WT	WT	WT	WT	WT	WT	WT
124	WT	WT	WT	WT	WT	WT	WT
125	WT	WT	WT	WT	WT	WT	WT
126	WT	Q61K	WT	WT	WT	WT	WT
127	WT	WT	WT	WT	WT	WT	WT
128	WT	WT	WT	WT	WT	WT	WT
129	WT	WT	WT	WT	WT	WT	WT
130	WT	WT	WT	WT	WT	WT	WT
131	WT	WT	WT	WT	WT	WT	WT
132	WT	WT	WT	WT	WT	WT	WT
133	WT	WT	WT	WT	WT	WT	WT
134	WT	WT	WT	WT	WT	WT	WT
135	WT	WT	WT	WT	Q61L	WT	WT
136	WT	WT	WT	WT	WT	WT	WT
137	WT	WT	WT	WT	WT	WT	WT
138	WT	WT	A146V	WT	WT	WT	WT
139	WT	WT	WT	WT	WT	WT	V600E
140	WT	Q61H	FAIL	WT	WT	WT	WT
141	WT	WT	WT	WT	WT	WT	WT
141	WT	WT	WT	G12C	WT	WT	WT
143	WT	WT	WT	WT	WT	WT	V600E
143	WT	WT	WT	WT	WT	WT	WT
144	WT	WT	WT	WT	WT	WT	WT
145	WT	WT	WT	WT	WT	WT	WT
140	VVI	VVI	VV I	VV 1	VVI	VV I	VV I

147	WT	WT	WT	WT	WT	WT	WT
148	WT	WT	WT	WT	Q61K	WT	WT
149	WT	WT	FAIL	WT	Q61K	WT	WT
150	WT	Q61H	WT	WT	WT	WT	WT
151	WT	WT	WT	WT	Q61K	WT	WT
152	WT	WT	WT	WT	WT	WT	WT
153	WT	WT	FAIL	WT	WT	WT	WT
154	WT	WT	WT	WT	WT	WT	WT
155	WT	WT	WT	WT	WT	WT	V600E
156	WT	WT	WT	WT	WT	WT	WT
157	WT	WT	WT	WT	WT	WT	WT
158	WT	WT	WT	WT	WT	WT	WT
159	WT	WT	WT	WT	WT	WT	WT
160	WT	WT	WT	WT	WT	FAIL	WT
161	WT	Q61H	WT	WT	WT	WT	WT
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620	WT	WT	WT	WT	WT	WT	WT
621	WT	WT	WT	WT	WT	WT	FAIL
622	WT	WT	WT	WT	WT	WT	WT
623	WT	WT	WT	WT	WT	WT	WT
624	WT	WT	WT	WT	WT	WT	WT
625	WT	WT	A146P	WT	WT	WT	WT
626	WT	WT	WT	WT	WT	WT	WT
627	WT	WT	WT	WT	WT	WT	WT
628	WT	WT	WT	WT	WT	WT	WT
629	WT	WT	WT	WT	WT	WT	WT
630	WT	WT	A146V	WT	WT	WT	WT
631	WT	WT	WT	WT	WT	WT	WT
632	WT	WT	WT	WT	WT	WT	WT
633	WT	WT	WT	WT	WT	WT	WT
634	WT	WT	WT	WT	WT	WT	WT

635	WT	WT	WT	WT	WT	WT	WT
636	WT	WT	WT	WT	WT	WT	WT
637	WT	WT	WT	WT	WT	WT	WT
638	WT	WT	WT	WT	WT	WT	WT
639	WT	WT	FAIL	FAIL	WT	FAIL	FAIL
640	WT	WT	WT	WT	WT	WT	WT
641	WT	WT	WT	WT	WT	WT	WT

WT denotes wild-type (nonmutated)

^{*}All RAS exons in a patient sample had to yield a wild-type result to be characterized as nonmutated RAS. Patient samples that had any RAS exon mutation, regardless of whether other RAS exons failed to yield a result, were characterized as mutated RAS.

[†]Due to presumed tumor heterogeneity.

Table S2. Efficacy Results by Unevaluable Biomarker Status (Primary Analysis Dataset)

	Pmab-FOLFOX4	FOLFOX4 Alone	HR (95% CI)	P value
Unevaluable RAS - n	62	61		
Median PFS - mos (95% CI)				
Primary	7.9 (7.2 - 9.9)	8.9 (6.6 - 10.4)	0.83 (0.51 - 1.35)	0.454
Median OS - mos (95% CI)	,	,	,	
Primary	18.5 (13.7 - 28.6)	14.3 (11.7 - 17.6)	0.74 (0.46 - 1.20)	0.218
Unevaluable RAS and	69	67		
<i>BRAF</i> - n	09	07		
Median PFS - mos (95% CI)				
Primary	9.2 (7.2 - 12.6)	9.4 (7.4 - 11.3)	1.02 (0.65 - 1.59)	0.922
Median OS - mos (95% CI)	,	,	,	
Primary	18.5 (13.9 - 22.9)	15.5 (12.4 - 24.3)	0.93 (0.59 - 1.46)	0.758

Pmab denotes panitumumab, PFS progression-free survival, OS overall survival, HR hazard ratio, mos months, CI confidence interval

Table S3. Efficacy Results for Nonmutated *RAS* and Nonmutated *RAS* Excluding Mutated Codon 59 Alleles (Exploratory Analysis of the Primary Analysis Dataset).

	Pmab-FOLFOX4	FOLFOX4 Alone	HR (95% CI)	P Value
Nonmutated RAS - n	259	253		
Median PFS - mos (95% CI)	10.1 (9.3 - 12.0)	7.9 (7.2 - 9.3)	0.72 (0.58 - 0.90)	0.004
Median OS - mos (95% CI)	26.0 (21.7 - 30.4)	20.2 (17.7 - 23.1)	0.78 (0.62 - 0.99)	0.043
Nonmutated <i>RAS</i> (excluding codon 59 mutated alleles) - n	253	252		
Median PFS - mos (95% CI)	10.4 (9.3 - 12.1)	7.9 (7.2 - 9.3)	0.71 (0.57 - 0.89)	0.002
Median OS - mos (95% CI)	26.0 (21.9 - NE)	20.2 (17.7 - 23.1)	0.77 (0.60 - 0.98)	0.032

Pmab denotes panitumumab, PFS progression-free survival, OS overall survival, NE not estimable, HR hazard ratio, mos months, CI confidence interval