



1 Perspectives on future sea ice and navigability in the Arctic

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10 **Abstract.** The retreat of sea ice is very significant in the Arctic under global warming.
11 It is projected to continue and have great impacts on navigation. In this investigation,
12 decadal changes in sea ice parameters were evaluated by multimodel from the Coupled
13 Model Intercomparison Project Phase 6, and Arctic navigability was assessed under
14 two shared socioeconomic pathways (SSPs) and two vessel classes within the Arctic
15 transportation accessibility model. The sea ice extent is expected to decrease along the
16 SSP5-8.5 scenario with a high possibility under current emissions and climate change.
17 The decadal decreasing rate will increase in March but decrease in September until
18 2060 when the oldest ice completely disappears and sea ice changes reach an
19 irreversible tipping point. The sea ice thickness will decrease and transit in parts of the
20 Arctic and will decline overall by -0.22 m per decade after September 2060. Both the
21 sea ice concentration and volume will thoroughly decline with decreasing decadal rates,
22 while the decrease in volume will be higher in March than in September. Open water



23 ships will be able to cross the Northeast Passage and Northwest Passage in August–
24 October 2045–2055, with a maximum navigable area in September. The opportunistic
25 crossing time for polar class 6 (PC6) ships will advance to October–December in 2021–
26 2030, while the maximum navigable area will be seen in October. In addition, the
27 Central Passage will also open for PC6 ships during September–October in 2021–2030.

28 **1 Introduction**

29 The Arctic has experienced significant warming since the 1970s (Connolly et al.,
30 2017). Along with the increasing surface air temperature, the Arctic communities have
31 experienced unprecedented changes, such as reductions in sea ice extent and thickness,
32 loss of the Greenland ice sheet, a decrease in snow coverage, and thawing of permafrost
33 (Biskaborn et al., 2019; Box et al., 2019; Brown et al., 2017; Loomis et al., 2019). The
34 sea ice extent has declined at a rate of approximately 3.8% per decade. In comparison,
35 perennial ice has a higher proportion of loss, at approximately 11.5% per decade from
36 1979–2012 (Comiso and Hall, 2014). The average ice thickness near the end of the melt
37 season decreased by 2.0 m or 66% between the pre–1990 submarine period (1958–1976)
38 and the CryoSat-2 period (2011–2018) (Kwok, 2018). Continued declines in sea ice are
39 projected by the Coupled Model Intercomparison Project Phase 5 in the Arctic through
40 the end of the century (Meredith et al., 2019), though some significant timing
41 differences have been predicted (Stephenson et al., 2013).

42 Sea ice insulates thermal transport between the ocean and atmosphere by reflecting
43 a high proportion of incoming solar radiation back to space (Screen and Simmonds,
44 2010). With retreating sea ice, thermohaline circulation has changed (Jourdain et al.,



45 2017), and global warming has intensified (Abe et al., 2016). However, the shrinking
46 and thinning of sea ice leads to prolonged open water conditions for the Arctic passages
47 (Barnhart et al., 2015). The Northeast Passage (NEP) extends along the northern coast
48 of Eurasia from Iceland to the Bering Strait and shortens the transit distance from
49 northwest North America and northeast Asia to northern Europe by approximately
50 15%–50% relative to the southern routes through the Panama Canal and Suez Canal
51 (Buixadé Farré et al., 2014). It is navigable for approximately a month and half per year
52 for ice-strengthened ships at the end of summer (Khon et al., 2010). The day at which
53 open water (OW) ships can cross the NEP has reached 297 ± 4 (October 24th) since 2010.
54 However, navigability is still affected by the ice regime around the Severnaya Zemlya
55 Islands, the Novosibirsk Islands, and the East Siberian Sea (Chen et al., 2019). The
56 Northwest Passage (NWP) follows the northern coast of North America and crosses the
57 Canadian Arctic archipelago. Compared to the traditional Panama Canal route from
58 Western Europe to the Far East, the NWP shortens the transit distance by 9000 km
59 (Howell and Yackel, 2004). The shortest navigable period reached 69 days during the
60 period from 2006–2015 (Liu et al., 2017), and the first time the NWP was completely
61 ice free was in September 2007 (Cressey, 2007).

62 For the development of socioeconomics and marine transportation, future
63 projections of ice conditions and Arctic passages are very important, and climatic
64 changes should be taken into account (Gascard et al., 2017). Climate models are
65 effective and reliable for producing present and future spatial and temporal distributions
66 of Arctic sea ice (Parkinson et al., 2006; Stroeve et al., 2014). Smith and Stephenson



67 (2013) investigated the potential of Arctic passages under representative concentration
68 pathways (RCPs) 4.5 and 8.5 and found that OW ships and Polar Class 6 (PC6) ships
69 will be able to cross the NEP and NWP in September by mid-century, respectively. The
70 areas of the Arctic that will be accessible to PC3, PC6, and OW ships are expected to
71 rise to 95%, 78%, and 49%, respectively, of the circumpolar boundary area delineated
72 by the International Maritime Organization guidelines by the late 21st century
73 (Stephenson et al., 2013). Melia et al. (2017) suggested that the Arctic passages from
74 Europe to Asia will be 10 days faster than conventional routes by mid-century and 13
75 days faster by the later part of the century. Recent research has shown that the NEP
76 might become accessible earlier for OW ships in September 2021–2025, and the
77 navigable window may extend to August–October during 2026–2050 under shared
78 socioeconomic pathways (SSPs) 2–4.5 (Chen et al., 2020). However, it is deficient to
79 evaluate sea ice conditions and Arctic navigability by a single climate model, even with
80 a high resolution.

81 This prospective study was designed to obtain further insight into the future
82 changes in sea ice in the Arctic and the navigability of the Arctic during this century
83 with ensemble up-to-date climate models in the Coupled Model Intercomparison
84 Project Phase 6 (CMIP6). The models were filtered by comparing historical simulations
85 and observations of sea ice extent, and the possible shared socioeconomic pathways
86 were investigated with the averages of multiple models. The distributions of the linear
87 trends of sea ice extent, concentration, and thickness were explored in three stages
88 (2021–2040, 2041–2060, and 2061–2100). In addition, the changes in sea ice volume



89 and age were analyzed. The accessibility of the Arctic and the navigable area were
90 evaluated with the Arctic Transportation Accessibility Model (ATAM) developed by
91 the Arctic Ice Regime Shipping System (AIRSS) for OW ships and PC6 ships under
92 SSP2–4.5 and SSP5–8.5 in 2021–2030 and 2045–2055, respectively.

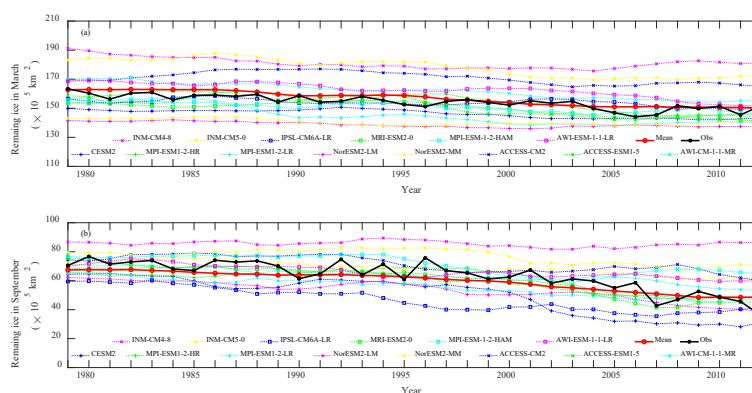
93 **2 Methods**

94 **2.1 Data and Model Selection**

95 The new scenario framework, SSP, in CMIP6 was designed to carry out research
96 on climate change impacts and adaptations by combining pathways of future radiative
97 forcing and climate changes with socioeconomic developments (O'Neill et al., 2014).
98 Compared with CMIP5 models, the CMIP6 multimodel ensemble mean provides a
99 more realistic estimate of the Arctic sea ice extent (SIMIP Community, 2020), but the
100 biases of the models are still large (Shu et al., 2020). This paper selected models by
101 comparing the historical trends of sea ice extent with observations from the National
102 Snow & Ice Data Center during 1979–2012 with a five-point moving average (Figure
103 1). The excellent models are those with a correlation coefficient greater than 0.8 (0.7
104 for March). As shown in Figure 1, 14 historical models were evaluated in both March
105 and September. The models that passed the test were CESM2, MPI-ESM1-2-HR, MPI-
106 ESM1-2-LR, NorESM2-LM, NorESM2-MM, ACCESS-ESM1-5, AWI-CM-1-1-MR,
107 and AWI-ESM-1-1-LR in September and CESM2, MPI-ESM1-2-LR, ACCESS-
108 ESM1-5, AWI-CM-1-1-MR, INM-CM5-0, MPI-ESM-1-2-HAM, and AWI-ESM-1-1-
109 LR in March. The mean of the excellent models corresponds well with the observations,
110 and the correlation coefficients are 0.884 and 0.817 in September and March,



111 respectively. However, sea ice datasets in SSP1-2.6, SSP2-4.5, SSP3-7.0, and SSP5-
 112 8.5 after 2020 have not been released for CESM2, MPI-ESM-1-2-HAM, and AWI-
 113 ESM-1-1-LR. In addition, AWI-CM-1-1-MR was excluded in the analysis of the
 114 navigability of the Arctic due to the absence of sea ice concentration data. The spatial
 115 resolutions of the monthly sea ice concentration and thickness data were normalized to
 116 $1^\circ \times 1^\circ$ by bilinear interpolation.



117
 118 **Figure 1.** Five-point moving average of sea ice extents in March and September during
 119 1979–2012.

120 2.2 Accessibility Evaluation

121 Safety and pollution are two opposing factors that are considered when making
 122 regulatory transport standards. The AIRSS was designed to minimize the risk of
 123 pollution in the Arctic due to damage to vessels by ice (Transport Canada, 1998). The
 124 ATAM, developed by the AIRSS, is commonly used to quantify temporal and spatial
 125 accessibilities in the Arctic; in the ATAM, the ability of a ship to enter ice-covered water
 126 can be represented by the ice number (IN):

$$127 \quad IN = (C_a * IM_a) + (C_b * IM_b) + \dots + (C_n * IM_n) \quad (1)$$



128 where IM_a , IM_b , and IM_n are the ice multipliers of ice types a, b, and n,
129 respectively. C_a , C_b , and C_n are the sea ice concentrations. The ice multipliers
130 indicate the severity of each ice type for the given vessel and range from -4 to 2. Positive
131 IM and IN values represent less risk to the given vessel and a safe region for navigation,
132 respectively. The vessel class is a characteristic of a ship that reflects its structural
133 strength, displacement, and power to break ice. PC6 ships and OW ships are vessels
134 that are moderately ice-strengthened and not ice-strengthened, respectively (IMO,
135 2002). In this paper, the navigability of the Arctic for each of these two kinds of ships
136 was investigated under SSP2-4.5 and SSP5-8.5. The IMs for two kinds of ships are as
137 follows:

$$\begin{aligned} IM_{OW} = & 2, \text{ if } SIT = 0 \text{ cm,} \\ & 1, \text{ if } 0 \text{ cm} < SIT < 15 \text{ cm,} \\ & -1, \text{ if } 15 \text{ cm} \leq SIT < 70 \text{ cm,} \\ & -2, \text{ if } 70 \text{ cm} \leq SIT < 120 \text{ cm,} \\ & -3, \text{ if } 120 \text{ cm} \leq SIT < 151 \text{ cm,} \\ & -4, \text{ if } SIT \geq 151 \text{ cm} \end{aligned} \quad (2)$$

$$\begin{aligned} IM_{PC6} = & 2, \text{ if } 0 \text{ cm} \leq SIT < 70 \text{ cm,} \\ & 1, \text{ if } 70 \text{ cm} \leq SIT < 120 \text{ cm,} \\ & -1, \text{ if } 120 \text{ cm} \leq SIT < 151 \text{ cm,} \\ & -3, \text{ if } 151 \text{ cm} \leq SIT < 189 \text{ cm,} \\ & -4, \text{ if } SIT \geq 189 \text{ cm} \end{aligned} \quad (3)$$

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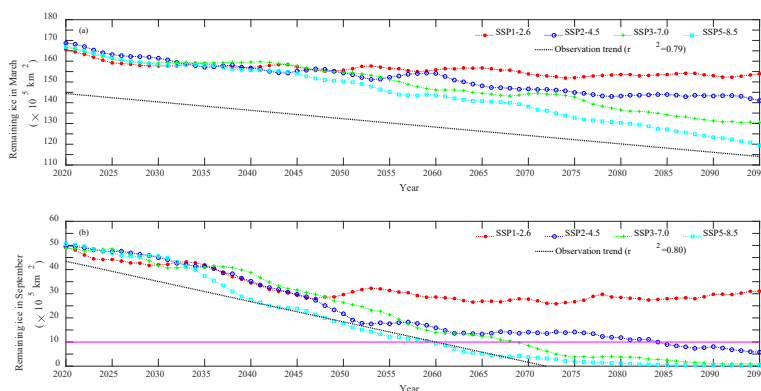
141 3 Results

142 3.1 Future Changes of Sea Ice Area and Extent

143 The extent and area are the most reliable products of sea ice that can be obtained
144 from satellite retrievals (Comiso, 2012; Notz, 2014). Therefore, the remaining sea ice
145 was taken as an indicator to evaluate the studied models and future scenarios. As shown



146 in Figure 2, the observation trends were obtained with least square regressions of
147 historical ensemble averages from 1979–2019, in which the sea ice might completely
148 disappear in September after 2073. In addition to the classical pathways, such as SSP1-
149 2.6, SSP2-4.5, and SSP5-8.5, CMIP6 provides a variety of new selections. However,
150 SSP1-1.9, SSP4-3.4, and SSP4-6.0 were not discussed in the multiscenario evaluations
151 of the newer models. According to historical development and future scenarios, sea ice
152 will retreat in the future with a more significant decreasing trend in September. The
153 difference between the SSPs and observation trends is greater in March than in
154 September, while both March and September reflect large dispersions among pathways
155 after 2050. Compared with the other scenarios, SSP5-8.5 has the greatest correlation
156 coefficients, at 0.784 and 0.712 in September and March, respectively, with the
157 observation trend; SSP2-4.5 comes second. This suggests that the worst scenario for
158 Arctic sea ice in the future might occur under the current emission and climate change
159 trends. The Arctic is regarded as “ice-free” when the sea ice area is less than one million
160 square kilometers (Lenton et al., 2019). This is expected to occur in September 2060
161 with a high probability, and ice will almost completely disappear under SSP2-4.5,
162 SSP3-7.0, and SSP5-8.5 by the end of the century.



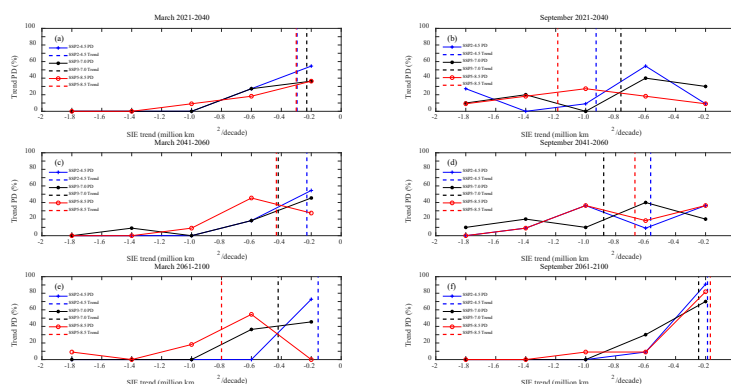
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164 **Figure 2.** Remaining sea ice under multiple scenarios and observation trends in the future.

165 “Ice-free” conditions can be considered a tipping point of climate change that has
166 significant irreversible effects (Lenton et al., 2019). Three time periods were extracted
167 and the expected changes in sea ice extent were calculated for each period, as shown in
168 Figure 3. Decadal linear trends and probability distributions with an interval of 0.4 were
169 calculated to evaluate the decline in sea ice and the differences among models. The
170 linear sea ice trends are predicted to be less than zero in both March and September in
171 2021–2100, and the retreat will be more remarkable in September before 2060,
172 especially during 2021–2040, after which the decline is mainly expected in March
173 because the extent might be close to “ice-free” in September. The dispersion of the
174 SSPs will increase in March over time, as will the absolute decadal trends of SSP3-7.0
175 and SSP5-8.5. However, the dispersion is aggregated in September, and the decadal
176 variability in the SSPs, especially in SSP2-4.5 and SSP5-8.5, has a decreasing trend.
177 The multimodel simulations mainly range from -0.8 to 0 million km² per decade in
178 March, in which the distributions of SSP5-8.5 are chiefly [-0.4, 0), [-0.8, -0.4), and [-
179 0.8, -0.4) million km² per decade during 2021–2040, 2041–2060, and 2061–2100,



180 respectively. A relatively even distribution is shown in September before mid-century,
181 while the distribution is concentrated in the range of $[-0.4, 0)$ in the late century. This
182 indicates that the difference among models is still great in September before 2060,
183 while the results are reliable in 2061–2100.



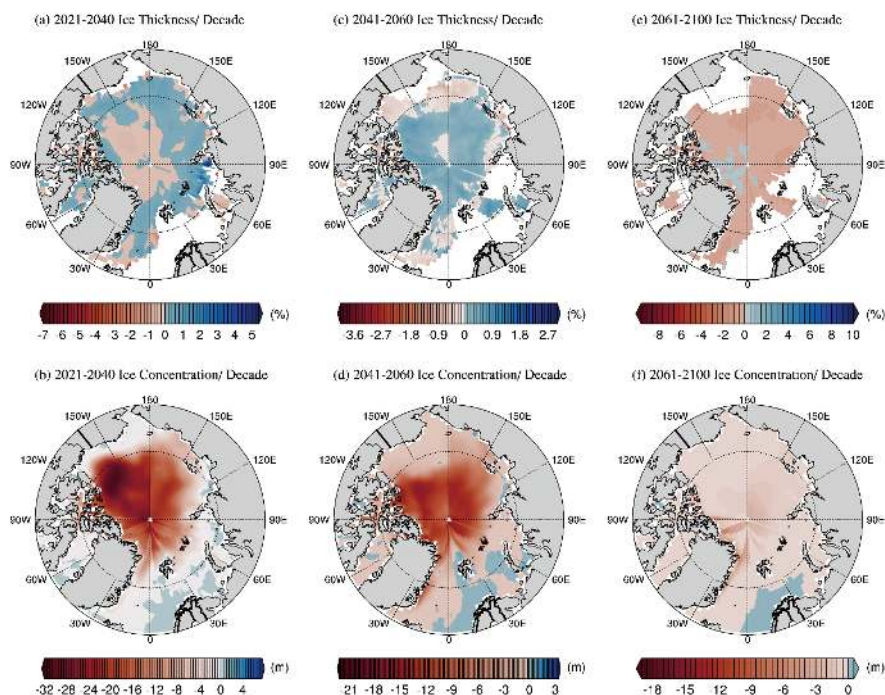
184
185 **Figure 3.** Future linear trends and probability distributions (PD) of the Arctic sea ice extent
186 (SIE) in March and September.

187 3.2. Future Changes of Other Sea Ice Parameters

188 In addition to the extent and area of sea ice, the thickness, concentration, volume,
189 and age are also important indicators of future changes in sea ice. Figures 4 and 5 show
190 the linear trends of sea ice thickness and concentration and changes in sea ice volume
191 and age, respectively, under SSP5-8.5 in 2021–2100. Ice thickness has a negative trend
192 within the Arctic Archipelago, coastal waters, and in the sector to the north of the Arctic
193 Archipelago and Greenland in September, while the other regions will experience slight
194 increases in sea ice thickness in the next 20 years. This trend is reversed in the Arctic
195 Ocean, and the decreasing area near the shore will extend to the north in 2041–2060,
196 after which the thickness of almost all of the sea ice will be reduced with an average



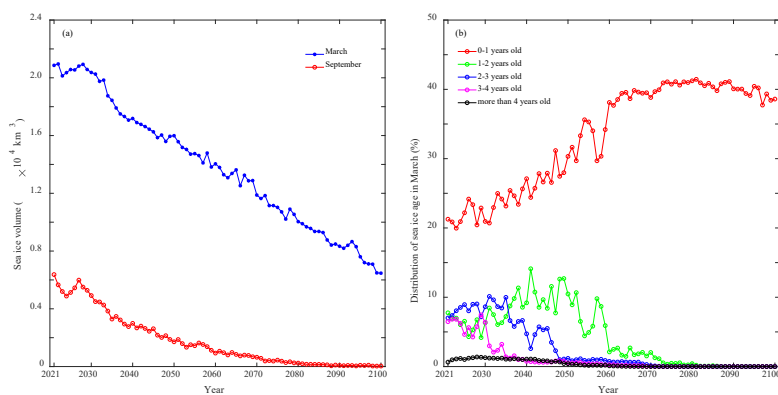
197 trend of -0.22 m per decade in the Arctic. The sea ice concentration will decrease
198 throughout the rest of this century. The area to the north of the Arctic Archipelago and
199 Greenland as well as the Arctic Basin will experience significant changes in September
200 2021–2040. The sea ice extents in these areas will shrink, and the decadal linear rates
201 will decrease until the second half of the century, when the decreasing rates will be
202 even and small in the Arctic. The average decadal change rates of sea ice concentration
203 are predicted to be -12.39% , -6.26% , and -0.81% in the three stages of 2021–2040,
204 2041–2060, and 2061–2100, respectively. The sea ice volume will decrease in both
205 March and September from 2021–2100. The decreasing rate is higher in March, while
206 sea ice might completely disappear in September before 2090. Ice age is also a key
207 descriptor of the state of sea ice cover. Compared to younger ice, older ice tends to be
208 thicker and more resilient to changes in atmospheric and oceanic forcing (Richter-
209 Menge et al., 2019). The oldest ice (>4 years old) makes up just a small fraction of the
210 total sea ice in March currently and might eventually disappear around mid-century.
211 With the degeneration of older ice, the extent of younger ice will increase in a given
212 period of time, such as 3–4-year-old ice in the next 10 years, 2–3-year-old ice before
213 2035, and 1–2-year-old ice before 2050, after which multiyear ice will degrade into
214 younger ice. First-year ice dominates the sea ice cover in the both present and future.
215 First-year ice will increase mainly before 2060 and remain stable until 2090, after which
216 it will start to decrease due to the lack of supplementation from degraded older ice.



217

218

Figure 4. Linear trends of ice thickness and concentration under SSP5-8.5 in September.



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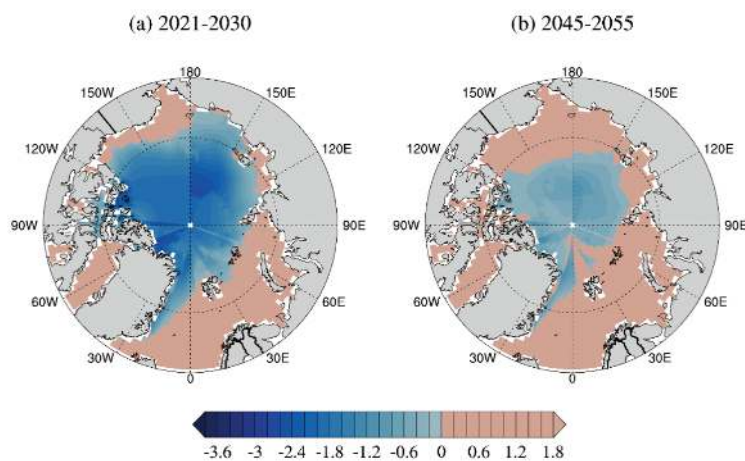
Figure 5. Changes in sea ice volume and age under SSP5-8.5.

221 3.3 Future Changes in Arctic Navigability

222 With retreating sea ice, the possibility of navigation is rising in the Arctic. The
 223 number of vessels passing through the Arctic is increasing year by year, but OW ships
 224 usually need the guidance of icebreakers, which increases transportation costs. The



225 opening of Arctic passages for OW ships is profitable for ocean shipping companies.
226 The most likely navigable window is in September. Figure 6 shows Arctic accessibility
227 for OW ships under SSP5-8.5 in September. The probability of crossing the NEP and
228 NWP is low in the next 10 years. The impassable areas of the NEP are mainly in the
229 East Siberian Sea and northwestern Laptev Sea, but nearshore waters might be
230 navigable for vessels with shallow drafts. Fortunately, the crucial straits, such as the
231 Shokalskiy Strait, Vilkitsky Strait, Sannikov Strait, and Dmitrii Laptev Strait, will be
232 accessible for OW ships. The NWP is impassable in the sectors west of Banks Island
233 and Queen Elizabeth Island, as well as the M'Clure Strait, Viscount-Melville Sound,
234 Barrow Strait, and Lancaster Strait within the Parry Channel. All of the routes provided
235 in the Arctic marine shipping assessment report (AMSA, 2009) are under restrictions
236 for OW ships. By mid-century, both the NEP and NWP will open for OW ships under
237 SSP5-8.5 in September.

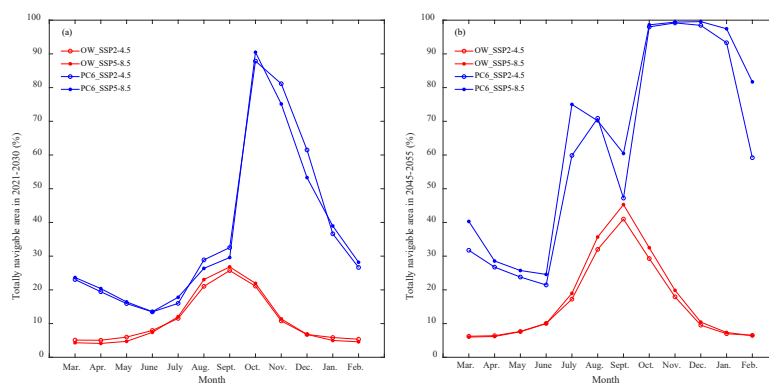


238
239 **Figure 6.** Arctic navigability for OW ships under SSP5-8.5 in September.

240 The opening of the Arctic passages mainly depends on the connectivity among



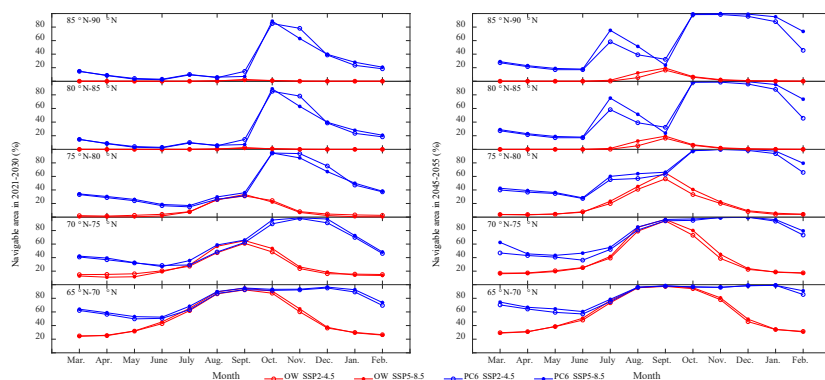
241 grids, during which the potential of individual units, which might connect with other
242 units in the next period, is usually ignored. The overall navigable potential in a region
243 can be measured by the percentage of accessible grids relative to the total number of
244 grids. Figure 7 displays the Arctic navigable percentage for two kinds of ships under
245 SSP2-4.5 and SSP5-8.5 in 2021–2030 and 2045–2055. The totally navigable area for
246 OW ships is shown as a unimodal curve in both stages, with a peak in September and a
247 valley in April and March. An irregular curve is observed for PC6 ships, with a
248 minimum value in June. The maximum values are shown in October 2021–2030, while
249 the values range in November and December in the mid-century period. Actually, the
250 Arctic will be navigable for PC6 ships from October to December. It is very strange
251 that an abnormal decrease occurs in September in both the 2021–2030 and 2045–2055
252 periods. The navigable area within every 5 degrees of latitude from 65°N to 90°N is
253 plotted in Figure 8 for further studies. This figure indicates that the abnormal point
254 results from the observed decrease within the region of 85°N–90°N, but the reason for
255 this decrease is hard to explain. The navigable area is mainly concentrated in 65°N–
256 75°N for OW ships in the next 10 years and will extend to 80°N in the mid-century
257 period. The central passage might be accessible for PC6 ships in September and
258 October, and the open window is expected to be from October to January in 2045–2055.
259 The routes of the NEP and NWP are mainly distributed in 70°N–75°N. The possibility
260 of OW ships crossing the two passages is low until August–October 2045–2055, while
261 it is high for PC6 ships during October–December 2021–2030, and the open window is
262 expected to extend to August–January in 2045–2055.



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Figure 7. Total navigable area for OW ships and PC6 ships under SSP2-4.5 and SSP5-8.5.



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Figure 8. Navigable area for OW ships and PC6 ships under SSP2-4.5 and SSP5-8.5 at different latitudes.

4 Conclusions

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The Arctic warming rate is more than double the global average warming rate, and this warming has caused great impacts on the Arctic and globe (Cohen et al., 2020). This paper investigated the future changes in sea ice and the navigability of passages in the Arctic under two kinds of shared socioeconomic pathways. It provides a vision of the Earth's future and has great significance for navigation planning. The following results were found.

(1) Changes in sea ice are expected to occur along SSP5-8.5 with a higher possibility



276 under the current trend. “Ice-free” regions might appear in September 2060, and
277 sea ice is expected to completely disappear by the end of the century.

278 (2) Retreating sea ice is more significant in September before 2060, after which the
279 decline is mainly shown in March. The decadal sea ice extent will increase under
280 SSP5-8.5 in March but decrease in September.

281 (3) The decrease in sea ice thickness will transit from the Arctic Ocean north of the
282 Arctic Archipelago and Greenland to the seas along Russia and North America
283 and will totally decline with an average decadal trend of -0.22 m in September
284 after 2060. The sea ice concentration will thoroughly decline with decreasing
285 decadal rates.

286 (4) The sea ice volume will decrease with a higher decadal rate in March than in
287 September. The oldest ice might eventually disappear around the mid-century
288 period. First-year ice will dominate the sea ice cover and will increase mainly
289 before 2060 and remain stable until 2090, after which it will start to decrease.

290 (5) The probability of OW ships crossing the NEP and NWP is low in 2021–2030, while
291 it is high in August–October 2045–2055, with maximum and minimum navigable
292 areas in September and March, respectively.

293 (6) The passages along the coast and crossing the Arctic might open for PC6 ships
294 during October–December and September–October 2021–2030, respectively, with
295 a maximum navigable area in October. The open windows are expected to extend
296 to August–January and October–January in 2045–2055, respectively, and the
297 maximum navigable areas are expected between November and December.



298 **5 Discussions**

299 The navigable window for OW ships and PC6 ships along the NEP were
300 investigated in our previous work (Chen et al., 2020), but it is deficient to evaluate
301 Arctic navigability by a single climate model, even with a high resolution. This study
302 serves as a reference for future changes in sea ice and navigability in the Arctic,
303 including NEP, NWP, and Central Passage. However, the uncertainty of the models
304 might have affected the results and their reliability in this research. Approximated
305 physical processes and unreal parameters in models are inevitable problems in the
306 geosciences. Differences still exist even though the models were filtered by comparing
307 the historical simulations with observed sea ice extents. The predicted abnormal
308 decrease in navigable area at high latitudes (80°N–90°N) in September might be an
309 example of this uncertainty. This prediction is against conventional wisdom, but it
310 could also be true. The uncertainty of models is increasing in future prospective
311 research.

312

313 *Data Availability.* All the data used in this paper are available online. The simulations
314 to sea ice can get from the CMIP6 (<https://esgf-node.llnl.gov/search/cmip6/>). The
315 observation of sea ice extent is available from the National Snow & Ice Data Center
316 (<https://nsidc.org/data/G02135/versions/3>).

317

318 *Author contributions.* JLC and SK developed the concept, and investigated the methods
319 of this paper. JLC and WD analyzed the data and wrote the original draft. JG, MX, XZ,



320 WZ and JZC reviewed and edited the manuscript.

321

322 *Competing interests.* The authors declare that they have no conflict of interest.

323

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