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Title: Perpendicular axes of differentiation generated by mitochondrial introgression

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ABSTRACT

Differential introgression of mitochondrial versus nuclear DNA generates discordant patterns of geographic variation and can promote population divergence and speciation. We examined a potential case of mitochondrial introgression leading to two perpendicular axes of differentiation. The Eastern Yellow Robin *Eopsaltria australis*, a widespread Australian bird, shows a deep mitochondrial split that is perpendicular to north-south nuclear DNA and plumage colour differentiation. We propose a scenario to explain this pattern: (1) the two nuclear and mitochondrial genomes differentiated in concert during north-south population divergence; (2) later, their histories disconnected after two mitochondrial introgression events resulting in a deep mitochondrial split perpendicular to the nuclear DNA structure. We explored this scenario by coalescent modelling of ten mitochondrial genes and 400 nuclear DNA loci. Initial mitochondrial and nuclear genome divergences were estimated to have occurred in the early Pleistocene, consistent with the proposed scenario. Subsequent climatic transitions may have driven later mitochondrial introgression. We consider neutral introgression unlikely and instead propose that the evidence is more consistent with adaptive mitochondrial introgression and selection against incompatible mitochondrial-nuclear combinations. This likely generated an axis of coastal-inland mitochondrial differentiation in the face of nuclear gene flow, perpendicular to the initial north-south axis of differentiation (reflected in genome-wide nuclear DNA and colour variation).

INTRODUCTION

When divergent populations undergo hybridization, genes from one population can be incorporated into the other (i.e., there is introgression) to a variable extent within and between genomes (Harrison & Larson 2014; Harrison & Larson 2016). Alleles that are not involved in local adaptation and that have not accumulated incompatibilities with other loci are expected to move freely between populations (Mallet 2005). On the other hand, if genes from one population improve fitness in the other population, adaptive introgression can occur (Hedrick 2013). The proportion of the genome that is resistant or prone to introgression can vary as a result of local adaptation in heterogeneous environments and demographic history (Harrison & Larson 2014). Therefore, differential rates of introgression offer a valuable insight into adaptive divergence and speciation (Payseur 2010; Rheindt & Edwards 2011).

Differential rates of introgression of mitochondrial DNA (mtDNA) versus nuclear DNA (nDNA) genes are a main cause of mitochondrial-nuclear (mitonuclear) discordances (Toews & Brelsford 2012). However, it is challenging to predict the conditions under which higher rates of mitochondrial or nuclear introgression can be expected. This is because genetic patterns in both genomes can differently reflect the effects of genetic drift and selection, and the two genomes have different modes of inheritance and recombination (Funk & Omland 2003; Harrison 1990). In addition, demographic and ecological factors including population density, sex-ratio, mating behaviour, sex-bias in dispersal and episodes of spatial invasion impact expectations for nuclear and mitochondrial gene flow (Currat *et al.* 2008; Petit & Excoffier 2009). These considerations have generated the counter-intuitive proposal that hybridizing populations will typically experience less gene flow between them in markers that experience more gene flow within populations (Petit & Excoffier 2009). A test of this in 37 case studies revealed that 16/16 hybridization scenarios with female-biased dispersal had less mtDNA than nDNA gene flow between them, while the reverse was true for most male-biased dispersers (Petit & Excoffier 2009). Moreover, low passage through hybrid zones of maternally-transmitted mtDNA is predicted for species with heterogametic females, such as birds, under Haldane's Rule (i.e. disproportional hybrid sterility and/or inviability of the heterogametic sex; Haldane 1922). These predictions are commonly supported by studies of avian hybrid zones (Rheindt & Edwards 2011). On the other hand, higher mtDNA than nDNA introgression can occur if a population of low effective size accumulate slightly deleterious mutations by drift (i.e. build up mitochondrial mutation load) to the point where mitochondrial replacement (by introgression) from neighbouring populations is needed (Sloan *et al.* 2016). Moreover, high mtDNA introgression can stem from female-biased dispersal (Petit *et al.* 2004) and asymmetrical mating success of females from hybridizing populations (Roca *et al.* 2005), where females that are more dispersive and/or reproductively successful will transmit maternally inherited mtDNA more often. Alternatively to all the non-adaptive explanations above, adaptive mitochondrial introgression into a beneficiary population could be common given the importance of mtDNA for organismal metabolism and fitness (Currat *et al.* 2008; Hedrick 2013; Toews & Brelsford 2012).

The Eastern Yellow Robin (*Eopsaltria australis,* hereafter EYR) shows a striking pattern of geographic mitonuclear discordance, representing an excellent system to study differential mtDNA and nDNA introgression (Pavlova *et al.* 2013). The two major mitochondrial lineages of EYR (mitolineages; mito-A and mito-B) are 6.8% divergent and structured across inland and coastal sides of the Great Dividing

Range in south-eastern Australia (Pavlova *et al.* 2013; Fig. 1A). In contrast, the major axis of nDNA structure runs north-south through the species range (Fig. 1B). Thus, nDNA and mtDNA structures are geographically perpendicular (Pavlova *et al.* 2013; Fig. 1A-B). Additionally, minor inland-coastal nDNA structure exists in the south corresponding with mitolineage distributions (Morales *et al.* 2016a; Fig. 1B). The major north-south axis of nDNA differentiation is mirrored by rump plumage colour variation, supporting two currently recognized subspecies: the rump is bright yellow in northern *E. a. chrysorrhoa*, and olive-green in southern *E. a. australis* (Ford 1979; Schodde & Mason 1999). Colour variation at the continental-scale is strongly influenced by population history, but on a regional scale appears to be structured according to local environmental variation (Morales *et al.* 2016a).

Previous studies have considered drivers of observed patterns of genetic and phenotypic variation in EYR. Using microsatellites, nuclear intron sequences and one mitochondrial gene, Pavlova *et al.* (2013) rejected three common explanations of mitonuclear discordance based on selective neutrality (Toews & Brelsford 2012): (1) inland-coast vicariance was not supported by models of past and present species distributions, (2) incomplete lineage sorting was contradicted by the >1500 km extent of the mitolineage contact zone and inferred nuclear gene flow between mitolineages, and (3) male-biased dispersal is counter to known female-biased dispersal in EYR (Debus & Ford 2012; Harrisson *et al.* 2012). They found that maximum temperature of the hottest month explains mtDNA variance over and above that explained by geographic position and distance, which suggests environmental temperature as a possible selective driver of mitolineage distribution (Fig. 1A). Pavlova *et al.* (2013) concluded that the major nDNA north-south structure in EYR was consistent with isolation-by-distance, and that inland-coastal mtDNA divergence occurred *in situ*. Subsequently, Morales *et al.,* (2015) found evidence supporting selection on mitochondrial genomes and confirmed extremely low mitogenome-wide intra-lineage diversity consistent with selective sweeps. Morales *et al.* (2016a) expanded the analysis of nDNA by analysing genome-wide neutral single nucleotide polymorphisms (SNPs) and argued for the presence of two genetic populations, northern and southern, with a zone of intergradation, modifying the previously inferred isolation-by-distance. They also showed that plumage colour differentiation follows a similar geographic trend, albeit with a broader zone of intergradation. Reconstructions of the evolutionary histories of each genome are needed to better understand mitonuclear discordance in EYR, an emerging model of mitonuclear interactions and lineage divergence.

Here we propose a novel scenario to explain perpendicular mitonuclear differentiation in EYR (Fig. 1C). Initial north-south divergence generated concordant mtDNA and nDNA divergence, currently reflected in the major nDNA structure and colour variation (first axis of differentiation) but not in mtDNA structure. Subsequently, independent events of mitochondrial introgression might have occurred with little associated nDNA introgression, one south-to-north coastwards of the Great Dividing Range, and the other north-to-south inland of the Great Dividing Range. Mitochondrial introgression would then have resulted in the current inland-coastal mitochondrial split and inland-coast mitonuclear divergence-with-gene-flow in the southern population (a second axis of differentiation). We used a coalescent multilocus approach to explore this scenario by analysing 10 mitochondrial genes, and 400 sequenced nuclear loci. We estimated nuclear divergence times, gene flow rates and effective population sizes and tested whether the onset of mitochondrial divergence coincided with north-south population divergence. We discuss our findings in the context of adaptive mitochondrial evolution, introgression, and mitonuclear co-evolution (Burton *et al.* 2013; Dowling *et al.* 2008; Gershoni *et al.* 2009; Hill 2015; Hill 2016).

METHODS

Samples, molecular methods and datasets

We analyzed (1) mitochondrial ND2 sequences, (2) 2728 SNPs and (3) phased alleles for 400 nuclear sequences for 69 individuals, and (4) 10 mitochondrial genes for 32 individuals (Fig. 1A-1B). Genomic DNA from 42 newly collected blood samples was extracted with DNAeasy Kit (Qiagen, Germany) following the manufacturer's protocol. For these samples, a partial region (~1000 bp) of mitochondrial ND2 gene was amplified following Pavlova *et al.* (2013) and sequenced commercially (Macrogen, Korea). The newly produced ND2 dataset was supplemented with previously published ND2 sequences for 27 individuals (Genebank accession in Table S1; Pavlova *et al*., 2013). Based on ND2, all 69 individuals were assigned to one of the two mitolineages (35 mito-A and 34 mito-B; Table S1; black and white circles on Fig. 1A).

For the same 69 individuals, 1000 sequenced anonymous nuclear loci were obtained by hybrid capture enrichment probes (size =240 bp; Lemmon *et al.* 2012). Probe design, detailed in Appendix S1, was optimised using a draft of the EYR genome (Morales, Wang, Pavlova and Sunnucks, unpublished data; sample code: EYR056, Lat/Long: 143.41/-36.79). Briefly, DNA for genome sequencing was

prepared into one paired-end (500 bp insert size, 100 bp read length) and one mate-pair (2 Kb insert size, 50 bp read length) library. Libraries were prepared and sequenced with standard Illumina HiSeqTM 200 protocols at the Beijing Genome Institute. The pair-end library produced 34,913 million bases and the mate-pair library 10,976 million bases. De novo assembly was performed with SOAPdenovo v1.05 with a K-mer size of 35 and default settings (Li *et al.* 2010). Capture probes included sequenced regions with 40% and 55% GC content, low-copy number and with at least 96% average identity across mapped reads. Probes were tiled uniformly at 2x density (3 probes per locus) to form the probe set. Indexed libraries were prepared from genomic DNA and enriched using an Agilent Sure Select enrichment kit. Libraries were sequenced on an Illumina 2500 lane with paired-end 150 bp reads and 8 bp indexing read.

The SNP dataset consisted of 2728 SNPs, previously used by Morales *et al*. (2016a). They were obtained as follows: reads were mapped against the capture probe references with BWA v. 0.7.12 (Li & Durbin 2009), PCR duplicates were removed and InDel re-alignment was performed with Piccard v. 1.138 (http://broadinstitute.github.io/picard/). SNP-calling was performed with the UnifiedGenotype in GATK v. 3.4 (DePristo *et al.* 2011). SNPs were filtered according to Overall Quality ≥ 100, Mapping Quality ≥ 20, Depth ≥ 5, Phred Score ≥ 20, Heterozygosity ≤ 0.8, Minor Allele Frequency ≥ 0.05, and Genotype Frequency $\geq 90\%$.

The phased allele data set consisted of 400 nuclear sequences. Post-processing of targeted captured loci including raw sequencing reads processing, read assembly, orthology calculations and sequence alignment were performed following Prum *et al.* (2015) (accompanying scripts can be found at doi:10.5281/zenodo.28343). Allele phasing for each locus was determined statistically from the assembled reads by drawing a posterior distribution for each individual separately, following Pyron *et al.* (2016); for methodological details and scripts see doi:10.5061/ dryad.51v22. In short, the method generates alleles with no ambiguities for positions that can be phased with a ≥ 95% posterior probability confidence, leaving ambiguities for the remainder of the polymorphic sites. We randomly selected 400 loci from the resulting phased alignments for coalescent analyses (the maximum number of loci accepted by IMa2).

To appropriately assign inheritance scalars for the sequenced loci in the IMa2 analysis (below), we mapped the alignment consensus sequences to the Zebra Finch *Taeniopygia guttata* genome taeGut3.2.4 (Warren *et al.* 2010) using BLASTn

v.2.3.0 (Camacho *et al.* 2009) with a E-value threshold of $1x10^{-4}$ (BLAST output doi: 10.6084/m9.figshare.3581004). Because historical inferences assume neutral evolution of markers, we identified all nuclear loci that may have evolved under directional selection (outlier loci) and removed them from SNP and sequenced marker datasets. Outlier loci were identified with LOSITAN (Antao *et al.* 2008) with samples divided into 10 populations based on their geographic location (Fig. 2B). All the data used in this project (capture probes for the EYR hybrid capture enrichment, raw reads, and phased sequence alignments) have been deposited in figshare (doi:10.6084/m9.figshare.3581004) and SRA (accession: SRP079228) online digital repositories.

Sequences of 10 protein-coding mitochondrial genes (ND1, ND2, ND3, ND5, ND6, COX1, COX2, COX3, ATP6 and ATP8) were extracted from 32 published mitogenome sequences (14 mito-A and 18 mito-B, represented by stars on Fig. 1A; Genbank accessions in Table S2; Morales *et al*., 2015). These genes were chosen because they did not show signatures of positive selection between mitolineages (Morales *et al.* 2015).

Nuclear DNA genetic structure

We used the SNP dataset and admixture model with correlated allele frequencies implemented in STRUCTURE 2.3.4 (Pritchard *et al*., 2000) to confirm the presence of major and minor nDNA structure and assign each individual to one of three populations (northern, south-inland and south-coast: red, light blue and dark blue on Fig. 1C, respectively) for IMa2 analysis (below). To meet STRUCTURE assumptions that loci are in linkage and Hardy-Weinberg equilibrium (HWE), we (a) subsampled one SNP per locus (i.e. per capture probe) and (b) filtered out loci not in HWE with the HWE.test.genind function in the R package adegenet 2.0.0 (Jombart & Ahmed 2011; R Development Core Team 2014). The initial significance level ($\alpha < 0.05$) of the HWE test was corrected for multiple tests using the B-Y method to account for False Discovery Rate (FRD < 1%) following Narum (2006). To reduce Wahlund effect, which could cause loci to be falsely concluded to deviate from HWE through non-Mendelian inheritance, samples were divided into 10 populations based on their geographic location (Fig. 2B from the main text). The filtering process resulted in a reduced dataset of 706 SNPs. STRUCTURE analyses were performed assuming 1 to 5 genetic populations (*K*) with 25 independent Markov chains of 200,000 iterations of burn-in and 80,000 recorded iterations for each *K*. Convergence of the parameters alpha and Log-Likelihood across chains was determined for every *K* value with

custom R scripts (Gonçalves da Silva, 2016; https://zenodo.org/record/48790#.V3KiXZN96Rs). Results were summarized and the optimal number of populations estimated with the Evanno test (Evanno *et al.* 2005) in STRUCTURE HARVESTER Web v0.6.94 (Earl 2012). Average Q-values across replicates were obtained with CLUMPP v 1.1.2 (Jakobsson & Rosenberg 2007). Individuals with a posterior probability (Q-values) of ≥0.8 of belonging to a particular population were assigned to that genetic population.

We used discriminant analysis of principal components (DAPC: Jombart *et al.* 2010) implemented in adegenet to estimate the amount of genetic variation explained by the major and minor axes of nDNA structure. The DAPC analysis was conducted with 10 populations delimited based on their geographic location (Fig. 2B). To estimate the number of loci contributing to the observed nDNA genetic structure we performed a pairwise Nei's G_{ST} (Nei 1973) test between samples unambiguously assigned to populations by STRUCTURE (i.e. assignment probability of Q>0.8 from K=3 STRUCTURE analysis, see Results) using the same unlinked 706 SNPs. We created genind objects for each pairwise comparison with the R package adegenet (Jombart & Ahmed 2011) and 1000 bootstrap samples, with each subpopulation resampled according to its size, with the funtion chao_bootstrap of the mmod R package (Winter 2012). Then, for each set of permutated dataset we obtained the observed per-locus Nei's G_{ST} value and its normalized 95% Confidence Intervals (CI) (i.e. centered on the observed value and corrected with standard deviation across replicates) with the function summarise_bootstrap in mmod. Loci were considered significantly differentiated if the lower bound of the CI was greater than zero.

Isolation-with-migration models

To estimate times of population divergence, gene flow and effective population sizes, we fitted a three-population model of isolation-with-migration (Hey & Nielsen 2004) implemented in IMa2 (Hey 2010) to the multilocus dataset comprising sequences of 400 randomly-selected anonymous nuclear loci. For each locus, the longest stretch of each sequence without ambiguities was used. Recombination points were detected for each locus with the program IMgc (Woerner *et al.* 2007) and the longest non-recombining block was retained. Resulting alignments had lengths from 124 to 529 bp (mean = 264 bp). IMa2 estimates model parameters scaled by mutation rate (μ), population divergence time in generations (t = tμ), migration rate (m=m/μ) and effective population sizes ($\theta=4N_e\mu$). Inheritance scalars were set to 1.0 for autosomes and 0.75 for Z-linked loci.

In order to estimate historical gene flow between three largely panmictic populations, we subsampled "pure" individuals from each population (based on Q>0.8 from K=3 STRUCTURE analysis), avoiding admixed individuals from contemporary hybrid zones. Individuals with a posterior probability (Q-values) of population membership <0.8 were not used in the analysis because they could not be assigned to any population unambiguously, and arbitrary assignment would inevitably add stochasticity to the divergence estimates. As a result, we have likely underestimated gene flow among contemporary populations. We accepted this compromise because precise estimates of divergence were essential whereas slightly imprecise estimates of gene flow were acceptable given our aims. Moreover, to avoid excessive IMa2 computation time inherent to analyses with very large numbers of loci, we further subsetted the dataset by randomly selecting individuals from the "pure" populations (northern: N= 9 individuals, 18 alleles, southern inland: N= 7 individuals, 14 alleles, and southern coastal: N= 8 individuals, 16 alleles, Table S1; Fig. 1B). Sixteen parameters were estimated: two of *t*, eight of *m* and six of θ (θs were estimated at three time intervals: *t*1, *t*0 and present; model option j5; see legend of Fig. 3A). Multiple preliminary analyses were run to optimize prior parameter boundaries. Eight independent replicates were run for the final analysis, each involved > 11 x 10^6 steps after a burn-in period of > 4.8 x 10^5 steps, employing 150 MCMC chains with geometric heating (parameters a = 0.999 and b = 0.3). Parameter estimates were converted to demographic units using a generation time of 3.5 years (Pavlova *et al*., 2013) and a mutation rate of 1.2 x10^{-9} (lower bound = 0.7 x 10^{-9}; upper bound = 2.5 x 10^{-9}) nucleotides per base per year, which have been used to estimate demographic parameters in passerines (Ellegren 2007; Lee & Edwards 2008). The mutation rates we used incorporate a wide range of values that have seen previously to capture most rates of slow-evolving introns (Ellegren 2007) and of faster-evolving anonymous loci (Lee & Edwards 2008). Moreover, this conservative approach allows IMa2 to directly infer per-locus mutation rates from a wide range of prior values.

Mitochondrial lineage divergence

To test for simultaneous divergence of mitolineages (mito-A and mito-B) and nuclear DNA, we built a calibrated phylogeny in BEAST v.1.8.0 (Drummond *et al.* 2012) using sequences of 10 protein-coding mitochondrial genes that are free from signatures of positive selection. Although mitolineage divergence time was estimated previously from ND2 (1.5 (0.98–2.15) million years ago (MYA); Pavlova *et al.,* 2013), by using multiple genes we improve the precision of the estimate. The optimal

partitioning scheme and substitution models were identified using PartitionFinder (Lanfear *et al.* 2012; Table S3). Linked trees, linked clock models, and unlinked substitution models were used. We performed four replicates with 8 x 10^7 generations sampled every 2000 steps after 10% of burn-in. The four independent runs were combined and convergence checked in Tracer v1.6.0 (Rambaut *et al.* 2014). Mitolineage divergence time was calibrated assuming neutral evolution rates for mitochondrial genes of the Hawaiian honeycreeper (Lerner *et al.* 2011). In order to translate honeycreeper mutation rates to the EYR we assume that rates among passerines should be similar, that strong purifying selection acting on the EYR mitogenome should also act on the honeycreeper mitogenome, and that we prevent major bias of diversifying selection by avoiding genes with evidence of positive selection (Morales *et al*., 2015)

RESULTS

Nuclear DNA genetic structure

The STRUCTURE models with two and three populations (*K* = 2: LnP(K) = -38224.17; ΔK = 217.1 and *K* = 3: LnP(K) = -37503.8; ΔK = 225.9) reached convergence for all chains (Figs. S1-S2). These supported two main populations (northerly and southerly) with some individuals displaying intermediate assignment scores (red and blue in Fig. 1B and Fig. 2A; Table S1). The higher likelihood model assuming *K* = 3 (Fig. S3) further sub-divided the southern population into inland and coastal populations, in which all inland individuals (Q > 0.8) belong to mito-A mitolineage, and coastal individuals to mito-B mitolineage (shades of blue in Fig. 1B and Fig. 2A). *K* = 4 and K = 5 analyses did not show any additional geographically meaningful structure (not shown). DAPC showed that 48% of genetic variation is explained by the major north-south structure (PC1 on Fig. 2C), and 12% is explained by the minor southerly inland-coast structure (PC2 on Fig. 2C). The number of polymorphic loci considered for the pairwise G_{ST} test was as follows: north vs. south-coastal = 574; north vs. south-inland = 628; south-inland vs. south-coastal = 605. Mean pairwise G_{ST} estimates were low to medium for all population comparisons; mean G_{ST} (mean 95% CI): north vs. south-coastal = 0.09 (0.02-0.18); north vs. south-inland = 0.07 (-0.03-0.19); south-inland vs. south-coastal = 0.04 (-0.02-0.11). The two north-south comparisons had more significantly differentiated SNPs than the comparisons between the southern populations: north vs. south-coastal = 284 SNPs (49%); north vs. south-inland = 188 SNPs (30%); south-inland vs. south-coastal = 141 SNPs (23%) (Fig. S4).

345 *Population divergence: isolation-with-migration model*

346 Convergence of IMa2 parameter estimates was confirmed by lack of trends on
347 parameter plots, similarity of estimates across replicate and appropriate mixing of
348 chains (low parameter autocorrelation; mean = 0.013). Posterior parameter
349 distributions were contained within the bounds of the prior distributions for all
350 parameters, except for migration from the southern to the northern ancestral
351 population (Fig. S5). At least 11,000 genealogies were recorded for each of the eight
352 replicate runs.

353 IMa2 (Fig. 3A; Table S4) placed divergence between northern and southern
354 populations in the late Pliocene or early Pleistocene (high point $t1$=2,380,269; 95%
355 highest posterior density [HPD] 1,941,225 – 3,002,718 years ago), and the split
356 between southern inland and southern coastal populations in the late Pleistocene
357 ($t0$=64,435; 21,812 – 118,244 years ago). Compared to the ancestral effective
358 population size (Ne_{ANC}=85,688; 34,700 – 13,6676), sizes of southern (Ne_{S}=488,098;
359 398,317 – 667,801) and northern (Ne_{N1}=424,677; 305,779 – 771,158) populations
360 grew after $t1$, but declined dramatically after $t0$ in all three descendant populations:
361 southern coastal (Ne_{SC}=23,369; 12,039 – 47,447), southern inland (Ne_{SI}=29,035;
362 14,871 – 53,112) and northern (Ne_{N2}=242,901; 138,092 – 626,727) (Fig. 3A; Table
363 S4). Even though gene flow was likely under-estimated due to omitting admixed
364 individuals from the IMa2 analysis, our results showed non-zero nuclear gene flow
365 between all ancestral and all current genetic populations (Fig. 3A; Table S4). For the
366 $t1$-to-$t0$ time period, forward-in-time gene flow from south to north (m2=5.8; 4.2 – 9.4)
367 was higher than that from north to south (m1=0.4; 0 – 1.5). For $t0$-to-present, gene
368 flow from southern to northern populations (m4=5.3; 1 – 7.8, m8=0.7; 0.4 – 0.9) was
369 higher than that from northern to two southern ones (m3=1.6; 0.7 – 2.4, m7=4.5; 1 –
370 6.8), and gene flow from coast to inland (m6=3.8; 2.5 – 5.4) was higher than that
371 from inland to coast (m5=1.02; 0.2 – 1.5). These nuclear gene flow estimates
372 suggest that neutral gene migration was primarily in the south-to-north direction.

373 *Mitochondrial lineage divergence*

374 Convergence for the combined BEAST run of the 10 protein-coding mitochondrial
375 gene dataset was confirmed with trend plots and high effective sample sizes (>2000)
376 for all parameters. The mitochondrial tree reflects the known deep mitochondrial split
377 between mito-A and mito-B, and when compared to the nDNA structure also reflects
378 the known strong mitonuclear discordance (Fig. 4). Mitolineages mito-A and mito-B
379 were estimated to have diverged in the late Pliocene or early Pleistocene (2,000,000;

1,700,000 - 2,400,000 years ago; Fig. 4; Table S4). These dates overlap with the 95%HPD of the time of population divergence between northern and southern populations, and thus consistent with the prediction that mitochondrial and nuclear divergence coincided temporally. The time to the most recent common ancestor (TMRCA) for mito-A was placed in the mid Pleistocene (276,000 – HPD: 213,000 – 319,000 years ago) and TMRCA for mito-B in the late Pleistocene (90,000 – HDP: 56,000 – 96,000 years ago). These times are recent relative to the divergence time between mitolineages (Fig. 3B; Fig. 4), presumably because the inland and coastal mitochondrial selective sweeps occurred at these times (Rambaut *et al.* 2008; Thomson *et al.* 2000).

DISCUSSION

We explored whether evolution of mitonuclear discordance in the EYR could be explained by a model of two independent events of mitochondrial introgression leading to perpendicular axes of nuclear and mitochondrial genetic differentiation (Fig. 1C). Coalescent analyses provided evidence of temporally concordant nDNA and mtDNA divergence. This strongly supports our hypothesis that EYR mitonuclear discordance was caused by population divergence followed by two independent events of mitochondrial introgression. Mitochondrial lineage divergence and introgression generated a deep inland-coastal mitochondrial split within each of the two divergent nuclear genetic backgrounds (north and south; Fig. 1C). One plausible driver of this major shift is adaptive mitochondrial introgression during a period of transition from relatively warm, stable climates with high summer precipitation, to more variable and winter-dominated rainfall climates, and aridification of inland Australia (below) (Byrne *et al.* 2011; Byrne *et al.* 2008; Hocknull *et al.* 2007; Sniderman *et al.* 2009). This process could have had implications for mitonuclear co-introgression because divergent inland-coastal mitochondrial types would need to maintain mitonuclear interactions suitable for metabolic functioning under local environmental variation (Morales *et al*. 2016b). Overall, our data suggest that a first axis of differentiation was formed during north-south divergence-with-gene-flow (reflected in plumage colour subspecies and major nDNA structure, Morales *et al.* 2016a and this paper), and was supplemented by a second perpendicular axis of differentiation during two events of mitochondrial introgression generating inland-coast mitochondrial divergence-with-gene-flow (consistent with two deeply divergent mitolineages).

Eastern Yellow Robin evolutionary history

The geographic pattern of nDNA, colour variation and mtDNA in EYR indicate that the concordant early-Pleistocene divergence of mtDNA and nDNA occurred in the north-south direction. This is reflected in present-day distributions of nDNA and plumage colour being structured north-south (Fig. 1B; Morales *et al*. 2016a). Mitolineage mito-A is the only population currently occurring in the northern part of the species' range and it shows evidence of late-Pleistocene intra-lineage vicariance between the northernmost part of its range and the rest of the mitolineage (Fig. 1A; Fig. 4). Large-scale Pleistocene climatic shifts are likely drivers of the initial north-south divergence (Byrne *et al*., 2008; 2011). Two vicariant/environmental barriers located near the zone of intergradation between northern and southern populations could have facilitated north-south EYR divergence (Fig. 1B). The Hunter Valley Barrier is a dry lowland river valley with low vegetation density that started to form with the opening of the Sydney Basin during the early Permian (~299 Ma) and continued to be shaped until more recent times with sea levels changes during the Pleistocene (Boyd & Roy 1995; Percival *et al.* 2012). The Southern Transition Zone is a region between lowlands and highlands of the central Great Dividing Range that experience intermittent periods of glaciation and pre-glaciation during the Pleistocene (Barrows *et al.* 2002). These two barriers have been implicated in subspeciation in several bird species and other closed-forest taxa including invertebrates, lizards, frogs, mammals and plants (Bryant & Krosch 2016; Ford 1987; Schodde 2006; Schodde & Mason 1999).

Given the clear pattern of north-south historical divergence, we can explain the current mitonuclear discordance in EYR by invoking two instances of long-range introgression of mitolineages that became fixed with little associated nuclear introgression (Fig. 1C). Estimating with certainty where in space the initial north-south divergence occurred is not possible, because any number of demographic events could have overwritten the genetic signal of population structure. However, two lines of evidence support the contention that divergence occurred somewhere in the vicinity of the current north-south contact zone (Fig. 1B). First, hundreds of genome-wide genetic markers support divergence at this region (Fig. S4). Second, previous geographic cline analyses showed that genetic and plumage colour clines have their centre estimates (i.e. maximum rate of frequency change) at the contact zone, suggesting that colour evolution is a by-product of neutral genetic divergence

that occurred close to this geographic region (Morales *et al*. 2016a). Accepting the population scenario just described, we can infer the direction and time of introgression by the geographic positions of mitolineages and the estimated time of mitochondrial sweeps (Fig. 1C; Fig. 3B). The data can be explained if northern mito-A introgressed southwards along the inland side of the Great Dividing Range in the mid Pleistocene, while southern mito-B introgressed northwards along the coast in the late Pleistocene. Thereafter, in the southern population only, nDNA is inferred to have sorted into coastal and inland populations, concordant with the mitochondrial split (Fig. 1; Fig. 3A).

Mitochondrial DNA introgression was likely adaptive

Showing conclusive evidence of fitness effects of mtDNA introgression in wild populations is challenging. First steps towards demonstrating adaptive nature of mtDNA introgression, however, come from rejecting scenarios of neutral introgression and strong genetic evidence of non-neutral evolution (Ballard & Melvin 2010; Boratyński *et al.* 2014; e.g. Doiron *et al.* 2002; Llopart *et al.* 2014). Our current data do not provide for a definitive test for adaptive mitochondrial introgression, but several major patterns in the data are not congruent with selectively-neutral scenarios.

Empirical data for birds with female-biased dispersal overwhelmingly show very little mitochondrial flux between hybridizing lineages in a range of contact scenarios, consistent with the theoretical expectation of relatively low mitochondrial flow between taxa with female-biased dispersal (Currat *et al.* 2008; Petit & Excoffier 2009; Rheindt & Edwards 2011; Toews & Brelsford 2012). The migration parameter estimates here and the data in Morales *et al*. (2016a) indicate that gene flow occurred during north-south divergence of EYR. However, we cannot clearly distinguish among alternative models of divergence: secondary contact, primary intergradation and spatial invasion. Thus, interpreting mtDNA vs. nDNA introgression in terms of different population divergence scenarios is complicated (Petit & Excoffier 2009). Despite this limitation, our data is not consistent with neutral introgression under female-biased dispersal. We argue this is because EYR underwent complete mitochondrial replacement with little associated nuclear gene flow over large proportions of the species' range. Further, this occurred in two opposing directions and was correlated with contrasting climates.

Our data on EYR do not agree with non-adaptive explanations for high mitochondrial introgression based on unequal or small effective population sizes and mutation load (Sloan *et al.* 2016; Toews & Brelsford 2012). This is because our coalescent estimates show that ancestral populations had equally large population sizes. We cannot reject outright another class of explanation for high mtDNA flow relating to higher female dispersal (Petit *et al.* 2004) and propensity to mate with available males (Roca *et al.* 2005) as a possible driver of neutral mitochondrial introgression in the north-south direction. However, EYR is among the more sedentary of birds, typically dispersing less than a few kilometres (Amos *et al.* 2014; Debus & Ford 2012; Harrisson *et al.* 2012). Also, the sexes in EYR are very similar in size and appearance and live in territorial pairs, indicating that the mating system is likely to be approximately monogamous and not particularly disposed to exceptionally female-biased gene flow (Higgins & Peter 2002). On balance, we consider that female-biased dispersal alone is implausible as an explanation of inferred mitochondrial introgression in EYR over hundreds of kilometres.

In summary, EYR mitochondrial introgression cannot be explained exclusively by selectively neutral explanations: (1) mitochondrial introgression events in EYR resulted in two cases of near-fixation through large geographic expanses of contrasting environments, in opposite latitudinal directions, accompanied with little signal of nDNA introgression, and (2) such patterns are anticipated by evidence of adaptive mitochondrial evolution (selective sweeps, and amino acids in genes plausibly connected the climate adaptation showing signals of positive selection) in this system (Morales *et al.* 2015; Fig. 1; Pavlova *et al.* 2013).

A model of adaptive mitochondrial introgression can explain the demographic, ecological and evolutionary features of EYR introgression history. We propose that first, large ancestral northern and southern population sizes could have promoted the accumulation of adaptive mitochondrial variation in each lineage (Fig. 3A; Table 1; Camus *et al.* 2015; Kimura *et al.* 1963; Ohta 2002). Later, adaptive alleles could have fully replaced alternative mitochondrial alleles in response to large-scale climatic change, generating the observed mitochondrial selective sweeps in each mitolineage (Byrne *et al.* 2011; Byrne *et al.* 2008; Rheindt & Edwards 2011; Rieseberg 2009). This model of adaptive mitochondrial introgression holds regardless of the assumed spatial model of divergence, and instead depends on large effective population sizes and strong environmental contrasts between inland and coastal regions. Mitochondrial adaptive evolution could have been essential to meet metabolic requirements under differential environmental conditions, leading to

increased rates of heat production in colder environments and decreased heat/increased rates of energy production in warmer environments and/or during caloric restriction in drier environments (Das 2006; Wallace 2005). Testing metabolic consequences of introgression requires data on fitness responses to environmental variation and hybridization (e.g. Boratyński *et al.* 2016; Pereira *et al.* 2014).

Perpendicular axes of differentiation in the Eastern Yellow Robin

The most striking and unexpected pattern in Eastern Yellow Robin is that of perpendicular axes of differentiation in which nuclear variation is structured north-south and mitochondrial variation east-west (Fig. 1). Mitonuclear interactions are obvious candidates to be both causes and consequences of the observed population genetic patterns. The strong fitness consequences of mitochondrial DNA variation (Wolff *et al.* 2016) are likely to be amplified through mitonuclear co-evolution of essential metabolic and physiological functions (Bar-Yaacov *et al.* 2012; Boratyński *et al.* 2016; Deremiens *et al.* 2015). On the other hand, disrupted mitonuclear interactions can form strong, long-lasting barriers to gene flow and promote speciation (Burton *et al.* 2013; Dowling *et al.* 2008; Gershoni *et al.* 2009; Hill 2015; Hill 2016). In EYR, one possible explanation for the dramatic reduction of effective population sizes in all three populations at (*t*0) after the onset of mitochondrial introgression is strong selection against hybrids bearing incompatible mitonuclear combinations (Fig. 3). Evidence from laboratory crosses across a wide range of animal systems shows that mitonuclear incompatibility fitness effects in hybrids include metabolic malfunctioning, low fertility and increased mortality (reviewed in Burton *et al.* 2013; Levin *et al.* 2014).

The common observation of mitonuclear incompatibilities raises the question of how mitochondria in EYR were able to introgress swiftly into divergent genomic backgrounds. A likely explanation is that mitonuclear interactions resulting from introgression were maintained through mitonuclear co-introgression (Beck *et al.* 2015). Morales *et al.* (2016b) offers genomic data to support this idea: genome-wide differentiation between coastal and inland populations is concentrated in a ~15.6 Mb region of the genome enriched for nuclear-encoded genes with mitochondrial function that co-introgressed with mitogenomes to maintain locally adapted mitonuclear interactions. Behavioural, metabolic and physiological experiments are required to explore the mechanism by which coastal and inland populations maintain their mitonuclear divergence (e.g. Boratyński *et al.* 2016; Hill & Johnson 2013;

McFarlane *et al.* 2016). One set of predictions arise from the hypothesis that birds from mitonuclear lineages should be able to recognize each other and mate assortatively (i.e. the mitonuclear sexual selection hypothesis; Hill & Johnson 2013).

Despite the large amount of data amassed for EYR, it remains unclear whether either or both of the perpendicular axes of differentiation will lead to speciation. Our data show the importance of understanding phenotypic and mitonuclear diversity patterns before arriving at taxonomic conclusions. Speciation is a complex process that operates along a continuum, and under the biological species concept, the trajectory towards full speciation in EYR seems to be impeded for now (Seehausen *et al.* 2014; Shaw & Mullen 2014). The real challenge for taxonomy then may be that of upon which criteria one can meaningfully, or indeed whether one should, diagnose any intraspecific populations e.g., on plumage and nDNA, or on mtDNA. On the other hand, biological and conservation implications of EYR intraspecific variation are clearer: evidence for natural selection operating in different directions and evidence for restricted gene flow on historical timescales indicate that EYR populations are genetically and ecologically non-exchangeable (Crandall *et al.* 2000).

Drivers of differentiation in Eastern Australia

Detailed reconstruction of Quaternary climates in Australia is limited by a paucity of suitable fossil sites, a relatively narrow range of modern climate space for calibration, and large regional variability (Hocknull *et al.* 2007; Porch 2010; Saltré *et al.* 2016; Sniderman 2011; Sniderman *et al.* 2009). However, there is consensus on key paleoclimatic phenomena that could have driven perpendicular differentiation in EYR. The most important of these are: (i) a major transition in the early Pleistocene from relatively warm, stable climates with high summer precipitation, to later more variable ones characterized by winter-dominated rainfall and, (ii) major differences between northern and southern Australia in the severity and timing of increased summer aridity, and (iii) more severe aridity inland than on the coast (Byrne *et al.* 2011; Byrne *et al.* 2008; Hocknull *et al.* 2007; Sniderman *et al.* 2009).

Multiple lines of evidence indicate that warm and moist early-Pleistocene climates in Australia persisted until transition to modern winter-dominated rainfall (Sniderman *et al*., 2009). These conditions are likely to have applied broadly across south-eastern Australia, and would have been in place during our proposed phase of north-south divergence of EYR. The adaptive introgression that we propose would

have occurred during a transition from the mild early-Pleistocene climate to more variable climates with winter-dominated rainfall and summer aridity. This period of climate change involved considerable temporal and spatial complexity, such as oscillation between wetter and drier vegetation types and multiple periods of rapid fall in humidity and temperature (Hocknull *et al.* 2007; Saltré *et al.* 2016; Sniderman 2011; Sniderman *et al.* 2009). However, it is clear that this shift occurred later in northern Australia than in the south: a north-eastern tropical rainforest fauna 500-280 KYA was replaced by a xeric fauna 205-170 KYA, while the south experienced increasing aridity from as early as 600 KYA (Hocknull *et al*., 2007). In addition, due to the rain-shadow effect of the Great Dividing Range, aridity is more severe inland than on the coast. This pattern also likely developed earlier in southern than northern Australia (Hocknull *et al*., 2007).

We predict that many co-distributed species may have been impacted similarly by these widespread paleoclimatic events. If adaptive mitochondrial introgression is common in the Australian avifauna (e.g. Kearns *et al.* 2014; Shipham *et al.* 2015, 2016), then that, coupled with strong shifts in climate-related selective forces, could provide a general explanation for the very high rate (~ 50%) of mitochondrial paraphyly observed in Australian birds (Joseph & Omland 2009). Accordingly, strong shifts in environmental gradients associated with paleoclimatic cycling could also be a common general mechanism for mitonuclear co-evolution as a driving force in generating genomic conflict and mitonuclear speciation (Hill 2015). Whether similar phenomena have occurred in other taxa, and on other continents with suitable conditions, demands further investigation of evolutionary impacts and biodiversity implications of Quaternary climate change.

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FIGURES

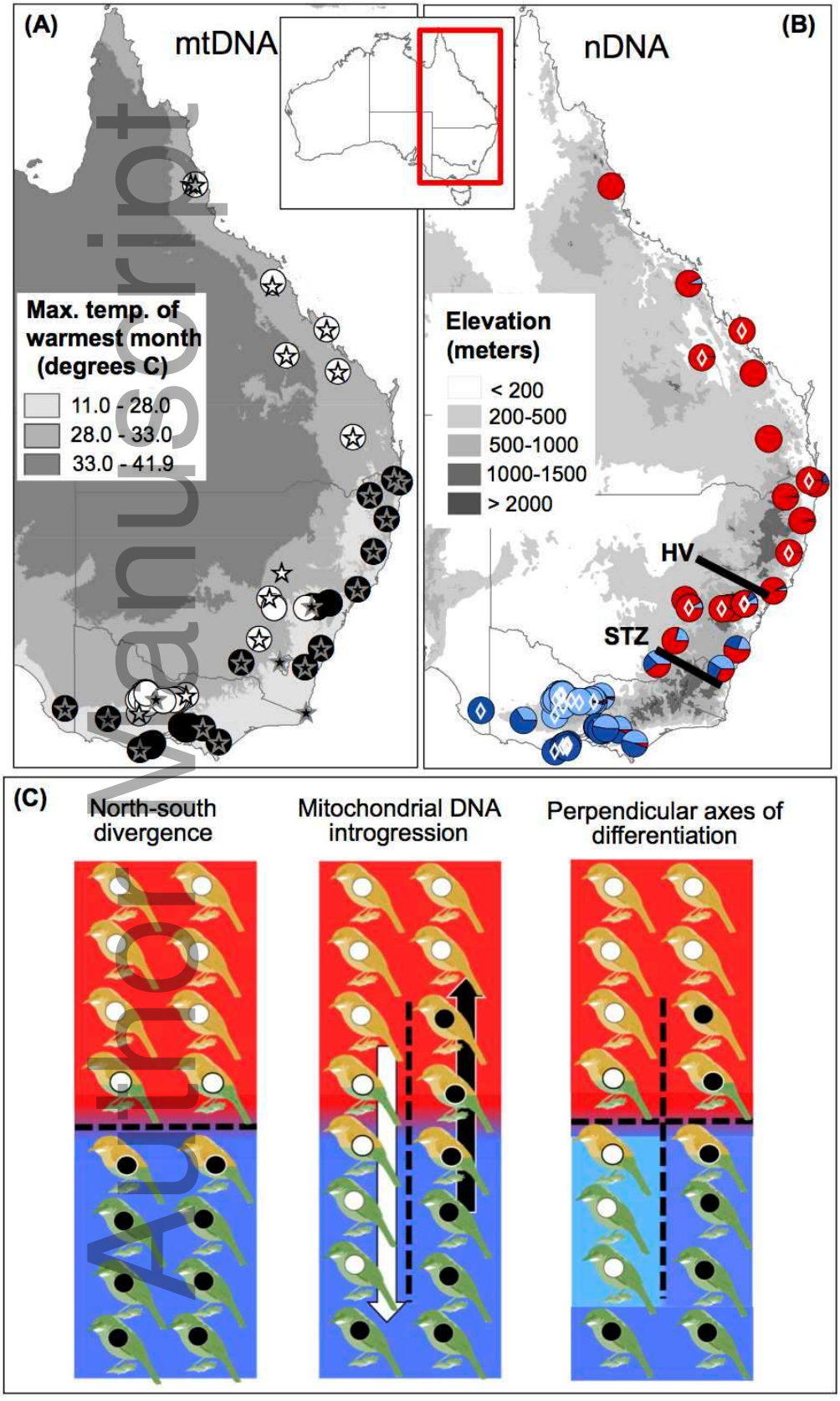

Figure 1 Distribution of Eastern Yellow Robin samples used in this study showing their contribution to mitochondrial (A) and nuclear (B) genetic structures and a schematic representation of EYR evolutionary history (C). (A). Distribution of mitochondrial lineages mito-A (white) and mito-B (black) plotted over the maximum temperature of the warmest month. Circles represent samples sequenced for the mitochondrial ND2 gene, stars- samples for which data from 10 mitochondrial genes were used. (B) Distribution of samples for which nuclear loci were sequenced, mapped over elevation map featuring the Great Dividing Range (dark shading); pies show individual membership in three genetic populations according to *K*=3 STRUCTURE analysis (see Fig. 2A): northern population (red), southern coastal population (dark blue) and southern inland population (light blue). Samples used for IMa2 analysis are indicated with white diamonds. Black lines represent potential vicariant/environmental barriers, HV- Hunter Valley and STZ- Southern Transition Zone. (C) Evolutionary history of the Eastern Yellow Robin, the colours of the boxes represent their nuclear genomic background (colour of the background; north = red and south = blue), their plumage colouration (colour of the birds; northern yellow, southern green and intermediates of mixed colour), and their mitochondrial membership (colour of the circles; mito-A = white and mito-B = black). First panel shows the first axis of differentiation: mtDNA, nDNA and colour differentiation between northern and southern birds with a zone of intergradation. The second panel shows the second axis of differentiation: two independent events of mitochondrial introgression occurred without nDNA introgression, resulting in mtDNA genetic structure in the inland-coastal direction. The third panel shows the current perpendicular pattern of differentiation: inland-coast mitochondrial divergence in the face of nuclear gene flow, major north-south nDNA structure and plumage colouration divergence and a minor inland-coast nuclear DNA divergence in the southern range (shades of blue).

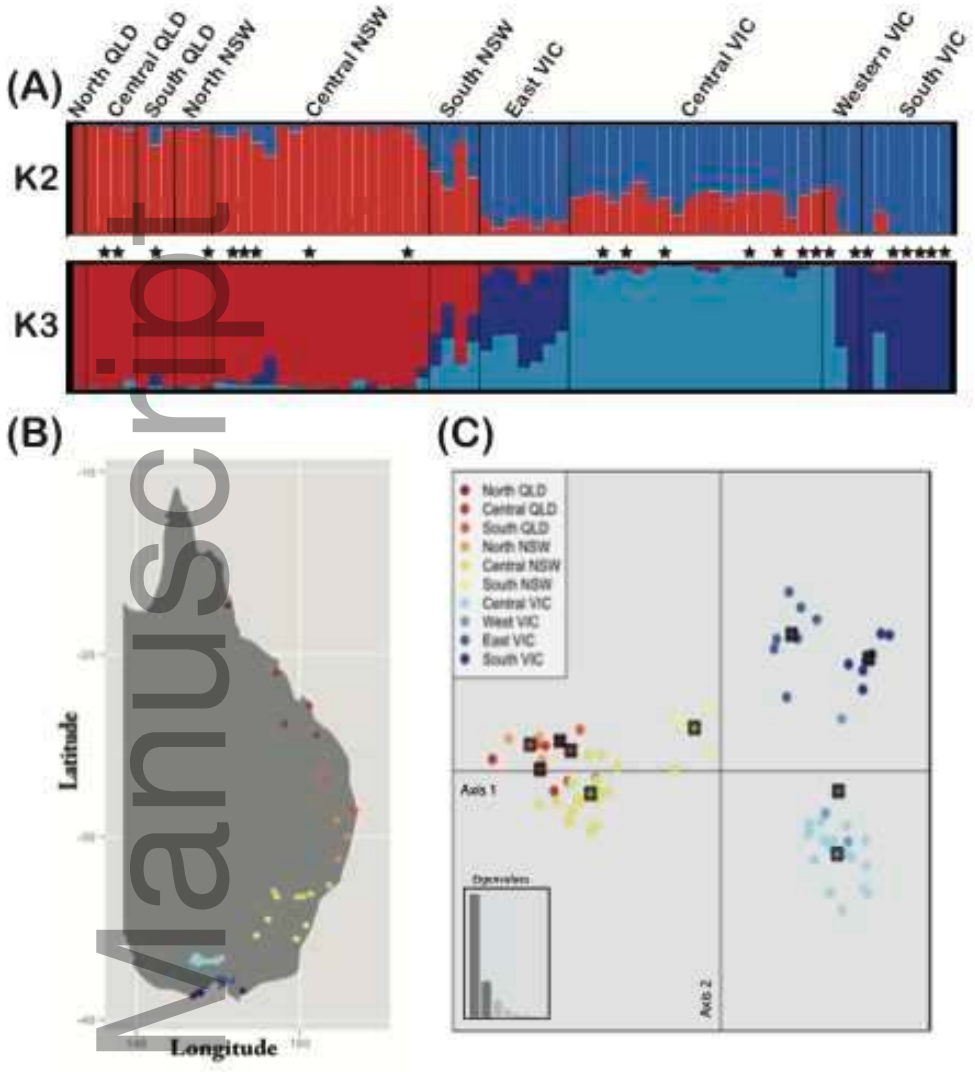

Figure 2 Summary of population genetic structure in the Eastern Yellow Robin. (A) The results of STRUCTURE (Pritchard *et al.* 2000) models when *K* = 2 and *K* =3. Results were summarised with STRUCTURE HARVESTER Web v0.6.94 (Earl 2012) and CLUMPP v 1.1.2 (Jakobsson & Rosenberg 2007). Samples used for IMa2 analysis are indicated with black starts. (B) Map of samples used for the nuclear DNA data arranged into arbitrary populations according to geographic position. (C) Discriminant Analysis of Principal Components (DAPC; Jombart *et al.* 2010) for populations from panel B, axis 1 (PC1) captured 48% of genetic variation and axis 2 (PC2) captured 12% of genetic variation.

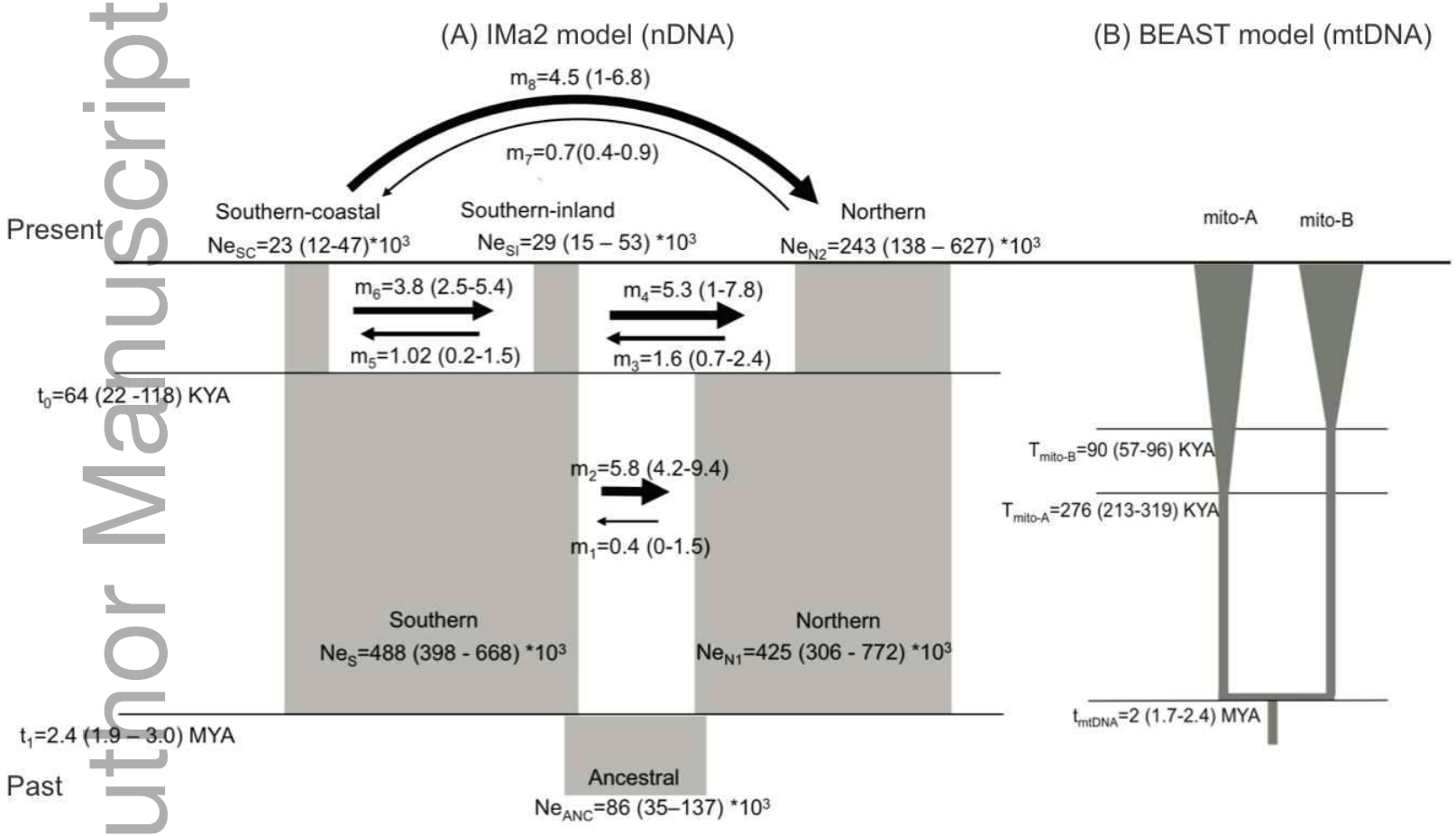

Figure 3 Estimates (high point (95%HPDs)) of coalescent analyses in IMa2 (400 nDNA loci) and BEAST (10 mtDNA genes). (A) IMa2 model. Divergence times: (*t*1) northern vs southern ancestral populations; (*t*0) southern coastal vs. southern inland populations. Effective population size (*Ne*): (Ne_{ANC}) ancestral root population; (Ne_{N1}) ancestral northern population; (Ne_S) ancestral southern population; (Ne_{N2}) northern

population; (Ne_{SC}) southern coastal population; (Ne_{SI}) southern inland population. Gene flow: ($m1$) ancestral northern to ancestral southern; ($m2$) ancestral southern to ancestral northern; ($m3$) northern to southern inland; ($m4$) southern inland to northern; ($m5$) southern inland to southern coastal; ($m6$) southern coastal to southern inland; ($m7$) northern to southern coastal; ($m8$) southern coastal to northern. (B) BEAST mitolineage model. Divergence times (t_{mtDNA}) between mitolineages mito-A and mito-B. Time to the most recent common ancestor (TMRCA) for ($T_{mito\text{-}A}$) mitolineage mito-A and ($T_{mito\text{-}B}$) mitolineage mito-B indicate probable times of mitochondrial selective sweeps.

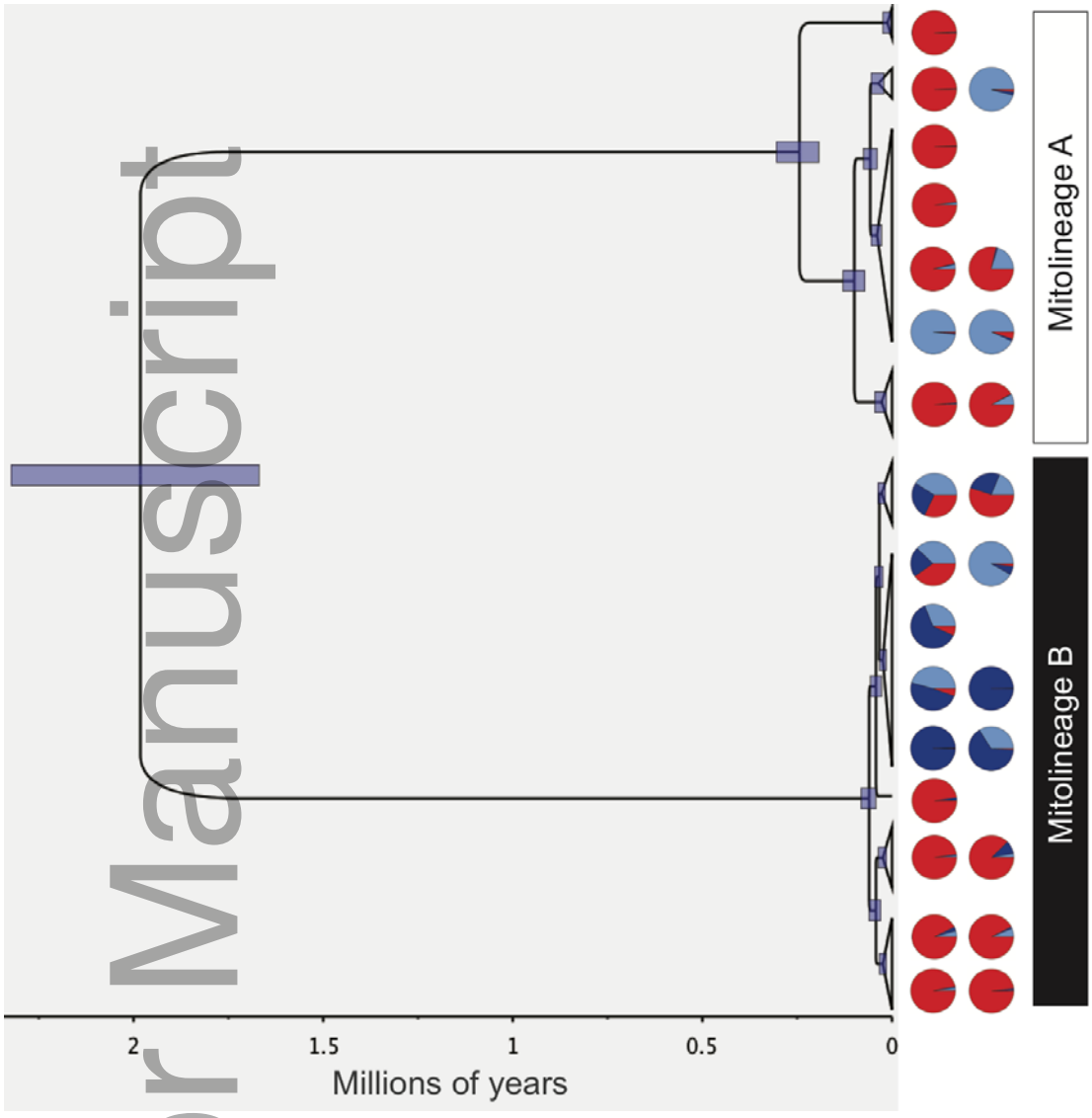

Figure 4 Phylogenetic tree reconstructed by BEAST from 10 mitochondrial genes. The 95% HDP of the time estimates are shown with blue bars in the tree nodes. The two mitolineages are shown with white (mito-A) and black (mito-B) rectangles as in Fig. 1A. The northernmost sample of mito-A mitolineage displays late-Pleistocene intra-lineage divergence. Samples for which nuclear loci were also sequenced are shown with individual pie charts reflecting their population assignment membership as in Fig. 1B: northern population (red), southern coastal population (dark blue) and southern inland population (light blue). All major nodes are fully supported (PP = 1.0). For more details including node support values, expanded terminal tips and individual labels see Fig. S6.

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216

217 **Author Contributions**

218 HM, AP and PS designed the research. LJ provided museum samples. HM produced and
219 analysed the data. All the authors contributed to the concepts and paper writing.

220

221 **Supporting Information:**

222 Table S1 Samples screened for nuclear DNA (nDNA) and mitochondrial ND2 variation

223 Table S2 Samples screened for mitochondrial genome

224 Table S3 Partitions for the BEAST analysis and substitution models according to
225 PartitionFinder

226 Table S4 Parameter estimates for coalescent analyses in BEAST and IMa2

227 Figure S1 Convergence plots for STRUCTURE K = 2

228 Figure S2 Convergence plots for STRUCTURE K = 3

229 Figure S3 Delta likelihood for STRUCTURE analysis with populations *K* = 1-5

230 Figure S4 G_{ST} differentiation between STRUCTURE K = 3 populations

Figure S5 Posterior distributions for IMa2 model

Figure S6 Phylogenetic tree reconstructed by BEAST from 10 mitochondrial genes

Data access in https://doi.org/10.6084/m9.figshare.3581004.v2:

Sample Information

Hybrid capture probes design

BLAST output file

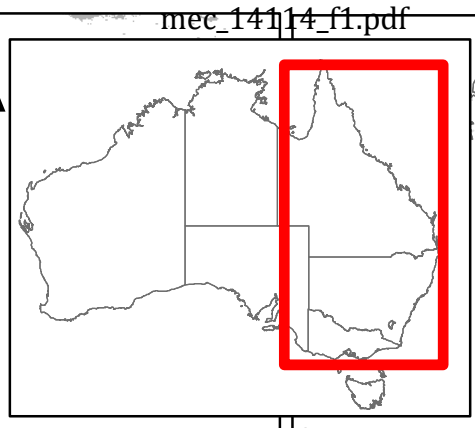
Fasta alignment files of nDNA loci

SNP data

Alignment of 10 mtDNA genes in nexus format

(A)

mtDNA



Max. temp. of warmest month (degrees C)

- 11.0 - 28.0
- 28.0 - 33.0
- 33.0 - 41.9

(B)

nDNA

Elevation (meters)

- < 200
- 200-500
- 500-1000
- 1000-1500
- > 2000

HV

STZ

(C)

North-south divergence

Mitochondrial DNA introgression

Perpendicular axes of differentiation