

Serial Number                    09/464,090  
Filing Date                      16 December 1999  
Inventor                         Lisa A. Crisafulli  
                                      Hideyuki Murata  
                                      Zakya H. Kafafi

NOTICE

The above identified patent application is available for licensing. Requests for information should be addressed to:

OFFICE OF NAVAL RESEARCH  
DEPARTMENT OF THE NAVY  
CODE 00CC  
ARLINGTON VA 22217-5660

**DTIC QUALITY INSPECTED 1**

**DISTRIBUTION STATEMENT A**  
Approved for Public Release  
Distribution Unlimited

**20000223 076**

# COPY

Docket No.: N.C. 79,914

Inventor's Name: Lisa Crisafulli Picciolo, Hideyuki Murata, Zakya H. Kafafi

PATENT APPLICATION

1

2

3

## PENTACENE DERIVATIVES AS RED EMITTERS IN ORGANIC LIGHT EMITTING DEVICES

4

5

6

### **Background of the Invention**

7

#### **1. Field of the Invention**

8

The present invention relates to red-emitting organic electroluminescent materials and devices in general and in particular to compositions and devices comprising host materials doped with pentacene derivatives.

9

10

#### **2. Description of the Related Art**

11

Organic electroluminescent devices have been the subject of considerable research because of their potential applications in a wide variety of flat panel displays, especially ultrathin flat panel displays. Organic electroluminescent devices are very competitive with liquid crystal displays because of their very bright self-emission, low power consumption, low cost of organic materials, ease of color tunability and processability. The present technology will be competing with liquid crystal displays, which are replacing cathode ray tubes as a means of displaying visual information.

12

13

14

15

16

17

18

One effective approach for achieving color tunability in OLEDs, as well as improving device efficiency and durability, is by doping of fluorescent materials into organic host materials. Organic electroluminescent devices that include organic host materials and dopants are disclosed, for example, in the following patents and publications incorporated herein by reference: U.S. Patent No.

19

20

21

Docket No.: N.C. 79,914

PATENT APPLICATION

Inventor's Name: Lisa Crisafulli Picciolo, Hideyuki Murata, Zakya H. Kafafi

1 3,172,862 to Gurnee et al; U.S. Patent No. 3,173,050 to Gurnee; U.S. Patent No. 3,710,167 to  
2 Dresner et al; U.S. Patent No. 4,356,429 to Tang; U.S. Patent No. 4,769,292 to Tang et al; U.S.  
3 Patent No. 5,059,863 to Toshiro et al; U.S. Patent No. 5,126,214 to Tokailin et al; U.S. Patent No.  
4 5,382,477 to Saito et al; U.S. Patent No. 5,409,783 to Tang et al; U.S. Patent No. 5,554,450 to Shi  
5 et al; U.S. Patent No. 5,635,307 to Takeuchi et al; U.S. Patent no 5,674,597 to Fujii et al; U.S. Patent  
6 No. 5,709,959 to Adachi et al; U.S. Patent No. 5,747,183 to Shi et al; U.S. Patent No. 5,756,224 to  
7 Börner et al; U.S. Patent No. 5,861,219 to Thompson et al; U.S. Patent No. 5,908,581 to Chen et al;  
8 U.S. Patent No. 5,932,363 to Hu et al; U.S. Patent No. 5,935,720 to Chen et al; U.S. Patent No.  
9 5,935,721 to Shi et al; U.S. Patent No. 5,948,941 to Tamano et al; U.S. Patent No. 5,989,737 to Xie  
10 et al; International Publication No. WO 98/06242 (Forrest et al); C.W. Tang et al  
11 "Electroluminescence of Doped Organic Thin Films", J. Appl. Phys. 65(9), May 1969, pp 3610 -  
12 3616; C.W. Tang and S.A. VanSlyke, "Organic Electroluminescent Diodes", Appl. Phys. Lett.  
13 51(12), Sept. 21, 1987, pp. 913 - 915; C.W. Tang, "Organic Electroluminescent Materials and  
14 Devices" Information Display, Oct. 1996, pp. 16 - 19; J. Shi and C.W. Tang, "Doped Organic  
15 Electroluminescent Devices with Improved Stability", Appl. Phys. Lett 70(13) March 31, 1997, pp.  
16 1665 - 1667; Shoustikov et al, "Electroluminescence Color Tuning by Dye Doping in Organic Light-  
17 Emitting Diodes", IEEE Journal of Selected Topics in Quantum Electronics, Vol. 4, No. 1  
18 January/February 1998, pp 3 - 13; Baldo et al, "Highly Efficient Phosphorescent Emission from  
19 Organic Electroluminescent Devices", Nature, Vol. 395, September 10, 1998, pp 151 - 153; O'Brien  
20 et al "Improved Energy Transfer in Electrophosphorescent Devices", Applied Physics Letters, Vol.  
21 74, No. 3, January 18, 1999, pp. 442 - 444.

1 Full color electroluminescent displays require efficient red, green and blue  
2 electroluminescent materials. Currently, there is a need for more efficient red emitting materials,  
3 particularly for compounds with excellent color purity, chemical stability, including photochemical  
4 stability and electrochemical stability, high photoluminescence and electroluminescence quantum  
5 efficiencies and an extended device lifetime. U.S. Patent No. 4,769,292 to Tang et al, U.S. Patent  
6 No. 5,908,581 to Chen et al; and U.S. Patent No. 5,935,720 to Chen et al. describe compounds such  
7 as the DCM/DCJ class of red emitters (4-(dicyanomethylene)-2methyl-6-(p-  
8 dimethylaminostyryl)4H-pyran and julolidyl derivatives). These compounds typically exhibit broad  
9 emission spectra that reduce the red color purity at lower red wavelengths, giving them an orange  
10 hue. At higher red wavelengths, the broad emission band results in reduced luminance, since much  
11 of the emission is in the long wavelength region where the eye is less sensitive. Moreover, the  
12 synthesis of DCM/DCJ compounds results in a by-product that quenches fluorescence. The by-  
13 product is difficult to remove during purification and its presence reduces the electroluminescent  
14 efficiency of devices using the compounds. U.S. Patent No. 5,409,783 to Tang et al describes a  
15 phthalocyanine compound that has a peak emission in the deep red wavelength region of 660nm -  
16 780 nm. This compound, while useful for photographic printing processes, is less useful in display  
17 applications, since the eye has a low response to emission in these wavelengths.

### 18 Summary of the Invention

19 It has now been discovered that pentacene derivatives exhibit very narrow emission spectra  
20 and produce a very pure red color in a region of the spectrum that is useful for display applications.  
21 When pentacene derivatives are doped into the active emissive layer of organic light-emitting

1 devices, efficient energy transfer from the host material to the pentacene derivatives and/or carrier  
2 recombination on the pentacene derivatives takes place, resulting in red electroluminescence  
3 predominantly from the pentacene derivatives.

4 Accordingly, the present invention is directed to an electroluminescent composition  
5 comprising a host material and a red dopant, wherein the red dopant is a pentacene derivative  
6 substituted with two or more aromatic, substituted aromatic, heteroaromatic or substituted  
7 heteroaromatic groups.

8 Further, the present invention is directed to a heterostructured organic light emitting device  
9 for producing electroluminescence, the heterostructure having an emissive layer comprised of a host  
10 material and a red dopant, wherein the red dopant is a pentacene derivative substituted with two or  
11 more aromatic, substituted aromatic, heteroaromatic or substituted heteroaromatic groups.

12 The pentacene derivatives of the present invention have the advantages that they are  
13 relatively easy to synthesize and that the synthesis process does not produce a by-product that  
14 quenches fluorescence (which is a recurring problem in some fluorescent red dyes such as DCM/DCJ  
15 compounds). Moreover, the pentacene derivatives have narrow emission spectra in the red visible  
16 spectral region appropriate for display applications.

#### 18 **Brief Description of the Drawings**

19 Figure 1 is a cross-sectional representation of a first embodiment of an organic light emitting  
20 device of the present invention.

21 Figure 2 is a cross-sectional representation of a second embodiment of an organic light

1 emitting device of the present invention.

### 3 Detailed Description of the Preferred Embodiments

4 The materials of the present invention are red-emitting electroluminescent composites  
5 comprising host materials and red dopants, the red dopants being pentacene derivatives as described  
6 below.

7 The host material may be any compound or mixture of compounds typically used or capable  
8 of being used in the active emitting layer and/or carrier transporter of an electroluminescent device.  
9 Typically, the host material is a material that has good electron transport and/or hole transport  
10 properties, has good morphological properties so that it forms thin amorphous films by vacuum  
11 evaporation and has good electrochemical stability. The photoluminescence spectra of the host  
12 material should overlap with the absorption spectra of the guest material so that efficient  
13 Förster/Dexter energy transfer takes place. The host material should not quench the emission from  
14 the guest material, should have a bandgap greater than the guest material so that carrier trapping can  
15 occur, should have a larger ionization potential than that of the guest material so that hole trapping  
16 can occur and should have a smaller electron affinity than that of the guest material so that electron  
17 trapping can occur.

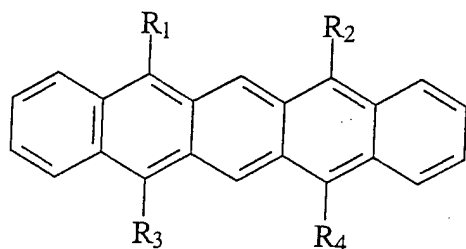
18 Typical host materials include hole transport materials such as N,N'-diphenyl-N,N'-bis(3-  
19 methylphenyl)1,1'-biphenyl-4,4'diamine (TPD), N,N'-diphenyl-1,1'-biphenyl-4,4'-diamine (NPB),  
20 N,N,N,N'-tetrakis(4-methylphenyl)(1,1'-biphenyl)-4,4'diamine (TTB) and starburst compounds such  
21 as 4,4',4''-tris(1-naphthylphenylamino)triphenylamine(1-TNATA). Typical host materials also

1 include electron transport materials such as metal chelate compounds such as tris(8-  
2 hydroxyquinolinato)aluminum ( $ALQ_3$ ), tris(8-hydroxyquinolinato) gallium III ( $Gaq_3$ ), tris-(4-methyl-  
3 8-hydroxyquinolinato) aluminum (III) ( $Almq_3$ ), bis(10-hydroxybenzo[*h*]quinolinato) beryllium  
4 ( $BeBq_2$ ), tris(4-phenanthridinolato) aluminum III ( $Alph_3$ ), and bis(2-styryl-8-quinolinato) zinc II  
5 ( $ZnSq_2$ ). Also, typical electron transport materials include 1,3,4-oxadiazole derivatives such as 1,3[5-  
6 (4-tert-butylphenyl)-1,3,4-oxadiazole-2-yl] benzene (OXD7), 2-(4-biphenyl)-5-(4-tert-butylphenyl-  
7 oxadiazole (butyl-PBD), 1,2,4-triazoles (TAZs) and 5,5'-bis(dimesitylboryl)-2,2'-bithiophene (BMB-  
8 2T)

9 The pentacene derivatives of the present invention are compounds comprising a pentacene  
10 backbone substituted in two or more positions with aromatic groups, substituted aromatic groups,  
11 heteroaromatic groups and substituted heteroaromatic groups. In general, bulky or hindered  
12 substituents such as aromatic groups, substituted aromatic groups, heteroaromatic groups and  
13 substituted heteroaromatic groups on a pentacene derivative contribute to more efficient  
14 electroluminescence due to increase of photoluminescence by the reduction of the aggregation of  
15 individual molecules of the pentacene derivative. Further, heteroaromatic substituents or substituents  
16 that have longer conjugation may cause a red-shift of the spectra. Suitable heteroaromatic  
17 substituents include furyl, thienyl, pyridyl, oxazolyl, isoxazolyl, thiazolyl, isothiazolyl, pyridyl,  
18 pyridazyl, pyrimidyl and pyrazyl groups. Suitable groups with longer conjugation include styryl  
19 groups and styryl groups substituted with alkyl, phenyl, naphthyl, anthracenyl and biphenyl. Another  
20 reason for using aromatic and heteroaromatic substituents is that it has been shown that aromatic and  
21 heteroaromatic substituents attached to polycyclic aromatic hydrocarbons such as tetracene reduce

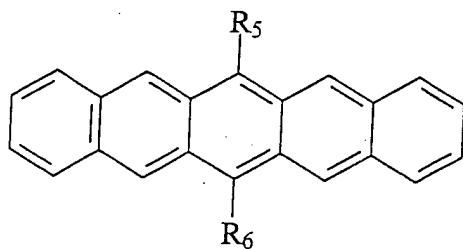
1 intersystem crossing between singlet( $S_1$ ) to triplet ( $T_n$ ) states, resulting in higher photoluminescent  
2 efficiency. See, for example, C. Burgkorff, T. Dircher and H.G. Lohmannsroben, Spectrochim. Acto,  
3 44A, 1137 (1988), incorporated herein by reference. The present inventors believe that similar  
4 principles would apply to pentacene derivatives and that this phenomenon would provide for greater  
5 efficiency in an electroluminescent device.

6 Pentacene derivatives of the present invention include, for example, compounds of the  
7 formula



9 wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are independently aromatic, substituted aromatic, heteroaromatic or  
10 substituted heteroaromatic groups. Preferably, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> are unsubstituted, alkyl-substituted  
11 or aryl-substituted phenyl, naphthyl, anthracenyl, biphenyl, furyl, thienyl, pyridyl, oxazoly,  
12 isoxazoly, thiazoly, isothiazoly, pyridyl, pyridazyl, pyrimidyl, or pyrazyl groups.

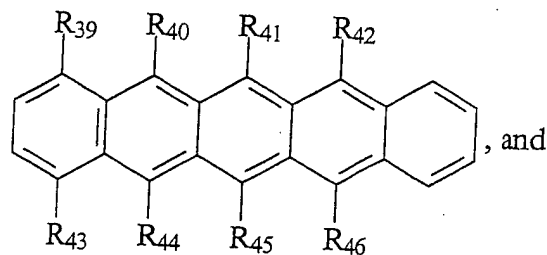
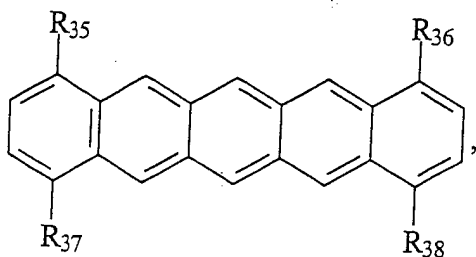
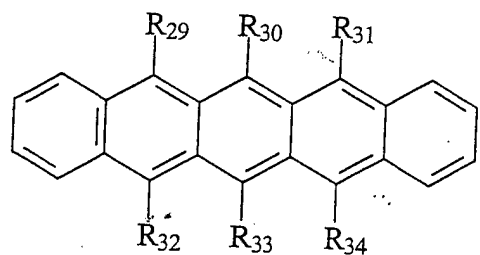
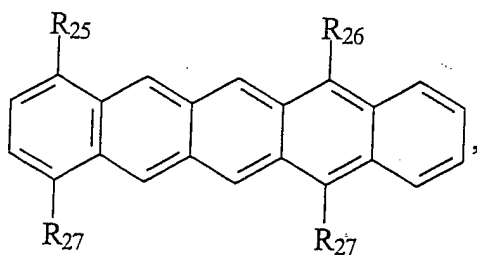
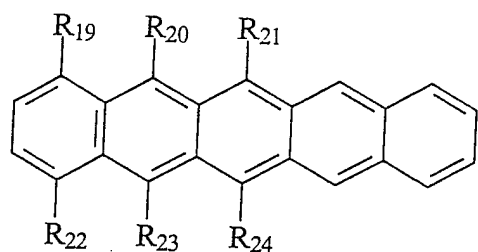
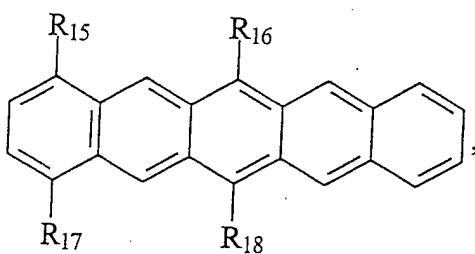
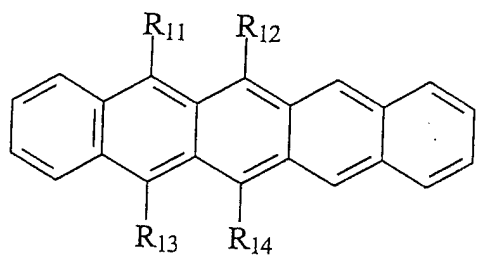
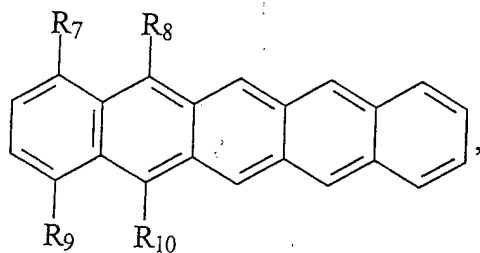
14 Pentacene derivatives of the present invention may also include, for example, compounds  
15 of the formula

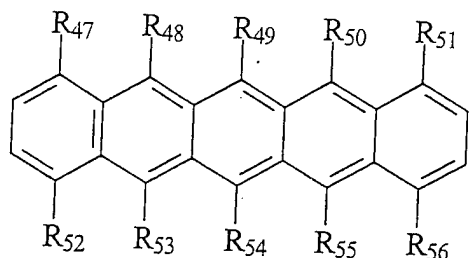




1 wherein  $R_5$  and  $R_6$  are independently aromatic, substituted aromatic, heteroaromatic or substituted  
2 heteroaromatic groups. Preferably,  $R_5$  and  $R_6$  are unsubstituted, alkyl-substituted or aryl-substituted  
3 phenyl, naphthyl, anthracenyl, biphenyl, furyl, thienyl, pyridyl, oxazolyl, isoxazolyl, thiazolyl,  
4 isothiazolyl, pyridyl, pyridazyl, pyrimidyl, or pyrazyl groups.

5 Pentacene derivatives may also include compounds of the following formulae:





1  
2  
3 wherein R<sub>7</sub> - R<sub>56</sub> are independently aromatic, substituted aromatic, heteroaromatic or substituted  
4 heteroaromatic groups and wherein preferably, R<sub>7</sub> - R<sub>56</sub> are unsubstituted, alkyl-substituted or aryl-  
5 substituted phenyl, naphthyl, anthracenyl, biphenyl, furyl, thienyl, pyridyl, oxazolyl, isoxazolyl,  
6 thiazolyl, isothiazolyl, pyridyl, pyridazyl, pyrimidyl, or pyrazyl groups.

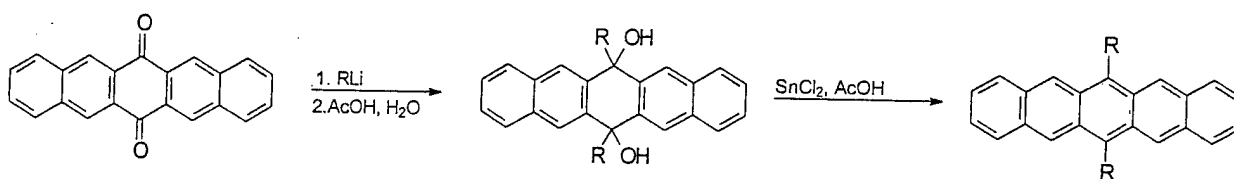
7 The reason for providing a large number of pentacene derivatives in the present invention  
8 is to provide a large number of choices in terms of emission wavelengths within the red region. Each  
9 derivative is expected to have a slightly different electronic structure and a slightly different  
10 emission spectrum. Thus, with a large number of choices, a person skilled in the art may fine-tune  
11 an electroluminescent device by selecting a derivative that meets a particular emission requirement.

12 An example of a pentacene derivative that exhibits a very narrow emission within the desired  
13 range of the red spectrum is 6,13-diphenylpentacene.

14 The pentacene derivatives of the present invention may be synthesized by any method known  
15 in the art for attaching other aromatic or heteroaromatic groups to a polycyclic aromatic  
16 hydrocarbon. For example, the pentacene derivatives may be synthesized by starting with a  
17 pentacene quinone derivative, such as pentacene-5,7,12,14-tetraone or 6,13-pentacenequinone and  
18 then treating the pentacene quinone derivative with an excess of an organolithium compound  
19 containing the side group (RLi). In a typical synthesis, after the mixture is refluxed for four hours,

1 it is acidified with an excess of aqueous acetic acid (AcOH) and stirred overnight at room  
2 temperature. The intermediate product, an alcohol, is isolated and reduced with an excess of tin  
3 chloride dihydrate ( $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ ) dissolved in aqueous acetic acid at room temperature in the  
4 presence of nitrogen gas. Dilution with water precipitates the final pentacene derivative product.

5 The general reaction may be illustrated by the following reaction scheme for creating a 6,13 di-  
6 substituted pentacene derivative:



10 wherein R is the desired substituent. This method of attaching an R group to a polycyclic ring is  
11 described generally in the following publication incorporated herein by reference: Maulding et al  
12 "Electronic Absorption and Fluorescence of Phenylethynyl-Substituted Acenes" Journal of Organic  
13 Chemistry, Vol. 34, No. 6, June 1969, pp 1734 - 1736.

14 The organic light emitting device of the present invention can have the same configuration  
15 as any host-dopant-containing electroluminescent device known in the art. A typical organic light  
16 emitting device, such as is described, for example, in U.S. patent No. 5,409,783 and other patents  
17 and publications referenced above, includes an anode separated from a cathode by an  
18 electroluminescent medium. The anode is typically a high work function, hole injecting material  
19 such as, for example indium tin oxide (ITO). The cathode is typically a low work function, electron-  
20 injecting material such as, for example, magnesium-silver alloy (Mg:Ag). The anode and the cathode  
are connected by conductors to an external power source, which can be a continuous direct current

1 or alternating current voltage source or an intermittent current voltage source. Any convenient  
2 conventional power source, including any desired switching circuitry, can be employed which is  
3 capable of positively biasing the anode with respect to the cathode. Either the anode or cathode can  
4 be at ground potential.

5 The electroluminescent device can be viewed as a diode which is forward biased when the  
6 anode is at a higher potential than the cathode. Under these conditions, the anode injects holes  
7 (positive charge carriers), into the luminescent medium while the cathode injects electrons into the  
8 luminescent medium. The portion of the luminescent medium adjacent to the anode thus forms a  
9 hole injecting and transporting zone while the portion of the luminescent medium adjacent to the  
10 cathode forms an electron injecting and transporting zone. The injected holes and electrons each  
11 migrate toward the oppositely charged electrode, which eventually leads to hole-electron  
12 recombination within the organic luminescent medium. In a device that contains a host/dopant  
13 composition, the host receives the hole/electron recombination energy and then by Förster or Dexter  
14 (i.e. radiationless) energy transfer processes, transfers that excitation energy to the dopant compound,  
15 which in turn radiates to produce visible radiation in the desired wavelength. Reverse biasing of the  
16 electrodes interrupts charge injection, reverses the direction of mobile charge migration, and  
17 terminates light emission. The most common mode of operating the organic electroluminescent  
18 device is to employ a forward biasing DC power source and to rely on external current interruption  
19 or modulation to regulate light emission.

20 As used herein, the term "heterostructure" refers to a device having a layered structure  
21 including at least an anode, hole transporting layer, electron transporting layer and a cathode, as

1 described above. In such a device, the host/dopant composition may be part of the hole transporting  
2 layer or the electron transporting layer. The term "heterostructure" also includes any variations on  
3 the basic device, such as a device having a separate emissive layer between the hole transport layer  
4 and the electron transport layer. Examples of devices of the present invention are illustrated in  
5 Figures 1 and 2. Figure 1 depicts a device having a substrate 10 having deposited thereon successive  
6 layers of an anode 20, a hole transporting layer 30, an electron transporting layer 40 and a cathode  
7 50. Figure 2 depicts a device having a substrate 100 having deposited thereon successive layers of  
8 an anode 200, a hole transporting layer 300, and active emitting layer 600 and an electron  
9 transporting layer 400 and a cathode 500. Other configurations are possible, such as devices having  
10 separate layers for red, blue and green emitting material, as described, for example in International  
11 Publication No. WO 98/06242 (Forrest et al).

12 For the fabrication of the organic light emitting devices, pre-cleaned glass substrates  
13 patterned with indium tin oxide (ITO) stripes can be used. The hole transport layer, the emissive  
14 layer (if present as a separate layer) and the electron transport layer can be prepared by consecutive  
15 vapor deposition of each layer. Alternatively, the layers can be prepared from solution by spin  
16 casting or by other means of creating a thin film layer on a substrate. For films prepared by vapor  
17 deposition, the host/dopant composition, whether it be part of the hole transport layer, a separate  
18 emissive layer or the electron transport layer is formed by co-evaporation of the host material and  
19 the pentacene derivative. Typically, the vapor deposition is carried out in a vacuum chamber under  
20 a base pressure of  $2 \times 10^{-7}$  Torr. A Mg:Ag alloy top layer is deposited through a shadow mask  
21 forming metal stripes perpendicular to the indium tin oxide stripes.

1           Photoluminescence and electroluminescence spectra are measured inside a glove box purged  
2 with dry nitrogen. The excitation laser beam for photoluminescence is brought into the glove box  
3 through an optical fiber. The luminescence is collected and brought out through another optical  
4 fiber. Voltage-current-luminance measurements are performed with a high current source and  
5 luminance meter. Device performance is evaluated based on the external quantum efficiency defined  
6 as the ratio of the number of emitted photons to the number of injected carriers.

7           Having described the invention, the following examples are given to illustrate specific  
8 applications of the invention, including the best mode now known to perform the invention. These  
9 specific examples are not intended to limit the scope of the invention described in this application.  
10

#### 11   EXAMPLE

12           Organic light emitting devices (OLEDs) were fabricated in high vacuum ( $10^{-7}$  Torr) by  
13 sequentially depositing thin films of a hole transport layer, an active emissive layer, an electron  
14 transport layer followed by a metal film cathode (reflective) onto an indium tin oxide (transparent  
15 anode) patterned glass substrate. The active emissive layer consisted of a derivative of pentacene  
16 doped into a hole or an electron transport material that serves as the host. In the devices that were  
17 fabricated, 6,13-diphenylpentacene was used as the guest molecule, and N,N'-diphenyl-N,N'-bis(3-  
18 methylphenyl)1,1'-biphenyl-4,4'diamine (TPD) or tris(8-hydroxyquinolato)aluminum (ALQ<sub>3</sub>) was  
19 used as the host.

20           The electroluminescence spectrum of a device where the active layer is 6,13-  
21 diphenylpentacene doped into ALQ<sub>3</sub> exhibits a very narrow emission peak in the visible red region

1 centered at 625 nm. The CIE coordinates of the device are  $x = 0.64$ ,  $y = 0.34$ . These coordinates lie  
2 within the desired range of the color gamut that is used for color television. A device wherein the  
3 active emissive layer consists of a host doped with an optimal concentration of 6,13-  
4 diphenylpentacene shows an electroluminescence quantum efficiency of 2.5% at  $100 \text{ A/m}^2$ . The  
5 efficiency for this unoptimized device structure is comparable to that of the best-published data to  
6 date for red OLEDs that use a porphine-based phosphor as the dopant in an optimized device  
7 structure. (see O'Brien et al "Improved Energy Transfer in Electrophosphorescent Devices", Applied  
8 Physics Letters, Vol. 74, No. 3, January 18, 1999, pp. 442 - 444.)

9 Obviously, many modifications and variations of the present invention are possible in light  
10 of the above teachings. It is therefore to be understood that  
11 the invention may be practiced otherwise than as specifically described.

Docket No.: N.C. 79,914

INVENTOR'S NAME: Lisa Crisafulli Picciolo, Hideyuki Murata, Zakya H. Kafafi

PATENT APPLICATION

#### ABSTRACT

Pentacene derivatives substituted with two or more aromatic, substituted aromatic, heteroaromatic, or substituted heteroaromatic groups are dopants for organic electroluminescent devices. When combined with host materials and incorporated into an organic light emitting devices, the pentacene derivatives are red emitters with narrow spectra.