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# Performance of large-scale irrigation projects in Sub-Saharan Africa

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# 10 Abstract

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After a thirty-year hiatus, large-scale irrigation projects have returned to the development 11 agenda in sub-Saharan Africa (SSA). Yet, the magnitude and drivers of past schemes per-12 formance remains poorly understood. We quantify the performance of 79 irrigation schemes 13 from across SSA, measured as the proportion of proposed irrigated area delivered, by compar-14 ing planning documents with estimates of current scheme size from satellite-derived land cover 15 maps. We find overwhelming evidence that investments have failed to deliver promised benefits; 16 with schemes supporting a median 16% of proposed area, only 20 (25%) delivering >80%, and 17 16 (20%) completely inactive. Performance has not improved over six decades, and we find 18 limited relationships with commonly stated causes of failure such as scheme size and climate. 19

We attribute these findings to political and management frameworks underpinning irrigation development in SSA. Firstly, an emphasis on national food security promotes low value crops, reducing economic viability. Secondly, proposals are unrealistically large, driven by optimism bias and political incentives. Finally, centralised bureaucracies lack the technical expertise, local knowledge, and financial resources to ensure long-term maintenance. Our findings highlight the need for greater learning from past investments outcomes if improvements in agricultural productivity and water security across SSA are to be realised.

# 27 **1** Introduction

Water scarcity is a major driver of crop yield gaps in smallholder farming systems across Asia and Africa outside of the tropics [30, 42]. Consequently, the development and expansion of irrigation infrastructure has long been emphasised as a solution to intensify agricultural production, support rural economic development, and enhance resilience to climate variability and change [11, 16].

In sub-Saharan Africa (SSA), state-supported irrigation development has historically occurred through construction of dams and associated surface water canal irrigation infrastructure [5]. These projects — ranging in size from 400 to over 100,000 ha — were initiated first by colonial administrations in the early 20<sup>th</sup> century [11, 16, 21], with development accelerating in the 1960s due to support from multilateral donors such as the World Bank and African Development Bank [12]. Investments have been considerable, irrigation projects in SSA are estimated to cost up to \$20,000 per hectare [14].

Despite such considerable investments, the benefits of irrigation scheme remain highly dis-40 puted. Site-specific case studies suggest many developments have failed to achieve intended 41 goals of improving agricultural productivity and rural livelihoods [57, 48, 5], with evidence of 42 significant and increasing yield gaps due to scheme deterioration post-construction [13, 14]. Di-43 verse explanations have been put forward to explain these failures [7, 41], including changes 44 in local hydro-climatology post-construction [14, 5], inadequate scheme maintenance [49], and 45 constraints on the productivity of irrigated crops imposed by land tenure and other factors 46 [48, 14, 20]. However, to date, no study has attempted to quantify scheme performance or 47 causes of failure at regional scales beyond these individual site-specific case studies. 48

Following a near 30-year hiatus in public irrigation development in SSA [58, 54], countries 49 across the region are now entering a renewed era of investment in large-scale irrigation in-50 frastructure. The Dakar Declaration, signed in 2013 by six Sahelian nations and multilateral 51 donors, committed to developing 600,000 ha of irrigated land by 2020, at a cost of \$7 billion [32]. 52 Similarly, since 2004 the US Millennium Challenge Corporation has invested nearly \$700 mil-53 lion for agricultural development, including \$257,199,000 for large-scale developments in Niger, 54 and \$247,700,000 for the Alatona Irrigation Scheme expansion in Mali [40]. In the context of 55 these developments, improved empirical evidence about the performance of past irrigation in-56 vestments would provide valuable guidance to support planning of future large-scale irrigation 57 infrastructure in SSA. 58

Here, we provide a comprehensive data-driven, regional-scale assessment of irrigation scheme 59 performance for sub-Saharan Africa. Our results show significant and persistent underperfor-60 mance of irrigation investments across SSA, persisting over a period of over six decades. We 61 discuss potential underlying drivers of the observed tendency to over-promise and under-deliver 62 in scheme planning. Finally we demonstrate significant gaps in data required to adequately 63 quantify causal determinants of infrastructure project outcomes that, if not addressed, will in-64 hibit capacity to sustainably and cost-effectively intensify irrigation water use across the region 65 to support improvements in food security, reduce poverty, and stimulate economic growth and 66 development. 67

## 68 2 Results

Information about proposed irrigated areas were identified for 79 schemes across 24 nations 69 (Figure 1.a) in SSA, predominantly from World Bank and African Development Bank document 70 archives (full list in source data). Summary statistics of proposals and outcomes for African 71 Union regions are given in Table 1. There was pronounced national and regional variation in the 72 number of schemes identified; Nigeria and West Africa were the most represented nation and 73 region, with 14 and 44 sites, respectively (Figure 1.b). This disparity was expected, as West 74 Africa has been targeted for more state-backed irrigation development relative to southern and 75 eastern regions where small-scale private estates dominate [5]. For each scheme, we generated 76 a satellite-derived irrigation map quantifying the area of irrigation currently supported by the 77

<sup>78</sup> project as described in Section 4.

Irrigation scheme performance is influenced by complex interactions between economic, envi-79 ronmental, climatic, and management factors. To evaluate the contribution of different drivers, 80 we assessed the relationship between irrigation scheme outcome and eight variables suggested 81 by previous research (see Section 4) [45, 5, 18]. To allow for potential non-linearity in these 82 relationships, we used generalized additive models (GAMs), which are capable of describing 83 non-linear and non-monotonic terms (Section 4). Each variable was fit in a separate model, 84 incorporating country as a random effect. We fit two groups of models, representing scheme 85 outcomes in different ways; Model 1 uses a quasibinomial functional form to identify drivers of 86 different levels of scheme performance on a continuous scale, ranging from 0% (full failure) to 87 100% (full delivery). In contrast, Model 2 represents irrigation delivery as a binary variable, 88 providing a mechanism to identify determinants of failed (i.e. zero delivery) vs operational (i.e. 89 non-zero delivery) schemes. Scatter plots of the selected variables are shown in Figure 3, and 90 modelled smoothed curves for the GAMs are given in the SI. Statistical summaries for all model 91 terms are given in Table 2. Further details of underlying model differences and choices are given 92 in Section 4. 93

Our analysis revealed three main results. Firstly, irrigation schemes have consistently failed 94 to deliver their proposed irrigated agricultural land areas (Figure 2). Only 20 projects achieved 95 80% or more of their proposed area, with median (mean, mean excluding zeros) rates of delivery 96 of 18% (41%, 52%) across our sample of 79 projects in SSA (Figure 2). Second, our data show 97 that there is no evidence that rates of scheme performance having improved over time, despite 98 our analysis considering schemes constructed over a more than six decade period between 1948 99 and 2008. Finally, across both sets of statistical models, we find only limited and relatively weak 100 statistical relationships between irrigation scheme performance and commonly reported causes 101 of project failures such as scheme size and climate variability. The one exception to this is 102 government effectiveness, for which low values (indicative of poor government effectiveness) are 103 statistically significantly associated (P = 0.03) with higher likelihood of scheme failure in the 104 binomial form GAM. We discuss the underlying drivers of these findings and their implications 105 for irrigation development planning and policy in SSA in the following section. 106



Figure 1: a) Geographic distribution of the 79 irrigation schemes represented in our analysis, with number of sites per country. Light grey nations were not included in the study.b) West Africa zoom-in map



Figure 2: Histogram of the percentage of irrigation delivered, colour correspond to African Union regions. Vertical lines are group median values, the black line is median for all samples



Figure 3: Relationships between delivered irrigated percentage and potential explanatory variables. Delivery percentage were capped at 100%, orange triangles are completely inactive schemes

Region	n	Proposal Mean (ha)	Median (ha)	Delivered Mean (%)	Median $(\%)$
Central	6	10,933	$5,\!050$	3	0
East	24	$37,\!543$	$9,\!650$	73	78
South	5	27,202	15,000	45	44
West	44	$40,\!337$	$3,\!210$	29	10

Table 1: Number and summary statistics of the scheme proposals identified, for African Union geographic regions. Mauritania was reclassified from North to West, as no other North African nation was included in our study.

	Model 1: Quasibinomial				Model 2: Binomial			
	$\operatorname{edf}$	Ref df	F-value	Р	$\operatorname{edf}$	${\rm Ref}\;{\rm df}$	F-value	Р
Log Proposal size	2.49	3.12	2.44	0.08	2.33	2.88	2.29	0.55
Year	1.00	1.00	0.01	0.92	2.32	2.95	1.37	0.70
GEI	1.00	1.00	3.25	0.08	1.45	1.69	9.21	$0.03^{*}$
Log Population	4.13	4.92	2.14	0.08	2.40	2.90	5.90	0.11
Travel	1.00	1.00	0.00	0.99	2.74	3.34	4.82	0.23
Seasonality index	3.85	4.69	1.68	0.16	3.38	4.05	4.09	0.40
Water Balance (mean)	1.00	1.00	0.87	0.36	2.85	3.48	4.19	0.32
Water Balance (CV)	2.57	3.02	1.27	0.29	1.00	1.00	1.79	0.18

Table 2: Statistical summaries of GAM models used to assess drivers of irrigation scheme performance. Each model included a random effect for nation (not shown), full model outputs are given in the SI.

# 107 **3 Discussion**

Our data provide robust evidence that formal irrigation developments in SSA are routinely 108 smaller in size than proposed and also have a non-trivial likelihood of completely stopping op-109 erations. We find no evidence of improvement in project performance over a six decade study 110 period, and, critically, highlight that the empirical causes of these failures remain unclear at 111 regional scale. Conceptually, we argue the persistent underperformance of irrigation schemes in 112 SSA reflects fundamental issues throughout both planning and implementation, which mirror 113 challenges faced within wider infrastructure development and governance. In the following sec-114 tions, we discuss key insights and explanations regarding the systematic failure of past irrigation 115 developments in SSA, contextualising our results within an infrastructure development and gov-116 ernance framework to discuss knowledge gaps and solutions for improving irrigation planning in 117 the region. 118

#### <sup>119</sup> Over-optimistic planning is not solely due to poor data

Irrigation schemes are textbook examples of infrastructure mega-projects: large-scale, complex, and contextually expensive undertakings. Mega-projects are often characterised by poor projections, with over-promising of benefits and under-estimation of costs in the planning process [27]. Indeed, the magnitude of scheme underperformance identified here is consistent with findings in other sectors, including: hydropower dams, transport schemes, military contracts, and development projects [9, 33, 24, 27]. Flyvbjerg *et al* [26] propose that inaccurate projections originate from three sources: i) technical, due to unforeseen circumstances or poor data; ii) psychological, when planners are unrealistically optimistic; and iii) political-economic, where costs and returns are deliberately adjusted to achieve project approval. We discuss each of these sources within the context of irrigation scheme performance outcomes in SSA as identified in our analysis.

All agricultural planning in SSA is constrained by limited data and understanding, on climatic, 130 agronomic and pedological factors. These limitations point to a possible technical underpinning 131 for overoptimistic expectations about irrigation scheme delivery, compounded by idiosyncratic 132 challenges afflicting schemes. However, an ingrained focus on agriculture and irrigation as a 133 purely technical endeavours is exemplary of two development frameworks that plant seeds for 134 future problems. First, governments act according to their perception of the world, based on 135 official data and ideological underpinnings [47, 36]. Smallholder farmers are poorly represented 136 in agricultural statistics and often viewed as unproductive by officials, their local knowledge and 137 adaptations will therefore often be ignored [23]. Secondly, agriculture is a socio-economic sector, 138 embedded in local social structures, focusing on technical solutions and excluding socio-economic 139 (rendering technical) will disrupt these structures, incurring negative impacts on agricultural 140 productivity and resilience [37] 141

There are clear challenges for irrigation development caused by the absence of data in SSA. 142 Yet our data show a non-random pattern, with a clear bias towards over-prediction and only 20 143 project achieving 80% of their target area. This skew combined with an absence of any trend 144 in improvement over time suggests technical causes alone can not explain planner's optimism. 145 Indeed, World Bank reports show a patterns of issues reoccurring over time [56, 55], with 146 little evidence here that planners are learning from experience or improving decision-making 147 in response to improvements in data availability. If improved data, previous experiences, and 148 institutional review processes do not improve outcomes, it is unlikely that the core problem 149 is of technical expertise. Accordingly, psychological and political factors may be relevant to 150 explaining the observed over prediction. 151

#### <sup>152</sup> Full attainment of proposals was unlikely for many schemes

<sup>153</sup> Positioning over optimistic planning as a response to political pressures is consistent with the
<sup>154</sup> history of how irrigation schemes have been envisaged and proposed in SSA. In post-colonial
<sup>155</sup> Africa, nationalist idealism combined with the World Bank's poverty-reduction mandate pro-

duced a boom for irrigation development [41], with water-based infrastructure often a symbolic investment representing modernity and technological progress [47]. While data to support planning has improved somewhat over recent decades as noted in the previous section, many of the original motivations for and underpinnings of scheme development remain the same - a factor we suggest below may go some way to explaining the lack of improvement in scheme performance over time.

Irrigation development in SSA, in particular the construction of large government-managed 162 schemes, is intended to secure national food security [14, 4]. This focus is reflected in the 163 prioritisation of staple grain and rice production in many schemes, crops which are low value 164 and typically require large - often unachievable - harvests to generate significant economic 165 returns on production [14]. Critically, national food security goals are somewhat misaligned 166 with the common assumption, in particular from donors and finances, of irrigation projects as 167 cost-effective and economically self-sustaining. We argue that this disconnect between goals 168 of government and project finances creates significant incentives for planners to over-promise 169 and under-deliver on long-term scheme outcomes, as if true costs and benefits of projects were 170 accounted for at the planning stage then many would not be viewed as economically viable or 171 sustainable over the project lifespan. This paradox is not unique to irrigation schemes, and 172 occurs on many mega-projects [26]. 173

A consequence of planners over-promising and under-delivering is that the maintenance and 174 upkeep of infrastructure suffers, in particular once core project funding ends shortly after con-175 struction [17]. Resulting infrastructure failures have cascading effects on scheme functioning, 176 consistent with wider examples on the effects of failure in other complex fragile systems [10, 12]. 177 The result is a 'build-neglect-rebuild' cycle, with schemes allowed to deteriorate on the assump-178 tion of future funding for rehabilitation. Furthermore, cost overruns can prevent the implemen-179 tation of supplementary agricultural facilities which are the final components, further reducing 180 scheme viability. For example, failure to construct planned tomato processing plants contributed 181 to the economic failure of the Bakolori scheme in Nigeria (currently supporting 37% of a planned 182 30,000 ha), while breakdown of adjacent rice mills contributed to the eventual complete failure 183 of the Sategui-Deressia scheme in Chad [2, 55]. 184

Arguably, many challenges to scheme sustainability were predictable from the outset, but were ignored or inadequately factored in to planning and design. While Hirschman [8] posited

that ignorance in planning can be beneficial – allowing the initiation of projects that would 187 be rejected, with a 'hidden hand' fostering creative problem solving – there is little evidence 188 of such outcomes occurring in irrigation scheme developments. Farmers do adapt to failing 189 irrigation schemes, notably using diesel groundwater pumps or independently building informal 190 irrigation developments using scheme infrastructure [2, 4, 22]. However, such initiatives rarely 191 compensate for the large costs of scheme development. We attribute the lack of clear evidence 192 for a 'hidden hand' phenomena in our sample to two factors. First, irrigation schemes reduce 193 agricultural adaptability by appropriating water and land, and by regulating the sale or renting 194 of plots [14, 15]. Secondly, social aspects of development are often the most intractable, with 195 technically minded planners less focussed on issues such as gender dynamics or resettlement 196 programs associated with projects [20, 37]. 197

#### <sup>198</sup> No clear regional-scale drivers of scheme performance

Our findings illustrate the scale and persistence of underperformance by irrigation schemes in SSA. While we have postulated some likely underpinnings of these outcomes, an important finding from our analysis is the limited relationship between scheme performance and factors commonly attributed as causal agents.

<sup>203</sup> Blanc and Strobl [18] found that large dams were negatively associated with cropland produc-<sup>204</sup> tivity in South African river basins, but this effect reversed when smaller dams were also present, <sup>205</sup> suggesting that smaller irrigation infrastructure developments may have more positive impacts <sup>206</sup> on agricultural production outcomes than larger schemes. This is comparable with wider lit-<sup>207</sup> erature assessing relationships between infrastructure scale and performance [46]. However, we <sup>208</sup> found marginally insignificant relationships (P = 0.08) between project proposal size and either <sup>209</sup> delivery rate or likelihood of scheme failure.

Similarly, climate variability has been proposed as a cause for numerous scheme failures, yet we find no relationship at regional scale between any metric of climate conditions or variability. A potential explanation is that climate is simply a contributing factor to the failure of schemes that were already deteriorating and poorly planned from the outset [4]. For example, the Nigerian South Chad Irrigation Project achieved 3% of the planned area, before failing completely as water availability declined. Drought undeniably foreclosed the possibility of irrigation, but the scheme had experienced continual management and maintenance problems since it's delayed opening, partly due to lower oil prices reducing Nigeria's income [4, 15]. Furthermore, the decline in water availability should not have surprised planners, colonial authorities had documented both multi-year droughts in the early 20<sup>th</sup> century and variations in the area of Lake Chad by up-to 50% [34]. Indeed, the World Bank acknowledged that many schemes in the region may not have succeeded independent of changing hydrological conditions post-construction [56], suggesting that, consistent with our findings, climate alone is insufficient to explain instances of scheme failure.

The only significant factor identified by our analysis was government effectiveness, with lower 224 values a significant predictor of scheme failure (P = 0.03)). Governance is a one-dimensional, 225 simplistic measure that may have varying localised manifestations. However, GEI index corre-226 lates with general development measures, such as engineering and economic capacity; prerequi-227 sites for the operation and maintenance of profitable irrigation schemes [45]. The state has an 228 unavoidable role in irrigation development, by possessing ultimate control over land and water 229 [16]. Following construction, the state may directly undertake operations or extract income 230 from rents. In either role, state capacity to provide support is crucial to scheme success, and the 231 intrusion of inefficient national bureaucracies can produce negative outcomes that compound 232 challenges posed by over-optimistic scheme planning and design [3]. Indeed, in states with very 233 low governance scores (e.g. Somalia and Chad), almost all schemes in our sample were non-234 functional. In contrast, it is notable that the two largest schemes in our sample – the Office 235 du Niger in Mali and Gezira in Sudan – transitioned away from declining yields by undertaking 236 liberalising reforms, focused on less state control and more autonomous operation by farmers 237 [11, 16]. For both nations, these reforms occurred during periods of relative good governance: 238 mid-2000s in Sudan and following the 1991 establishment of democracy in Mali. Overall, the 239 importance of governance is consistent with wider evidence on success of development initiatives. 240 Post-conflict settings increase the likelihood that World Bank infrastructure projects fail [24], 241 yet irrigation projects continue to be proposed as development catalysts in extremely fragile 242 states such as Afghanistan, Somalia, and The Democratic Republic of Congo [6, 29, 39] 243

#### <sup>244</sup> What is needed to improve future irrigation developments?

Our findings show irrigation schemes are consistently smaller in size than planned and have non-trivial rates of stopping operations after construction, with no noted improvements over

60 years of development. Overall our findings are consistent with evidence on outcomes from 247 wider infrastructure mega-projects, which are often associated with large cost overruns and poor 248 delivery compared to initial plans [25, 26]. Yet irrigation schemes and dams are more than their 249 component structures, and the consequences of failure are more severe than expense for the 250 state. Constructing irrigation schemes and dams transforms river basins, irreversibly altering 251 the natural environment [5]. When schemes are smaller than planned either less farmers will 252 receive land or the plots will be smaller than promised [14]. Both of these outcomes have far 253 reaching negative implications for poverty alleviation and food security, in particular where new 254 infrastructure disrupts pre-existing livelihood systems [2, 20]. 255

Water infrastructure development, including large dams, is accelerating across SSA, with 256 irrigation often stated as a justification. Many proposed projects continue to promise huge irri-257 gation potential; planners of the Pwalugu dam in Ghana claim it will deliver 20,000 ha, whilst 258 the Kandadji dam in Nigeria promises 122,000 ha. The cost of formal large-scale irrigation 259 is considerable, regularly in excess of \$20,000 per ha. When considering more realistic esti-260 mates of likely performance, we argue that the true economic viability of both past and future 261 projects is significantly lower than estimated. The need for irrigation infrastructure neverthe-262 less remains, and will likely increase in the coming decades with intensifying and more frequent 263 hydro-climatic extremes due to climate change. Reforming scheme design and management – 264 for example through alternative cropping mixes, or greater involvement of farmers – could help 265 improve the cost-effectiveness and sustainability of such developments. Alongside this, planners 266 could consider alternative mechanisms to improve water security of farmers in SSA, including less 267 formalised or technocratic alternatives, such as farmer-led irrigation, that may provide comple-268 mentary low-cost solutions for improving food production, alleviating poverty, and stimulating 269 rural entrepreneurship and innovation [19, 54]. 270

Central to better policies will be improved data and understanding on the performance of past irrigation investments. Our study has highlighted the challenges of attributing causal effects to scheme performance outcomes. Our dataset represents a unique collection of planned outcomes for irrigation schemes in SSA, supported by Earth observation analysis that to date has been underutilised in development project impact monitoring. Yet, our sample remains biased towards assessment of irrigated area – neglecting factors such as yields or cropping intensity – and to projects with accessible documentation (e.g., World Bank funded schemes). In addition,

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there is still an absence of information about contextual variables, such as cropping patterns, 278 scheme governance, and rehabilitation programs, for schemes in SSA, which precludes more 279 complex quantitative analysis of climatic, economic and socio-political factors governing scheme 280 performance. Gaps in evidence remain despite decades of critical research on irrigation in 281 SSA, highlighting the need for planners and donors to engage in more systematic, transparent 282 and publicly documented appraisal and monitoring of irrigation development programs. This 283 would help promote intensification and expansion of irrigation development that is cost-effective, 284 sustainable and equitable in its outcomes. 285

## 286 4 Data and Methods

The following sections describe the datasets and methods used to quantify the proportion of proposed irrigation successfully delivered for the 79 schemes across SSA shown in Figure 1.

#### 289 Proposed Irrigation Areas

We reviewed published studies and official documents to identify records for proposed irrigated 290 areas for schemes across SSA. To be included in our dataset, documentation had to: (i) clearly 291 state a proposed or planned irrigated area: not a potential or maximum viable area, and (ii) 292 originate form a reputable source: such as a government department, peer-reviewed publication, 293 or a development funding agency. Sources were obtained from as close to the project construction 294 date to increase reliability of proposal estimates. We used records for schemes constructed 295 between 1945 and 2008; as this period has available documentation, and covers the main period 296 of large-scale irrigation development in SSA, while excluding very recent schemes that may not 297 yet be fully operational. Where schemes were designed to facilitate multiple annual harvests, 298 proposals were checked to ensure the proposed area reflected the annual irrigated scheme area, 299 thus providing consistency with satellite-based estimates of delivered irrigated areas described 300 below. 301

#### **302 Delivered Irrigation Areas**

To quantify how much irrigation is currently delivered by a scheme, for each site we created a map of irrigation frequency for 2014 to 2018. First, we defined the boundary of each irrigation scheme

in our sample. There is no standardised, spatially-explicit data on dam irrigation command 305 area for our study region. Therefore, the command areas for the selected dams were manually 306 digitised. When the proposed irrigation was concentrated in a designated scheme, site maps and 307 aerial imagery were used to delineate boundaries. Where no individual scheme was planned, 308 a proximate boundary was drawn based on proximity to the river or canal network and visual 309 inspection of the Landsat metric composites. Subsequently, we developed binary irrigated - non 310 irrigated land cover maps for each scheme, using a range of Landsat 8 spectral temporal metrics 311 (including both standard deviation and a range of percentiles for each) that have been widely 312 used for land cover mapping [31, 43]. Metrics were then classified in to a binary land cover 313 map using a Random Forest classifier [44], with training data drawn based on contemporaneous 314 high-resolution imagery in Google Earth and the Landsat metrics. The area of active irrigation 315 was then calculated based on the sum of pixels classified as irrigated in at least 3 out of 5 316 years from 2014-2018 — chosen to allow for land to undergo fallow rotations without being 317 discounted from our irrigation statistics — that intersect with the command area boundary for 318 each irrigation scheme. The irrigation maps were validated using a stratified samples of 500 319 points, distributed across all the sites, this returned a overall accuracy of 88%. Our analysis did 320 not distinguish between multiple annual croppings or the season in which irrigation was applied, 321 and all processing was undertaken in the Google Earth Engine cloud environment [28]. 322

#### 323 Explanatory Variables

Many factors have been proposed as drivers of failure (or success) in irrigation developments [45, 57]. To identify which variables contribute to the irrigation scheme performance, we collated a series of 8 potential predictors. These factors cover national and site-specific drivers of scheme performance, in addition to a range of explanatory hydro-climatic metrics. Hydro-climatic factors (6-8 below) were calculated using data from the TerraClimate database [1] for the period 1958-2015, which was selected due to its long historic coverage and high spatial resolution (4 km<sup>2</sup>). Below, we summarise in brief each of the 8 selected variables for the sites studied

Construction year the year when construction on the scheme was finished, based on the
 source documentation

2. Travel time to the nearest city in hours for each site, according to analysis by the Malaria

Atlas Project, using road networks from Open Street Map and Google Streets incorporating additional travel friction layers. Data was produced for 2015 and provided at a 1 km<sup>2</sup> grid cell resolution, for full details see [51]

337 3. Proposal size the area in hectares of the initial proposal, as per the source documentation
4. Population within a 20 km<sup>2</sup> radius of each scheme, based on 1 km<sup>2</sup> population maps from

339

[38]

5. Government effectiveness for each nation, based on the World Bank's composite measure. This measure reports annually and combines data on six dimensions of government capacity (voice and accountability, political stability and absence of violence, government effectiveness, regulatory quality, rule of law, control of corruption; [35]). To obtain a robust long-term variable, we calculated the median value of the index for each country, for the 1996 - 2017 time period covered by the data.

- 6. Mean annual water balance for each site, representing total annual precipitation minus
   total potential evapotranspiration calculated using TerraClimate
- Water balance variability for each site, representing the co-efficient of variation of the
   annual water balance calculated using TerraClimate
- 8. Rainfall seasonality index for each site, summarising the degree of month to month variability in rainfall based on the simple index defined by [50]

#### 352 Statistical Analysis

We analysed the magnitude and causes of discrepancy between proposed and delivered irrigation capacity through a series of Generalised Additive Models (GAMs). GAMs are non-parametric models, where the predictor variables can be represented by smoothed non-linear functions [53]. These smooth terms are constructed without prior knowledge of their functional form and are based on splines developed using Restricted maximum likelihood [52].

We developed two alternative sets of models using different distribution families to account for the nature of our response variable (delivered irrigation). These models were: 1) a quasibinomial distribution, with the data rescaled to the 0 -1 range (representing 0-100%) with values greater than 1 capped, this structure prevents the parameter fits exceeding 100% or dropping below 0% and captures scheme performance over a continuous range. And, 2) a binomial model with a logit link, for this model we developed a 'failed scheme' binary variable, modelling schemes supporting 0% of their irrigation target using a logistic function. In all models, the dependent variable was regressed against the each individual variable, with nation added as a random effect to minimise pseudo-replication.

# 367 Data availability

Data and code to produce the figures and statistical analysis is available attached source data.
For further queries contact Tom Higginbottom.

## **Authorship statement**

T.H. and T.F. designed the research. T.H collated the data and performed all computations and analyses. T.H and T.F. wrote the manuscript with input from all authors

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# 376 Ethics declarations-Competing interests

<sup>377</sup> The authors declare no competing interests.

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# **Supplementary Figures**



Figure 4: Relationships between delivered irrigated percentage and potential explanatory variables. Delivery percentage were capped at 100%, solid lines are derived from quasibinomial GAMs (multiplied by 100), with shading showing 95% confidence intervals. Vertical dashed lines show the median value of the variable.



Figure 5: Binomial models between delivered irrigation scheme status (failed/operational) and potential explanatory variables, solid lines derived from binomial GAMs with shading showing 95% confidence intervals. Rug plot lines show the distribution of scheme status (failure/operational). Vertical dashed lines show the median value of the variable.