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## **pH-driven self-assembly of acidic microbial glycolipids**

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### **Abstract**

Microbial glycolipids are a class of well-known compounds, but their self-assembly behaviour is still not well understood. While the free carboxylic acid end-group makes some of them interesting stimuli-responsive compounds, the sugar hydrophilic group and the nature of the fatty acid chain make the understanding of their self-assembly behaviour in water not easy and highly unpredictable. Using cryo-Transmission Electron Microscopy (TEM) and both pH-dependent *in-situ* and *ex-situ* Small Angle X-ray Scattering (SAXS), we demonstrate that the aqueous self-assembly at room temperature (RT) of a family of  $\beta$ -D-glucose microbial glycolipids bearing a saturated and monounsaturated C18 fatty acid chain cannot be explained on the simple basis of the well-known packing parameter. Using the “pH-jump” process we find that the molecules bearing a monosaturated fatty acid forms vesicles below

pH 6.2, as expected, but the derivative with a saturated fatty acid form infinite bilayer sheets below pH 7.8, instead of vesicles. We show that this behaviour can be explained on the different bilayer membrane elasticity as a function of temperature. Membranes are either flexible or stiff for experiments performed at a temperature respectively above or below the typical melting point,  $T_M$ , of the lipidic part of each compound. Finally, we also show that the disaccharide-containing acidic cellobioselipid forms a majority of chiral fibers, instead of the expected micelles.

## Introduction

Glycolipids are a general class of compounds composed of a sugar and lipid moieties covalently bonded together. Sugar-based amphiphiles are a class of molecules which attract much attention amongst others due to their astonishing self-assembly properties in water.<sup>1,2,3,4,5,6</sup> Their biobased and renewable origin, good biodegradability and the variety (micelles, vesicles, fibers, bilayers, chiral fibers) of supramolecular structures they can form, make them valuable substitutes for more classical/synthetic types of surfactants, like alkylammonium, alkylsulfates and block copolymers. The importance of carbohydrates in glycobiology and medicine,<sup>7</sup> their complex physico-chemical behaviour occurring via oriented hydrogen bonding<sup>8,9</sup> and the richness of carbohydrate chemistry are some of the most interesting features that these compounds can potentially express. Furthermore, most glycolipids have additional chemical functions like acetylenic, carboxylic,<sup>4,5</sup> hydroxy<sup>4</sup> in their aliphatic moiety, thus increasing the level of complexity and functionality of these compounds and making them promising precursors for application in advanced material science.<sup>10,11</sup> However, even their simplest structural form hides an underlying complexity in terms of collective supramolecular behaviour.

Simple forms of glycolipids bearing a mono-, di- or tri-saccharide connected to the aliphatic chain via an acetal can predictably form micellar aggregates.<sup>12,13</sup> However, introduction of amide or phenyl groups as linkers between the carbohydrate and the lipid drives the systems towards the formation of more complex structures like nanotubes<sup>14</sup> or coiled fibers.<sup>2</sup> On the contrary, complex compounds like glycosphingolipids, glycosylated derivatives of aliphatic amino alcohols, can aggregate into micelles or, most importantly, contribute to the stability and functionality of biological plasma membranes.<sup>15</sup> Even if the amount of examples in which the self-assembly behaviour of glycolipids is reported in the literature is very large, prediction of the type of aggregate is still difficult, because of the large

number of factors influencing the self-assembly process. These can be divided into two categories, physico-chemical and structural. The former refers to experimental conditions, typically temperature, pH, ionic force but also process, like rate of heating/cooling or pH change, order of mixing, etc. These factors are known to have an important influence on the self-assembly of most amphiphiles, including glycolipids. It was shown, for instance, that the rate of pH<sup>16</sup> or temperature<sup>17</sup> jump in vesicle-forming ethylene glycol-based amphiphiles, or in phospholipids, influences the size and type of aggregation: slow variation in temperature or in pH induces the formation of larger, or more aggregated, vesicles. In general, it was shown that, even if self-assembly properties of amphiphiles (aggregation number, critical micellar concentration, size and shape of micelles) can be predicted at equilibrium using thermodynamic arguments,<sup>18</sup> kinetics of aggregation and shape evolution should not be disregarded, because of the non-equilibrium phenomena. Therefore, in some cases it can be necessary to explain unpredictable facts, as it was demonstrated for instance in micelle-to-vesicle transition mechanisms in lecithin/bile salts systems.<sup>19</sup> The second category refers to the actual chemical structure of the glycolipids, e.g. the number and type of sugar units, the type of glycosidic bond ( $\alpha$ -,  $\beta$ -, position), the chemical nature of the sugar-lipid linker, the type of lipid including size, the presence of branching, the number and position of unsaturation, the presence of additional chemical groups, etc. The quantitative<sup>18</sup> and qualitative (e.g., the notion of packing parameter)<sup>20</sup> thermodynamic treatment of these systems could certainly be of help to predict the self-assembly behaviour of such compounds, as this was among others demonstrated in carbohydrate-based gemini amphiphiles.<sup>21</sup> However, a simple geometrical packing model cannot explain many experimental facts, as also shown on phospholipid and/or ethylene glycol-based systems. The notion of packing parameter introduced by Israelachvili, Mitchell and Ninham<sup>20</sup> comes from a simple geometric static observation, while a more precise description of actual aggregation processes can involve dynamics. In this case, one can explain the self-assembly process by associating the amphiphile aggregate to a membrane described by two principal radii of curvature, which define the mean and gaussian curvatures of the membrane itself. Predictions can then be made based on the evolution of the membrane curvature<sup>22,17,23</sup> in relation to both the amphiphile geometry and the surrounding physico-chemical conditions.<sup>16,19</sup> For instance, it was shown that membrane fluidity in the preparation of nanoliposomes from phospholipids depends both the nature of the lipid moiety (saturation vs. monounsaturations), headgroup size and charge (neutral vs. charged), but also on the temperature at which the experiments are carried out.<sup>16</sup> The self-assembly of saturated acidic sphingolipids, a family of microbial glycolipids, can be

strongly influenced by its physico-chemical history and should be treated as a system out of equilibrium: if the compound is directly dissolved in water at neutral pH,<sup>24</sup> flat sheets are formed; however, if the compound is initially treated at basic pH to increase its water solubility before being stabilized at neutral pH, stable twisted ribbons are formed.<sup>25</sup>

In a series of recent works, we have shown how two pH-responsive structurally-similar sophorolipids,<sup>26</sup> only differing in the presence or absence of a double bond, show a drastically different behaviour in water at pH values below 7: the monounsaturated acidic sophorolipid forms micelles<sup>27,28</sup> while the saturated congener forms twisted ribbons.<sup>25</sup> Interestingly, under basic pH conditions these two compounds show exactly the same behaviour, forming a mixture of micelles and aggregated nanoscale platelets.<sup>29</sup> These observations are both hard to predict and to explain simply based on the difference between the lipid moiety (monounsaturated vs. saturated): both compounds are expected to have a very similar packing parameter, which is calculated and detailed further in the discussion section of this work and expected to be in the vicinity of 0.3. Under these conditions, sophorolipids are expected to form stable micelles, but not flat structures like ribbons, of which the packing parameter is unitary. These observations make it necessary to shed more light on this topic.

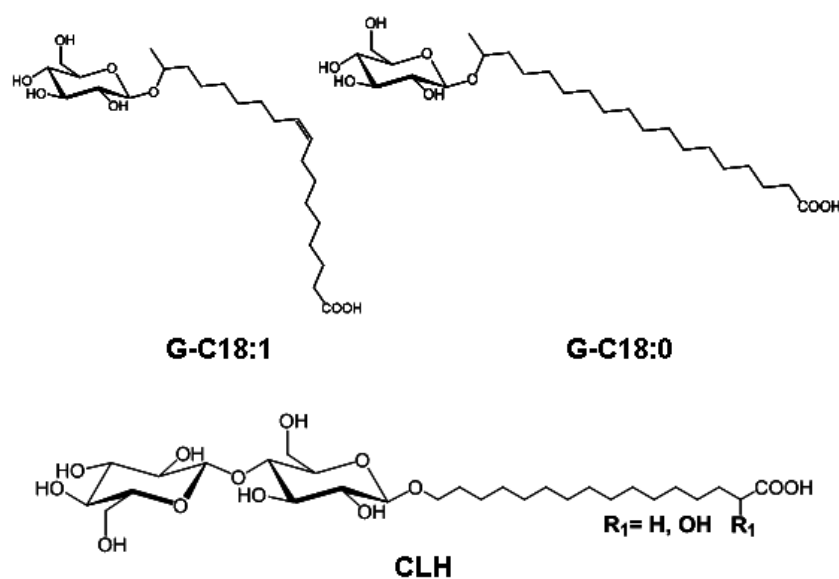
To do so, in this paper we study three microbial glycolipid compounds. The first two are two glucolipids congeners, the structure of which is exactly the same as sophorolipids, except for the number of sugars in the hydrophilic headgroup: sophorolipids are composed of sophorose, a  $\beta$ -D-glucose  $\beta$ (1,2) disaccharide, while the glucolipids are composed of one single  $\beta$ -D-glucose unit. The self-assembly properties of these glucolipids have never been studied before, although their structure is comparable (except for the type of sugar-lipid linker) to similar nanotube-forming glycolipids obtained through synthetic chemistry.<sup>30</sup> The comparison between their self-assembly behaviour to that of sophorolipids will help to better understand the properties of this class of compounds and in particular the role of both the sugar headgroup and fatty acid chain in the assembly behaviour. The third type of glycolipid which was included in this work is the acidic form of cellobioselipids. This compound, of which the self-assembly in water has never been reported, is interesting because of its structural similarities to the saturated form of acidic sophorolipids, to which the self-assembly can be compared.

By combining cryo-TEM experiments and pH-resolved *in-situ* and *ex-situ* Small Angle X-ray Scattering, we will show that monounsaturated glucolipids, G-C18:1, form vesicles at pH < 6.2; saturated glucolipids, G-C18:0, form infinite sheets at pH < 7.8; while cellobioselipids, despite their natural and hard-to-avoid mixture of congeners, form chiral

fibers in large amount at  $\text{pH} < 7.0$ . When comparing these results to the behaviour of sphorolipids, one cannot explain the observed trend using the packing parameter argument alone. Consequently, we believe that the theories of membrane elasticity<sup>22,23</sup> combined with the physico-chemical environment, and in particular the large difference in the melting temperature,  $T_M$ , of oleic acid and stearic acid, regulate the formation of such complex objects. We actually provide the direct proof that this hypothesis is correct by performing combined pH and temperature-dependent cryo-TEM experiments below ( $T = 4^\circ\text{C}$ ) and above ( $T = 80^\circ\text{C}$ ) the  $T_M$  of oleic ( $13^\circ\text{C}$ ) and stearic ( $69^\circ\text{C}$ ) acids, the lipidic components of respectively G-C18:1 and G-C18:0.

## Materials and Methods

The chemical structure of the microbial glycolipids used in this work is shown in Figure 1, where glucolipids G-C18:1 and G-C18:0 and hydrolyzed cellobioselipid (CLH) are represented. Glucolipids G-C18:1 and G-C18:0 have been obtained using a modified strain ( $\Delta\text{ugtB1}$ ) of the yeast *Starmerella bombicola*. Their chemical structure is respectively equal to the monounsaturated and saturated forms of sphorolipids,<sup>26,25</sup> except for the presence of a single  $\beta$ -D-glucose hydrophilic head, instead of a glucose  $\beta(1,2)$  disaccharide. CLH is the hydrolyzed acidic derivative of a classical cellobioselipid (CL) mixture produced by the yeast-like strains as *Ustilago maydis*.<sup>31</sup> The detailed synthesis and purification procedure is provided here below.



**Figure 1 – Chemical formulas of the glycolipids used in this work. G-C18:1, glucolipid bearing a monounsaturated ( $C_{9,10}$ ) fatty acid; G-C18:0, glucolipid bearing a saturated fatty acid; CLH, hydrolyzed cellobioselipid mixture**

*Synthesis of glucolipid G-C18:1*: The *S. bombicola* strain  $\Delta$ ugtB1 described by Saerens et al.<sup>32</sup> was used to produce glucolipids in a bioreactor experiment. Analytics were done as described by these authors. Pre-cultures were prepared from single colonies in 5 mL of production medium described by Lang et al.<sup>33</sup> in round bottomed culture tubes and incubated (30°C, 200 rpm, 30h). These pre-cultures were inoculated (5% v/v) in 100 mL of production medium (30°C, 200 rpm, 48h). Two shake flask cultures were used to inoculate the reactor (20% v/v). Cyclic fed-batch fermentations were run in a Biostat<sup>®</sup>B culture vessel (Sartorius<sup>®</sup>) with a working volume of 1.5 L. The important parameters temperature: 30°C, stirring: 800 rpm, pH: 3.5 and aeration: 1 vvm were controlled by the Biostat<sup>®</sup> B control unit. For maintaining pH at 3.5, 5 M NaOH was used. There was no correction for a too alkaline pH and fermentations started at pH 5.8 and was allowed to drop spontaneously to 3.5. A 500 g/L glucose solution was used as a hydrophilic carbon source. Rapeseed oil was fed as hydrophobic carbon source. Glucose was added stepwise in order to have a minimal concentration of 30 g/L inside the vessel. Rapeseed oil was added daily (20 to 25 g/day) unless an oil layer appeared after spinning down the cells, then no additional oil was added. Further details of the fermentation process will be described elsewhere. The broth derived from the fermentation was mixed with two volumes of Disolol<sup>®</sup> ethanol (Chem-Lab). Cells were subsequently removed by centrifugation in a high capacity centrifuge (Thermo Scientific, Sorvall<sup>™</sup> RC6 Plus) at 4°C and 8000 rpm for 15 min. The water-ethanol supernatant was collected and removed by evaporation in a rotavapor (Buchi<sup>®</sup>). An HPLC-ELSD chromatogram of the composition of the product is shown in Figure S 1A. An hexane (Fischer Scientific, UK) extraction was performed in order to remove the hydrophobic impurities (oil and fatty acids). This resulted in the formation of three fractions: a water phase containing hydrophilic impurities, a hexane phase containing the oil and free fatty acids and a precipitate consisting of the product (mixture of acetylated and non-acetylated glucolipids).

*Hydrolysis of glucolipid G-C18:1*: To obtain only non-acetylated glucolipids, alkaline hydrolysis was applied: the product was dissolved in 3 volumes of 5 M NaOH and the mixture was heated in order to facilitate product dissolution. The solution was subsequently refluxed (20, 100°C) and the pH was brought back from 13 to 4 by adding HCl (36 %). The resulting non-acetylated glucolipids were extracted using tBME. The top organic phase was collected and if present, a middle oily phase was re-extracted. Water fractions containing salt and acetate were discarded, the tBME phases were pooled and the solvent was slowly evaporated under reduced pressure, which resulted in an off white to yellow powder

consisting of purified non-acetylated glucolipids containing about 90% of sub-terminal G-C18:1 and 7% of terminal G-C18:1, as one can estimate from the relative peak areas in the HPLC-ELSD presented in Figure S 1B and Table S1. Additional  $^1\text{H}$  solution NMR characterization of this compound, presented in Figure S 2, agrees well with the HPLC data.

*Synthesis of glucolipid G-C18:0:* 0.58 g (1.27 mmol) of deacetylated G-C18:1 glucolipid was dissolved in 50 mL MeOH and 0.058 g (10 w/w%) Pd/C was added in portions under  $\text{N}_2$  atmosphere. The reaction mixture was stirred under 5 bar  $\text{H}_2$  atmosphere for 7 hours and subsequently filtered over celite. The reaction mixture was concentrated under reduced pressure to yield 0.44 g (75%) saturated glucolipid G-C18:0 (Figure 1) as a white powder. This compound, being a derived product of the previously described G-C18:1 batch, was only characterized by  $^1\text{H}$  solution NMR (Figure S 2), the experimental details of which are given in the Supporting Information.

*Synthesis of cellobioselipids (CL):* *Ustilago maydis* DSM 17146<sup>34</sup> was used to produce a mixture of native cellobioselipids. Cells were first cultivated in shaking flasks with 24 g/L potato dextrose, at starting pH of 5.6 for four days in order produce biomass.<sup>31,35</sup> For CL production cells were harvested, washed with 0.9% NaCl and afterwards inoculated with an  $\text{OD}_{625\text{nm}} = 0.8$  and cultivated as resting cells up to 14 days in the 1.7 g yeast nitrogen base medium (200 mL) with 40 g/L saccarose, as pulse wise feed. The cultivation broth was adjusted on pH 2.5 with citrate buffer. After cultivation the broth was centrifuged, the pellet dissolved in ethanol and centrifuged again. The supernatant was separated, evaporated and the CL raw extract was washed with ethylacetate (4 mL/g CL raw extract) and used for further hydrolysis and investigation. If compared to the glucolipids, this compound is known to be a more complex mixture of different congeners, as described in ref. 31. Such a polydispersity is reproduced in this work, as shown for CL, the LC-MS of which (Figure S 3) shows at least four major components at 15.00, 19.04, 19.35 and 22.92 minutes and which correspond to typical cellobioselipids CL B and CL C reported in ref. 31.

*Hydrolysis of cellobioselipids (CLH):* to increase the water solubility and to reduce the number of congeners in the CL sample, a hydrolysis step was operated. The as-synthesized CL have been dissolved in a 1 M NaOH solution under reflux for 10 minutes at 90°C. The solution is allowed to reach room temperature and pH is eventually adjusted below 5 under stirring. A white precipitate forms and can be recovered by either filtration or centrifugation. After washing with milliQ-grade water to remove residual salt and organic acids, the compound is dried and ready to use. As shown by the  $^1\text{H}$  NMR data in Figure S 2b, this compound can be identified as the hydrolyzed form of CL, referred to as CLH, free of any



fatty esters and acetyl groups and it is mainly composed of congeners, the difference among which occurs in the hydroxyl chain substitutes. characterization of the  $^1\text{H}$  NMR and type of congeners is described in more detail in the Supporting Information. The compound is considered to be free of residual fatty acid and oil and it is used as such without further purification attempted.

*Sample preparation:* a given amount of the desired glycolipid is dissolved into milliQ-grade water at room temperature to give a concentration of 50 mg/mL or 5 mg/mL. The pH is increased up to  $\sim 11$  by adding 10-15  $\mu\text{L}$  of 5 M NaOH solution and it is eventually decreased by adding  $\mu\text{L}$  amounts of 0.1 M – 1M HCl until the solution becomes turbid. This procedure, necessary to make the glycolipids water-soluble, generates NaCl. However, this is only a minor drawback in the conditions explored as first of all, all systems are prepared in the same way and, consequently, comparable one to the other. Secondly, we have demonstrated that NaCl has no effect at all on the nature of the self-assembled structure in similar systems, but it only affects aggregation: on micellar-forming monounsaturated sphorolipids, NaCl only affects the degree of ellipticity of the micelles,<sup>28</sup> while on chiral-fiber forming saturated sphorolipids, NaCl only affects fiber aggregation but not their chirality.<sup>36</sup>

Aliquots of the sample are then taken and analyzed by cryo-TEM and SAXS. *in-situ* experiments (see SAXS section) are performed during the synthesis process while *ex-situ* experiments, to reduce at best the aging time, are performed within a few hours after synthesis. If needed, samples are cryo-fixed and stored in liquid nitrogen for long period of times (from days to weeks). To prevent temperature bursts between  $-196^\circ\text{C}$  and room temperature (RT) that could affect the nature of the self-assembled objects, samples are defrosted from  $-196^\circ\text{C}$  to RT in the following way: 1h at  $-80^\circ\text{C}$  and 12 h at  $-18^\circ\text{C}$ , then to room temperature. By this way, we have never observed changes in the type of objects after cryo-fixation.

*Transmission Electron Microscopy experiments under cryogenic conditions (cryo-TEM):* these experiments were performed on a FEI Tecnai 120 twin microscope operating at 120 kV equipped with a Gatan Orius CCD numeric camera. The sample holder was a Gatan Cryoholder (Gatan 626DH, Gatan). On both microscopes, DigitalMicrograph™ software was used for image acquisition. Cryofixation was done on a homemade cryo-fixation device. The solutions were deposited on a glow-discharged holey carbon coated TEM copper grid (Quantifoil R2/2, Germany). Excess solution was removed and the grid was immediately plunged into liquid ethane at  $-180^\circ\text{C}$  before transferring them into liquid nitrogen. All grids were kept at liquid nitrogen temperature throughout all experimentation. Please note that 50

mg/mL samples needed further dilution (generally 10x) for a good cryo-TEM observation. This was performed using the parent solution few minutes before cryo-fixation.

*Light Scattering (LS)*: Light scattering was done on a Zetasizer Nano ZS device using a 633 nm wavelength and a 90° geometry. In order to minimize effects of dust and maximize the reproducibility, each sample was analyzed 3 times. Each analysis is characterized by 20 measurements of 5 s each.

*Small Angle X-ray Scattering (SAXS)*: several publications have shown that the stimuli-driven self-assembly of lipids is a non-equilibrium process;<sup>16,17</sup> for this reason, we have repeated SAXS experiments on three different instruments using both *ex-situ* and *in-situ* analyses, one being complementary to the other. In the *ex-situ* approach, the self-assembled structures are analyzed within a few hours after their preparation. If this approach leaves more time to reach pH equilibrium, it does not allow to precisely determine the pH of the solution aliquot at the moment of analysis. This method is also not compatible with detailed follow-up of the self-assembly process as a function of pH. For this reason, we have developed an *in-situ* method in which the pH is controlled and measured at all time during the acquisition of the signal. However, since the time interval between two pH values is in the order of minutes (details below), this approach does not leave the system too much time to reach equilibrium of pH.

In the *ex-situ* experiment, the solutions at  $\text{pH} \leq 11.6$  are prepared as indicated above. These are sampled and analyzed in a quartz or borosilicate capillary. These experiments have been recorded on a three pinhole type S-MAX 3000 RIGAKU Nanoviewer instrument using a monochromatic Cu-K $\alpha$  radiation produced by a microfocus (20 microns x 20 microns) sealed tube X-ray source (MicroMax 002+ RIGAKU working at 40 W) and equipped with a two-dimensional multi-wire proportional gas detector. The sample-to-detector distance was varied between 1529 mm, 1469 mm and 843 mm. More details are given in the main text. The applied voltage and filament current were 40 kV and 50 mA respectively. The  $q$ -range calibration was made using a silver behenate standard sample ( $d_{\text{ref}} = 58.38 \text{ \AA}$ ). The measured intensity is always divided by the sample transmission and appropriate masking was done to eliminate the beam-stop shadow contribution and imperfection of the 2D detector. Borosilicate sample holders of 1 mm diameter have been used. The acquisition time per sample was 1 h.

In addition, SAXS experiments were repeated on freshly-prepared solutions at the SWING beamline of the SOLEIL Synchrotron facility (Saint-Aubin, France): the beam energy was set to 12.6 keV and the high- $q$  region is explored using a sample-to-detector distance of 1 m while the low- $q$  region is explored using a sample-to-detector distance of 6 m.

The capillary used to analyze both the background solution (sample-free solution at pH 11.6) and the samples is never changed, so as to minimize imperfections during data treatment. Samples have been analyzed using an automated robot provided at the beamline and which allows a continuous flow of solution (50  $\mu\text{L}/\text{min}$ ) in front of the beam so to reduce possible beam damage. The acquisition time is set between 500 ms (for low-q) and 300 ms (high-q). Between 5 and 10 spectra are commonly acquired per sample and averaged, allowing very good statistics on the data. Given the environmental setup, the high-q region for all samples is analyzed within a 30 min timespan after about 4 h from sample preparation while the low-region is analyzed after about 7 h.

The *in-situ* experiments have employed a flow-through polycarbonate 2 mm capillary connected to the sample-containing solution at pH 11.6 through a peristaltic pump. The pH was controlled *in-situ* via a classical KCl pH-meter directly in the experimental hutch and constantly monitored. pH changes have been obtained by using a 0.1 M HCl solution introduced via a motor-controlled press-syringe. If the flow-through device reduces beam damage, we could only acquire 1 spectrum at each pH under this particular set-up, thus making the statistics more fluctuating than in the *ex-situ* experimental conditions. Data have been acquired on the high brilliance ID02 beamline at the ESRF synchrotron (Grenoble, France). Error-bars on the ID02 experiments were calculated based on the estimated number of photons detected (accounting for the gain and quantum efficiency of the CCD and phosphor layer), assuming a Poisson statistics. The noise of the detector was accounted for by comparison of darks.

In all cases, data have been acquired using a CCD camera and integrated azimuthally to obtain a typical  $I(q)$  spectrum. Contribution of the solvent (water at pH 11.6) and capillary have been measured prior to the experiment and duly subtracted during the data treatment. All SAXS data (*in-situ* and *ex-situ* setups) have been corrected for the transmission of the direct beam and scaled to be in absolute scale.

*Nuclear Magnetic Resonance (NMR)*: solution  $^1\text{H}$  NMR data presented in Figure S 2 have been acquired on a Bruker 300 MHz liquid spectrometer using a  $^1\text{H}$ -X BBFO probe. Classical one-pulse experiments using a recycling delay of 1 s have been used to record data while 2D COSY45 and TOCSY (delay= 80 ms during spin-lock using a MLEV-17 sequence)<sup>37</sup> experiments (Figure S 4) have been used to determine the structure of CLH. The 3.2 version of the TOPSPIN software was used for acquisition and treatment of data. Standard pulse programs found in the Bruker-TOPSPIN pulse programme library have been used.

*pH titration* was done on a solution containing 5 mg/mL of a given compound in water, the

solution pH of which is initially settled at pH ~11.6 using 10-15  $\mu\text{L}$  of 5 M NaOH solution. Titration is done using  $\mu\text{M}$  amounts (~ 2-5  $\mu\text{L}$ ) of a 0.5 M HCl solution.

*Circular Dichroism* (CD) has been recorded on a Jasco J-810 spectropolarimeter between 190 nm and 600 nm with a 0.1 nm step for solutions at a concentration of 50 mg/mL of the given sample solution. The compound is initially dissolved at pH ~11.6 in deionized water and pH was successively decreased with 0.5 M HCl solutions and then loaded into a 1 mm quartz cuvette for measurements.

*HPLC-ELSD analysis.* HPLC-ELSD analysis was performed with the Agilent 1260 Infinity equipped with a Agilent Zorbax Eclipse Plus C18 column (4.6 x 100 mm – 3.5 micron) at 40°C. A flow rate of 1 mL/min was applied and a gradient of two solvents (A: 0,05 % acetic acid and B: acetonitrile) was applied using the following method: 0 min: 95% A and 5% B, 25 min: 5% A and 95% B, 27 min: 5% A and 95%B and 30 min: 95% A and 5% B.

*LC-MS analysis:* analysis of CL was done with Thermo LCQ Deca by the use of RP18-solid phase (150x 2 mm, Phenomenex Luna) and solvents (i) methanol with 0.1 formic acid and (ii) water with 0.1% formic acid as gradients. LC-MS analysis of glucolipids was done on a Shimadzu LC-10-AD HPLC system (Shimadzu Europe GmbH, Germany) connected to a quadrupole mass spectrometer (Waters, Milford, MA). Molecules were identified by their native molecular masses after ESI (electron spray ionization) without collision.

## Results

The products that have been used in this study are all obtained from microbial synthesis, a process which brings a natural diversity in terms of molecular structure. Glucolipid G-C18:1 contains no detectable amount of residual free fatty acids or vegetable oil (<0.005 and <0.01 % respectively). 90 % of the glucolipids correspond to the structure depicted in Figure 1: the fatty acid moiety is composed of an oleyl chain hydroxylated at the subterminal position, 7% of the molecules harbour the same oleyl being terminal hydroxylated, so 97% of the sample corresponds to glucolipids with a C18:1 fatty acid chain. The remainder of the mixture is composed of molecules carrying C18:2 and C18:0 fatty acid chains. Upon hydrogenation, all double bonds are saturated resulting in a nearly 100% pure G-C18:0 sample. CLH is a more heterogeneous mixture. The full  $^1\text{H}$  NMR analysis of the compounds is provided in the Supporting Information. All compounds revealed to be poorly soluble in water at room temperature. For this reason, and to explore their assembly behaviour, the same procedure followed in ref. 25 was adopted. A given amount of the compound is dissolved in water by increasing the pH of the solution to  $\text{pH} > 11$ , then, HCl is

added to decrease the pH. This operation can be followed both by pH titration and light scattering, which is used to probe the turbidity of the solution. Figure 2a shows the evolution of the scattered intensity as a function of pH. For all systems, the count rate at pH above 9 is constant, the absolute value of which varies between each compound. The non-zero values of the scattered intensity indicates that the ionic form of all compounds undergoes self-assembly in water, even if at this stage it is impossible to determine the nature and the amount of such aggregates. At pH between 6 and 8, a strong increase in the scattered intensity is recorded for all compounds, a clear sign of massive solution turbidity, as also visible by the naked eye. In the acidic pH range, the value of scattered light is generally high and stays practically constant. However, in some cases (e.g., CLH, G-C18:1), abrupt decrease in intensity at  $\text{pH} < 5$  can occur; since the solution is still highly turbid, the abrupt decrease most likely depend on phase separation between the precipitate and water. Even if the above behaviour is common to all compounds, each one has its own characteristics. The sharp clear/turbid transition for G-C18:1 and G-C18:0 respectively occurs at  $\text{pH} = 6.2 \pm 0.3$  and  $7.8 \pm 0.4$ , while the same phenomenon for CLH seems to occur in two pH steps. At  $\text{pH} = 7.0 \pm 0.4$ , one can observe a small increase in intensity from about 100 kcps to about 500 kcps, while at  $\text{pH} = 6.2 \pm 0.4$  a very sharp increase is eventually recorded. A clearer view of this double behaviour is given in the combined pH/intensity evolution with an amount of HCl in solution (expressed in the form of total concentration in the final solution). Figure 2d shows that for the CLH system the large drop of pH from 11 to about 7, corresponding to the titration of the NaOH, has no influence on the scattered intensity. However, at higher amounts of HCl, the pH is practically constant at the value of 7, while the scattering intensity gently increases up to about  $700 \pm 100$  kcps. It is observed that the equilibration time per pH value requires several minutes. Protonation of the COOH group, and formation of self-assembled objects, is more likely to start at this stage. Finally, as soon as the pH drops again, the scattered intensity undergoes a sharp increase, a phenomenon which could also be related to morphological changes. In comparison, G-C18:1 and G-C18:0 have a more straightforward behaviour, as the scattered intensity undergoes a single transition in the pH region where a pseudo-plateau is observed (Figure 2b,c).

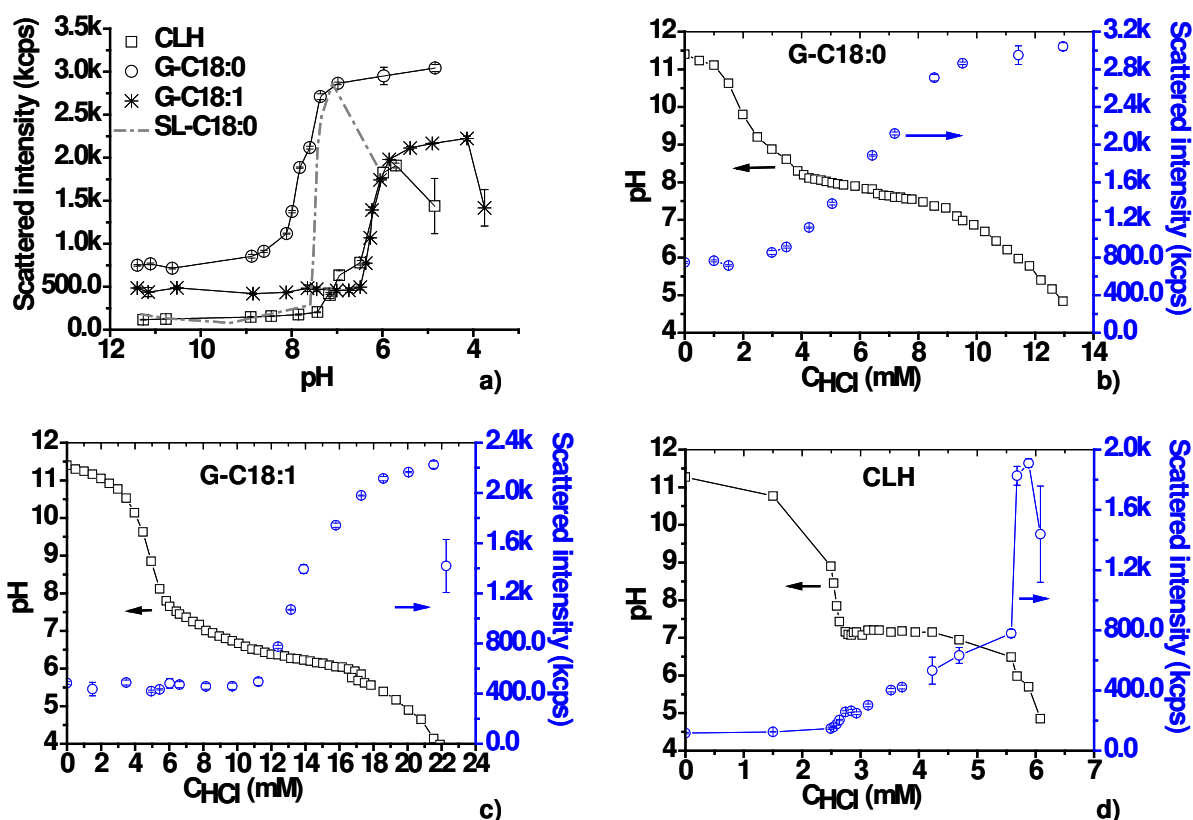


Figure 2 – a) Turbidity measurements as a function of pH for G-C18:1, G-C18:0, CLH glycolipids. Data for the saturated acidic sophorolipid compound, SL-C18:0, has been adapted from Ref. 25. b-d) Titration and turbidity measurements experiments combined for (b) G-C18:0, (c) G-C18:1 and (d) CLH

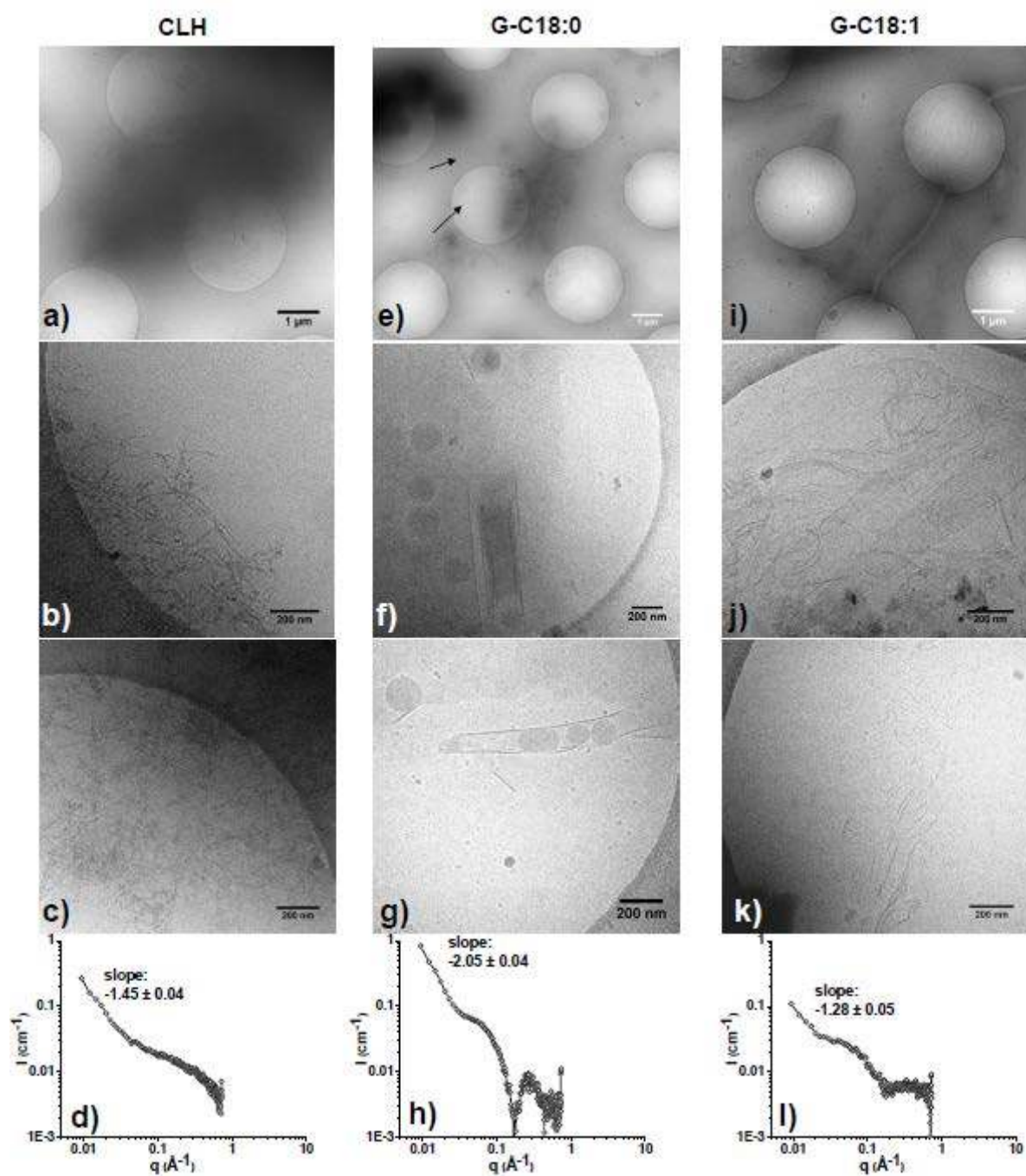
The pH-driven turbidity evolution of these compounds can be directly compared to the one measured on sophorolipids.<sup>25</sup> Sophorolipids containing a *cis*- double bond do not form any remarkable large scale structures upon pH decrease in the neutral/acidic region. However, fully saturated sophorolipids (SL-C18:0) show the sudden formation of twisted ribbons at about  $\text{pH} = 7.4 \pm 0.2$ . For convenience, the pH-driven scattered light transition for SL-C18:0 is reported on Figure 2a (segmented-dot line). The evidence that each compound has its own well-defined transition pH, not necessarily in the same range (a difference of 2 pH units is recorded between G-C18:0 and G-C18:1) is unexpected, and its possible origin will be commented later after a more detailed description of the morphologies both at basic and acidic pH media.

### Basic pH

Figure 3 shows the cryo-TEM and SAXS results for the CLH, G-C18:0 and G-C18:1 compounds at pH 11, at which light scattering (Figure 2a) and SAXS (Figure S 5) profiles are

unchanged over a pH range between 11.5 and 9.5. When looking at CLH, the grid is covered with large cloudy aggregates (Figure 3a) constituted of ill-defined filamentous matter (Figure 3b,c), similarly to what is found for the SL C18:0 compound in the same pH range.<sup>29</sup> However, and differently from the latter, the CD signal of the CLH (Figure S 6) at basic pH seems to be different from zero, thus indicating the presence of chiral self-assembled objects, even if this cannot be visualized directly by the cryo-TEM images, as they not resolved enough. The typical *in-situ* SAXS at pH 11 for this sample (Figure 3d) has one main contribution in the low-q region, characterized by a slope value of  $-1.45 \pm 0.04$ . Surprisingly, a broad signal above  $q > 0.1 \text{ \AA}^{-1}$  seems to indicate that CLH in the basic medium does not form well-defined micelles, as was found for instance in SL-C18:1 and SL-C18:0 sphorolipids at equivalent pH.<sup>29</sup> This seems to suggest the coexistence of the supramolecular assemblies with surfactant monomers, a fact which, even if unexpected, is not uncommon, as described by Bryskhe et al.,<sup>17</sup> who found that the lamellar phase of a non-ionic surfactant system obtained from the temperature treatment of a starting micellar solution actually coexists with a dilute solution of the surfactant monomers only.

The G-C18:0 sample is characterized by a much better defined *in-situ* SAXS signature (Figure 3h): the low-q region has a  $-2.05 \pm 0.04$  slope, typical for flat morphologies, while the high-q region ( $q > 0.04 \text{ \AA}^{-1}$ ) shows the typical signature of micellar objects. The value of the minimum of the first oscillation, attributed to the form factor of a micelle,<sup>29</sup> is  $q_{\min} = 0.17 \text{ \AA}^{-1}$ . In the case of a homogeneous sphere, the minimum of the form factor can be used to estimate the radius of the sphere according to the classical equation  $Rq_{\min} = 4.49$ ; if, in this case, the estimated value is  $R = 26.4 \text{ \AA}$ , one must take this value with caution due to the non-homogeneous nature of glycolipid micelles.<sup>28</sup> The cryo-TEM images presented in Figure 3e-g seem to be in agreement with SAXS: they show the presence of vesicles, which co-exist with tubular structures, while the background is constituted of smaller micellar aggregates. Interestingly, these objects even seem to interact with each other, as shown in Figure 3g, in which vesicles are contained in hollow tubes, or in Figure 3f, showing two, or more, hollow tubes into the other. Finally, the G-C18:1 sample appears to form a complex network of filamentous aggregates (Figure 3i,k), a fact which is also corroborated by the  $-1.28 \pm 0.05$  slope at  $q < 0.02 \text{ \AA}^{-1}$  in the *in-situ* SAXS experiment (Figure 3l), which does not exclude the presence of micelles, as shown by the typical scattering profile at  $q > 0.02 \text{ \AA}^{-1}$ . The minimum of the first oscillation  $q_{\min} = 0.19 \text{ \AA}^{-1}$ , similar to what it found for the G-C18:0 compound, indicating micelles close in size between these two glucolipids.



**Figure 3 – CLH : (a-c) cryo-TEM images and (d) *in-situ* SAXS. G-C18:0: (e-g) cryo-TEM images and (h) *in-situ* SAXS. G-C18:0: (i-k) cryo-TEM images and (l) *in-situ* SAXS. All experiments have been performed on 5 mg/mL solutions at pH ~11. Small Angle X-ray Scattering experiments have been recorded on the ID02 line of ESRF synchrotron (Grenoble, France).**

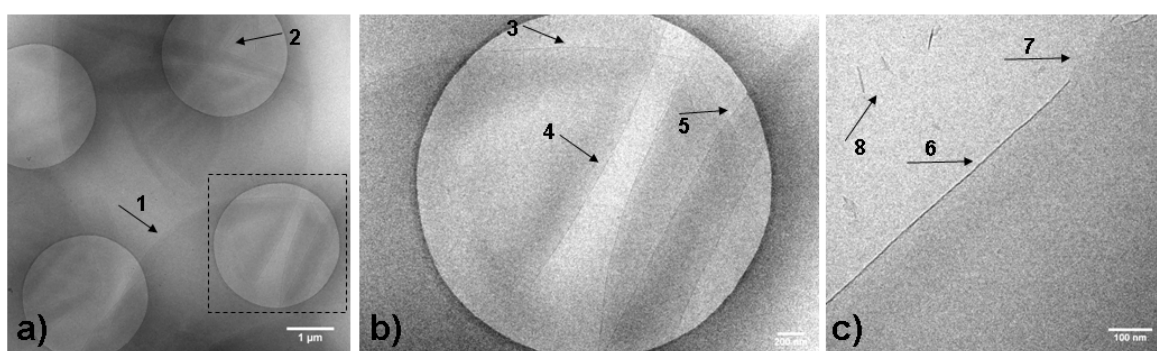
### *Acidic pH*

#### *I. Glucolipid G-C18:0 – Formation of infinite bilayers*

The cryo-TEM analysis of the G-C18:0 compound in the pH  $7.8 \pm 0.4$  region is shown in Figure 4. At low magnification (Figure 4a), one can observe the presence of infinitely long

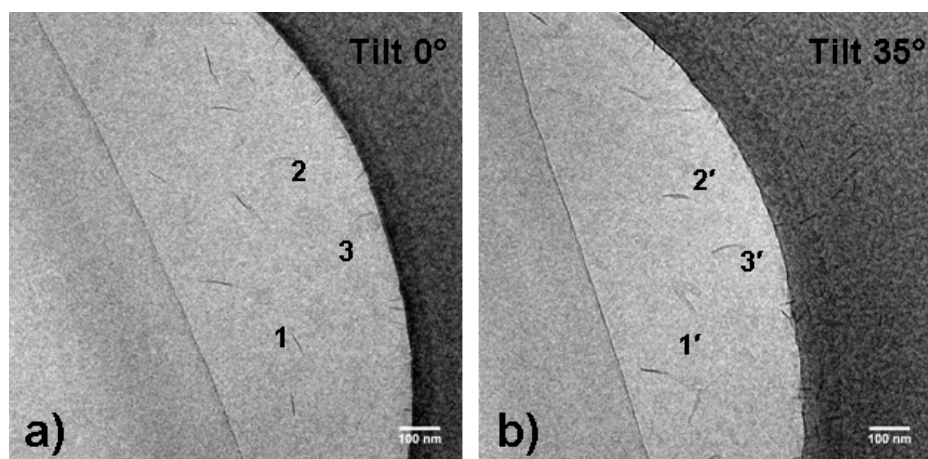


superimposed flat sheets. Arrows 1 and 2 respectively indicate one sheet layer and the junction between two superimposed sheets. At higher magnification, in Figure 4b, one can identify the presence of several sheets, the borders of which are highlighted by arrows 3 and 4; arrow 5 indicates the junction between two sheets. Finally, at higher magnification (Figure 4c), one can have the detail of the sheet border: a high electron density region indicated by arrow 6 and a low electron density region indicated by arrow 7. These clearly indicate that the sheets borders are folded at the extremities, undoubtedly to reduce line tension,<sup>19</sup> but open ends can occasionally occur (arrow 7). Interestingly, additional, small, objects can be detected in the proximity of the sheets, as shown by arrow 8.



**Figure 4 - Cryo-TEM images of the infinite self-assembled sheets formed with G-C18:0 at pH ~7.8**

These can often be found next to the sheets borders, as also shown by Figure 5a. Upon tilting the sample holder (Figure 5b), the acicular 1 and 2 objects tend to disappear while at location 3 one can see an acicular object appearing. This is characteristic of a flat disk morphology (diameter estimated between 80 nm and 100 nm), the electron density contrast of which is very low, hence undetectable, when their flat surface is orthogonal to the incident electron beam. It is possible that these sparse objects are related, or even precursors, to the presence of the infinite flat sheets, as this was described in phospholipid/cholate salt mixtures.<sup>19</sup> The CD experiments in Figure S 6 show a flat, zero, signal throughout the spectrum, thus confirming the fact that no chiral self-assembled object is formed.



**Figure 5 – High-magnification cryo-TEM images recorded for the G-C18:0 sample at pH ~7.8 at two tilt angles a) 0° and b) 35° of the sample holder**

The *in-situ* SAXS data of G-C18:0 (Figure 6a) have been recorded in the middle of the transition region at pH 7.54 and below pH 5. First of all, one can observe an intense scattering signal at low- $q$  values with a slope of  $-2.2 \pm 0.1$ , a value which is in agreement with the presence of flat objects. The fact that a plateau is not reached at  $0.01 \text{ \AA}^{-1}$  is also in agreement with the presence of structures at least larger than 63 nm. The signal at high  $q$  values renders the oscillation of a core-shell flat morphology, the form factor of which can be employed to model the entire SAXS curve (Figure S 7) and for which the core-shell model is necessary to account for the broad oscillation. The position of the minimum of the oscillation of the SAXS profile is informative on the half section of a lamellae according to the relationship  $qR = \pi$  valid for a homogeneous object. Here, the position of the minimum is  $0.12 \text{ \AA}^{-1}$ , so one can estimate half the section to about 26 Å, a value which, as commented before, must be taken as indicative due to the core-shell nature of the bilayer. However, given the fact that the size of G-C18:0 could reasonably be estimated to be less than 30 Å, using the Tanford formula to estimate the stearic acid moiety ( $\leq 22 \text{ \AA}$ , see legend of Table 2 for more details) and estimating the size of  $\beta$ -D-glucose to be less than 8 Å,<sup>38</sup> one can still make the reasonable hypothesis that the membrane is constituted by a double layer of G-C18:0, even if it is not excluded that interdigitation between the top and bottom layers actually occurs. Differently from the CLH case, the SAXS profile above  $0.12 \text{ \AA}^{-1}$  does not contain diffraction peaks, but it is characterized by the oscillation due to the form factor of the lamellae alone. This supports the idea that the glucolipid molecules are organized perpendicularly (tilting is not excluded) to the membrane surface, as classically found in lipid bilayers. However, the diffraction peak at  $0.16 \text{ \AA}^{-1}$  at pH= 3.29 clearly indicates a (pseudo-)crystalline molecular packing in the plane of the membrane. It is interesting to note that the SAXS profile does not change between pH

7.54 and pH 4.93, suggesting little or no morphological evolution in this pH range. However, at lower, more acidic values, a solid precipitate with lamellar molecular packing is observed, as indicated by the first and second order diffraction peaks at  $0.16 \text{ \AA}^{-1}$  ( $39.3 \text{ \AA}$ ) and  $0.32 \text{ \AA}^{-1}$  ( $19.6 \text{ \AA}$ ). Given the multicomposite nature of these peaks one can argue that the lamellar structure coexists with the flat bilayer sheets.

As the *in-situ* experiments are very useful to follow up the morphological evolution at any pH value, the fast rate of pH change is known to potentially influence the self-assembly process, known to be kinetically-driven for similar systems.<sup>16,17,19</sup> The *ex-situ* SAXS spectra are presented in Figure 6b. First of all, one can recognize the similar scattering profile previously observed *in-situ*, indicating that the mode of preparation does not have a striking influence on the overall morphology. In particular, the SAXS spectrum recorded below pH 4 shows the same diffraction pattern at  $q > 0.16 \text{ \AA}^{-1}$ . Nevertheless, an extra broad diffraction peak is detected in the low- $q$  portion of the spectrum at about  $0.025 \text{ \AA}^{-1}$  at pH 4. The same peak at  $0.022 \text{ \AA}^{-1}$  can also be documented at higher concentration in the pH 6 environment. This broad peak identifies a repeating distance of about  $260 \text{ \AA}$ , attributed here to an average inter-sheet repeating distance, most likely due to the spontaneous interactions of the sheets. This hypothesis is supported by the fact that the G-C18:0 basic solution becomes highly viscous, and eventually jelly, after introduction of the acid and one needs to vigorously stir it to make it more fluid. This is very important in the *in-situ* experiment, in which, if the stirring is not strong enough, one can experience the clogging of the probe capillary. As it can be seen in the cryo-TEM image in Figure 4a, the sheets tend to aggregate and pile one on top of each other, a phenomenon which seems to be enhanced in the static, *ex-situ*, experiment, thus providing an inter-sheet diffraction signal. The lack of a clear inter-sheet diffraction peak in the *in-situ* experiments seems to indicate that the stirring step is vigorous enough to break apart the “infinite” sheets into smaller ones.

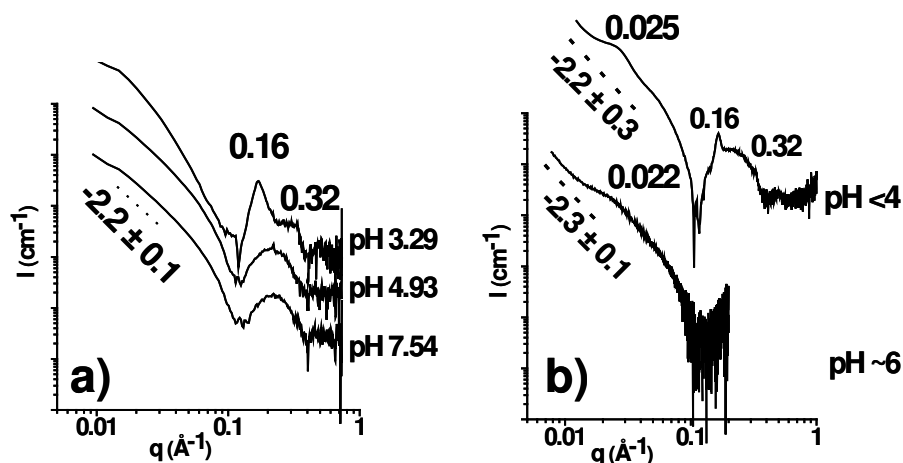
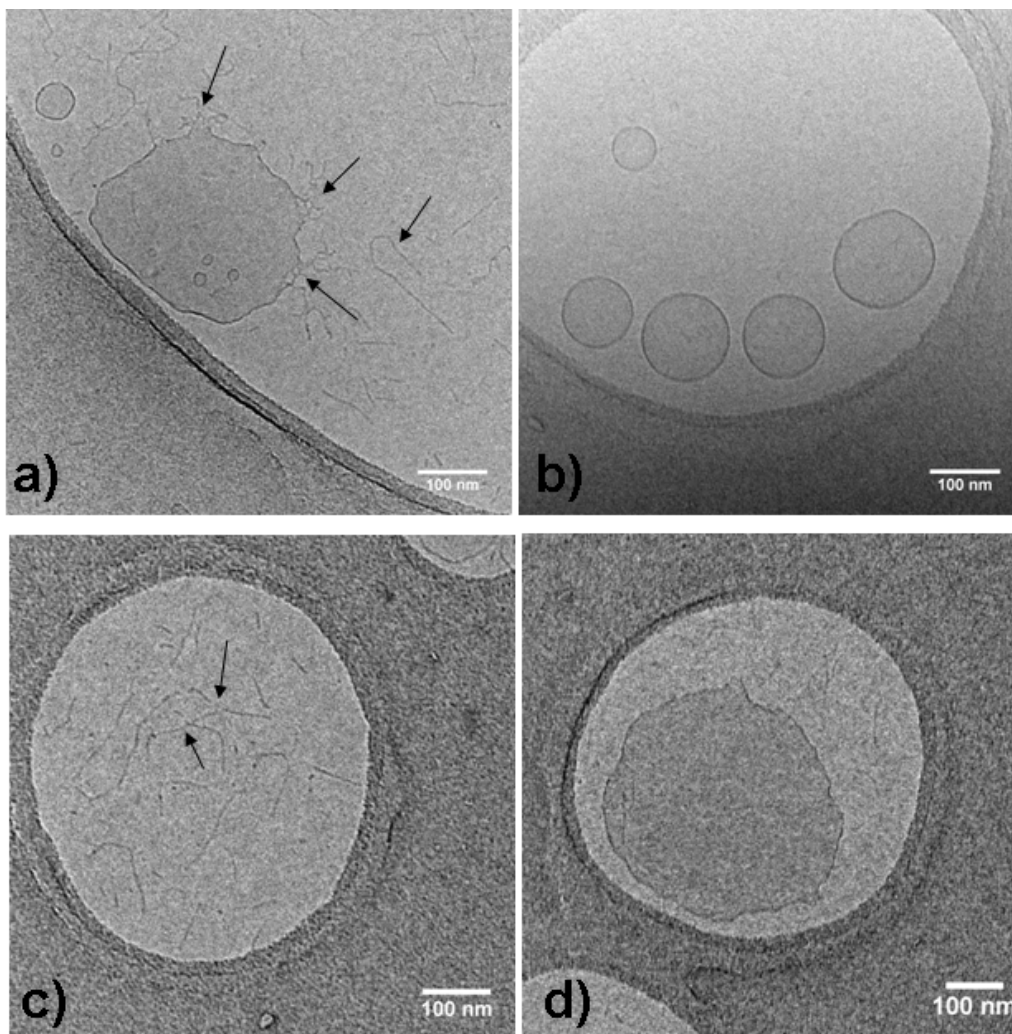


Figure 6 - a) pH-resolved *in-situ* (ID02 line, ESRF synchrotron, Grenoble, France) and b) *ex-situ* SAXS experiments recorded on the G-C18:0 sample. Pattern at pH < 4 has been recorded on the SWING line, SOLEIL synchrotron, Saint-Aubin, France. Pattern at pH ~6 has been recorded on a lab-scale SAXS instrument with a sample-to-detector distance of 1469 mm

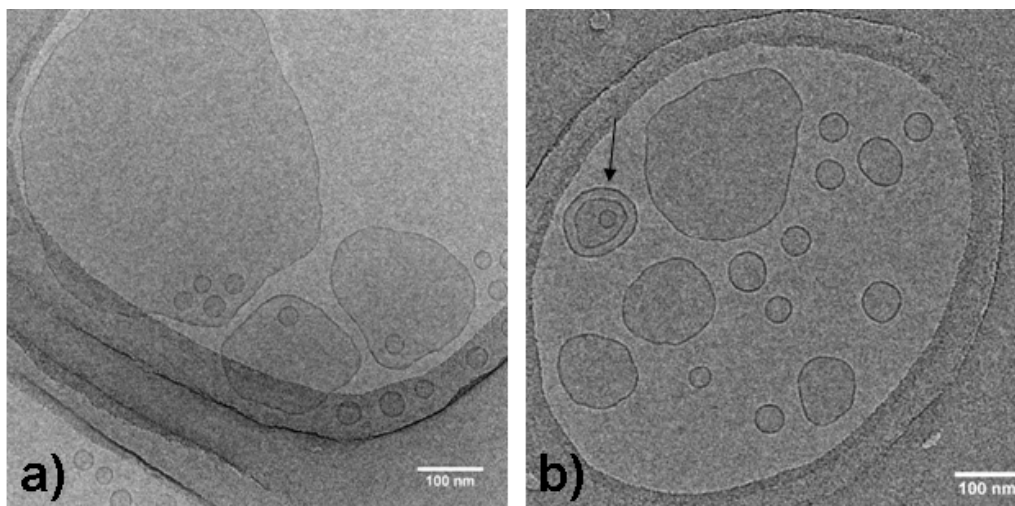
## II. Glucolipid G-C18:1 – Formation of vesicles

The G-C18:1 sample has a similar structure as G-C18:0 except for the presence of a monounsaturated *cis* C=C bond at positions 9,10 in the hydrocarbon chain. Its clear-turbid transition pH is settled in the vicinity of pH 6.2, as shown by the light scattering experiments. The cryo-TEM images (Figure 7) recorded in this pH range show an interesting mixture of two types of objects. Figure 7b shows the presence of vesicles, the cross diameter of which ranges from tens to a hundred of nanometers, or even more. Figure 7c shows the existence of entangled giant micelles, the junctions of which are pointed at by black arrows. Interestingly, we could also find aggregates showing that large vesicular objects merge with the giant micelles (Figure 7a,d).



**Figure 7 - Cryo-TEM images of the a) giant micelles/vesicles, b) vesicles, c-d) giant micelles and giant vesicles formed with G-C18:1 at pH ~6.2**

Since the cryo-TEM images in Figure 7 are taken in a transition state of the sample, we have carried out the same experiment at  $\text{pH} < 6$ , just below the transition point. This is shown in Figure 8, where both uni- and multi-lamellar vesicles are found, with no trace of giant micelles. This shows that the pH behaviour of this compound involves a high-curvature cylindrical intermediate (at least) in the neutral pH range and evolving towards low-curvature vesicular structures at lower pH. The CD experiments in Figure S 6 show a practically null signal at acidic pH, confirming the fact that no chiral self-assembled object is formed on a large scale.



**Figure 8 - Cryo-TEM images of the vesicles formed with G-C18:1 at pH <6**

This trend can nicely be followed by pH-driven *in-situ* SAXS experiments shown in Figure 9a. The SAXS pattern recorded at a pH just above the transition (pH 6.62) shows the typical feature of cylindrical objects, as suggested by the monotonous increase in the scattering intensity at low  $q$  with a  $-1.27 \pm 0.02$  slope. Upon decreasing the pH and approaching the transition in the vicinity of pH 6.2, one can see slight but significant variations in both the low- $q$  slope and form factor oscillation above  $q > 0.1 \text{ \AA}^{-1}$ . The  $q$ -portion between  $0.1 \text{ \AA}^{-1}$  and  $0.01 \text{ \AA}^{-1}$  can now be divided into a  $-2.29 \pm 0.03$  and a  $-1.23 \pm 0.21$  slope region, respectively characteristic of flat interfaces and tubular objects. The simultaneous existence of both regimes is in very good agreement with the cryo-TEM images given in Figure 7. According to the minimum position of the oscillation ( $0.12 \text{ \AA}^{-1}$ ) one can estimate a half of the bilayer membrane thickness to be about  $26 \text{ \AA}$ , in agreement with the estimated molecular size of G-C18:1 ( $< 30 \text{ \AA}$ ) and with what it found in the G-C18:0 system. Interestingly, the position of the minimum of the oscillation does not vary between the micellar morphology at pH= 6.62 and the vesicular shape at pH< 6.60; this proves that the vesicle membrane bilayer forms directly from the tubular morphology, as shown in Figure 7a. At pH< 4, one can find the typical diffraction pattern ( $0.18 \text{ \AA}^{-1}$  and  $0.36 \text{ \AA}^{-1}$ ) of a lamellar packing superimposed to the vesicle signal, indicating that a lamellar precipitate (visible by the naked eye) coexists with the vesicles.

The *ex-situ* SAXS experiments, presented in Figure 9b, show similar features if compared to the *in-situ* data. Nevertheless, one main difference should be highlighted, especially at higher concentrations: a broad diffraction peak observed in the  $0.04 < q [\text{ \AA}^{-1}] < 0.05$  region. This peak identifies a repeating distance in the range of  $140 \text{ \AA}$ , which we attribute to an average inter-vesicle distance measured in multilamellar vesicular systems, as

in the one indicated by the arrow in Figure 8b. We do observe, as for the stacking of sheets in the G-C18:0 glucolipid, that an *ex-situ* preparation of the sample allows the formation of multi-walled vesicles, which does not seem to appear in the *in-situ* preparation of the sample. These minor discrepancies seem to be coherent with the kinetics-driven self-assembly behaviour expected in pH-jump systems and described earlier for other compounds.<sup>16,19</sup>

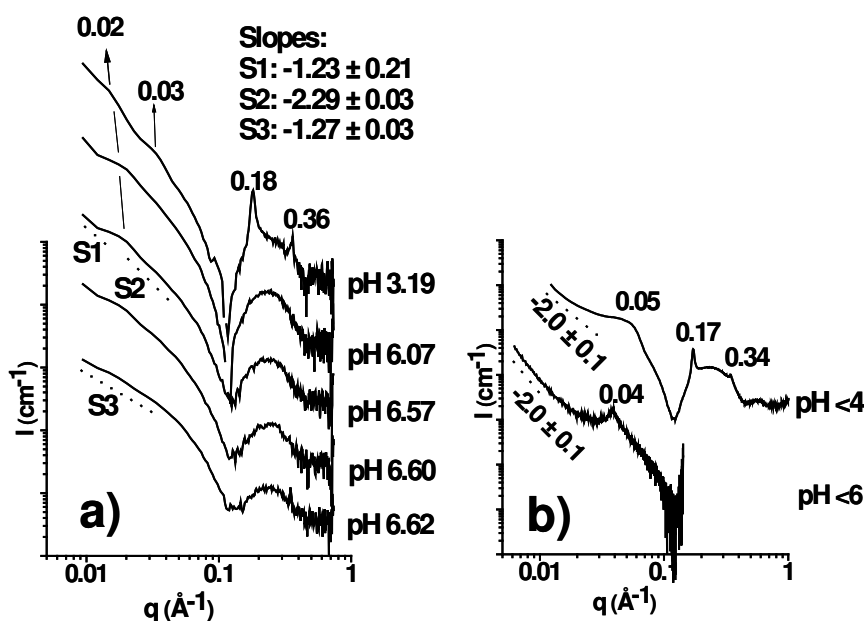


Figure 9 - a) pH-resolved *in-situ* (ID02 line, ESRF synchrotron, Grenoble, France) and b) *ex-situ* SAXS experiments recorded on the G-C18:1 sample. Pattern at pH < 4 has been recorded on the SWING line, SOLEIL synchrotron, Saint-Aubin, France. Pattern at pH < 6 has been recorded on a lab-scale SAXS instrument with a sample-to-detector distance of 1529 mm

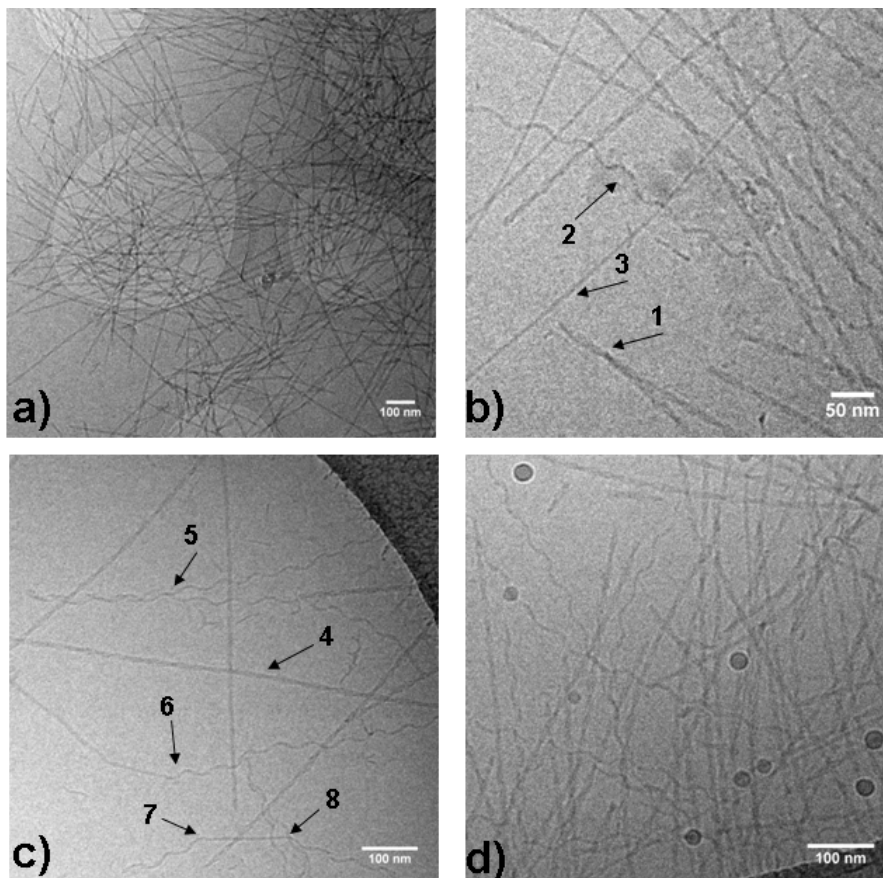
### III. The case of cellobioselipids – formation of crystalline fibers

According to LS experiments in Figure 2, CLH displays two pH regions with different light scattering behaviour. Figure 10 shows the cryo-TEM images recorded on the CLH system at pH < 7. In particular, Figure 10a-d refer to the initial transition pH range ( $7.0 \pm 0.4$ ), where the solution becomes turbid, while Figure 10e-h refer to the pH range below 7, where a strong intensity uptake in the LS experiments was found. Figure 10a shows a typical overview of the CLH sample, showing the presence of an entangled network of elongated fibers. A closer look within one of the amorphous ice layer holes (Figure 10b,c) shows the presence of at least two types of objects indicated by the numbered arrows: twisted fibers (N°1,4) and helical fibers (N°2,5). The presence of vesicular objects of about 25 nm in diameter (Figure 10d) is not excluded but caution should be taken to interpret these objects as real because

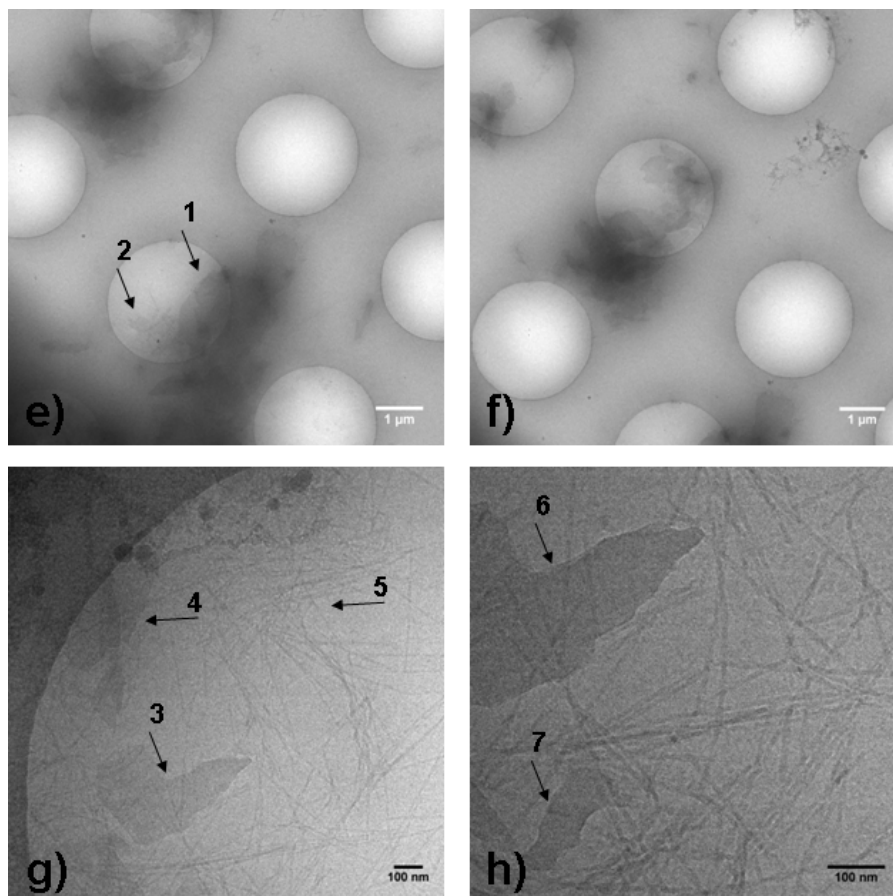
typical pollution in cryo-TEM experiments could also explain them. For this reason they will not be commented further. Arrow N°3 points at an object which could be interpreted as a flat ribbons or hollow nanotubes; the latter are excluded because the electron density contrast is homogeneous throughout the fiber section. Circular dichroism experiments (Figure S 6) confirm the presence of helical/twisted self-assembled structures at acidic pH. In a similar system, constituted by the saturated form of sophorolipids,<sup>25</sup> a compound that can be compared both in terms of size and shape to CLH, twisted ribbons were found to be the main type of fibrillar morphology under similar physico-chemical conditions.

**Table 1 – Typical morphologies, cross-section and pitch for the main fibrillar objects found in the cryo-TEM images of CLH samples at acidic pH. Values are given in nm.**

Morphology	Cross-section/diameter	Pitch
Helical fiber	$5.5 \pm 0.5$	$60 \pm 1$
Flat ribbon	$6.0 \pm 0.5$	-
Twisted fibers	$11.0 \pm 1.0$	$110 \pm 2$







**Figure 10 – Cryo-TEM images of self-assembled aggregates formed with CLH at (a-d) pH ~7 and (e-h) pH < 5.5. All experiments refer to a sample at 50 mg/mL. To record good quality and representative images, the initial solution was diluted immediately before cryo-fixation of a factor 1/10 (even 1/100 was also tested).**

In Table 1 we provide the typical diameters and pitch size for each morphology. If one could attribute the morphology dispersion to the CLH mixture of congeners (see Supporting Information for more details), we believe that they are precise structural connections between them, as described for other systems.<sup>39</sup> Arrows N°6-8 in Figure 10c point at specific breaking points in which a clear helical/flat ribbon transition occurs. The left-hand side of arrow N°6 identifies a flat ribbon while its right-hand side shows a helical fiber. Similarly, a flat ribbon region is identifiable in between arrows 7 and 8, the exterior part of which turn into helical fibers. The highlighted arrows 7-8 in Figure 10c suggest that helical fibers and flat ribbons are actually part of the same object at two different growth stages.

Twisted ribbons seem to constitute a minority in terms of population and they are at least double the size of the fibers (cross-section of 5-6 nm). It is known that twisted ribbons are precursors for helical fibers,<sup>40</sup> which generally constitute the last morphological stage before hollow nanotube formation. However, since we observe a direct flat/helical transition,

it is possible that twisted ribbons in the CLH system are actually not morphologically connected to the helical fibers.

Upon reducing the pH value below 5.5, at which a strong increase in the scattered light is recorded in light scattering experiments, cryo-TEM shows the formation of large platelet aggregates (Figure 10 e,f), still in coexistence with the fibers (Figure 10g,h), indicating that a morphological transition is taking place. The transition can be followed by pH-resolved *in-situ* Small Angle X-ray Scattering, which show a broad diffraction peak at  $0.17 \text{ \AA}^{-1}$  above pH 5, attributed to interplanar order within the ribbons, and a series of sharp diffraction peaks below pH 5, attributed to the crystalline order within the flat sheets. SAXS data are presented and discussed in detail in Figure S 8.

## Discussion

The pH-dependent behaviour of CLH, G-C18:1 and G-C18:0 are very similar to what was previously found for the saturated form of acidic sophorolipids<sup>25</sup> and other glycolipids.<sup>21,41</sup> Nevertheless, the transition between the high pH, low-scattering, to the low-pH, high-scattering, regimes occurs at sensibly different pH values among the compounds. If this fact could seem odd because of the strong resemblance of the fatty acid tails for all compounds, one should not forget that saturated and unsaturated (poly or mono) fatty acids do not necessarily have the same pKa. For instance, the apparent pKa values of a palmitic/oleic acid mixture vary between 6.2 (10% oleic) and 7.3 (90% oleic)<sup>42</sup> and it is known that a 2-unit pKa change occurs between stearic acid and linolenic acid.<sup>43</sup> It was even shown that the apparent pKa of oleic acid in a mixture with glycerol monooleate varies between 6 (22% oleic acid) and 7 (80% oleic acid).<sup>44</sup> The light scattering experiments presented in this work seem to show that the transparent/turbid transitions are correlated with the pKa trend of the corresponding fatty acids: the compound containing stearic acid as backbone (G-C18:0 and SL-C18:0) undergo a transition at a pH higher than the compound containing oleic acid (G-C18:1), a fact which is coherent with the higher pKa values measured for stearic compared to oleic acid.<sup>43</sup> Finally, CLH, mainly composed of a partially-hydroxylated hexadecanoic acid, shows its main transition at pH 6.2. According to Ref. 43, 45, the pKa of hexadecanoic acid is two units smaller than stearic acid and in Ref. 42 the apparent pKa of a 90:10 palmitic acid (= hexadecanoic acid)-oleic acid mixture was estimated to be 6.2.

Table 2 compares the estimated packing parameters for sophorolipids, glucolipids and cellobioselipid. One should recall that the packing parameter,  $p$ , was proposed to correlate the

molecular geometry of an amphiphile to the type of supramolecular aggregate this forms above its critical micellar concentration.<sup>20</sup>  $p$  is defined as the ratio between the volume of the aliphatic chain,  $V$ , and the product between the surface area occupied by the polar head,  $a_0$ , and the chain length,  $L$ :  $p = \frac{V}{a_0L}$ . Even if an exact value of  $p$  is difficult to estimate for the glycolipids in this study, one can safely state that acidic sophorolipids bearing an oleic acid moiety, SL-C18:1, have  $p = 0.36$ , close to the upper limit value (0.3) for the spherical/cylindrical micellar transition.  $V$  and  $L$  are estimated using the well-known Tanford formulas in which we considered 16 effective CH<sub>2</sub> groups in the aliphatic chain (C18 (CH<sub>3</sub>) and C17 (CH) positions are not included). The value of  $a_0$  is more complicated to determine. We have used an effective 75 Å<sup>2</sup>/molecule<sup>28</sup> for the sophorolipid/water interface, this value being estimated at the dry core/hydrated shell interface and in agreement with previous works on disaccharides amphiphiles.<sup>46</sup> We have also considered that the 120° angle of the oleic acid moiety reduces its effective length to about 16.9 Å, opposed to 21.8 Å calculated for a fully extended 16-atoms aliphatic chain. The estimation for this compound is in very good agreement with the experimental results, because SL-C18:1 forms ellipsoidal micelles in the acidic pH range at room temperature, the packing parameter of which is expected to be slightly above 0.3.

**Table 2 – Calculated and experimental packing parameter for the glycolipids studied in this work.**

\* Intended under the same conditions: pH < 6, 0.5 w%, T = 25°C. § The value of the packing parameter is estimated as follows: the reference  $a_0$  value is taken as the surface per sophorolipid at the dry core/hydrated shell interface and it is estimated to be 75 Å<sup>2</sup>, an average experimental value determined in Ref. 28 for the SL-C18:1 system. These same assumptions have been applied to the CLH system. The  $a_0$  for the glucolipids samples is simply considered to be half the value of the sophorolipid compounds.  $L$  and  $V$  are calculated with the classical Tanford formula,  $L = 1.54 + 1.265 * n$  and  $V = 27.4 + 26.9n$ , where  $n$  is the number of carbon atoms, here taken as 16 for all compounds (the C17 and C18 positions are considered to be part of the hydrophilic headgroup) except for CLH, for which we used the value of 14 (COOH and C2 in  $\alpha$  are excluded given the presence of an OH group in the latter). For the C18:1 compounds, bearing a 120° C=C double bond located at C<sub>9,10</sub>,  $L$  is estimated to be as the C10-C16 portion of the molecule plus the projection of the C1 (COOH) to C9 segment, calculated as  $L_{1-9} * \cos(60)$ . ( $L = 16.9$  Å)

Sample	Morphology*	Calculated packing parameter <sup>§</sup>	Experimental packing parameter	Ref
SL-C18:1	Ellipsoidal micelles	0.36	~ 0.3	27

G-C18:1	Vesicles	0.72	0.5-1.0	This work
G-C18:0	Infinite sheets	0.56	1.0	This work
SL-C18:0	Twisted ribbons	0.28	1.0	25
	Flat sheets			24
CLH	Twisted and helical ribbons	0.28	1.0	This work

Similar good agreement can be found for the vesicle-forming monounsaturated G-C18:1 glucolipid, the calculated  $p$  for which is comprised between 0.5 and 1.0. However, one can immediately realize that such a good agreement between experimental data and calculation has not a general trend; in fact, the values of  $p$  estimated for all other samples practically fall below 0.6, whereas the nature of the self-assembled objects could rather be explained by  $p=1$ .  $p$  alone cannot explain at all the formation of infinite sheets for the G-C18:0 sample. The formation of twisted ribbons for SL-C18:0, the  $p$ -value of which is unitary, is even more puzzling: this compound has a sophorose head, bulkier than the glucose head in G-C18:0 and for this reason one would expect the formation of low curvature objects, which is not the case. In fact, the main partial conclusion is that it is not possible to predict the self-assembly of these microbial glycolipids on simple molecular geometrical considerations; other factors must be taken into account.

The extensive literature describing the self-assembly properties of surfactants commonly evokes the problem of membrane curvature and flexibility<sup>22</sup> related to the melting point of the lipid moiety.<sup>16,21</sup> The giant micelles-to-vesicle transition observed in the G-C18:1 sample is undoubtedly explained by the presence of the less bulky glucose headgroup, with respect to the sophorose disaccharide. The fact that this compound has the same oleic acid moiety as SL-C18:1 is a good hint of the fact that the headgroup plays the most important role in driving the formation of micelles, or vesicles. Oleic acid has a melting point,  $T_M$ , of 13°C while stearic acid has a  $T_M$  of 69°C. According to this very large  $T_M$  difference, the chain fluidity in C18:1-based compounds at RT (25°C >  $T_M$ ) is higher than in C18:0-based compounds, which are expected to form a tighter intermolecular packing and, consequently, more rigid membranes.<sup>47</sup> If one could have not predicted the formation of an infinite sheet by the G-C18:0 sample, one can nevertheless explain it by the fact that this compound, being very similar in chemical formula and geometry to the vesicle-forming G-C18:1, is actually a C18:0 derivative, expected to form similar, but more rigid, lipid bilayers. The fact that the intermolecular packing plays such an important role is also demonstrated by the fact that a rigid, crystalline, layer is also obtained even with an additional glucose molecule: the

sophorose-containing SL-C18:0 compound forms flat ribbons.<sup>25</sup> It is interesting to note that the role of the second glucose molecule does not play in favour of higher curvature morphologies, probably because it is unable to break the tight crystalline packing. However, it seems to have a symmetry-breaking role which destabilizes the formation of the planar layer by blocking its infinite growth and driving the formation towards unidirectional twisted ribbons.<sup>40</sup> This hypothesis could be supported by the fact that CLH also forms chiral fibers. If this compound has a similar structure as SL-C18:0, it does not share the same chiral center on the the C17 atom of the fatty acid backbone, thus indicating that the fiber chirality may not be related to molecular chirality. Additionally, since sophorose is a  $\beta$ 1,2-glucose while cellobiose is a  $\beta$ 1,4-glucose, it means that the chiral fiber formation process is also probably not configuration-dependent, as one could suppose from previous literature works.<sup>8</sup>

Finally, it is also possible that the chiral fibers are a metastable state in the formation of nanotubes,<sup>39,48</sup> even if this was not demonstrated so far on these systems. One should not forget that the structures studied here are probably not the thermodynamical ones, as shown in various studies on related compounds and where the rate at which a so-called “pH-jump” or “temperature-jump” could be used to control the morphology of self-assembled lipids.<sup>16,17</sup> This aspect could actually explain the reason why the same SL-C18:0 sophorolipids can form either flat sheets,<sup>24</sup> if simply mixed with water at acidic pH, or twisted ribbons,<sup>25</sup> if they undergo a “pH-jump” process. In either case, the experimental packing parameter is unitary, thus demonstrating once again the inconsistency of a simple approach based on molecular topology.

If the considerations above can partially explain the consistency among our data, they cannot explain the difference in morphology between the G-C18:0 sample, forming infinite bilayer membranes, and a very similar compound extensively studied by Masuda *et al.*<sup>30</sup> forming nanotubes. The major difference between the two molecules resides in the chemical nature of the linker between the glucopyranoside ring and the fatty acid: acetal group in G-C18:0 and a carbamoyl group in the case of Masuda’s sample. The latter introduces lateral intermolecular H-bonding interactions, which apparently have a strong effect on the system’s mean curvature, which is zero for infinite sheets and positive for nanotubes, where the mean curvature is defined by  $\langle H \rangle = 0.5(c_1 + c_2)$ , with  $c_1$  and  $c_2$  being the principal curvatures defined at a given point of the surface, and  $c_1 = 1/R_1$  and  $c_2 = 1/R_2$ , with  $R_1$  and  $R_2$  the radii of curvature. For a plane,  $c_1 = c_2 = 0$ , hence  $\langle H \rangle = 0$ ; for a tube,  $c_1 = 0$  and  $c_2 > 0$ , hence  $\langle H \rangle > 0$ . Interestingly, the carbamoyl group, instead of strengthening the stability of the sheet in its planar form, seems to destabilize it only in one direction belonging to the plane of the

curvature  $c_2$ . This effect can also be seen as a unidirectional decrease in the packing parameter due to an apparent increase in the hydrophilic surface area. Whatever the case, these behaviours would have been very hard to forecast.

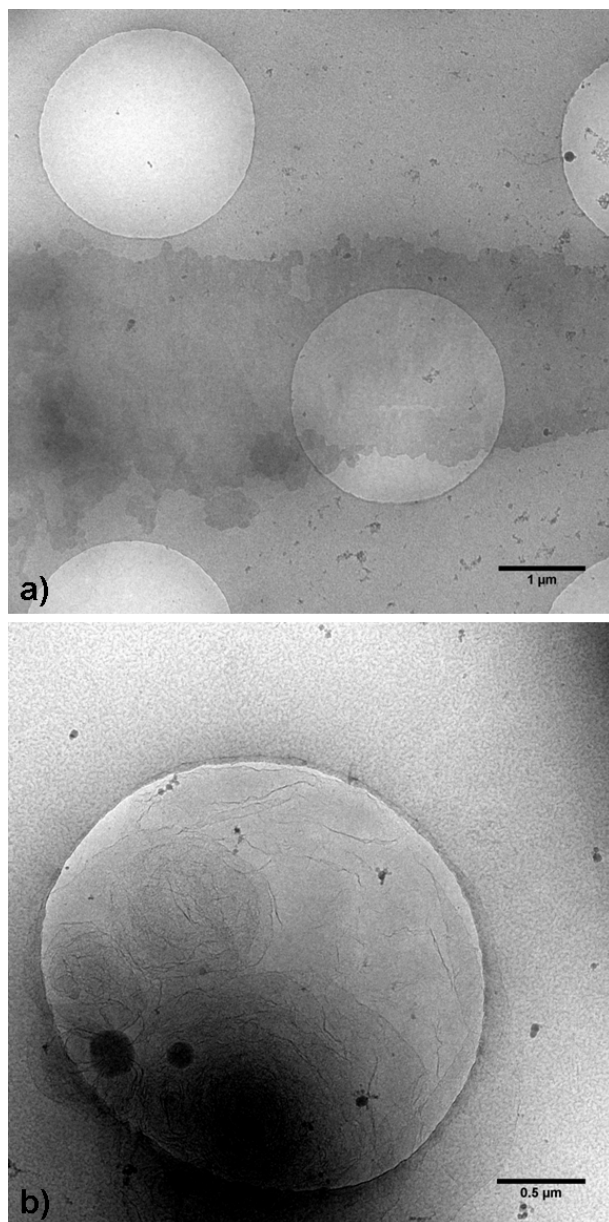
The phenomenological description above is not uncommon for amphiphiles and similar behaviours are described for many phospholipid-based systems, by far the most studied compounds, but also for non-ionic compounds and glycolipids. In ethyleneglycol-based surfactants it was shown that vesicle formation is favoured over the formation of a lamellar phase by increasing the temperature,<sup>17</sup> while the temperature-dependent evolution of membrane curvature for these systems was discussed already in 1994.<sup>23</sup> However, it was also shown that size evolution of phospholipid membranes can occur at a given temperature by simply varying the amount of unsaturation of the lipid moiety: large vesicles (small curvature) are observed with increasing number of double bonds; this phenomenon corresponds to reduce the lipids  $T_M$ , a fact which is equivalent to increasing the temperature for a given  $T_M$ .<sup>16</sup> and references therein Other stimuli-responsive morphological evolutions include pH-driven shape variation, as discussed by Klijn *et al.*<sup>21</sup> for carbohydrate-based gemini surfactants. Their work demonstrates that at low pH values, when the surfactant polar head is positively charged (ammonium salt headgroup), the membrane curvature is high and the system forms giant micelles. Interestingly, the length of the spacer (up to a certain limit), the size of the carbohydrate or the stereochemistry have little influence on the final morphology in this regime. According to them, the positively-charged polar head has a much stronger influence on the local principal curvature than other parts of the molecule. Upon an increase in pH, and neutralization of the polar headgroup charge, they observe a micelle-to-vesicle transition or even inverse micelles, having  $p > 1$  with a strong impact of the molecular geometry. These results are consistent with our own findings on both SL-C18:1 and SL-C18:0<sup>29</sup> but also G-C18:1 and G-C18:0 compounds in this work. Upon increasing the pH, the carboxylic acid deprotonates thus introducing a negative charge at one end of the glycolipid molecule, the effect of which is to drive the system towards the formation of micelles and nanoscale platelets for SL-C18:1 and SL-C18:0;<sup>29</sup> micelles are also observed for the G-C18:1 and G-C18:0 compounds, according to the SAXS signal above  $q > 0.02 \text{ \AA}^{-1}$  presented in Figure 3h,l. These pieces of evidence support the idea that the negative charge dominates the effective surface area, thus sensibly lowering the packing parameter, responsible for the formation of high-curvature objects. Interestingly, when the pH is lowered for the G-C18:1 sample, we observe a typical micelle-giant micelles-vesicle transition, which has largely been described for glycolipid,<sup>21</sup> phospholipid/detergent mixtures,<sup>49,50</sup> and ethylene glycol surfactants,<sup>17</sup>

among others. Leng *et al.*<sup>19</sup> have recently settled the theoretical ground for vesicle formation in a typical lecithin/bile salt system; they evoke kinetics arguments, rather than thermodynamics, as the main driving force in the micelle-to-vesicle transition and, in particular, for the growth mechanisms of micelles into disks and their stability after growth by fusion. However, the fact that these morphologies are non-equilibrium intermediates make this system very parameter-dependent (ionic force, temperature, pH, mixing order, time rate, etc...) and, for this reason, very hard to study and to fully understand. If the self-assembly of the selected microbial glycolipids at  $\text{pH} < 7$  was explained here above on arguments based on their rationalized molecular structures, the more peculiar pH-driven self-assembly behaviour will be described elsewhere.

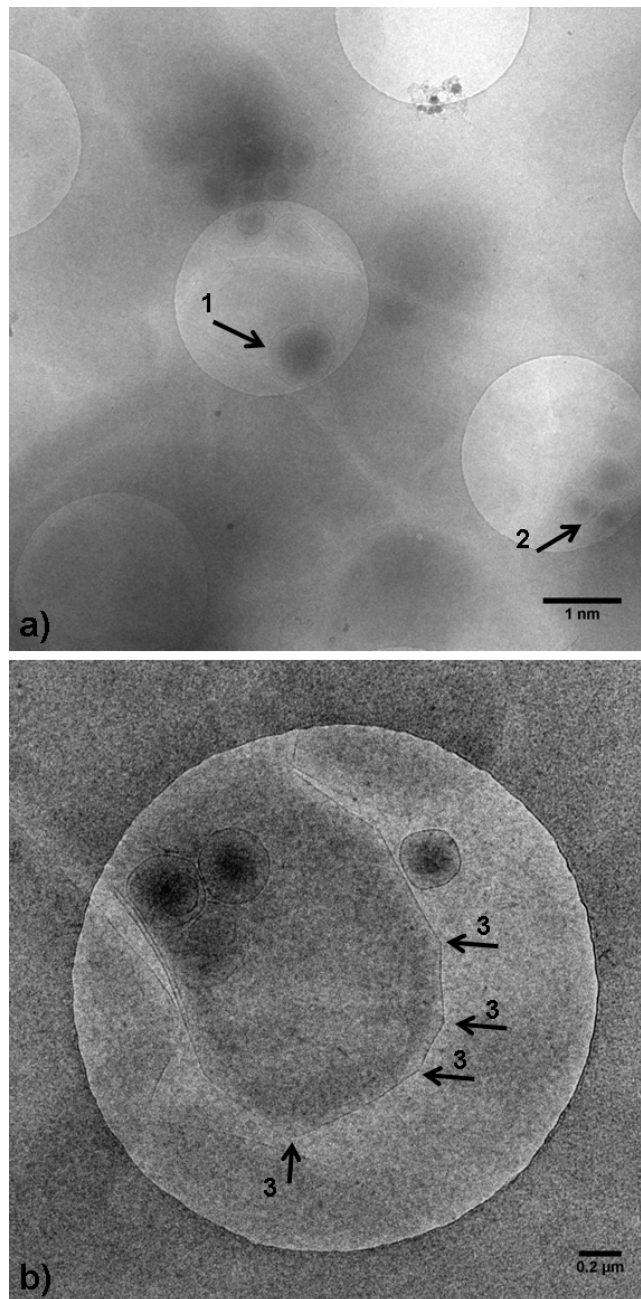
To support our hypothesis according to which membrane fluidity of G-C18:1-composed vesicles and G-C18:0-composed sheets is temperature-dependent, we have repeated the self-assembly process for these compounds at temperatures respectively below ( $T = 4^\circ\text{C}$ ) and above ( $T = 80^\circ\text{C}$ ) the  $T_M$  of oleic ( $T_M = 13^\circ\text{C}$ ) and stearic ( $T_M = 69^\circ\text{C}$ ) acids, the lipidic components of G-C18:1 and G-C18:0. Experimentally, the initial glycolipid basic solutions at  $\text{pH} > 11$  are either cooled or heated and pH is then adjusted to about 5.5, that is below the corresponding transition points depicted in Figure 2. In a first approximation, we neglect the temperature effect on the exact transition pH and we analyze solutions which become evidently turbid. After pH adjustment, the final solutions are stored at either  $5^\circ\text{C}$  or  $80^\circ\text{C}$  during 24h until analysis and they are never brought to room temperature. The corresponding cryo-TEM images of G-C18:1 at  $T = 5^\circ\text{C}$  and G-C18:0 at  $T = 80^\circ\text{C}$  both at pH about 5.5 are displayed, respectively, in Figure 11 and Figure 12. In the G-C18:1 system below the  $T_M$  of oleic acid, vesicles typically omnipresent at room temperature are more hardly found while large flat sheets (Figure 11a) or curved extended surfaces (Figure 11b) can be observed instead (additional images are given in Figure S 9). On the contrary, when G-C18:0 is synthesized at  $T = 80^\circ\text{C}$ , one can find a coexistence between infinitely long flat sheets and vesicles (arrows N°1 and 2 in Figure 12a and Figure S 10a,b). Interestingly, Figure 12b shows the presence of a surface the outer edges of which are segmented (arrows N°3), most likely indicating the mechanism by which the infinitely long flat sheet of zero mean curvature evolve towards more curved vesicular surfaces. Additional images collected on the same sample show similar effects (Figure S 10d), including the perpendicular stacking between flat sheet. The upper left-hand side of Figure S 10c clearly shows the bending process of a flat sheet around an already-formed vesicle and about to form, presumably, a single multi lamellar vesicle, as already observed in Figure S 10b.

These experiments directly prove the joint importance of pH and temperature in the self-assembly experiments for this set of microbial glycolipids, strengthening the fact simple assumptions based on their shape alone can neither predict nor explain the typical self-assembled objects formed at room temperature and that one must reason out these systems also on the basis of the relative  $T_M$  of the lipid part of molecule. These results are schematized on Figure 13, showing the transition pH ( $pH_T$ ) values between the micelle and the vesicle/bilayer regions for monounsaturated and saturated glucolipids at ambient temperature ( $T_a$ ), respectively higher and lower than their  $T_m$ . The effect of lowering  $T$  below and above  $T_m$  is also indicated. The pH-dependent behaviour of cellobioselipids at  $T_a$  is also represented.





**Figure 11 – Cryo-TEM images of 0.5 w% G-C18:1 solutions prepared using the “pH-jump” technique (pH ~11 --> pH 5.5) at T= 5°C, below the T<sub>M</sub> of oleic acid (13°C) and stored during 24h.**



**Figure 12 - Cryo-TEM images of 0.5 w% G-C18:0 solutions prepared using the “pH-jump” technique (pH ~11 --> pH 5.5) at T= 80°C above the T<sub>M</sub> of stearic acid (69°C) and stored during 24h.**



the transition region, G-C18:1 forms vesicles of tens and hundred of nanometers in size, G-C18:0 forms infinite flat sheets and CLH mainly forms infinitely long fibers with various chiral characteristics (flat, twisted, helical). In the perspective of predicting the formation of self-assembled aggregates from functional glycolipids, we perform a semi-quantitative analysis of these results in which we show that none of these structures could have been predicted on the sole basis of the well-known packing parameter,  $p$ . Except the SL-C18:1 and G-C18:1 samples, for which  $p$  can predict the experimental results,  $p$  can be estimated to be below 0.6 for each compound, a value which is not compatible with the low-curvature structures obtained at low pH, and for which one would expect a unitary molecular packing parameter.

To explain our results we employ additional arguments involving the temperature-dependent membrane elasticity, a concept often used to explain the type of aggregates observed in phospholipid systems. We assume that the temperature at which the experiments are performed, RT, is respectively above and below the typical melting temperature,  $T_M$ , of oleic (13°C) and stearic (69°C) acids, composing the lipophilic part of G-C18:1 and G-C18:0. At  $T > T_M$ , one can expect flexible lipid membranes, as it could be the case of vesicles in the G-C18:1 system, having a positive mean and gaussian curvature. At  $T < T_M$ , one can reasonably expect the formation of rigid membranes, as for G-C18:0, with zero mean and gaussian curvatures. We have verified this hypothesis by performing two complementary experiments at  $T = 5^\circ\text{C}$  for G-C18:1 and  $T = 80^\circ\text{C}$  for G-C18:0, respectively below and above their corresponding fatty acids  $T_M$ 's. Under these conditions, we find the expected cross-structural switch, with G-C18:1 forming now large flat sheets and G-C18:0 forming vesicles. In the end, this work not only describes the self-assembly in water of uncommon microbial glycolipids but it also provides the tools to understand the type of aggregates formed and help predict the structures of similar compounds in the future.

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## Supporting Information Available

Figure S 1 shows the HPLC-ELSD chromatograms of the as-synthesized and purified monounsaturated glucolipid mixture. Figure S 2 contains the <sup>1</sup>H NMR spectra of G-C18:1, G-C18:0 and CLH. Figure S 3 presents the LC-MS data for the as-synthesized cellobioselipid. Figure S 4 shows the <sup>1</sup>H-<sup>1</sup>H 2D COSY 45 correlation experiments recorded on the CLH sample. Figure S 5 shows the *in-situ* SAXS data recorded above pH 9 on G-C18:1, G-C18:0 and CLH. Figure S 6 shows the pH-dependent circular dichroism spectroscopy data for G-C18:1, G-C18:0 and CLH. Figure S 7 shows the modelled SAXS curve recorded on the G-C18:0 sample at pH 6.32. Figure S 8 shows the *in-situ* and *ex-situ* SAXS data of the CLH system at acidic pH. Figure S 9 and Figure S 10 respectively show complementary cryo-TEM images recorded for G-C18:1 at T= 5°C and G-C18:0 at T= 80°C. Table S1 presents the composition of the G-C18:0. This information is available free of charge via the Internet at <http://pubs.acs.org>

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## Supporting Information

### *List of Figure Caption*

**Figure S 1 - HPLC-ELSD chromatograms of (A) glucolipid fermentation product with hydrophilic and hydrophobic contaminants derived from a 1.5 L experiment and used for alkaline hydrolysis and (B) non-acetylated glucolipids after alkaline hydrolysis and tBME extraction used in this work (mainly G-C18:1).**

**Figure S 2 - <sup>1</sup>H NMR spectra of a) G-C18:1 and G-C18:0; b) CLH**

**Figure S 3 – LC-MS data for the as-synthesized cellobioselipid (CL) compound**

**Figure S 4 – <sup>1</sup>H-<sup>1</sup>H 2D COSY 45 correlation experiments recorded on the CLH sample. a) and d) simply highlight different correlation pathways in a single COSY45 experiment in CLH samples with respectively R<sub>1</sub>= H and R<sub>1</sub>= OH. Extract of a long-range TOCSY experiment is presented as inset in (b).**

**Figure S 5 – *in-situ* SAXS data recorded in the 11.5 < pH < 9 range on glucolipids G-C18:1, G-C18:0 and hydrolyzed cellobioselipids, CLH**

**Figure S 6 – Circular Dichroism spectroscopy recorded on 5 mg/mL solutions of CLH, G-C18 :0 and G-C18 :1 samples as a function of pH**

**Figure S 7 – SAXS curve recorded on the G-C18 :0 sample at pH 6.32 and fitted with a core-shell bicelle form factor model function using the SasView 3.0.0 software version**

**Figure S 8 - a) pH-resolved *in-situ* (ID02 line, ESRF synchrotron, Grenoble, France) and b) *ex-situ* SAXS experiments recorded on the CLH sample. Pattern at pH < 4 has been recorded on the SWING line, SOLEIL synchrotron, Saint-Aubin, France. Pattern at pH ~6 has been recorded on a laboratory SAXS instrument with a sample-to-detector distance of 843 mm**

**Figure S 9 – Additional cryo-TEM images of 0.5 w% G-C18:1 solutions prepared using the “pH-jump” technique (pH 11 --> pH 5.5) at T= 5°C, below the T<sub>M</sub> of oleic acid (13°C) and stored during 24h.**

**Figure S 10 – Additional cryo-TEM images of 0.5 w% G-C18:0 solutions prepared using the “pH-jump” technique (pH 11 --> pH 5.5) at T= 80°C above the T<sub>M</sub> of stearic acid (69°C) and stored during 24h.**

### *List of Table Caption*

**Table S 1: Main non-acetylated acidic glucolipid and relative abundance (based on peak area derived**



from chromatogram Figure S 1B). In C18:*X*, *X* identifies the number of unsaturation in the fatty acid. Terminal and subterminal refer to the position of glucose with respect to the C17 (subterminal) or C18 (terminal) position of the fatty acid chain (C1 being the COOH group).

# Table of Content

