#### ARTICLE





# Patterns of infection and infectious-related mortality in patients receiving post-transplant high dose cyclophosphamide as graftversus-host-disease prophylaxis: impact of HLA donor matching

García-Cadenas Irene ()<sup>1</sup> · Esquirol Albert<sup>1</sup> · Bosch-Vilaseca Anna<sup>1</sup> · Awol Rahinatu ()<sup>1</sup> · Novelli Silvana ()<sup>1</sup> · Saavedra Silvana<sup>1</sup> · Garrido Ana<sup>1</sup> · López Jordi<sup>1</sup> · Caballero Ana Carolina<sup>1</sup> · Granell Miquel<sup>1</sup> · Moreno Carolina<sup>1</sup> · Briones Javier<sup>1</sup> · Sierra Jorge ()<sup>1</sup> · Martino Rodrigo ()<sup>1</sup>

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#### Abstract

Post-transplant cyclophosphamide (PTCy) has become a promising option after allo-SCT, but infections may be more common than in traditional protocols. We herein report 117 consecutive adults who received PTCy-based alloSCT in our hospital: HaploSCT (34%), MRD (19%), and VUD (47%), respectively. The 18-month incidence of severe bacterial, viral, and IFI was 56%, 69%, and 8.7%, without differences between donor type, except for CMV infection and viral hemorrhagic cystitis, which had a higher incidence in the haploSCT cohort (58% vs. 43% and 30% vs. 8% on day +90, p < 0.05). Late infections by conventional respiratory viruses were common in all groups [33/87 (38%)]. The 2-year survival was 72% and did not differ by donor type. IRM at day 30, day 100, and 18 months was 1.7%, 4.4%, and 12%, without differences by donor type (p = 0.7). The primary cause of IRM was bacterial infection (42%). Grade 2–4 acute GvHD was the only independent predictor of IRM. Donor type had no impact on IRM or on survival. In our study, severe infections were common in all donor types using PTCy, with higher rates of early post-engraftment CMV-I and viral HC in haploSCT recipients, although lethal infections were uncommon and similar in all donor types.

# Introduction

Infections are a major cause of morbidity and the primary cause of mortality in 35–45% of deaths after allogeneic hematopoietic stem cell transplantation (alloSCT) [1–6]. Historically, the use of unrelated donors has been associated with an increased risk of severe infectious complications, with more severe infections in non-HLA fully matched transplants [7–10]. Improving immune reconstitution while mitigating graft-versus-host disease (GvHD) has been identified as the key albeit elusive factor for reducing the occurrence of severe opportunistic infections [11].

These authors contributed equally: Sierra Jorge, Martino Rodrigo

García-Cadenas Irene Igarciaca@santpau.cat

With the advent of the 20th century, Luzkik et al. [12] pioneered the use of high dose post-transplant cyclophosphamide (PTCy) in the haploidentical SCT (haploSCT) setting, with a surprisingly low incidence of severe forms of both acute and chronic GvHD and similar NRM and survival than alloSCT with other traditional stem cell donor types [13, 14]. At our institution, haploSCT was introduced as the preferred alternative donor source in 2013 using a chemotherapy-only myeloablative conditioning regimen and PTCy plus tacrolimus as GvHD prophylaxis [15]. PTCy was later integrated into HLA-matched related donor (MRD) and HLA-matched or 1-allele mismatched volunteer unrelated donor (VUD) transplant protocols, with positive initial results [16-18], and in 2019 our group published the initial results of incorporatingPTCy as GVHD prophylaxis outside the haploidentical setting [19]. Despite encouraging results, opportunistic infections without severe GVHD were a major concern, being the main cause of death for nonhaploSCT (7 of 19 deaths) and haploSCT (3/4 cases of NRM) recipients in our preliminary studies [15, 19].

To further explore this topic, we herein describe the incidence, risk factors, and impact of severe infections on

<sup>&</sup>lt;sup>1</sup> Hematology Department, Hospital de la Santa Creu i Sant Pau, Sant Pau and Jose Carreras Leukemia Research Institutes, Autonomous University of Barcelona, Barcelona, Spain

long-term outcomes in alloSCT incorporating PTCy for GvHD prophylaxis, with the primary objective of exploring the potential impact of the donor type (haploSCT vs. MDR/VUD) on these infections.

# Patients and methods

#### Inclusion criteria and transplant characteristics

This retrospective cohort includes all consecutive adult patients who received a first alloSCT with PTCy-based GVHD prophylaxis in our institution between June 2013 and January 2020. Patients were treated according to institutional programs in accordance with ethical standards. Written consent for transplant procedures and for the use of medical records for research was obtained from all participants. Data were collected by manual review of the electronic medical records. Main patient characteristics are shown in Table 1.

#### Conditioning regimen and GvHD prophylaxis

All patients in the haploSCT group underwent transplantation following our institutional myeloablative conditioning (MAC) Thiotepa–Fludarabine–Bululphan (TBF) protocol, which has been previously described in detail [15]. Similar conditioning regimens were used in the MRD/VUD cohorts, although formally classified as reducedconditioning regimens (RIC) based on low-dose thiotepa combined with the classical Fludarabina–Busulphan or Fludarabine–Melphalan RIC regimens [20–22], as previously reported [19]. In the MAC setting, fludarabine (90 mg/m<sup>2</sup> IV) plus fractionated total body irradiation (TBI) at a total dose of 8 to 13.5-Gy or 4 days of busulphan were administered to patients with acute leukemia (AL) or myelodysplastic syndrome (MDS) age <51 years [23].

Unmodified hematopoietic progenitor cells, mostly peripheral blood stem cells (PBSC) were infused on Day 0 (target cell dose  $5 \times 10^6$ /kg CD34 + cells). Three patients (2.6%) received bone marrow stem cells. PTCy was given days +3 and +4 at a dose of 50 mg/kg IV once daily, followed by tacrolimus (0.03 mg/kg as a 24 h IV infusion or orally to maintain a target trough serum level of 8 ng/mL, range 5–15 ng/mL) starting on day +5.

Sirolimus was used instead of tacrolimus in case of prior renal failure (loading oral dose of 4 mgr on day +5 followed by 2 mgr daily with dose modifications to maintain a target trough serum level of 7 ng/mL, range 5–12 ng/mL). Post-transplant growth factors were not routinely used. Table 1 Patient characteristics and main transplantation outcomes.

	HaploSCT $(n = 40)$	$\frac{\text{MRD/VUD}}{\text{MMUD}}$ $(n = 77)$	P value
Median follow-up for survivors, range (days)	851 (83–2432)	526 (42–1488)	0.001
Median age, range	47 (21–71)	54 (20-72)	0.15
Gender			
Female	19 (47)	35 (45)	0.5
HLA match			
Identical sibling	_	22 (29)	0.001
10/10 match unrelated donor	-	23 (30)	
9/10 mismatch related or unrelated donor	-	32 (41)	
Underlying disease			
AL/MDS	28 (70)	39 (52)	0.2
MPN	1 (2)	10 (13)	
Lymphoid malignancies	9 (23)	20 (26)	
Others	2 (5)	8 (11)	
Disease status at SCT			0.1
CR (first or second)	29 (72)	41 (53)	
Others	11 (28)	36 (47)	
Disease risk index			0.4
High/very high	15 (38)	31 (37)	
Previous SCT			0.8
Yes	6 (15)	10 (13)	
CMV serology			
Donor positive/Recipient positive	22 (55)	33 (43)	
Donor negative/Recipient positive	8 (20)	23 (30)	0.4
Donor negative/Recipient negative	5 (12.5)	15 (19.5)	
Conditioning regimen			
Reduced-intensity regimen	1 (2)	56 (73)	0.001
Myeloablative regimen	39 (100)	21 (27)	
Median CD34 + dose/kg	5 (1.2-6)	5.5 (1.6-8)	0.17
Acute GvHD			
Grades II-IV	7/35 (20)	22/71 (31)	0.3
Number of patients at risk for cGvHD at day + 100	30	47	
Chronic GvHD	6 (20)	7 (15)	0.2

*MRD* HLA-identical matched related donor, *VUD* HLA-matched volunteer unrelated donor, *MMUR* 1-allelel mismatched volunteer unrelated donor, *AL* acute leukemia, *MDS* myelodysplastic syndrome, *MPN* myeloproliferative neoplasm, *SCT* stem cell transplantation, *CR* complete response, *GvHD* graft-versus-host disease, *cGvHD* chronic GvHD.

#### Supportive care and anti-infectious prophylaxis

Patients were nursed in HEPA-filtered rooms during the early post-SCT aplastic period. Most patients received quinolone prophylaxis during neutropenia and/or until the start of broad-spectrum antibiotics. Piperacillin-tazobactam or cefepime alone were used as empirical therapy for febrile neutropenia, unless previous infection with resistant bacteria occurred; in this case, an appropriate antibacterial combination was used. Antiviral prophylaxis consisted of low-dose acyclovir (400 mg twice a day i.v. or 800 mg orally), which was maintained (in combination with cotrimoxazole) for a minimum of 1 year after SCT or until immunosuppressive therapy was stopped. A mold active antifungal agent (posaconazole, voriconazole, or other systemic antifungal drugs) was used whenever the patient was given high dose steroids for the treatment of GvHD, while fluconazole was used during the pre-engraftment period. Serum galactomannan (2 times per week) was included in the monitoring strategy during this period. Serial blood monitoring using quantitative PCR for cytomegalovirus (CMV) and Epstein-Barr virus (EBV) infection was done 1-2 times a week until day +100 or indefinitely for those with active GvHD, as described elsewhere [24]. Pre-emptive anti-CMV therapy was started when a level of DNAemia of >1000 IU/ml was found in one blood sample or two consecutive samples had a level of >500 IU/mL. Patients with EBV DNAemia of >1000 copies/mL on at least two consecutive samples were treated with rituximab, as previously described [24].

#### Transplant and infection-related definitions

Periods of infectious risk were defined as day 0 to day +30 (pre-engraftment), days +31 to +100 (early post-engraftment) and beyond day +100 (late post-engraftment). Neutrophil engraftment was the first of three consecutive days of ANC > 500 cells/mm<sup>3</sup> following post-transplant nadir, and platelet engraftment the first of three measurements showing >20,000 platelets/mm<sup>3</sup> without platelet transfusion in previous 7 days.

Acute GvHD (aGvHD) and chronic GvHD (cGvHD) were defined per published criteria [25, 26]. Cause of death was determined following the algorithm suggested by Copelan et al. [27].

Infection-related mortality (IRM) was defined as death attributable to a recent severe infection by the primary physician(s) and the coordinator of the study (I.G.) and/or when a lethal infection was identified at autopsy. Any bacterial, viral, or invasive fungal infection (IFI) requiring intravenous treatment, or leading to or prolonging a hospitalization were considered as being severe, as were all CMV and EBV infections. In case of common skin contaminants, bloodstream infection (BSI) was diagnosed if  $\geq 2$  consecutive blood cultures were positive for the same species. Infection data were collected retrospectively until the patient's death or last follow-up, using standardized definitions of severe infections after SCT based on the most recent guidelines (https://www.ebmt.org/working-parties/ infectious-diseases-working-party-idwp). Other severe viral infections considered in this study were: (i) disseminated varicella-zoster virus (VZV) infection; (ii) Human Herpesvirus 6 (HHV-6) encephalitis, diagnosed by positive PCR from cerebrospinal fluid; (iii) Adenovirus (ADV) disease, diagnosed when adenovirus was identified in samples from an affected organ(s) by immunohistochemistry; (iv) pneumonia due to a conventional respiratory virus (CRV); and (v) BK polyomavirus-related hemorrhagic cystitis (BKPV-HC).

#### **Statistical analysis**

The primary objective of the study was to analyze and compare the cumulative incidence (C.I.) of IRM among donor sources (haploSCT vs. MRD/VUD), whereas secondary endpoints were the description of the major types of severe infections. In addition, other conventional posttransplant outcomes were described and compared.

Descriptive statistics were used to show the patients' general characteristics The Kaplan–Meier method was used to estimate actuarial overall survival (OS) and progression-free survival (PFS). Estimates of neutrophil (> $0.5 \times 10^9$ /l) and platelet (> $20 \times 10^9$ /L) recovery, bacterial infections, IFI, severe viral infections, non-relapse mortality (NRM), GVHD, and disease relapse were calculated using cumulative incidence curves, to account for competing risks. Crosstabs and Student's t-test were used to identify baseline characteristics associated with IRM and OS.

Factors with *P* value <0.1 in univariate analysis were entered into a multivariate proportional hazards Cox regression analysis [28]. *P* values <0.05 were considered statistically significant, and the hazard ratios (HRs) and their 95% confidence intervals (95% CIs) were calculated. All analyses were performed using SPSS version 18.0 (SPSS Inc, Chicago, IL, USA) or the CMPRSK package in R 2.4.1.

## Results

## **Patient characteristics**

We included 117 consecutive patients in the study. As shown in Table 1, our cohort contained many high-risk patients. Donors were MRD, fully matched VUD, 1-allelemismatched VUD (MMUD), and haploidentical donors in Table 2 Etiologies of the documented infections by time period.

	Pre-engraftment (≤30days)		Intermediate and Late (>30days)	
Only frequencies are shown in parenthesis	HaploSCT $(n = 40)$	MRD/VUD/MMUD(n = 77)	HaploSCT $(n = 38)$	MRD/VUD/MMUD $(n = 70)$
Patients with ≥1 severe infection	18 (45)	31 (40)	6 (16)	22 (31)
<b>Bacterial infections</b>	23	37	10	33
Staphylococcus spp	7	17	2	4
Coagulase negative	7	15	2	4
Enterococcus spp	3	3	-	4
Streptococcus spp	3	5	3	5
S. pneumoniae	-	_	2	4
Gram-negative bacteria	9	10	4	13
C. difficile colitis	1	1	1	5
Other	_	1	_	2
IFI	2	3	1	4
IPA	2	2	1	2
Other	-	1	-	2
Viral infections	14	6	49	73
CMV				
Reactivation	7	4	17	29
Disease	_	_	1	_
EBV	_	_	3	_
Reactivation	_	_	3	_
PTLPD	_	_	_	_
HSV or VZV	-	-	4	6
HHV-6 encephalitis	1	_	-	2
Viral haemorrhagic cystitis	4	1	8	5
Community- acquired respiratory virus	2	1	14	27
Others (highlight)	_	_	2	4

MRD HLA-identical matched related donor, VUD HLA-matched volunteer unrelated donor, MMUR 1-allelel mismatched volunteer unrelated dono, CMV cytomegalovirus, EBV Epstein Barr virus, PTLPD post-transplant lymphoproliferative disorder, HVS Herpes simplex virus, VZV Varicella-zoster virus, HHV-6 Human herpesvirus 6, LRTI low respiratory tract infection.

22 (19%), 23 (20%), 32 (27%), and 40 (34%) cases, respectively. There were no significant differences in baseline characteristics between the different transplant cohorts. Eighty-six patients (74%) were CMV seropositive. The median follow-up in survivors was 1056 days (range: 83–2432) in HaploSCT recipients and 526 days (range: 42–1488) in the non-haploidentical cohort, respectively. Thus, follow-up was censored at 18 months (543 days) for all incidence and survival analyses.

#### **Overall outcomes**

Most patients (94%) achieved neutrophil engraftment, with seven cases developing graft failure. In addition, one patient from each group had an early IRM during aplasia. In the 108 patients with sustained donor engraftment, the median time to neutrophil and platelet recovery was day +20 (range: 12–56) and day +26 (range: 12–171) in haploSCT recipients, while in the non-haplo cohort the median times to neutrophil and platelet recovery were 23 (range: 12–36) and 22 days (range: 10–249), respectively (non-significant differences). The incidence of grade 2–4 aGvHD at day +120 was low in both transplant groups [20.3% (95% C.I: 13–28%) and 29% (95% C.I: 19–39%), respectively, p = 0.2], with a trend for a higher incidence in MMUD transplants [42.6% (95% C.I: 32–53) p = 0.1].

Among the 77 evaluable cases, the 1 year C.I. of overall cGvHD was 19.7% (95% C.I: 10–27) and 11% (95% C.I: 2–20) in haplo and non-haplo SCT recipients, while the incidence of moderate-severe forms of cGvHD was 3.8% and 6%, respectively (p = 0.4). No significant differences were found in the 18-month NRM between both groups (13% vs. 19.8%, p = 0.5). Again, MMUD transplants had a higher NRM at 18 months [38% (95% C.I: 18–48), p = 0.05].

The 18-month C.I. of relapse was 18% (95% CI 11–29%) and 29% (95% CI 17–41%) in haploSCT and non-haploSCT recipients (p = 0.5), while the OS was 79.7% (95% CI 67–90%) and 75% (95% CI 65–75%), respectively. The main cause of NRM was an opportunistic infection in both cohorts (12/19 cases of NRM).

#### Severe infections

Using microbiological and clinical criteria, 262 severe infections occurred in 98 of 117 patients (84%), with a median of 2 events/patient (range: 0–7). Major pathogens and their distribution in different post-transplant time periods are summarized in Table 2. Thirty-nine percent were of bacterial, 4% fungal, and 57% viral origin. Median time to infection was 14 days for bacterial, 58 days for fungal, and 43 days for viral infection, respectively.

#### Early severe infections (<day +30)

Overall, 49 patients (42%) had 60 early post-SCT or preengraftment blood-stream infections (PE-BSI) [median time to the first PE-BSI: 12 days (range: 0–30)]. Coagulasenegative staphylococci were responsible for 37% of PE-BSI episodes followed by Gram-negative bacteria (GNB) species (32%) and *Streptococcus* spp. (13%). The most represented GNB was *Escherichia coli* (13%). Donor type did not influence the rate of PE-BSIs, and the day +30 Table 3 Univariate andmultivariate analysis of theoverall survival at 18 months.

Variables	18-month OS				
	Probability (95% C.I.)	Univ. P value	Multivariate P	HR (95% C.I.)	
Recipient age, in years					
• $\leq 40 \ (n = 23)$	78% (70-86)	0.1	0.2		
• >40 $(n = 94)$	59% (48-70)				
Disease risk Index					
• Low-Intermediate $(n = 71)$	79.3% (69–90)	0.08	0.07		
• High-very high $(n = 46)$	67.4% (53-81)				
CD34 + cell count					
• $\geq 5 \times 10e6/kg \ (n = 88)$	70.3% (60-81)	0.1	0.09		
• $<5 \times 10e6/kg \ (n = 28)$	88.2% (75–94)				
2–4 acute GvHD <sup>a</sup>					
• No ( <i>n</i> = 77)	81.6% (71–91)	0.06	0.02	1.6 (1.2–2)	
• Yes ( <i>n</i> = 29)	69.8% (54-85)				
CMV reactivation <sup>a</sup>					
• No ( <i>n</i> = 56)	81% (69–93)	0.06	0.1		
• Yes $(n = 56)$	68.7% (56-79)				
Invasive fungal infection <sup>a</sup>					
• No ( <i>n</i> = 107)	78.3% (70-84)	0.001	0.01	3.1 (2.5–3.6)	
• Yes $(n = 10)$	34.3% (10-50)				

Other variables tested in the univariate analyses included: recipient and donor sex, conditioning regimen, use of TBI, donor type, development of pre-engraftment bacteremia\*, C. difficile colitis\*, hemorrhagic cystitis\* and moderate-severe cGvHD\*. All these variables had a P value > 0.5 in univariate analysis and are thus not included in the table.

*Cum Inc.* cumulative incidence, *K–M* Kaplan–Meier probability, *HR* Hazard ratio, 95% *C.I.* 95% confidence interval, *GvHD* Graft versus host disease, *CMV* cytomegalovirus.

<sup>a</sup>Post-transplant variables were analyzed as time-dependent covariates.

incidence of PE-BSI was 45% (95%CI: 26–61%) in haploSCT and 40.5% (95%CI: 29–52%) in non-haploSCT recipients, respectively (p = 0.7). In addition, the development of PE-BSI had no impact on survival when analyzed as a time-dependent covariate (see Table 3).

#### Post-engraftment bacterial infections (beyond day +30)

Twenty-eight patients (24%) had at least one severe bacterial infection beyond day +30, which occurred at a median of 124 days (range: 34–915) after SCT, with 5 (18%) of them having more than one episode. The 18-month incidence of post-engraftment bacterial infections was 58.1% (95% CI: 43–71) in haploSCT recipients and 56% (95% CI: 42–70) in the non-haploSCT cohorts (p = 0.9).

Fifteen episodes of bacterial infection occurred after day +100. A GNB was isolated in 40% of the 43 total episodes of late bacterial infections and six cases were due to *Streptococcus pneumoniae*. Of note, the rates of these infections did not differ by the presence or absence of grade 2–4aGvHD nor cGvHD (details not shown). Throughout the whole study period, we identified nine (8.7%) MDR

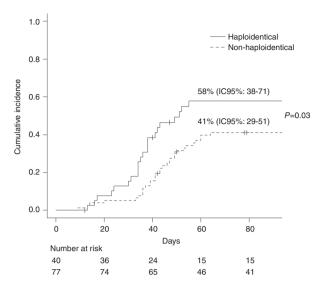
organisms, mostly MDR-GNB (ESBL producer Enterobacteriaceae in six cases and MDR-P.aeuruginosa in three). Eight patients (7%) developed *C. difficile*-associated infection, with a median onset of 138 days (range: 6–777) post transplant.

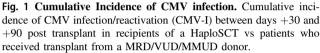
#### Invasive fungal infections (IFI)

Three and seven patients (7.5% and 9%) in the haploSCT and non-haploSCT cohorts were diagnosed with an IFI, leading to an overall 100-day and 18-month incidence of 5.3% (95%CI: 1–9) and 8.7% (95% CI: 3–14), respectively. Most of the cases occurred in the first 100 days after SCT, with a median onset of 58 days (range: 12–609). Invasive aspergillosis (IA) was the most common IFI in both study groups, accounting for 70% of cases.

#### CMV and other viral infections

Fifty-six patients (48%) developed CMV infection/reactivation (CMV-I) after alloSCT, with a 30-day, 100-day and 18-month incidence of 8.6% (95% CI: 3.3–13%), 46.7%





(95% CI: 37–55%) and 49% (95% CI: 38–57%), respectively. The median onset of CMV-I was 41 days (range: 9–198). CMV disease occurred in only 1 patient Recipients of a HaploSCT had a higher incidence of CMV-I at 18 months than patients who received transplant from a MRD/VUD/MMUD donor [(incidence of 61% (95% CI: 41–74%) vs. 44% (95% CI: 31–54%) (p = 0.03)].

As shown in Fig. 1, differences were especially appreciable between days +30 and +90 (45% vs. 36%, p = 0.01). When only CMV seropositive recipients were analyzed, the incidence of CMV-I at 90 days was 75.6% (95% CI: 58–92%) vs. 53% (95% CI: 40–66%), respectively (p = 0.009).

The rates of other severe viral infections are detailed in Table 2. Seventeen patients (14.5%) had a viral infection-related hemorrhagic cystitis (HC) at a median of 47 days after SCT (range: 10–222). Fourteen (82.5%) were BKPV-HC, while adenovirus was implicated in three cases. The rate of viral HC was higher in haploSCT recipients than in the non-haploSCT groups (27.5% vs. 7.8%, p = 0.01). EBV infection was documented in three patients, but without any case of lymphoproliferative disease. HHV-6 encephalitis occurred in three patients (2.6%) at a median of 66 days (range: 25–212) post-SCT.

After CMV-I, the most common group of viral infections diagnosed were lower respiratory tract infections (traqueobronchitis with or without pneumonia) by conventional respiratory viruses (CRV), which occurred in 38 patients (32.5%). Respiratory syncytial virus (n = 11) was the most common CRV. As expected, infections by CRV occurred mostly late after SCT, with a median onset of day +258 (range: 1–1425) and only three cases (7%) occurring before

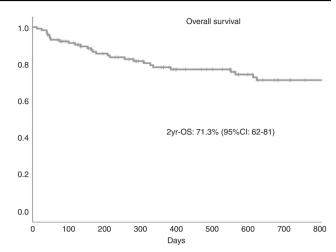


Fig. 2 Overall survival. Overall survival at 2 years.

day +100. Only two patients died from these infections (pneumonia due to influenza A and SARS-CoV-2 on days +334 and +208 post-SCT, respectively). As shown in Table 2, CRV infections did not differ between haploSCT and non-haploSCT recipients.

# Infection-related mortality (IRM) and long-term outcomes

Infection was the primary cause of death in 36% of patients who died and a contributing cause in an additional 24%. Median time to IRM was 149 days (range: 12–1266), with 42% of these deaths occurring in the first 100 days. IRM did not differ between transplant groups. The 18-month incidence of IRM was 7.9% (95% CI: 5–13%) in haploSCT recipients and 13.4% (95% CI: 4–19%) in the nonhaploSCT cohorts, respectively (p = 0.7). Bacterial infections were the main cause of IRM (42%), followed by viral (25%) and IFI (17%).

At last follow-up, 84 patients were alive (72%) and 79 of them (67.5%) in complete remission with an 18-month OS and PFS of 71.3% (95% CI: 62–81%) and 67.4% (95% CI: 59–75), respectively, and again no differences by donor type (p = 0.8; details not shown) (see Fig. 2). In univariate and multivariate analysis only occurrence of grade 2–4 aGvHD increased the risk of IRM (p = 0.01, HR 3). With respect to OS (Table 3), grade 2–4 aGvHD and development of an IFI were significantly associated with higher mortality in multivariate analysis.

# Discussion

In the present analysis, we describe a complete picture of infectious complications in patients receiving an alloSCT with PTCy prophylaxis and found that the rates of severe bacterial and fungal infections and the incidence of IRM were similar between the different donors studied. However, this was not the case for several types of postengraftment viral infections, which were more common in haploSCT. Additional interesting findings should be further discussed.

First of all, our results support the lack of significant differences in the overall outcomes using different stem cell donors when high-dose PTCy is used, with low rates of both moderate-to-severe acute and chronic GvHD [16–18, 29]. A possible exception is the trend for higher GvHD and NRM in the MMUD group, which requires further study due to the small patient numbers to date.

Despite the theoretical better infectious profile of PTCy-based strategies compared to T-cell depleted SCT [30-32], we found that infections were a primary or contributing cause of death in around a half of the patients who died in the follow-up period. Of note, IRM was linked to aGvHD, despite the low incidence of severe forms using PTCy.

In terms of bacterial infections, one of the main findings of this study was the similar incidence of PE-BSIs among the different stem cell donors (45% in haploSCT and 40.5% in the non-haploSCT cohorts, p = 0.7), rates which are comparable to those reported using different GvHD prophylaxis strategies and conditioning regimen intensities [33–37].

Overall, this is a positive observation since PTCy could potentially increase the mucosal barrier injury-linked infections due to more intense and prolonged damage to the gastro-intestinal mucosa combined with a more prolonged duration of neutropenia and monocytopenia, leading to a higher pre-engraftment (before day +30) IRM [38–40]. With respect to post-engraftment infections, there was a low incidence of late bacterial infections (24% of evaluable cases), probably explained by the low incidence of severe forms of both acute and chronic GvHD. In our report, a total of 8.7% MDR-bloodstream infections were identified, a lower rate compared to other studies [41–46]. In addition, the 7% rate of *C. difficile*-associated infection is in the lower range of the previously reported rates of 9-25% [47–50].

We found an 8.7% incidence of IFI at 1.5 years, within the current objective of having an incidence <10% after alloSCT [51, 52], with the majority of cases occurring after engraftment but before day +100 post transplant. This low incidence of IFI can be explained by the low incidence of GvHD and the reduction in the use of prolonged steroid treatment.

Regarding viral infections, their incidence was high in early post-engraftment phase and more frequent in haploSCT recipients. Both CMV-I and viral HC were especially high in haploSCT recipients between days +30 to +90 post transplant, with incidences of 45% and 27%, respectively, comparable to other haploSCT studies [32, 40, 53, 54]. Beyond day +100 rates of CMV and HC infection were similar between the different stem cell donor groups. Interestingly, we confirmed the low incidence of CMV disease and the lack of EBV-related lymphoproliferative disease, as recently reported by Kanakry et al. [55]. HaploSCT recipients have historically been at increased risk of viral infections because only half of the donor's HLA is expressed by the recipient's antigen presenting cells, potentially leading to delayed HLA-restricted immune effector functions if immunodominant HLA loci are missing in the new donor-recipient HLA environment [56].

The lower incidence of infections observed in our study after day +100 [57, 58]may reflect an effective restoration of antimicrobial immunity during the post transplant period, favored in part by the low rate of both acute and chronic GvHD.

Prior studies have reported an incidence of IRM of 9% to 20% in patients receiving PTCy-based alloSCT platforms [30, 32, 40], although most studies have focused on hap-loSCT since this has been the most common setting for the use of PTCy. Our finding of a 9% IRM at 18 months, without differences between haploSCT and MRS/VUD/ MMUD is thus promising.

The current study shares the limitations inherent to all retrospective analyses of complex clinical scenarios, including potential selection bias, as we cannot exclude the possibility that some non-severe infections were not captured because of incomplete reporting. However, all patients were followed at the same institution; therefore it is unlikely that clinically-relevant infectious complications were systematically missed; moreover, the diagnostic procedures and prophylactic measures were similar for all patients, thus contributing to the homogeneity in the diagnosis of the infectious events. In addition, as far as we know there are no previous studies providing detailed information on infectious morbidity and mortality after PTCy over an extended period of time and comparing the results between different stem cell donors.

In conclusion, our analysis found that severe infections were the main cause of NRM after alloSCT with PTCy, although their incidence was low in both donor groups. We did not observe remarkable differences in rates, microorganisms involved or diagnosis period of infection except in case of CMV and viral HC which were more frequently diagnosed early post-engraftment in the haploidentical cohort. Our data supports yet another promising role of using PTCy as GvHD prophylaxis, although efforts toward reducing the rates of CMV-I and viral HC, especially in haploSCT recipients, are clearly needed.

#### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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