



Published in final edited form as:

*J Acquir Immune Defic Syndr.* 2012 May 1; 60(1): 99–110. doi:10.1097/QAI.0b013e31824aeaaa.

## Permissive and Protective Factors Associated With Presence, Level and Longitudinal Pattern of Cervicovaginal HIV Shedding

JD Homans<sup>1</sup>, S Christensen<sup>2</sup>, CH Wang<sup>1</sup>, WJ Mack<sup>2</sup>, T Stiller<sup>2</sup>, K Anastos<sup>3</sup>, H Minkoff<sup>4</sup>, M Young<sup>6</sup>, RM Greenblatt<sup>5</sup>, M Cohen<sup>7</sup>, HD Strickler<sup>3</sup>, R Karim<sup>1</sup>, LY Spencer<sup>1</sup>, E Operskalski<sup>1</sup>, T Frederick<sup>1</sup>, and A Kovacs<sup>1</sup>

<sup>1</sup>Maternal, Child and Adolescent Center for Infectious Diseases and Virology, Department of Pediatrics, Division of Infectious Disease, University of Southern California Keck School of Medicine

<sup>2</sup>Department of Preventive Medicine, University of Southern California Keck School of Medicine

<sup>3</sup>Departments of Medicine and Epidemiology and Population Health, Albert Einstein College of Medicine and Montefiore Medical Center, Bronx NY

<sup>4</sup>Maimonides Medical Center and SUNY Downstate, Brooklyn, NY, USA

<sup>5</sup>University of California, San Francisco Schools of Pharmacy and Medicine, San Francisco, CA

<sup>6</sup>Georgetown School of Medicine, Washington DC, USA

<sup>7</sup>Departments of Medicine, Rush University and Cook County Bureau of Health

### Abstract

**Background**—Cervicovaginal HIV level (CV-VL) influences HIV transmission. Plasma viral load (PVL) correlates with CV-VL but discordance is frequent. We evaluated how PVL, behavioral, immunologic and local factors/conditions individually and collectively correlate with CV-VL.

**Methods**—CV-VL was measured in cervicovaginal lavage fluid (CVL) over 976 person-visits for 481 HIV-infected women in a longitudinal cohort study. We correlated identified factors with CV-VL at individual person-visits and detectable/undetectable PVL strata by univariate and multivariate linear regression, and with shedding pattern (never, intermittent, persistent 3 shedding-visits) in 136 women with 3 visits by ordinal logistic regression.

**Results**—450/959 (46.9%) of person-visits with available PVL were discordant. 435/959 (45.3%) had detectable PVL with undetectable CV-VL and 15/959 (1.6%) undetectable PVL with detectable CV-VL. Lower CV-VL correlated with HAART usage ( $P=0.01$ ). Higher CV-VL correlated with higher PVL ( $P<0.001$ ), inflammation-associated cellular changes ( $P=0.03$ ), cervical ectopy ( $P=0.009$ ), exudate ( $P=0.005$ ), and trichomoniasis ( $P=0.03$ ). In multivariate analysis of the PVL-detectable stratum, increased CV-VL correlated with the same factors and friability ( $P=0.05$ ), while with undetectable PVL, decreased CV-VL correlated with HAART use ( $P=0.04$ ). In longitudinal analysis, never (40.4%) and intermittent (44.9%) shedding were most frequent. Higher-frequency shedders were more likely to have higher initial PVL (OR=2.47/ $\log_{10}$  increase), HSV-2 seropositivity (OR=3.21) and alcohol use (OR=2.20).

---

The contents of this publication are solely the responsibility of the authors and do not necessarily represent the official views of the National Institutes of Health.

#### Author Disclosure Statement

No competing financial interests exist.

**Conclusions**—While PVL correlates strongly with CV-VL, discordance is frequent. When PVL is detectable, cervicovaginal inflammatory conditions correlate with increased shedding. However, genital shedding is sporadic and not reliably predicted by associated factors. HAART, by reducing PVL, is the most reliable means of reducing cervicovaginal shedding.

## Introduction

Worldwide, 33.4 million people are infected with human immunodeficiency virus type 1 (HIV) with an estimated 2.7 million new infections yearly.<sup>1</sup> Most infections are acquired sexually or perinatally. Higher plasma viral load (PVL) correlates with increased likelihood of sexual and perinatal transmission, while antiretroviral treatment (ART), in particular highly active antiretroviral therapy (HAART), correlates with reduced transmission.<sup>2-5</sup> HIV presence in the female genital tract is important in perinatal<sup>4</sup> and sexual transmission.<sup>6</sup> Increased cervicovaginal HIV level (CV-VL) likely increases risk of trans mucosal HIV passage during penile-vaginal intercourse and vaginal delivery.

The quantity of HIV in the female genital tract correlates with various factors, including PVL,<sup>7</sup> immune status,<sup>8</sup> cervicovaginal inflammation,<sup>9</sup> and ART.<sup>10;11</sup> HIV characteristics, including tropism and resistance pattern, can differ markedly in different compartments, including the female genital tract,<sup>12;13</sup> male genital tract,<sup>14</sup> and central nervous system.<sup>15;16</sup> HIV can be detected in cell-free and cell-associated components of cervicovaginal fluid.<sup>17</sup> Local conditions in the female genital tract including inflammation,<sup>18</sup> bacterial vaginosis,<sup>19</sup> cervical ectopy,<sup>20</sup> genital ulceration,<sup>18</sup> candidiasis,<sup>21</sup> HSV,<sup>22</sup> trichomoniasis,<sup>20</sup> and other infections influence cervicovaginal HIV shedding. Menstrual cycle phase<sup>23;24</sup> and hormonal contraception<sup>25</sup> may affect shedding. ART, in particular HAART, is associated with decreased cervicovaginal HIV shedding.<sup>11;26</sup>

Discordance between HIV presence in the bloodstream and cervicovaginal shedding is frequent. HIV can be present in the genital tract despite undetectable PVL, suggesting local replication.<sup>27;28</sup> Factors that may cause increased cervicovaginal shedding due to local replication include poor penetration of antiretrovirals into genital secretions,<sup>29</sup> local resistance,<sup>13</sup> and local inflammation.<sup>28</sup> Conversely, HIV is sometimes absent from genital secretions despite high PVL, suggesting that additional factors may be necessary to permit shedding.

While previous studies have shown association between numerous conditions and cervicovaginal HIV shedding, the mechanisms for presence and level of HIV in the cervicovaginal compartment are not well understood.<sup>28</sup> Several studies have evaluated the variation in cervicovaginal HIV shedding over various time-periods, particularly the possible influence of the menstrual cycle.<sup>23;24;30;31</sup> However, the frequencies and determinants of different temporal patterns of cervicovaginal HIV shedding have not been fully characterized. The purpose of this study is to evaluate how behavioral, therapeutic, clinical, immunologic and local factors correlate, individually and collectively, with cervicovaginal HIV shedding, at single time-points and as a longitudinal pattern.

## Methods

### Study Population

This sub-study was nested within the Women's Interagency HIV Study (WIHS), an ongoing multicenter, prospective study of the natural and treated history of HIV infection in women.<sup>32</sup> WIHS participants provide informed consent for their participation in keeping with local, institutional and national guidelines. All women who had quantitation of HIV-1

in cervicovaginal lavage fluid (CVL) at least once as part of several WIHS substudies between 11/9/1994 and 9/12/2001 were included in this study.<sup>7;33</sup>

### Clinical Data

WIHS methods have been described.<sup>32</sup> At baseline and each semiannual visit, subject history, including health information, medications including antiretrovirals, sexual history, substance use and other behavioral data were obtained using a standardized interview. Physical and gynecological examinations were performed. Blood and gynecological specimens were collected for local testing and repository storage. Genital tract assessment included visual inspection, speculum, and sometimes bimanual and rectal examination. Cervical lesions (ulcers, vesicles, fissures, or warts), ectopy ("beefy" redness extending from os onto cervix), friability (erythematous tissue that bleeds easily), exudate (discharge of any type) were ascertained visually. CVL was collected by spraying 10 mL of sterile, non-bacteriostatic saline against the cervical os and endocervix and aspirating from the posterior vaginal fornix. Unfractionated CVL was stored at  $-70^{\circ}\text{C}$ .

### Laboratory Data

Blood analyses included complete blood count, lymphocyte subsets, and plasma HIV RNA (PVL). We determined lymphocyte subsets with standard flow cytometric techniques at local laboratories. Baseline serology for HSV-1, HSV-2 and syphilis screening were performed with Western Blot and rapid plasma reagin (RPR) test respectively in a central laboratory.<sup>32</sup> Baseline HCV antibody testing was performed by Abbott enzyme immunoassays (version 2.0 or 3.0). HCV RNA levels were measured in a single laboratory (University of Southern California) by polymerase chain reaction (Roche Diagnostics).<sup>34</sup> Baseline hepatitis B profile was also performed in local laboratories.

We collected whole blood for PVL determination in sodium citrate cell preparation tubes. Plasma HIV quantitation was completed in four central laboratories. Initially, PVL was measured with a nucleic acid sequence-based amplification technique (Organon Teknika Corp, Durham, NC), with lower threshold of detection of 4000 copies/mL. Similar methods with greater sensitivity were used as they became available. WIHS currently uses the NucliSens (Organon Teknika Corp) assay for quantification of HIV RNA in plasma with a lower limit of detection (LLD) of 80 copies/mL with 1 mL of sample input. In general, at the beginning of the study period (visits 1–7), PVL had an LLD of 4000 copies/mL, while for visits 7–9 it improved to 400 copies/mL, and from visit 10 onward to 80 copies/mL. HIV quantitation in CVL (CV-VL) used the NucliSens assay (LLD of 80 copies/mL). However, these changes occurred in the laboratories at different times.

Cervicovaginal specimens included vaginal swabs for pH, potassium hydroxide preparation, candida culture, saline preparation for microscopy, Gram stain, swabs for HSV culture if cervical/vaginal ulcer, fissure, or vesicle present, syphilis if ulcer/fissure/vesicle(s) present, endocervical swabs for gonorrhea/chlamydia nucleic acid detection tests and trichomonas culture, and a Papanicolaou (Pap) smear. CVL was tested for microscopic blood and semen. Pap smears were read in a central laboratory (Kyto Diagnostics New York, N.Y.). Squamous metaplasia (replacement of one normal type of epithelium with another), endocervical cells, inflammation (leukocytes on Pap smear), and inflammation-associated cellular changes (cellular changes found with inflammation including basophilic cytoplasm, enlarged unevenly-sized nuclei, enlarged, irregular or multiple nucleoli, repair) were ascertained from Pap smear. Gram stains were interpreted for bacterial vaginosis (BV) in a central laboratory (University of Washington) using the Nugent score criteria, with categorization as normal (0–3), intermediate (4–6), or consistent (7–10).<sup>35</sup> Trichomoniasis was diagnosed if motile trichomonads were present on wet mount or with positive culture. Candidiasis was

diagnosed by pseudohyphae presence on potassium hydroxide preparation or positive culture. PCR to identify 29 types of human papillomavirus (HPV) was performed in central laboratories.<sup>36</sup> We analyzed separately several high-risk types of HPV (16, 18, 31, 33, 35).

### Statistical Methods

Demographic covariates included age (categorized as <35, 35–40, 41+) and self-identified race/ethnicity (White, African-American, Hispanic, Other). HIV exposure category (intravenous drug use, heterosexual risk, transfusion risk, no identified risk, unknown) was specified. Behavioral covariates included current smoking (no, yes), current alcohol consumption (abstainer, <3, 3–13, 14 drinks/week), current injection drug use (no, yes), current use of other recreational substances (marijuana, cocaine, heroin, other), number of lifetime male sex partners (0–6, 7–29, 30+), number of male sex partners since last study visit (0, 1, 2+), and vaginal sex with male in past 48 hours (no, yes). Therapeutic, immunologic, and clinical covariates included type of ART used since last visit (none, monotherapy, combination therapy, HAART), hormonal contraceptive use in past 6 months (no, yes), PVL, CD4 cell count (<200, 201–350, 351–500, and >500 cells/mm<sup>3</sup>), prior history of an AIDS-defining illness, hepatitis C status at baseline (antibody negative, antibody positive/RNA negative, antibody positive/RNA positive), and seropositivity for HSV-1 and HSV-2. The definition of HAART was guided by the DHHS/Kaiser Panel 2008.<sup>37</sup> Local cervicovaginal covariates included vaginal pH (<4.5, 4.5–5.4, 5.5), abnormal Pap (no, yes), bacterial vaginosis score,<sup>35</sup> and presence of the following: friability, ectopy, exudate, lesions, candidiasis, *Trichomonas vaginalis*, endocervical cells, squamous metaplasia, cervicovaginal inflammation, inflammation-associated cellular changes, and HPV (all types, oncogenic types).

**Individual visit analysis, level of shedding**—This analytic approach correlated data from individual person-visits with level of CV-VL. To accommodate multiple visits per subject, regression models utilized generalized estimating equations with an exchangeable correlation matrix and an identity link function. The dependent variable for this linear regression model was log<sub>10</sub>CV-VL. Visits where the CV-VL was less than the LLD (80 copies/ml) were assigned a value of ½ LLD (40). Visit-specific covariates were used from each visit at which CV-VL was measured. Plasma HIV RNA was analyzed both as categorical (<4000, 4001–9999, 10000–39999, 40000–99999, 100,000 copies/mL), where 4000 copies/mL was modeled as the referent group, and as a log<sub>10</sub>-transformed continuous variable, where values less than the LLD for the assay used were assigned a numeric value of ½LLD. Factors were evaluated in unadjusted and multivariate models. The multivariate model retained factors associated with CV-VL in unadjusted analyses at  $P < 0.10$  that remained at  $P < 0.10$ . Associations were summarized as  $\beta$ -coefficients with associated standard errors. Two-sided hypotheses were assessed at the 5% significance level. Finally, we stratified person-visits into groups with detectable (>80 copies/ml) and undetectable (<80 copies/ml) PVL and performed similar analyses on these strata to determine shedding associations with PVL detectable or undetectable. Person-visits with undetectable PVL when LLD was 400 copies/mL (n=24) and 4000 copies/mL (n=10) were excluded from this analysis.

In order to better understand the role of cervicovaginal inflammation as a condition in shedding we devised a tool to assess the presence/absence of any cervicovaginal inflammatory condition associated with shedding. We defined a binary variable, called inflammation summary variable (ISV), to have the value “1” if any local inflammatory factor significantly associated with CV-VL on univariate analysis was present (inflammation-associated cellular changes, cervical ectopy, exudate, friability, lesions, vaginal pH >5.5, intermediate BV score, consistent BV score, trichomoniasis) and “0” if

none was present. Using this variable, we used Fisher's exact test to evaluate for interaction between PVL detectability and inflammation (by ISV value) in correlation with shedding presence (Table 2). We used the generalized estimating equation to test for interaction between cervicovaginal inflammation and PVL in correlation with CV-VL.

**Analysis of longitudinal shedding pattern**—This analytic approach categorized subjects into three groups to evaluate the pattern of cervicovaginal shedding over a series of visits. Categories were defined as follows: “never shedder” if a subject had no visits at which HIV was detected in cervicovaginal secretions, “intermittent shedder” if she had shedding at one or two visits, “persistent shedder” if she had shedding at three or more visits. Shedding category was assigned a three-level variable (coded 0, 1, 2) corresponding to never, intermittent and persistent shedding, respectively. For uniformity, this analysis was restricted to all subjects with at least three visits over a three-year period where at most one semiannual visit could be missed between evaluable visits. Data included visits from 3/31/1998–9/12/2001. Independent variables were the same as in the individual visit analysis, but taken from the first evaluable visit. PVL was analyzed as a categorical variable (< 400, 401–3999, 4000–19999, and ≥ 20000 copies/mL) and as a log<sub>10</sub>-transformed continuous variable, where values less than the LLD for a particular assay were assigned a numeric value of ½ LLD. Summary variables were created to characterize the pattern of HAART use (none, intermittent, always) and PVL detection (always, sometimes, never) over the evaluated visits. Initial unadjusted analyses were performed using ordinal logistic regression followed by multivariate analyses, using all factors with *P*<0.10 in univariate analysis, controlling for type of ART (none, non-HAART, HAART) and PVL category. Similarly, unadjusted analyses and analyses adjusting for initial PVL were performed on the subgroup of patients with “always-detectable PVL,” but not on the “sometimes” and “never-detectable” groups, due to insufficient cases of persistent shedding (2/50 and 0/24, respectively).

## Results

### Study Population

481 women had a total of 976 visits at which genital shedding was evaluated. Baseline demographic and clinical characteristics of the study population are summarized in Table 1. The median number of person-visits was 1. 31% had 3 or more person-visits. The median age at baseline was 36.3 years. 52% of women were African American and 33% Hispanic. 29.6% had baseline plasma viral load below 4000 copies/mL (median=16,000 copies/mL), while 25.5% had CD4 < 500 cells/mm<sup>3</sup>. Tobacco use was reported at 38% of person-visits. Hormonal contraception was reported at 6% of person-visits. The distribution of risky behaviors included: heavy alcohol use, 11%, injection drug use, 36.5%, and >30 lifetime sex partners, 35.9%. Few women had evidence of chlamydia, 0.2%, gonorrhea, 0%, or active HSV at baseline, 0.2%. HSV seropositivity was common, HSV-1: 83%; HSV-2: 77%. 17.2% had a positive screening test for syphilis (RPR). Other cervicovaginal infections present at baseline included trichomoniasis, 11.8%, HPV (all types) 52.7%, bacterial vaginosis (Nugent score 7–10) 47.1%. The percentage of participants receiving HAART increased from 0.3% at the initial visit where shedding was measured to 70% by the last visit of the study period.

### Discordance between PVL and CV-VL

Subgroups of person-visits stratified with respect to PVL, CV-VL, cervicovaginal inflammation and antiretroviral therapy are shown in Table 2. Discordance between PVL and CV-VL occurred in 47% of person-visits. In 959 person-visits with measured PVL, 45.3% had detectable PVL/undetectable CV-VL, 32.6% detectable PVL/detectable CV-VL,

20.4% undetectable PVL and CV-VL, and 1.6% had undetectable PVL/detectable CVL. Significant inflammation, expressed by the “inflammation summary variable,” was present in 76.5% and absent at 23.5% of evaluable person-visits (n=958). CV-VL was detectable in 37.4% of person-visits at which inflammation was present (ISV=1) and 24% at which it was absent (ISV=0). When PVL was detectable, CV-VL was detectable in 264/587 (44.9%) of person-visits with inflammation presence and 48/160 (30%) with absence. In the sub-group with PVL 80 (LLD=80), shedding was significantly more likely with inflammation present (ISV=1) ( $P=0.003$ ).

### Factors associated with level of shedding at individual person-visits

Table 3 shows variables associated with CV-VL level at individual person-visits. In multivariate analysis, higher CV-VL correlated significantly with higher PVL ( $\beta=0.50$  per  $\log_{10}$ copies/mL;  $P<0.001$ ), cervical inflammation-associated cellular changes ( $\beta=0.38$ ;  $P=0.03$ ), ectopy ( $\beta=0.48$ ;  $P=0.009$ ), exudate ( $\beta=0.18$ ;  $P=0.005$ ), and trichomoniasis ( $\beta=0.31$ ;  $P=0.03$ ). Lower CV-VL correlated with HAART use ( $\beta=-0.17$ ;  $P=0.01$ ). CV-VL did not correlate with hormonal contraception use. The inflammatory summary variable correlated with increased CV-VL in univariate analysis ( $\beta=0.29$ ;  $P<0.001$ ), and in a separate multivariate model with  $\log_{10}$ HIV-RNA and antiretroviral therapy ( $\beta=0.16$ ;  $P=0.004$ ). PVL had significant interaction with the inflammation summary variable in correlating with CV-VL (interaction coefficient 0.26,  $P=0.006$ ). Multivariate analysis (Table 4) of the PVL-detectable stratum (n=665) showed strong correlation between higher CV-VL and PVL (for  $>100,000$  copies/mL  $\beta=0.80$ ;  $P<0.001$ ), friability ( $\beta=0.23$ ;  $P=0.05$ ), ectopy ( $\beta=0.46$ ;  $P=0.02$ ), exudate ( $\beta=0.25$ ;  $P=0.001$ ), trichomoniasis ( $\beta=0.33$ ;  $P=0.04$ ), and inflammation-associated cellular changes ( $\beta=0.61$ ;  $P=0.007$ ). In multivariate analysis of the PVL-undetectable stratum (n=132 person-visits), cervicovaginal inflammatory conditions did not correlate with CV-VL. As expected, HAART correlated with decreased CV-VL ( $\beta=-0.39$ ;  $P=0.04$ ).

### Factors associated with pattern of genital shedding over multiple visits (Table 5)

Of 481 evaluable women, 136 (31%) had CVL-VL measured at 3 or more visits within a 3-year period with a median of four evaluable visits (range: 3–6). The shedding distribution included: 40.4% (n=55) “never,” 44.9% (n=61) “intermittent,” and 14.7% (n=20) “persistent.” Ordinal logistic regression adjusted for ART showed that a pattern of higher frequency of shedding over visits (i.e., intermittent/persistent vs. never; or persistent vs. intermittent/never) was associated with higher initial PVL (OR= 2.47 per  $\log_{10}$ copies/mL;  $P<0.01$ ; Table 5). With adjustment for ART and PVL, higher shedding frequency also correlated with any alcohol use (OR=2.20;  $P=0.03$ ) and seropositivity for HSV-2 (OR=3.21;  $P=0.009$ ). Never detectable PVL correlated strongly with lower likelihood of higher shedding frequency (OR=0.10;  $P<0.001$ ). Even in the subgroup with always detectable PVL, 18/62 (29%) had persistent shedding, but 20/62 (32%) never shed and 24/62 (39%) shed intermittently. In this subgroup, higher shedding frequency correlated with alcohol use (OR=4.92;  $P=0.003$ ) and HSV-2 seropositivity (OR=4.44;  $P=0.04$ ) (Table 6, supplemental digital content). Additionally in this subgroup, vaginal candidiasis correlated with a 15-fold increase in the odds of higher shedding frequency (OR=15.14;  $P=0.009$ ).

## Discussion

This study comprehensively assessed demographic, behavioral, clinical, therapeutic and local factors that correlate individually and collectively with level and pattern of HIV shedding in the female genital tract both at individual person-visits (cross-sectionally) and longitudinally. The two analytic approaches are complementary. The person-visit analysis elucidates the association between identified factors and CV-VL at individual time-points, while the longitudinal analysis clarifies how behaviors and conditions present at the initial

visit, as well as summary variables measuring HAART adherence and PVL suppression over all visits, correlate with the temporal pattern of shedding. Subanalyses shed light on discordance between PVL and cervicovaginal HIV presence, level, and pattern.

As shown previously,<sup>11;26;38</sup> at individual person-visits PVL and HAART are the principal factors associated with CV-VL, correlating with higher and lower levels, respectively. Correlation between PVL and CV-VL may be direct, due to transmigration of cell-free or cell-associated HIV from the bloodstream, indirect, related to local replication responding to the same factors as systemic replication, or both. Similarly, HAART may influence CV-VL directly by reducing local replication, indirectly by reducing HIV bloodstream replication, or both. Consistent with previous studies,<sup>18;39;40</sup> local inflammatory conditions, diagnosed clinically, such as exudate, ectopy, friability, and presence of lesions, microbiologically, such as *Trichomonas vaginalis*, and histologically, such as inflammation-related cellular changes correlated significantly with increased shedding in the person-visit analysis.

How local inflammatory conditions lead to increased cervicovaginal HIV shedding is not well understood. There may be several mechanisms, and their order of importance may vary depending on circumstances. Cervicovaginal inflammation may increase vascular permeability, allowing HIV transmigration from bloodstream to cervicovaginal compartment.<sup>18</sup> Local inflammation may directly stimulate HIV replication, or lead to recruitment of HIV-producing leukocytes from adjacent lymphoid tissue.<sup>22;39</sup> When HIV is undetectable in the bloodstream, local replication, allowed by inadequate antiretroviral levels or resistance, may lead to detectable HIV in cervicovaginal secretions. Some authorities suggest that the source of most inflammation-associated cervicovaginal HIV is local replication.<sup>18;39</sup>

There was significant discordance between PVL and CV-VL both at individual person-visits and as a pattern. Similar to previous studies,<sup>28;41</sup> CV-VL was detectable at 7.6% (15/211) of person-visits when PVL was undetectable. Surprisingly, CV-VL was undetectable at 58% (436/748) of person-visits when PVL was detectable. With detectable PVL, CV-VL correlated significantly with inflammatory conditions and PVL. Indeed, inflammation presence (ISV=1) led to increased correlation between PVL and CV-VL. This suggests that, when local inflammatory conditions are present, a significant amount of HIV in cervicovaginal secretions is due to transmigration from the bloodstream, rather than local replication, likely due to a compromised bloodstream-tissue barrier. However, even in the absence of cervicovaginal inflammation, CV-VL was sometimes detectable. Conversely, in the undetectable PVL stratum, increased shedding did not correlate with local inflammatory conditions. This suggests that, when antiretroviral suppression is effective, cervicovaginal inflammatory conditions are insufficient to cause shedding. HAART correlated with decreased CV-VL in the entire group and was the only factor to correlate with decreased CV-VL in the PVL-undetectable stratum. Though the main protective effect of HAART stems from PVL suppression, an additional protective effect may be suppression of cervicovaginal HIV replication, particularly when PVL is already low or undetectable. However, the number of person-visits with undetectable PVL was insufficient to draw reliable conclusions about all but very strong associations in this stratum.

In longitudinal analysis, even with always undetectable PVL, intermittent CVL shedding was sometimes present (5/24 subjects). Conversely, many subjects with always detectable PVL never had CVL HIV shedding (20/62 subjects). Persistent shedding in this group was associated with inflammatory factors such as HSV-2 seropositivity and vaginal candidiasis, as well as alcohol use. Thus, the longitudinal pattern of shedding correlates not only with HIV levels in the bloodstream, but also with factors leading to cervicovaginal inflammation.

Interestingly, we noted an association between alcohol use and shedding persistence. Association between alcohol use and cervicovaginal shedding was demonstrated in one previous study.<sup>42</sup> This is plausible, as alcohol affects HIV replication and susceptibility, causing increased SIV replication in animal models,<sup>43</sup> and increased HIV replication in PBMCs and susceptibility of CD4 lymphocytes to HIV infection *in vitro*.<sup>44</sup> No other behavior, including recent sexual intercourse, was associated with increased cervicovaginal shedding. Similar to previous studies,<sup>45</sup> HSV-2 seropositivity without overt lesions correlated with shedding persistence. This may be due to inflammation from low-grade HSV replication.

Our study has several limitations. An observational study, it cannot determine causation. During the study period there were major changes in HIV quantitation and treatment and resultant population health status. HIV levels in cervicovaginal secretions were measured in CVL, a semiquantitative method, rather than more precise means, such as cervical wick or swab. In addition, presence of intracellular integrated HIV-provirus was not evaluated, possibly leading to underestimation of HIV quantity in CVL.

## Conclusions

Undetectable PVL due to effective HAART is strongly associated with reduced CV-VL, but does not assure shedding absence.<sup>28</sup> Conversely, cervicovaginal HIV shedding may be undetectable without antiretroviral therapy and with high PVL. When HIV is present in the bloodstream, “permissive” factors, conditions or behaviors associated with cervicovaginal inflammation, correlate with increased shedding. “Protective” factors include HAART and control of such conditions or behaviors. Therefore, prediction of cervicovaginal HIV shedding solely on the basis of ART and PVL is unreliable. As a practical matter, HIV-infected women should be counseled that cervicovaginal inflammatory conditions may increase risk of sexual transmission of HIV, and medical providers advised to diagnose and treat such conditions as a means of reducing HIV transmission. Serodiscordant couples with perfect HAART adherence and consistently undetectable PVL in the infected partner should be advised that while sexual transmission is unlikely, consistent condom use combined with HAART remains the most reliable means of prevention.<sup>2;46</sup> Further studies are needed to determine the source of HIV in cervicovaginal secretions, and factors that lead to shedding despite control of systemic replication.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

This study was supported by grant RO1 AI052065 (A.K.) from the National Institute of Allergy and Infectious Diseases. Data in this manuscript were collected by the Women's Interagency HIV Study (WIHS) Collaborative Study Group with centers (Principal Investigators) at New York City/Bronx Consortium (Kathryn Anastos); Brooklyn, NY (Howard Minkoff); Washington DC, Metropolitan Consortium (Mary Young); The Connie Wofsy Study Consortium of Northern California (Ruth Greenblatt); Los Angeles County/Southern California Consortium (Alexandra Levine); Chicago Consortium (Mardge Cohen); Data Coordinating Center (Stephen Gange). The WIHS is funded by the National Institute of Allergy and Infectious Diseases (U01-AI-35004, U01-AI-31834, U01-AI-34994, U01-AI-34989, U01-AI-34993, and U01-AI-42590) and by the *Eunice Kennedy Shriver* National Institute of Child Health and Human Development (U01-HD-32632). The study is co-funded by the National Cancer Institute, the National Institute on Drug Abuse, and the National Institute on Deafness and Other Communication Disorders. Funding is also provided by the National Center for Research Resources (UCSF-CTSI Grant Number UL1 RR024131).

The Woman's Interagency HIV Study Protocol was reviewed and approved by the institutional review boards at each participating center, and written and informed consent was obtained from all patients. Human experimentation guidelines of the U.S. Department of Health and Human Services were followed in the conduct of this research.



## Reference List

1. Joint United Nations Programme on HIV/AIDS (UNAIDS) and World Health Organization (WHO) 2009. AIDS Epidemic Update: December 2009. 2009 Nov 30. Ref Type: Report
2. Cohen MS, Chen YQ, McCauley M, Gamble T, Hosseinipour MC, Kumarasamy N, Hakim JG, Kumwenda J, Grinsztejn B, Pilotto JH, Godbole SV, Mehendale S, Chariyalertsak S, Santos BR, Mayer KH, Hoffman IF, Eshleman SH, Piwowar-Manning E, Wang L, Makhema J, Mills LA, de BG, Sanne I, Eron J, Gallant J, Havlir D, Swindells S, Ribaudo H, Elharrar V, Burns D, Taha TE, Nielsen-Saines K, Celentano D, Essex M, Fleming TR. Prevention of HIV-1 Infection with Early Antiretroviral Therapy. *N Engl J Med*. 2011
3. Quinn TC, Wawer MJ, Sewankambo N, Serwadda D, Li C, Wabwire-Mangen F, Meehan MO, Lutalo T, Gray RH. Viral load and heterosexual transmission of human immunodeficiency virus type 1. Rakai Project Study Group. *N Engl J Med*. 2000; 342:921–929. [PubMed: 10738050]
4. John GC, Nduati RW, Mbori-Ngacha DA, Richardson BA, Panteleeff D, Mwatha A, Overbaugh J, Bwayo J, Ndinya-Achola JO, Kreiss JK. Correlates of mother-to-child human immunodeficiency virus type 1 (HIV-1) transmission: association with maternal plasma HIV-1 RNA load, genital HIV-1 DNA shedding, and breast infections. *J Infect Dis*. 2001; 183:206–212. [PubMed: 11120927]
5. Donnell D, Baeten JM, Kiarie J, Thomas KK, Stevens W, Cohen CR, McIntyre J, Lingappa JR, Celum C. Heterosexual HIV-1 transmission after initiation of antiretroviral therapy: a prospective cohort analysis. *Lancet*. 2010; 375:2092–2098. [PubMed: 20537376]
6. Baeten JM, Kahle E, Lingappa JR, Coombs RW, any-Moretlwe S, Nakku-Joloba E, Mugo NR, Wald A, Corey L, Donnell D, Campbell MS, Mullins JI, Celum C. Genital HIV-1 RNA predicts risk of heterosexual HIV-1 transmission. *Sci Transl Med*. 2011; 3:77ra29.
7. Kovacs A, Wasserman SS, Burns D, Wright DJ, Cohn J, Landay A, Weber K, Cohen M, Levine A, Minkoff H, Miotti P, Palefsky J, Young M, Reichelderfer P. Determinants of HIV-1 shedding in the genital tract of women. *Lancet*. 2001; 358:1593–1601. [PubMed: 11716886]
8. Ghys PD, Fransen K, Diallo MO, Ettiegné-Traore V, Coulibaly IM, Yeboue KM, Kalish ML, Maurice C, Whitaker JP, Greenberg AE, Laga M. The associations between cervicovaginal HIV shedding, sexually transmitted diseases and immunosuppression in female sex workers in Abidjan, Cote d'Ivoire. *AIDS*. 1997; 11:F85–F93. [PubMed: 9342059]
9. Kreiss J, Willerford DM, Hensel M, Emonyi W, Plummer F, Ndinya-Achola J, Roberts PL, Hoskyn J, Hillier S, Kiviat N. Association between cervical inflammation and cervical shedding of human immunodeficiency virus DNA. *J Infect Dis*. 1994; 170:1597–1601. [PubMed: 7996003]
10. Graham SM, Holte SE, Peshu NM, Richardson BA, Panteleeff DD, Jaoko WG, Ndinya-Achola JO, Mandaliya KN, Overbaugh JM, McClelland RS. Initiation of antiretroviral therapy leads to a rapid decline in cervical and vaginal HIV-1 shedding. *AIDS*. 2007; 21:501–507. [PubMed: 17301569]
11. Fiore JR, Suligoi B, Saracino A, Di SM, Bugarini R, Lepera A, Favia A, Monno L, Angarano G, Pastore G. Correlates of HIV-1 shedding in cervicovaginal secretions and effects of antiretroviral therapies. *AIDS*. 2003; 17:2169–2176. [PubMed: 14523273]
12. Kemal KS, Foley B, Burger H, Anastos K, Minkoff H, Kitchen C, Philpott SM, Gao W, Robison E, Holman S, Dehner C, Beck S, Meyer WA III, Landay A, Kovacs A, Bremer J, Weiser B. HIV-1 in genital tract and plasma of women: compartmentalization of viral sequences, coreceptor usage, and glycosylation. *Proc Natl Acad Sci U S A*. 2003; 100:12972–12977. [PubMed: 14557540]
13. Tirado G, Jove G, Kumar R, Noel RJ, Reyes E, Sepulveda G, Yamamura Y, Kumar A. Compartmentalization of drug resistance-associated mutations in a treatment-naïve HIV-infected female. *AIDS Res Hum Retroviruses*. 2004; 20:684–686. [PubMed: 15242547]
14. Delwart EL, Mullins JI, Gupta P, Learn GH Jr, Holodniy M, Katzenstein D, Walker BD, Singh MK. Human immunodeficiency virus type 1 populations in blood and semen. *J Virol*. 1998; 72:617–623. [PubMed: 9420266]
15. Petito CK. Human immunodeficiency virus type 1 compartmentalization in the central nervous system. *J Neurovirol*. 2004; 10(Suppl 1):21–24. [PubMed: 14982735]
16. Smit TK, Brew BJ, Tourtellotte W, Morgello S, Gelman BB, Saksena NK. Independent evolution of human immunodeficiency virus (HIV) drug resistance mutations in diverse areas of the brain in HIV-infected patients, with and without dementia, on antiretroviral treatment. *J Virol*. 2004; 78:10133–10148. [PubMed: 15331746]

17. Ellerbrock TV, Lennox JL, Clancy KA, Schinazi RF, Wright TC, Pratt-Palmore M, Evans-Strickfaden T, Schnell C, Pai R, Conley LJ, Parrish-Kohler EE, Bush TJ, Tatti K, Hart CE. Cellular replication of human immunodeficiency virus type 1 occurs in vaginal secretions. *J Infect Dis.* 2001; 184:28–36. [PubMed: 11398106]
18. Wright TC Jr, Subbarao S, Ellerbrock TV, Lennox JL, Evans-Strickfaden T, Smith DG, Hart CE. Human immunodeficiency virus 1 expression in the female genital tract in association with cervical inflammation and ulceration. *Am J Obstet Gynecol.* 2001; 184:279–285. [PubMed: 11228474]
19. Sha BE, Zariffard MR, Wang QJ, Chen HY, Bremer J, Cohen MH, Spear GT. Female genital-tract HIV load correlates inversely with *Lactobacillus* species but positively with bacterial vaginosis and *Mycoplasma hominis*. *J Infect Dis.* 2005; 191:25–32. [PubMed: 15592999]
20. Tanton C, Weiss HA, Le GJ, Changalucha J, Rusizoka M, Baisley K, Everett D, Ross DA, Belec L, Hayes RJ, Watson-Jones D. Correlates of HIV-1 Genital Shedding in Tanzanian Women. *PLoS ONE.* 2011; 6:e17480. [PubMed: 21390251]
21. Spinillo A, Zara F, Gardella B, Preti E, Mainini R, Maserati R. The effect of vaginal candidiasis on the shedding of human immunodeficiency virus in cervicovaginal secretions. *Am J Obstet Gynecol.* 2005; 192:774–779. [PubMed: 15746671]
22. Legoff J, Weiss HA, Gresenguet G, Nzambi K, Frost E, Hayes RJ, Mabey DC, Malkin JE, Mayaud P, Belec L. Cervicovaginal HIV-1 and herpes simplex virus type 2 shedding during genital ulcer disease episodes. *AIDS.* 2007; 21:1569–1578. [PubMed: 17630552]
23. Al-Harhi L, Kovacs A, Coombs RW, Reichelderfer PS, Wright DJ, Cohen MH, Cohn J, Cu-Uvin S, Watts H, Lewis S, Beckner S, Landay A. A menstrual cycle pattern for cytokine levels exists in HIV-positive women: implication for HIV vaginal and plasma shedding. *AIDS.* 2001; 15:1535–1543. [PubMed: 11504986]
24. Reichelderfer PS, Coombs RW, Wright DJ, Cohn J, Burns DN, Cu-Uvin S, Baron PA, Coheng MH, Landay AL, Beckner SK, Lewis SR, Kovacs AA. Effect of menstrual cycle on HIV-1 levels in the peripheral blood and genital tract. WHS 001 Study Team. *AIDS.* 2000; 14:2101–2107. [PubMed: 11061650]
25. Heffron R, Donnell D, Rees H, Celum C, Mugo N, Were E, de BG, Nakku-Joloba E, Ngure K, Kiarie J, Coombs RW, Baeten JM. Use of hormonal contraceptives and risk of HIV-1 transmission: a prospective cohort study. *Lancet Infect Dis.* 2011
26. Cu-Uvin S, Caliendo AM, Reinert S, Chang A, Juliano-Remollino C, Flanigan TP, Mayer KH, Carpenter CC. Effect of highly active antiretroviral therapy on cervicovaginal HIV-1 RNA. *AIDS.* 2000; 14:415–421. [PubMed: 10770544]
27. Neely MN, Benning L, Xu J, Strickler HD, Greenblatt RM, Minkoff H, Young M, Bremer J, Levine AM, Kovacs A. Cervical shedding of HIV-1 RNA among women with low levels of viremia while receiving highly active antiretroviral therapy. *J Acquir Immune Defic Syndr.* 2007; 44:38–42. [PubMed: 17106279]
28. Cu-Uvin S, DeLong AK, Venkatesh KK, Hogan JW, Ingersoll J, Kurpewski J, De Pasquale MP, D'Aquila R, Caliendo AM. Genital tract HIV-1 RNA shedding among women with below detectable plasma viral load. *AIDS.* 2010; 24:2489–2497. [PubMed: 20736815]
29. Kwara A, DeLong A, Rezk N, Hogan J, Burtwell H, Chapman S, Moreira CC, Kurpewski J, Ingersoll J, Caliendo AM, Kashuba A, Cu-Uvin S. Antiretroviral drug concentrations and HIV RNA in the genital tract of HIV-infected women receiving long-term highly active antiretroviral therapy. *Clin Infect Dis.* 2008; 46:719–725. [PubMed: 18220480]
30. Coombs RW, Wright DJ, Reichelderfer PS, Burns DN, Cohn J, Cu-Uvin S, Baron PA, Cohen MH, Landay AL, Lewis S, Kovacs A. Variation of human immunodeficiency virus type 1 viral RNA levels in the female genital tract: implications for applying measurements to individual women. *J Infect Dis.* 2001; 184:1187–1191. [PubMed: 11598843]
31. Villanueva JM, Ellerbrock TV, Lennox JL, Bush TJ, Wright TC, Pratt-Palmore M, Evans-Strickfaden T, Conley LJ, Schnell C, Hart CE. The menstrual cycle does not affect human immunodeficiency virus type 1 levels in vaginal secretions. *J Infect Dis.* 2002; 185:170–177. [PubMed: 11807690]

32. Barkan SE, Melnick SL, Preston-Martin S, Weber K, Kalish LA, Miotti P, Young M, Greenblatt R, Sacks H, Feldman J. The Women's Interagency HIV Study. WIHS Collaborative Study Group. *Epidemiology*. 1998; 9:117–125. [PubMed: 9504278]
33. Landay A, Benning L, Bremer J, Weiser B, Burger H, Nowicki M, Kovacs A. Correlates of immune activation marker changes in human immunodeficiency virus (HIV)-seropositive and high-risk HIV-seronegative women who use illicit drugs. *J Infect Dis*. 2003; 188:209–218. [PubMed: 12854075]
34. Operskalski EA, Mack WJ, Strickler HD, French AL, Augenbraun M, Tien PC, Villacres MC, Spencer LY, Degiacomo M, Kovacs A. Factors associated with hepatitis C viremia in a large cohort of HIV-infected and -uninfected women. *J Clin Virol*. 2008; 41:255–263. [PubMed: 18243785]
35. Nugent RP, Krohn MA, Hillier SL. Reliability of diagnosing bacterial vaginosis is improved by a standardized method of gram stain interpretation. *J Clin Microbiol*. 1991; 29:297–301. [PubMed: 1706728]
36. Palefsky JM, Minkoff H, Kalish LA, Levine A, Sacks HS, Garcia P, Young M, Melnick S, Miotti P, Burk R. Cervicovaginal human papillomavirus infection in human immunodeficiency virus-1 (HIV)-positive and high-risk HIV-negative women. *J Natl Cancer Inst*. 1999; 91:226–236. [PubMed: 10037100]
37. Guidelines for the use of antiretroviral agents in HIV-1-infected adults and adolescents. *AIDSInfo*; 2008 Oct. DHHS Panel on Antiretroviral Guidelines for Adults and Adolescents. Panel on Antiretroviral Guidelines for Adults and Adolescents; p. 1-139.revision. 11-3-2008. 3-18-2011. Ref Type: Electronic Citation
38. Cu-Uvin S, Snyder B, Harwell JI, Hogan J, Chibwesa C, Hanley D, Ingersoll J, Kurpewski J, Mayer KH, Caliendo AM. Association between paired plasma and cervicovaginal lavage fluid HIV-1 RNA levels during 36 months. *J Acquir Immune Defic Syndr*. 2006; 42:584–587. [PubMed: 16837866]
39. Lawn SD, Subbarao S, Wright TC Jr, Evans-Strickfaden T, Ellerbrock TV, Lennox JL, Butera ST, Hart CE. Correlation between human immunodeficiency virus type 1 RNA levels in the female genital tract and immune activation associated with ulceration of the cervix. *J Infect Dis*. 2000; 181:1950–1956. [PubMed: 10837174]
40. Kissinger P, Amedee A, Clark RA, Dumestre J, Theall KP, Myers L, Hagensee ME, Farley TA, Martin DH. *Trichomonas vaginalis* treatment reduces vaginal HIV-1 shedding. *Sex Transm Dis*. 2009; 36:11–16. [PubMed: 19008776]
41. Neely MN, Benning L, Xu J, Strickler HD, Greenblatt RM, Minkoff H, Young M, Bremer J, Levine AM, Kovacs A. Cervical shedding of HIV-1 RNA among women with low levels of viremia while receiving highly active antiretroviral therapy. *J Acquir Immune Defic Syndr*. 2007; 44:38–42. [PubMed: 17106279]
42. Theall KP, Amedee A, Clark RA, Dumestre J, Kissinger P. Alcohol consumption and HIV-1 vaginal RNA shedding among women. *J Stud Alcohol Drugs*. 2008; 69:454–458. [PubMed: 18432389]
43. Poonia B, Nelson S, Bagby GJ, Zhang P, Quniton L, Veazey RS. Chronic alcohol consumption results in higher simian immunodeficiency virus replication in mucosally inoculated rhesus macaques. *AIDS Res Hum Retroviruses*. 2006; 22:589–594. [PubMed: 16796534]
44. Bagasra O, Whittle P, Kajdacsy-Balla A, Lischner HW. Effects of alcohol ingestion on in vitro susceptibility of peripheral blood mononuclear cells to infection with HIV-1 and on CD4 and CD8 lymphocytes. *Prog Clin Biol Res*. 1990; 325:351–358. [PubMed: 1967839]
45. Nagot N, Ouedraogo A, Konate I, Weiss HA, Foulongne V, Defer MC, Sanon A, Becquart P, Segondy M, Sawadogo A, Van de PP, Mayaud P. Roles of clinical and subclinical reactivated herpes simplex virus type 2 infection and human immunodeficiency virus type 1 (HIV-1)-induced immunosuppression on genital and plasma HIV-1 levels. *J Infect Dis*. 2008; 198:241–249. [PubMed: 18593294]
46. Vernazza P, Hirschel B, Bernasconi E, Flepp M. Les personnes séropositives ne souffrant d'aucune autre MST et suivant un traitement antirétroviral efficace ne transmettent pas le VIH par voie sexuelle. *Bulletin des Médecins Suisses*. 2008; 89:165–169.

**Table 1**

Demographic and Clinical Characteristics of Study Population at Baseline Visit (N=481).

| Characteristic                        | No. of participants | No. (%) <sup>a</sup> | Median (IQR)           |
|---------------------------------------|---------------------|----------------------|------------------------|
| Age, years                            | 481                 |                      | 36.3 (31.7–41.5)       |
| <35                                   |                     | 204 (42.4)           |                        |
| 35–40                                 |                     | 150 (31.2)           |                        |
| 41+                                   |                     | 127 (26.4)           |                        |
| Self-identified ethnicity             | 481                 |                      |                        |
| White (Non-Hispanic)                  |                     | 60 (12.5)            |                        |
| African-American (Non-Hispanic)       |                     | 252 (52.4)           |                        |
| Hispanic                              |                     | 159 (33.1)           |                        |
| Other                                 |                     | 10 (2.1)             |                        |
| HIV exposure category                 | 477                 |                      |                        |
| Intravenous drug use                  |                     | 174 (36.5)           |                        |
| Heterosexual contact                  |                     | 212 (44.4)           |                        |
| Transfusion                           |                     | 6 (1.3)              |                        |
| Not identified                        |                     | 85 (17.8)            |                        |
| Antiretroviral therapy                | 480                 |                      |                        |
| None                                  |                     | 178 (37.1)           |                        |
| Monotherapy                           |                     | 178 (37.1)           |                        |
| Combination therapy                   |                     | 122 (25.4)           |                        |
| HAART                                 |                     | 2 (0.4)              |                        |
| Plasma HIV-1 RNA level, copies/mL     | 473                 |                      | 16,000 ( 4,000–68,000) |
| 4,000                                 |                     | 140 (29.6)           |                        |
| 4,001–9,999                           |                     | 56 (11.8)            |                        |
| 10,000–39,999                         |                     | 97 (20.5)            |                        |
| 40,000–99,999                         |                     | 98 (20.7)            |                        |
| 100,000                               |                     | 82 (17.3)            |                        |
| CD4 cell count, cells/mm <sup>3</sup> | 466                 |                      | 339 (203–512)          |
| 200                                   |                     | 115 (24.7)           |                        |
| 201–350                               |                     | 133 (28.5)           |                        |
| 351–500                               |                     | 99 (21.2)            |                        |
| >500                                  |                     | 119 (25.5)           |                        |
| Alcohol consumption                   | 471                 |                      |                        |
| None                                  |                     | 201 (42.7)           |                        |
| Light (<3 drinks/week)                |                     | 146 (31.0)           |                        |
| Moderate (3–13 drinks/week)           |                     | 72 (15.3)            |                        |
| Heavy (14 or more drinks/week)        |                     | 52 (11.0)            |                        |
| Injected drugs in past 6 mo           | 480                 | 63 (13.1)            |                        |
| Number of lifetime male sex partners  | 479                 |                      | 15 (5–50)              |
| 0–6                                   |                     | 156 (32.6)           |                        |
| 7–29                                  |                     | 151 (31.5)           |                        |

| Characteristic                                 | No. of participants | No. (%) <sup>a</sup> | Median (IQR) |
|------------------------------------------------|---------------------|----------------------|--------------|
| 30+                                            |                     | 172 (35.9)           |              |
| Number of male sex partners in past 6 mo       | 481                 |                      | 1 (0–1)      |
| 0                                              |                     | 147 (30.6)           |              |
| 1                                              |                     | 262 (54.5)           |              |
| 2 or more                                      |                     | 72 (15.0)            |              |
| Bacterial vaginosis Gram stain                 | 471                 |                      |              |
| Normal (0–3)                                   |                     | 169 (35.9)           |              |
| Intermediate (4–6)                             |                     | 80 (17.0)            |              |
| Consistent (7–10)                              |                     | 222 (47.1)           |              |
| Candidiasis                                    | 468                 | 44 (9.4)             |              |
| Hepatitis C                                    | 471                 |                      |              |
| AB-negative                                    |                     | 275 (58.4)           |              |
| AB-positive RNA-negative                       |                     | 34 (7.2)             |              |
| AB-positive RNA-positive                       |                     | 162 (34.4)           |              |
| Herpes simplex virus, type 1                   | 465                 | 387 (83.2)           |              |
| Herpes simplex virus, type 2                   | 464                 | 357 (76.9)           |              |
| Human papillomavirus, all types                | 203                 | 107 (52.7)           |              |
| Human papillomavirus, types 16, 18, 31, 33, 35 | 203                 | 30 (14.8)            |              |
| Trichomonas vaginalis                          | 468                 | 55 (11.8)            |              |
| Syphilis (+ RPR)                               | 478                 | 82 (17.2)            |              |

**NOTE.** AB, antibody; HAART, highly active antiretroviral therapy; IQR, interquartile range.

<sup>a</sup>Percentages exclude unknown results and may not add up to 100% due to rounding.

Table 2  
Clinical Characteristics of Study Population by Plasma Viral Load Assay LLD and Cervicovaginal Inflammation (ISV) (N person-visits=959).<sup>a</sup>

| PVL                   | CV-VL | ISV <sup>c</sup> |                   |     | PVL                     |                   | CV-VL     |           | Antiretroviral therapy |  |  |
|-----------------------|-------|------------------|-------------------|-----|-------------------------|-------------------|-----------|-----------|------------------------|--|--|
|                       |       | N                | 0                 | 1   | Median (range)          | None              | Non-HAART | HAART     |                        |  |  |
| <i>PVL LLD = 4000</i> |       |                  |                   |     |                         |                   |           |           |                        |  |  |
| <4000                 | <80   | 9                | 2                 | 7   | N/A                     | N/A               | 5 (55%)   | 4 (44%)   | 0                      |  |  |
| <4000                 | 80    | 1                | 0                 | 1   | N/A                     | 1,300             | 1 (100%)  | 0         | 0                      |  |  |
|                       |       |                  | P-value **: 1.00  |     |                         |                   |           |           |                        |  |  |
| 4000                  | <80   | 90 <sup>b</sup>  | 14                | 76  | 47000 (4,200–2,100,000) | N/A               | 25 (28%)  | 64 (72%)  | 0                      |  |  |
| 4000                  | 80    | 111              | 17                | 94  | 59000 (4,600–4,900,000) | 3100 (80–660,000) | 27 (24%)  | 83 (75%)  | 1 (1%)                 |  |  |
|                       |       |                  | P-value **: 1.00  |     |                         |                   |           |           |                        |  |  |
| Total                 |       | 211              |                   |     |                         |                   | 58 (28%)  | 151 (72%) | 1 (0.5%)               |  |  |
| <i>PVL LLD = 400</i>  |       |                  |                   |     |                         |                   |           |           |                        |  |  |
| <400                  | <80   | 23               | 7                 | 16  | N/A                     | N/A               | 3 (13%)   | 4 (17%)   | 16 (70%)               |  |  |
| <400                  | 80    | 1                | 1                 | 0   | N/A                     | 5,400             | 1 (100%)  | 0         | 0                      |  |  |
|                       |       |                  | P-value **: 0.33  |     |                         |                   |           |           |                        |  |  |
| 400                   | <80   | 45               | 11                | 34  | 8600 (500–290,000)      | N/A               | 13 (29%)  | 11 (24%)  | 21 (47%)               |  |  |
| 400                   | 80    | 32               | 3                 | 29  | 24500 (590–1,900,000)   | 1900 (83–290,000) | 15 (47%)  | 8 (25%)   | 9 (28%)                |  |  |
|                       |       |                  | P-value **: 0.14  |     |                         |                   |           |           |                        |  |  |
| Total                 |       | 101              |                   |     |                         |                   | 32 (32%)  | 23 (23%)  | 46 (45%)               |  |  |
| <i>PVL LLD = 80</i>   |       |                  |                   |     |                         |                   |           |           |                        |  |  |
| <80                   | <80   | 164              | 50                | 114 | N/A                     | N/A               | 20 (12%)  | 16 (10%)  | 128 (78%)              |  |  |
| <80                   | 80    | 13               | 5                 | 8   | N/A                     | 1100 (100–6,300)  | 5 (38%)   | 2 (15%)   | 6 (47%)                |  |  |
|                       |       |                  | P-value **: 0.55  |     |                         |                   |           |           |                        |  |  |
| 80                    | <80   | 301 <sup>*</sup> | 87                | 213 | 3100 (82–590,000)       | N/A               | 115 (38%) | 65 (22%)  | 121 (40%)              |  |  |
| 80                    | 80    | 169              | 28                | 141 | 13000 (81–4,600,000)    | 1100 (82–270,000) | 81 (48%)  | 34 (20%)  | 54 (32%)               |  |  |
|                       |       |                  | P-value **: 0.003 |     |                         |                   |           |           |                        |  |  |
| Total                 |       | 647              |                   |     |                         |                   | 221 (34%) | 117 (18%) | 309 (48%)              |  |  |

All visits

| PVL   | CV-VL | ISV <sup>c</sup> |     |     | PVL                   |                     | CV-VL     |           |           | Antiretroviral therapy |  |  |
|-------|-------|------------------|-----|-----|-----------------------|---------------------|-----------|-----------|-----------|------------------------|--|--|
|       |       | N                | 0   | 1   | Median (range)        | Median (range)      | None      | Non-HAART | HAART     |                        |  |  |
| UD    | <80   | 196              | 59  | 137 | N/A                   | N/A                 | 28 (14%)  | 24 (12%)  | 144 (74%) |                        |  |  |
| UD    | 80    | 15               | 6   | 9   | N/A                   | 1,300 (100–6,300)   | 7 (47%)   | 2 (13%)   | 6 (40%)   |                        |  |  |
| D     | <80   | 436*             | 112 | 323 | 5,650 (82–2,100,000)  | N/A                 | 153 (35%) | 140 (32%) | 142 (33%) |                        |  |  |
| D     | 80    | 312              | 48  | 264 | 29,000 (81–4,900,000) | 14,000 (80–660,000) | 123 (39%) | 125 (40%) | 64 (21%)  |                        |  |  |
| Total |       | 959              | 225 | 733 |                       |                     | 311 (32%) | 291 (30%) | 356 (38%) |                        |  |  |

PVL=plasma viral load; CV-VL=cervicovaginal viral load; HAART=highly active antiretroviral therapy; LLD=lower limit of detection; UD=undetectable; D=detectable.

<sup>a</sup> HIV viral load is unknown for 17 person-visits which are excluded from this table.

<sup>b</sup> Antiretroviral therapy is unknown for one subject.

<sup>c</sup> ISV=inflammation summary variable: value is 1 if any of the following is present at person-visit: inflammation-associated cellular changes, cervical ectopy, exudate, friability, lesions, vaginal pH >5.5, intermediate BV (Nugent) score, consistent BV score, or trichomoniasis, 0 if none is present.

\* ISV is unknown for one visit for PVL LLD=80, PVL >=80, CV-VL <80 and for all visits PVL = D, CV-VL <80

\*\* Fisher's exact tests are used to test associations between ISP and CV-VL for different levels of PVL (detectable, undetectable) in LLD categories.

**Table 3**  
 Association of Demographic, Behavioral, Virologic, and Clinical Factors with HIV-1 RNA Level ( $\log_{10}$  copies/mL) in CVL Among 481 Participants Across 976 Visits.

| Factor <sup>d</sup>                   | Univariate models <sup>b</sup> |                     | Multivariate model excluding summary inflammation <sup>c</sup> |                     | Multivariate model including summary inflammation <sup>e</sup> |                     |                              |
|---------------------------------------|--------------------------------|---------------------|----------------------------------------------------------------|---------------------|----------------------------------------------------------------|---------------------|------------------------------|
|                                       | Mean SE                        | $\beta$ (SE)        | P                                                              | $\beta$ (SE)        | P                                                              | $\beta$ (SE)        | P                            |
| Self-identified ethnicity             |                                |                     |                                                                |                     |                                                                |                     |                              |
| White (non-Hispanic)                  | 2.08 (0.09)                    | Ref                 | -                                                              | -                   | -                                                              | -                   | -                            |
| African-American (non-Hispanic)       | 2.23 (0.05)                    | 0.15 (0.10)         | 0.15                                                           | -                   | -                                                              | -                   | -                            |
| Hispanic                              | 2.32 (0.08)                    | <b>0.24 (0.12)</b>  | <b>0.04</b>                                                    | -                   | -                                                              | -                   | -                            |
| Other                                 | 2.08 (0.18)                    | -0.002 (0.20)       | 0.99                                                           | -                   | -                                                              | -                   | -                            |
| Antiretroviral therapy                |                                |                     |                                                                |                     |                                                                |                     |                              |
| No therapy                            | 2.28 (0.06)                    | Ref                 | Ref                                                            | Ref                 | Ref                                                            | Ref                 | Ref                          |
| Monotherapy                           | 2.42 (0.10)                    | 0.13 (0.11)         | 0.23                                                           | 0.03 (0.11)         | 0.78                                                           | 0.03 (0.11)         | 0.79                         |
| Combination therapy                   | 2.46 (0.09)                    | 0.18 (0.10)         | 0.09                                                           | 0.08 (0.10)         | 0.43                                                           | 0.09 (0.09)         | 0.33                         |
| HAART                                 | 1.90 (0.04)                    | <b>-0.38 (0.07)</b> | <b>&lt;0.001</b>                                               | <b>-0.17 (0.07)</b> | <b>0.01</b>                                                    | <b>-0.19 (0.07)</b> | <b>0.005</b>                 |
| Plasma HIV-1 RNA, copies/mL (PVL)     |                                |                     |                                                                |                     |                                                                |                     |                              |
| 4,000                                 | 1.83 (0.03)                    | Ref                 | Ref                                                            | Ref                 | Ref                                                            | Ref                 | Ref                          |
| 4,001–9,999                           | 2.09 (0.08)                    | <b>0.26 (0.09)</b>  | <b>0.003</b>                                                   | <b>0.27 (0.09)</b>  | <b>0.002</b>                                                   | <b>0.22 (0.08)</b>  | <b>0.01</b>                  |
| 10,000–39,999                         | 2.38 (0.08)                    | <b>0.55 (0.09)</b>  | <b>&lt;0.001</b>                                               | <b>0.47 (0.09)</b>  | <b>&lt;0.001</b>                                               | <b>0.47 (0.09)</b>  | <b>&lt;0.001</b>             |
| 40,000–99,999                         | 2.60 (0.10)                    | <b>0.77 (0.11)</b>  | <b>&lt;0.001</b>                                               | <b>0.74 (0.11)</b>  | <b>&lt;0.001</b>                                               | <b>0.68 (0.11)</b>  | <b>&lt;0.001</b>             |
| 100,000                               | 3.02 (0.12)                    | <b>1.19 (0.12)</b>  | <b>&lt;0.001<sup>d</sup></b>                                   | <b>1.09 (0.14)</b>  | <b>&lt;0.001<sup>d</sup></b>                                   | <b>1.09 (0.13)</b>  | <b>&lt;0.001<sup>d</sup></b> |
| Per $\log_{10}$ increase              |                                | <b>0.55 (0.04)</b>  | <b>&lt;0.001</b>                                               | <b>0.50 (0.05)</b>  | <b>&lt;0.001</b>                                               | <b>0.50 (0.05)</b>  | <b>&lt;0.001</b>             |
| CD4 cell count, cells/mm <sup>3</sup> |                                |                     |                                                                |                     |                                                                |                     |                              |
| 0–200                                 | 2.50 (0.09)                    | <b>0.52 (0.10)</b>  | <b>&lt;0.001<sup>d</sup></b>                                   | -                   | -                                                              | -                   | -                            |
| 201–350                               | 2.32 (0.07)                    | <b>0.34 (0.09)</b>  | <b>&lt;0.001</b>                                               | -                   | -                                                              | -                   | -                            |
| 351–500                               | 2.11 (0.06)                    | 0.13 (0.07)         | 0.07                                                           | -                   | -                                                              | -                   | -                            |
| >500                                  | 1.98 (0.05)                    | Ref                 | Ref                                                            | -                   | -                                                              | -                   | -                            |
| Hepatitis C status                    |                                |                     |                                                                |                     |                                                                |                     |                              |
| AB-negative                           | 2.26 (0.05)                    | Ref                 | Ref                                                            | -                   | -                                                              | -                   | -                            |
| AB-positive RNA-negative              | 2.06 (0.12)                    | -0.19 (0.13)        | 0.14                                                           | -                   | -                                                              | -                   | -                            |



| Factor <sup>a</sup>                      | Univariate models <sup>b</sup> |                    |                         | Multivariate model excluding summary inflammation <sup>c</sup> |                          |                          | Multivariate model including summary inflammation <sup>d</sup> |                          |                          |
|------------------------------------------|--------------------------------|--------------------|-------------------------|----------------------------------------------------------------|--------------------------|--------------------------|----------------------------------------------------------------|--------------------------|--------------------------|
|                                          | Mean (SE)                      | $\beta$ (SE)       | P                       | $\beta$ (SE)                                                   | P                        | P                        | $\beta$ (SE)                                                   | P                        | P                        |
| AB-positive RNA-positive                 | 2.21 (0.07)                    | -0.05 (0.09)       | 0.54                    | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| Vaginal pH                               |                                |                    |                         |                                                                |                          |                          |                                                                |                          |                          |
| <4.5                                     | 2.13 (0.06)                    | Ref                | -                       | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| 4.5-5.4                                  | 2.18 (0.05)                    | 0.05 (0.07)        | 0.51                    | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| 5.5+                                     | 2.34 (0.06)                    | <b>0.21 (0.08)</b> | <b>0.01<sup>e</sup></b> | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| Squamous metaplasia                      | 2.26 (0.05)                    | 0.10 (0.06)        | 0.10                    | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| Inflammation                             | 2.36 (0.13)                    | 0.16 (0.13)        | 0.22                    | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| Inflammation-associated cellular changes | 2.62 (0.17)                    | <b>0.42 (0.17)</b> | <b>0.01</b>             | <b>0.38 (0.17)</b>                                             | <b>0.03</b>              | <b>0.03</b>              | <b>0.38 (0.17)</b>                                             | <b>0.03</b>              | <b>0.03</b>              |
| Cervical friability                      | 2.56 (0.10)                    | <b>0.37 (0.11)</b> | <b>0.001</b>            | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| Cervical ectopy                          | 2.92 (0.21)                    | <b>0.71 (0.21)</b> | <b>0.001</b>            | <b>0.48 (0.18)</b>                                             | <b>0.009</b>             | <b>0.009</b>             | <b>0.48 (0.18)</b>                                             | <b>0.009</b>             | <b>0.009</b>             |
| Cervical exudate                         | 2.39 (0.07)                    | <b>0.20 (0.07)</b> | <b>0.007</b>            | <b>0.18 (0.06)</b>                                             | <b>0.005</b>             | <b>0.005</b>             | <b>0.18 (0.06)</b>                                             | <b>0.005</b>             | <b>0.005</b>             |
| Cervical lesions                         | 2.58 (0.14)                    | <b>0.37 (0.15)</b> | <b>0.01</b>             | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| Herpes simplex virus, type 1             | 2.27 (0.04)                    | <b>0.21 (0.09)</b> | <b>0.02</b>             | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| BV Gram stain score                      |                                |                    |                         |                                                                |                          |                          |                                                                |                          |                          |
| Normal (0-3)                             | 2.12 (0.05)                    | Ref                | -                       | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| Intermediate (4-6)                       | 2.30 (0.07)                    | <b>0.18 (0.08)</b> | <b>0.03</b>             | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| Consistent (7-10)                        | 2.31 (0.06)                    | <b>0.19 (0.08)</b> | <b>0.01<sup>f</sup></b> | -                                                              | -                        | -                        | -                                                              | -                        | -                        |
| Trichomonas vaginalis                    | 2.52 (0.14)                    | <b>0.33 (0.15)</b> | <b>0.02</b>             | <b>0.31 (0.14)</b>                                             | <b>0.03</b>              | <b>0.03</b>              | <b>0.31 (0.14)</b>                                             | <b>0.03</b>              | <b>0.03</b>              |
| Inflammation Summary Variable (ISV)      | 2.29 (0.04)                    | <b>0.29 (0.06)</b> | <b>&lt;0.001</b>        | <b>0.16 (0.06)<sup>h</sup></b>                                 | <b>0.004<sup>h</sup></b> | <b>0.004<sup>h</sup></b> | <b>0.16 (0.06)<sup>h</sup></b>                                 | <b>0.004<sup>h</sup></b> | <b>0.004<sup>h</sup></b> |

**NOTE.** Boldface type indicates statistical significance. ART, antiretroviral therapy; BV, bacterial vaginosis; HAART, highly active antiretroviral therapy; Ref, reference; SE, standard error.

<sup>a</sup>All factors were evaluated but only those with associations where  $P < 0.10$  are included in the table.

<sup>b</sup>Linear regression with generalized estimating equations assuming an exchangeable correlation matrix and identity link is used to estimate  $\beta$ -coefficients, standard errors and  $P$  values.

<sup>c</sup>The multivariate model includes all evaluated factors where  $P < 0.10$  in the univariate model (excluding summary inflammation) that remained  $P < 0.10$  in the multivariate model. Estimates are displayed for all variables that were included in the model. 857 observations are included in the multivariate model.

<sup>d</sup> $P$ -trend < 0.001;

<sup>e</sup> $P$ -trend < 0.01;

<sup>f</sup> $P$ -trend < 0.05.

<sup>g</sup>The multivariate model includes all factors in the table (except those inflammatory variables that are used to define the summary inflammation) where  $P < 0.10$  in the univariate model that remained  $P < 0.10$  in the multivariate model. Estimates are displayed for all variables that remained in the model. 957 observations are included in the multivariate model.

<sup>h</sup>There was significant interaction between ISV and PVL in correlation with CV-VL with correlation coefficient 0.26. Using Generalized Estimating Equation the following equation was derived:  $Y = 0.7 + 0.33X_1 - 0.79X_2 + 0.26X_1 * X_2$  where  $Y = \log_{10} \text{ CV-VL}$ ,  $X_1 = \log_{10} \text{ HIV RNA}$ ,  $X_2 = \text{ISV}$  ( $P = 0.006$ )

Table 4

STRATA. Association of Factors with HIV-1 RNA Level ( $\log_{10}$  copies/mL) in CVL at individual patient visits, stratified by Plasma HIV-RNA (PVL), Univariate and Multivariate analyses

| Factor <sup>d</sup>                   | Plasma HIV-1 RNA < 80 copies/mL |            |                     |             | Plasma HIV-1 RNA ≥ 80 copies/mL |            |                     |                              | PVL < 80 (N=132) |                     | PVL ≥ 80 (N=665) |                    |
|---------------------------------------|---------------------------------|------------|---------------------|-------------|---------------------------------|------------|---------------------|------------------------------|------------------|---------------------|------------------|--------------------|
|                                       | No.                             | No. (%)    | $\beta$ (SE)        | P           | No.                             | No. (%)    | $\beta$ (SE)        | P                            | $\beta$ (SE)     | P                   | $\beta$ (SE)     | P                  |
| Antiretroviral therapy                | 177                             |            |                     |             | 747                             |            |                     |                              |                  |                     |                  |                    |
| No therapy                            |                                 | 25 (14.1)  | Ref                 |             |                                 | 276 (14.1) | Ref                 |                              |                  | Ref                 |                  |                    |
| Monotherapy                           |                                 | 8 (4.5)    | <b>-0.36 (0.16)</b> | <b>0.02</b> |                                 | 123 (16.5) | 0.19 (0.12)         | 0.12                         |                  | <b>-0.52 (0.20)</b> | <b>0.009</b>     |                    |
| Combination therapy                   |                                 | 10 (5.7)   | -0.05 (0.26)        | 0.85        |                                 | 142 (19.0) | 0.20 (0.11)         | 0.07                         |                  | -0.004 (0.37)       | 0.99             |                    |
| HAAART                                |                                 | 134 (75.7) | <b>-0.32 (0.16)</b> | <b>0.04</b> |                                 | 206 (27.6) | <b>-0.25 (0.09)</b> | <b>0.004</b>                 |                  | <b>-0.39 (0.19)</b> | <b>0.04</b>      |                    |
| Plasma HIV-1 RNA level                |                                 |            |                     |             | 748                             |            |                     |                              |                  |                     |                  |                    |
| 80–4000 copies/mL                     |                                 |            | -                   | -           |                                 | 239 (32.0) | Ref                 |                              |                  | -                   | -                | Ref                |
| 4,001–9,999                           |                                 |            | -                   | -           |                                 | 122 (16.3) | 0.17 (0.09)         | 0.08                         |                  | -                   | -                | <b>0.22 (0.10)</b> |
| 10,000–39,999                         |                                 |            | -                   | -           |                                 | 161 (21.5) | <b>0.45 (0.09)</b>  | <b>&lt;0.001</b>             |                  | -                   | -                | <b>0.40 (0.10)</b> |
| 40,000–99,999                         |                                 |            | -                   | -           |                                 | 118 (15.8) | <b>0.67 (0.11)</b>  | <b>&lt;0.001</b>             |                  | -                   | -                | <b>0.71 (0.11)</b> |
| 100,000                               |                                 |            | -                   | -           |                                 | 108 (14.4) | <b>1.08 (0.13)</b>  | <b>&lt;0.001<sup>d</sup></b> |                  | -                   | -                | <b>1.02 (0.14)</b> |
| Per $\log_{10}$ increase              |                                 |            | -                   | -           |                                 |            | <b>0.50 (0.05)</b>  | <b>&lt;0.001</b>             |                  | -                   | -                | <b>0.48 (0.05)</b> |
| CD4 cell count, cells/mm <sup>3</sup> | 174                             |            |                     |             | 728                             |            |                     |                              |                  |                     |                  |                    |
| <200                                  |                                 | 8 (4.6)    | <b>-0.11 (0.04)</b> | <b>0.01</b> |                                 | 201 (27.6) | <b>0.40 (0.12)</b>  | <b>0.001</b>                 |                  |                     |                  |                    |
| 201–350                               |                                 | 29 (16.7)  | -0.04 (0.07)        | 0.54        |                                 | 205 (28.2) | <b>0.32 (0.11)</b>  | <b>0.004</b>                 |                  |                     |                  |                    |
| 351–500                               |                                 | 39 (22.4)  | -0.002 (0.07)       | 0.98        |                                 | 154 (21.2) | 0.11 (0.09)         | 0.25                         |                  |                     |                  |                    |
| >500                                  |                                 | 98 (56.3)  | Ref                 |             |                                 | 168 (23.1) | Ref                 |                              |                  |                     |                  |                    |
| Vaginal pH                            | 176                             |            |                     |             | 736                             |            |                     |                              |                  |                     |                  |                    |
| <4.5                                  |                                 | 65 (36.9)  | Ref                 |             |                                 | 192 (26.1) | Ref                 |                              |                  |                     |                  |                    |
| 4.5–5.4                               |                                 | 71 (40.3)  | -0.004 (0.06)       | 0.95        |                                 | 279 (37.9) | 0.05 (0.09)         | 0.6                          |                  |                     |                  |                    |
| 5.5+                                  |                                 | 40 (22.7)  | 0.08 (0.08)         | 0.32        |                                 | 265 (36.0) | 0.18 (0.10)         | 0.09                         |                  |                     |                  |                    |
| Squamous metaplasia                   | 176                             | 98 (55.7)  | 0.0008 (0.06)       | 0.99        | 734                             | 484 (65.9) | 0.10 (0.08)         | 0.2                          |                  |                     |                  |                    |
| Inflammation                          | 176                             | 10 (5.7)   | 0.34 (0.21)         | 0.12        | 734                             | 55 (7.5)   | 0.17 (0.16)         | 0.29                         |                  |                     |                  |                    |
| Inflammation changes                  | 176                             | 8 (4.6)    | 0.01 (0.11)         | 0.91        | 734                             | 32 (4.4)   | <b>0.61 (0.21)</b>  | <b>0.004</b>                 |                  | -                   | -                | <b>0.61 (0.22)</b> |
| Cervical friability                   | 161                             | 21 (13.0)  | -0.009 (0.09)       | 0.91        | 676                             | 103 (15.2) | <b>0.40 (0.12)</b>  | <b>0.001</b>                 |                  | -                   | -                | <b>0.23 (0.12)</b> |

| Factor <sup>a</sup>          | Plasma HIV-1 RNA < 80 copies/mL |            |                     | Plasma HIV-1 RNA 80 copies/mL |     |            | PVL < 80 (N=132)   |                  |                     | PVL 80 (N=665) |                    |              |
|------------------------------|---------------------------------|------------|---------------------|-------------------------------|-----|------------|--------------------|------------------|---------------------|----------------|--------------------|--------------|
|                              | No.                             | No. (%)    | $\beta$ (SE)        | P                             | No. | No. (%)    | $\beta$ (SE)       | P                | $\beta$ (SE)        | P              | $\beta$ (SE)       | P            |
| Cervical ectopy              | 161                             | 1 (0.6)    | -                   | -                             | 675 | 36 (5.3)   | <b>0.60 (0.22)</b> | <b>0.005</b>     | -                   | -              | <b>0.46 (0.20)</b> | <b>0.02</b>  |
| Cervical exudate             | 161                             | 53 (32.9)  | 0.002 (0.06)        | 0.98                          | 678 | 222 (32.7) | <b>0.27 (0.09)</b> | <b>0.002</b>     | -                   | -              | <b>0.25 (0.08)</b> | <b>0.001</b> |
| Cervical lesions             | 158                             | 6 (3.8)    | <b>-0.12 (0.03)</b> | <b>0.001</b>                  | 656 | 59 (9.0)   | <b>0.39 (0.17)</b> | <b>0.02</b>      | <b>-0.16 (0.06)</b> | <b>0.01</b>    | -                  | -            |
| Herpes simplex virus, type 1 | 166                             | 115 (69.3) | -0.03 (0.07)        | 0.71                          | 733 | 608 (83.0) | 0.17 (0.11)        | 0.13             | -                   | -              | -                  | -            |
| Herpes simplex virus, type 2 | 165                             | 110 (66.7) | <b>0.13 (0.05)</b>  | <b>0.01</b>                   | 729 | 563 (77.2) | 0.07 (0.11)        | 0.52             | <b>0.11 (0.06)</b>  | <b>0.09</b>    | -                  | -            |
| BV Gram stain score          | 159                             |            |                     |                               | 707 |            |                    |                  |                     |                |                    |              |
|                              |                                 | 88 (55.4)  | Ref                 |                               |     | 270 (38.2) | Ref                |                  | Ref                 |                | -                  | -            |
|                              |                                 | 38 (23.9)  | -0.11 (0.07)        | 0.11                          |     | 139 (19.7) | <b>0.26 (0.10)</b> | <b>0.01</b>      | -0.11 (0.08)        | 0.13           | -                  | -            |
|                              |                                 | 33 (20.8)  | <b>-0.14 (0.06)</b> | <b>0.02</b>                   |     | 298 (42.2) | <b>0.18 (0.09)</b> | <b>0.05</b>      | <b>-0.19 (0.08)</b> | <b>0.02</b>    | -                  | -            |
| Trichomonas vaginalis        | 176                             | 6 (3.4)    | 0.16 (0.24)         | 0.49                          | 734 | 66 (9.0)   | 0.30 (0.16)        | 0.06             | -                   | -              | <b>0.33 (0.16)</b> | <b>0.04</b>  |
| Summary inflammation         | 177                             | 122 (68.9) | -0.09 (0.08)        | 0.23                          | 747 | 587 (78.6) | <b>0.37 (0.07)</b> | <b>&lt;0.001</b> |                     |                |                    |              |

NOTE: Boldface type indicates statistical significance. ART, antiretroviral therapy; BV, bacterial vaginosis; HAART, highly active antiretroviral therapy; Ref, reference; SE, standard error.

<sup>a</sup> All factors evaluated but only those with associations where  $P < 0.10$  are included in multivariate analysis.

<sup>b</sup> Linear regression with generalized estimating equations assuming an exchangeable correlation matrix and identity link is used to estimate  $\beta$ -coefficients, standard errors and  $P$ -values.

<sup>c</sup> The multivariate models include all evaluated factors where  $P < 0.10$  in the univariate model that remained  $P < 0.10$  in the multivariate model. Estimates are displayed for all variables that were included in the model.

<sup>d</sup>  $P$ -trend  $< 0.001$

**Table 5**  
 Association of Demographic, Behavioral, Virologic, and Clinical Factors with HIV-1 Genital Longitudinal Shedding Pattern Among 136 Participants with 3 or More Visits.<sup>a</sup>

| Factor <sup>b</sup>                      | HIV-1 genital shedding category <sup>c</sup> |                                          |                                        | Univariate models <sup>d</sup> |                              |                          | Models adjusted for ART and PVL <sup>e</sup> |             |   |
|------------------------------------------|----------------------------------------------|------------------------------------------|----------------------------------------|--------------------------------|------------------------------|--------------------------|----------------------------------------------|-------------|---|
|                                          | Never Shedder <sup>f</sup> (N=55)            | Intermittent Shedder <sup>f</sup> (N=61) | Persistent Shedder <sup>f</sup> (N=20) | OR (95% CI)                    | P                            | OR (95% CI)              | P                                            | OR (95% CI) | P |
| <b>Antiretroviral therapy</b>            |                                              |                                          |                                        |                                |                              |                          |                                              |             |   |
| No therapy                               | 14 (25)                                      | 22 (36)                                  | 13 (65)                                | Ref                            |                              | Ref                      |                                              | Ref         |   |
| Mono/Combo                               | 11 (20)                                      | 13 (21)                                  | 2 (10)                                 | 0.42 (0.17–1.05)               | 0.07                         | 0.63 (0.23–1.67)         | 0.35                                         |             |   |
| HAART                                    | 30 (55)                                      | 26 (43)                                  | 5 (25)                                 | <b>0.34 (0.16–0.72)</b>        | <b>0.005</b>                 | 0.50 (0.21–1.19)         | 0.12                                         |             |   |
| <b>Plasma HIV-1 RNA level, copies/mL</b> |                                              |                                          |                                        |                                |                              |                          |                                              |             |   |
| 400                                      | 25 (49)                                      | 18 (31)                                  | 1 (5)                                  | Ref                            |                              | Ref                      |                                              | Ref         |   |
| 401–3,999                                | 14 (27)                                      | 12 (20)                                  | 2 (10)                                 | 1.39 (0.55–3.53)               | 0.48                         | 1.00 (0.36–2.81)         | 0.99                                         |             |   |
| 4,000–19,999                             | 8 (16)                                       | 9 (15)                                   | 3 (15)                                 | 2.36 (0.82–6.74)               | 0.11                         | 2.01 (0.69–5.87)         | 0.20                                         |             |   |
| 20,000                                   | 4 (8)                                        | 20 (34)                                  | 14 (70)                                | <b>11.65 (4.45–30.53)</b>      | <b>&lt;0.001<sup>g</sup></b> | <b>8.87 (3.21–24.54)</b> | <b>&lt;0.001<sup>g</sup></b>                 |             |   |
| Unknown                                  | 4                                            | 2                                        | 0                                      |                                |                              |                          |                                              |             |   |
| <b>Per log<sub>10</sub> increase</b>     |                                              |                                          |                                        |                                |                              |                          |                                              |             |   |
| CD4 cell count, cells/mm <sup>3</sup>    |                                              |                                          |                                        | <b>2.64 (1.84–3.80)</b>        | <b>&lt;0.001</b>             | <b>2.47 (1.70–3.60)</b>  | <b>&lt;0.001</b>                             |             |   |
| 0–200                                    | 6 (11)                                       | 10 (17)                                  | 8 (40)                                 | <b>5.67 (2.09–15.37)</b>       | <b>0.001<sup>g</sup></b>     | 2.49 (0.79–7.88)         | 0.12                                         |             |   |
| 201–350                                  | 13 (24)                                      | 18 (30)                                  | 7 (35)                                 | <b>2.86 (1.24–6.60)</b>        | <b>0.01</b>                  | 2.34 (0.92–5.93)         | 0.07                                         |             |   |
| 351–500                                  | 9 (16)                                       | 14 (23)                                  | 3 (15)                                 | 2.41 (0.96–6.01)               | 0.06                         | 2.58 (0.96–6.90)         | 0.06                                         |             |   |
| >500                                     | 27 (49)                                      | 18 (30)                                  | 2 (10)                                 | Ref                            |                              | Ref                      |                                              |             |   |
| Unknown                                  | 0                                            | 1                                        | 0                                      |                                |                              |                          |                                              |             |   |
| <b>Alcohol consumption</b>               |                                              |                                          |                                        |                                |                              |                          |                                              |             |   |
| Abstainer                                | 31 (56)                                      | 32 (53)                                  | 5 (25)                                 | Ref                            |                              | Ref                      |                                              | Ref         |   |
| Light (<3 drinks/week)                   | 11 (20)                                      | 16 (27)                                  | 5 (25)                                 | 1.68 (0.76–3.71)               | 0.20                         | <b>2.58 (1.05–6.34)</b>  | <b>0.04</b>                                  |             |   |
| Moderate (3–13 drinks/week)              | 8 (15)                                       | 7 (12)                                   | 2 (10)                                 | 1.04 (0.38–2.87)               | 0.94                         | 1.10 (0.36–3.32)         | 0.87                                         |             |   |
| Heavy (14 or more drinks/week)           | 5 (9)                                        | 5 (8)                                    | 8 (40)                                 | <b>4.69 (1.60–13.76)</b>       | <b>0.005<sup>h</sup></b>     | <b>3.29 (1.10–9.79)</b>  | <b>0.03</b>                                  |             |   |
| Any                                      | 24 (43.6)                                    | 28 (46.7)                                | 15 (75.0)                              | 1.86 (0.98–3.56)               | 0.06                         | <b>2.20 (1.08–4.49)</b>  | <b>0.03</b>                                  |             |   |
| Unknown                                  | 0                                            | 1                                        | 0                                      |                                |                              |                          |                                              |             |   |

| Factor <sup>b</sup>                           | HIV-1 genital shedding category <sup>c</sup> |                                          |                                        | Univariate models <sup>d</sup> |                          | Models adjusted for ART and PVL <sup>e</sup> |                  |
|-----------------------------------------------|----------------------------------------------|------------------------------------------|----------------------------------------|--------------------------------|--------------------------|----------------------------------------------|------------------|
|                                               | Never Shedder <sup>f</sup> (N=55)            | Intermittent Shedder <sup>f</sup> (N=61) | Persistent Shedder <sup>f</sup> (N=20) | OR (95% CI)                    | P                        | OR (95% CI)                                  | P                |
| Cervical friability                           |                                              |                                          |                                        |                                |                          |                                              |                  |
| Absent                                        | 41 (87)                                      | 55 (95)                                  | 19 (100)                               | Ref                            |                          | Ref                                          |                  |
| Present                                       | 6 (13)                                       | 3 (5)                                    | 0 (0)                                  | 0.26 (0.06–1.04)               | 0.06                     | 0.20 (0.03–1.20)                             | 0.08             |
| Unknown                                       | 8                                            | 3                                        | 1                                      |                                |                          |                                              |                  |
| Herpes simplex virus type 2                   |                                              |                                          |                                        |                                |                          |                                              |                  |
| Absent                                        | 18 (33.3)                                    | 14 (24.1)                                | 2 (10.0)                               | Ref                            |                          | Ref                                          |                  |
| Present                                       | 36 (66.7)                                    | 44 (75.9)                                | 18 (90.0)                              | <b>2.13 (1.01–4.52)</b>        | <b>0.05</b>              | <b>3.21 (1.34–7.67)</b>                      | <b>0.009</b>     |
| Unknown                                       | 1                                            | 3                                        | 0                                      |                                |                          |                                              |                  |
| Human papillomavirus, all types               |                                              |                                          |                                        |                                |                          |                                              |                  |
| Absent                                        | 25 (61)                                      | 23 (49)                                  | 2 (15)                                 | Ref                            |                          | Ref                                          |                  |
| Present                                       | 16 (39)                                      | 24 (51)                                  | 11 (85)                                | <b>2.70 (1.25–5.83)</b>        | <b>0.01</b>              | 2.00 (0.83–4.81)                             | 0.12             |
| Unknown                                       | 14                                           | 14                                       | 7                                      |                                |                          |                                              |                  |
| Trichomonas vaginalis                         |                                              |                                          |                                        |                                |                          |                                              |                  |
| Absent                                        | 51 (93)                                      | 57 (93)                                  | 15 (75)                                | Ref                            |                          | Ref                                          |                  |
| Present                                       | 4 (7)                                        | 4 (7)                                    | 5 (25)                                 | 2.75 (0.87–8.75)               | 0.09                     | 1.37 (0.38–4.93)                             | 0.63             |
| Summary variable over all visits <sup>f</sup> |                                              |                                          |                                        |                                |                          |                                              |                  |
| Use of HAART                                  |                                              |                                          |                                        |                                |                          |                                              |                  |
| Never                                         | 12 (22)                                      | 14 (23)                                  | 7 (35)                                 | Ref                            |                          | Ref                                          |                  |
| Intermittent                                  | 21 (38)                                      | 36 (59)                                  | 12 (60)                                | 1.09 (0.49–2.42)               | 0.84                     | 1.57 (0.67–3.70)                             | 0.30             |
| Continuous                                    | 22 (40)                                      | 11 (18)                                  | 1 (5)                                  | <b>0.26 (0.10–0.69)</b>        | <b>0.006<sup>h</sup></b> | 0.46 (0.16–1.30)                             | 0.14             |
| Detectable plasma HIV-1 RNA                   |                                              |                                          |                                        |                                |                          |                                              |                  |
| Always                                        | 20 (36)                                      | 24 (39)                                  | 18 (90)                                | Ref                            |                          | Ref                                          |                  |
| Sometimes                                     | 16 (29)                                      | 32 (52)                                  | 2 (10)                                 | 0.54 (0.26–1.11)               | 0.09                     | 0.48 (0.23–1.02)                             | 0.06             |
| Never                                         | 19 (35)                                      | 5 (8)                                    | 0 (0)                                  | <b>0.09 (0.03–0.27)</b>        | <b>&lt;0.001</b>         | <b>0.10 (0.03–0.32)</b>                      | <b>&lt;0.001</b> |

**NOTE.** Data are no. (% of population, unless otherwise indicated. Boldface type indicates statistical significance. ART, antiretroviral therapy; CI, confidence interval; HAART, highly active antiretroviral therapy; Ref, reference.

<sup>a</sup>Data is restricted to participants with a minimum of 3 and a maximum of 6 evaluated consecutive visits; only at most 1 consecutive visit can be skipped.

<sup>b</sup>All factors were evaluated but only those with associations where  $P < 0.10$  in univariate or adjusted models are included in the table.

- <sup>c</sup> Genital shedding is defined as HIV RNA in CVL > 80 copies/mL. Shedding categories are defined as: never shedder, shed at 0 visits; intermittent, shed at 1 or 2 visits; and persistent shedder, shed at 3 or more visits.
- <sup>d</sup> Ordinal logistic regressions are used to estimate odds ratios, 95% confidence intervals and *P*-values where data from the first evaluated visit contributes to the model.
- <sup>e</sup> Adjusted models control for ART category (no therapy, mono/ combo, HAART) and plasma HIV-1 RNA level category ( 400, 401–3999, 4000–19999, 20000+ copies/mL). The ART model is adjusted for plasma HIV-1 RNA level only, and the plasma HIV-1 RNA level model is adjusted for ART only.
- <sup>f</sup> The adjusted model for use of HAART adjusts only for PVL. The adjusted model for Detectable plasma HIV-1 RNA adjusts only for ART.
- <sup>g</sup> *P*-trend<0.0001;
- <sup>h</sup> *P*-trend<0.01.
- <sup>i</sup> Proportionality odds assumption was met for increasing shedding frequency by likelihood ratio test for all variables but Friability and Detectable plasma HIV-1 RNA. These are not reliable due to presence of 0.

**Table 6**  
 Association of Demographic, Behavioral, Virologic, and Clinical Factors with HIV-1 Genital Shedding Frequency Among 62 Women with 3 or More Visits With Detectable Plasma Viral Load.<sup>a</sup>

| Factor <sup>b</sup>                   | HIV-1 genital shedding category <sup>c</sup> |                             |                           | Univariate models <sup>d</sup> |                          | Models adjusted for log <sub>10</sub> plasma HIV-1 RNA level <sup>e</sup> |                         |
|---------------------------------------|----------------------------------------------|-----------------------------|---------------------------|--------------------------------|--------------------------|---------------------------------------------------------------------------|-------------------------|
|                                       | Never Shedder (N=20)                         | Intermittent Shedder (N=24) | Persistent Shedder (N=18) | OR (95% CI)                    | P                        | OR (95% CI)                                                               | P                       |
| Plasma HIV-1 RNA level, copies/mL     |                                              |                             |                           |                                |                          |                                                                           |                         |
| 401–3,999                             | 9 (45)                                       | 7 (29)                      | 2 (11)                    | Ref                            |                          |                                                                           |                         |
| 4,000–19,999                          | 8 (40)                                       | 4 (17)                      | 2 (11)                    | 0.83 (0.21–3.27)               | 0.79                     |                                                                           |                         |
| 20,000                                | 3 (15)                                       | 13 (54)                     | 14 (78)                   | <b>7.28 (2.17–24.39)</b>       | <b>0.001<sup>f</sup></b> |                                                                           |                         |
| Per log <sub>10</sub> increase        |                                              |                             |                           | <b>4.09 (1.96–8.55)</b>        | <b>&lt;0.001</b>         |                                                                           |                         |
| CD4 cell count, cells/mm <sup>3</sup> |                                              |                             |                           |                                |                          |                                                                           |                         |
| 0–200                                 | 7 (35)                                       | 6 (26)                      | 2 (11)                    | 3.35 (0.90–12.50)              | 0.07                     | 1.10 (0.25–4.83)                                                          | 0.90                    |
| 201–350                               | 4 (20)                                       | 4 (17)                      | 3 (17)                    | 2.49 (0.69–8.97)               | 0.16                     | 1.73 (0.44–6.83)                                                          | 0.43                    |
| 351–500                               | 5 (25)                                       | 7 (30)                      | 6 (33)                    | 1.75 (0.41–7.48)               | 0.45                     | 1.95 (0.44–8.70)                                                          | 0.38                    |
| >500                                  | 4 (20)                                       | 6 (26)                      | 7 (39)                    | Ref                            |                          | Ref                                                                       |                         |
| Unknown                               | 0                                            | 1                           | 0                         |                                |                          |                                                                           |                         |
| Alcohol consumption                   |                                              |                             |                           |                                |                          |                                                                           |                         |
| Abstainer                             | 14 (70)                                      | 11 (46)                     | 5 (28)                    | Ref                            |                          | Ref                                                                       |                         |
| Light (<3 drinks/week)                | 3 (15)                                       | 7 (29)                      | 4 (22)                    | 2.53 (0.77–8.25)               | 0.12                     | <b>3.96 (1.12–14.02)</b>                                                  | <b>0.03</b>             |
| Moderate (3–13 drinks/week)           | 1 (5)                                        | 3 (13)                      | 2 (11)                    | 3.15 (0.63–15.90)              | 0.16                     | 5.03 (0.75–33.62)                                                         | 0.10                    |
| Heavy (14 or more drinks/week)        | 2 (10)                                       | 3 (13)                      | 7 (39)                    | <b>6.72 (1.69–26.68)</b>       | <b>0.007<sup>f</sup></b> | <b>6.58 (1.56–27.72)</b>                                                  | <b>0.01<sup>f</sup></b> |
| Any                                   | 6 (30)                                       | 13 (54)                     | 13 (72)                   | <b>3.62 (1.37–9.58)</b>        | <b>0.01</b>              | <b>4.92 (1.71–14.14)</b>                                                  | <b>0.003</b>            |
| Marijuana/hashish use (past 6 months) |                                              |                             |                           |                                |                          |                                                                           |                         |
| No                                    | 20 (100)                                     | 20 (83)                     | 14 (78)                   | Ref                            |                          | Ref                                                                       |                         |
| Yes                                   | 0 (0)                                        | 4 (17)                      | 4 (22)                    | 3.97 (1.00–15.84)              | 0.05                     | 2.30 (0.53–9.94)                                                          | 0.26                    |
| Squamous metaplasia                   |                                              |                             |                           |                                |                          |                                                                           |                         |
| Absent                                | 10 (50)                                      | 8 (33)                      | 4 (22)                    | Ref                            |                          | Ref                                                                       |                         |
| Present                               | 10 (50)                                      | 16 (67)                     | 14 (78)                   | 2.47 (0.92–6.64)               | 0.07                     | 1.97 (0.70–5.58)                                                          | 0.20                    |
| Candidiasis                           |                                              |                             |                           |                                |                          |                                                                           |                         |



| Factor <sup>a,b</sup>       | HIV-1 genital shedding category <sup>c</sup> |                             |                           | Univariate models <sup>d</sup> |             |                            | Models adjusted for log <sub>10</sub> plasma HIV-1 RNA level <sup>e</sup> |             |   |
|-----------------------------|----------------------------------------------|-----------------------------|---------------------------|--------------------------------|-------------|----------------------------|---------------------------------------------------------------------------|-------------|---|
|                             | Never Shedder (N=20)                         | Intermittent Shedder (N=24) | Persistent Shedder (N=18) | OR (95% CI)                    | P           | OR (95% CI)                | P                                                                         | OR (95% CI) | P |
| Absent                      | 20 (100)                                     | 22 (92)                     | 13 (72)                   | Ref                            |             | Ref                        |                                                                           | Ref         |   |
| Present                     | 0 (0)                                        | 2 (8)                       | 5 (28)                    | <b>9.07 (1.63–50.42)</b>       | <b>0.01</b> | <b>15.14 (1.98–116.10)</b> | <b>0.009</b>                                                              |             |   |
| Herpes simplex virus type 2 |                                              |                             |                           |                                |             |                            |                                                                           |             |   |
| Absent                      | 5 (25)                                       | 4 (17)                      | 2 (11)                    | Ref                            |             | Ref                        |                                                                           | Ref         |   |
| Present                     | 15 (75)                                      | 19 (83)                     | 16 (89)                   | 2.00 (0.59–6.79)               | 0.27        | <b>4.44 (1.10–17.90)</b>   | <b>0.04</b>                                                               |             |   |
| Unknown                     | 0                                            | 1                           | 0                         |                                |             |                            |                                                                           |             |   |

NOTE. Data are no. (%) of population, unless otherwise indicated. Boldface type indicates statistical significance. CI, confidence interval; Ref, reference.

<sup>a</sup>Data is restricted to participants with a minimum of 3 and a maximum of 6 evaluated consecutive visits; only at most 1 consecutive visit can be skipped.

<sup>b</sup>All factors were evaluated but only those with associations where  $P < 0.10$  are included in the table.

<sup>c</sup>Genital shedding is defined as HIV RNA in CVL > 80 copies/mL. Shedding categories are defined as: never shedder, shed at 0 visits; intermittent, shed at 1 or 2 visits; and persistent shedder, shed at 3 or more visits.

<sup>d</sup>Ordinal logistic regressions are used to estimate odds ratios, 95% confidence intervals and  $P$ -values where data from the first evaluated visit contributes to the model.

<sup>e</sup>Adjusted models control for plasma log<sub>10</sub> HIV RNA level.

<sup>f</sup> $P$ -trend < 0.01.