

# Patients' Preferences Regarding Invasive Mediastinal Nodal Staging of Resectable Lung Cancer

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**Background:** Variability in practice and ongoing debate on optimal invasive mediastinal staging of patients with resectable non-small cell lung cancer (NSCLC) are widely described in the literature. Patients' preferences on this topic have, however, been underexposed so far.

**Methods:** An internet-based questionnaire was distributed among MEDIASTrial participants (NTR6528, randomization of patients to mediastinoscopy or not in the case of negative endosonography). Literature, expert opinion and patient interviews resulted in five attributes: the risk of a futile lung resection (oncologically futile in case of unforeseen N2 disease), the length of the staging period, resection of the primary tumor, complications of staging procedures and the mediastinoscopy scar. The relative importance (RI) of each attribute was assessed by using adaptive conjoint analysis and hierarchical Bayes estimation. A treatment trade-off was used to examine the acceptable proportion of avoided futile lung resections to cover the burden of confirmatory mediastinoscopy.

**Results:** Ninety-seven patients completed the questionnaire (57%). The length of the staging period was significantly the most important attribute (RI 26.24; 95% CI: 25.05–27.43), followed by the risk of a futile surgical lung resection (RI 23.44; 95% CI: 22.28–24.60) and resection of the primary tumor (RI 22.21; 95% CI: 21.09–23.33). Avoidance of 7% (IQR 1–>14%) futile lung resections would cover the burden of confirmatory mediastinoscopy, with a dichotomy among patients always (39%) or never (38%) willing to undergo confirmatory mediastinoscopy after N2 and N3-negative endosonography.

**Conclusion:** Although a strong dichotomy among patients always or never willing to undergo confirmatory mediastinoscopy was found, the length of the staging period was the most important attribute in invasive mediastinal staging according to patients with resectable NSCLC.

**Trial Registration:** Not applicable.

**Keywords:** patients' preferences, non-small cell lung cancer, mediastinal nodal staging, endosonography, mediastinoscopy, thoracic surgery

## Introduction

Non-small cell lung cancer (NSCLC) is a common disease with 9623 new Dutch cases in 2020.<sup>1</sup> Only 23% of patients are potential candidates for intended curative surgical treatment in the Netherlands, since the remaining 77% already have locoregional or distant metastases at the time of diagnosis.<sup>2</sup> Potential surgical candidates with increased risk of locoregional metastases are recommended to undergo invasive mediastinal staging prior to surgical lung tumor resection.<sup>3</sup> Adequate staging of these patients is important, as patients with N2 or N3 disease (stage III NSCLC) generally undergo definite chemoradiation or multimodality therapy that consists of neo-adjuvant chemoradiotherapy and subsequent surgical

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lung tumor resection. Upfront surgery in these patients seems to be associated with worse overall survival.<sup>4</sup>

The additional value of confirmatory mediastinoscopy after N2 and N3-negative endosonography results is under debate. In a randomized trial published in 2010 only 9% N2 or N3 metastases were detected after negative endosonography.<sup>5</sup> This results in a number-needed-to-test of eleven, while mediastinoscopy is associated with significant risk of complications, hospital admission, general anesthesia and delay in definite lung cancer treatment.<sup>6–10</sup> A recent meta-analysis including studies until 2019 revealed comparable unforeseen N2 rates after invasive mediastinal nodal staging by endosonography with or without mediastinoscopy, underlining the suggested limited additional diagnostic value of confirmatory mediastinoscopy.<sup>10</sup>

Despite extensive research on the value and accuracy of endosonography and cervical mediastinoscopy in NSCLC staging, patients' preferences have, in this era of shared decision making, never been investigated before. Therefore, we aimed to determine patients' preferences on invasive mediastinal staging addressing the burden of care, burden of complications and prognostic uncertainties of staging strategies with or without confirmatory mediastinoscopy.

## Patients and Methods

### Research Questions

1. What are the most important attributes of invasive mediastinal nodal staging according to patients with resectable NSCLC?
2. What do NSCLC patients consider a minimum proportion of avoided futile surgical lung tumor resections (defined as demonstrating unforeseen N2 after surgery) to accept the burden of confirmatory mediastinoscopy after N2 and N3-negative endosonography?

### Design

An internet-based questionnaire consisting of adaptive conjoint analysis (ACA) and treatment trade-off method (TTM) was developed using Sawtooth Software Lighthouse Studio version 9.8.0. Background information about mediastinal nodal staging by using endosonography (conscious sedation, 1% complications) and cervical mediastinoscopy (general anesthesia, scar, 3% complications, laryngeal recurrent nerve palsy) as well as surgical

lung tumor resection with mediastinal lymph node dissection (18% minor complications, 2% major complications, 2% mortality) was provided in the introduction of the questionnaire. After the introduction the ACA was used to determine the most important attributes of invasive mediastinal staging. The considered minimum proportion of avoided futile surgical lung tumor resections to accept the burden of confirmatory mediastinoscopy after N2 and N3-negative endosonography was determined by the TTM.

### Study Population

All patients participating in the randomized MEDIASTrial (NTR6528) were potentially eligible for participation in this study. Depending on randomization, patients underwent surgical lung tumor resection and lobe-specific mediastinal lymph node dissection with or without prior cervical mediastinoscopy after negative endosonography.<sup>11</sup> At least three months after lung surgery all patients received a written invitation to participate in this patients' preferences study. In case the questionnaire was not completed within three weeks a written reminder was sent. Patients who already withdrew consent for the MEDIASTrial were not invited.

### Collection of Attributes

We used literature to collect possible attributes associated with invasive mediastinal staging. The most reported outcomes were listed and sent to 20 local investigators of the MEDIASTrial (10 pulmonologists and 10 lung surgeons) in order to get an "expert opinion" of the most important attributes. The experts selected all attributes they thought to be important on the list and were able to add important attributes, which resulted in a list of 13 attributes as displayed in [Table 1](#). These attributes were integrated in semi-structured interviews with five patients from the Dutch lung cancer patients' association (Longkanker NL). The interviews consisted of three parts: background information, open questions to identify additional attributes and ranking of the attributes listed by the experts. Taking the feasibility of the final questionnaire into account, we aimed to select five attributes. Therefore, all patients were asked to rank the attributes, resulting in the following five most important attributes from the interviews: the risk of a futile surgical lung tumor resection (with its inherent morbidity and mortality), the risk of complications of staging procedures, the length of the staging period, a scar in the neck from the mediastinoscopy and actual resection of the primary lung tumor.

**Table 1** Attributes Based on Literature and Expert Opinion

|  |
|--|
| Clinical relevance of mediastinal staging (eg, treatment choice) |
| Cost-effectiveness of mediastinal staging                        |
| Effect of unforeseen N2 disease on survival                      |
| Maximum accuracy of mediastinal staging                          |
| Negative predictive value of endosonography                      |
| Negative predictive value of mediastinoscopy                     |
| Patients' comfort during staging procedures                      |
| Risk of complications of futile surgical lung tumor resection    |
| Risk of complications of staging procedures                      |
| Sensitivity of endosonography                                    |
| Sensitivity of mediastinoscopy                                   |
| The total length of the staging period                           |
| The total number of staging procedures                           |

These five attributes were included in the ACA to determine their relative importance. The lay-out and formulations of the created questionnaire were pilot-tested by another five patients from the Dutch lung cancer patients' association before it was distributed among included patients.

## Adaptive Conjoint Analysis

After determining the ACA attributes, we adjusted realistic levels to them based on literature and clinical practice (Table 2). Before the start of the ACA, an explanation of the attributes was provided (Table 2), including an ACA example task. The first part of the ACA consisted of questions to indicate the relevance of the difference between the highest and lowest level within each attribute on a four-point scale: not important at all – a little bit important – important – very important. Based on the results of the attribute relevance questions, individualized trade-offs between two scenarios were constructed. The minimum number of trade-offs needed for accurate estimations of probability utilities was 12, based on the following formula:  $3 \times (N - n - 1) - N$ , where  $N$  is the total number of levels and  $n$  is the number of attributes.<sup>12</sup> Patients were exposed to six considerations of scenarios with two attributes, and six considerations of scenarios with three attributes. Patients indicated which scenario they preferred and the strength of their preference (seven-point scale) by making trade-offs between preferred and adverse outcomes. Probabilities were described in frequency formats to facilitate understanding (example ACA task in Figure 1).<sup>13</sup> To prevent clinically irrelevant or impossible considerations, some restrictions were made (eg, scar in the neck if mediastinoscopy was omitted).

## Treatment Trade-off Method

In the ASTER trial unforeseen N2 rates of 6.9% after endosonography and mediastinoscopy versus 14.3% after mediastinoscopy only were found.<sup>5</sup> Despite this difference in unforeseen N2 disease, the five-year survival was 35% in both groups.<sup>14</sup> Based on these results we suggested that up to 14% futile surgical lung tumor resections would not compromise long-term survival. The TTM contained several choice sets between two scenarios: a scenario with mediastinoscopy (scenario A) and without mediastinoscopy (scenario B) and its effect on the proportion of avoided futile surgical lung tumors resections. It was stated that survival was similar in both scenarios.

We started with a choice set in which scenario A included 100 patients in whom confirmatory mediastinoscopy was performed, resulting in 14 avoided futile surgical lung tumor resections at the cost of six patients with complications of mediastinoscopy (three patients with mild complications and three patients with severe life-threatening complications). Scenario B was a fixed scenario including 100 patients not undergoing mediastinoscopy and thus no patients suffering from complications of mediastinoscopy, resulting in zero avoided futile surgical lung tumor resections (corresponding with an unforeseen N2: 14%). When patients chose scenario A (with mediastinoscopy) the number of avoided futile surgical lung tumor resections in scenario A decreased in order to determine whether a decreased value of mediastinoscopy would still cover the burden of mediastinoscopy. When patients chose scenario B (without mediastinoscopy) in this first choice set, they were asked again with additional explanation. If they maintained their preference for scenario B, the TTM ended for them; these patients were classified as “would never undergo mediastinoscopy”. In subsequent choice sets (for patients choosing scenario A in the first choice set) the number of avoided futile surgical lung tumor resections in scenario A (with mediastinoscopy) decreased or increased when patients respectively chose scenario A or B in order to determine whether the decreased or increased value of mediastinoscopy would cover the burden (example TTM task in Figure 2). In this way an acceptable proportion of avoided futile surgical lung tumor resections to cover the burden of confirmatory mediastinoscopy was established for all patients.

## Data Analysis

Randomization allocation, age and gender of included patients were retrieved from the MEDIASTrial database. Hierarchical Bayes estimation was used to calculate the

**Table 2** Adaptive Conjoint Analysis Attributes with Their Levels and Explanation to the Patients

| Attribute                            | Explanation to Patients  | Levels   |
|--------------------------------------|--|--|
| Futile surgical lung tumor resection | Surgical lung tumor resection was futile in case unforeseen N2 disease is detected after surgery. Your survival will not be extended as result of the surgery, while surgical lung tumor resection is associated with 30% overall complications (18% mild complications, 10% severe complications, 2% mortality). The levels represent the proportion of futile surgical lung tumor resections.                                  | 3%<br>6%<br>9%<br>12%                              |
| Complications of staging procedures  | During the invasive mediastinal nodal staging procedures complications could occur. However, complications are rare, some could be severe.   | 0%<br>4%<br>6%<br>8%                               |
| Length of staging period             | The process of scheduling, performing and pathology investigation of confirmatory mediastinoscopy takes time. This process has to be completed before lung cancer treatment can start, and therefore this will be prolonged by performing confirmatory mediastinoscopy. On the other hand, confirmatory mediastinoscopy can prevent you from futile lung surgery.  | 1 week<br>3 weeks<br>5 weeks                       |
| Resection of the lung tumor          | When confirmatory mediastinoscopy is omitted you will directly be referred for surgical lung tumor resection. When confirmatory mediastinoscopy is not omitted, the surgical lung tumor resection will only be performed if mediastinoscopy does not show mediastinal lymph node metastases. If mediastinal lymph node metastases are detected at mediastinoscopy, generally no surgical lung tumor resection will be performed. | Always<br>Only if mediastinoscopy is N2–3-negative |
| Mediastinoscopy scar in the neck     | Cervical mediastinoscopy is performed through an incision in the neck. A scar of approximately 3–4 centimeter just above the sternum will be created.  | Yes<br>No  |

relative importance (RI) of all attributes from the ACA, by using the maximum difference in the average overall utility levels within an attribute.<sup>15</sup> The RI of an attribute represents its weight compared to the other attributes, since the sum of the RIs is always 100. The mean RIs of the attributes were compared to each other by using the paired *T*-test. Subgroup analysis to assess whether different groups assigned different RIs to specific attributes was carried out using the independent *T*-test based on the accepted proportion of avoided futile lung tumor resections obtained from the TTM (below/equal or above the median), MEDIASTrial randomization allocation (ie, mediastinal staging with or without confirmatory mediastinoscopy), age at time of diagnosis (below/equal or above the median) and on gender. All analyses were performed by using Sawtooth Software Lighthouse Studio 9.8.0 (Sawtooth Software, Inc., Sequim, WA, USA) and the Statistical Package for Social Sciences, version 22.0 (SPSS Inc., Chicago, IL, USA).

## Results

### Patients

A total of 97 patients completed the questionnaire and were included for analysis (response rate: 57%). The

median age of included patients was 67 years (IQR 61–72), and 55% (53/97) were males. As a result of randomization in the MEDIASTrial, 52 patients underwent endosonography only and 45 patients underwent endosonography and confirmatory mediastinoscopy prior to surgical lung tumor resection. Responders were younger than non-responders (67 years [IQR 61–72] vs 71 years [IQR 64–75],  $p=0.012$ ). No differences were found among responders and non-responders in randomization outcome and gender.

### Relative Importance of Attributes

The most important attribute of invasive mediastinal nodal staging of NSCLC was the length of the staging period (RI 26.24; 95% CI: 25.05–27.43), followed by the risk of a futile surgical lung tumor resection (RI 23.44; 95% CI: 22.28–24.60), actual resection of the primary lung tumor (RI 22.21; 95% CI: 21.09–23.33), complications of staging procedures (RI 20.65; 95% CI: 20.09–21.20) and the mediastinoscopy scar (RI 7.46; 95% CI: 6.87–8.05) (Table 3). The length of the staging period was more important than all other attributes (futile lung tumor resection  $p=0.009$ , other attributes  $p=0.000$ ). The risk of a futile surgical lung tumor resection and actual resection of the primary lung

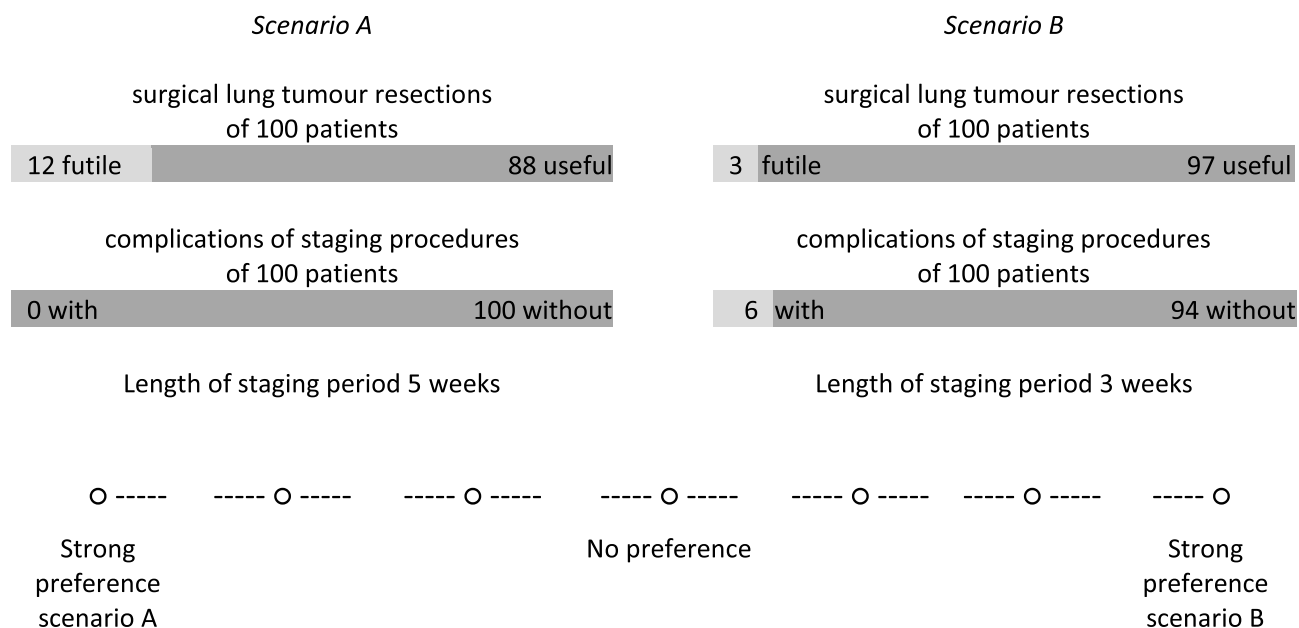


Figure 1 Example adaptive conjoint analysis trade-off containing three attributes.

Which scenario for invasive mediastinal staging do you prefer?

|   |  |
|---|--|
| <p><b>With mediastinoscopy:</b></p> <p>100 patients mediastinoscopy</p> <p><input type="checkbox"/> 6 patients complications of mediastinoscopy</p> <p>7 futile surgical lung tumour resections avoided</p> | <p><b>Without mediastinoscopy:</b></p> <p>0 patients mediastinoscopy</p> <p><input type="checkbox"/> 0 patients complications of mediastinoscopy</p> <p>0 futile surgical lung tumour resections avoided</p> |
|---|--|

Complication of surgical lung tumour resection: 30 out of 100 patients.

Figure 2 Example treatment trade-off.

tumor were evenly important ( $p=0.199$ ), while both were more important than complications of staging procedures ( $p=0.000$  and  $p=0.044$ , respectively). The scar from the mediastinoscopy was the least important attribute ( $p=0.000$  compared to all other attributes).

## Mediastinoscopy Treatment Trade-off Method

The minimum acceptable proportion of avoided futile surgical lung resections to accept the burden of confirmatory mediastinoscopy was 7% (IQR 1–>14%). A dichotomy in patients' preferences was, however, found; 39% (38/97) of patients would always undergo mediastinoscopy, even if it

avoids only 1% futile surgical lung tumor resections. On the other hand, 38% (37/97) of patients would never undergo mediastinoscopy, even if it avoids 14% futile surgical lung tumor resections (Figure 3). The TTM preferences (tending towards omitting or performing confirmatory mediastinoscopy) were in concordance with the randomization allocation in 73% of patients who underwent mediastinoscopy and 67% in whom mediastinoscopy was omitted ( $p=0.522$ ).

## Subgroup Analysis

Comparison of the ACA results of MEDIASTrial randomization allocation subgroups showed that patients in whom



**Table 3** Adaptive Conjoint Analysis Results (n=97)

| Attributes and Levels                | Average Utility (SD) | Average Relative Importance (95% CI) |
|--------------------------------------|----------------------|--------------------------------------|
| Length of the staging period         |                      | 26.24 (25.05–27.43)                  |
| 1 week                               | 63.74 (13.71)        |                                      |
| 3 weeks                              | 3.72 (5.84)          |                                      |
| 5 weeks                              | –67.46 (16.35)       |                                      |
| Futile surgical lung tumor resection |                      | 23.44 (22.28–24.60)                  |
| 3%                                   | 58.32 (13.25)        |                                      |
| 6%                                   | 23.85 (8.68)         |                                      |
| 9%                                   | –23.28 (6.89)        |                                      |
| 12%                                  | –58.88 (15.68)       |                                      |
| Resection of the lung tumor          |                      | 22.21 (21.09–23.33)                  |
| Always                               | 55.53 (13.84)        |                                      |
| If mediastinoscopy N2–3-negative     | –55.53 (13.84)       |                                      |
| Complications of staging procedures  |                      | 20.65 (20.09–21.20)                  |
| 0%                                   | 50.78 (5.84)         |                                      |
| 4%                                   | 18.14 (4.04)         |                                      |
| 6%                                   | –16.46 (2.48)        |                                      |
| 8%                                   | –52.46 (8.07)        |                                      |
| Mediastinoscopy scar in the neck     |                      | 7.46 (6.87–8.05)                     |
| Yes                                  | –18.65 (7.30)        |                                      |
| No                                   | 18.65 (7.30)         |                                      |

**Abbreviations:** SD, standard deviation; CI, confidence interval.

confirmatory mediastinoscopy was omitted assigned the length of the staging period as single most important attribute, with actual resection of the primary tumor as second attribute. Patients who underwent confirmatory mediastinoscopy ranked the risk of a futile lung tumor resection, length of the staging period and actual resection of the primary tumor respectively as most important attributes, without significant differences among them (Table 4).

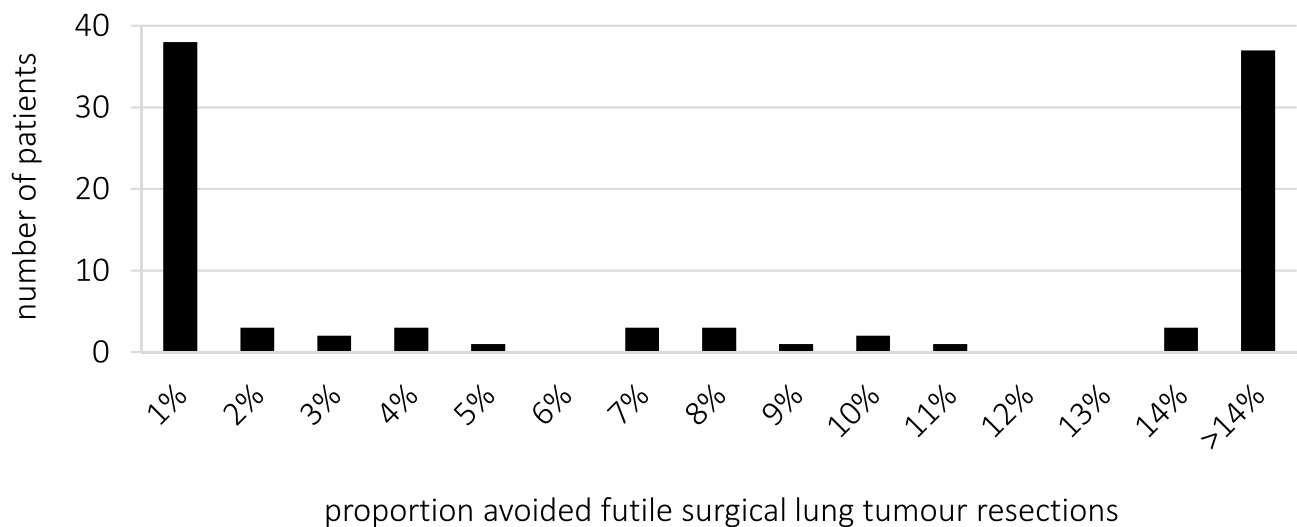
When comparing patients based on age at time of diagnosis ( $\leq 67$  years vs  $> 67$  years), we found that the length of the staging period was ranked as the most important attribute in both groups. Older patients, however, found the risk of a futile surgical lung tumor resection as important as the length of the staging period. In the other attributes no differences among age subgroups were found (Table 4). Subgroup analysis based on gender showed no differences in RIs of all attributes.

When comparing patients based on the outcome of the TTM, we found that patients tending towards the use of confirmatory mediastinoscopy (TTM  $\leq 7$  avoided futile surgical lung resections) ranked the risk of a futile lung tumor resection, length of the staging period and actual resection of the primary tumor as most important attributes, without significant differences among them. Patients tending towards omitting confirmatory mediastinoscopy (TTM  $> 7$  avoided futile surgical lung resections) ranked the length of the staging period as single most important attribute (Table 4).

## Discussion

The present study indicated that NSCLC patients with an indication for invasive mediastinal staging determined the length of the staging period the most important attribute of invasive staging, while futile surgical lung resections (eg, unforeseen N2 after resection) and actual resection of the primary lung tumor were the second most important attributes. On average, avoidance of 7% futile surgical lung tumor resections would cover the burden of confirmatory mediastinoscopy. However, a dichotomy among patients always or never willing to undergo confirmatory mediastinoscopy was found.

The European guidelines on invasive mediastinal nodal staging in selected patients are clear about the preference of endosonography over surgical staging as initial staging technique. However, in case of negative endosonography results (no pathologically proven N2 or N3 metastases) confirmatory mediastinoscopy is recommended in patients with cN1–3 and should be considered in patients with centrally located, FDG-non-avid or peripheral tumors  $> 3$  cm. This leaves room for doctor's preferences and/or shared decision making, resulting in an ongoing debate in scientific forums in literature and variation in daily practice.<sup>6–10</sup> Significant variability in the use of invasive staging was already described in the United States, Canada and the Netherlands.<sup>16–18</sup> Shared decision making is currently upcoming and would, in our opinion, perfectly fit in the abovementioned knowledge gap, awaiting further research on this topic. Our results suggest that lung cancer patients have explicit ideas about invasive mediastinal staging, and the period diagnosing and staging lung cancer is generally very emotional and precarious. Patient preferences on invasive mediastinal lung cancer staging have, however, never been investigated before. Several interview-based studies on treatment preferences showed that



**Figure 3** Minimum proportion of avoided futile surgical lung tumor resections to accept the burden of confirmatory mediastinoscopy after N2 and N3-negative endosonography based on TTM ( $n=97$ ).

lung cancer patients had clear ideas about efficacy and burden of lung cancer treatment.<sup>19–23</sup> These findings were strengthened by the results of a study including stage I–II NSCLC patients showing that most of these patients found it important to be involved in treatment decision making.<sup>24</sup> In this era of shared decision making, physicians should therefore consider to invite patients to participate in their staging process.

A key element of shared decision making is providing patients with sufficient information.<sup>25</sup> Up to one-fifth of patients in the abovementioned stage I–II NSCLC study reported lack of knowledge about the treatment options.<sup>24</sup> Added to the assumption that cancer patients in general are at risk of overestimating their life expectancy and expectations about medical treatment, an important role is reserved for the information-providing doctor.<sup>26</sup>

When considering to omit confirmatory mediastinoscopy after negative endosonography, it is important to inform patients about the potential oncological consequences. Patients with extensive mediastinal lymph node metastases (stage III NSCLC), detected at mediastinal staging, are generally treated by definitive chemoradiotherapy or a multimodality strategy of induction therapy followed by surgery. The randomized PACIFIC trial showed that application of durvalumab after chemoradiotherapy in patients with locally advanced NSCLC (stage III) improved overall survival.<sup>27</sup> However, in patients with limited N2 disease (single station or only microscopic metastases) long-term survival of patients treated by induction therapy followed by surgical lung tumor

resection was better compared to patients who underwent primary surgical lung tumor resection and adjuvant therapy in several studies.<sup>4,28–30</sup> In contrast, patients with minimal N2 disease (metastases <2 mm and/or metastases in 1 lymph node station only) are thought not to have compromised survival by primary surgical treatment followed by adjuvant chemoradiotherapy.

Most unforeseen N2 metastases turned out to be minimal N2 in previous studies, thus prompting the question whether resection of unforeseen N2 should be defined as “futile” after all. Since best treatment of N2 disease is an ongoing debate among physicians, inclusion of this minimal N2 issue in the informed consent conversation with patients may make it even more complicated for patients. Therefore we chose a more conservative approach in the present study to investigate the patients’ opinion about “futile” resection.

Against our expectations, the risk of complications by mediastinoscopy was not considered as important as the attributes “period of staging”, “futile lung resection” and “actual resection of the primary tumor”. Moreover, the accuracy of mediastinoscopy (as overall accuracy, sensitivity or negative predictive value) was not considered by our patient panel at all to include this as an attribute in the adaptive conjoint analysis, although the risk of a futile lung resection may be an equivalent (according to patients) of diagnostic accuracy. Evaluation of mediastinoscopy in the Netherlands from 2012 to 2016 demonstrated that only half of the mediastinoscopies were performed according to the Dutch guideline (requiring biopsies of two ipsilateral

**Table 4** Relative Importance and Rank of Attributes Subgroup Analyses

|                                      | TTM Using Confirmatory Mediastinoscopy (n=50)    |                     | TTM Omitting Confirmatory Mediastinoscopy (n=47) |                     | p value |
|--------------------------------------|--|---------------------|--|---------------------|---------|
| Length of the staging period         | 2  | 25.01 (23.30–26.71) | 1  | 27.56 (25.91–29.20) | 0.033   |
| Futile surgical lung tumor resection | 1  | 25.19 (23.59–26.77) | 3  | 21.59 (20.00–23.17) | 0.002   |
| Resection of the lung tumor          | 3  | 22.50 (20.81–24.20) | 2  | 21.89 (20.40–23.40) | 0.593   |
| Complications of staging procedures  | 4  | 20.59 (19.80–21.38) | 4  | 20.71 (19.91–21.51) | 0.833   |
| Mediastinoscopy scar                 | 5  | 6.71 (6.09–7.34)    | 5  | 8.25 (7.25–9.24)    | 0.009   |
|                                      | Randomization: with Mediastinoscopy (n=45)       |                     | Randomization: Without Mediastinoscopy (n=52)    |                     | p value |
| Length of the staging period         | 2  | 24.17 (22.33–26.01) | 1  | 28.03 (26.60–29.46) | 0.001   |
| Futile surgical lung tumor resection | 1  | 25.93 (24.18–27.69) | 3  | 21.28 (19.96–22.61) | 0.000   |
| Resection of the lung tumor          | 3  | 22.19 (20.39–23.99) | 2  | 22.23 (20.79–23.67) | 0.974   |
| Complications of staging procedures  | 4  | 20.96 (20.14–21.78) | 4  | 20.38 (19.62–21.14) | 0.301   |
| Mediastinoscopy scar                 | 5  | 6.75 (5.95–7.41)    | 5  | 8.08 (7.23–8.91)    | 0.025   |
|                                      | Age Below/Equal Median ( $\leq 67$ Years) (n=49) |                     | Age Above Median ( $>67$ Years) (n=48)           |                     | p value |
| Length of the staging period         | 1  | 26.96 (25.38–28.55) | 1  | 25.50 (23.68–27.33) | 0.227   |
| Futile surgical lung tumor resection | 3  | 22.21 (20.69–23.73) | 2  | 24.69 (22.96–26.44) | 0.032   |
| Resection of the lung tumor          | 2  | 22.94 (21.46–24.42) | 3  | 21.47 (19.76–23.17) | 0.192   |
| Complications of staging procedures  | 4  | 20.10 (19.31–20.89) | 4  | 21.21 (20.44–21.97) | 0.047   |
| Mediastinoscopy scar                 | 5  | 7.79 (6.73–8.85)    | 5  | 7.12 (6.59–7.65)    | 0.265   |

stations, one contralateral station and N7). This may have resulted in significantly more unforeseen N2 disease in the non-adherence group compared to patients who underwent complete mediastinoscopy.<sup>31</sup> A meta-analysis including studies until September 2019 showed comparable unforeseen N2 rates after invasive mediastinal nodal staging by endosonography with or without mediastinoscopy.<sup>10</sup> When evaluating complications as well as accuracy in our treatment trade-off method, we found a clear dichotomy in our study results, with approximately 40% always choosing mediastinoscopy and 40% always choosing to omit mediastinoscopy. Whether the occurrence of complications or a futile resection has contributed to their choices remains unclear, but we cannot ignore the fact that 70% of patients answered the TTM conform their randomization allocation suggesting that cognitive dissonance reduction could have influenced patients' choices. This psychologic phenomenon is based on the assumption that patients who have experienced a certain treatment or disease assign higher utilities to that treatment or disease.<sup>32</sup>

One of the shortcomings of the present study may be that the included patients have been a selected sample, as they were patients who already underwent invasive staging (endosonography with or without mediastinoscopy according to randomization) and surgical lung tumor resection. In

advance, we suggested that a certain knowledge and experience with lung cancer staging and treatment was required to properly judge which attributes were most important. For patients without this experience it would have been very hard to acknowledge the effects of the disease and its staging and surgical treatment. Moreover, the time between lung cancer diagnosis, invasive staging and surgery is, as result of the guideline recommendations, very short in the Netherlands. Since this period is generally very precarious for patients, we thought it would not be ethical to present this questionnaire to them in this period.

As cognitive dissonance reduction could have influenced patients' choices, it may therefore be valuable to assess patients in whom mediastinal nodal staging is not yet performed. Special attention to detailed background information and patients' well-being should hereby be taken into account.

Also, the strong dichotomy in the TTM results might be (partly) a result of insufficient understanding of the considerations to be made or the method used to do so (TTM), despite a confirmatory question that was added to the questionnaire. Therefore, in future research it could be considered to use an interview setting instead of an internet-based questionnaire. Availability of this study as an



internet-based questionnaire only could also have induced the age difference among responders and non-responders. However, in subgroup analysis based on age, the length of the staging period remained the most important attribute. The increased RI of the risk of a futile lung resection in older patients could be explained by older patients probably being more prudent of futile major surgery based on an inferior general condition compared to younger patients. The actual length of the staging period of included patients was not available, and subanalysis for this attribute was therefore not possible.

This is the first study on patients' preferences on invasive nodal staging of NSCLC. The results of this study show that patients have preferences on this topic, taking into account the burden of care, burden of complications and prognostic uncertainties of different staging strategies and dependent treatments.

## Conclusions

Although a strong dichotomy among patients always or never willing to undergo confirmatory mediastinoscopy was found, the length of the staging period was the most important attribute in invasive mediastinal staging according to patients with resectable NSCLC. While we await further research on the optimal strategy for invasive mediastinal nodal staging, local staging logistics could already be optimized, and shared decision making could be considered to fulfil patient preferences.

## Abbreviations

NSCLC, non-small cell lung cancer; RI, relative importance; EBUS, endobronchial ultrasonography; EUS, endoscopic ultrasonography; ACA, adaptive conjoint analysis; TTM, treatment trade-off method; IQR, interquartile range; CI, confidence interval.

## List of Definitions

EBUS(-TBNA) endobronchial ultrasound guided transbronchial needle aspiration: Investigation of mediastinal and hilar lymph nodes with a linear ultrasound probe via the airways with the possibility of nodal sampling under real-time ultrasound control.

EUS(-FNA) endoscopic ultrasound guided fine needle aspiration: Investigation of mediastinal lymph nodes with a linear ultrasound probe via the esophagus with the possibility of nodal sampling under real-time ultrasound control.

Futile surgical lung tumor resection: A surgical lung tumor resection was deemed oncologically futile in case unforeseen N2 (macro-metastases or multi-level) disease was detected after surgery, as overall survival of these patients is generally not extended as a result of the surgery.

Mediastinal staging: Invasive mediastinal nodal staging to determine the nodal status of lung cancer by using EBUS, EUS and/or mediastinoscopy.

Mediastinoscopy: Surgical procedure under general anesthesia to examine mediastinal lymph nodes, located paratracheal and subcarinal, with the possibility to take surgical biopsies.

Negative endosonography: Endosonographic examination of mediastinal lymph nodes by using EBUS-TBNA and/or EUS-FNA showing no pathologically proven N2 or N3 lymph node metastases.

Unforeseen N2: Pathologically proven N2 disease resulting from mediastinal lymph node dissection at time of tumor resection, not detected by clinical staging including endosonography or mediastinoscopy (if performed).

## Data Sharing Statement

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

## Ethics Approval and Consent to Participate

This study was performed in accordance with the declaration of Helsinki, 64th WMA General Assembly, Fortaleza, Brazil, October 2013 and in accordance with the Medical Research Involving Human Subjects Act (WMO, the Netherlands). The medical ethical committee of Máxima MC approved the study protocol, and written informed consent was obtained from all patients.

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All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

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